

1                   **Quantifying magma overpressure beneath a submarine caldera:**

2           **A mechanical modeling approach to tsunamigenic trapdoor faulting near Kita-Ioto**

3                                   **Island, Japan**

4  
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12   **Key Points (<140 characters):**

- 13       • At a submarine caldera near Kita-Ioto Island, non-double-couple earthquakes with  
14       seismic magnitudes of  $M\sim 5$  recurred quasi-regularly.
- 15       • A mechanical model of trapdoor faulting based on a tsunami data of the 2008 event infers  
16       pre-seismic overpressure in a magma reservoir.
- 17       • The trapdoor faulting, driven by magma overpressure of  $\sim 10$  MPa, partly relieved the  
18       pressure, affecting the caldera's magmatic system.

**Abstract**

Submarine volcano monitoring is vital for assessing volcanic hazards but challenging in remote and inaccessible environments. In the vicinity of Kita-Ioto Island, south of Japan, unusual  $M \sim 5$  non-double-couple volcanic earthquakes exhibited quasi-regular repetition near a submarine caldera. Following the 2008 earthquake, a distant ocean bottom pressure sensor recorded a distinct tsunami signal. In this study, we aim to find a source model of the tsunami-generating earthquake and quantify the pre-seismic magma overpressure within the caldera's magma reservoir. Based on the earthquake's atypical focal mechanism and efficient tsunami generation, we hypothesize that submarine trapdoor faulting occurred due to highly pressurized magma. To investigate this hypothesis, we establish a mechanical earthquake model that links pre-seismic magma overpressure to the size of the resulting trapdoor faulting, by considering stress interaction between a ring-fault system and a reservoir of the caldera. The model reproduces the observed tsunami waveform data. Our estimates indicate trapdoor faulting with large fault slip occurred in the critically stressed submarine caldera accommodating pre-seismic magma overpressure of  $\sim 10$  MPa. The model infers that the earthquake partially reduced magma overpressure by 10–20%, indicating that the magmatic system maintained high stress levels even after the earthquake. Due to limited data, uncertainties persist, and alternative source geometries of trapdoor faulting could lead to estimate variations. These results suggest that magmatic systems beneath calderas are influenced much by intra-caldera fault systems. Monitoring and investigation of volcanic tsunamis and earthquakes help to obtain quantitative insights into submarine volcanism hidden under the ocean.

41

**Plain Language Summary**

Monitoring submarine volcanoes is essential to understand and prepare for potential volcanic hazards in/around oceans, but it's challenging because these volcanoes are located in inaccessible environments. In a submarine volcano with a caldera structure in south of Japan, unusual volcanic earthquakes took place every several years. After one of these earthquakes in 2008, a pressure sensor deployed on the sea bottom recorded a clear signal of a tsunami. By utilizing the tsunami signal from the earthquake, we attempt to measure how much magma pressure was building up beneath the volcano before the earthquake. Assuming that the earthquake happened

50 with sudden rupture on an intra-caldera fault system due to highly pressurized magma beneath  
51 the volcano, we develop a method to assess the built-up magma pressure through quantification  
52 of the earthquake and tsunami sizes. By applying the method, we estimate that the volcanic  
53 edifice was under a highly stressed condition before the earthquake, suggesting active magma  
54 accumulation process that has continued beneath the volcano. Signals emitted from volcanic  
55 earthquakes under oceans shed on light to the activity of poorly monitored submarine volcanoes.

56

## 57 **1 Introduction**

58 Investigation of the magma pressure beneath volcanoes is important for forecasting  
59 eruptions and assessing their eruption potential. The overpressure of magma, or the excess  
60 magma pressure relative to the stress in the surrounding host rock, induces diverse volcanic  
61 unrest, such as deformation, seismicity, or gas emission, potentially triggering a volcanic  
62 eruption when the pressure exceeds the strength of the host rock (Sparks, 2003). Previous studies  
63 have tried to detect signals of volcanic unrest to examine the magma pressure and/or the stress  
64 state of the host rock (Anderson et al., 2019; Gregg et al., 2018; Le Mével et al., 2016; Massa et  
65 al., 2016; Segall & Anderson, 2021). Recently, mechanics-based numerical models have been  
66 developed to establish links between magma overpressure to surface deformation observed by  
67 on-site instruments and/or satellites. These models helped to quantify the sub-surface  
68 pressure/stress state, tracking its changes over time leading up to eruptions (Cabaniss et al.,  
69 2020; Gregg et al., 2022; Segall & Anderson, 2021). Consequently, these previous studies  
70 provided quantitative insights into the eruption triggering due to the magma overpressure. Thus,  
71 magma pressure or elastic stress status in volcanoes can be vital proxies for assessing the  
72 potentials and the timings of eruptions.

73 Submarine volcanoes have the potential to cause disastrous eruptions sometimes with  
74 volcanic tsunamis, as highlighted by recent events like Hunga Tonga-Hunga Ha'apai, Tonga  
75 (Kubota et al., 2022; Lynett et al., 2022; Purkis et al., 2023), or Anak Krakatau, Indonesia (Grilli  
76 et al., 2019; Heidarzadeh et al., 2020; Ye et al., 2020). However, it is often challenging to  
77 investigate submarine volcanoes due to the lack of on-site monitoring systems in most cases.  
78 Many previous studies remotely detected geophysical signals from submarine volcanoes, such  
79 as, seawater acoustic waves (Metz et al., 2016; Tepp & Dziak, 2021), seismic waves (Cesca et

80 al., 2020; Saurel et al., 2021; Sugioka et al., 2001), or tsunami waves (Fukao et al., 2018;  
81 Sandanbata et al., 2018; Y. Wang et al., 2019), shedding light on volcanic processes in  
82 submarine volcanoes. However, only a limited number of studies have utilized these remote  
83 signals to examine the magma pressure or the stress state of submarine volcanoes.

84 In this paper, we aim to investigate the magma overpressure and the stress status in a  
85 submarine caldera near Kita-Ioto Island, south of Japan, by studying a volcanic earthquake  
86 driven by the sub-caldera magma accumulation. We first report volcanic earthquakes with  
87 seismic magnitudes of  $M_w \sim 5$  that recurred near the caldera, and show that one of the earthquakes  
88 in 2008 caused a tsunami that traveled in the ocean over a distance of  $\sim 1,000$  km. We then  
89 develop a mechanical model of the earthquake to quantitatively link the sub-caldera magma  
90 overpressure to the earthquake size and thereby the tsunami size. By combining the tsunami  
91 waveform data with the mechanical model, we estimate the magma overpressure that drove the  
92 volcanic earthquake, as well as explain the tsunami generation.

## 93 **2 Tsunami signal from a volcanic earthquake at Kita-Ioto submarine caldera**

94 Kita-Ioto Island is an inhabited island in the Izu-Bonin Arc, to the northwest of which a  
95 submarine caldera with a size of 12 km x 8 km is located, hereafter called *Kita-Ioto caldera*  
96 (Figures 1a–1c). Although no historical eruption on the island has been reported, past submarine  
97 eruptions were found at a submarine vent called *Funka Asane* on a major cone within the caldera  
98 structure (Figure 1c). The latest eruptions of Funka Asane were reported between 1930 to 1945  
99 (Japan Meteorological Agency, 2013), and its volcanic activity has been recently inferred from  
100 sea-color changes and underwater gas emission near the vent (JMA, 2013, Ohsaka et al., 1994).  
101 Yet, the volcanic activity of the submarine caldera has not been understood well.

102 Active volcanism of Kita-Ioto caldera shows unique seismic activity characterized by  
103 shallow earthquakes near the caldera repeating every 2–5 years, in 2008, 2010, 2015, 2017, and  
104 2019, in addition to that in 1992 (Figure 1c; Table S1). As the focal mechanism of the  
105 earthquake in 2008 represents in Figure 1c, these six earthquakes reported in the Global Centroid  
106 Moment Tensor (GCMT) catalog (Ekström et al., 2012) similarly had seismic magnitudes of  $M_w$   
107 5.2–5.3 and moment tensors with large compensated-linear-vector-dipole (CLVD) components  
108 in a nearly vertical tension axis (Figure S1). Such earthquakes at a shallow depth in volcanic or  
109 geothermal environments are often called vertical-CLVD earthquakes (e.g., Shuler, Nettles, &

110 Ekström, 2013; Sandanbata, Kanamori, et al., 2021). No eruptions were reported around the  
111 times of these earthquakes, but these earthquakes with moderate-sized seismic magnitudes  
112 indicate volcanic activity of the submarine caldera. This type of earthquakes has been explained  
113 in past studies by different source mechanisms, such as fault slips in calderas, deformation of a  
114 magma reservoir, or volume change due to heated fluid injection (Shuler, Ekström, & Nettles,  
115 2013), but they are indistinguishable only from the seismic characters, mainly due to a tradeoff  
116 between the vertical-CLVD and isotropic components of moment tensors (Kawakatsu, 1996).

117       Following the earthquake that occurred at 13:10 on 12 June 2008 (UTC), a tsunami-like  
118 signal was recorded by an ocean-bottom-pressure (OBP) gauge with a sampling interval of 15 s  
119 of the station 52404, ~1,000 km away from the caldera, of Deep-ocean Assessment and  
120 Reporting of Tsunamis (DART) system (Bernard & Meinig, 2011) (Figures 1a). Figure 1d shows  
121 the OBP data, which we obtain by removing the tidal trend from and by applying the bandpass  
122 (2–10 mHz) Butterworth filter to the raw record. The OBP data demonstrates that clear  
123 oscillations with the maximum pressure of ~2 mm H<sub>2</sub>O started ~5,000 s after the earthquake  
124 origin time. Our calculation using the Geoware TTT (Tsunami Travel Time) software estimates  
125 that the tsunami would have arrived ~5,050 s after the origin time (Figure S2), if a tsunami was  
126 generated in the center of Kita-Ioto caldera at the earthquake timing. The estimated tsunami  
127 arrival time agrees well with the timing when the oscillation starts in the OBP record (Figure 1d).  
128 Our spectrogram analysis for the OBP waveform record (Figure S3) shows that lower-frequency  
129 oscillations, starting around the estimated tsunami arrival time, are followed by higher-frequency  
130 signals. This frequency-dependent character with later arrivals of higher-frequency components  
131 is typical for tsunami waves with the dispersion that traveled over long distances (Saito et al.,  
132 2010; Sandanbata et al., 2018). Hence, it is very likely that the OBP gauge captured a tsunami  
133 signal from the 2008 earthquake at Kita-Ioto caldera.

### 134 **3 Hypothetical source mechanism**

135       Given the tsunami generation by the vertical-CLVD earthquake at Kita-Ioto caldera,  
136 hereafter we call *Kita-Ioto caldera earthquake*, the most plausible mechanism is *trapdoor*  
137 *faulting*, or sudden slip of an intra-caldera ring fault interacting with a sill-like magma reservoir  
138 accommodating highly pressurized magma. The trapdoor faulting was first reported in a  
139 subaerial caldera of Sierra Negra volcano in the Galapagos Islands, where the phenomenon

140 occurred several times with vertical-CLVD earthquakes of  $M_w \sim 5$  several times and caused the  
141 caldera uplift of a few meters by each event (Amelung et al., 2000; Gregg et al., 2018; Jónsson,  
142 2009; Shreve & Delgado, 2023; Zheng et al., 2022). Recently, Sandanbata et al. (2022; 2023)  
143 revealed that trapdoor faulting repeated with  $M_w$  5.4–5.8 vertical-CLVD earthquakes and  
144 generated large tsunamis at two submarine calderas: Sumisu caldera in the Izu-Bonin Arc  
145 (Sandanbata et al., 2022), and a submerged caldera near Curtis Island, or Curtis caldera, in the  
146 Kermadec Arc (Sandanbata et al., 2023). Those submarine earthquakes are similar to the 2008  
147 Kita-Ioto caldera earthquake in terms of seismic and tsunami characters, and source  
148 environments in calderas, leading to our hypothesis of submarine trapdoor faulting for the Kita-  
149 Ioto caldera earthquake.

## 150 **4 Methodology**

151 In this section, we describe the methodology to construct a 3-D mechanical model of  
152 trapdoor faulting and to apply it to the tsunami data of the 2008 Kita-Ioto caldera earthquake.  
153 Through the application, we attempt to reproduce the tsunami data and estimate the sub-caldera  
154 magma overpressure that drove the tsunamigenic earthquake.

