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# **Sub-decadal Volcanic Tsunamis Due to Submarine Trapdoor Faulting at Sumisu Caldera in the Izu–Bonin Arc**

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## **Key Points:**

- Large tsunamis are generated by moderate-sized volcanic earthquakes at a submarine caldera.
- Tsunami and seismic data indicate that abrupt uplift of the submarine caldera by trapdoor faulting causes large tsunamis.
- Continuous magma supply into the submarine caldera induces submarine trapdoor faulting on a decadal timescale.

**21 Abstract**

22 The main cause of tsunamis is large subduction zone earthquakes with seismic magnitudes  $M_w >$   
23 7, but submarine volcanic processes can also generate tsunamis. At the submarine Sumisu  
24 caldera in the Izu–Bonin arc, moderate-sized earthquakes with  $M_w < 6$  occur almost once a  
25 decade and cause meter-scale tsunamis. The source mechanism of the volcanic earthquakes is  
26 poorly understood. Here we use tsunami and seismic data for the recent 2015 event to show that  
27 abrupt inflation of the submarine caldera, with a large brittle rupture of the ring fault system due  
28 to overpressure in its magma reservoir, caused the earthquake and tsunami. This submarine  
29 trapdoor faulting mechanism can efficiently generate tsunamis due to large vertical seafloor  
30 displacements, but it inefficiently radiates long-period seismic waves. Similar seismic radiation  
31 patterns and tsunami waveforms due to repeated earthquakes indicate that continuous magma  
32 supply into the caldera induces quasi-regular trapdoor faulting. This mechanism of tsunami  
33 generation by submarine trapdoor faulting underscores the need to monitor submarine calderas  
34 for robust assessment of tsunami hazards.

35

**36 Plain Language Summary**

37 Tsunamis are mainly caused by large submarine earthquakes, but submarine volcanic processes  
38 can also trigger tsunamis. Disproportionately large tsunami waves have been generated every  
39 decade by moderate-sized volcanic earthquakes at a submarine volcano with a caldera structure,  
40 called Sumisu caldera, in the Izu–Bonin arc, south of Japan. Despite the moderate earthquake  
41 size, the maximum wave heights of the tsunamis were about a meter, and their source  
42 mechanism has been controversial. In this study, we used tsunami and seismic data for a recent  
43 earthquake to show that the submarine caldera abruptly uplifts due to brittle rupture of its intra-

44 caldera fault system in response to overpressurization of magma accumulating in its underlying  
45 magma chamber and generates large tsunamis almost once a decade. The atypical source  
46 mechanism for tsunami generation suggests that it is important to monitor active submarine  
47 calderas for assessing tsunami hazards.

48

## 49 **1 Introduction**

50 Large earthquakes in subduction zones with seismic moment magnitudes  $M_w > 7$  are the  
51 main causes of tsunamis, but other submarine geophysical processes, such as volcanism or  
52 landslides, can also trigger tsunamis (Kanamori, 1972; Paris, 2015; Satake, 2015; Ward, 2001).  
53 Because the latter typically do not cause significant seismic ground motion, the difficulty in  
54 forecasting tsunamis results in increased tsunami risk to coastal societies (Grilli et al., 2019;  
55 Hunt et al., 2021; Tappin et al., 1999; Walter et al., 2019). Unusual tsunamis have been reported  
56 for earthquakes generated at Sumisu caldera (also known as Smith caldera), which is a  
57 submarine volcano with an 8 km  $\times$  10 km caldera structure in the Izu–Bonin arc (Figure 1)  
58 (Shukuno et al., 2006; Tani et al., 2008). At the caldera, volcanic earthquakes with moderate  
59 seismic magnitudes ( $M_w$  5.4–5.7) have occurred quasi-regularly in 1984, 1996, 2006, 2015, and  
60 2018 (Figure 1b; Table S1), which are known as Torishima earthquakes (Fukao et al., 2018;  
61 Kanamori et al., 1993; Satake & Kanamori, 1991). The earthquake on 2 May 2015 ( $M_w$  5.7)  
62 caused an disproportionately large tsunami with a maximum wave height of 1 m on Hachijojima  
63 Island, located 180 km north of the caldera (Figure 1c), although no ground shaking was felt on  
64 the island. The other four earthquakes also caused relatively large tsunamis with similar  
65 waveforms at many tide gauge stations (Figures 1d–e and S1). The five earthquakes were  
66 seismologically similar to each other, and all had a moment tensor with a large compensated-

67 linear-vector-dipole (CLVD) component and a dominant nearly vertical tension axis (Figure 1b),  
68 which is often called a vertical-T CLVD earthquake (Shuler, Ekström, et al., 2013; Shuler,  
69 Nettles, et al., 2013).

70         Since the 1984 earthquake, various models have been proposed for this atypical  
71 earthquake mechanism and tsunami generation. These include dip slip on a curved ring fault  
72 system of a caldera, vertical opening of a shallow horizontal crack, and volume change due to  
73 fluid injection at shallow depth (Ekström, 1994; Fukao et al., 2018; Kanamori et al., 1993;  
74 Satake & Kanamori, 1991). However, different interpretations can explain the moment tensors  
75 (Shuler, Ekström, et al., 2013), and no consensus on the earthquake mechanism has yet been  
76 reached, because of the inaccessibility of the submarine caldera. For the 2015 earthquake, the  
77 tsunami was recorded by high-quality ocean-bottom pressure (OBP) gauges of a temporary array  
78 and recently deployed tsunami observation networks to the south of Japan (Figure 1a). The  
79 obtained tsunami waveform and regional seismic data provide an opportunity to determine the  
80 mechanisms responsible for these anomalous volcanic earthquakes.

81         The objective of this study is to determine the source mechanism of the volcanic  
82 earthquakes at Sumisu caldera. We initially conduct a preliminary analysis using only the  
83 tsunami waveform data to estimate the sea-surface disturbance due to the coseismic seafloor  
84 deformation. We then combine the tsunami and long-period seismic data to develop a source  
85 model that can quantitatively explain both datasets. Based on this model, we discuss the source  
86 mechanism of the earthquakes, possible causes of the efficient tsunami excitation and their sub-  
87 decadal recurrence, and implications for the submarine volcanism of Sumisu caldera.

88

## 89 **2 Data**

### 90 2.1 Tsunami data

91 We use tsunami data recorded by 24 OBP gauges (Figure 1a) of the array off Aogashima  
92 Island, the Dense Oceanfloor Network system for Earthquakes and Tsunamis (DONET) system,  
93 the Deep Sea Floor Observatory (DSFO) off Muroto Cape, and the Deep-ocean Assessment and  
94 Reporting of Tsunamis (DART) system. We manually check the data quality (i.e., data gaps,  
95 spikes, or repeated values) near the arrival times of the tsunami signals and remove tidal trends  
96 by fitting polynomial functions. Following Sandanbata, Watada, et al. (2021), we apply a two-  
97 pass second-order low-pass Butterworth filter to the tsunami waveforms. The cut-off frequencies  
98 are 0.0125, 0.0083, 0.0083, and 0.00667 Hz for stations from the array, DONET, DSFO, and  
99 DART, respectively, depending on the maximum depth along a source–station path.

100

### 101 2.2 Long-period seismic data

102 We use seismic data recorded by the BH channel (three components) of 36 regional  
103 stations (epicentral distance  $< 30^\circ$ ) of the F-net and Global Seismograph Network (GSN). The  
104 seismic stations are listed in Table S2. We remove the instrument response from the observed  
105 seismograms to obtain the displacement records and apply a one-pass fourth-order band-pass  
106 Butterworth filter with corner frequencies of 0.004 and 0.0167 Hz (band-pass period = 60–250 s)  
107 using the W-phase package (Duputel et al., 2012; Hayes et al., 2009; Kanamori & Rivera, 2008).

108

### 109 **3 Preliminary analysis: Estimation of the initial sea-surface displacement**

110 As a preliminary step for the source modeling of the 2015 earthquake, we estimate the  
 111 initial sea-surface displacement caused by the earthquake using a tsunami waveform inversion  
 112 method. To compute synthetic tsunami waveforms, we first assume 113 unit sources of sea-  
 113 surface displacement at 2-km intervals in a source area of 32 km  $\times$  32 km around Sumisu caldera  
 114 (Figure S2). Each unit source has a cosine-tapered shape (Hossen et al., 2015):

$$\eta^k(x, y) = 0.25 \times \left[ 1.0 + \cos \frac{\pi(x - x^k)}{L} \right] \times \left[ 1.0 + \cos \frac{\pi(y - y^k)}{L} \right], \quad (1)$$

$$(|x - x^k|, |y - y^k| \leq L)$$

115 where  $(x^k, y^k)$  is the central location in kilometers of the  $k$ th unit source ( $k = 1, 2, \dots, K$ ; here  $K$   
 116 = 113) with a source size of  $2L$  (i.e., 4.0 km). The rise time for each unit source is 10 s, given  
 117 that the earthquake source duration is 10 s as estimated by our moment tensor analysis (Text S1;  
 118 Table S3).

119 We then simulate tsunami propagation over the ocean from the assumed unit sources. To  
 120 compute a tsunami waveform with relatively long-period components at the most distant station  
 121 52404 (located  $\sim$ 1,400 km from the epicenter), we use a phase correction method developed for  
 122 long-period tsunamis (Ho et al., 2017). In this method, we first solve the linear long-wave  
 123 equations with the JAGURS code (Baba et al., 2015) and then correct their phase spectra to  
 124 incorporate the effects of the dispersion, the compressibility and the density stratification of  
 125 seawater, and the elasticity of the Earth. On the other hand, tsunami waveforms at the other  
 126 stations at shorter distances are dominated by shorter-period waves, which makes it inadequate to  
 127 use the linear long-wave equations. Hence, we use a different phase correction method that was  
 128 developed for short-period tsunamis (Sandarbata, Watada, et al., 2021). In this method, we solve

129 the linear Boussinesq equations (approximately including dispersion) by the JAGURS code and  
130 corrected their phase spectra to incorporate the accurate dispersion, the effects of seawater  
131 compressibility and density stratification, and the Earth's elasticity. The latter phase correction  
132 method incorporates variations in ray paths of highly dispersive short-period waves and enables  
133 us to compute short-period waveforms more accurately. In both cases, the computational time-  
134 step interval is 0.25 s. We use high-resolution bathymetric data (10 arcsec, ~300 m, grid spacing)  
135 processed from M7000 Digital Bathymetric Chart (M7022) for the area near Sumisu caldera and  
136 Aogashima Island, whereas we use JTOPO30 and GEBCO\_2014 (30 arcsec grid spacing) for the  
137 other regions. When the tsunami wavelength is comparable to or shorter than the water depth, the  
138 bottom pressure change becomes smaller and smoother than that just beneath the sea surface  
139 which is equivalent to the static water pressure of the wave height. To include this pressure  
140 reduction effect, we apply a spatial low-pass filter, often referred to as the Kajiura filter (Kajiura,  
141 1963), to the wave-height field output for every 5.0 s and obtain the OBP change at the stations  
142 (Chikasada, 2019). We also apply the low-pass Butterworth filter to the time series of the OBP  
143 change as used for the OBP data. Hereafter, the tsunami waveforms are OBP waveforms (in the  
144 [cm H<sub>2</sub>O] scale).

