

1 **MJO impacts on South America and their modulation by ENSO**
2 **in MetUM-GOML3 model**

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15 **Key Points:**

- 16
- 17 • The model simulates well the MJO opposite patterns in the teleconnections to SA in
18 phases 8 and 4 and their impacts.
 - 19 • The model reproduces the ENSO effects on the basic state and MJO convection, which
20 modulate the MJO teleconnections to SA.
 - 21 • Simulations show the nonlinear ENSO effect on the MJO teleconnections and its impacts
22 on South American rainfall.

23 Abstract

24 The impacts of the Madden-Julian Oscillation (MJO) on the South American monsoon season
25 (December-February) and possible changes during El Niño (EN) and La Niña (LN) events are
26 analyzed in the UK Met Office Unified Model Global Ocean Mixed Layer configuration
27 (MetUM-GOML3). Experiments sixty years long, with and without El Niño-Southern
28 Oscillation (ENSO), considering different spatial resolutions, are performed to assess if ENSO
29 influences several MJO characteristics, including the teleconnections to South America (SA).
30 Simulations without ENSO show: (i) an extratropical teleconnection triggered by enhanced
31 convection in the central-east subtropical South Pacific (CSSP) and its strongest impact on
32 central-east South American precipitation in phase 8, earlier than in observations (phase 1). (ii)
33 An extratropical teleconnection, triggered by suppressed convection over the same region, with
34 strongest impact on South American precipitation in phase 4, with opposite sign. (iii) Increased
35 resolution enhances the MJO convection and the South American circulation-precipitation
36 dipole. ENSO affects the basic state and the MJO convection, which modulate teleconnections to
37 SA in simulations with ENSO cycles. EN (LN) strengthens (deteriorates) MJO propagation and
38 its convection. However, both EN and LN produce enhanced convection over the CSSP in phase
39 8. The extratropical teleconnections and their impacts are stronger under ENSO with respect to
40 those in simulations without ENSO. Hence, both simulated ENSO states generate forcing that
41 more efficiently triggers teleconnections than simulations without ENSO, indicating nonlinear
42 ENSO effects on MJO anomalies over SA.

43 Plain Language Summary

44 This investigation assesses changes produced by the active phases of the El Niño-Southern
45 Oscillation (ENSO) phenomenon (El Niño and La Niña) on the Madden-Julian Oscillation
46 (MJO) and its impacts on precipitation over South America (SA) in the Met Office Global Ocean
47 Mixed Layer Model, known for simulating ENSO and MJO well. Simulations show that El Niño
48 and La Niña basic states influence the MJO phase distribution, eastward propagation, the
49 position and intensity of the MJO convection, teleconnections, and impacts on South American
50 rainfall. El Niño strengthens the MJO convection and propagation, while La Niña deteriorates
51 these MJO characteristics, as pointed out by previous studies. However, both active ENSO states
52 support better simulation of MJO convection over the central-east subtropical South Pacific
53 (CSSP), that trigger MJO teleconnections impacting South American rainfall. When the model
54 improves the simulation of the MJO teleconnections, the precipitation patterns over SA are also
55 better simulated. As the El Niño background flow improves both MJO and its teleconnections to
56 SA, other global climate models that simulate ENSO and MJO may also reproduce these MJO
57 features, improving subseasonal to seasonal (S2S) predictions to SA in the austral summer
58 monsoon season.

59 **1 Introduction**

60 The Madden-Julian Oscillation (MJO), the leading global intraseasonal climate
61 variability mode (Zhang, 2005), has a substantial role in austral summer (December-January-
62 February, DJF) rainfall variability in South America (SA) (Alvarez et al., 2015; Grimm, 2019).
63 The MJO influences South American rainfall through tropical (Kelvin and Rossby equatorial
64 waves) and extratropical (extratropical Rossby waves) teleconnections (Grimm, 2019). The
65 former affects anomalous precipitation in equatorial SA and tropical central-east SA (CESA); the
66 latter affects the rainfall anomalies over subtropical CESA, where the South Atlantic
67 Convergence Zone (SACZ) develops on the eastern edge of the monsoon core region, and
68 subtropical southeastern SA (SESA) (Grimm, 2019).

69 Although the El Niño-Southern Oscillation (ENSO) does not affect overall MJO activity
70 (Hendon et al., 1999; Slingo et al., 1999), El Niño events expand the MJO domain eastward in
71 the central Pacific (Hendon et al., 1999; Kessler, 2001; Tam & Lau, 2005; Wei & Ren, 2019).
72 This is a critical region for SA as the MJO convection starts to weaken and shifts south, entering
73 the central-east subtropical South Pacific (CSSP), where it is most efficient to trigger the
74 extratropical teleconnection to SA (Grimm, 2019; Grimm & Silva Dias, 1995).

75 Fernandes and Grimm (2023) described the ENSO-driven modulation of the MJO
76 impacts on SA. They noted that El Niño (EN) and La Niña (LN) effects on MJO impacts, with
77 respect to neutral ENSO, are not always opposite, indicating nonlinear effects of ENSO on MJO
78 anomalies over SA. Extratropical teleconnections that cause the most prominent precipitation
79 anomalies and extreme events over the SACZ (phases 8-1) show a similar response in EN and
80 LN, favoring anomalous MJO convection over the CSSP, a little further east and later in EN
81 (phases 8-1) than LN (phases 7-8). Therefore, both ENSO states provide additional forcing to
82 produce the teleconnection, although there is a delay in the peak of the teleconnection forcing
83 between CSSP and South American precipitation anomalies, from LN (phase 8) to EN (phase 1).
84 The ENSO-driven modulation of regional MJO teleconnections have been the focus of many
85 recent investigations (Arcodia et al., 2020; Ghelani et al., 2017; Henderson & Maloney, 2018;
86 Lee et al., 2019; Moon et al., 2011; Roundy et al., 2010; Tseng et al., 2020).

87 Subseasonal to seasonal (S2S) predictions of the MJO and its teleconnections have
88 improved recently (Vitart et al., 2017), but the simulation of the MJO-related teleconnections to

89 SA remains challenging (Grimm et al., 2021). It would be valuable to validate the ability of a
90 model to simulate the MJO, its impacts on SA, and the modulation of those impacts by the
91 ENSO, since the roles of ENSO and MJO on S2S predictability for South American rainfall
92 remain unclear (Klingaman et al., 2020). The ENSO-driven modulation of the MJO
93 teleconnections to SA is critical to S2S predictions because both phenomena are considered
94 “windows of opportunity” for extended S2S predictability (Vitart et al., 2015). However,
95 Klingaman et al. (2020) found no improvement in S2S predictions of South American
96 precipitation during active ENSO and MJO periods, potentially because of short-range errors (in
97 weeks 1-3) in MJO and ENSO teleconnections to SA (Grimm et al., 2021).

98 The main MJO characteristics (e.g., convection, eastward propagation) typically improve
99 in ocean-atmosphere Coupled Global Climate Models (CGCMs) with respect to their counterpart
100 atmosphere-only Global Climate Models (AGCMs), although the mechanisms behind the
101 differences remain unclear (DeMott et al., 2015). MJO characteristics have improved in recent
102 generations of CGCMs (CMIP6; Ahn et al., 2020) compared to previous generations (CMIP5;
103 Ahn et al., 2017), but substantial deficiencies remain in amplitude and propagation. Missing or
104 incorrect convective physics and errors in the climatological state are the primary sources for
105 these errors. Missing convective physics affects the interaction between convection and
106 circulation and the spatial structure of MJO diabatic heating (Jiang et al., 2015; Klingaman et al.,
107 2015); Errors in the climatological state affect the tropical horizontal moisture distribution (Kim
108 et al., 2017; Klingaman & Woolnough, 2014a) and also the mean circulation and atmospheric
109 structure that change the spatial structure of convection.

110 Besides predicting MJO events, the ability to predict the MJO impact on the global
111 circulation is crucial to S2S predictions (Vitart et al., 2017). The MJO extratropical
112 teleconnections, seen as sources of the S2S predictability, improve in Global Climate Models
113 (GCMs) that better depict the mean background flow and the MJO structure (Henderson et al.,
114 2017). However, model physics changes that improve the MJO generally worsen the basic state
115 (Bush et al., 2015; Kim et al., 2011; Klingaman & Woolnough 2014a).

116 Wang et al. (2020a) developed MJO teleconnection diagnostics to evaluate GCM biases
117 in the Pacific North American teleconnection, while Wang et al. (2020b) described how those
118 biases relate to the model basic state and MJO characteristics. MJO extratropical teleconnections

119 in the Southern Hemisphere and their behavior in GCMs have been less explored. For instance,
120 the main MJO effect on Australian rainfall does not occur through extratropical teleconnections
121 but through tropical teleconnections (Wheeler et al., 2009). Over SA, S2S predictions of
122 monsoon active and break phases are hampered by incorrect reproduction of the important
123 extratropical teleconnection from CSSP to SA (Grimm et al., 2021).

124 This study evaluates whether the latest atmosphere-mixed-layer-ocean coupled
125 configuration of the Met Office Unified Model (MetUM) (Walters et al., 2019), the Met Office
126 Global Ocean Mixed Layer (MetUM-GOML3; Giddings et al., 2020; Hirons et al., 2015;
127 Peatman & Klingaman, 2018), reproduces the main MJO aspects, such as its activity in the Real-
128 time Multivariate MJO (RMM) phase space, eastward propagation, convection, and
129 teleconnections to South American rainfall. Also, we verify how the ENSO affects simulated
130 MJO characteristics and modulates the MJO impacts over SA. Given the limited sample of
131 observed ENSO events, it is crucial to use an extended sample of simulated ENSO events to
132 verify recent observation-based results that show how ENSO-driven changes in the background
133 state influence the MJO teleconnections to SA (Fernandes & Grimm, 2023).

134 Furthermore, we identify which aspects of the MJO and its impacts are sensitive to
135 atmospheric horizontal resolution. Increasing horizontal resolution in previous MetUM coupled
136 configurations improved South American precipitation and circulation patterns, especially in the
137 SACZ (Souza Custodio et al., 2012, 2017), a region strongly affected by the MJO and ENSO
138 (Barreiro et al., 2002; Carvalho et al., 2004; Cunningham & Cavalcanti, 2006; Alvarez et al.,
139 2015; Hirata & Grimm, 2015; Barreiro et al., 2018; Grimm, 2019; Martín-Gomes & Barreiro,
140 2020; Grimm et al., 2021; Diaz et al., 2022). The MJO impact on tropical South American
141 precipitation did not improve in the higher resolution of the latest atmospheric MetUM version
142 (Monerie et al., 2020). Also, Solman and Blázquez (2019) concluded that increased horizontal
143 resolution does not improve South American intraseasonal precipitation variability in many
144 GCMs. However, these studies assessed precipitation anomalies in more than one season,
145 smoothing the leading MJO impact on austral summer (DJF). The MJO impacts vary
146 significantly in spring, summer, and autumn (Alvarez et al., 2015).

147 Section 2 describes the model, the simulations, the datasets, and the methods used.
148 Section 3 presents the frequency of MJO activity and decay for each MJO phase in DJF for
149 simulations with and without ENSO. Section 4 shows the MJO global anomaly patterns and
150 precipitation anomalies over SA in the model. Section 5 shows the MJO global anomaly patterns
151 and the precipitation anomalies over SA in EN and LN years in the model. The summary and
152 conclusions are presented in Section 6.

153 **2 Methodology**

154 2.1 Model set-up

155 The coupled model MetUM-GOML3 comprises the MetUM atmospheric model coupled
156 to a simplified one-dimensional ocean model, the Multi-Column K Profile Parameterization
157 boundary-layer model (MC-KPP, based on Large et al., 1994), via the Ocean Atmosphere Sea
158 Ice Soil (OASIS) coupler (Craig et al., 2017). One MC-KPP column is placed under each
159 atmospheric gridpoint. MC-KPP has a 1000 m vertical domain with 100 unevenly spaced points,
160 with the highest vertical resolution near the surface (~1 m) increasing to 25 m below 300 m.

161 As MC-KPP simulates only vertical mixing, temperature and salinity corrections are
162 required in climate-length simulations to account for missing ocean dynamics and to adjust for
163 biases in atmospheric surface fluxes (Hirons et al., 2015). The flux-correction technique
164 constrains the mean seasonal cycle of temperature and salinity throughout the ocean column,
165 without damping variability. The corrections are applied throughout each coupled ocean column
166 and at each time step. The corrections are computed from an initial “tendency simulation” in
167 which the MetUM-GOML3 is relaxed towards the target ocean climatology, with a timescale of
168 15 days. A relaxation timescale of 15 days is an acceptable balance between minimizing biases
169 in the relaxation simulation and allowing the model to develop its own atmosphere-ocean
170 coupled state. We use the 1980-2009 climatology from the Met Office (UKMO) ocean analysis
171 (Smith & Murphy, 2007), with the addition of a repeating ENSO cycle in some simulations (see
172 Subsection 2.2). The daily climatology of temperature and salinity corrections is computed from
173 the output of this “tendency simulation”, smoothed with a 31-day running mean to remove high-
174 frequency variability, and applied in a subsequent “free simulation” to constrain the basic state
175 temperature and salinity. The free simulation has no relaxation and can be integrated effectively
176 infinitely without drift. We analyse the output of these free simulations.

177 MetUM-GOML3 differs from MetUM-GOML1 (Hirons et al., 2015) and MetUM-
 178 GOML2 (Peatman & Klingaman, 2018) only by the atmospheric GCM: MetUM-GOML3 uses
 179 the MetUM Global Atmosphere 7.0 (GA7; Walters et al., 2019), whereas MetUM-GOML1 uses
 180 Global Atmosphere 3.0 and MetUM-GOML2 uses Global Atmosphere 6.0. GA7 has 85 levels in
 181 the vertical and a model lid at 85 km. Further details on MetUM-GOML3 can be found in Hirons
 182 et al. (2015) and Peatman and Klingaman (2018).