### 155 4.1 Mechanical model of trapdoor faulting

156 We consider the 3-D half-space elastic medium with an intra-caldera ring fault and a  
157 horizontal crack filled with magma (Figure 2). The ring fault and the horizontal crack are  
158 discretized into small triangular meshes, or sub-faults and sub-crack (with  $N_F$  and  $N_C$  meshes),  
159 respectively. The crack is assumed to have a finite inner volume and filled with compressible  
160 magma.

161 We assume that trapdoor faulting is driven by magma overpressure in the crack, as  
162 follows; before trapdoor faulting, continuous magma input into the crack gradually increases the  
163 inner pressure and volume, and causes elastic stress in the host rock, accumulating shear stress  
164 on the ring fault; when the shear stress on the fault overcomes its strength, trapdoor faulting  
165 takes place. In the following, we model trapdoor faulting as a dislocation model that combines  
166 sudden and interactive processes of dip-slip on the fault with stress drop, deformation (vertical  
167 opening/closure) of the crack with volume change, and pressure change of the magma in the  
168 crack. Note that, some previous studies used the terminology of trapdoor faulting to refer to only

169 the fault part (e.g., Amelung et al., 2000), while we consider it as the composite process  
 170 involving both the fault and the magma-filled crack.

### 171 Pre-seismic elastic stress in the host rock

172 As a reference state, we consider that the magma pressure  $p_0$  in the crack is in  
 173 equilibrium with the background stress  $\sigma_{ij}^0$  in the host rock due to the lithostatic and seawater  
 174 loading, and that the background differential stress is zero. If we take the stress in the host rock  
 175 as positive when it is compression, the background stress at an arbitrary position in the reference  
 176 state is expressed as:

$$177 \quad \sigma_{ij}^0 = p_0 \frac{z}{z_0} \delta_{ij}, \quad (1)$$

178 where  $z$  and  $z_0$  are the depth in the host rock and the crack depth, respectively, and  $\delta_{ij}$  is the  
 179 Kronecker's delta.

180 We assume that long-term magma input into the crack increases the magma overpressure  
 181 and opens the crack vertically, and that the resultant crack deformation changes the stress in the  
 182 host rock. Thus, the shear stress is accumulated on the fault, which eventually causes trapdoor  
 183 faulting. Magma pressure in the pre-seismic state, just before trapdoor faulting, is assumed to be  
 184 spatially uniform within the crack and expressed as  $p = p_0 + p_e$ , where  $p_e$  is the pre-seismic  
 185 magma overpressure. If we denote the spatial distribution of the crack opening in the pre-seismic  
 186 state as  $\underline{\delta}_e$ , the equilibrium relationship between the normal stress on the surfaces of sub-cracks  
 187 and the inner magma pressure reduces to:

$$188 \quad \underline{\sigma}_e = P \underline{\delta}_e = p_e \underline{I}_C, \quad (2)$$

189 where  $\underline{\sigma}_e$  is the  $N_C \times I$  column vector of the pre-seismic normal stress on sub-cracks,  $P$  is the  
 190 interaction matrix, with a size of  $N_C \times N_C$ , that map the tensile opening of sub-cracks into the  
 191 normal stress on sub-cracks, and  $\underline{I}_C$  is the  $N_C \times I$  column vector of ones. The distribution of the  
 192 crack opening in the pre-seismic state  $\underline{\delta}_e$  can be obtained from the second equality of Equation 2.  
 193 Then, the pre-seismic shear stress along the dip direction on the surfaces of sub-faults (denoted  
 194 as  $\underline{\tau}_e$ ) created by the magma overpressure  $p_e$  can be expressed as:

$$195 \quad \underline{\tau}_e = Q \underline{\delta}_e, \quad (3)$$

196 where  $Q$  is the interaction matrix, with a size of  $N_F \times N_C$ , that maps the tensile opening of sub-  
 197 cracks into the shear stress on sub-faults. With Equation 2, Equation 3 can be rewritten as:

$$198 \quad \underline{\tau}_e = p_e (QP^{-1}I_C). \text{ --- (4)}$$

199 The part in the bracket,  $QP^{-1}I_C$ , represents the shear stress on the surfaces of sub-faults due to  
 200 unit magma overpressure. If we denote it as  $\hat{\underline{\tau}}_e$ , Equation 4 can be rewritten as:

$$201 \quad \underline{\tau}_e = p_e \hat{\underline{\tau}}_e. \text{ --- (5)}$$

## 202 Occurrence of trapdoor faulting

203 Trapdoor faulting is caused by sudden stress drop of the shear stress accumulated on the  
 204 fault. The motion involves dip-slip of the fault, and deformation (opening/closure) of the crack.  
 205 To determine the motion of trapdoor faulting, we here derive two boundary conditions on the  
 206 surfaces of the ring fault and the horizontal crack.

207 Assuming that the shear stress along the dip direction on the fault decreases by a stress  
 208 drop ratio  $\alpha$  due to trapdoor faulting, the boundary condition on the surface of the fault can be  
 209 expressed as:

$$210 \quad \underline{\Delta\tau} = Q\underline{\delta} + R\underline{s} = -\alpha\underline{\tau}_e, \text{ --- (6)}$$

211 where  $\underline{\Delta\tau}$  is the  $N_F \times I$  column vector of the shear stress change on sub-faults during trapdoor  
 212 faulting.  $Q$  and  $R$ , with sizes of  $N_F \times N_C$  and  $N_F \times N_F$ , map dip-slip of sub-faults into the normal  
 213 stress on sub-crack and the shear stress on sub-faults, respectively ( $Q$  is the same as that in  
 214 Equation 3).

215 Sudden stress change in the host rock due to dip-slip of the fault interactively  
 216 accompanies deformation (opening/closure) of the crack, and the resultant normal stress change  
 217 on the crack induces horizontal movement of the inner magma. For simplicity, we assume that  
 218 the magma movement finishes and the magma pressure becomes spatially uniform in the crack  
 219 quickly. Under this simplification, the boundary condition on the surface of the horizontal crack  
 220 is derived from the equilibrium relationship between the normal stress on sub-cracks and the  
 221 inner magma pressure, as follows:

$$222 \quad \underline{\Delta\sigma} = P\underline{\delta} + U\underline{s} = (\Delta p)I_C, \text{ --- (7)}$$

223 where  $\underline{\Delta\sigma}$  and  $\Delta p$  are the  $N_C \times I$  column vector of the normal stress change on sub-cracks and the  
 224 scalar of the magma pressure change during trapdoor faulting, respectively.  $P$  and  $U$  are the  
 225 interaction matrices, with sizes of  $N_C \times N_C$  and  $N_C \times N_F$ , that map the tensile opening of sub-cracks  
 226 into the normal stress on sub-cracks and into the shear stress on sub-faults, respectively ( $P$  is the  
 227 same as that in Equation 2).

228 The magma pressure change  $\Delta p$  during trapdoor faulting can be related to the crack  
 229 volume change  $\Delta V$  through the mass conservation law, as follows:

$$230 \quad \Delta m / \rho_m = V_0 \beta_m \Delta p + \Delta V, \quad (8)$$

231 where  $\Delta m$  is the magma influx and  $\beta_m$  is the compressibility of magma. Since previously  
 232 observed trapdoor faulting occurred within less than  $\sim 10$  s (Geist et al., 2008; Sandanbata et al.,  
 233 2022, 2023), we can disregard magma mass influx during trapdoor faulting to reduce Equation 8  
 234 to:

$$235 \quad \Delta p = -\frac{1}{\beta_m V_0} \Delta V = -\frac{1}{\beta_m V_0} \underline{A}^T \underline{\delta} = -\frac{1}{\beta_m V_0} \sum_{k=1}^{N_C} A_k \delta_k, \quad (9)$$

236 where  $\underline{A}$  is the  $N_C \times I$  column vector of the areas of sub-cracks.

237 By substituting Equations 5 and 9 into Equations 6 and 7, respectively, we obtain the  
 238 following equations:

$$239 \quad \begin{bmatrix} P & U \\ Q & R \end{bmatrix} \begin{bmatrix} \underline{\delta} \\ \underline{s} \end{bmatrix} = \begin{bmatrix} \left( -\frac{1}{\beta_m V_0} \underline{A}^T \underline{\delta} \right) \underline{I}_C \\ -\alpha p_e \underline{\hat{t}}_e \end{bmatrix}. \quad (10)$$

240 Equation 10 can be rewritten by:

$$241 \quad \begin{bmatrix} P' & U \\ Q & R \end{bmatrix} \begin{bmatrix} \underline{\delta} \\ \underline{s} \end{bmatrix} = p_e \begin{bmatrix} \underline{0} \\ -\alpha \underline{\hat{t}}_e \end{bmatrix}, \quad (11)$$

242 where

$$243 \quad P' = P + \frac{1}{\beta_m V_0} \underline{A}^T \quad (\text{or } P'_{ij} = P_{ij} + \frac{1}{\beta_m V_0} A_j). \quad (12)$$

244 Equations 11 and 12 represent  $N_C + N_F$  equations with  $N_C + N_F$  unknown values ( $\underline{\delta}$ ,  $\underline{s}$ ), if  
 245 we priorly assume the pre-seismic magma overpressure  $p_e$ , the stress drop ratio  $\alpha$ , the source  
 246 geometry determining the interaction matrices, and the parameters  $\beta_m$  and  $V_0$ . In this study, the

247 source geometry and the parameters are assumed as described in Section 4.2. Also, the stress  
 248 drop ratio is simply assumed as  $\alpha = 1$ ; in other words, the pre-seismic shear stress on the fault  
 249 completely vanishes to zero due to trapdoor faulting. In this case, Equation 11 is reduced to:

$$250 \quad \begin{bmatrix} P' & U \\ Q & R \end{bmatrix} \begin{bmatrix} \underline{\delta} \\ \underline{s} \end{bmatrix} = p_e \begin{bmatrix} 0 \\ -\underline{\hat{t}}_e \end{bmatrix}, \quad (13)$$

251 By solving Equation 13 with Equation 12 for  $(\underline{\delta}, \underline{s})$ , we can determine the motion of trapdoor  
 252 faulting generated by pre-seismic magma overpressure  $p_e$ . Also, we can estimate the co-seismic  
 253 changes of magma pressure and crack volume due to trapdoor faulting by substituting  $\underline{\delta}$  into  
 254 Equation 9, and the stress drop by substituting  $\underline{s}$  into Equation 6.

## 255 4.2 Model setting

256 The source geometry employed for main results is shown in Figure 2. A partial ring fault  
 257 is along an ellipse with a size of 3.6 km  $\times$  2.6 km on seafloor; the center is at (141.228°E,  
 258 25.4575°N), and its major axis is oriented N60°E. The fault is on the NW side of Kita-Ioto  
 259 caldera with an arc length of 90° and dips inwardly with a dip angle of 83°; this fault setting on  
 260 the NW side is based on our moment tensor analysis that suggests a ring fault orientated in the  
 261 NE–SW direction (see Text S1, for details). The fault traces several small cones found on the  
 262 NW side of the caldera floor (Figure 1c), given that cone structures are often formed over a sub-  
 263 caldera ring fault (Cole et al., 2005). The fault’s down-dip end connects to a horizontal crack at a  
 264 depth of 2 km. The crack is elliptical in shape, 15% larger than the size of an ellipse traced along  
 265 the fault’s down-dip end. After discretizing the source geometry into sub-faults and sub-cracks,  
 266 the four interaction matrices ( $P$ ,  $Q$ ,  $R$ , and  $U$ ) between sub-faults and sub-cracks are computed by  
 267 the triangular dislocation (TD) method (Nikkhoo & Walter, 2015), when we assume the  
 268 Poisson’s ratio of 0.25 and the Lamé’s constants  $\lambda$  and  $\mu$  of 5 GPa.

269 The product  $V_0\beta_m$  controls how the magma-filled crack responds to stress perturbation by  
 270 faulting, as explained by Zheng et al. (2022). For main results, we assume the crack volume  $V_0$   
 271 and the magma compressibility  $\beta_m$  as  $1.5 \times 10^{10} \text{ m}^3$  (corresponding to a crack thickness of  $\sim 500$   
 272 m) and  $1.0 \times 10^{-10} \text{ Pa}^{-1}$  (from a typical value for degassed basaltic magma [e.g., Kilbride et al.,  
 273 2016]), respectively, thereby,  $V_0\beta_m = 1.5 \text{ m}^3/\text{Pa}$ . This product value is similar to Zheng et al.’s  
 274 (2022) estimates for a magma reservoir of Sierra Negra caldera.

