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**Flat 410 and 660 Discontinuities beneath Northeastern Japan: Implication for a Sub-Slab Wet Plume Hypothesis**

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**Key Points:**

- The tomography of the 410 and 660 beneath northeastern Japan is using receiver function analysis
- The depths of the 410 and 660 are not correlated with a marked sub-slab low-velocity anomaly
- The sub-slab low-velocity anomaly can be interpreted as representing hydrous effect rather than high temperature

## 15 **Abstract**

16 Recent seismic tomography studies have shown that distinct low-velocity anomalies exist  
17 below subducting slabs in many subduction zones and these anomalies are interpreted as a hot  
18 plume from the lower mantle. However, how high the temperatures in the sub-slab low-velocity  
19 anomalies are still unclear. Here, we conduct receiver function analysis and estimate the  
20 horizontal temperature variation in the mantle transition zone by determining the depth variation  
21 of 410 and 660 discontinuities beneath northeastern Japan. The obtained results show that the  
22 depth of the two discontinuities changes little, which suggests no distinct thermal heterogeneities  
23 over the study area. Therefore, we infer that the major cause of the sub-slab low-velocity  
24 anomaly is attributable not to high-temperature anomaly but to the presence of small amount  
25 (~0.2 wt%) of hydrous minerals, which can explain the sub-slab low-velocity anomalies and the  
26 flat 410 and 660 discontinuities.

## 27 **Plain Language Summary**

28 Recent studies suggested that the seismic low-velocity zones exist under the subducting slab in  
29 many subduction zones. This low-velocity zones are interpreted as a hot upwelling flow from the  
30 lower mantle. However, how high the temperatures in this plume are still unclear. Here, we  
31 conduct receiver function analysis and estimate the depth variation of 410 and 660 seismic  
32 velocity discontinuities beneath northeastern Japan. The 410 and 660 discontinuities are  
33 associated with the phase transformations from olivine to wadsleyite at 410 km depth and from  
34 ringwoodite to bridgmanite at 660 km depth, respectively. Since the depths of the two  
35 discontinuities are sensitive to the temperature variations in the mantle transition zone, precise  
36 estimation of the depths of the 410 and 660 discontinuities can provide quantitative estimation of  
37 the thermal anomaly. The obtained results shows that the depth of the two discontinuities  
38 changes little, which suggests no distinct thermal heterogeneities over the study area. Therefore, we  
39 infer that this low-velocity zone reflects wet upwelling flow rather than hot upwelling flow.

## 40 **1 Introduction**

41 Recent seismic tomography analyses have shown the existence of distinct low-velocity  
42 anomalies beneath subducting slabs in many subduction zones (Fan and Zhan 2021), including  
43 Japan (Asamori and Zhao 2015; Liu and Zhao 2016a; Liu and Zhao 2016b), South America  
44 (Portner et al., 2017; Lee et al., 2023), Cascadia (Hawley et al., 2016; Bodmer et al., 2018; Zhao  
45 and Hua, 2021; Liang et al., 2023), and Sumatra subduction zones (Huang et al., 2015).  
46 Geodynamic modeling of plate subduction and mantle convection suggests that the sub-slab low-  
47 velocity anomalies reflect the downwelling asthenosphere materials originating either from a  
48 weak, buoyant layer under the oceanic lithosphere (Hawley et al., 2016) or from hotspot  
49 materials entrained by slab subduction (Portner et al., 2017; Bodmer et al., 2018; Zhao and Hua  
50 2021). In contrast, Dai et al. (2023) have shown a local uplift of the 660 discontinuity beneath  
51 the Cascadia subduction zone using receiver function (RF) analysis and suggested that the sub-  
52 slab low-velocity anomaly reflects upwelling hot materials from the lower mantle.