145         After computing the synthetic tsunami waveforms  $g_j^k(t)$  from the  $k$ th unit source to the  
146  $j$ th station ( $j = 1, 2, \dots, J$ ; here  $J = 24$ ), we solve a linear inverse problem to estimate the initial  
147 sea-surface displacement. Because the wave amplitudes of the near-field data (a few centimeter)  
148 is much larger than those of the regional-field data (a few millimeters), we normalize the  
149 observed and synthetic waveforms at the  $j$ th station by the weight  $w_j$ , following the method of  
150 Ho et al. (2017). The weight is the inverse root-mean-square (RMS) value of the observed  
151 waveform at each station:

$$\frac{1}{w_j} = \sqrt{\frac{\sum_{l=0}^{\gamma_j} \{d_j(t_l)\}^2}{\gamma_j}}, \quad (2)$$

152 where  $d_j(t_l)$  is the tsunami waveform data for the  $j$ th station and  $\gamma_j$  is the number of data points  
 153 used for the analysis. Using the normalized observed and synthetic waveform data, we solve the  
 154 following observation equation with the damped least-squares method (pp. 695–699 in Aki &  
 155 Richards, 1980):

$$\begin{bmatrix} \bar{\mathbf{d}} \\ \mathbf{0} \end{bmatrix} = \begin{bmatrix} \bar{\mathbf{g}} \\ \alpha \mathbf{I} \end{bmatrix} \mathbf{m}, \quad (3)$$

156 where  $\bar{\mathbf{d}} = [w_1 d_1(t) \quad \cdots \quad w_j d_j(t)]^T$  and  $\bar{\mathbf{g}} = \begin{bmatrix} w_1 g_1^1(t) & \cdots & w_1 g_1^K(t) \\ \vdots & \ddots & \vdots \\ w_j g_j^1(t) & \cdots & w_j g_j^K(t) \end{bmatrix}$  are the column vector

157 of the observed waveform data  $d_j(t)$  and the matrix of the synthetic waveform data  $g_j^k(t)$   
 158 weighted by  $w_j$  at the  $j$ th station (Equation 2), respectively, and  $\mathbf{m} = [m^1 \quad \cdots \quad m^K]^T$  is an  
 159 unknown column vector of the amplitude factors to be multiplied by the  $k$ th unit source,  $\mathbf{I}$  is the  
 160 identity matrix, and  $\alpha$  is the damping parameter used to obtain a smooth model. We assume  $\alpha =$   
 161 2.0 to achieve an appropriate trade-off between the waveform fit and the smoothness of the  
 162 solution (Figure S3).

163 Thus, we obtain an initial sea-surface displacement model, composed of a sea-surface  
 164 uplift of about 1 m over the caldera floor, with its uplift peak shifted northeastward relative to the  
 165 caldera center, and smaller subsidence outside of the caldera rim mainly on the northeastern side  
 166 (Figure 2a). This model reproduces the tsunami waveform data (Figure S4). To examine the  
 167 robustness of the exterior subsidence, we estimate the initial sea-surface uplift model, without  
 168 subsidence, by imposing a non-negative condition when solving Equation 3. The obtained uplift

169 model, containing only a larger northeastern uplift, cannot reproduce the tsunami first motions  
170 with initial downswing signals of the relatively near-field stations in the northeastern direction  
171 (A01–10; Figure 2b). This result suggests that, during the earthquake, the exterior of the caldera  
172 subsided at least on its northeastern side. Note that a previous study (Fukao et al., 2018)  
173 proposed a symmetrical caldera floor uplift model surrounded by peripheral subsidence based  
174 only on the array off Aogashima Island, but Sandanbata, Watada, et al. (2021) demonstrated that  
175 the model of Fukao et al. does not explain the tsunami arrival times at the stations of DONET,  
176 DSFO and DART. Our tsunami waveform inversion using all the OBP data with wider azimuthal  
177 coverage suggests that subsidence is localized on the northeastern side of the caldera.

178

## 179 **4 Source modeling of the 2015 earthquake: methodology**

### 180 4.1 Hypothetical earthquake source mechanism

181 We next explore the source model of the 2015 earthquake by combining analyses of the  
182 tsunami and long-period seismic data. From the deformation pattern determined in the  
183 preliminary analysis (Section 3), we hypothesize a source mechanism of *trapdoor faulting* at  
184 Sumisu caldera (Figure 3a). Most calderas are known to have pre-existing circular or elliptical  
185 fault systems formed during caldera collapse in the past, where faulting events, called *ring-*  
186 *faulting*, often take place (e.g., Ekström, 1994; Shuler, Ekström, et al., 2013; Contreras-Arratia &  
187 Neuberg, 2019). In the subaerial caldera of Sierra Negra, the Galapagos Islands, seismic events  
188 that caused large asymmetric uplifts were observed geodetically (e.g., Amelung et al., 2000;  
189 Chadwick et al., 2006; Jónsson, 2009). Based on the geodetic observations, previous studies (e.g.,  
190 Amelung et al., 2000; Yun, 2007; Zheng et al., 2022) showed that the ring-faulting that interacts

191 with the deformation of its underlying sill-like magma reservoir can cause an asymmetric caldera  
192 deformation; this mechanism is referred to as trapdoor faulting. The seismic radiations from the  
193 trapdoor faulting events were characterized by vertical CLVD moment tensors (Sandarbata,  
194 Kanamori, et al., 2021; Shuler, Ekström, et al., 2013). Similarly, the trapdoor faulting mechanism  
195 is presumed to have generated large asymmetric coseismic uplift of Sumisu caldera (as shown in  
196 Section 3) with a vertical-T CLVD earthquake. Young lava domes located along a line forming  
197 an elliptic shape on the floor of Sumisu caldera (Tani et al., 2008) (Figure 1b) also indicate that a  
198 ring fault system is connected to a shallow reservoir filled with magma (Cole et al., 2005).

199

## 200 4.2 Tsunami waveform inversion for trapdoor faulting

201 We again use a tsunami waveform inversion method with the OBP data but this time for  
202 directly determining the trapdoor faulting motion. The inversion procedure is as follows.

### 203 4.2.1 Source structure

204 To model the trapdoor faulting beneath Sumisu caldera, we assume an inward-dipping  
205 ring fault with reverse slip motion and a horizontal crack with vertical deformation (opening or  
206 closing) (Figures 3b and S5a). We consider reverse slip of the inward-dipping ring fault, because  
207 vertical-T CLVD earthquakes accompanying a caldera floor uplift are expected for the  
208 combination of the slip and dip directions of the ring-faulting (see Figure 9 in Shuler, Ekström, et  
209 al., 2013, or Figure 1 in Sandarbata, Kanamori, et al., 2021). The ring fault is elliptical with its  
210 center at (140.0454°E, 31.4816°N) and its major axis oriented N70°E, and its horizontal size is  
211 3.0 km × 2.7 km on the seafloor. The ring fault may not be a full ring; the arc length is varied as  
212 1/3, 2/3 and 1 (full ring), but the midpoint is fixed to the northeastern corner of the caldera. The

213 ring fault extends with a uniform inward dip angle from the seafloor to the edge of the elliptical  
 214 horizontal crack. We try tens of source structures with three variable geometric parameters: (a)  
 215 the depth of the horizontal crack (3 or 6 km); (b) the dip angle of the ring fault (70–90°); and (c)  
 216 the arc length of the ring fault (1/3, 2/3, and full ring; Figure S5b–d).

217 We discretize the source structures into triangular source elements. The ring fault is  
 218 divided into triangular elements with an arc angle of 22.5° along the circumference and 1 km  
 219 along the depth, and a rhomb shape composed of two neighboring triangular elements with the  
 220 same dip and strike angles is regarded as a sub-fault. The horizontal crack is discretized by  
 221 triangular elements using the DistMesh code (Persson & Strang, 2004), and each element is  
 222 regarded as a sub-crack. Assuming the geometry of sub-faults and sub-cracks, we will determine  
 223 amounts of reverse slip at each sub-fault and opening (or closing) at each sub-crack, which are  
 224 denoted by  $\mathbf{s} = [s_1 \ \cdots \ s_{N_s}]^T$  and  $\boldsymbol{\delta} = [\delta_1 \ \cdots \ \delta_{N_\delta}]^T$ , respectively. Since the dislocations of  
 225 the ring fault and the horizontal crack should be consistent at their contacts, we impose a  
 226 kinematic condition that links the vertical component of the sub-fault slip at the ring fault bottom  
 227 to the sub-crack opening at the crack edge adjacent to the sub-fault. The kinematic condition can  
 228 be written as:

$$s_p \sin \Delta_p = \delta_q, \quad (4)$$

229 where  $\Delta_p$  is the dip angle of the  $p$ th sub-fault to which the  $q$ th sub-crack is adjacent.

#### 230 4.2.2 Computation of the tsunami Green's functions

231 We then compute synthetic tsunami waveforms, or Green's functions  $G_{ij}$ , relating the  
 232 dislocation (i.e., reverse slip of the sub-fault and vertical opening of the sub-crack) of the  $i$ th  
 233 source element ( $i = 1, 2, \dots, I$ ;  $I$  depends on the source structures) to the tsunami waveform at the

234  $j$ th station. For this purpose, we reuse the synthetic tsunami waveforms  $g_j^k$  from unit sources of  
 235 sea surface displacement  $\eta^k$ , which were computed in Section 3. By reusing  $g_j^k$ , we do not have  
 236 to simulate tsunami propagation over the ocean as done in Section 3, which significantly reduces  
 237 the computational cost and helps us to efficiently assess the inversions for tens of source  
 238 structures, each of which consists of  $I > 50$  source elements. The computation of  $G_{ij}$  is  
 239 performed with the following three steps.

240 First, we calculate the vertical seafloor displacements from 1 m reverse slips of sub-faults  
 241 and 1 m opening of sub-cracks with the triangular dislocation method (Nikkhoo & Walter, 2015)  
 242 assuming a Poisson's ratio of 0.25 and a flat seafloor, and we convert the seafloor displacements  
 243 into the vertical *sea-surface* displacements by applying the Kajiura filter (Kajiura, 1963)  
 244 assuming a water depth of 800 m; this filtering process is required because the resultant vertical  
 245 sea-surface displacement becomes smaller and smoother than that at the seafloor when the  
 246 horizontal scale of the seafloor displacement is comparable to or smaller than the water depth  
 247 (e.g., Saito & Furumura, 2013). We thus denote the vertical sea-surface displacement from the  
 248  $i$ th source element  $h_i(x, y)$ .

249 Second, the computed sea-surface displacement  $h_i(x, y)$  is approximated by a linear  
 250 combination of the unit sources of sea-surface displacement  $\eta^k(x, y)$  (Equation 1; Figure S2):

$$h_i(x, y) \approx \sum_{k=1}^K m_i^k \eta^k(x, y), \quad (5)$$

251 where the amplitude factors  $m_i^k$  are obtained by a least square method.