183 2.2 Simulations

184 Simulations are performed at two horizontal resolutions: $1.875^\circ \times 1.25^\circ$, the lower
 185 resolution (called N96 in MetUM), with 200 km spacing between each longitude at the equator;
 186 and $0.83^\circ \times 0.56^\circ$, the higher resolution (called N216), with 90 km spacing between each
 187 longitude at the equator. A control MetUM-GOML3 simulation is performed at each resolution,
 188 with MC-KPP constrained to the 1980-2009 ocean climatology: 30 years long at N96 and 60
 189 years long at N216. Although the control simulations are constrained to reproduce the mean
 190 climate of the 1980-2009 period, they do not reproduce interannual variability, since MC-KPP
 191 does not simulate ocean dynamics. Thus, the years in the control simulations do not represent
 192 any particular year in the 1980-2009 period, and there is no effective “time period” for these
 193 simulations. Temperature and salinity corrections for these control simulations are computed
 194 from a 10-year tendency simulation at each resolution.

195 **Table 1.** For each simulation, the name used in the text, the grid spacing, the length of the
 196 simulation, and the target ocean state to which the model is constrained.

Name	Grid	Length	Target ocean state
N96	200 km	30 years	Smith and Murphy (2007)
N216	90 km	60 years	Smith and Murphy (2007)
N96-ENSO	200 km	60 years	3-year ENSO cycle
N216-ENSO	90 km	60 years	3-year ENSO cycle

197

198 To isolate the effect of ENSO on the MJO (Section 5), ENSO cycles are imposed in
199 MetUM-GOML3 in similar experiments to those described in Klingaman and DeMott (2020).
200 We compute one-year climatologies (May-April, to mimic the “ENSO year”) from the Smith and
201 Murphy (2007) dataset for neutral, EN, and LN conditions, based on terciles of the Niño 3.4
202 index in 1980-2009. These climatologies are concatenated (in order EN, LN, neutral) to form a
203 three-year composite ENSO cycle. At each resolution, we perform a 31-year tendency
204 simulation, nudging to this three-year repeating ENSO cycle, to derive ten-year climatologies
205 (May-April) of corrections for each ENSO state. Then, at each resolution we perform a 61-year
206 free simulation imposing the corrections to obtain 20 complete 3-year cycles of May-April data.
207 These free simulations are sixty years long, providing robust statistics of simulated MJO impacts
208 on SA across many ENSO events. For more information on this technique, refer to Klingaman
209 and DeMott (2020). Control simulations, without ENSO, are named “N96” and “N216” (Table
210 1). Simulations with ENSO are named “N96-ENSO” and “N216-ENSO”. N96-ENSO and N216-
211 ENSO are partitioned into EN and LN composites (e.g., N96-EN), according to simulated EN
212 and LN years.

213 2.3 Datasets

214 To validate simulated precipitation, rain gauge daily precipitation data, between 1979 and
215 2009, from the Brazilian Water Agency (ANA) and other hydrometeorological institutes from
216 SA are analyzed. The data are verified to control systematic and aleatory errors. The Liebmann
217 and Allured (2005) gridded precipitation data covers the northernmost part of SA. Both
218 precipitation datasets are gridded to 1°.

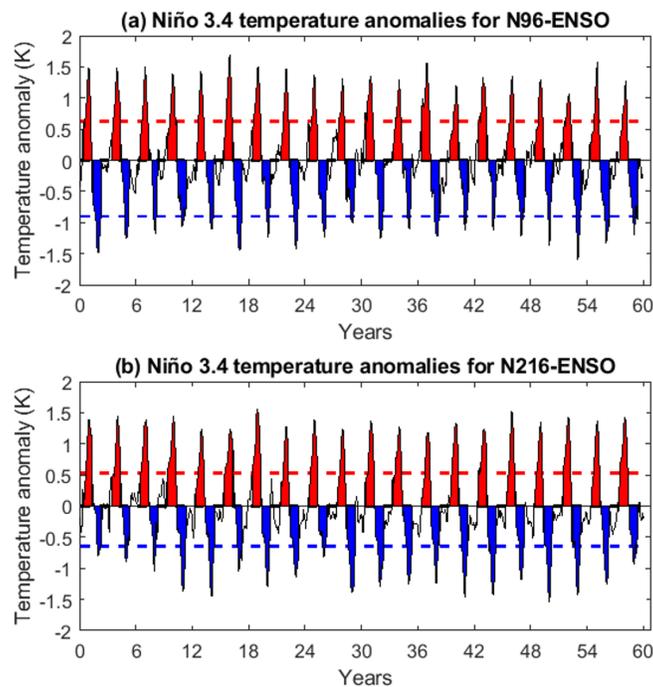
219 We validate simulated outgoing longwave radiation (OLR) against the Liebmann and
220 Smith (1996) satellite-based dataset. The streamfunction is computed (Dawson, 2016) from the
221 wind output from the MetUM-GOML3 and reanalysis from the European Centre for Medium-
222 Range Weather Forecasts (ECMWF) (ERA-Interim reanalysis data, Dee et al., 2011). All
223 observed and reanalysis data are analyzed for 1979-2009. The MJO tropical and extratropical
224 teleconnections towards SA in the ERA-Interim reanalysis streamfunction data are consistent
225 with those from the NCEP/NCAR reanalysis data, assessed by Grimm (2019) and Fernandes and
226 Grimm (2023).

227 2.4 Methods

228 2.4.1 ENSO states

229 Observed ENSO states are classified by the first rotated mode obtained from Principal
 230 Component Analysis (PCA) applied to 1950-2009 DJF global monthly sea surface temperature
 231 (SST) anomalies (HadISST1 dataset, Rayner et al., 2003), regridded to 5° . The PCA is based on
 232 a correlation matrix; Varimax rotation is used to obtain orthogonal rotated variability modes
 233 associated with different physical processes (Wilks, 2006). Factor scores above (below) 0.75 (-
 234 0.75) define the EN (LN) state, with the remainder classified as neutral. The classification results
 235 in 8 EN, 10 LN, and 14 neutral years (Table 1 of Fernandes & Grimm, 2023).

236 As MetUM-GOML3 is forced with a 3-yr repeating ENSO cycle, it is straightforward to
 237 partition N96-ENSO and N216-ENSO by terciles of the DJF mean monthly 1.5-meter
 238 temperature anomalies in the Niño 3.4 region (averaged 5°S - 5°N , 170° - 120°W , Fig. 1). From the
 239 60 DJF periods in the 61-year simulations (Table 1), the warmest twenty seasons are selected as
 240 EN, the coldest twenty as LN, and the remaining twenty as neutral.



241 **Figure 1.** Timeseries of the Niño 3.4 1.5-meter temperature anomalies ($^\circ\text{C}$) in (a) N96-ENSO
 242 and (b) N216-ENSO. Shading shows the May-April periods selected for the (red) EN and (blue)
 243 LN composites. The red and blue dashed lines show the thresholds for EN and LN composites,
 244 respectively. These thresholds are applied to the DJF mean index.
 245

246 2.4.2 MJO phases

247 MJO phases are defined by the RMM indices of Wheeler and Hendon (2004). The
 248 RMM1 and RMM2 indices are computed by projecting the OLR and zonal winds at 850 hPa and
 249 200 hPa onto the first pair of combined empirical orthogonal functions (EOFs), computed from
 250 data averaged over 15°S-15°N, after removing the mean and first three harmonics of the annual
 251 cycle and the mean of the previous 120 days. As observations, we use the NOAA satellite OLR
 252 data (Liebmann and Smith, 1996), and the ERA-Interim reanalysis wind data (as informed in
 253 Subsection 2.3). Simulated RMM indices are computed by projecting model data onto the EOFs
 254 derived from NOAA and ERA-Interim data. The MJO phases classification obtained using wind
 255 data from ERA-Interim is similar to the same classification using wind data from NCEP/NCAR,
 256 as Wheeler and Hendon (2004).

257 The eight MJO phases are bounded by 45° intervals of the phase angle $\theta =$
 258 $\tan^{-1} \left(\frac{RMM2}{RMM1} \right)$. The MJO amplitude, for MetUM-GOML3 and observations, is defined by $A =$
 259 $[(RMM1)^2 + (RMM2)^2]^{\frac{1}{2}}$. When $A \geq 1$, the MJO is active; when $A < 1$, the MJO is inactive.
 260 Probabilities of MJO activity and decay (transition to the unit circle) are computed for all RMM
 261 phases and each phase separately, following Klingaman and Woolnough (2014a,b).

262 2.4.3 MJO phases composites

263 Composite anomalies are calculated for each MJO phase, as in Grimm (2019), further
 264 categorized according to ENSO status (EN or LN), as in Fernandes and Grimm (2023).
 265 However, we have shown here composites for anomalous MJO convection and circulation only
 266 for those phases with enhanced (phases 7, 8 and 1) or suppressed (phases 3 and 4) convection
 267 over CSSP, the source region able to trigger the MJO extratropical teleconnections to SA. Also,
 268 we display composites for anomalous MJO precipitation in simulations without ENSO only for
 269 those phases with the most significant MJO impacts on South American rainfall (phases 8-5, see
 270 Fig. 7 of Grimm, 2019). Composites made for periods when both ENSO and MJO are active
 271 describe more efficiently the patterns than simple linear combinations of separate ENSO and
 272 MJO composites (Roundy et al., 2010). Daily anomalies are computed relative to a daily
 273 climatology that is smoothed with a 31-day running mean to remove spurious variance. The

274 anomalies are filtered (Duchon, 1979) by a 20-90 day window, using 211 weights. The filtered
275 anomalies contain intraseasonal variability mainly related to the MJO, excluding effects from
276 other time scales (synoptic, interannual, interdecadal), since our goal is the effect of the
277 background ENSO-related changes on the MJO rather than the sum of the MJO and ENSO-
278 related anomalies.

279 We consider only DJF anomalies because DJF is the peak of not only the monsoon over
280 most of SA, but also the ENSO, the MJO (Hendon et al., 1999; Slingo et al., 1999), and the most
281 substantial MJO impacts on SA (Alvarez et al., 2015; Grimm, 2019). Also, the ENSO and MJO
282 have different rainfall responses over SA in austral spring and summer (Alvarez et al., 2015;
283 Grimm, 2003, 2004), which argues against analyzing an extended six-month warm season. The
284 statistical significance of the composites is assessed with the Student's *t*-test to verify whether
285 the sample mean for each MJO phase and ENSO category in the composites is similar to the
286 sample mean from all DJF days. The null hypothesis is rejected if the sample means are different
287 (Wilks, 2006). As the time series are serially correlated, it is crucial to evaluate the effective
288 sample size $n = N \left(\frac{1-\rho_1}{1+\rho_1} \right)$, in which N is the original sample size, and ρ_1 is the lag-1
289 autocorrelation coefficient (Wilks, 2006).

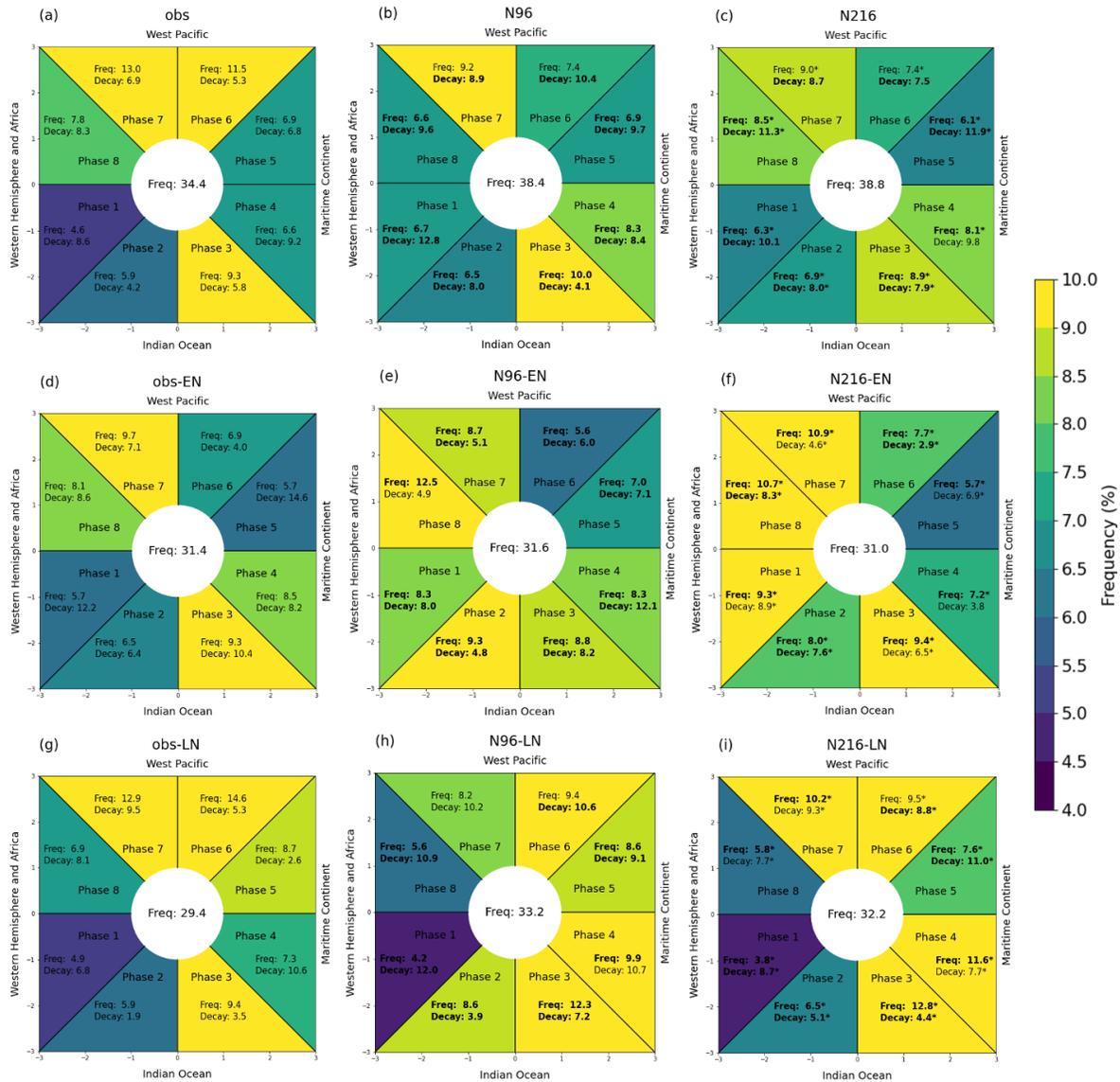
290 Biases and differences between the two resolutions (N216-N96) are shown in the
291 composites presented in the Supplementary Information. The precipitation and streamfunction
292 data are interpolated in observations and N216 onto the common coarser N96 grid; simulated
293 OLR is interpolated onto the 2.5° grid of the observations (Liebmann & Smith, 1996). The
294 Student's *t*-test is applied to simulated and/or observed sample means for each MJO phase to test
295 the significance of biases.