## 275 4.3 Constraint from the tsunami data of the 2008 Kita-Ioto caldera earthquake

276 We apply the mechanical model of trapdoor faulting to the tsunami data of the 2008 Kita-  
 277 Ioto caldera earthquake. Utilizing the linear relationship between  $(\underline{\delta}, \underline{s})$  and  $p_e$  through Equation  
 278 13, we estimate the pre-seismic magma overpressure  $p_e$  causing the earthquake by constraining  
 279 the magnitude of trapdoor faulting from the tsunami data.

280 For estimation of  $p_e$ , we prepare a model of trapdoor faulting due to unit pre-seismic  
 281 magma overpressure  $p_e = 1$  Pa, which we call unit-overpressure model, and then simulate a  
 282 tsunami OBP waveform at the station 52404 from the model (see the methodology in Section  
 283 4.4). We denote the synthetic waveform as  $\underline{\hat{m}}$  and consider it as the tsunami OBP amplitude due  
 284 to unit overpressure, whose unit is [mm H<sub>2</sub>O/Pa]. Because of the linearity of the tsunami  
 285 propagation problem we employ, the amplitude of tsunami waveform is linearly related to the  
 286 magnitude of trapdoor faulting, and thereby to the pre-seismic magma overpressure  $p_e$  through  
 287 Equation 13. Therefore, the synthetic tsunami waveform from trapdoor faulting due to an  
 288 arbitrary  $p_e$  can be expressed as  $\underline{m} = p_e \underline{\hat{m}}$ . Supposing that the tsunami signal from the 2008  
 289 earthquake recorded in the OBP data (denoted by  $\underline{d}$ ) is reproduced well by  $\underline{m}$ , we can estimate  
 290 the pre-seismic magma overpressure  $p_e$  from:

$$291 \quad p_e = \frac{\rho_d}{\hat{\rho}}, \quad (14)$$

292 where  $\rho_d$  and  $\hat{\rho}$  are the root-mean-square (RMS) amplitudes of  $\underline{d}$  and  $\underline{\hat{m}}$  (in units of [mm H<sub>2</sub>O]  
 293 and [mm H<sub>2</sub>O/Pa]), respectively. The time window for calculating the RMS amplitudes is set as  
 294 it includes major oscillations in earlier parts of the observed waveform (Figure 1d).

## 295 4.4 Tsunami waveform simulation

296 A tsunami waveform from the unit-overpressure model  $\underline{\hat{m}}$  is synthesized as follows.  
 297 Assuming  $(\underline{\delta}, \underline{s})$  of the unit-overpressure model, we compute the vertical seafloor displacement  
 298 by the TD method, and convert it to vertical sea-surface displacement by applying the Kajiura  
 299 filter (Kajiura, 1963). We then simulate the tsunami propagation from the sea-surface  
 300 displacement over Kita-Ioto caldera, by solving the linear Boussinesq-type equations in the  
 301 finite-difference scheme of the JAGURS code (Baba et al., 2015). The outputted 2-D maps of  
 302 sea-surface wave heights, every 5 s, are converted into maps of OBP perturbation by

303 incorporating reduction of tsunami pressure perturbation with increasing water depth (e.g.,  
304 Chikasada, 2019). The synthetic waveform of OBP perturbation at the station 52404 is obtained  
305 from the OBP maps. We use a phase correction method for short-period tsunamis (Sandarbata,  
306 Watada, et al., 2021) to improve the synthetic waveform accuracy by incorporating a theoretical  
307 tsunami dispersion, including effects of the elastic Earth and the seawater compressibility during  
308 long-distance propagation.

## 309 **5 Results**

### 310 5.1 Source model of the 2008 Kita-Ioto caldera earthquake

311 Under the model setting explained in Section 4.2, we obtain a trapdoor faulting model for  
312 the 2008 Kita-Ioto caldera earthquake that explains the OBP tsunami data (Figure 3). The pre-  
313 seismic magma overpressure  $p_e$  constrained from the OBP tsunami data is 11.8 MPa. Figures 3b  
314 and 3c show the spatial distributions of the ring-fault slip  $\underline{s}$  and the crack opening/closing  $\underline{\delta}$   
315 during trapdoor faulting. Large reverse slip at maximum of 8.9 m is on the ring fault, near which  
316 the inner crack opens by 5.5 m at maximum and the outer closes by 2.7 m. In the SE area, the  
317 crack closes broadly with a maximum value of 0.86 m. In total, the crack volume increases by  
318  $\Delta V = 0.0030 \text{ km}^3$ . The co-seismic magma pressure change  $\Delta p$  is  $-1.97 \text{ MPa}$  during trapdoor  
319 faulting, meaning that the magma overpressure drops by 16.7 % relative to the pre-seismic state  
320 and makes additional storage for magma. The response of the magmatic system to faulting may  
321 have postponed eruption timing; on the other hand, post-seismic magma overpressure is  
322 estimated to remain at a high level ( $\sim 9.8 \text{ MPa}$ ) even after trapdoor faulting.

323 The obtained trapdoor faulting model is predicted to cause large asymmetric caldera-floor  
324 uplift, thereby generating a tsunami efficiently. The large seafloor displacement is concentrated  
325 near the fault, with the maximum uplift of as large as 5.6 m and outer subsidence of 2.8 m  
326 (Figure 3d). The sea surface displacement is smoothed by the low-pass effect of seawater,  
327 resulting in seawater uplift of 3.6 m within the caldera rim with the exterior subsidence of 1.1 m  
328 (Figure 3e). Figure 3f compares the synthetic tsunami waveform from the model with the OBP  
329 tsunami signal recorded at the station 52404, which demonstrates good waveform agreement,  
330 including later phases that are not used for the amplitude fitting. In addition, the spectrogram  
331 analysis confirms quite similar tsunami travel times and dispersive properties of the two

332 waveforms (Figures 3g and 3h). These results support the reasonability of our mechanical model  
 333 for the 2008 Kita-Ioto caldera earthquake.

### 334 5.2 Pre-seismic state just before trapdoor faulting

335 From the mechanical model, we consider how trapdoor faulting is caused by the inflated  
 336 crack. In the pre-seismic state just before trapdoor faulting, the crack has inflated with vertical  
 337 opening  $\underline{\delta}_e$  of 12.1 m at maximum due to the pre-seismic magma overpressure  $p_e$  (Figure 4a).  
 338 The inner volume has been increased by 0.21 km<sup>3</sup> relative to that in the reference state. This pre-  
 339 seismic crack opening generates the shear stress on the fault  $\underline{\tau}_e$ , which takes its maximum value  
 340 of 11.6 MPa (Figures 4b); this value corresponds to the stress drop during trapdoor faulting,  
 341 because we assume that the stress totally vanishes co-seismically.

342 In a simple earthquake paradigm of the stick-slip motion, which assumes that slip occurs  
 343 when the shear stress overcomes the static frictional stress (e.g., pp. 14 of Udias et al., 2014), the  
 344 fault requires friction to remain stationarity until just before faulting occurrence. The total  
 345 normal stress on the fault  $\underline{\sigma}_0^F$  is the sum of the effects of the crack opening  $\underline{\sigma}_e^F$  (Figure 4c) and  
 346 the lithostatic and seawater loading  $\underline{\sigma}_{lit}^F + \underline{\sigma}_{sea}^F$ , as shown in Figure 4d (see the caption). By  
 347 taking a ratio of the area-averaged values of  $\underline{\tau}_e$  and  $\underline{\sigma}_e^F$ , the static friction coefficient on the ring  
 348 fault can be estimated as 0.31. The frictional fault system may enable the caldera system to  
 349 accommodate the high magma overpressure without fault slip until trapdoor faulting. Note that,  
 350 however, sophisticated modeling approaches including realistic fault friction law will be needed  
 351 for investigation of the dynamic initiation process.

### 352 5.3 Deformation and elastic stress change in the host rock

353 Our model demonstrates how trapdoor faulting deforms the host rock and changes its  
 354 elastic stress. With the model outputs, we compute the displacement, stress and strain fields in  
 355 the host rock along an SE-NW profile across the caldera (see a dashed line in Figure 3c) by the  
 356 TD method; the pre-seismic state is from  $\underline{\delta}_e$ , the co-seismic change is from  $(\underline{\delta}, \underline{s})$ , and the post-  
 357 seismic state is the sum of the pre-seismic state and the co-seismic change. We also calculate the  
 358 shear-strain energy from the stress and strain fields (e.g., Saito et al., 2018). When we denote the  
 359 stress tensors in the host rock as:

360 
$$\tau_{ij} = \tau'_{ij} + \frac{1}{3}\tau_{kk}\delta_{ij}, \text{ --- (15)}$$

361 where  $\tau'_{ij}$  is the deviatoric components,  $\delta_{ij}$  is the Kronecker's delta, the shear-strain energy  
362 density  $W$  in the elastic medium can be expressed as:

363 
$$W = \frac{1}{4\mu}\tau'_{ij}\tau'_{ij}. \text{ --- (16)}$$

364 Note that the shear-strain energy density is zero in the reference state ( $p = p_0$ ), where the  
365 deviatoric stress is assumed to be zero. Using Equation 16, the shear-strain energy density in the  
366 pre- and post-seismic states,  $W^{pre}$  and  $W^{post}$ , can be calculated with the deviatoric shear stress.  
367 The co-seismic change in the shear-strain energy density is obtained by:

368 
$$\Delta W = W^{post} - W^{pre}. \text{ --- (17)}$$

369 Figures 5a–5c show displacement in the host rock along the SE–NW profile. In the pre-  
370 seismic state (Figure 5a), since the fault accommodates no slip, the host rock deforms purely  
371 elastically from the reference state due to the opening crack and causes large uplift of the caldera  
372 surface by 8.8 m at maximum at the caldera center. During trapdoor faulting, the co-seismic  
373 displacement is concentrated along the fault (Figure 5b). The inner caldera block uplifts by 5.7 m  
374 at maximum, while the outer host rock moves downward by 3.2 m. The fault motion  
375 accompanies crack opening beneath the NE side of the caldera block, whereas slight downward  
376 motion is seen in the SE part of the caldera block, which can be attributed to elastic response to  
377 magma depressurization. Figure 5c shows the displacement in the post-seismic state, where the  
378 upward displacement is confined within the caldera block with cumulative uplift of 9.9 m at  
379 maximum from the center to near the fault, while notable deformation is not found outside the  
380 fault. As shown in Figure 5d, the pre-seismic seafloor displacement takes its uplift peak in the  
381 center, while after trapdoor faulting the seafloor becomes almost flat on the NW side near the  
382 fault. This indicates that if we take a long term including the pre-seismic inflation and trapdoor  
383 faulting, the caldera causes a block-like motion with a clear boundary cut by the fault.

384 In terms of the stress and the shear-strain energy, trapdoor faulting can be considered as a  
385 process that releases the shear-strain energy accumulated in the host rock. Figures 5e–5g show  
386 the shear-strain energy density with the principal axes of the stress field in the host rock along  
387 the same SE–NW profile. In the pre-seismic state, the shear-strain energy density is concentrated  
388 around the crack edge, or near the fault (Figure 5e). The plunge of the maximum compressional

389 stress near the fault ranges from  $\sim 50^\circ$  in the middle of the fault, which preferably induces a  
390 reverse slip on a steeply dipping fault. During trapdoor faulting (Figure 5f), the shear-strain  
391 energy density near the fault on the NW side dramatically decreases, and it is slightly reduced  
392 even on the SE side in response to co-seismic magma depressurization. Eventually, in the post-  
393 seismic state (Figure 5g), the shear-strain energy density almost vanishes near the fault.

## 394 **6 Discussion**

### 395 6.1 Model uncertainties

396 Our source model has been constructed in the model setting as described in Section 4.2.  
397 However, we do not have enough data to constrain the sub-surface structure and magma  
398 property, so that our model outputs vary depending on how the model setting is assumed priorly.