252 Third, we obtain Green's functions relating the  $i$ th source element to the  $j$ th station by  
 253 superimposing the synthetic tsunami waveforms from the  $k$ th unit sources  $g_j^k(t)$  multiplied by  
 254 the amplitude factors  $m_i^k$ , as follows:

$$G_{ij}(t) = \sum_{k=1}^K m_i^k g_j^k(t). \quad (6)$$

255

## 256 4.2.3 Inverse problem

257 Finally, we solve the observation equation, with the kinematic condition (Equation 4), by  
258 the damped least-squares method:

$$\begin{bmatrix} \bar{\mathbf{d}} \\ \mathbf{0} \\ \mathbf{0} \end{bmatrix} = \begin{bmatrix} \bar{\mathbf{G}} \\ \mathbf{K} \\ \beta \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{s} \\ \boldsymbol{\delta} \end{bmatrix}, \quad (7)$$

259 where  $\bar{\mathbf{d}}$  is the column vector of the observed tsunami waveforms  $d_j$  normalized by  $w_j$  at the  $j$ th

260 station (Equation 2), and  $\bar{\mathbf{G}} = \begin{bmatrix} w_1 G_{11}(t) & \cdots & w_1 G_{I1}(t) \\ \vdots & \ddots & \vdots \\ w_J G_{1J} & \cdots & w_J G_{IJ}(t) \end{bmatrix}$  is the matrix of the Green's functions

261  $G_{ij}$  normalized by  $w_j$ .  $\mathbf{s}$  is an unknown column vector of reverse slip amounts for sub-faults of  
262 the ring fault, for which we impose the non-zero condition ( $\mathbf{s} \geq \mathbf{0}$ ), and  $\boldsymbol{\delta}$  is an unknown column  
263 vector of opening amounts for sub-cracks of the horizontal crack, for which we allow either  
264 positive (opening) or negative (closing) values. The linear equation of  $\mathbf{K} \begin{bmatrix} \mathbf{s} \\ \boldsymbol{\delta} \end{bmatrix} = \mathbf{0}$  represents the  
265 kinematic condition of Equation 4.  $\beta$  is the damping parameter for smoothing, which we set at  
266 0.3, by balancing the waveform fit and the smoothness of the motion (Figure S6). The inversion  
267 time windows include several wave crests and troughs. Thus, we obtain a source model of the  
268 trapdoor faulting with the assumed source structure.

269 To evaluate the model performance, we calculate the normalized root-mean-square  
270 (NRMS) misfit of the tsunami waveforms, which we term the tsunami waveform misfit:

$$\rho^t = \sqrt{\sum_j \|\mathbf{c}_j^t - \mathbf{d}_j^t\|^2 / \sum_j \|\mathbf{c}_j^t\|^2}, \quad (8)$$

271 where  $\mathbf{c}_j^t$  and  $\mathbf{d}_j^t$  are the column vectors of the synthetic and observed tsunami waveforms of the  
 272 model in inversion time window at the  $j$ th station, respectively.  $\|\cdot\|$  denotes the L2 norm.

273

#### 274 4.3 Computation of the long-period seismic waveforms

275 For validation of the source model inverted from the tsunami data, we compute long-  
 276 period seismic waveforms for the model and compare them with the long-period seismic data.  
 277 Because the wavelength of seismic data we use is much longer than the size of the caldera, the  
 278 seismic source can be modeled by a point-source moment tensor. The total moment tensor  $\mathbf{M}$  of  
 279 the source model is calculated as:

$$\mathbf{M} = \mathbf{M}_{RF} + \mathbf{M}_{HC} = \sum \mathbf{m}_{RF}^p + \sum \mathbf{m}_{HC}^q, \quad (9)$$

280 where  $\mathbf{M}_{RF}$  and  $\mathbf{M}_{HC}$  are the moment tensors of the ring fault and horizontal crack, respectively,  
 281 and  $\mathbf{m}_{RF}^p$  and  $\mathbf{m}_{HC}^q$  are the moment tensors of the  $p$ th sub-fault slip and the  $q$ th sub-crack opening  
 282 or closure, respectively (Figure S7a). The coordinate system is  $(r, \theta, \phi)$  for [up, south, east].  $\mathbf{m}_{RF}^p$   
 283 is computed from the reverse slip amount and strike, dip, and rake ( $90^\circ$ ) angles of the  $p$ th sub-  
 284 fault (Box 4.4 in Aki & Richards, 1980). The seismic moment is computed as  $\mu s_p A_p$ , where  $s_p$   
 285 and  $A_p$  are the reverse slip amount and area of the  $p$ th sub-fault, and  $\mu$  is the rigidity, or Lamé's  
 286 constant.  $\mathbf{m}_{HC}^q$  is calculated as:

$$\mathbf{m}_{HC}^q = \begin{bmatrix} M_{rr} & M_{\theta r} & M_{\phi r} \\ M_{r\theta} & M_{\theta\theta} & M_{\phi\theta} \\ M_{r\phi} & M_{\theta\phi} & M_{\phi\phi} \end{bmatrix} = \delta_q \times A_q \times \begin{bmatrix} \lambda + 2\mu & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{bmatrix}, \quad (10)$$

287 where  $\delta_q$  and  $A_q$  are the opening amount and area of the  $q$ th sub-crack, respectively (Kawakatsu  
 288 & Yamamoto, 2015). Lamé's constants  $\lambda$  and  $\mu$  are assumed to be 29.90 and 31.85 GPa,  
 289 respectively, based on the P- and S-wave velocities ( $V_p = 6.0$  km/s and  $V_s = 3.5$  km/s) and the  
 290 density ( $\rho_0 = 2.6 \times 10^3$  kg/m<sup>3</sup>) in the shallowest layer of the Earth model (Figure S7b). The  
 291 scalar seismic moment of the moment tensor is  $M_0 = \sqrt{\sum_{ij} M_{ij} M_{ij} / 2}$  (pp. 166–167 in Dahlen &  
 292 Tromp, 1998; Silver & Jordan, 1982), and the moment magnitude is  $M_w =$   
 293  $\frac{2}{3} (\log_{10} M_0 - 9.10)$ , with  $M_0$  in the [N m] scale (Hanks & Kanamori, 1979; Kanamori, 1977).

294 By assuming the moment tensor (Equation 9), we compute the long-period (60–250 s)  
 295 seismic waveforms with the W-phase package (Duputel et al., 2012; Hayes et al., 2009;  
 296 Kanamori & Rivera, 2008). We compute the Green's functions of the seismic waveforms for the  
 297 one-dimensional crustal velocity model for Japan (Figure S7b) using the wavenumber integration  
 298 method (Herrmann, 2013). We fix the centroid location at a depth of 1.5 km below the seafloor  
 299 in the center of Sumisu caldera (140.053°E, 31.485°N). The half duration of the source time  
 300 function and the centroid time shift relative to the origin time reported by the Global CMT  
 301 (GCMT) catalogue (Ekström et al., 2012; Table S1) are assumed to be 5 s, based on our moment  
 302 tensor analysis (Table S3). We apply the same filter as the seismic data (see Section 2.2) to the  
 303 synthetic waveforms.

304 To evaluate the model performance, we calculate the NRMS misfit of the long-period  
 305 seismic waveforms, which we term the seismic waveform misfit:

$$\rho^s = \sqrt{\sum_j \|\mathbf{c}_j^s - \mathbf{d}_j^s\|^2 / \sum_j \|\mathbf{c}_j^s\|^2}, \quad (11)$$

306 where  $\mathbf{c}_j^s$  and  $\mathbf{d}_j^s$  are the column vectors of the synthetic and observed seismic records at the  $j$ th  
307 channels, respectively. We set the time window to include the P, S, and surface waves.

308

## 309 **5 Source modeling of the 2015 earthquake: Results**

310 By the tsunami waveform inversion, we obtain tens of trapdoor faulting models for the  
311 2015 earthquake with different combinations of the three geometric source parameters (i.e., the  
312 depth of the horizontal crack, the dip angle and arc length of the ring fault). As an example,  
313 Figure 3b shows the result when the horizontal crack is at a 3 km depth and the ring fault has a  
314 dip angle of  $85.0^\circ$  and a 2/3-ring arc length. This model shows that the ring fault has nonzero  
315 slips at all depths that are consistent with the horizontal crack motions at the bottom (Figure 3c).  
316 The nonzero slips at all the depths are obtained for most models with the horizontal crack at a  
317 depth of 3 km. By contrast, if we assume that the horizontal crack is at a depth of 6 km, the ring  
318 fault has a zone with zero slip in the middle depth of the ring fault (Figure S8), which we  
319 consider unrealistic for the fault system. Hence, we suggest that the horizontal crack is at a  
320 shallower depth and hereafter show models with a horizontal crack at the depth of 3 km.

321 Figure 4 shows the tsunami NRMS misfit for the models with the crack at 3-km depth.  
322 The misfit varies only slightly as a function of the dip angle and the arc length of the ring fault.  
323 Figure 5 shows that the source model with a 2/3-ring arc length (shown in Figure 3b) reproduces  
324 the observed tsunami data well. Similarly, models with a full or 1/3-ring arc length yield good  
325 waveform fits (Figures S9 and S10). These indicate that the tsunami waveform data provide little  
326 constraint on the ring-fault parameters. However, we emphasize that, irrespective of the assumed  
327 ring-fault arc length (2/3, full, or 1/3-ring), the obtained source models are expected to similarly

328 cause larger sea-surface uplifts over the northeastern part of the caldera but much smaller over  
329 the southwestern part (see Figures 5a, S9b, and S10b). This implies that the ring faulting  
330 occurred mainly around the northeastern side but was not large on the southwestern side.

331 To further constrain the model, we use the long-period seismic data. In contrast to the  
332 tsunami data, the seismic data are useful to constrain the ring fault parameters. In Figure 4, we  
333 plot the seismic NRMS misfit as a function of the dip angle and the arc length of the ring fault.  
334 First, the seismic waveform misfit strongly depends on the ring-fault dip angle. Figure 6  
335 compares trapdoor faulting motions, moment tensors, and synthetic seismograms of three models  
336 with different dip angles (but similarly with a 2/3-ring arc length), showing that the amplitudes  
337 of seismic waveforms are significantly different despite similar slip amounts and  $M_w$  determined  
338 by the tsunami waveform inversion. This is because the ring-faulting at such a shallow depth  
339 becomes less efficient in radiating long-period seismic waves as the dip angle becomes closer to  
340 the vertical (Sandarbata, Kanamori, et al., 2021). From the seismic NRMS misfits (Figure 4), we  
341 determine optimal dip angles to be  $85.0^\circ$ ,  $85.5^\circ$ , and  $83.5^\circ$  for 2/3, full, and 1/3-ring arc lengths,  
342 respectively. Note that if we assume a smaller rigidity for the shallow source depth, the optimal  
343 dip angles become smaller (see Text S2).

344 Among the three models with the optimal dip angles, the 2/3-ring arc-length model  
345 yields the smallest seismic NRMS misfit of 0.425 (Figure 4; 0.465 and 0.480, for the full and  
346 1/3-ring arc lengths, respectively); this model is shown in Figure 3b. Figure 7 shows the moment  
347 tensors and synthetic seismograms for the 2/3-ring arc-length model, which overall explain the  
348 observed seismic waveforms. For comparison, we show in Figures S11 and S12 the cases for the  
349 full and 1/3-ring arc-length models with the optimal dip angles. The preference of the 2/3-ring  
350 arc-length model over the other two models can be seen in the better phase fits of the horizontal

351 components at some stations (e.g., BHE channel of KZS, YMZ, and TYS, and BHN channel of  
352 AMM in Figures 7d, S11d, and S12d). As shown in Sandanbata, Kanamori, et al. (2021), the  
353 seismic radiation pattern of the ring faulting is sensitive to the ring-fault arc length, and the side  
354 on which the ring-fault is placed, because of the geometrical cancelation of double-couple  
355 components of the moment tensor (see Figure 2 of Sandanbata, Kanamori, et al., 2021, for  
356 example). This property causes differences in seismic waveforms of the three arc-length models,  
357 which helps us to select the 2/3-ring arc-length model as the most preferable model.

358         In summary, based on the tsunami and seismic analyses above, we consider the model  
359 shown in Figure 3b as our best-fit source model for the 2015 earthquake. This model has a  
360 horizontal crack at the depth of 3 km and a ring fault with an inward dip angle of  $85^\circ$  along a  
361 2/3-ring arc length. The ring fault has a maximum reverse slip of 6.8 m on its northeastern side.  
362 The vertical opening of the horizontal crack is a maximum of 2.7 m on its eastern side, whereas  
363 its closure is 5.0 m on its southwestern side. The model causes asymmetric motion of the caldera  
364 block, with a maximum upward displacement of over 3 m along the northeastern part of the ring  
365 fault and a maximum downward displacement of about 1 m along the southwestern part of the  
366 crack (Figure 3d). The resultant net volume increase of the horizontal crack is  $1.26 \times 10^7 \text{ m}^3$ .