296 **3 MJO activity**

297 **3.1 MJO activity in N96 and N216**

298 In both N96 and N216, the model produces strong MJO on 62% of all DJF days (Fig. 2b-
299 c), slightly lower than the 66% observed (Fig. 2a). The MJO activity decreases to 59-61% for all
300 days in the year in the model and 64% in observations (not shown). Klingaman and Woolnough
301 (2014a) found a similar percentage (61%) in MetUM-GOML1. The MJO phase frequencies
302 range between 7% and 8.5% on all days in a year in observations and in the model (see Fig. 1a-e

303 of Klingaman & Woolnough 2014a). However, in DJF, observed frequencies range between
 304 4.6% (phase 1) and 13% (phase 7) (Fig. 2a).



305 **Figure 2.** Percentage of MJO days in which amplitude is ≥ 1 (or strong MJO activity) in (a)
 306 observations (obs) (1979-2009), (b) N96, and (c) N216 during austral summer. (d-f) and (g-i)
 307 show the MJO activity like (a-c), but for N96-ENSO and N216-ENSO and only in EN year (d-f)
 308 and LN (g-i) years, respectively. The colored wedges show the daily frequency of the strong
 309 activity in each phase relative to all DJF days using the color bar. For each phase, the
 310 percentages show the probability of MJO activity in that phase ('Freq'), as well as the probability
 311 that, on the day following strong activity in that phase, the MJO moves into the unit circle
 312 ('Decay', i.e. amplitude < 1). The frequency of weak MJO (amplitude < 1) is given inside the
 313 unit circle. Simulated probabilities similar to those observed are in bold, and asterisks mark
 314 probabilities in N216 analogous to those in N96, considering $p < 0.05$ from a *t*-test.
 315

316 The most frequent active MJO phases in DJF (Fig. 2a) are 7, 6, and 3, as shown by
317 Fernandes and Grimm (2023) and Grimm et al. (2021) with RMM indices from NCEP/NCAR
318 reanalysis. The less frequent active MJO phases are 1-2, followed by 4-5. This agrees with the
319 distribution of ending longitudes of tracked MJO events in Zhang and Ling (2017). The model
320 reproduces well the observed MJO frequencies in DJF, with phases 3 and 7 more frequent (9%)
321 (Fig. 2b-c), and shows decreased MJO activity in phases 1-2, though higher than observed.
322 Remarkably, the Maritime Continent barrier effect, characterized by the weakening and blocking
323 of the MJO over that region (Zhang & Ling, 2017), and therefore by a higher decay rate, which
324 in observation happens in phase 4 (Fig. 2a), is delayed to phases 5 or 6 in the model (Figs. 2b, c).
325 MJO activity is not sensitive to horizontal resolution (asterisk marks in Fig. 2c).

326 The probabilities of MJO decay and transition to the next phase (not shown) are higher in
327 the model (Fig. 2b-c) than observed (Fig. 2a). Higher transition probabilities suggest a faster
328 simulated MJO propagation than observed, which can weaken extratropical teleconnections
329 (Wang et al., 2020b). Besides, the MJO weakening as it moves from the Maritime Continent to
330 the western Pacific in the model is delayed to phases 5 or 6, with a decay is 50-100% greater in
331 the model than observed, consistent with the exaggerated Maritime Continent barrier effect in
332 CGMs (Kim et al., 2018; Vitart & Molteni, 2010). The decay in phases 5-6 in the model affects
333 the frequency of MJO phase 7 (9%), which is smaller than observed (13%), although still the
334 most frequent, along with phase 3 (9%).

335 3.2 MJO activity in each ENSO state

336 MJO frequencies conditioned on ENSO states show that active ENSO slightly increases
337 DJF MJO activity in MetUM-GOML3 and observations (Fig. 2). The frequencies slightly
338 decrease in NT years (not shown). Hence, interannual variability does not substantially affect
339 global MJO activity (Hendon et al., 1999; Slingo et al., 1999; Fernandes and Grimm, 2023).
340 However, the simulated ENSO-related anomalies affect the relative occurrence of MJO phases
341 with similar patterns of circulation anomalies as Fernandes and Grimm (2023) pointed out for
342 observations. Previous studies have shown a zonal shift of the MJO activity during ENSO over
343 the equatorial Pacific Ocean in observations and AGCMs (Fink and Speth, 1997; Hendon et al.,
344 1999; Woolnough et al., 2000; Tam & Lau, 2005; Pohl and Matthews, 2007; Wei and Ren, 2019;
345 Suematsu and Miura, 2022).

346 There are similarities between EN/LN states and specific MJO phases concerning the
347 strongest anomalies of Walker circulation over the equatorial eastern Indian Ocean-western
348 Pacific and the central Pacific. For instance, phases 8-2 (5-6) are more (less) frequent in
349 observations in EN (Fig. 2d), compared to all years (Fig. 2a), due to enhanced (suppressed)
350 convection over the equatorial central Pacific (eastern Indian Ocean, Maritime Continent-
351 western Pacific). On the other hand, phases 4-6 (8-2) are more (less) frequent in LN (Fig. 2g), as
352 the convective patterns are opposite to those described in EN (Fernandes and Grimm, 2003).
353 This tendency is followed, on average, by the model (compare Fig. 2d to Fig. 2e-f for EN, and
354 Fig. 2g to Fig. 2h-i for LN), although phases 8-2 are more frequent than observations in EN
355 because in MetUM-GOML3 more EN events exceed $\pm 0.8^{\circ}\text{C}$ in the equatorial central-eastern
356 Pacific (Fig. 1), favoring increased evaporation and moist static energy to enhance MJO
357 convection.

358 Simulated frequencies for all MJO phases during EN are similar to those observed (bold
359 numbers in Fig. 2e-f). Also, decay probabilities are smaller in EN (Fig. 2e-f), indicating that the
360 EN state improves simulated eastward propagation. For example, decay probabilities in phases 5-
361 6 are lower for EN than LN (Fig. 2h-i) and simulations without ENSO (Fig. 2b-c), suggesting
362 that simulated MJO events in EN are more likely to move east in the western Pacific after the
363 Maritime Continent, until they reach colder SSTs. Klingaman and DeMott (2020) found the EN
364 state greatly improved MJO propagation in a coupled version of the Super-Parameterized
365 Community Atmospheric Model (SPCAM3), with the same oceanic model configuration as the
366 MetUM-GOML3.

367 **4 MJO and its impacts in N96 and N216**

368 4.1 Global anomaly patterns associated with MJO

369 4.1.1 *The simulated MJO*

370 This section shows the global evolution of the MJO tropical convection and associated
371 circulation and the description of the MJO impacts on SA in MetUM-GOML3 at lower (N96)
372 and higher (N216) spatial resolutions. Grimm (2019) composites are duplicated here as
373 observations using ERA-Interim. The main aspects discussed below are common to both
374 horizontal resolutions. The model represents well the eastward propagation of the MJO.
375 However, the convection is further east than observed when the MJO moves from the Indian

376 Ocean to the Maritime Continent (phases 3-4, Fig. 3) and Western Pacific (not shown).
377 Differences in the eastward MJO propagation are also evident in the MJO Hovmöller diagrams
378 (Fig. 4a-c, red dashed lines) and in the displaced streamfunction quadrupoles (Figs. 5, 6),
379 associated with Rossby and Kelvin equatorial waves (Matsuno, 1966).

380 The weak simulated Indian Ocean low-level westerlies and streamfunction anomalies
381 (phases 3-4, Figs. 3b-c and 5b-c) indicate weakened equatorial Rossby waves propagating
382 westwards, known to slow eastward MJO propagation (Chen & Wang, 2018). On the other hand,
383 the model simulates well the magnitude of equatorial Kelvin-wave easterly anomalies. The
384 easterlies reduce stability east of the convective center by increasing boundary layer convergence
385 and promoting congestus convection, leading to eastward MJO propagation through the
386 Maritime Continent (Chen & Wang, 2018). Hence, the advanced eastward propagation of the
387 MJO convection in the model may result from the dynamical wave feedbacks of both waves (Liu
388 & Wang, 2017). Wave feedbacks affecting the simulated MJO propagation support the “trio-
389 interaction theory” (see Zhang et al., 2020 and references therein), which states that moist static
390 energy, moisture feedback, and the coupling of Kelvin and Rossby waves drive the eastward
391 MJO propagation.

392 The faster eastward propagation of the MJO OLR anomalies over the Indian Ocean-
393 western Pacific in the model is visible in the more horizontal slope of the Hovmöller diagrams,
394 between 60°E-160°E (red dashed lines, Fig. 4a-c). On the other hand, the model satisfactorily
395 represents the MJO activity from the RMM indices (previous section), which are primarily
396 determined by the circulation (Straub, 2013). Hence, there is an error in the convection-
397 circulation phase relationship, since MetUM-GOML3 displaces the convection but achieves the
398 same RMM phase.

399 The OLR anomalies are weaker in the model than observations (Figs. 3, 4), a common
400 issue in climate models (Coelho et al., 2020; Kodama et al., 2015; Liu et al., 2017). Both
401 simulations reproduce the low-level tropical circulation quadrupole (Fig. 5b-c), with the pair of
402 cyclonic (anticyclonic) anomalies straddling the equator west (east) of the heating zone (or
403 maximum convection, Fig. 3b-c). The baroclinic response in the tropical quadrupole appears
404 between low and high levels (Figs. 5b-c, 6b-c). The strongest OLR and low-level wind
405 anomalies (Fig. 3b-c) are simulated south of the equator, consistent with the DJF MJO position.