#### 399 6.1.1 Depth of a horizontal crack

400 The depth of a horizontal crack, or a magma reservoir, influences much our pre-seismic  
401 magma overpressure estimation. When a deeper crack is assumed at a depth of 4 km below  
402 seafloor (Figure 6), the estimated magma overpressure  $p_e$  is 22.26 MPa, almost twice larger  
403 compared to our main result assuming a depth of 2 km (Figure 3). The obtained model with a 4-  
404 km deep crack explains the tsunami data well, even better than that with a 2-km deep crack  
405 (compare waveforms and spectrograms in Figures 3f–3h and 6f–6h), implying preference of the  
406 deeper crack model. When a crack is located deeper in the crust, the magnitude of the crack  
407 opening per unit magma overpressure becomes smaller because it is farther from the free-surface  
408 seafloor (Fukao et al., 2018). This lowers the shear stress on the fault generated per unit magma  
409 overpressure, and thereby larger pre-seismic magma overpressure is required to cause a similar-  
410 sized earthquake and tsunami. Despite the large difference in pre-seismic magma overpressure,  
411 the estimated co-seismic parameters for the 2008 earthquake, such as magnitudes of fault slips,  
412 crack deformation, and changes in magma pressure and crack volume, do not change largely.

#### 413 6.1.2 Arc length of a ring fault

414 The arc length of a ring fault is also an important factor affecting our modeling. As  
415 shown in Figure 7, when we assume a ring fault with an arc length of  $180^\circ$ , or a half-ring fault,  
416 on the NW side, pre-seismic magma overpressure  $p_e$  is estimated as 4.84 MPa, less than half of

417 the output from our main results assuming an arc length of  $90^\circ$  (Figure 3). This large difference  
418 can be attributed to two main causes. First, the average fault slip amount is known to be  
419 proportional to the fault length when the stress drop is identical (Eshelby, 1957); therefore, a  
420 longer ring fault causes large slip efficiently, compared to that on a shorter arc length.  
421 Additionally, trapdoor faulting with a longer fault uplifts larger volume of seawater over a  
422 broader area (compare Figures 7e and 3e), making its tsunami generation efficiency higher.

423 Although smaller magma overpressure ( $p_e = 4.84$  MPa) is estimated in the case with a  
424 ring-fault arc angle of  $180^\circ$ , we emphasize that the co-seismic magma pressure change  $\Delta p$  is as  
425 large as  $-1.99$  MPa. The magma overpressure efficiently drops by 41.1 % from the pre-seismic  
426 state, in contrast to the ratio of only 16.7 % in the case of an arc length of  $90^\circ$  (see Section 5.1).  
427 The difference arises from the fact that the fault slip along a longer segment can induce the crack  
428 opening in a broader area and increase the inner volume more, resulting in more efficient  
429 pressure drop. The two models with different ring-fault arc lengths produce very similar tsunami  
430 waveforms at the station 52404 (compare Figures 7f and 3f), indicating the difficulty in  
431 distinguishing the arc length from our dataset. However, these results provide an important  
432 insight that the magma pressure drop ratio strongly depends on a fault length ruptured during  
433 trapdoor faulting, suggesting importance to investigate the intra-caldera fault geometry for robust  
434 quantification of magma pressure change due to trapdoor faulting.

### 435 6.1.3 Other uncertainties

436 We discuss on effects of the product  $V_0\beta_m$ , which controls how the magma-filled crack  
437 responds to stress perturbation by faulting. The effects in extreme cases are discussed by Zheng  
438 et al. (2022); when  $V_0\beta_m \rightarrow 0$ , the crack involves no total volume change ( $\Delta V \rightarrow 0$ ), while a  
439 magnitude of magma pressure drop becomes the largest; on the other hand, when  $V_0\beta_m \rightarrow \infty$ , the  
440 net volume change of the crack is at maximum, while no pressure change occurs ( $\Delta p \rightarrow 0$ ). In  
441 previous studies of the 2018 Kilauea caldera collapse and eruption sequence, the estimated  
442 product ranges 1.3–5.5  $\text{m}^3/\text{Pa}$  (Anderson et al., 2019; Segall & Anderson, 2021). We assumed  
443  $V_0\beta_m = 1.5$   $\text{m}^3/\text{Pa}$  for our main results, which is close to the lower end of the range. To examine  
444 the model variations, we try the source modeling alternatively by assuming  $V_0\beta_m = 6.0$   $\text{m}^3/\text{Pa}$ ,  
445 near the upper limit of the range estimated in the case of Kilauea. For the larger  $V_0\beta_m$ , the area of  
446 the crack opening becomes broader, while a magnitude of the closure on the other side becomes

447 smaller (Figures S4a–S4c; compare them with Figures 3a–3c). The sea-surface displacement is  
448 thereby broader (Figure S4e), exciting long-period tsunamis more efficiently that arrives as  
449 earlier waveform phases used for the amplitude fitting (Figure S4f). Thus, in this case, our  
450 estimation of the pre-seismic magma overpressure,  $p_e = 9.11$  MPa, becomes slightly smaller than  
451 the main result ( $p_e = 11.8$  MPa); on the other hand, we estimate smaller magma pressure drop  
452 ( $\Delta p = -1.27$  MPa) and a larger crack volume increase ( $\Delta V = 0.0076$  km<sup>3</sup>). These suggest that if  
453 we take a plausible range of  $V_0\beta_m$ , variations of our estimations are insignificant.

454 It is uncertain on which side of the caldera the ruptured fault is located. Based on our  
455 moment tensor analysis (Text S1), the fault ruptured during the 2008 earthquake can be  
456 estimated to be oriented mainly in the NE–SW direction, allowing us to assume two different  
457 fault locations, either of the NW or SE sides of the caldera; for our main results, we chose the  
458 model with a fault on the NW side. Here, we alternatively assume a fault on the SE side to obtain  
459 another source model to estimate the pre-seismic magma overpressure  $p_e$  as 15.36 MPa (Figure  
460 S5). Despite the fault location difference, the tsunami data is explained well by the model with a  
461 SE-sided fault (Figure S5f). The change of the estimated magma overpressure can be attributed  
462 to effects of tsunami directivity and complex bathymetry in the source region on the wave  
463 amplitude of a tsunami arriving at the station. Thus, our limited dataset is not sufficient to  
464 determine well the fault location, but the uncertainty in fault location influences our estimations  
465 insignificantly.

## 466 6.2 Comparison with previous studies

467 Our quantification of pre-seismic magma overpressure before trapdoor faulting in Kita-  
468 Ioto caldera ( $p_e = 4$ –22 MPa) is of the same order of magnitude as those estimated geodetically  
469 for the subaerial caldera of Sierra Negra. Gregg et al. (2018) applied a thermomechanical finite  
470 element method (FEM) model to long-term geodetic data and estimated that magma overpressure  
471 of  $\sim 10$  MPa in the sill-like reservoir induced a trapdoor faulting event that occurred  $\sim 3$  hours  
472 before the eruption starting on 22 October 2005. Another trapdoor faulting event on 25 June  
473 2018 ( $M_w$  5.4) also preceded the 2018 eruption of Sierra Negra by ten hours; Gregg et al. (2022)  
474 employed the thermomechanical FEM approach to the long-term deformation and suggested that  
475 a similar magma overpressure  $< \sim 15$  MPa had been accumulated to cause the failure of the  
476 trapdoor fault system.

477 Zheng et al. (2022), on the other hand, quantified co-seismic magma pressure change by  
478 trapdoor faulting with an  $m_b$  4.6 earthquake on 16 April 2005. By modeling the interaction  
479 between the intra-caldera fault system and the sill-like reservoir, Zheng et al. geodetically  
480 estimated the trapdoor faulting event with a maximum fault slip of 2.1 m reduced magma  
481 overpressure by 0.8 MPa; the slightly smaller pressure change, relative to our estimation ( $|\Delta p| =$   
482 1–3 MPa) for the 2008 Kita-Ioto earthquake, may be explained by the discrepancy in earthquake  
483 size.

484 Sandanbata et al. (2023) compiled the seismic magnitude and the maximum fault slip of  
485 trapdoor faulting events and demonstrated their atypical earthquake scaling relationship; in other  
486 words, trapdoor faulting accompanies larger fault slip by an order of magnitude than those for  
487 similar-sized tectonic earthquakes. Source models presented in this study for the 2008 Kita-Ioto  
488 caldera earthquake also accommodate large fault slip ranging 5–10 m at maximum, which are  
489 clearly larger those empirically predicted for  $M_w$  5.3 tectonic earthquakes; for example, the  
490 empirical maximum slip for  $M_w$  5.3 earthquake is only  $\sim 0.1$  m, following Wells & Coppersmith  
491 (1994). This indicates the efficiency of intra-caldera fault systems in causing large slip, possibly  
492 due to their interaction with magma reservoirs (Sandanbata et al., 2022).

### 493 6.3 Long-period seismic waveforms

494 For validation from a different perspective, we consider long-period seismic excitation by  
495 the mechanical source model that we have obtained based on the tsunami data. For this analysis,  
496 we follow the methodology used in Sandanbata et al. (2022; 2023), as the detailed procedures are  
497 described in Text S2. We here briefly summarize the method. We first approximate the trapdoor  
498 faulting model (Figure 3) as a point-source moment tensor  $\mathbf{M}_T$  by summing up partial moment  
499 tensors of the ring fault  $\mathbf{M}_R$  and the horizontal crack  $\mathbf{M}_C$  (Figure 8a–8c). We then compute long-  
500 period (80–200 s) seismic waveforms from the moment tensor  $\mathbf{M}_T$  by using the W-phase package  
501 (Duputel et al., 2012; Hayes et al., 2009; Kanamori & Rivera, 2008) and compare the synthetic  
502 waveforms with broad-band seismic data from F-net and global seismic networks. In Figure 8d,  
503 we show synthetic seismic waveforms from the moment tensor (Figure 8a), which reproduce  
504 well the observed seismograms. This supports that our trapdoor faulting model is plausible in  
505 terms of seismic excitation, as well as tsunami generation.

506 We note that the moment tensor obtained from our model (Figure 8a) is much different  
507 from the GCMT solution; our model has a seismic magnitude ( $M_w$  5.6) and is characterized by  
508 large double-couple and isotropic components, while the GCMT solution is with a smaller  
509 magnitude  $M_w$  5.3 and a dominant vertical-T CLVD component (Figure 1d). These big  
510 differences can be attributed to very inefficient excitation of long-period seismic waves by  
511 specific types of shallow earthquake sources: a vertical opening/closing of a horizontal crack,  
512 and a double-couple source representing vertical dip-slip motion (i.e.,  $M_{r\theta}$  and  $M_{r\phi}$  components)  
513 (Fukao et al., 2018; Sandanbata, Kanamori, et al., 2021). Since these specific sources cannot be  
514 constrained from long-period seismic waves, the GCMT solution can capture only limited parts  
515 of the source, and therefore represents a dominant vertical-T CLVD focal mechanism. These  
516 characteristic properties of long-period seismic waves from trapdoor faulting at shallow depth  
517 are discussed in more details by Sandanbata et al. (2022).

#### 518 6.4 Tsunami generation by other Kita-Ioto caldera earthquakes

519 We have conducted a survey of OBP data from the station 52404 to determine if there  
520 were any tsunami signals following the Kita-Ioto caldera earthquakes (Figure S1), apart from  
521 that in 2008. Available data was found only for the event on 15 December 2015 (Figure 9a), for  
522 which a clear tsunami signal was recorded in the OBP data with a 15-s sampling interval (Figure  
523 9b). However, On the other hand, we were unable to obtain OBP data to confirm tsunami signals  
524 from the earthquakes in 1992, 2010, 2017, and 2019. The station 52404 had not been deployed  
525 yet as of the 1992 event. For the other events, the bottom pressure recorders have been lost,  
526 preventing our access to its 15-s sampling-interval data. Although low-sampling data (15-min  
527 interval) sent via a satellite transfer are available, they are not useful for confirming tsunami  
528 signals with dominant periods of 100–500 s.

529 We further investigate the tsunami signal from the 2015 earthquake in comparison with  
530 that from the 2008 event. Note that the station location ( $20.7722\text{N}^\circ$ ,  $132.3375\text{E}^\circ$ ) as of 2008 had  
531 shifted about 20 km northward to a new location ( $20.9478\text{N}^\circ$ ,  $132.3122\text{E}^\circ$ ) as of 2015. To  
532 examine the similarity between the two earthquake events, we simulate a tsunami waveform at  
533 the station location as of the 2015 event from a model similar to that of the 2008 event. We  
534 assume the model setting with a deeper crack at a depth of 4 km, based on that presented in  
535 Section 6.1.1 (Figure 7). Since the GCMT catalog reports a smaller seismic moment for the 2015

536 event ( $M_0^{2015} = 8.1 \times 10^{17}$  Nm) than that for the 2008 event ( $M_0^{2008} = 1.1 \times 10^{18}$  Nm), we adjust  
537 the source model assuming a smaller pre-seismic overpressure of  $p_e = 16.41$  MPa (= 22.26 MPa  
538  $\times \frac{M_0^{2015}}{M_0^{2008}}$ ).