367         The vertical sea-surface displacement caused by this model (Figure 5a) is twice as large  
368 as and more localized uplift than our preliminary analysis result (Figure 2a), but can well explain  
369 the tsunami waveform data at all the OBP gauges (Figure 5b). Note that in the preliminary  
370 analysis, the main uplift was estimated in a relatively broader area (Figure 2a) because of no  
371 constraint from the source structure, which possibly led to the underestimation of the amplitude.

372         Figure 8 compares contributions of the ring fault and the horizontal crack to tsunamis at  
373 representative stations. Tsunami waveforms from the two parts are more different at shorter

374 distances (see A01–A04), indicating the importance of near-field tsunami observations to  
 375 distinguish the two sources. We note that if we perform the tsunami waveform inversion by  
 376 assuming only either the ring fault or the horizontal crack, the waveform fit clearly deteriorates  
 377 (Figures S13 and S14), demonstrating that the trapdoor faulting model is an appropriate model  
 378 for the earthquake.

379 The moment tensor of the source model (Figure 7a) with a large isotropic component  
 380 consists of the ring fault (Figure 7b) and the horizontal crack (Figure 7c) components. This  
 381 model well explains the long-period seismic data at most stations, as shown in Figure 7d.  
 382 Although slight waveform discrepancies are seen in several records (e.g., BHE channel of KZS,  
 383 YMZ, and TYS, and BHN channel of AMM), they can be substantially reduced by performing  
 384 the source modeling with slight modifications of dip angles in parts of the ring fault (see Text S3,  
 385 Figures S15 and S16).

386 To consider contributions to long-period seismic waves from each of source parts, we  
 387 show in Figure 9 long-period seismograms computed for the three partial moment tensors from  
 388 our best-fit source model: (a) the ring fault only ( $\mathbf{M}_{RF}$ :  $M_w$  6.11); (b) the horizontal crack only  
 389 ( $\mathbf{M}_{HC}$ :  $M_w$  5.91); and (c) the ring fault only, but without  $M_{r\theta}$  and  $M_{r\phi}$  (i.e.,  $M_{rr}$ ,  $M_{\theta\theta}$ ,  $M_{\phi\phi}$ , and  
 390  $M_{\theta\phi}$  of  $\mathbf{M}_{RF}$ :  $M_w$  5.71). The seismic magnitude of  $\mathbf{M}_{HC}$  is larger than that of  $\mathbf{M}_{RF}$ , but the  
 391 seismic amplitudes from  $\mathbf{M}_{HC}$  are smaller than those from  $\mathbf{M}_{RF}$  (compare Figures 9a and 9b).  
 392 Additionally, synthetic seismograms from  $\mathbf{M}_{RF}$  change little even after excluding the two  
 393 moment tensor elements ( $M_{r\theta}$  and  $M_{r\phi}$ ; compare Figures 9a and 9c), suggesting the very small  
 394 contribution by the two elements. These highlight the very small long-period seismic excitations  
 395 from the horizontal crack and the two elements ( $M_{r\theta}$  and  $M_{r\phi}$ ) of the ring fault that occur at  
 396 shallow depths near the free-traction seafloor surface (pp. 180–183 of Dahlen & Tromp, 1998;

397 Fukao et al., 2018; Sandanbata, Kanamori, et al., 2021). Thus, despite the seismic magnitude  $M_w$   
398 6.16 of  $\mathbf{M}_{HC} + \mathbf{M}_{RF}$  (a seismic moment  $M_0 = 2.16 \times 10^{18}$  Nm; Figure 7a), only the limited part  
399 of the ring faulting  $\mathbf{M}_{RF}$ , excluding the two elements, that corresponds to  $M_w$  5.71 ( $M_0 = 0.46 \times$   
400  $10^{18}$  Nm; equivalent to 22% of the total seismic moment; Figure 9c), contributes the long-period  
401 seismic radiation of the trapdoor faulting. We note that the four moment tensor elements of the  
402 ring fault contributing to the seismic waves constitute a vertical-T CLVD-type moment tensor  
403 (Figure 9c), which agrees with the solution reported in the GCMT catalog (Ekström et al., 2012)  
404 (Figure 1b).

405

## 406 **6 Discussion**

### 407 **6.1 Submarine trapdoor faulting at Sumisu caldera**

408 Our source model (Figure 3b) suggests that submarine trapdoor faulting at Sumisu  
409 caldera, driven by overpressure of magma accumulating in the horizontal crack or a sill-like  
410 reservoir, caused the 2015 earthquake and tsunami (Figure 3a). We suggest that continuous  
411 magma supply into the crack increases the shear stress on the pre-existing ring fault system until  
412 it reaches a critical value for initiation of brittle rupture of the ring fault. Once the trapdoor  
413 faulting process initiates with the ring faulting, the top surface of the horizontal crack moves  
414 vertically upward. The consequent increase in the reservoir volume depressurizes the inner  
415 magma, possibly causing the closure of the southwestern part of the crack, as shown by Zheng et  
416 al. (2022). Due to the volume increase and depressurization of the reservoir, the trapdoor faulting  
417 may not lead to an immediate submarine eruption at the caldera (Amelung et al., 2000).

418           The proposed source model shares many similarities with the model and observations at  
419 the Sierra Negra caldera. At this subaerial caldera in the Galápagos Islands, several trapdoor  
420 faulting events occurred with vertical-T CLVD earthquakes of  $M_w \sim 5$  due to a pressurized sill-  
421 like magma reservoir located at  $\sim 2$  km depth (Amelung et al., 2000; Jónsson, 2009). These  
422 events caused meter-scale uplifts on the southern part of the caldera floor. For example, a Global  
423 Positioning System sensor near the intra-caldera fault recorded an upward displacement of 84 cm  
424 within 10 s of the time of trapdoor faulting in 2005 (Chadwick et al., 2006; Jónsson, 2009). The  
425 duration of the deformation was comparable to the rupture duration (10 s: the half duration of 5  
426 s) of the 2015 earthquake at Sumisu caldera, as estimated by our moment tensor analysis (Text  
427 S1; Table S3). In addition, during the 2005 trapdoor faulting at Sierra Negra, the northern caldera  
428 floor subsided by a few centimeters, which was attributed to the pressure drop of the inner  
429 magma reservoir due to the trapdoor faulting (Jónsson, 2009; Zheng et al., 2022). This  
430 observation is similar to that expected from our model with closure of the crack in the  
431 southwestern part of Sumisu caldera. Zheng et al. (2022) showed that when the inner magma is  
432 compressible, the reservoir volume increases in response to the trapdoor faulting. Our trapdoor  
433 faulting model containing a volume increase of  $1.26 \times 10^7$  m<sup>3</sup> implies that the magma beneath  
434 the caldera is compressible. Our discovery of submarine trapdoor faulting, following the  
435 previous observations at the subaerial Sierra Negra caldera, indicates that this volcanic  
436 phenomenon might be more common at calderas than previously thought.

437

## 438           6.2 Efficient tsunami generation mechanism

439           Trapdoor faulting produces an unusually large fault slip as compared with ordinary  
440 tectonic earthquakes and can generate a large tsunami despite its moderate earthquake magnitude

441 when it occurs under water. For the 2015 earthquake ( $M_w$  5.7 in the GCMT catalog), our best-fit  
442 source model has a maximum slip of 6.8 m along the ring fault (Figure 3b). In contrast, the  
443 empirical scaling law (Wells & Coppersmith, 1994) predicts that tectonic earthquakes with the  
444 same moment magnitude have a maximum slip of only 0.17 m. The subaerial trapdoor faulting in  
445 2005 at the Sierra Negra caldera also caused a large slip of  $\sim 2$  m along the intra-caldera fault,  
446 despite its small seismic body-wave magnitude of 4.6 (Jónsson, 2009; Zheng et al., 2022). These  
447 disproportionately large slips might be possible because the fault system is effectively compliant  
448 as it is connected to the reservoir. Additionally, atypical source properties of trapdoor faulting  
449 such as the shallow source depth, the localized stress increase due to magma overpressure, and/or  
450 the fault–magma interaction during rupture, possibly contribute to large slips.

451         Submarine trapdoor faulting is efficient in generating tsunamis, even for the relatively  
452 low seismic magnitude of the earthquakes, due to its shallow and complex source structure.  
453 Firstly, trapdoor faulting occurring above a shallow magma reservoir at a depth of  $<3$  km more  
454 efficiently deforms the seafloor than tectonic earthquakes that typically occur at a depth of  $>10$   
455 km (Ward, 1982). Secondly, the combination of reverse slip along the ring fault and vertical  
456 motion of the horizontal crack localizes the coseismic uplift on a small area within the circular  
457 ring fault (Figures 5a and 8a–b). As such, trapdoor faulting can generate larger tsunamis than  
458 ordinary seismic faults of an equivalent fault size. However, at such shallow depths, the vertical  
459 motion of the horizontal crack and the two moment tensor elements,  $M_{r\theta}$  and  $M_{r\phi}$ , of the ring  
460 fault are inefficient in radiating long-period seismic waves (Fukao et al., 2018; Sandanbata,  
461 Kanamori, et al., 2021), as shown earlier in Section 5. Additionally, the curved geometry of the  
462 ring fault also reduces long-period seismic amplitudes by the geometrical cancelation of the

463 double-couple components (Ekström, 1994; Shuler, Ekström, et al., 2013; Sandanbata,  
464 Kanamori, et al., 2021).

465

### 466 6.3 Quasi-regular recurrence of submarine trapdoor faulting

467 We suggest that continuous magma supply below Sumisu caldera causes submarine  
468 trapdoor faulting almost every decade. By additional moment tensor analysis using long-period  
469 seismic data (Text S3), we estimate the *resolvable moment tensor*  $M_{res}$  for the four earthquakes,  
470 which was studied in Sandanbata, Kanamori, et al. (2021) to constrain the ring-fault geometry.  
471 The resolvable moment tensors characterized by the null-axis direction and ratio of the vertical-  
472 CLVD component ( $k_{CLVD}$ ), which was introduced in Sandanbata, Kanamori et al. (2021) and is  
473 explained in Text S1, are similar for the 1996, 2006, and 2015 earthquakes (Figures 10a–c).  
474 These similarities indicate that, at the times of the earthquakes, trapdoor faulting occurred along  
475 almost the same ring-fault segment of the source model for the 2015 earthquake (Figure 3b).  
476 This interpretation is supported by their similar tsunami waveforms recorded at tide gauges  
477 (Figures 1d–e and S1). The overall recurrence interval of ~10 yr may correspond to the time  
478 required to accumulate enough magma overpressure within the reservoir to rupture the ring fault  
479 (Cabaniss et al., 2020; Gregg et al., 2018). On the other hand, the resolvable moment tensor for  
480 the 2018 earthquake, which occurred only three years after the 2015 earthquake, contains a more  
481 dominant double-couple component (i.e., smaller  $k_{CLVD}$ ) and has a smaller moment magnitude  
482  $M_w$  (Figure 10d), suggesting that the trapdoor faulting in 2018 caused a rupture along a ring-fault  
483 segment with a shorter arc length than for the other events. This may explain the smaller tsunami  
484 associated with the 2018 earthquake (Figures 1d–e and S1). Some complexities linked to source  
485 geometries, frictional properties along the ring fault, or magma supply rate may cause variations

486 in the size, ring-fault length, and recurrence interval of trapdoor faulting. For seismic waveform  
487 comparison, we show the results of moment tensor analyses for the four events in Figures S17–  
488 20.