53 Many tele-seismic tomography analyses have shown the existence of a distinct low-  
54 velocity anomaly beneath the Pacific plate of northeastern Japan, which is continuously  
55 distributed from at least 1100 km depth to ~200 km depth (Zhao 2004; Obayashi et al., 2006;  
56 Zhao 2009; Wei et al. 2012; Asamori and Zhao 2015; Wei et al. 2015; Liu and Zhao 2016a; Liu  
57 and Zhao 2016b; Wei et al., 2016). Since there are no hotspots around the Japanese Islands, the

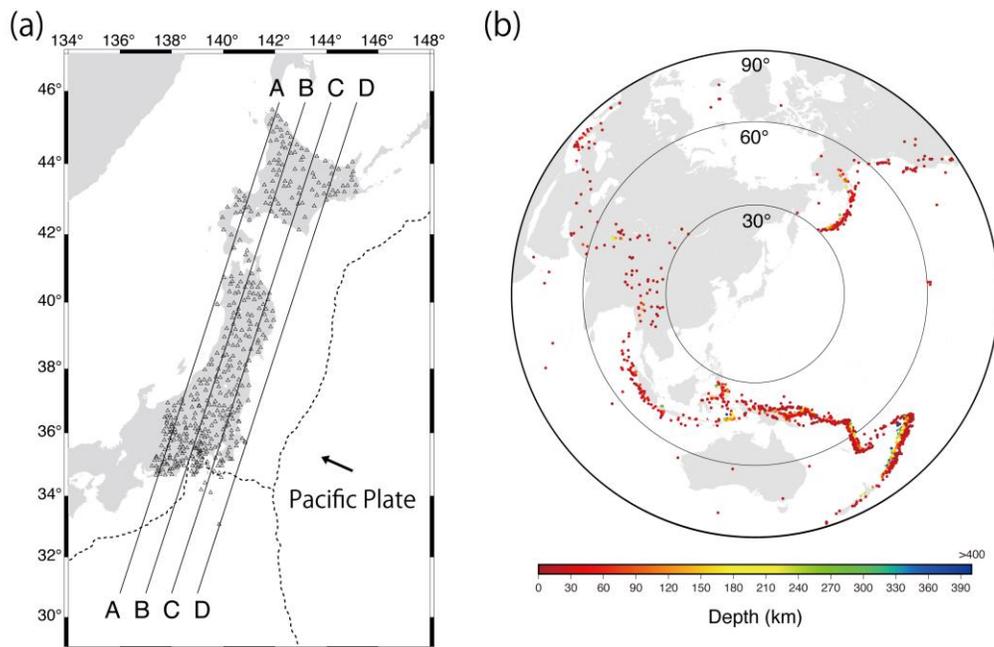
58 sub-slab low-velocity anomalies are interpreted to reflect the hot upwelling mantle induced by  
59 the collapsing of the stagnant Pacific slab into the lower mantle (Zhao 2004; Zhao 2009).  
60 Obayashi et al. (2006) suggested that the sub-slab low-velocity anomaly at 410 km depth can be  
61 explained by the increases in temperatures by  $\sim 200$  K. The temperature increase by  $\sim 200$  K is  
62 comparable to that of hot materials originating from the lower mantle and entrained toward the  
63 410 discontinuity, which is simulated by geodynamic modeling (Morishige et al., 2010). If the  
64 sub-slab low-velocity anomaly is produced by the thermal origin as high as 200 K, the 660  
65 would be elevated by  $\sim 15$  km given the Clapeyron slope of  $-3.2 \sim -2.6$  MPa/K (Akaogi et al., 2007;  
66 Muir et al., 2021). However, the elevation of the 660 has not yet been confirmed by  
67 seismological observations.

68 This study conducts RF analysis using a large number of tele-seismic waveforms  
69 recorded at a dense seismological observation network in Japan to constrain the depth variations  
70 of the sub-slab 410 and 660 discontinuities. Since the 410 and 660 are associated with the phase  
71 transformations from olivine to wadsleyite and from ringwoodite to bridgmanite, respectively,  
72 the depths of the two discontinuities are sensitive to the temperature variations in the mantle  
73 transition zone. Therefore, precise determination of the depths of the 410 and 660 can provide  
74 quantitative estimation of the thermal anomaly, which enhances our understanding of the sub-  
75 slab mantle dynamics.