539 Although the observed tsunami waveforms from the two earthquakes look different  
540 (compare the waveforms in Figures 7f and 9b), the trapdoor faulting model, based on the tsunami  
541 data from the 2008 earthquake, also explains that from the 2015 earthquake overall (Figure 9),  
542 simply by changing the station location. The nonnegligible waveform difference at the two  
543 nearby locations can be attributed to the focusing/defocusing effect by complex bathymetry  
544 along the path (Figure S6; see the figure caption for details). This suggests that the 2015  
545 earthquake was caused by trapdoor faulting, in a similar way to the 2008 earthquake. The  
546 similarity is further supported by our moment tensor analysis (see Text S1). Thus, we confirmed  
547 tsunami signals from both of the two events. Therefore, we propose that the quasi-regularly  
548 repeating earthquakes with similar magnitudes and vertical-CLVD characters reflect the  
549 recurrence of trapdoor faulting in Kita-Ioto caldera, as observed in the three calderas of Sierra  
550 Negra, Sumisu, and Curtis, where trapdoor faulting events have recurred (Bell et al., 2021;  
551 Jónsson, 2009; Sandanbata et al., 2022, 2023).

## 552 6.5 Limitations of our mechanical trapdoor faulting model

553 Our mechanical model of trapdoor faulting has been developed under some  
554 simplifications to focus on the essential mechanics. In this subsection we discuss some factors  
555 simplified or ignored in our model, which may influence our results.

### 556 6.5.1. Stress drop ratio

557 The stress drop ratio during earthquakes has been controversial in general. Some studies  
558 reported complete or near-complete stress drop during tectonic earthquakes (Hasegawa et al.,  
559 2011; Ross et al., 2017), while the stress drop ratio can be partial and vary from earthquake to  
560 earthquake (Hardebeck & Okada, 2018). For intra-caldera earthquakes, several recent studies  
561 estimated stress drop during caldera collapses (Moyer et al., 2020; T. A. Wang et al., 2022), but  
562 our knowledge on the stress drop ratio in calderas is poor and the ratio may vary from caldera to  
563 caldera.

564 We have avoided the problem by simply assuming the complete stress drop as an extreme  
565 case (Equation 13, obtained by assuming  $\alpha = 1$  in Equation 11); this assumption can influence  
566 our estimation of the pre-seismic magma overpressure  $p_e$ . Because  $\underline{s}$  and  $\underline{\delta}$  are determined by the  
567 stress drop on the fault, not directly by pre-seismic magma overpressure (Equation 2), if a partial  
568 stress drop ratio  $\alpha$  ( $0 < \alpha < 1$ ) is instead assumed in Equation 11, the trapdoor faulting size due  
569 to the same pre-seismic magma overpressure becomes smaller proportionally to  $\alpha$ , and the  
570 tsunami amplitude does. In this case, larger magma overpressure by a factor of  $1/\alpha$  is required to  
571 explain the observed tsunami amplitude. Hence, the complete stress drop assumption provides  
572 lower-limit estimation of pre-seismic magma overpressure in the model setting. On the other  
573 hand, estimations of co-seismic parameters, such as fault slip  $\underline{s}$  and crack opening  $\underline{\delta}$ , and changes  
574 of magma pressure  $\Delta p$  and crack volume  $\Delta V$ , do not change regardless of our assumption of the  
575 stress drop ratio  $\alpha$ , since they are constrained from the tsunami amplitude.

#### 576 6.5.2. Pre-slips and earthquake cycles

577 We have attributed the shear stress that generates trapdoor faulting to an inflating crack  
578 alone and neglected other factors that may also cause the stress on the fault. First, different  
579 segments of the intra-caldera ring fault may have caused microseismic or aseismic slips prior to  
580 the occurrence of  $M_w \sim 5$  trapdoor faulting. In Sierra Negra caldera, high microseismicity was  
581 observed along the western segment of the intra-caldera fault, leading to trapdoor faulting on the  
582 southern segment before eruption (Bell et al., 2021; Shreve & Delgado, 2023). Similarly, during  
583 the 2018 eruption and summit caldera collapse sequence of Kilauea, large collapse events  
584 accompanying  $M_w \sim 5$  earthquakes were located on the southeastern and northwestern sides of the  
585 summit caldera, while high microseismicity was found on other segments (Lai et al., 2021;  
586 Shelly & Thelen, 2019). T. A. Wang et al. (2023) further suggested non-negligible effects on  
587 large collapses of Kilauea by intra-caldera fault creep in the inter-collapse period. Such high  
588 microseismicity or creeping on other fault segments, in adjacent to the ruptured segment of  
589 trapdoor faulting, may impose additional shear stress.

590 Additionally, the recurrency of trapdoor faulting can play an important role in the stress  
591 accumulation on the fault. Similar earthquakes have been repeated near Kita-Ioto caldera (Figure  
592 S1), strongly suggesting recurrence of trapdoor faulting, as supported by the tsunami signal from  
593 the 2015 earthquake (see Section 6.4). If a similar earthquake repeated on the same segment of

594 the fault and the stress drop is only partial, the remaining stress may influence subsequent  
595 trapdoor faulting events. Also, assuming that the earthquakes occur on different segments of the  
596 ring fault, an event on a segment increases the shear stress on its adjacent segment. Thus, in  
597 presence of additional shear stress by pre-slips or creeping on different segments or previous  
598 trapdoor faulting events, the ring fault may be ruptured by smaller pre-seismic magma  
599 overpressure. For better understanding of the physics of trapdoor faulting, further studies of the  
600 earthquake cycle in calderas are crucial.

### 601 6.5.3. Other factors

602 Other factors simplified in our model, such as magma reservoir geometry or rheological  
603 property of the host rock, may influence the mechanics of trapdoor faulting. While we have  
604 modeled a magma reservoir simply as an infinitely thin crack that lies horizontally, the reservoir  
605 should have a finite thickness and the geometry may not be flat, as estimated for that beneath  
606 Sierra Negra caldera (Gregg et al., 2022). The host rock has been also simplified as a  
607 homogeneous elastic medium, but the viscoelastic effects and thermal dependency of the  
608 rheological property may impact the deformation and strain states in the host rock (Cabaniss et  
609 al., 2020; Zhan & Gregg, 2019). These factors can be more important in the stress accumulation  
610 process, particularly during a long-term caldera inflation.

## 611 **7 Conclusions**

612 We have presented a new mechanical model of trapdoor faulting that quantitatively links  
613 pre-seismic magma overpressure in a sill-like reservoir and the size of trapdoor faulting. We  
614 applied this model to a tsunami-generating submarine earthquake in 2008 around Kita-Ioto  
615 caldera, for quantifying the caldera's mechanical states. Our trapdoor faulting model explains  
616 well the tsunami signal recorded by a single distant ocean bottom pressure gauge, as well as  
617 regional long-period seismic waveforms. This supports that the mechanism of submarine  
618 trapdoor faulting in Kita-Ioto caldera, which follows recent findings in Sumisu and Curtis  
619 calderas. Repeating vertical-T CLVD earthquakes and another tsunami signal following the 2015  
620 earthquake strongly suggest the recurrence of trapdoor faulting in Kita-Ioto caldera.

621 Our mechanical model enables us to infer the pre-seismic magma overpressure beneath  
622 the submarine caldera, through quantification of the trapdoor faulting size. Assuming a ring fault

623 with an arc length of  $90^\circ$  and a horizontal crack at a depth of 2 km in the crust as a main model  
624 setting, we estimated that high pre-seismic magma overpressure over  $\sim 10$  MPa caused the  
625 trapdoor faulting event. The main results also showed that the co-seismic magma pressure  
626 change drops by only 10–20 % of the pre-seismic magma overpressure, and the crack volume  
627 increases by  $0.0030 \text{ km}^3$ . This indicates that trapdoor faulting relieves a critically high stress  
628 state in the caldera but still keeps a high stress level even after the faulting event.

629 We emphasize that the model outputs vary from half to twice, depending on model  
630 setting, such as a ring-fault geometry or a crack depth. For example, a longer ring fault requires  
631 less magma overpressure to generate the similar-sized tsunami but more effectively reduces the  
632 overpressure, while larger magma overpressure is estimated when the source has a crack at a  
633 deeper depth. Although these uncertainties were not solved from our limited dataset, or a single  
634 tsunami record, the significant variations suggest that magmatic systems beneath calderas can be  
635 strongly influenced by source properties of trapdoor faulting. Therefore, it is critical to study  
636 trapdoor faulting in active calderas and its source properties for more robust estimation of  
637 magma overpressure or stress states and for comprehensive understanding of behaviors of  
638 inflating calderas.

### 639 **Acknowledgments**

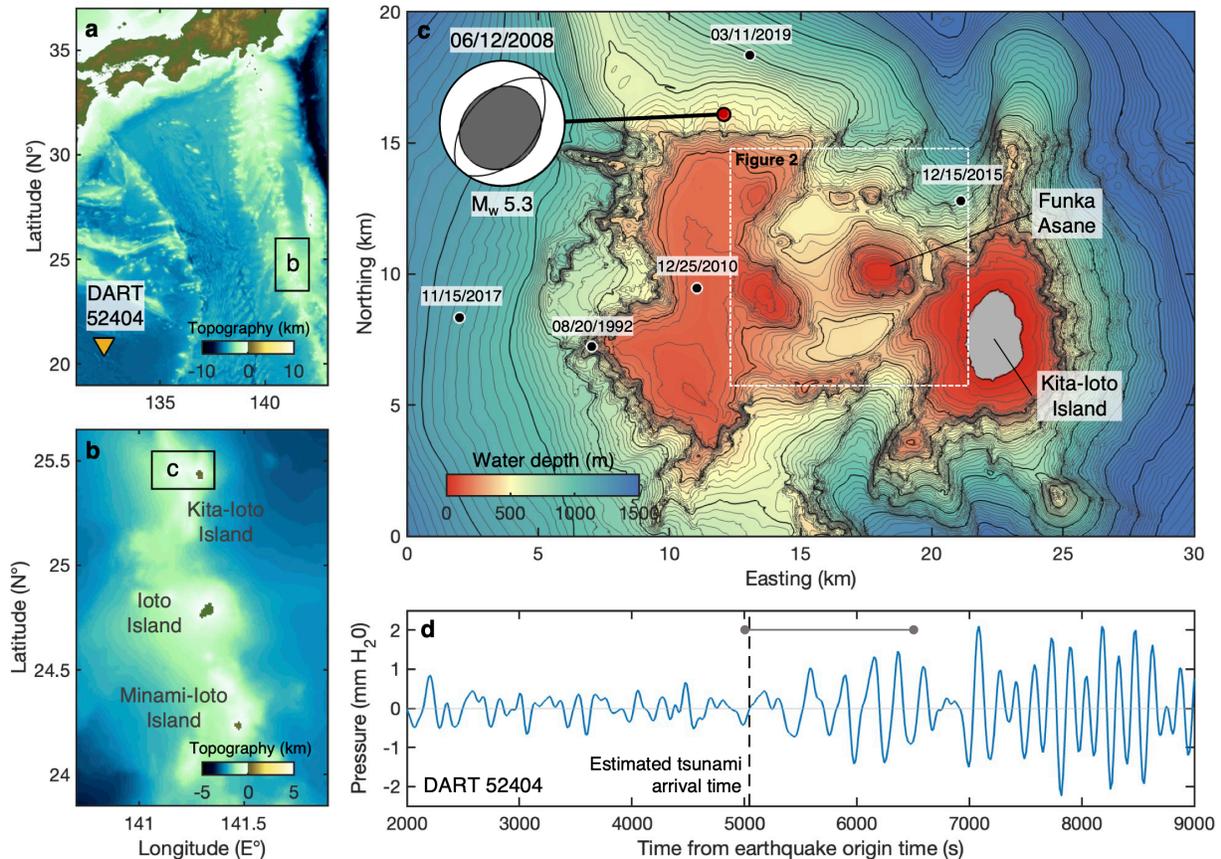
640 We thank Kurama Okubo for helpful discussion. This study is funded by the JSPS  
641 KAKENHI (Grant numbers JP20J01689).