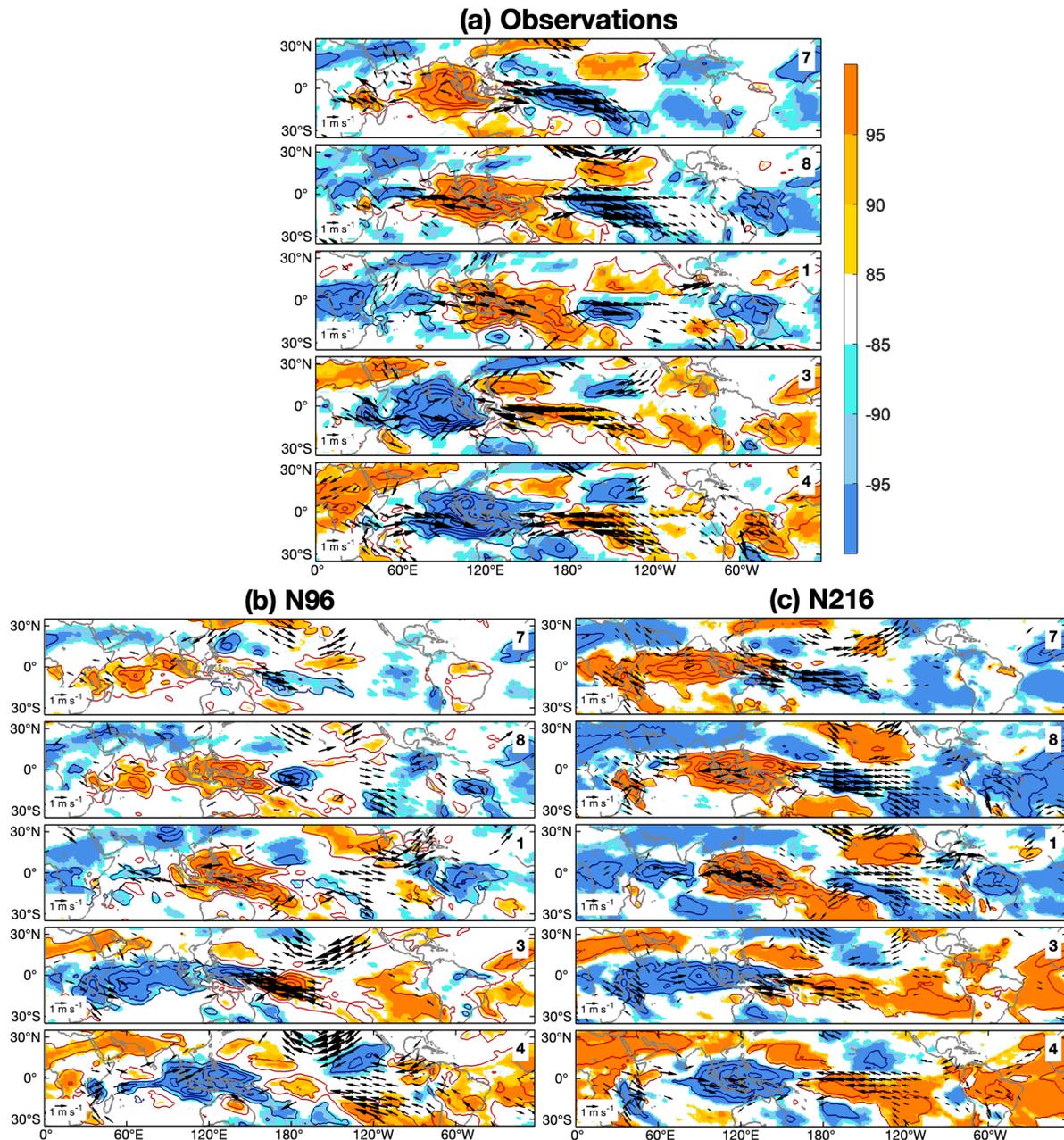


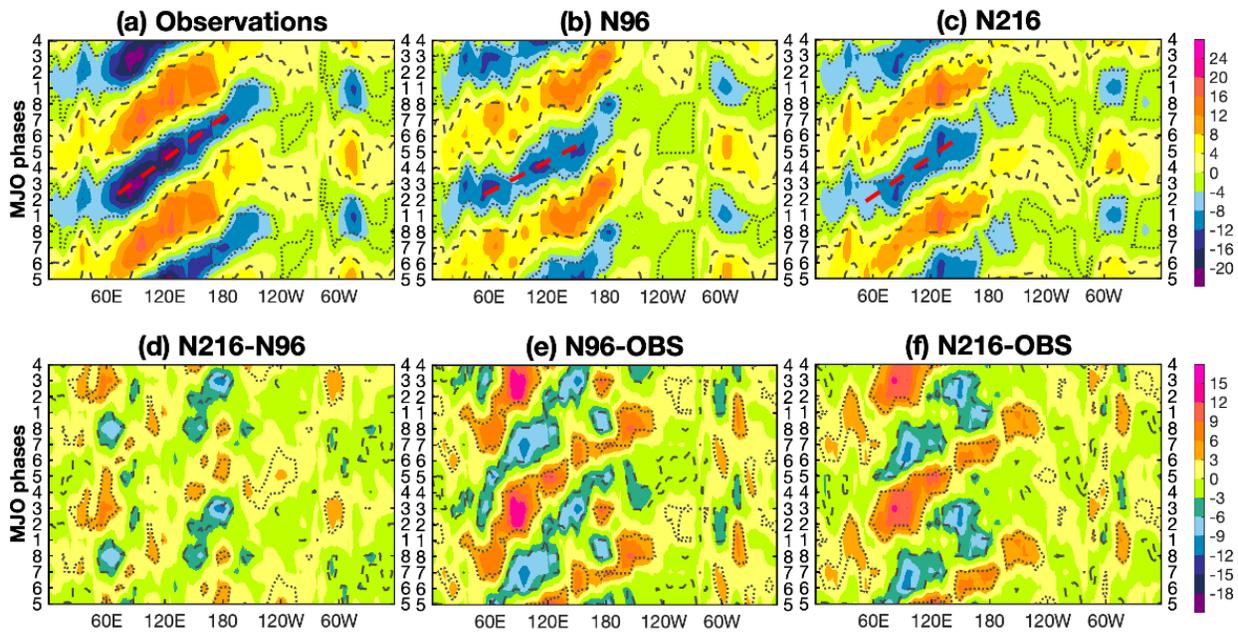
Figure 3. Composite anomalies of OLR and 850 hPa winds (black arrows) in MJO phases 7, 8, 1, 3, 4 in (a) observations, (b) N96, and (c) N216. Contour interval is $5 Wm^{-2}$. The color bar indicates confidence levels, with signs indicating positive or negative OLR anomalies. Only wind anomalies (ms^{-1}) with confidence levels better than 85% are shown.

4.1.2 The simulated MJO impacts on SA through teleconnections

Focusing on the MJO impacts on SA, simulated upper-level easterlies over the central-eastern Pacific in phase 7 (Fig. 6b-c) favor the development of a significant anomalous cyclonic circulation over western tropical SA (Sakaeda & Roundy, 2016), as observed (Fig. 6a). However,

416 MetUM-GOML3 strengthens earlier the extratropical teleconnection between CSSP and SA
 417 (phase 8, Fig. 6b-c), associated with enhanced (suppressed) convection in the CESA (SESA)
 418 (Fig. 3b-c), than observations (Figs. 3a, 6a). While observed anomalous convection over CESA
 419 and circulation related with the teleconnection pattern are stronger in phase 1 (Figs. 3a, 6a), the
 420 simulated ones are stronger in phase 8 (Figs. 3b-c, 6b-c). The ECMWF and NCEP AGCMs also
 421 show this phase shift of the maximum extratropical teleconnection and its impacts on SA
 422 (Grimm et al., 2021). Also, the tropical teleconnection between the eastern Pacific and SA,
 423 which affects tropical precipitation anomalies, seem to reach SA earlier in MetUM-GOML3
 424 (phase 8, Fig. 5b-c). In observations, the tropical and extratropical teleconnections to SA are
 425 fully established in phase 1 (Figs. 5a, 6a) (Grimm, 2019).

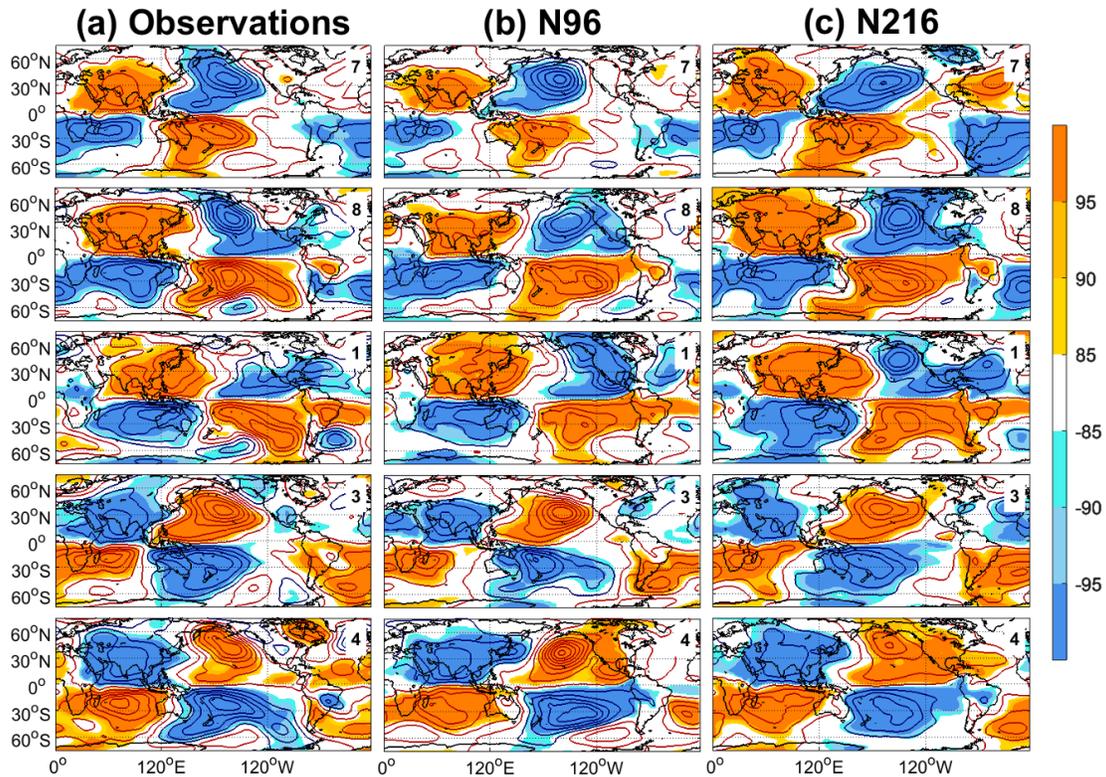
426 Figure 6b-c shows simulated extratropical teleconnections, indicated by curved arrows,
 427 stronger and more correctly positioned in phase 8 than 1, which differs from observations (Fig.
 428 6a). The simulated circulation anomalies are more significant in phase 8 than 1, such as the
 429 upper-level extratropical anticyclone-subtropical cyclone pair over SA.



430 **Figure 4.** Time-longitude Hovmöller diagrams of 0° - 15° S averaged OLR anomalies (Wm^{-2})
 431 for (a) observations, (b) N96, (c) N216, (d) N216-N96, (e) N96-OBS, (f) N216-OBS. The dotted
 432 and dashed lines delimit positive and negative significant values with confidence levels $> 85\%$.
 433
 434

435 Three main factors can shift the extratropical teleconnection to SA from phase 1 to phase
436 8 in MetUM-GOML3. (i) The eastward shift in convection for a given MJO phase with respect
437 to observations (Fig. 3a-c), which means that simulated convection arrives at the most efficient
438 source region (CSSP) “earlier” (in RMM phase space) than observed, as in Grimm et al. (2021)
439 for the ECMWF and NCEP models; (ii) the conditions over the CSSP to excite teleconnections
440 and establish the wavetrain happen almost simultaneously in the model (phase 8), whereas
441 observations show a one-phase lag between convective forcing in this region (phase 8) and the
442 teleconnection peak (phase 1) (Grimm, 2019); (iii) the simulated MJO convection (and low-level
443 convergence) over the CSSP lasts from phase 7 through 8 (Fig. 3b-c), weakening in phase 1
444 more than observed (Fig. 3a). Consequently, the extratropical teleconnections weaken in phase 1
445 (Fig. 6b-c), restricting the strongest negative OLR anomalies to equatorial North-Northeast
446 Brazil (Fig. 3b-c), where they last until phase 2 (not shown). The wavetrain fades in phase 2,
447 associated with fading anomalous convection over the CSSP and consistent with observations
448 (not shown).

449 In phase 4 (Fig. 3b-c), the model reproduces the opposite features to those in phase 8 over
450 the CSSP, associated with the phase 4 extratropical teleconnection to SA (Fig. 6b-c) (Fernandes
451 & Grimm, 2023). It is noteworthy that the model simulates the wavetrain in the expected MJO
452 phase, probably because there is no phase lag between the suppressed convection over the CSSP
453 (Fig. 3a) and the teleconnection (Fig. 6a) in observations. The simulated teleconnection favors
454 suppressed (enhanced) convection in the CESA (SESA), coherent with observations. There is an
455 upper-level extratropical cyclone-subtropical anticyclone pair over SA in MetUM-GOML3 and
456 observations, while the opposite happens in phase 8-1.



457 **Figure 5.** Composite anomalies of the 850 hPa streamfunction in MJO phases 7, 8, 1, 3, 4 in (a)
 458 observations, (b) N96, and (c) N216. Contour interval is $6 \times 10^5 m^2 s^{-1}$. Zero line is omitted.
 459 The color bar indicates confidence levels of streamfunction anomalies, with signs indicating
 460 positive or negative anomalies.
 461

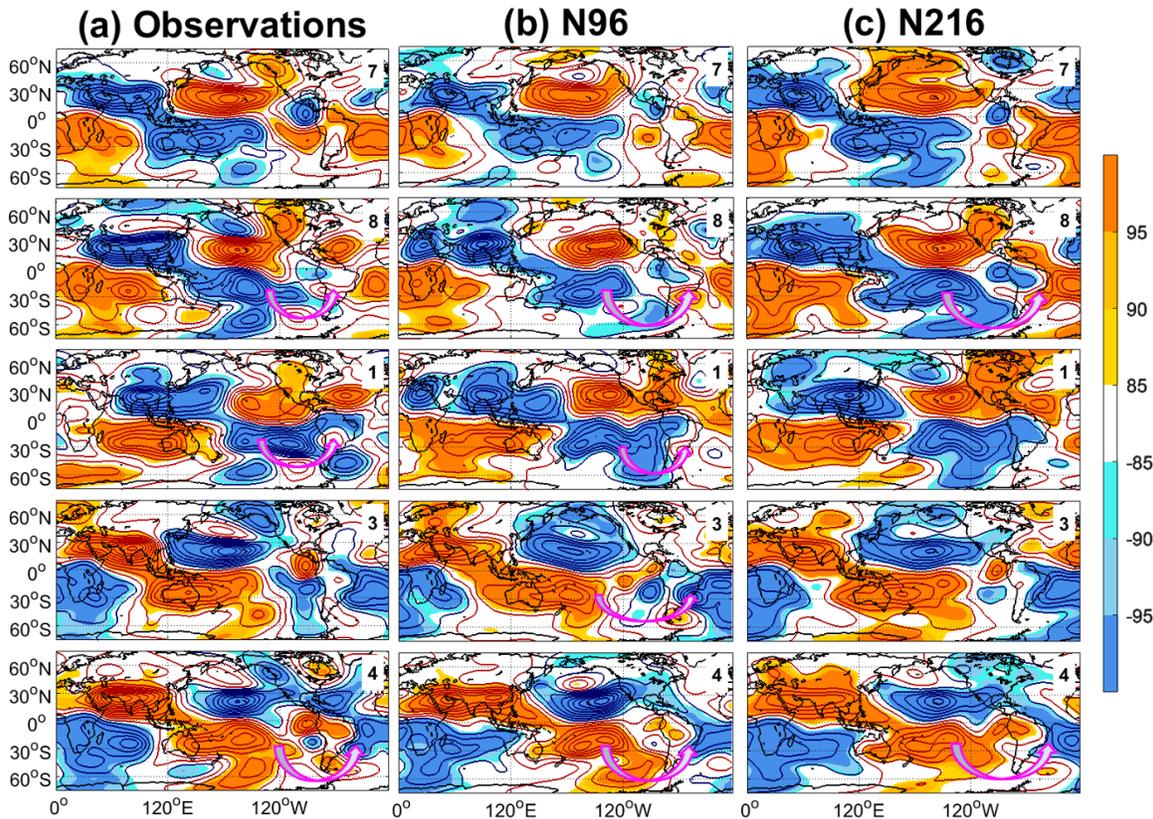
462 4.1.3 The effect of horizontal resolution

464 Despite similar overall MJO characteristics in N96 and N216 (Subsections 4.1.1 and
 465 4.1.2), N216 improves some aspects of the MJO and its impacts on SA. Increasing resolution
 466 enhances the equatorial convection a little east of 180° (Fig. 4c-d). Also, N216 enhances
 467 convection over the CSSP for the extratropical teleconnection to SA (Grimm, 2019), better
 468 simulating the observed features in this region (phases 7-8, Figs. 3c, S1a, S1c). Significant
 469 N216-N96 differences in the negative OLR and low-level wind anomalies appear in the CSSP
 470 (phase 8, Fig. S1a).