489 The topography of Sumisu caldera also reflects the longer-term recurrence of trapdoor  
490 faulting. Our source model predicts that the submarine trapdoor faulting in 2015 uplifted the  
491 northeastern part of the caldera floor but caused little deformation in its southwestern part  
492 (Figure 10e). Along a SW–NE profile across the caldera (A–B in Figure 10e), coseismic vertical  
493 displacement with an offset of about 4 m correlates with the caldera floor topography, which  
494 slopes upward from the SW to NE with an altitude offset of ~150 m (Figure 10f). A similar  
495 correlation was found at the Sierra Negra caldera (Amelung et al., 2000), where trapdoor faulting  
496 has occurred repeatedly due to continuous magma input. This suggests that magma supply has  
497 been continuous at Sumisu caldera, thereby causing submarine trapdoor faulting repeatedly and  
498 forming the slope of the caldera floor. Since an explosive submarine eruption in 1916 (Japan  
499 Meteorological Agency, 2013), no clear evidence of eruptions has been found at Sumisu caldera  
500 and the relationship between trapdoor faulting and eruptions is still unclear.

501

#### 502 6.4 Mechanisms of volcanic tsunami generation

503 Various mechanisms have been proposed to generate volcanic tsunamis: submarine  
504 explosions, pyroclastic flows, flank failures, caldera collapses, volcanic earthquakes  
505 accompanying eruptions, and interactions of ocean waves with atmospheric waves from volcanic  
506 explosions (Paris, 2015; Paris et al., 2014). The submarine trapdoor faulting mechanism  
507 identified in this study may be categorized as a volcanic earthquake mechanism, but is

508 characterized by large-amplitude tsunamis without significant seismic radiation and by quasi-  
509 regular recurrence. This mechanism may also explain unusual tsunamis with similar  
510 characteristics generated near the volcanic islands in the Kermadec Arc, north of New Zealand  
511 (Gusman et al., 2020). These volcanic tsunamis due to submarine trapdoor faulting suggest that  
512 continuous monitoring of submarine calderas is necessary to reliably assess tsunami hazards.

513

## 514 **7 Conclusions**

515 By using remotely observed tsunami and long-period seismic data for the 2015  
516 earthquake at Sumisu caldera, we constructed a source model of submarine trapdoor faulting,  
517 which can quantitatively explain both datasets. The combined waveform analyses also allow us  
518 to constrain the magma reservoir depth and ring-fault geometry. Based on the model, we show  
519 that the atypical source properties, or large slip on a shallow and complex structure, contributed  
520 to meter-scale tsunami generation despite the moderate seismic magnitude. The sub-decadal  
521 recurrence of trapdoor faulting with similar tsunamis and seismic characteristics suggests that  
522 continuous magma supply into the submarine caldera is taking place. Further investigations of  
523 the submarine caldera using *in situ* geophysical instruments, such as hydrophones, seismometers,  
524 pressure sensors, and ship-borne surveys will be useful for understanding the volcanism,  
525 including the magma accumulation process. This may lead to improved predictions of future  
526 submarine trapdoor faulting and/or eruptions.

527

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536

### 537 **Open Research**

538 The earthquake data are from the Global CMT catalog (Ekström et al., 2012;  
539 <https://www.globalcmt.org/>). Tide gauge data are available on request from the Japan  
540 Meteorological Agency (<https://www.jma.go.jp/jma/indexe.html>) and Hydrographic and  
541 Oceanographic Department, Japan Coast Guard  
542 ([https://www1.kaiho.mlit.go.jp/TIDE/gauge/index\\_eng.php](https://www1.kaiho.mlit.go.jp/TIDE/gauge/index_eng.php)) upon requests. Bathymetric data of  
543 M7000 Digital Bathymetric Chart and JTOPO30 are available from the Japan Hydrographic  
544 Association ([https://www.jha.or.jp/shop/index.php?main\\_page=index](https://www.jha.or.jp/shop/index.php?main_page=index)) and GEBCO\_2014 Grid  
545 is available from GEBCO Compilation Group (Weatherall et al., 2015;  
546 [https://www.gebco.net/data\\_and\\_products/gridded\\_bathymetry\\_data/gebco\\_30\\_second\\_grid/](https://www.gebco.net/data_and_products/gridded_bathymetry_data/gebco_30_second_grid/)).  
547 Ocean bottom pressure data of the array off Aogashima Island (Fukao et al., 2019) and the Deep  
548 Sea Floor Observatory off Muroto Cape (Momma et al., 1997) are available from the Japan  
549 Agency for Marine-Earth Science and Technology (<http://p21.jamstec.go.jp/top/>; currently under  
550 construction), DONET data are available from National Research Institute for Earth Science and  
551 Disaster Resilience (National Research Institute for Earth Science and Disaster Resilience,

552 2019a; <https://www.seafloor.bosai.go.jp/>), and DART data is available from National Oceanic  
553 and Atmospheric Administration (National Oceanic and Atmospheric Administration. 2005;  
554 <https://nctr.pmel.noaa.gov/Dart/>). F-net seismic data of F-net are available from the NIED  
555 (National Research Institute for Earth Science and Disaster Resilience, 2019b;  
556 <https://www.fnet.bosai.go.jp/top.php?LANG=en>), and Global Seismograph Network data are  
557 available through the IRIS Wilber 3 system (<https://ds.iris.edu/wilber3/>) or IRIS Web Services  
558 (<https://service.iris.edu/>), including the IU seismic network (GSN; Albuquerque, 1988). The  
559 source models presented in this paper are detailed in Data Set S1.

560

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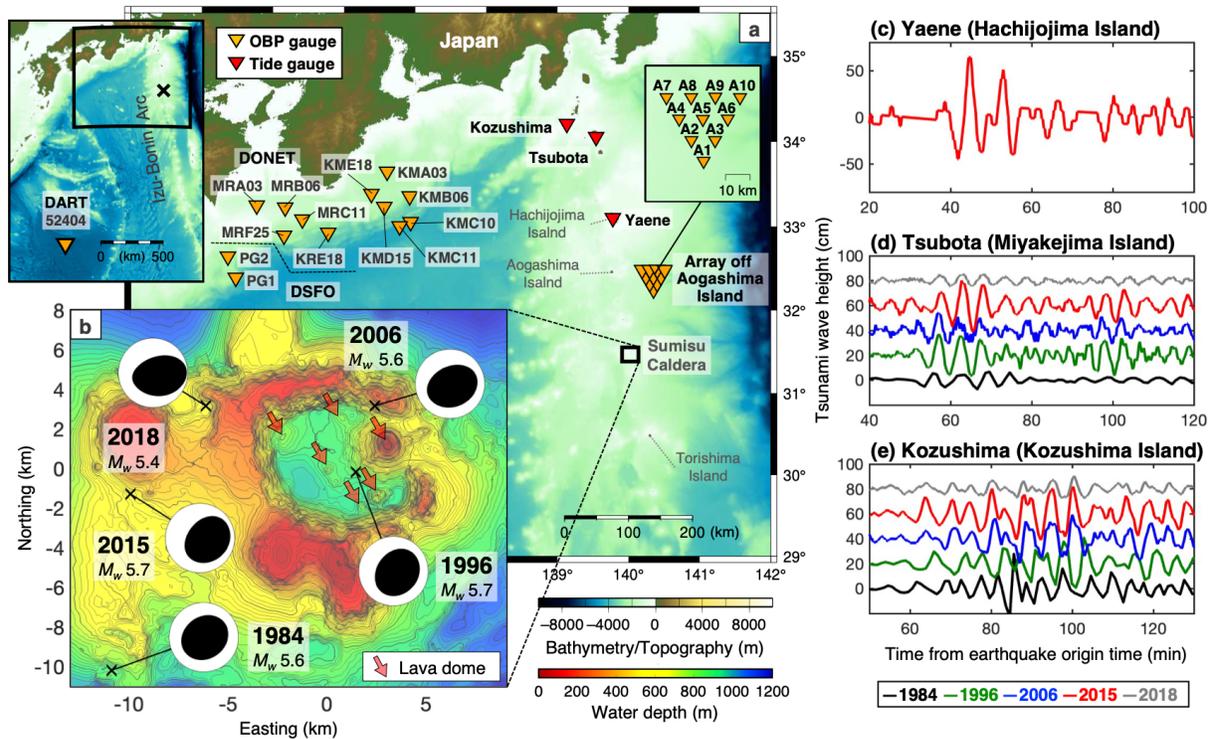
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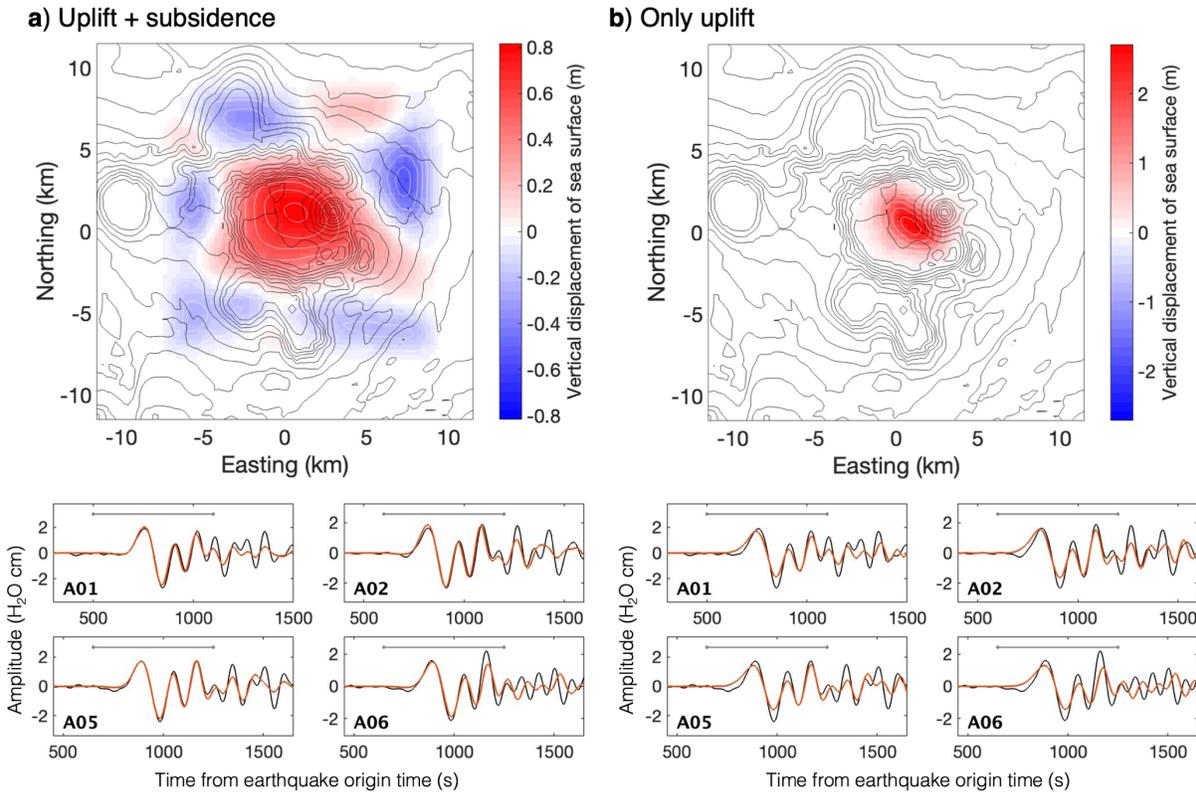
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728

729 **Figure 1.** Anomalous tsunamis due to volcanic earthquakes at Sumisu caldera. (a) Map showing  
 730 the locations of Sumisu caldera, ocean bottom pressure gauges (orange triangles), and  
 731 representative tide gauges (red triangles). (b) Repeating earthquakes near Sumisu caldera  
 732 reported by the GCMT catalog (Ekström et al., 2012). The focal mechanisms are shown by  
 733 projection of the lower focal hemisphere. Arrows point to lava domes on the caldera floor (Tani  
 734 et al., 2008). (c) Tsunami waveform from the 2015 earthquake recorded by the tide gauge at  
 735 Yaene (Hachijojima Island). (d–e) Tsunami waveforms at Tsubota (Miyakejima Island) and  
 736 Kozushima (Kozushima Island) from the repeating earthquakes. Baselines for different events  
 737 are shifted by multiples of 20 cm. Tsunami waveforms at other tide gauge stations are shown in  
 738 Figure S1.