### 642 **Data availability**

643 OBP data of DART system is available from DART® Bottom Pressure Recorder Data  
644 Inventory of National Oceanic and Atmospheric Administration (National Oceanic and  
645 Atmospheric Administration, 2005; <https://www.ngdc.noaa.gov/hazard/dart/>). Bathymetric data  
646 of M7000 Digital Bathymetric Chart and JTOPO30 are available from the Japan Hydrographic  
647 Association ([https://www.jha.or.jp/shop/index.php?main\\_page=index](https://www.jha.or.jp/shop/index.php?main_page=index)). F-net seismic data of F-  
648 net are available from the NIED (National Research Institute for Earth Science and Disaster  
649 Resilience, 2019) (<https://www.fnet.bosai.go.jp/top.php?LANG=en>), and Global Seismograph  
650 Network data are available through the IRIS Wilber 3 system (<https://ds.iris.edu/wilber3/>) or  
651 IRIS Web Services (<https://service.iris.edu/>), including networks coded as IU and II (Global

652 Seismograph Network: GSN) (Albuquerque Seismological Laboratory/USGS, 2014; Scripps  
653 Institution of Oceanography, 1986), and IC (New China Digital Seismograph Network: NCDSN)  
654 (Albuquerque Seismological Laboratory (ASL)/USGS, 1992). The earthquake information is  
655 available from the GCMT catalog (<https://www.globalcmt.org/>). The Geoware TTT software  
656 (<http://www.geoware-online.com/tsunami.html>) is used for estimating tsunami arrival times.  
657 Focal mechanisms representing moment tensors are plotted with a MATLAB code developed by  
658 James Conder (available from [https://www.mathworks.com/matlabcentral/fileexchange/61227-](https://www.mathworks.com/matlabcentral/fileexchange/61227-focalmech-fm-centerx-centery-diam-varargin)  
659 [focalmech-fm-centerx-centery-diam-varargin](https://www.mathworks.com/matlabcentral/fileexchange/61227-focalmech-fm-centerx-centery-diam-varargin)). The data of the source model proposed for main  
660 results in this study (Figure 3) can be obtained from an open-access repository of Zenodo  
661 (<https://doi.org/10.5281/zenodo.8344070>).

662

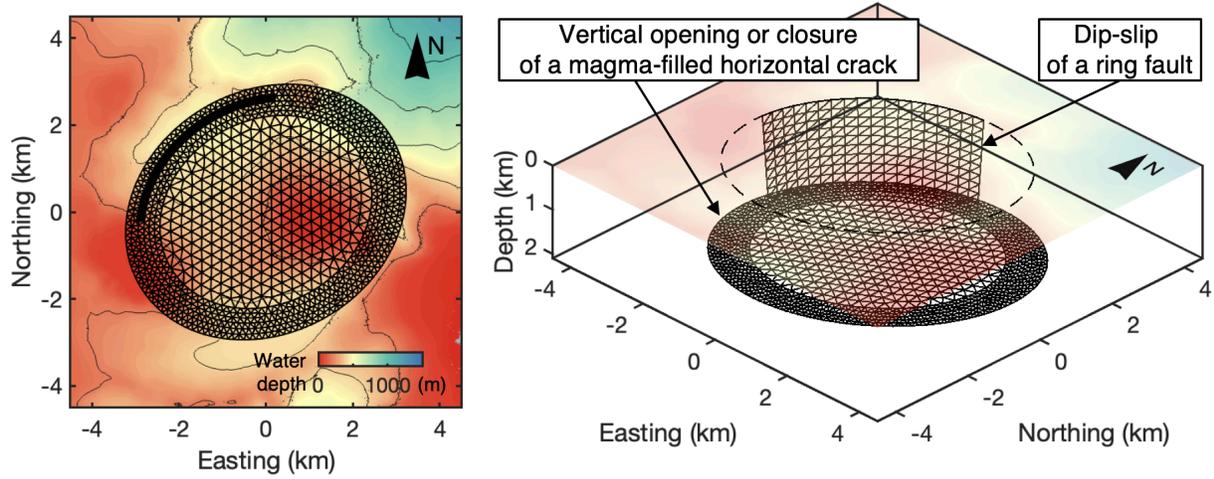


663

664 **Figure 1.** Vertical-T CLVD earthquakes near Kita-Ioto caldera. (a) Map of the southern ocean of  
 665 Japan. Orange triangle represents the ocean-bottom-pressure (OBP) gauge of DART 52404. (b)  
 666 Map of the region near Kita-Ioto Island. (c) Bathymetry of the region near Kita-Ioto caldera, a  
 667 submarine caldera with a size of 12 km x 8 km, near Kita-Ioto Island. Funka Asane is the summit  
 668 of a cone structure within the caldera rim. Red circle represents the location of the 2008 Kita-  
 669 Ioto earthquake with its moment tensor, whereas black circles represent locations of similar  
 670 events; the earthquake information is from the GCMT catalog (Ekström et al., 2012). The focal  
 671 mechanism is shown as projections of the lower focal hemisphere, and the orientation of the best  
 672 double-couple solution is shown by thin lines. (d) Tsunami waveform recorded at the OBP gauge  
 673 of DART 52404. Dashed gray line represents the tsunami arrival time estimated using the  
 674 Geoware TTT software. Solid gray line represents the data length for calculating the root-mean-  
 675 square (RMS) amplitudes (Equation 14). This waveform data is obtained by removing the tidal  
 676 trend from and by applying the bandpass (2–10 mHz) Butterworth filter to the raw OBP data for

677 12,000 s after the earthquake origin time. Note that oscillations of OBP changes with a few mm  
678 H<sub>2</sub>O are recorded after the estimated arrival time, indicating tsunami signals.

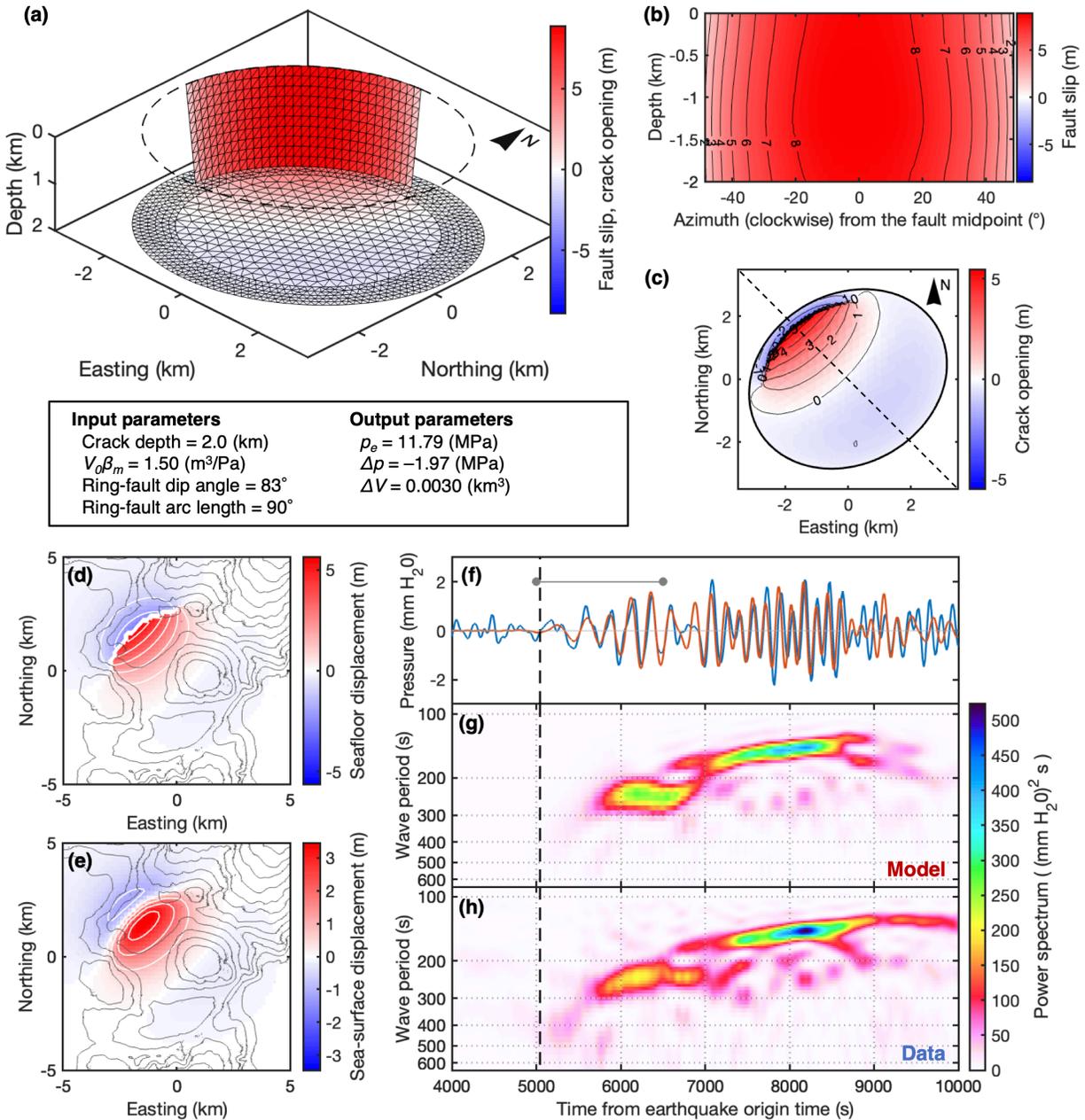
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680

681 **Figure 2.** A source structure for the mechanical model of trapdoor faulting viewed from top  
682 (left) and southeast (right). Gray lines are plotted every water depth of 200 m.

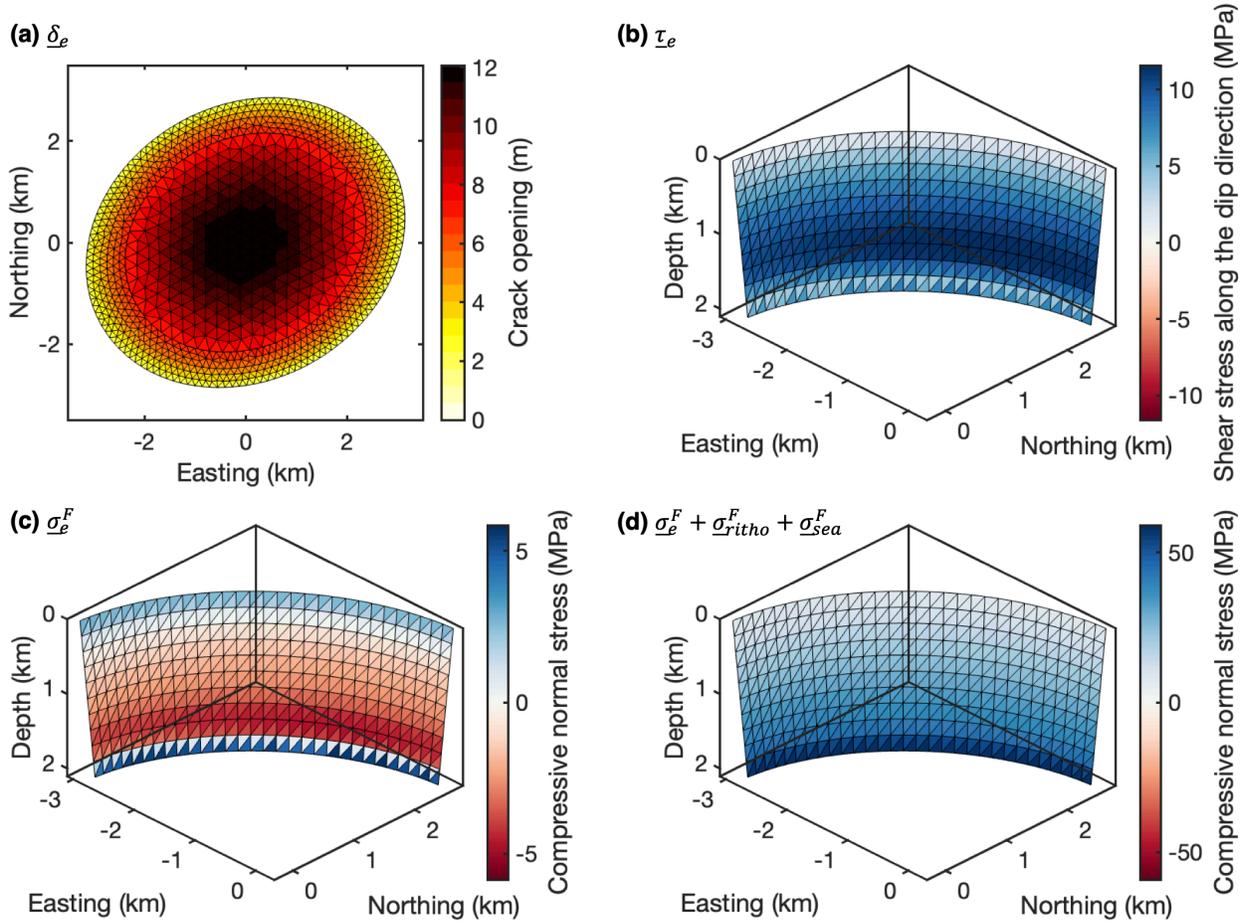
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684