471 Biases (Fig. S1b-c) show that the South American convection and low-level wind
 472 anomalies associated with the MJO are better simulated in both resolutions in phase 8 than 1.
 473 Increased horizontal resolution is important to better reproduce SESA enhanced (suppressed)
 474 convection and low-level wind anomalies in phase 4 (phase 1) (Figs. 3c, S1a). In general, the

475 biases in the convection anomalies over SA are smaller for N216 than for N96 (Fig. S1b-c).
476 There are also smaller significant biases in N216 than N96 in the low-level tropical
477 streamfunction anomalies between the eastern South Pacific and the South Atlantic (Fig. S2).
478 Interestingly, there are more significant streamfunction biases in the extratropics than in the
479 tropics at lower (Figs. S2b-c) and upper (not shown) levels. By contrast, there are smaller
480 significant biases in N96 than in N216 in the upper-level streamfunction anomalies over the
481 extratropical region (not shown), suggesting that higher resolution worsens the extratropical
482 teleconnections. Previous studies have found an eastward displacement of the Pacific North
483 American teleconnections due to a stronger and eastward extended North Pacific westerly jet, a
484 common GCM bias (Henderson et al., 2017; Wang et al., 2020a, 2020b). The South American
485 westerly jet weakens and shifts southwards in DJF. It is stronger in MetUM-GOML3 (purple
486 contours in Fig. S3b-c) than observed (Fig. S3a), as Hirons et al. (2015) described for MetUM-
487 GOML1.

488 The MJO extratropical teleconnection propagation in the model depends on the intensity
489 of the South American westerly jet varying by the MJO phase. The jet strength differs between
490 the lower (N96) and the higher resolution (N216) (Fig. S3b-c), as reported by previous modeling
491 studies (Hertwig et al., 2015; Müller et al., 2018), associated with increased resolution reducing
492 biases of the upper-level wind. Hence, N96 has a stronger westerly jet than N216 over the
493 extratropical South Pacific and South Atlantic oceans. However, over the southern tip of SA and
494 subtropical SA, the westerly jet is stronger in N216 (Fig. S3a,c). The southern tip of SA is the
495 region from where the teleconnection pattern is directed towards the subtropics (Grimm, 2019).



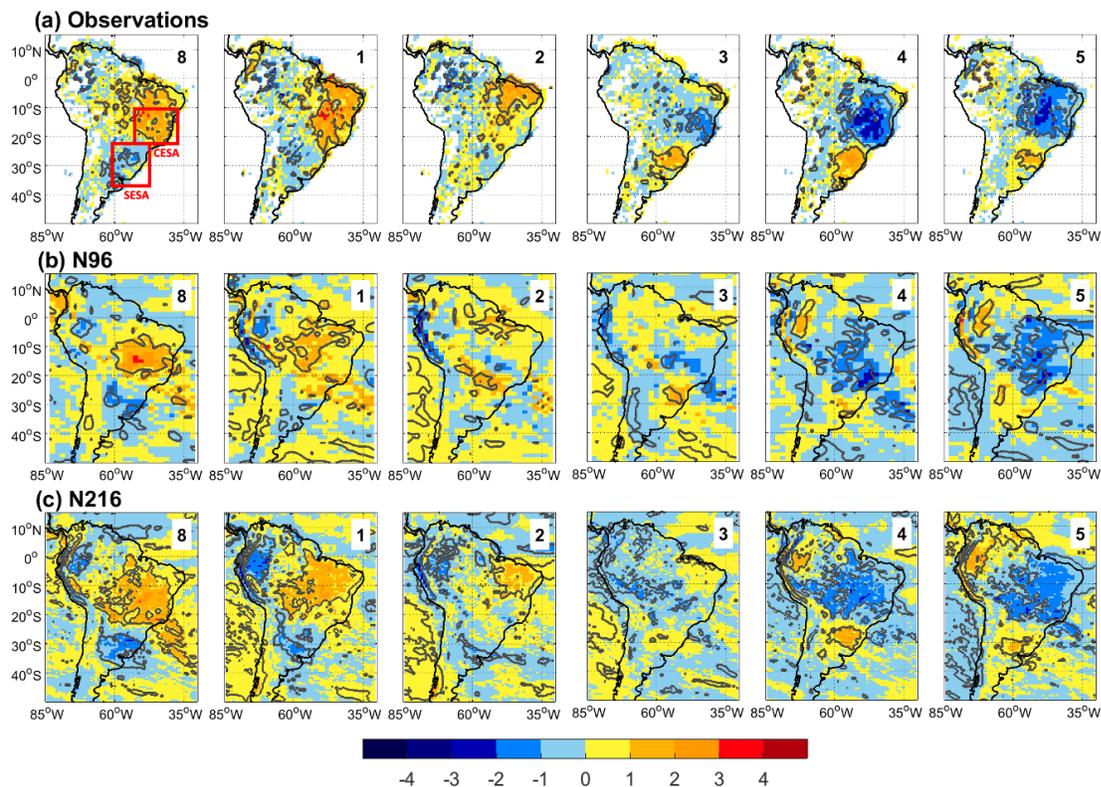
496 **Figure 6.** Composite anomalies of the 200 hPa streamfunction in MJO phases 8, 1, 3, 4 in (a)
 497 observations, (b) N96, (c) N216. Contour interval is $12 \times 10^5 m^2 s^{-1}$. The color bar indicates
 498 confidence levels of streamfunction anomalies, with signs indicating positive or negative
 499 anomalies.
 500

501
 502 Therefore, N216 may shift the extratropical teleconnection due to a stronger South
 503 American westerly jet just south of SA. Another aspect that may support the displacement of the
 504 teleconnection wavetrain is the enhanced convection over the CSSP slightly to the east in N216
 505 with respect to N96 (phases 7-8, Fig. 3b-c). It is noticeable the teleconnections shift east at upper
 506 levels in N216 with respect to N96 (curved arrows, Fig. 6b-c). N216 shifts the upper-level
 507 anomalous circulation dipole eastwards over the subtropical South Atlantic (phases 8-1, 4, Fig.
 508 6). In N96, the subtropical cyclonic (anticyclonic) anomaly is over or closer to SA in phases 8-1
 509 (3-4) (Fig. 6b), consistent with observations (Fig. 6a).

510 4.2 Precipitation anomalies over SA associated with MJO

511 Figure 7 shows the CESA and SESA locations (red squares) and the precipitation
 512 anomalies over SA in MJO phases 8-5 in observations and MetUM-GOML3 simulations. The
 513 precipitation anomalies are consistent with the OLR (Figs. 3,4) and circulation (Figs. 5,6)

514 anomalies. The better-resolved topography in N216 improves the low-level anomalous
 515 circulation dipole in phases 8 and 4 (Fig. 5c) and the moisture flux over and around SA,
 516 indicated by the low-level wind anomalies (Fig. 3c). These features lead to an expanded
 517 anomalous precipitation dipole, in which the significant anomalies reach larger continental areas
 518 (phases 8, 1, 4, and 5, Fig. 7c). Delworth et al. (2012) and Jung et al. (2012) found mean
 519 precipitation patterns over SA improved in coupled GCMs with increased horizontal resolution.
 520 The better-resolved topography may also help the CESA mountains to anchor the SACZ in its
 521 climatological position (Grimm et al., 2007) (phase 8, Fig. 7c). The precipitation anomalies are
 522 improved in N216, even with the extratropical teleconnection to SA slightly shifted east (Fig.
 523 6c).



524
 525 **Figure 7.** Composite anomalies of daily precipitation rate (color bar, $mm\ day^{-1}$), in MJO
 526 phases 8, 1, 2, 3, 4, 5 in (a) observations, (b) N96, (c) N216. Gray lines have anomalies with
 527 confidence levels better than 85%. The first map shows the CESA and SESA regions (red
 528 squares) cited in the text.

529
 530 Simulated precipitation anomalies are significant over the entire CESA in phase 8, when
 531 both teleconnections are fully established (Figs. 5b-c, 6b-c). On the other hand, the anomalies are
 532 much reduced over CESA (and over the SACZ) in phase 1 (Fig. 7b-c), as the extratropical

533 teleconnection weakens (Fig. 6b-c). The significant positive anomalies retreat to the north of
534 CESA and equatorial northeast (NE) Brazil in phase 1, as do the OLR anomalies (Fig. 3b-c),
535 consistent with tropical teleconnections (Figs. 5b-c).

536 Positive precipitation anomalies are enhanced in N216 with respect to N96 over the
537 Northeast Brazil in phases 1-2, and in SESA, in phases 4-5 (Fig. S4a). The precipitation dipole is
538 shifted westward in the model (Fig. 7b-c), over the monsoon core region (10°-20°S, 45°-55°W,
539 smaller red squares in Fig. S4b-c) and the Amazon in phases 8, 1, 4, 5. The MJO impacts are
540 more significant in observations (Fig. 7a) in CESA, a little east of the monsoon core region
541 (Grimm, 2019). The model overestimates the daily precipitation climatology in DJF over these
542 regions, corroborating Souza Custodio et al. (2017), with differences larger than 3 mm/day (not
543 shown), and favors enhanced MJO precipitation anomalies, which range between +/- 5 mm/day.
544 On the other hand, the model underestimates the precipitation anomalies in CESA (phase 1) and
545 in equatorial NE Brazil (phases 8-1) (Fig. S4b-c).

546 The most significant positive precipitation anomalies in SESA, sometimes associated
547 with Mesoscale Convective Systems occur in phases 3-4, weakening in phase 5 (Fig. 7a). N216
548 represents better than N96 these anomalies during phases 4-5 (Fig. 7b-c) due to improved
549 convection and low-level circulation anomalies (phase 4, Figs. S1, S2). Monerie et al. (2020)
550 hypothesized that increased horizontal resolution in MetUM improved the representation of
551 Mesoscale Convective Systems over SA.

552 **5 MJO impacts during El Niño and La Niña states**

553 The following subsections show the global anomalous MJO convection and circulation
554 patterns in EN and LN in MetUM-GOML3 (Subsection 5.1) and related South American
555 precipitation anomalies (Subsection 5.2). Fernandes and Grimm (2023) composites are
556 duplicated here as observations using ERA-Interim.

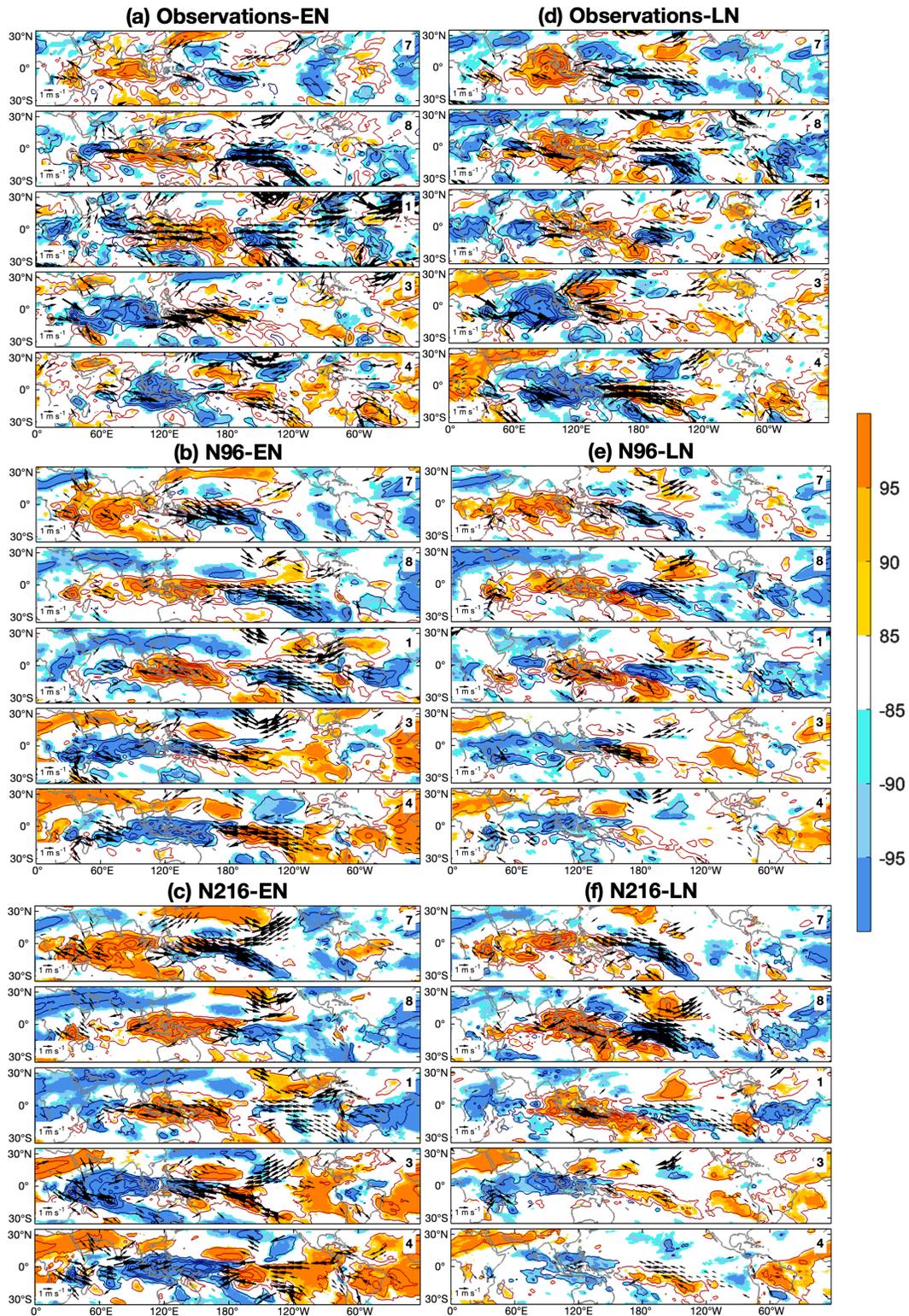
557 5.1 Global anomaly patterns associated with MJO in EN and LN