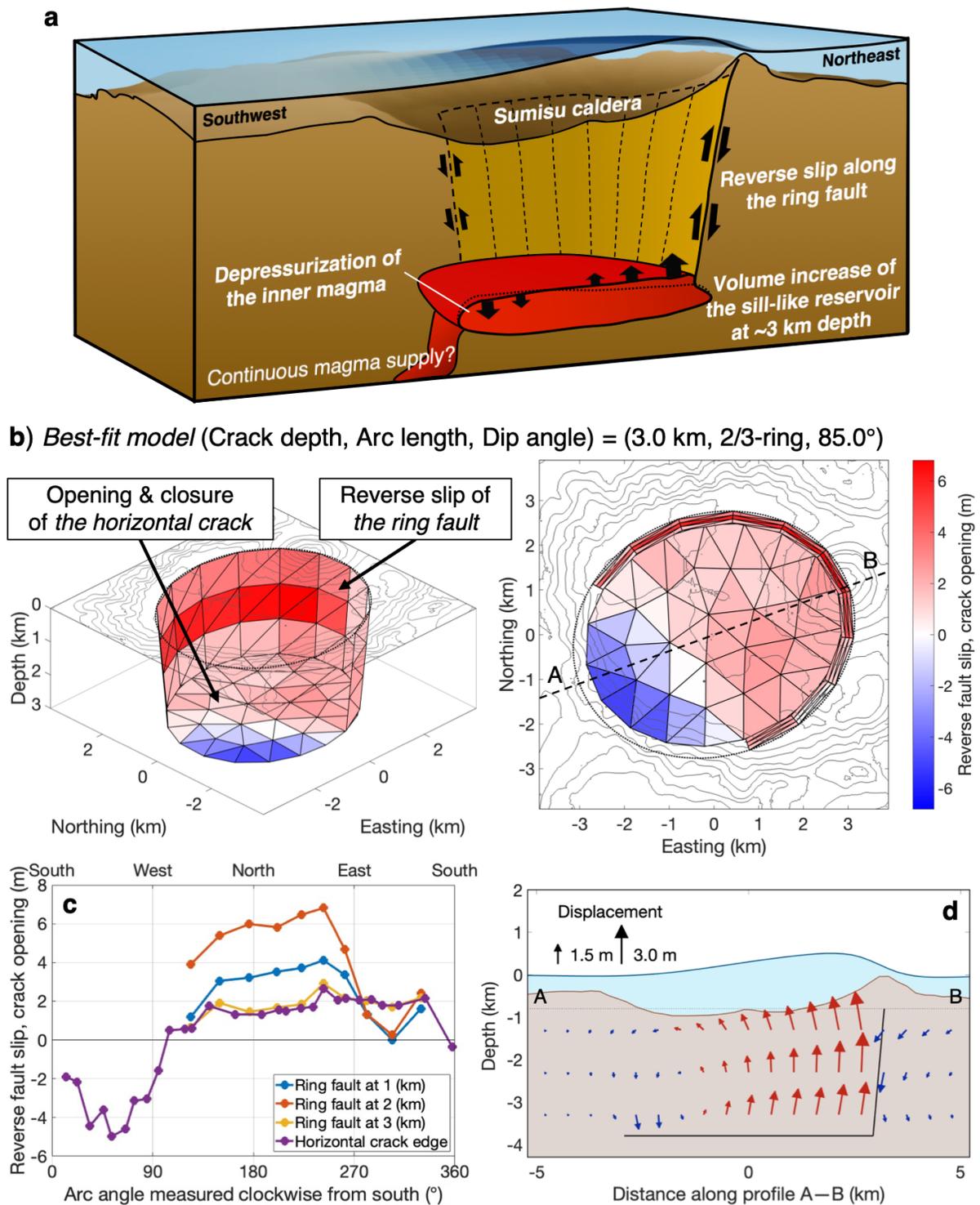
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741 **Figure 2.** Preliminary initial sea-surface displacement models. Models with (a) both uplift and  
 742 subsidence and (b) only uplift. (Top panel) Red and blue colors represent uplift and subsidence,  
 743 respectively. Bathymetric contours at 100 m intervals. (Bottom panels) Comparison of the  
 744 observed (black) and synthetic (red) tsunami waveforms at representative ocean bottom pressure  
 745 gauges. The gray line represents the time interval used for the inversion.

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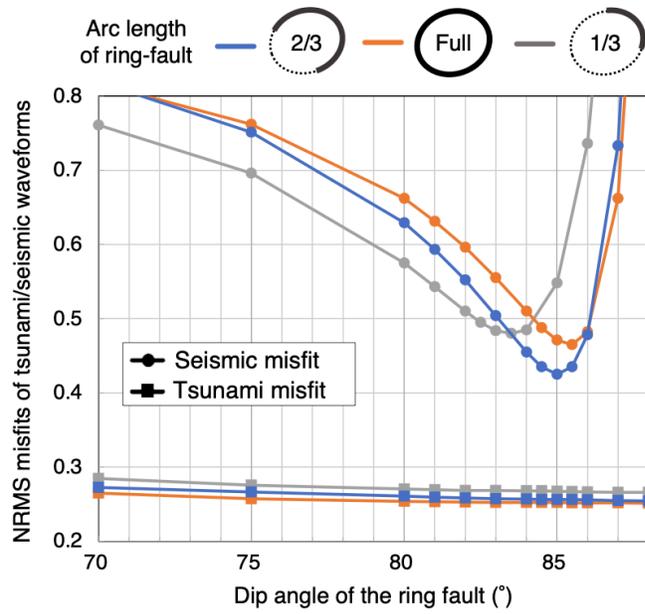


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748 **Figure 3.** Submarine trapdoor faulting mechanism at Sumisu caldera. (a) Schematic illustration

749 of the mechanism (not to scale). Reverse slip occurs along the ring fault, the sill-like reservoir

750 opens vertically on the northeastern side of the caldera and consequent depressurization of the  
751 inner magma causes the downward motion of the upper wall of the southwestern part of the  
752 magma reservoir. **(b)** Best-fit source model for the 2015 earthquake viewed from the southwest  
753 (left panel) and above (right panel). The horizontal crack is at a depth of 3 km, and the ring fault  
754 along two-thirds of the arc of the caldera rim has a uniform dip angle of  $85^\circ$ . The red color on the  
755 ring fault represents reverse slip. Red and blue colors on the horizontal crack represent vertical  
756 opening and closure, respectively. **(c)** Amounts of reverse slip at sub-faults of the ring fault and  
757 opening or closing of sub-cracks on the horizontal crack edge. Note that the ring fault  
758 displacement at the bottom (3 km) is in approximate agreement with that of the adjacent crack,  
759 because of the kinematic condition (Equation 4). **(d)** Displacement of the caldera computed with  
760 the model along the A–B profile shown in **b** (right panel). We assume that the bathymetry is flat  
761 for the computation. Note that the seafloor and sea-surface displacements are exaggerated.  
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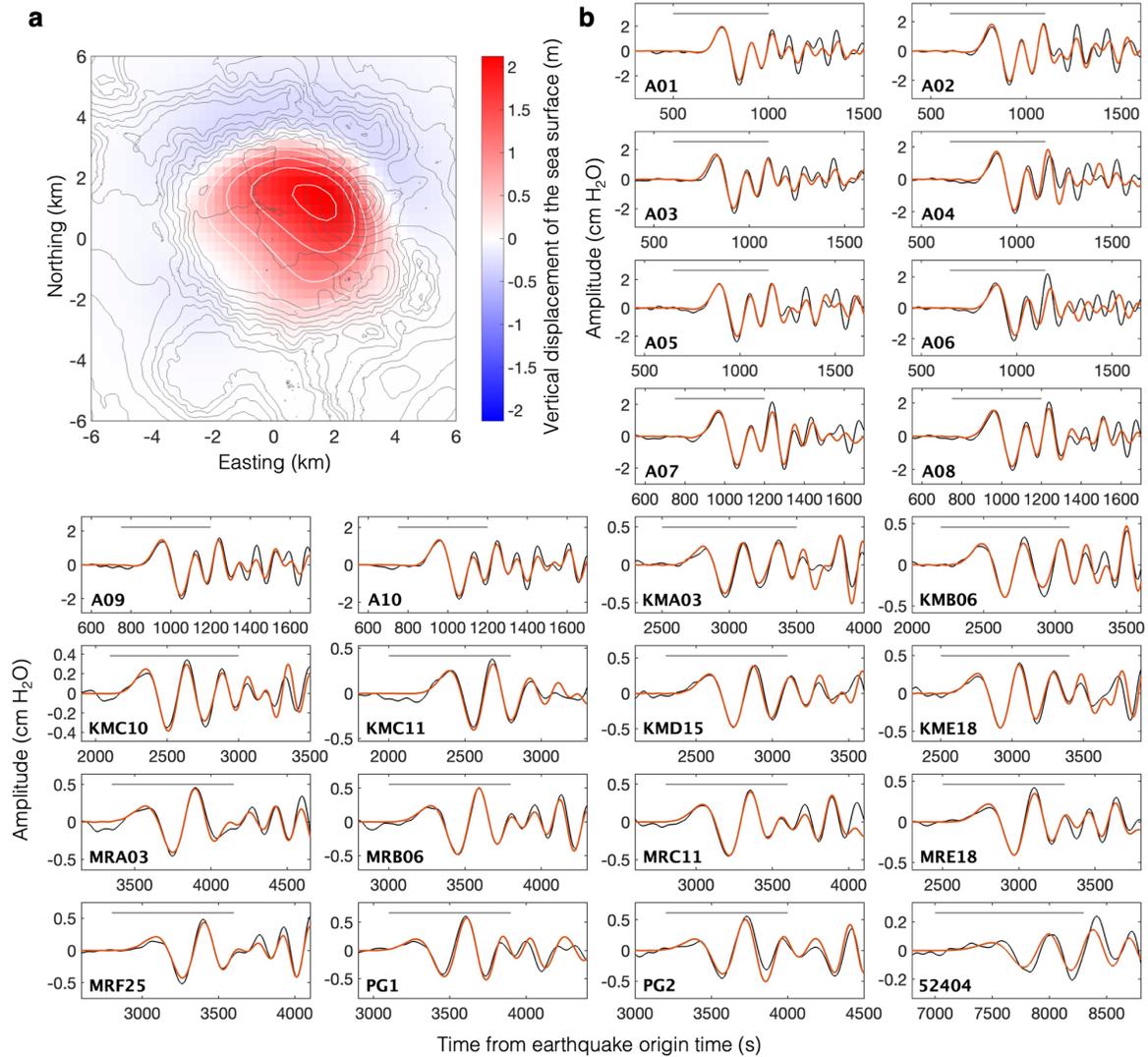


763

764 **Figure 4.** Comparison of the tsunami and seismic waveform misfits (Equations 8 and 11,  
 765 respectively) for source models with different ring-fault dip angles and arc lengths. All the  
 766 models shown here have the horizontal crack at a depth of 3 km.