## 76 **2 Data and Method**

77 We examined 1086 events with  $M_w \geq 5.5$  that occurred between April 2005 to March  
78 2023 (Fig 1a). Waveforms of these earthquake were recorded at 455 Hi-net stations (Fig 1b)  
79 operated by the National Research Institute for Earth Science and Disaster Prevention (NIED).  
80 The natural frequency of seismometer is 1 Hz and waveform data are recorded with a sampling  
81 frequency of 100 Hz. After correcting for the instrument response to the waveform with the  
82 method of Maeda et al. (2011), we applied a band-pass filter (0.1-15 Hz) to the observed  
83 waveform. In the Fourier transformation, we used a time window of 163.84 s, starting 40 s  
84 before the theoretical P-wave arrival time. RFs were calculated by deconvolving the radial and  
85 transverse components from vertical components with a water level of 0.001 and a low-pass  
86 filter at 0.5 Hz. We discarded RFs with  $< 3$  signal-to-noise ratios, and finally obtained a total of  
87 216,414 RFs. RFs were migrated into a space-domain using iasp91 1D velocity model (Kennett  
88 and Engdahl 1991) with the Vectorial Receiver Function Method (Kawakatsu and Watada 2007;  
89 Kawakatsu and Yoshioka 2011) that considers the three-dimensional refraction on the dipping  
90 surface of the Pacific slab by correcting for incident and azimuthal angles of RFs. We set a 10  
91 km (horizontal)  $\times$  2 km (depth) grid along four profiles sub-parallel to the strike of the Japan  
92 trench (Fig 1a) and employ a common conversion point stacking along each profile. We then  
93 gathered Ps amplitudes within 100 km width from each profile to produce cross-sectional images  
94 of RFs.

95



96

97 **Figure 1.** (a) Locations of Hi-net seismometers (triangles) used in this study and four profiles A,  
 98 B, C and D along which we obtained receiver function images. Four line (A–D) are profiles  
 99 along which we display RF imaging in Figure 2. The dotted line represents trenches and troughs.  
 100 (b) Epicenter distribution of tele-seismic events used in this study. The color scale shows the  
 101 focal depth of earthquakes.