558 5.1.1 Influence of EN and LN states on the simulated MJO

559 In N96-ENSO and N216-ENSO, the global MJO OLR, wind at 850 hPa (Figs. 8b-c,e-f,
560 9b-c,e-f), and streamfunction anomalies (Figs. 10b-c,e-f, 11b-c,e-f) strengthen (weaken) in EN
561 (LN) with respect to N96 and N216 (Figs. 3b-c, 4b-c, 5b-c, 6b-c). Hence, it is noticeable that the

562 own MJO strengthens (weakens) in EN (LN). For instance, the magnitude of the low-level
563 westerlies over Africa and Indian Ocean, associated with the drag effect of the equatorial Rossby
564 waves (Wang et al. 2018), are closer to observations in EN (phases 3-4, Fig. 8b-c) than LN (Fig.
565 8e-f). Also, the low-level easterlies of equatorial Kelvin waves and the suppressed convection
566 over the western Pacific are more significant in EN (phases 3-4, Figs. 8b-c, 9b-c) than LN
567 (phases 3-4, Figs. 8e-f, 9e-f), supporting MJO propagation (Chen and Wang, 2018; Kim et al.,
568 2014), as found in observations (Fernandes & Grimm, 2023) and the atmosphere-mixed-layer-
569 ocean coupled configuration of ECHAM4 (Wei & Ren, 2019).

570 In addition, the OLR and U850 Hovmöller diagrams (Fig. 9a-l) show that EN conditions
571 produce the best simulated MJO eastward propagation, in agreement with Klingaman and
572 DeMott (2020), as well as less MJO decay, mainly over the Maritime Continent-western Pacific
573 (Fig. 2e-f). The magnitude of the equatorial OLR and U850 anomalies is closer to observations
574 in EN than LN (Fig. 9a-l) or simulations without ENSO (Fig. 4). We hypothesize that the
575 simulated EN strengthens moisture gradients near the Maritime Continent, inducing more
576 moisture advection by the stronger low-level wind anomalies during the EN state (Fig. 8b-c),
577 favoring MJO eastward propagation through the Maritime Continent (Wei and Ren, 2019). The
578 faster eastward propagation of the MJO convection over the Indian Ocean-Maritime Continent is
579 visible in the lower slope of the Hovmöller diagram between 60°E-160°E (red dashed lines, Fig.
580 9a-f) in EN than LN. In other words, the MJO convection is further east in EN than LN from
581 phase 3 through phase 5 (Henderson & Maloney, 2018; Wei & Ren, 2019; Fernandes & Grimm,
582 2023). The simulated MJO suppressed convection weakens during LN (Fig. 9e-f) over the Indian
583 Ocean-Maritime Continent, producing a standing oscillation near the Maritime Continent.
584 Therefore, the Maritime Continent barrier effect (Zhang & Ling, 2017), enhanced in GCMs (Kim
585 et al., 2018; Vitart & Molteni, 2010), is even more exaggerated in LN, mainly in N96-LN (Fig.
586 8e, phases 3-4), consistent with the increased MJO decay in phases 5-6 in LN (Fig. 2h-i).

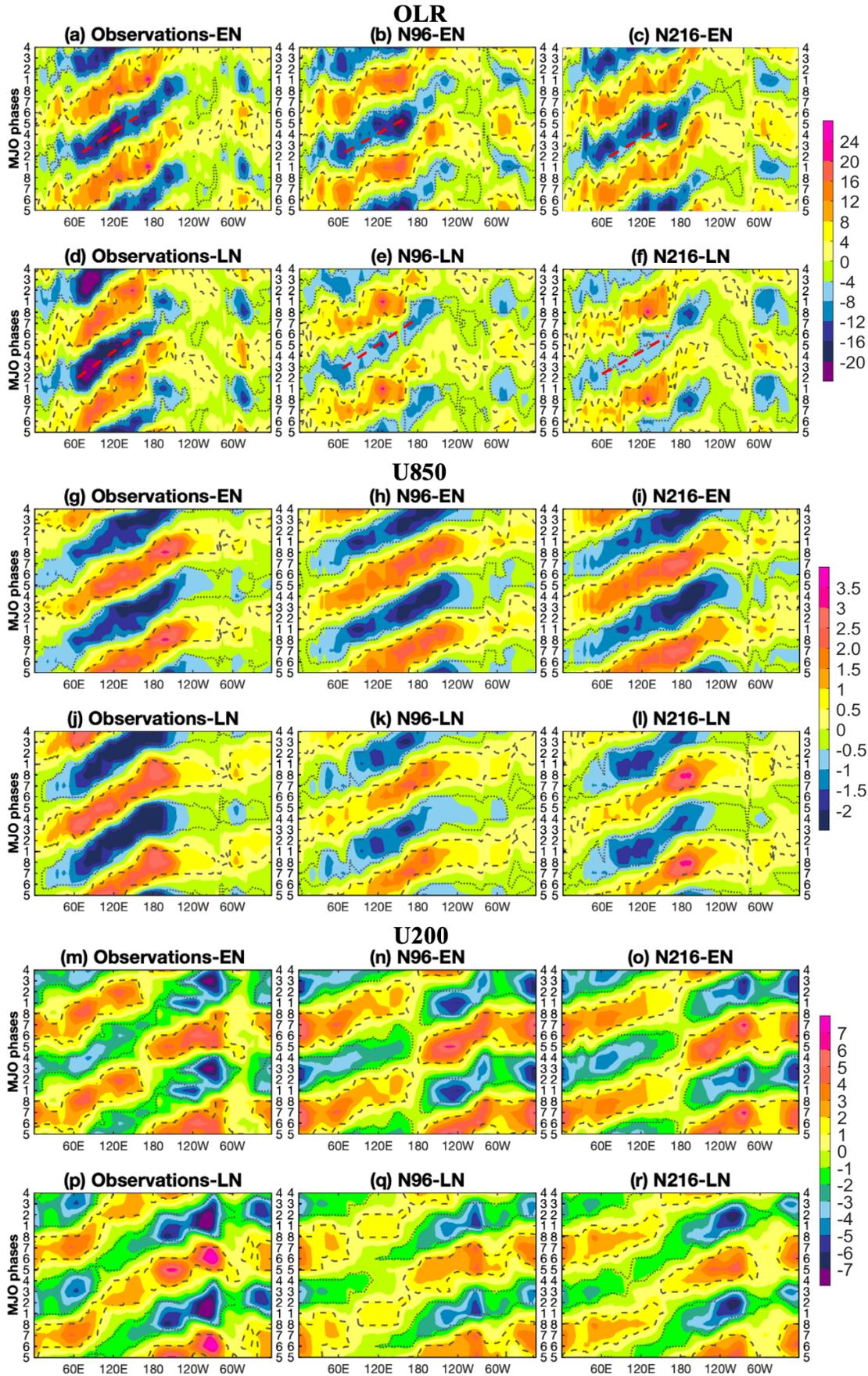


587

588 **Figure 8.** Same as Figure 3, but for (a) observations-EN, (b) N96-EN, (c) N216-EN, (d)
 589 observations-LN, (e) N96-LN, and (f) N216-LN.

590

591 Changes in the Walker circulation by ENSO affecting MJO convection are often better
592 simulated in the higher resolution. For example, the anomalous MJO and EN (LN) convection
593 with the same (opposite) signal across the central Pacific during phases 6-7 (not shown) increase
594 the subsidence and suppressed convection over the equatorial northeast SA in N216-EN (phase
595 7, Fig. 8c) and the ascent and enhanced convection over the same region in N216-LN (phase 7,
596 Fig. 8f). Thus, simulated dry anomalies over the equatorial northeast SA last longer in N216-EN
597 (phases 4-7) than N216-LN (phases 4-5) (not shown), establishing the convective dipole over SA
598 with an opposite sign later in N216-EN (phase 8) than N216-LN (phase 7) (Fig. 8c,f), consistent
599 with observations (Fig. 8a,d). The persistent dipole from phases 4-7 in EN is noticeable in the
600 precipitation anomalies (Subsection 5.2). Interestingly, anomalous convection over the equatorial
601 northeast SA in phase 8 is stronger in LN than EN in both resolutions (Fig. 8b-c,e-f), as observed
602 (Fig. 8a,d), associated with changes in the Walker circulation by EN (LN), decreasing
603 (increasing) the ascent over that region. However, the equatorial convection over SA in the
604 Hovmöller diagrams is more prominent in LN than EN, as observed (Fig. 9a,d), only in N216
605 simulations (phases 8-2, Fig. 9c,f).



607 **Figure 9.** MJO phase-longitude Hovmöller diagrams of 0° - 15° S averaged OLR anomalies
608 (Wm^{-2}), averaged zonal wind anomalies at 850 and 200 hPa (ms^{-1}), for each of the eight
609 MJO phases during the austral summer in observations-EN (a,g,m), observations-LN (d,j,p),
610 N96-EN (b,h,n), N96-LN (e,k,q), N216-EN (c,i,o), N216-LN (f,l,r). The dotted and dashed lines
611 delimit the significant values with confidence levels $> 85\%$.
612