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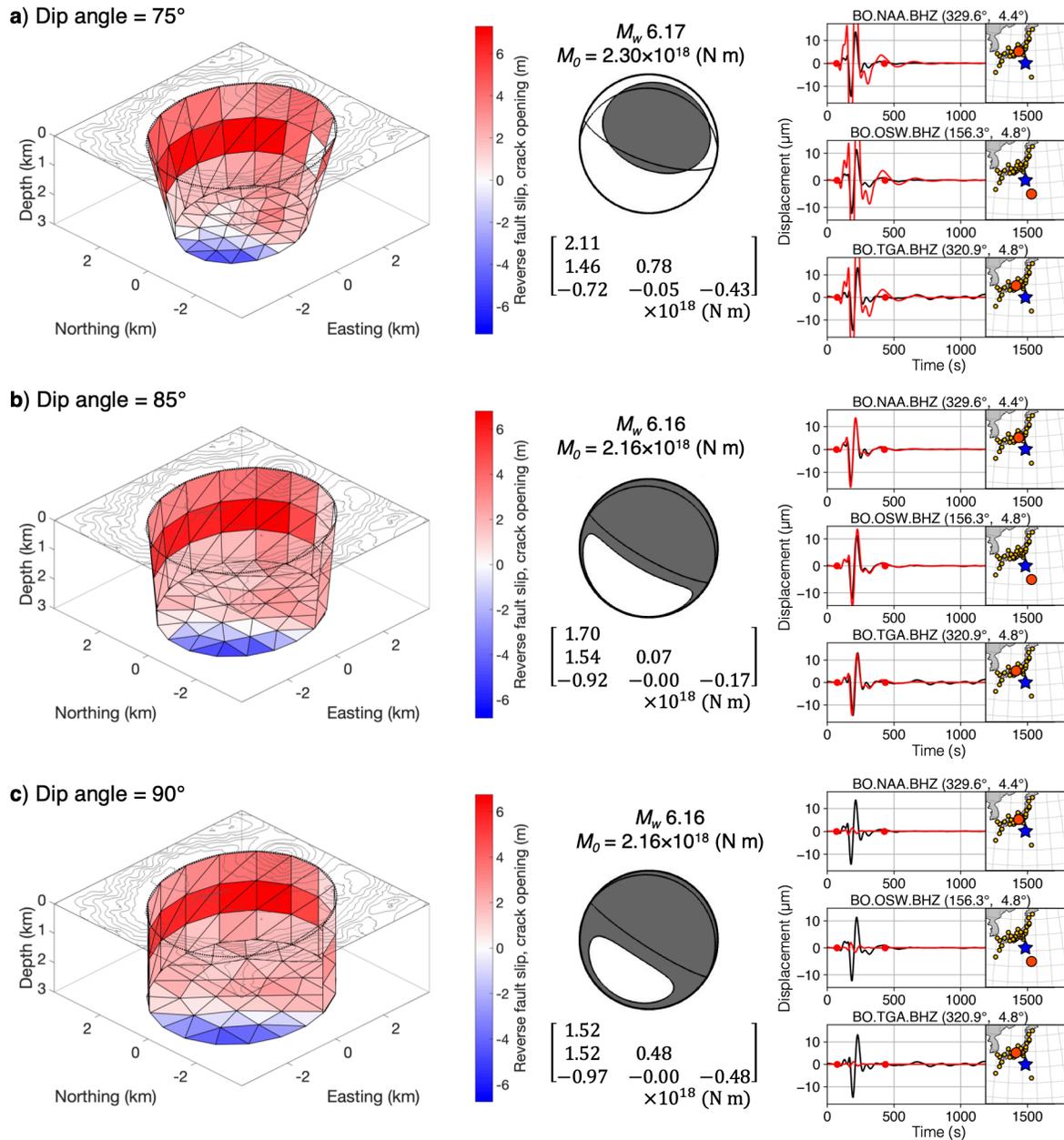
**Best-fit model (Crack depth, Arc length, Dip angle) = (3.0 km, 2/3-ring, 85.0°)**



768

769 **Figure 5.** Tsunami waveforms from the best-fit source model (Figure 3b). (a) Vertical  
 770 displacement of sea surface caused by the model. Red and blue colors represent uplift and  
 771 subsidence, respectively, with white contour lines plotted every 0.5 m. (b) Comparison of the  
 772 observed (black) and synthetic (red) tsunami waveforms from the model at the ocean bottom  
 773 pressure gauges. The gray line represents the time interval used for the inversion.

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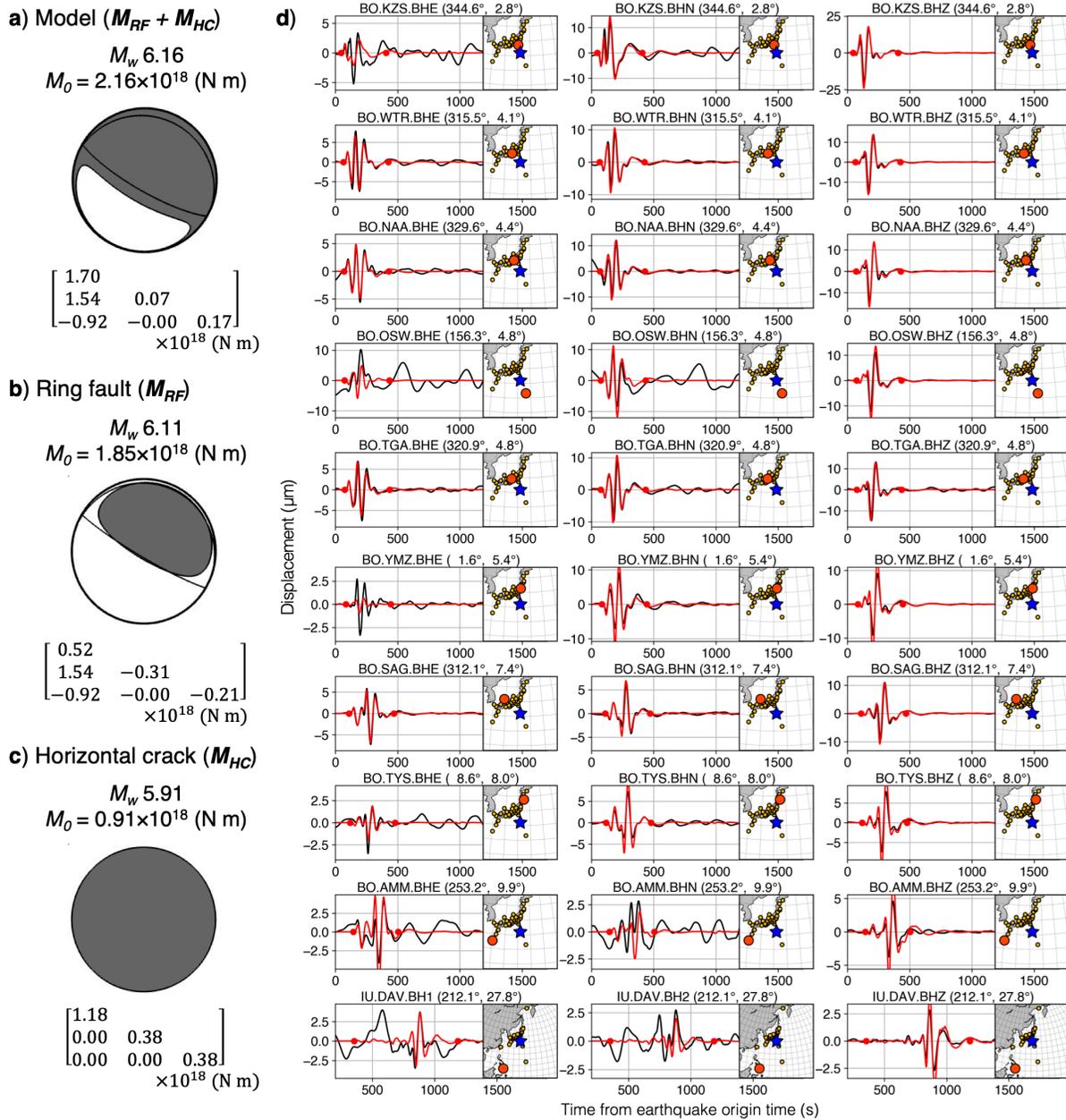
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776 **Figure 6.** Long-period seismic data analyses from source models with the ring-fault dip angles  
 777 of (a) 75°, (b) 85°, and (c) 90°. (Left) Source models inverted from the tsunami data. (Middle)  
 778 Moment tensors of the model. The focal mechanisms are shown as projections of the lower focal  
 779 hemisphere, and the orientation of the best double-couple solution is shown as thin lines. (Right)  
 780 Comparison of the observed (black) and synthetic (red) seismograms (period = 60–250 s) at

781 representative stations. In each inset figure, a large red circle and blue star represent the station  
782 and earthquake centroid, respectively. The network name, station name, record component,  
783 station azimuth, and epicentral distance are given on the top of each panel. Note that the  
784 amplitudes of the synthetic waveforms decrease as the ring-fault dip angle increases.

785

**Best-fit model (Crack depth, Arc length, Dip angle) = (3.0 km, 2/3-ring, 85.0°)**

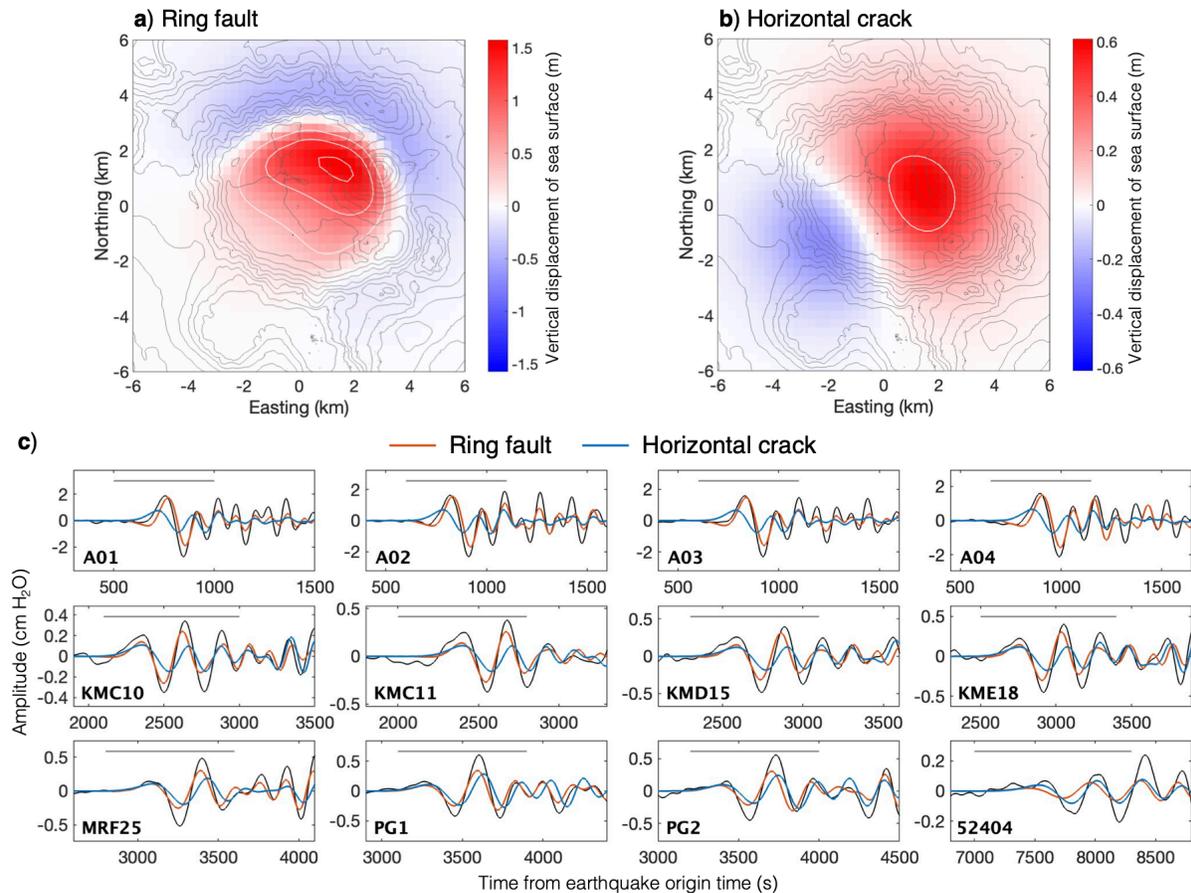


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787 **Figure 7.** Long-period seismic data analyses from the best-fit source model (Figure 3b). (a)  
 788 Moment tensors of the model. (b–c) Partial moment tensors of (b) the ring fault and (c) the  
 789 horizontal crack. The focal mechanisms are shown as projections of the lower focal hemisphere,  
 790 and the orientation of the best double-couple solution is shown as thin lines. (d) Comparison of