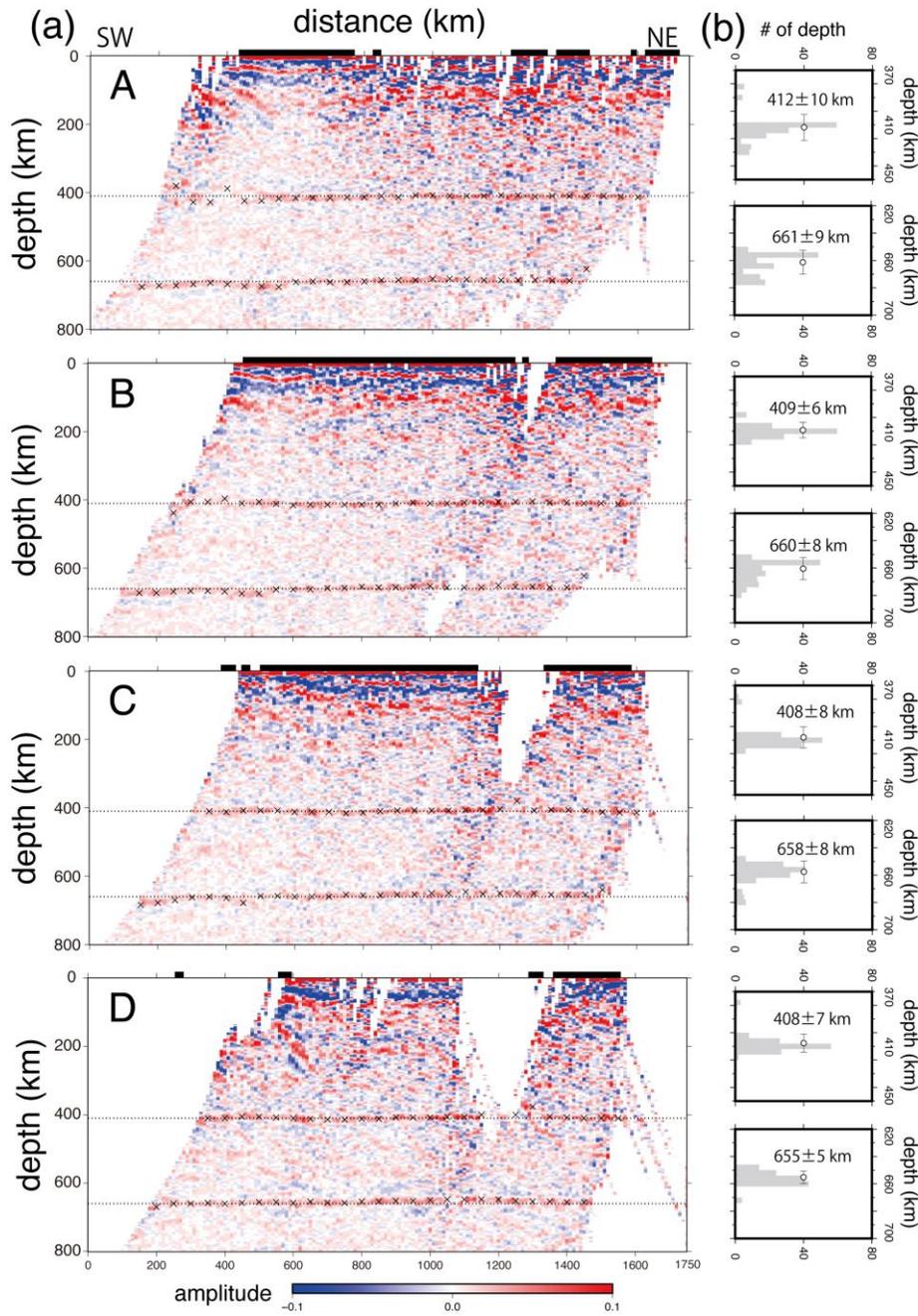
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### 103 3 Results

104 We observed two clear planes of positive amplitudes at ~410 km and ~660 km depths,  
 105 which are interpreted as the 410 and 660 discontinuities, respectively (Fig 2a). Of note, the 410  
 106 and 660 are almost flat with no large depth variation for all profiles, even though the 660 in the  
 107 southern end of profiles A–C depress locally by 30–40 km. The local depression at ~660 km is  
 108 considered to be the effect of the subducting cold Pacific slab (Tonegawa et al., 2005).

109 To quantify the depth variation of the 410 and 660 obtained by RF analyses, we identified  
 110 the depth of the maximum positive amplitudes within a depth range of  $\pm 40$  km from each of 410  
 111 and 660 km depth. We defined the discontinuity depths at horizontal distances of every 50 km  
 112 (crosses in Fig 2a). The obtained result confirms that the discontinuity depths are nearly constant,  
 113 and the depth variations in each of the two discontinuities are estimated to be less than ~ 10 km  
 114 along all profiles (Fig 2b).

115



116 **Figure 2.** (a) The receiver function images along profile A, B, C, and D. Grids with more than  
 117 250 RF stacks are shown, where red and blue indicate positive and negative amplitudes,  
 118 respectively. The dotted lines indicate 410 km and 660 km depth. Black bar on the top represents  
 119 the land area. The cross marks represent the depths of discontinuity determined from the  
 120 maximum amplitudes of RFs over a horizontal span of 100 km and displayed every 50 km. (b)  
 121 Histograms representing the depths of 410 discontinuity (upper panel) and 660 discontinuity  
 122 (lower panel).  
 123

124 We performed a bootstrap test to evaluate the stability and robustness of the depths of the  
125 410 and 660. For each set of RFs, we created 200 data subsets, with each subset derived from the  
126 same number of RFs with a random resampling of the original RF data set. The result of the  
127 bootstrap test shows that the standard deviation of the depths of the 410 and 660 are both less  
128 than 2 km (Fig S1), demonstrating that the depth of the 410 and 660 km can be constrained with  
129 high accuracy.