613

5.1.2 Influence of EN and LN states on the MJO teleconnections and their impacts on SA

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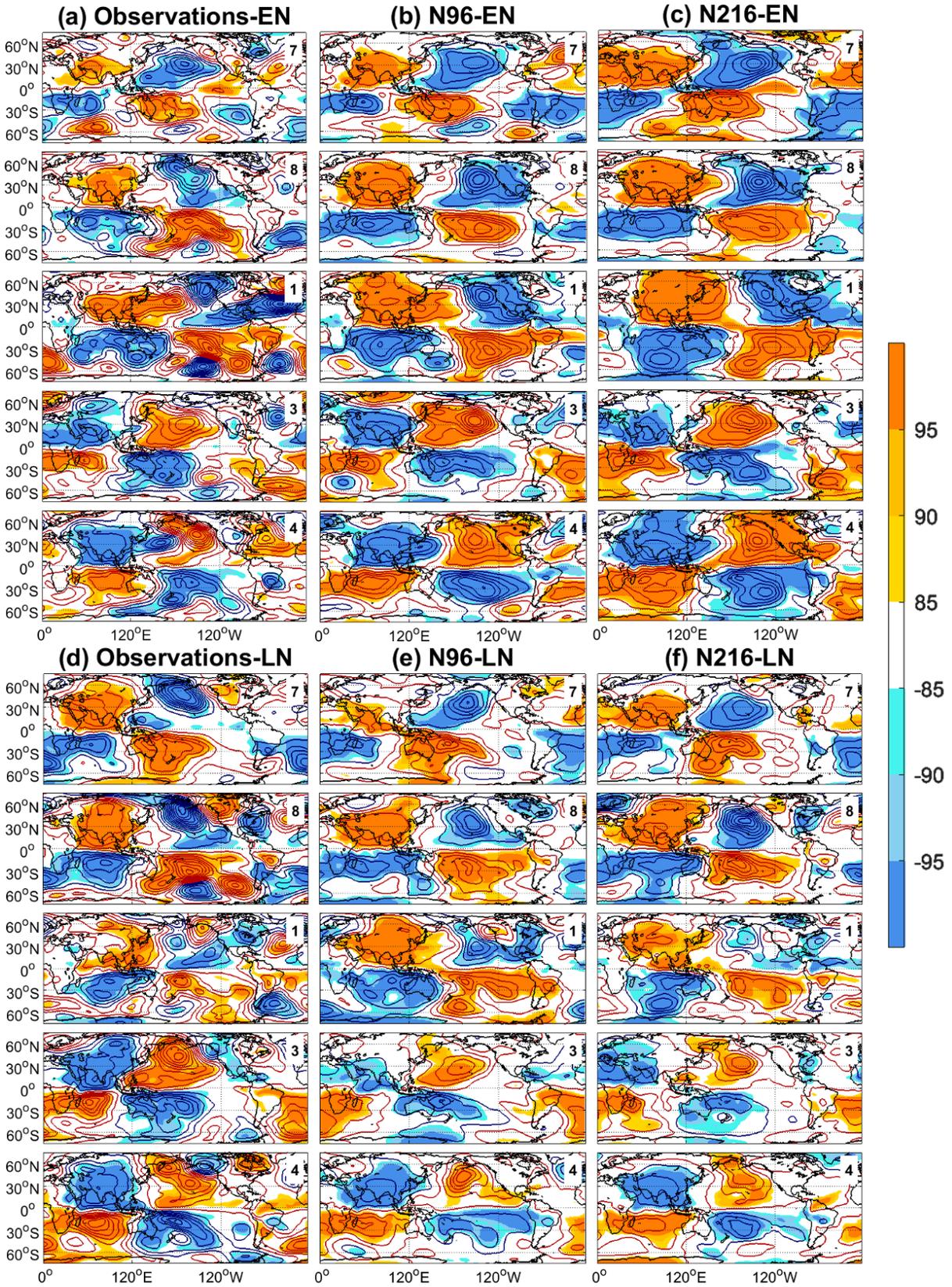
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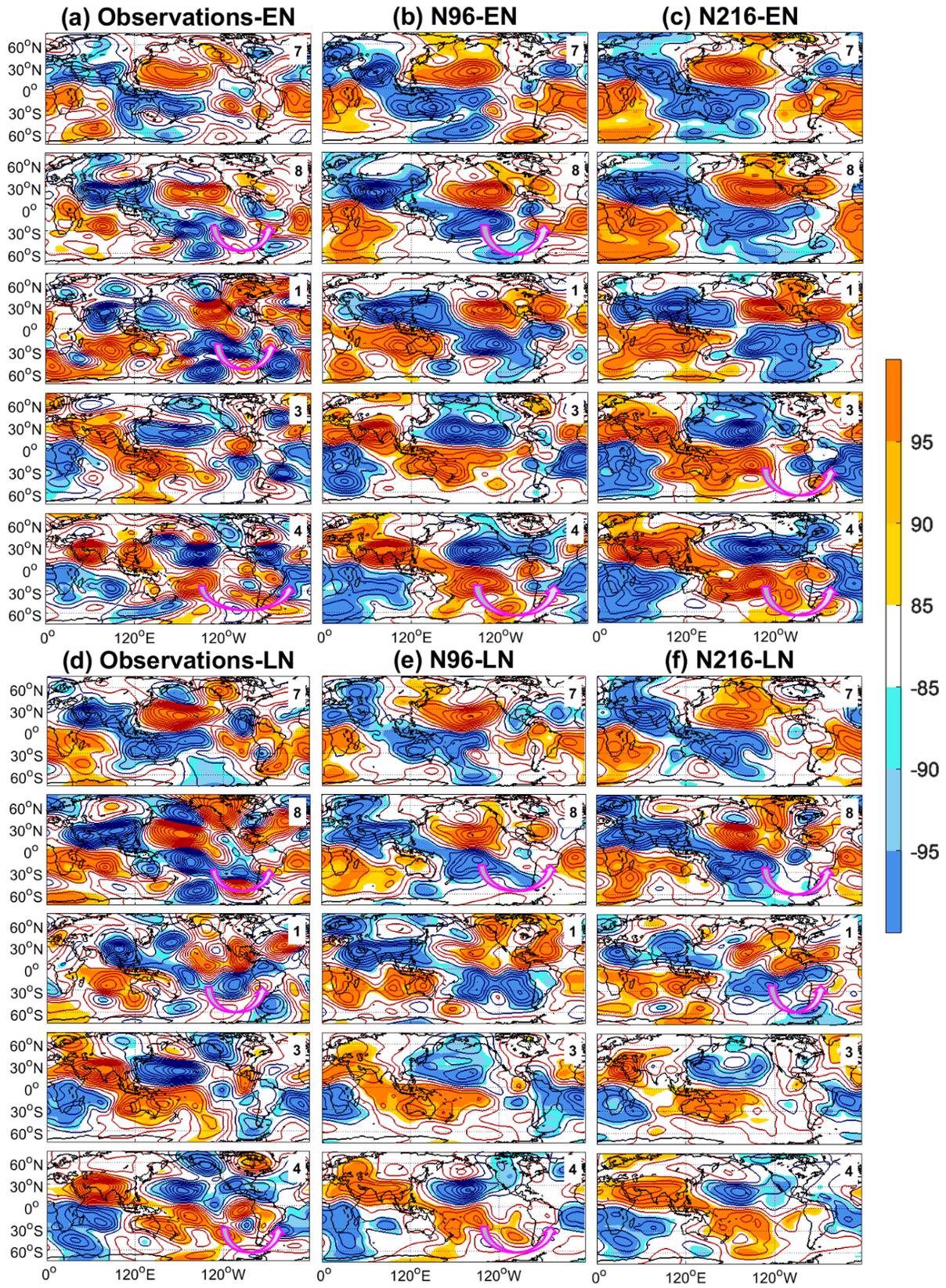
The MJO convection increases over the central-eastern South Pacific in phases 7-1 in both EN and LN states (Fig. 8a,d), strengthening the extratropical teleconnection (Fig. 11a,d) that produces more rainfall in CESA, especially in its southern part (Fernandes & Grimm, 2023; Grimm, 2019). Enhanced low-level convergence (Fig. 8a,d) and upper-level easterlies (Fig. 11a,d) favor the maximized MJO convection. The MJO convection over the CSSP in phases 8-1 is more significant in N96-EN (Fig. 8b) than N216-EN (Fig. 8c) and simulations without ENSO (Fig. 3b-c), supported by increased low-level convergence (Fig. 8b) and upper-level easterlies (Fig. 11b). We hypothesize that the anomalous equatorial convection extended further east of 180° in N96-EN (Fig. 9b) than N216-EN (Fig. 9c) increases the probability of enhanced convection over the CSSP to efficiently trigger the teleconnections towards SA in phases 8-1. In LN, the MJO convection is shifted westward to the subtropical South Pacific in phases 7-8 in both resolutions (Fig. 8e,f) as in observations (Fig. 8d) (Fernandes & Grimm, 2023; Moon et al., 2011), coherent with colder equatorial SST and enhanced subsidence east of 180° , and the convection is stronger than simulations without ENSO (Fig 3b-c).



629 **Figure 10.** Same as Figure 5, but for (a) observations-EN, (b) N96-EN, (c) N216-EN, (d)
630 observations-LN, (e) N96-LN, and (f) N216-LN.

631
632 Notwithstanding, enhanced MJO convection strengthens in N96-EN (phases 8-1, Fig. 8b)
633 and N216-LN (phases 7-8, Fig. 8f) over the CSSP, supported by low-level convergence. In
634 N216-EN, the anomalies weaken too early in phase 8 (Fig. 8c) and in N96-LN, they are too far
635 west with respect to the CSSP location (Fig. 8e). Consequently, extratropical teleconnections
636 (pink curved arrows in Fig. 11) are best simulated in N96-EN (phase 8, Fig. 11b) and N216-LN
637 (phases 8-1, Fig. 11f) but also visible in N96-LN (phase 8, Fig. 11e). N96-EN also shows
638 increased subtropical temperature latitudinal gradient between the equatorial eastern Pacific and
639 the CSSP with respect to N216-EN (not shown), which favors a stronger subtropical jet
640 supporting the propagation and establishment of the MJO teleconnection wavetrain.

641 The model teleconnection pattern is already fully established in phase 8, even with N96-
642 EN simulating enhanced conditions over the CSSP in phase 1 (Fig. 8b). By contrast,
643 observations-EN shows the teleconnection wavetrain peaking in phase 1 (Fig. 11a), related to the
644 convection and low-level convergence still strong in phase 1 (Fig. 8a). Hence, adding ENSO did
645 not change the issue in the model in simulating the peak of the teleconnection pattern earlier
646 (phase 8) than observed (phase 1) because the simulated MJO is still propagating faster and the
647 teleconnection pattern peaks simultaneously with enhanced conditions over the CSSP in the
648 model. Notable component of the MJO teleconnection pattern is the upper-level anomalous
649 circulation dipole over subtropical and extratropical SA. The extratropical anomalous barotropic
650 anticyclonic circulation in phases 8-1 is shifted west in the model in phase 8 (Figs. 10 and 11),
651 and weakens in phase 1 due to the decay of the teleconnection pattern. However, it is still visible
652 in N216-LN at both levels (Figs. 10f, 11f). The simulated upper-level cyclonic anomaly
653 associated with enhanced rainfall in the SACZ is stronger in phase 8 than 1 (Fig. 11b,e,f).



655 **Figure 11.** Same as Figure 6, but for (a) observations-EN, (b) N96-EN, (c) N216-EN, (d)
 656 observations-LN, (e) N96-LN, and (f) N216-LN.

657
 658 Equatorial suppressed MJO convection east of 180° in phases 3-4 is stronger in EN and
 659 LN (Fig. 9a,d) than in all years (Fig. 4a). The suppressed convection starts to shift
 660 southeastwards, entering into the favorable region to excite the phase 4 extratropical
 661 teleconnection pattern to SA (Fernandes & Grimm, 2023), which produces positive (negative)
 662 OLR anomalies in CESA (SESA) (Subsection 4.1). In the model, the equatorial suppressed
 663 convection extends east of 180° only in EN (Fig. 9b-c), because the Maritime Continent barrier
 664 effect magnifies in LN (Fig. 9e-f). N96-EN (phase 4) and N216-EN (phases 3-4) show
 665 maximized suppressed convection supported by enhanced low-level divergence over the CSSP
 666 (Fig. 8b-c), able to trigger stronger extratropical teleconnections (Fig. 11b-c) than in simulations
 667 without ENSO (Fig. 6b-c). The teleconnection pattern is shifted east in N216-EN with respect to
 668 N96-EN, as simulations without ENSO. However, the wavetrain in N216-EN is more accurately
 669 positioned, as the anomalous circulation dipole is adjacent to the South American continent,
 670 coherent with observations-EN (Fig. 11a).

671 The conditions over the CSSP to excite the wavetrain weaken in LN in the model (phases
 672 3-4, Fig. 8e-f), and a weaker teleconnection pattern is visible in N96-LN (phase 4, Fig. 11e). In
 673 observations, the enhanced (suppressed) convection is stronger and further east in EN (LN) than
 674 LN (EN) over the CSSP in phases 8-1 (3-4), so the teleconnection pattern and its impacts on SA
 675 are shifted east in EN (LN) with respect to LN (EN) in phase 1 (phase 4) (Fig. 11a,d) (Fernandes
 676 & Grimm, 2023). This shift also happens in the model in phases 1 and 4 (cf Figs. 11b-c, 11e-f).

677 The eastward MJO propagation over the central-eastern Pacific slows (quickens) in EN
 678 (LN) due to warmer (colder) SSTs and stronger (weaker) convection during phases 6-7 (Zhang,
 679 2005), establishing the tropical teleconnection towards SA earlier in LN (phase 8, Fig. 10d) than
 680 EN (phase 1, Fig. 10a) (Fernandes & Grimm, 2023). This difference in the eastward MJO
 681 propagation between EN and LN is more clearly visible in the U200 Hovmöller diagrams (Fig.
 682 9m,p). The equatorial upper-level zonal winds best represent the eastward MJO propagation over
 683 colder SSTs in the equatorial central-eastern Pacific than the equatorial OLR and low-level zonal
 684 winds (Fig. 9). In observations, LN (Fig. 9p) displays stronger upper-level zonal winds and lower
 685 slopes than EN (Fig. 9m) (Fernandes & Grimm, 2023).

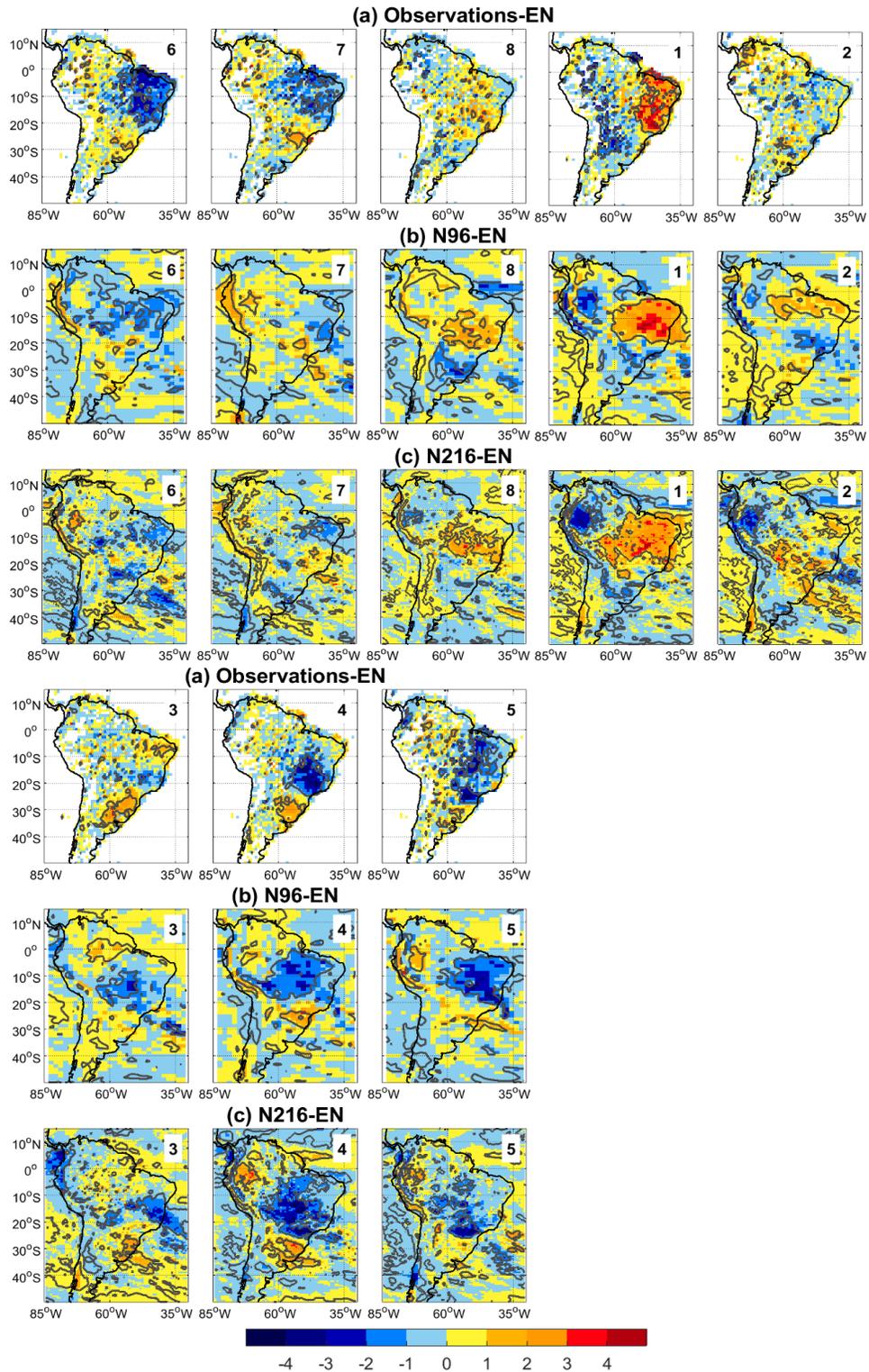
686 In MetUM-GOML3, EN (Fig. 9n-o) and LN (Fig. 9q-r) show similar magnitude and
687 propagation of the equatorial upper-level zonal winds over the equatorial central-eastern Pacific.
688 As the model lacks ocean dynamics, it does not simulate well changes in the upwelling in the
689 eastern part of the oceans in response to changes in the trade winds. Changes in the thermocline
690 depth and SST related to ENSO are controlled by temperature and salinity corrections imposed
691 in MetUM-GOML3. Hence, the strength of the Walker circulation over the “deficient” upwelling
692 region in the equatorial eastern Pacific is not well simulated and the upper-level zonal winds are
693 different from those in observations. Nonetheless, the model reproduces changes in the MJO
694 eastward propagation due to differences in ENSO SST and convection anomalies over the
695 Maritime Continent-western Pacific (Subsection 5.1.1), and also over the central-eastern Pacific,
696 delaying the peak of the tropical teleconnection to SA in EN (phase 1) with respect to LN (phase
697 8) (Fig. 10b-c,e-f).