791 the observed (black) and synthetic (red) seismograms (period = 60–250 s), computed with the  
792 moment tensor shown in **a** at representative stations. The data interval used to calculate the  
793 waveform misfit is delimited by the red dots. See the caption of Figure 6, right.

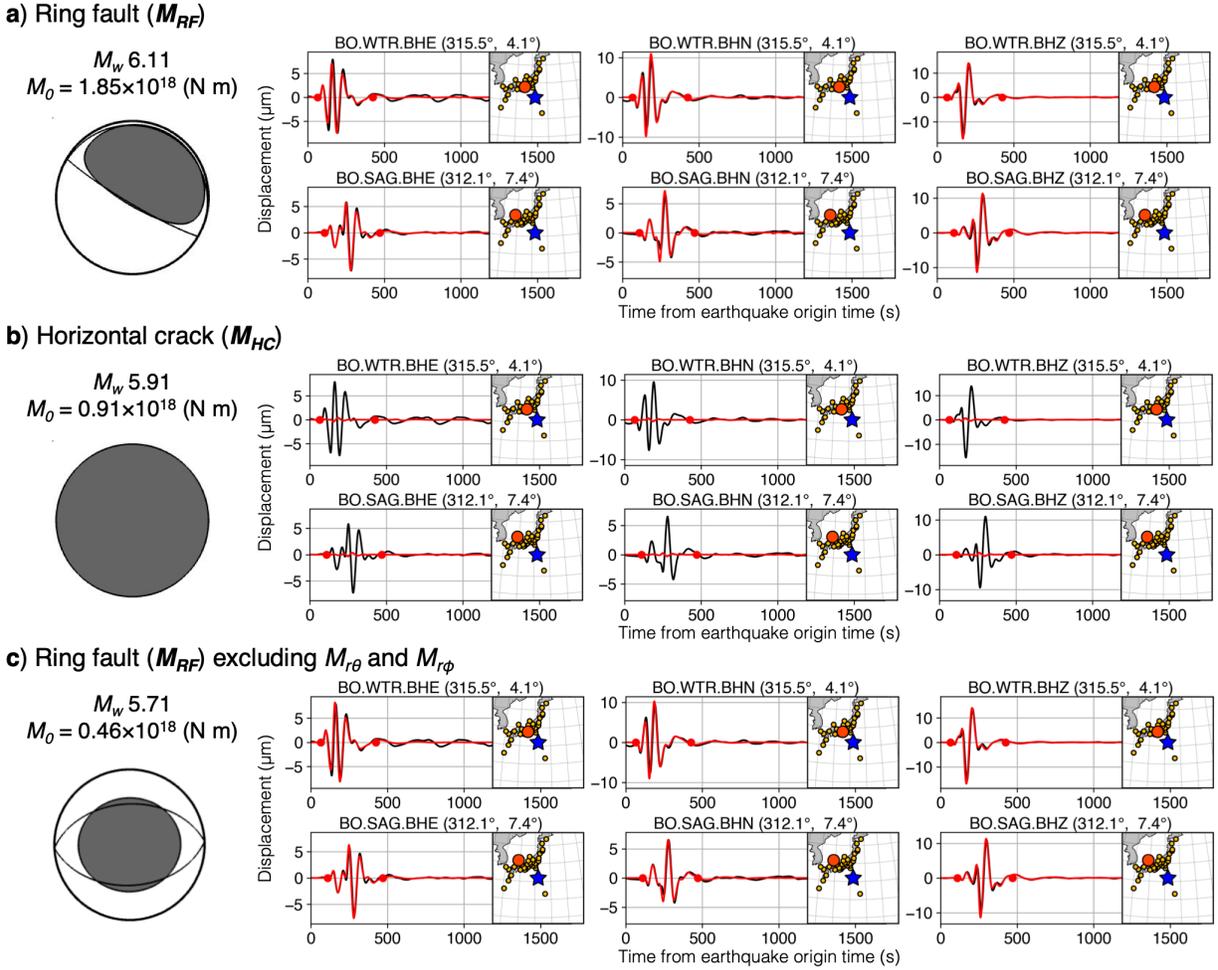
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796 **Figure 8.** Partial contributions of the ring fault and the horizontal crack of the best-fit source  
 797 model (Figure 3b) to the tsunami waveforms. (a–b) Vertical sea-surface displacements caused by  
 798 (a) the ring fault and (b) the horizontal crack. Red and blue colors represent uplift and  
 799 subsidence, respectively, with white contour lines plotted every 0.5 m. (c) Comparison of the  
 800 synthetic tsunami waveforms from the ring fault (red) and the horizontal crack (blue), with the  
 801 observed (black) waveforms at representative OBP gauges. The gray line represents the time  
 802 interval used for the inversion.

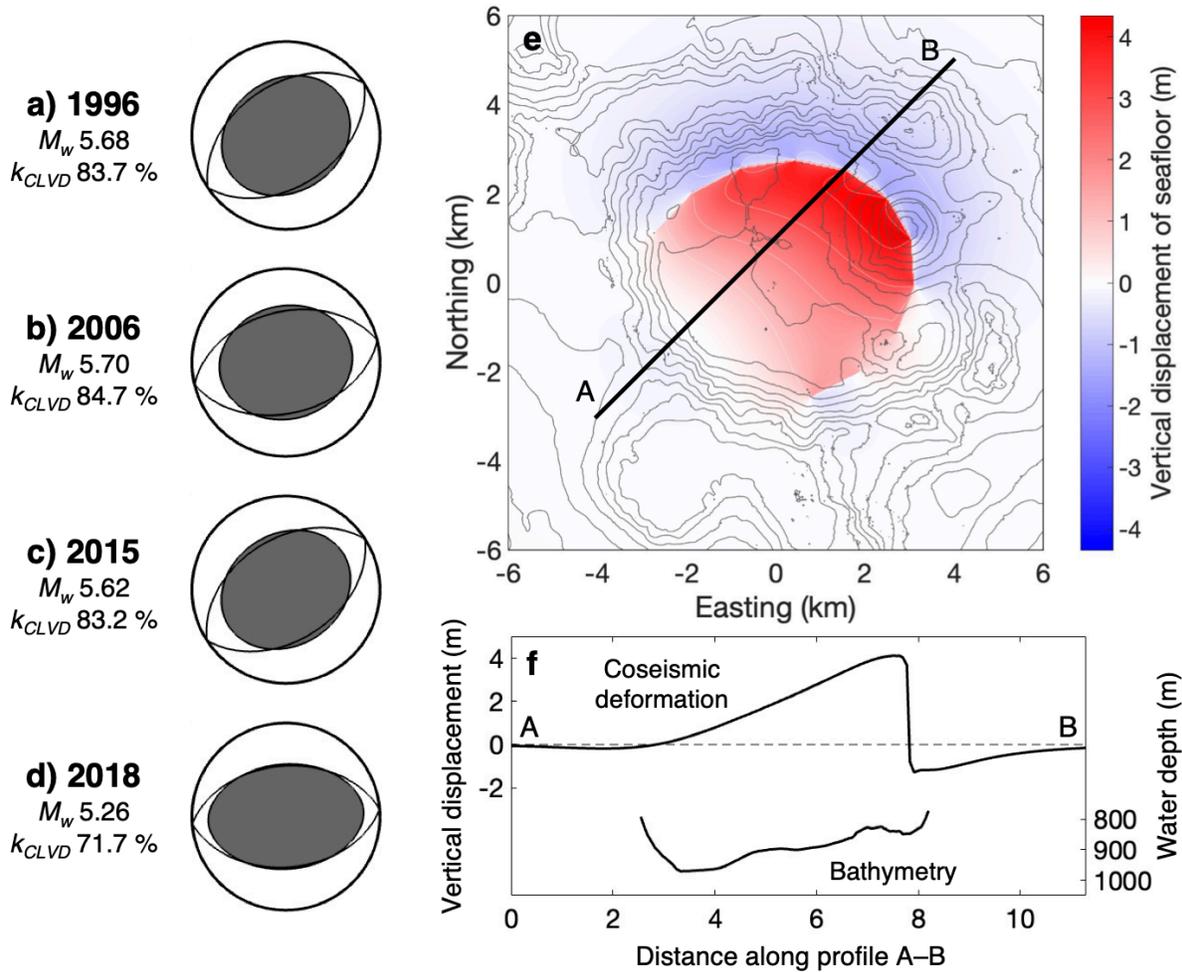
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805 **Figure 9.** Contributions of the best-fit source model (Figure 3b) to the long-period seismic  
 806 waves. Synthetic seismograms (red curves) from the moment tensors of (a) the ring fault  $M_{RF}$ ,  
 807 (b) and horizontal crack  $M_{HC}$ , and (c) the ring fault, but excluding the two elements  $M_{r\theta}$  and  
 808  $M_{r\phi}$  (i.e.,  $M_{rr}$ ,  $M_{\theta\theta}$ ,  $M_{\phi\phi}$ , and  $M_{\theta\phi}$  of  $M_{RF}$ ). Note that the synthetic seismic waveforms from  
 809 the horizontal crack (b) is much smaller than those from the ring fault (a), and that the  
 810 waveforms from the ring fault do not change although  $M_{r\theta}$  and  $M_{r\phi}$  are removed (compare the  
 811 synthetic waveforms in a and c).

812



813

814 **Figure 10.** Recurrence of trapdoor faulting at Sumisu caldera. (a–d) Resolvable moment tensors  
 815  $M_{res}$  for the earthquakes in (a) 1996, (b) 2006, (c) 2015, and (d) 2018 estimated by our moment  
 816 tensor analysis. The orientation of the best double-couple solution is shown by thin curves.  $M_w$   
 817 and  $k_{CLVD}$  indicate the moment magnitude of  $M_{res}$  and the dominance of the vertical-CLVD  
 818 component in  $M_{res}$ , respectively. (e) Vertical seafloor displacement computed with the best-fit  
 819 source model for the 2015 earthquake (Figure 3b). (f) Profiles of the vertical seafloor  
 820 displacement and the topography along A–B shown in e.