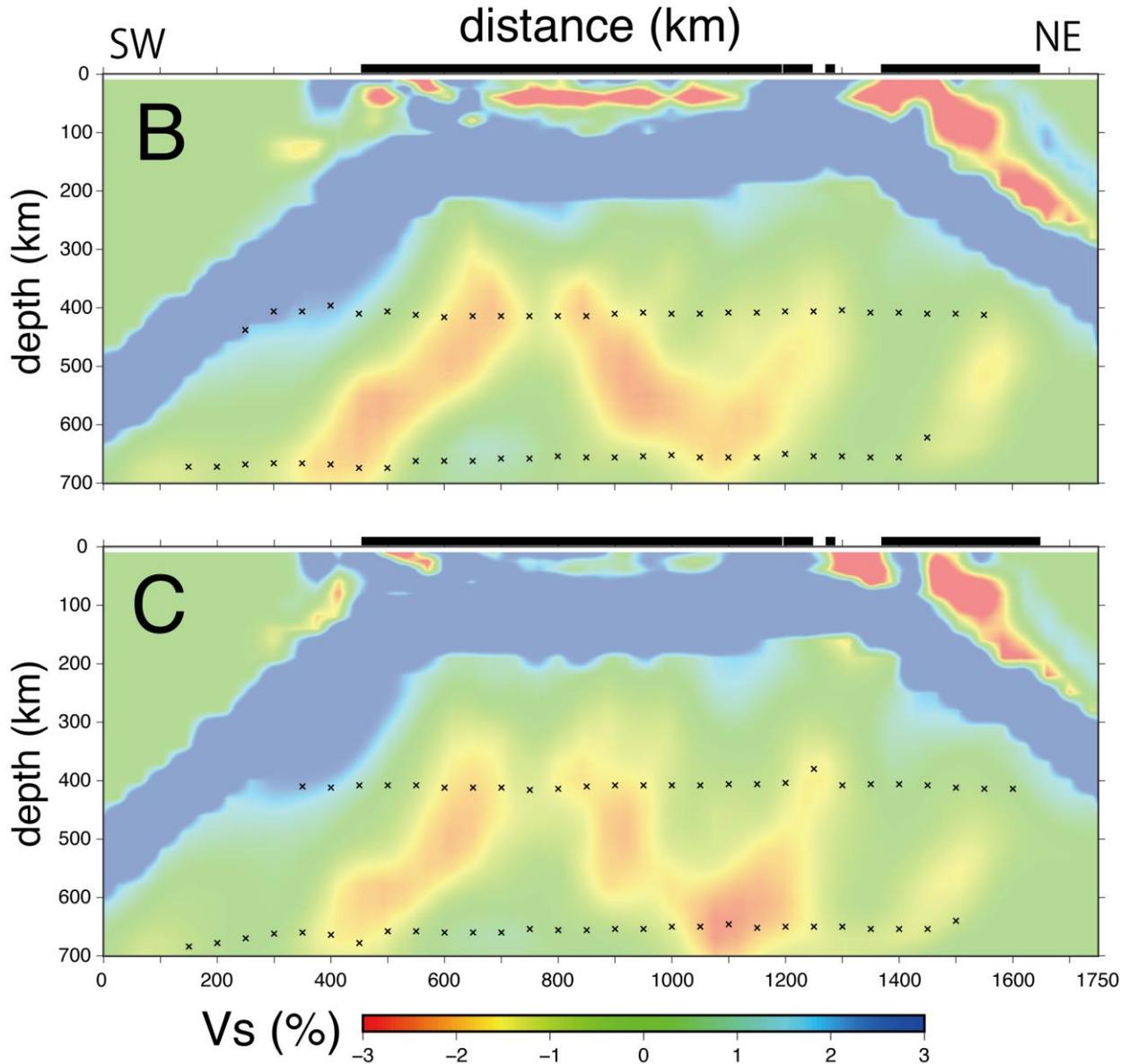
130 We also assessed the effect of different velocity models on the obtained RFs images,  
131 where we carried out the same analysis using two additional different velocity models, PREM  
132 (Dziewonski et al. 1981) and AK135 (Kennett et al. 1995). The obtained results yielded almost  
133 the same depth variations in the 410 and 660 for the three velocity models, suggesting that our  
134 results are robust regardless of assumed 1D velocity models. However, the absolute depths of the  
135 410 and 660 are changed by  $\sim 10$  km and  $\sim 20$  km, respectively for the different velocity models  
136 (Fig S2). Therefore, we focus on the relative depth variation of the 410 and 660 along the  
137 profiles to discuss the origin of the sub-slab low-velocity anomaly.