685 **Figure 3.** Mechanical trapdoor faulting model of the 2008 Kita-Ioto earthquake. (a) Mechanical  
 686 model viewed from southeast, represented by dip slip of the ring fault  $\underline{s}$  and vertical deformation  
 687 of the crack  $\underline{\delta}$ . Red color on the ring fault represents reverse slip, while red and blue colors on  
 688 the horizontal crack represent vertical opening and closure, respectively. (b and c) Spatial  
 689 distributions of (b) the ring fault and (c) the horizontal crack. In b, the fault is viewed from the  
 690 caldera center, and the azimuth from the caldera center to arbitrary point on the fault is measured  
 691 clockwise from the midpoint of the fault. In c, dashed line represents a profile shown in Figure 5.

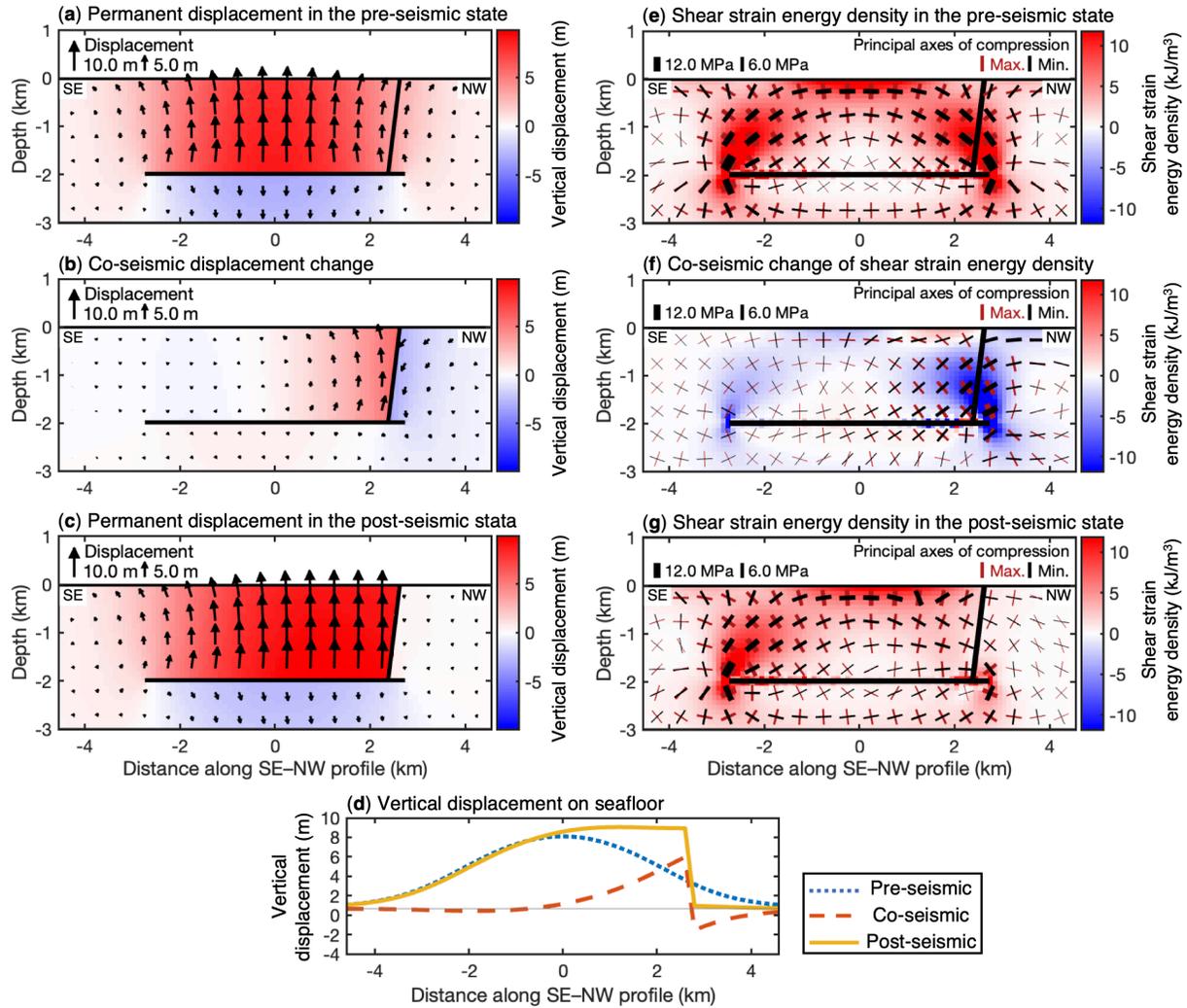
692 **(d and e)** Vertical displacement of seafloor **(d)** and sea surface **(e)** due to the model. Red and  
693 blue colors represent uplift and subsidence, respectively, with white lines plotted every 1.0 m.  
694 Black lines represent water depth every 100 m. **(f)** Comparison between a synthetic tsunami  
695 waveform from the model (red line) and the observed OBP waveform (blue line) at the station  
696 52404. Solid gray line represents the data length for calculating the root-mean-square (RMS)  
697 amplitudes (Equation 14). **(g and h)** Spectrograms of the **(g)** synthetic and **(h)** observed  
698 waveforms. In **f–h**, black dashed line represents the tsunami arrival time.



699

700 **Figure 4.** Critical status of the fault-crack system just before trapdoor faulting. (a) Distribution  
 701 of the crack opening,  $\underline{\delta}_e$ . (b) Critical shear stress along dip-slip direction on the ring fault,  $\underline{\tau}_e$ . (c)  
 702 Normal stress on the ring fault induced by the critically opening crack,  $\underline{\sigma}_e^F$ . In b and c, blue and  
 703 red colors represent compressive and extensional normal stress, respectively. (d) Total normal  
 704 stress on the ring fault,  $\sigma_0^F = \sigma_e^F + \sigma_{lit}^F + \sigma_{sea}^F$ ; here,  $\sigma_{lit}^F = \rho_h D_h g$ , where  $\rho_h$ ,  $D_h$ , and  $g$  are the  
 705 host rock density ( $2,600 \text{ kg/m}^3$ ), the depth of each mesh, and the gravitational acceleration ( $9.81$   
 706  $\text{m/s}^2$ ), respectively, and  $\sigma_{sea}^F = \rho_s D_s g$ , where  $\rho_s$  and  $D_s$  are the density and the averaged depth of  
 707 the overlying seawater ( $1,020 \text{ kg/m}^3$  and  $400 \text{ m}$ ), respectively.

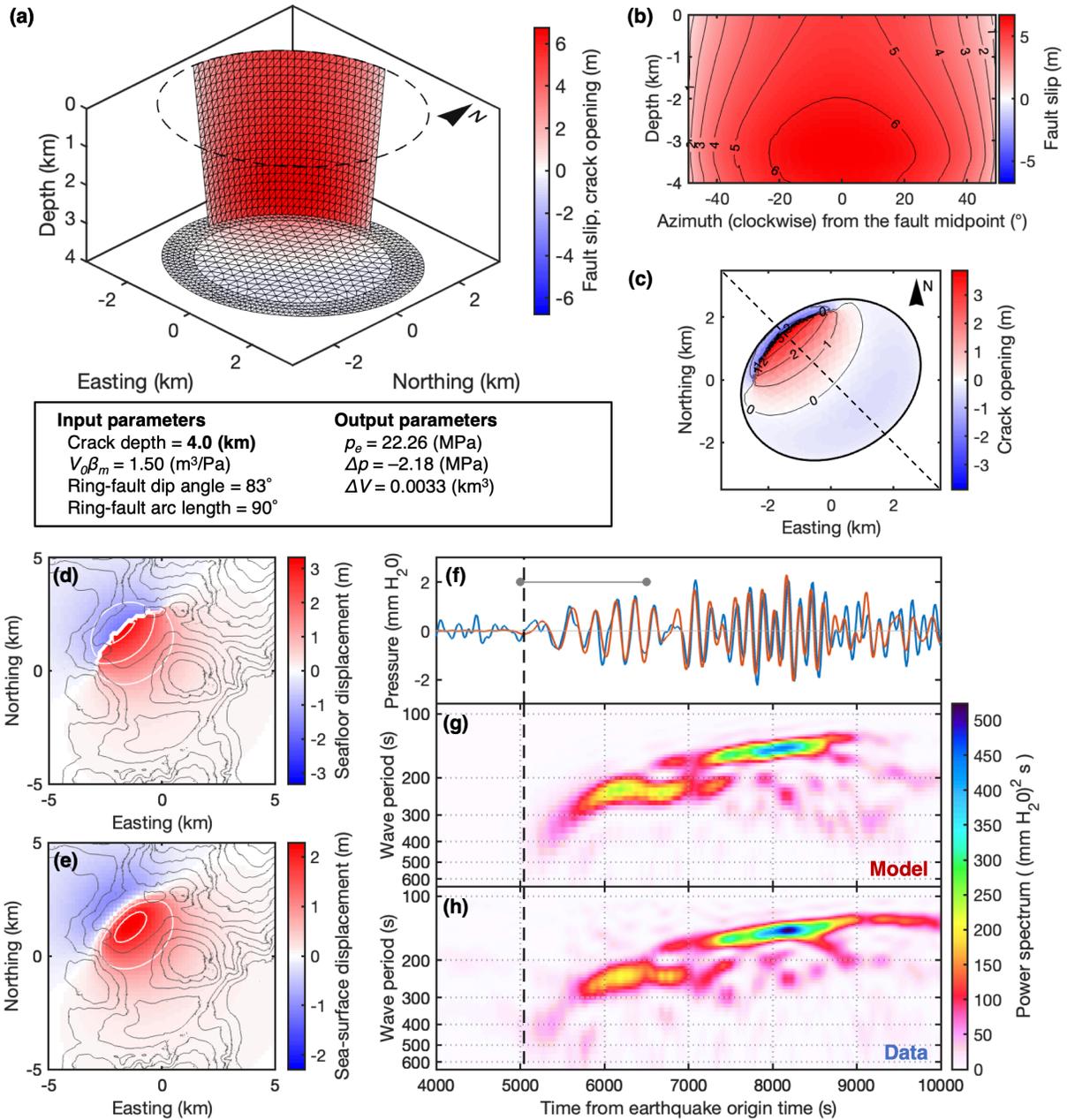
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709

710 **Figure 5.** Displacement and shear-strain energy density in the host rock, along a SE–NW profile  
 711 shown in Figure 3c. (a–c) Displacement, relative to the reference state ( $p = p_0$ ): (a) the pre-  
 712 seismic state just before trapdoor faulting, (b) the co-seismic change due to trapdoor faulting,  
 713 and (c) the post-seismic state after trapdoor faulting. (d) Vertical seafloor displacement in each  
 714 state shown in a, b, and c. (e–g) Shear-strain energy density  $W$ : (e) the pre-seismic state, (f) the  
 715 co-seismic change, and (g) the post-seismic state. Color represents shear-strain energy density,  
 716 and bars represent principal axes of compression projected on the profile, whose thickness  
 717 reflects half the differential stress change  $(\sigma_1 - \sigma_3)/2$ , where  $\sigma_1$  and  $\sigma_3$  are the maximum and  
 718 minimum stress, respectively.