#### 138 **4 Discussion**

139 According to recent seismic tomography analysis, velocity perturbations of the sub-slab  
140 low-velocity anomaly are estimated to be  $-2\sim-1$  % for P waves (Obayashi et al. 2006; Wei et al.  
141 2012; Wei et al. 2015; Liu and Zhao 2016) and  $-3\sim-2$  % for S waves (Asamori and Zhao 2015;  
142 Wei et al., 2015; Liu and Zhao 2016a,b). Almost all the tomographic models show that the sub-  
143 slab low-velocity anomaly has the depth extent of 100-150 km and extends horizontally at least  
144 1000 km in the along-arc direction. The S-wave velocity model obtained by Asamori and Zhao  
145 (2016) clearly demonstrates the existence of an inverted V-shaped sub-slab low-velocity  
146 anomaly in the mantle transition zone (Fig 3).

147 If the sub-slab low-velocity anomaly reflects only the thermal effect, then the temperature  
148 is required to be elevated by 200–300 K using the temperature-velocity relations of  $d\ln V_p/dT =$   
149  $0.5-1\%/100\text{K}$  and  $d\ln V_s/dT = \sim 1\%/100\text{K}$  in the mantle transition zone (Anderson and Isaak,  
150 1995; Civiero et al., 2019). Such high temperature anomalies would result in the depression by  
151 25–35 km for the 410 and the uplift by 25–35 km for the 660, given Clapeyron slopes of +3  
152 MPa/K (Bina and Helffrich 1994; Akaogi et al., 2007) at 410 km and  $-2.6$  MPa/K (Akaogi et  
153 al., 2007) or  $-3.2$  MPa/K (Muir et al., 2021) at 660 km.

154 Fig 4 shows the relationship between the relative topography of the 410 and 660 and S-  
155 wave velocity perturbation by Asamori and Zhao (2015), which are sampled every 50 km in the  
156 horizontal distance along profiles B and C (crosses in Fig 2a and Fig 3). Although Obayashi et al.  
157 (2006) suggested a temperature increase by  $\sim 200$  K to explain the  $\sim 32.5$  km depression of the  
158 410, it is evident from Fig 4 that the 410 is almost flat and there are no correlations between the  
159 410 and 660 topography and S-wave velocity perturbations. Our results strongly suggests that the  
160 sub-slab low-velocity anomaly cannot be explained by the high temperature anomaly only. It is  
161 noted that the RF imaging in this study does not incorporate a 3D velocity model when we  
162 convert RFs from the time domain to the depth profile. However, if we consider the local effect  
163 of the sub-slab low-velocity anomaly to produce the depth profile of RFs, the 410 would become  
164 shallower than those derived with the 1D model. Such shallow 410 contradicts the effect of high-  
165 temperature anomaly, whereby we could conclude that the high temperature is not the major  
166 cause of the sub-slab low-velocity zone even if the 3D velocity model is involved in the analysis.