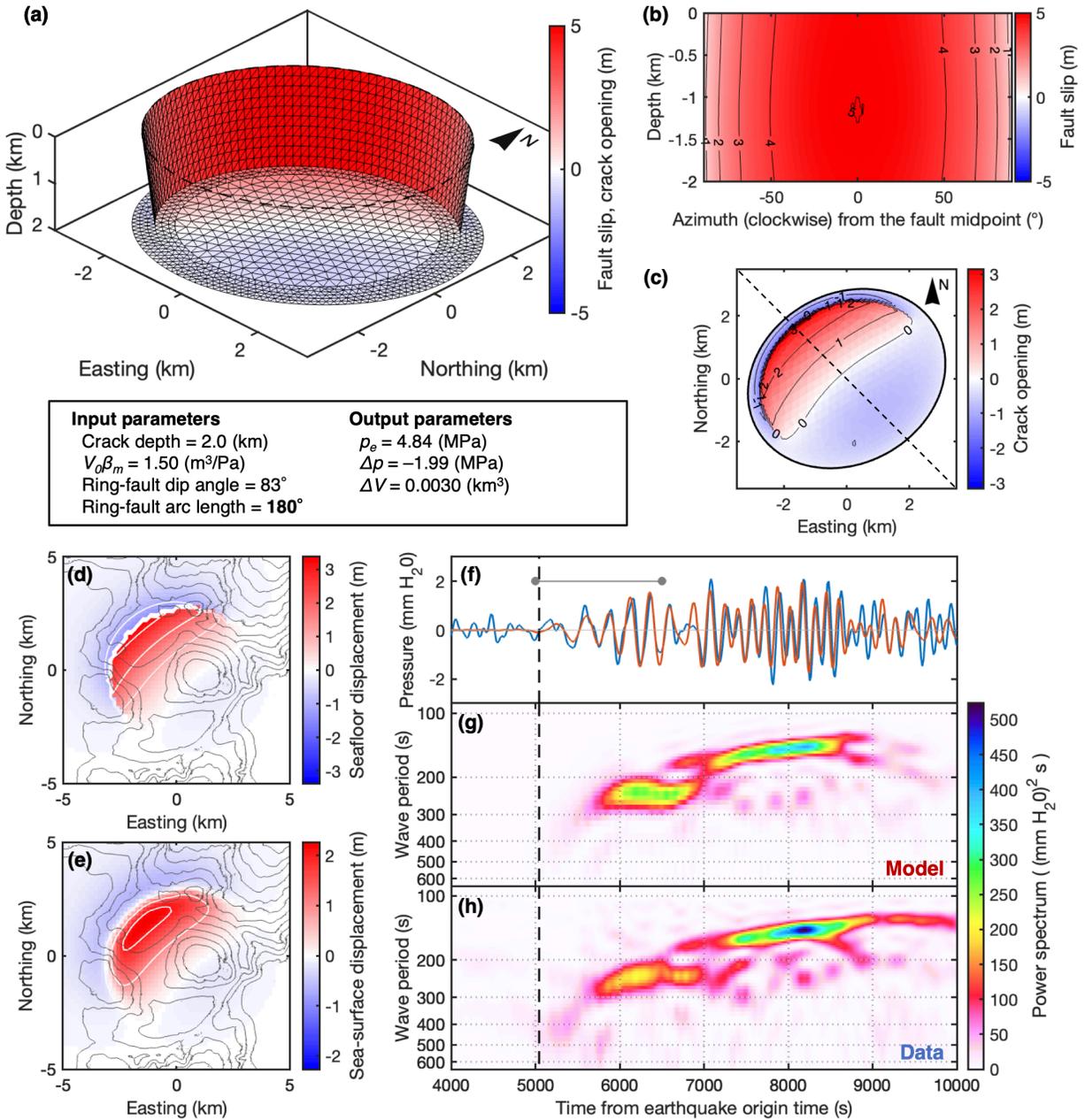
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720

721 **Figure 6.** Same as Figure 3, but for a model with a horizontal crack at a depth of 4 km. See  
 722 details in Section 6.1.1.

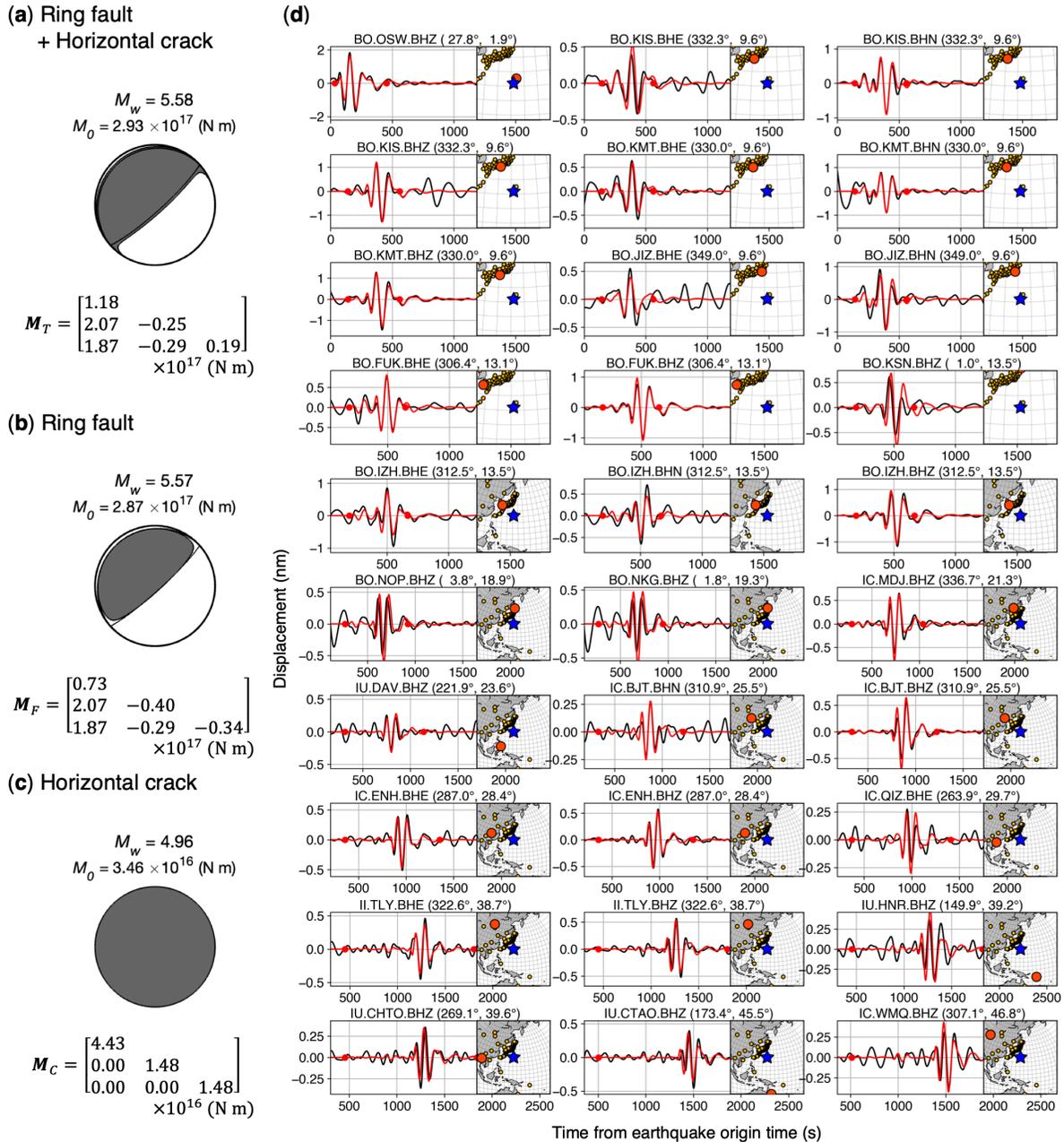
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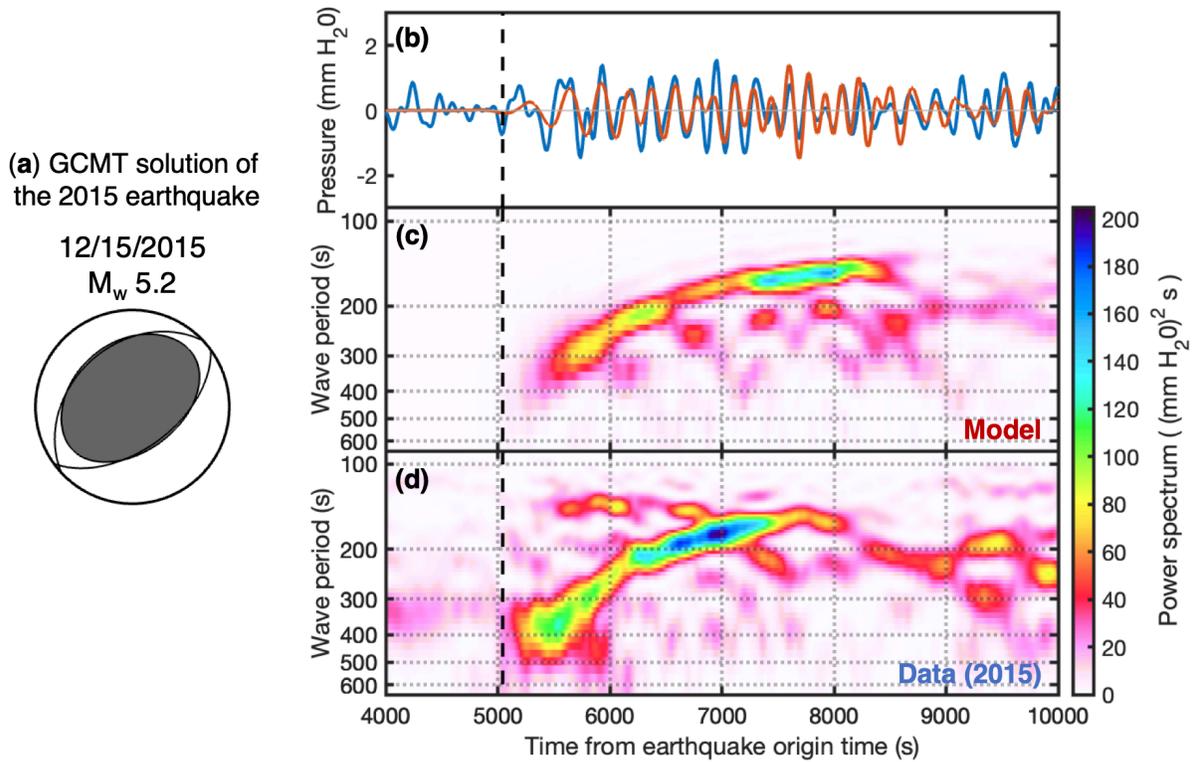
725 **Figure 7.** Same as Figure 3, but for a model with a longer ring fault of an arc angle of 180°. See  
 726 details in Section 6.1.2.

727



728

729 **Figure 8.** Long-period (80–200 s) seismic waveform modeling. **(a)** Moment tensor of the model,  
 730 composed of partial moment tensors of **(b)** the ring fault and **(c)** the horizontal crack. **(d)**  
 731 Comparison between synthetic waveforms (red line) and the observation (black line) at  
 732 representative stations. In inset figures, a large red circle and a blue star represent the station and  
 733 the earthquake centroid, respectively. On the top of each panel, the network name, station name,  
 734 record component, station azimuth, and epicentral distance are shown. Note that waveform  
 735 comparisons in all the tested seismic records are shown in Figure S8.



736

737 **Figure 9.** Tsunami waveform data from the 2015 earthquake. (a) The GCMT solution of the  
 738 Kita-Ioto caldera earthquake on December 15, 2015. (b) Comparison between a synthetic  
 739 tsunami waveform from a source model adjusted from the 2008 earthquake model (red line; see  
 740 Section 6.4) and the observed OBP waveform (blue line) at the station 52404. (c–d)  
 741 Spectrograms of the synthetic waveform (c) and the OBP waveform (d). In b–d, black dashed  
 742 line represents the tsunami arrival time. Note that the location of the 52404 station as of the 2015  
 743 earthquake has been shifted by ~20 km southward from the location as of the 2008 earthquake  
 744 (see text and Figure S6).

745

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