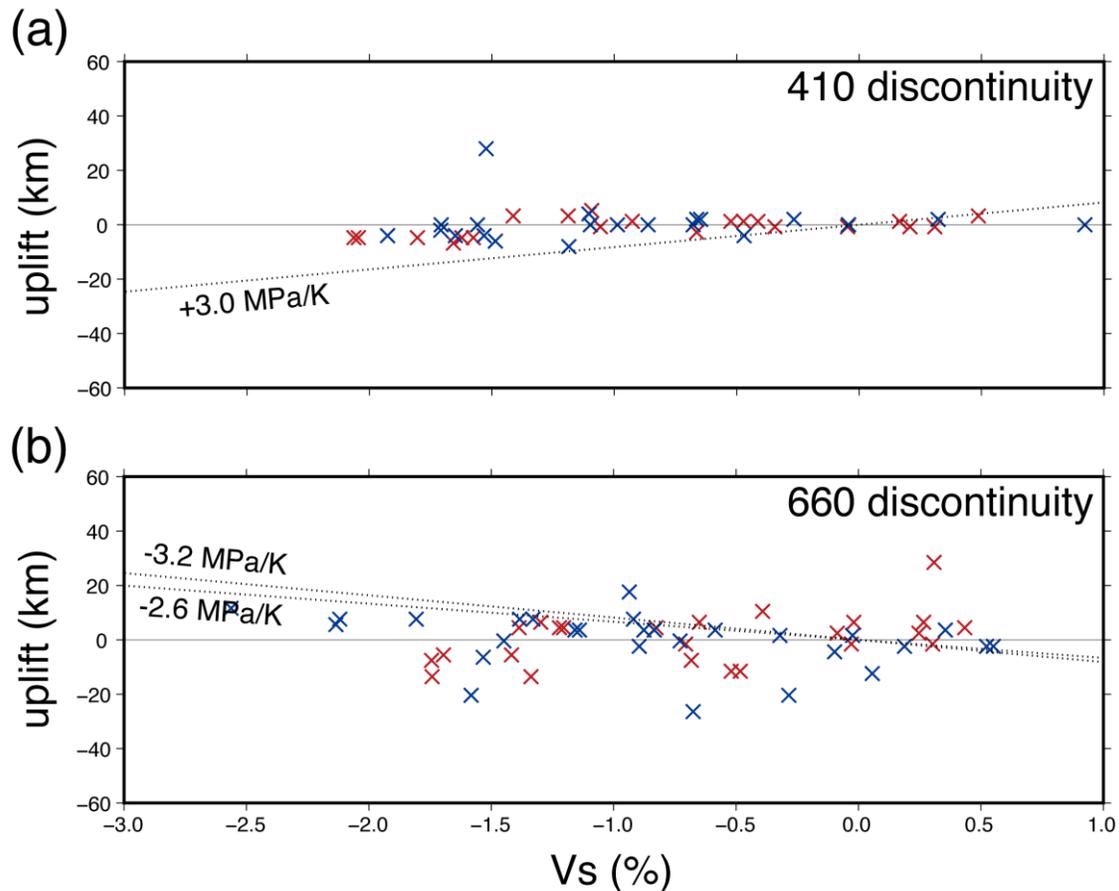
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168 **Figure 3.** Vertical cross section of S-wave tomography along profile B and C obtained by  
 169 Asamori and Zhao (2016). The red and blue colors denote low and high velocities, respectively.  
 170 The black bar and cross marks are same as Fig 2. The crosses the depths of the 410 and 660  
 171 derived from RF images.

172

173 Another plausible cause of the sub-slab low-velocity anomaly is some degree of water  
 174 contents. Mao et al. (2012) showed that water content of  $\sim 0.2$  wt% can explain S-wave low  
 175 velocity reduction of  $\sim 2$  % observed in the mantle transition zone (Asamori and Zhao 2015; Wei  
 176 et al., 2015; Liu and Zhao 2016). It is known that 1 wt% water content can shift the depth of  
 177 phase transition of olivine at the 410 shallower by  $\sim 10$  km (Smyth and Frost, 2002) and that of  
 178 ringwoodite at the 660 deeper by 6~8 km (Higo et al., 2001; Litasov et al., 2005; Muir et al.,  
 179 2021). Thus, the 0.2 w% water would elevate the 410 by  $\sim 2$  km and depress the 660 by  $\sim 1.5$  km,

180 given that the uplift of the 410 and depression of the 660 are proportional linearly to the water  
 181 content. The possible depth changes of 410 and 660 caused by the water content of  $\sim 0.2$  wt% are  
 182 below the minimum resolution of RF imaging, which is controlled by the vertical grid spacing (2  
 183 km) used in this study. Therefore, we consider that the water content as small as  $\sim 0.2$  wt%  
 184 explains the two independent seismological observations: the amplitude of the sub-slab low-  
 185 velocity anomaly and the little depth variation of the 410 and 660. The inferred water content of  
 186  $\sim 0.2$  wt% is comparable to the water capacity of the lower mantle rock ( $\sim 0.2$ – $0.4$  wt%)  
 187 (Murakami et al., 2002), thereby being consistent with the observations that the sub-slab low-  
 188 velocity anomaly originates from the lower mantle as suggested by tele-seismic tomography  
 189 studies (Zhao 2004; Obayashi et al., 2015; Zhao 2015).



190 **Figure 4.** Scatter plots of the uplift of the (a) 410 and (b) 660 relative to the average depth along  
 191 each of profiles B and C in Fig 1a versus S-wave velocity perturbation, which are taken from the  
 192 cross marks in Figs 2 and 3. Red and blue symbols represent results for profile B and C,  
 193 respectively. The dotted lines indicate the Clapeyron slope at the 410 (Bina and Helffrich 1994;  
 194 Akaogi et al., 2007) and at the 660 ( $-2.6$  MPa/K from Akaogi et al., 2007;  $-3.2$  MPa/K from  
 195 Muir et al., 2021). We assumed  $d\ln V_s/dT = 1\%/100$  K (Chiara et al., 2019) and density is  $3.73$   
 196  $g/cm^3$  at the 410 km and  $3.99$   $g/cm^3$  at the 660 km, respectively.

198 **5 Conclusion**

199 We determined the depth variation of the 410 and 660 discontinuities beneath  
200 northeastern Japan using RF imaging and revealed that the 410 and 660 are almost flat with no  
201 correlations with the sub-slab low-velocity anomaly. Therefore, it is unlikely that the sub-slab  
202 low-velocity anomaly results from high-temperature anomaly. We proposed that the sub-slab  
203 low-velocity anomaly corresponds to a wet plume originating from the lower mantle. The  
204 inferred water content of the plume is as small as ~0.2 wt%, which can explain both the flat 410  
205 and 660 and ~2% S-wave velocity reduction in the sub-slab low-velocity anomaly.

206 The sub-slab wet plume model proposed in this study is different from conventional  
207 interpretations that the sub-slab low-velocity anomaly represents hot mantle materials (Morishige  
208 et al., 2010; Hawley et al., 2016; Portner et al., 2017; Bodner et al., 2018; Zhao and Hua 2021;  
209 Dai et al. 2023). Our results provide a new insight into the sub-slab mantle dynamics toward a  
210 better understanding mass circulation in the whole mantle.

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220 Program (Earthquake and Volcano Hazard Reduction Research).

221

222 **Open Research**

223 The Hi-net seismic waveforms (National Research Institute for Earth Science and Disaster  
224 Resilience, 2019) used in this study are publicly available at  
225 (<https://hinetwww11.bosai.go.jp/auth/?LANG=>).

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