698 5.2 Precipitation anomalies over SA associated with MJO in EN and LN

699 Figures 12 and 13 show the simulated precipitation anomalies over SA in each MJO
700 phase in EN and LN states. A transition from negative to positive (positive to negative)
701 precipitation anomalies over CESA (SESA) starts in phase 7, reaching the strongest precipitation
702 anomalies in phase 1 (Fig. 7 of Grimm, 2019). The model follows approximately this evolution
703 (not shown), consistent with observations, but peaking the South American precipitation
704 anomalies one phase earlier (phase 8) (Fig. 7). During LN (Fig. 13a), the evolution is a little
705 advanced in relation to EN (Fig. 12a), as the transition that in EN starts in phase 7, in LN is
706 almost completed in this phase, and the maximum precipitation anomalies in CESA happen in
707 phase 8. The model reproduces this difference (Figs. 12b-c,13b-c), and also the stronger positive
708 anomalies over NE Brazil in phase 2 during LN.

709 MJO circulation anomalies differ over the continent between N96-EN and N216-EN in
710 phase 8 (Fig. 11b-c). The strong extratropical anticyclone-subtropical cyclone pair over SA,
711 linked to the extratropical teleconnection, appears well defined only in N96-EN. Hence,
712 significant positive SACZ precipitation anomalies in southern CESA appear in N96-EN (Fig.
713 12b) during phase 8. In N216-EN and phases 8-1, the precipitation anomalies shift to northern
714 CESA (Fig. 12c). Both resolutions reproduce the enhanced positive precipitation anomalies in
715 tropical CESA and NE Brazil during phase 1 in EN (Fig. 12b-c), supported by strong low-level

716 westerly wind anomalies (Fig. 8b-c), associated with the fully established tropical teleconnection
 717 (Fig. 10b-c), coherent with observations-EN (Figs. 8a, 10a, 12a).

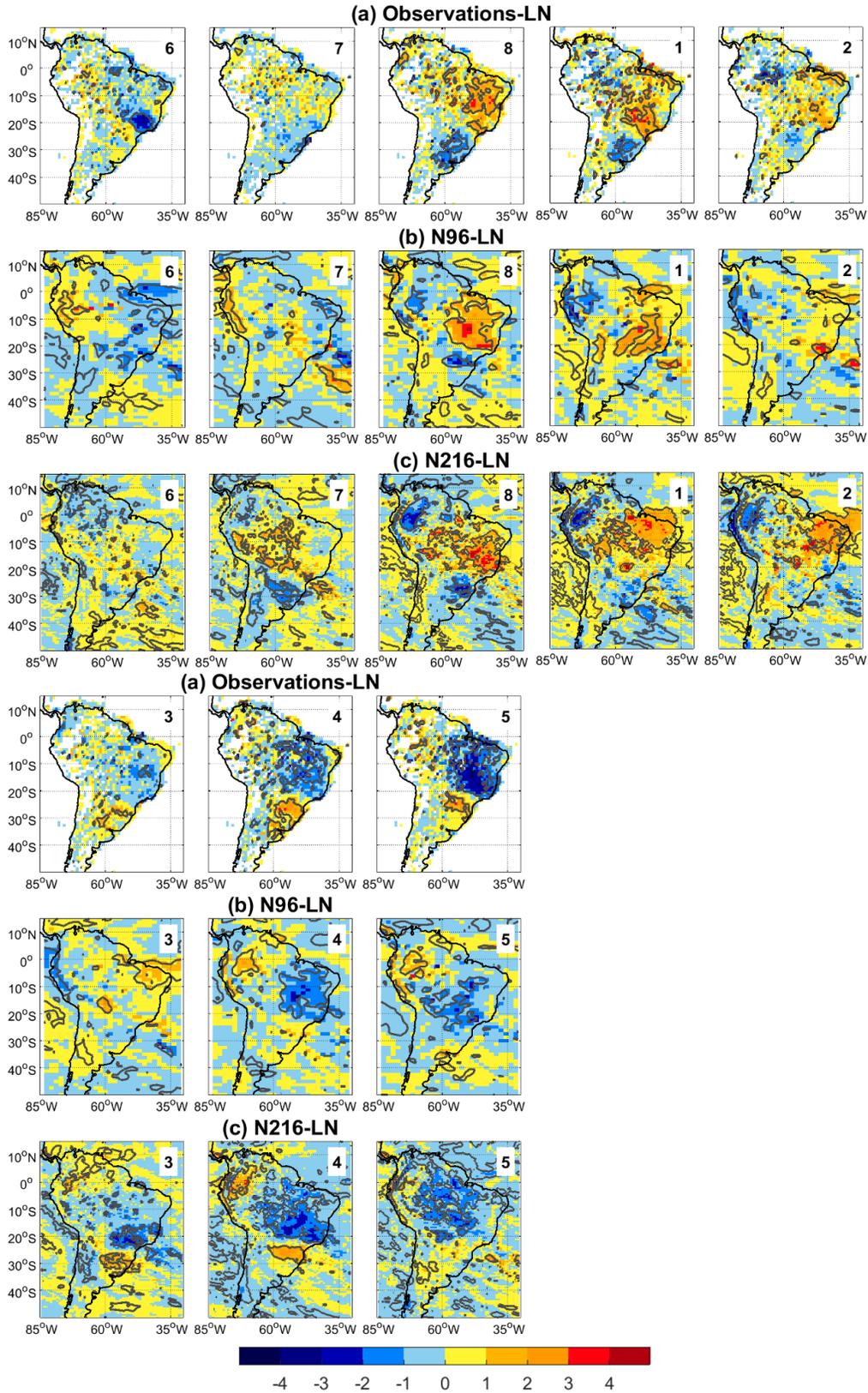


718
 719 **Figure 12.** Same as Figure 7, but for (a) observations-EN, (b) N96-EN, and (c) N216-EN.

720 Simulations under the LN state show the most prominent anomalous precipitation dipole
721 in phase 8 (Fig. 13b-c), consistent with observations-LN (Fig. 13a). The equatorial waves
722 strengthen positive precipitation anomalies from tropical CESA to NE Brazil. The extratropical
723 Rossby wavetrain intensifies the subtropical precipitation anomalies and its circulation dipole
724 over SA favors low-level moisture flux from the Amazon, increasing SACZ precipitation. The
725 precipitation dipole is even better in N216-LN, as a result of the improvement in simulating the
726 extratropical anticyclone-subtropical cyclone pair over SA (Fig. 11f). Thus, the nonlinear ENSO
727 effect on the most significant precipitation anomalies in the SACZ through extratropical
728 teleconnections happens in phase 8 in the model (Figs. 12b, 13b-c), as the wavetrain decays in
729 phase 1 (Fig. 11b,e,f). In observations, the nonlinear ENSO effect starts in phase 8 and peaks in
730 phase 1 (Figs. 12a, 13a).

731 Increased horizontal resolution improves precipitation anomalies in LN, bringing the
732 model closer to observations-LN in phase 8. For instance, significant positive precipitation
733 anomalies extend over the eastern Amazon and NE Brazil in N216-LN in phases 8-2 (Fig. 13c),
734 consistent with enhanced convection over northeastern SA in N216-LN (Fig. 8f, phase 2 not
735 shown). Also, negative precipitation anomalies appear in SESA in N216-LN in phases 7-1,
736 enhanced by LN-driven subsidence added to the MJO effect (Grimm, 2004, 2019).

737 A transition from positive to negative (negative to positive) precipitation anomalies over
738 CESA (SESA) starts in phase 3 (Fig. 7a). The anomalous precipitation dipole in phases 3-4 in
739 N216-EN (Fig. 12c) is coherent with observations-EN (Fig. 12a), shifted southeast with respect
740 to N96-EN (Fig. 12b), as a response to the improved extratropical teleconnection (Fig. 11c). In
741 EN, the positive precipitation anomalies in the southern part of SESA last from phases 3 to 7 in
742 both resolutions, consistent with observations-EN and the EN effect on SESA added to the MJO
743 effect (Grimm, 2003, 2019).



744

745 **Figure 13.** Same as Figure 7, but for (a) observations-LN, (b) N96-LN, and (c) N216-LN.

746 **6 Conclusions**

747 This study has evaluated the MJO, its impacts on SA, and their modulation by ENSO in
748 MetUM-GOML3 during austral summer (DJF). MetUM-GOML3 simulates well the distribution
749 of MJO activity in RMM phase space (Fig. 2b-c), and reproduces changes in MJO activity when
750 the ENSO-related anomalies favor/oppose certain MJO phases (Fig. 2e-f,h-i). We found errors in
751 the convection-circulation phase relationship since the model achieves the same RMM phase but
752 simulates convection further east than observed (Fig. 3), with the dynamical wave feedback
753 likely quicken MJO propagation. The fully established tropical (Fig. 5) and extratropical (Fig. 6)
754 teleconnections to SA, the strongest convection (Fig. 3), and positive precipitation anomalies
755 over CESA (Fig. 7) during phase 1 (Grimm, 2019) happen earlier in MetUM-GOML3 (phase 8),
756 and several aspects of the model support the shifting of the MJO teleconnections to one phase
757 earlier. In phase 4, MetUM-GOML3 also simulates suppressed convection and low-level
758 divergence over the CSSP (Fig. 3b-c) triggering the extratropical teleconnection to SA opposite-
759 signed with respect to phase 8 (Fig. 6b-c) and its impacts on SA (Fig. 7b-c).

760 The model reproduces the ENSO influence on both the basic state and the MJO
761 convective anomalies, which modulate the MJO teleconnections and their impacts on SA. The
762 MJO structure (Fig. 8,9) and circulation anomalies (Figs. 10,11) are more (less) robust in EN
763 (LN) with respect to simulations without ENSO (Figs. 3,4,5,6), improving (worsening) the
764 depiction of the equatorial waves and the eastward MJO propagation (Fig. 9), coherent with the
765 smaller (larger) MJO decay over the Maritime Continent (Fig. 2e-f,h-i). Stronger enhanced
766 (suppressed) MJO convection appears over the CSSP in simulations with ENSO in phase 8
767 (phase 4) (Fig. 8), exciting stronger extratropical teleconnections (Fig. 11) with respect to those
768 in simulations without ENSO (Figs. 3,6). Moreover, the model reproduces the convection over

769 the CSSP peaking earlier and more to the west in LN (phases 7-8) than EN (phases 8-1),
770 coherent with observations. When MetUM-GOML3 improves the simulation of the MJO
771 convection and teleconnections under the active ENSO states (Figs. 8,9,10,11), the magnitude
772 and spatial distribution of the precipitation anomalies over SA improves (Figs. 12,13). Also,
773 when the model reproduces similar anomalous MJO patterns pointed out in observations
774 (Fernandes & Grimm, 2023) it validates the physical mechanisms proposed for ENSO
775 modulation of the MJO impacts, particularly because simulations provide a larger sample of
776 ENSO events than that from observed records.

777 Hence, MetUM-GOML3 has shown valuable skill in simulating the MJO teleconnections
778 in phases 8 and 4 and their opposite impacts on SA, and this ability is even higher when ENSO is
779 active. As the MJO and its teleconnections improve during EN, other CGCMs may reproduce
780 these features, and S2S predictions to SA may be better when EN and MJO peak in DJF, though
781 the MJO impacts in phase 1 remain challenging.

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790 **Data Availability Statement**

791 The observed precipitation can be obtained from the website (<https://www.snirh.gov.br/hidroweb/serieshistoricas>). NOAA interpolated OLR data can be obtained from the
792 <https://psl.noaa.gov/data/gridded/data.olrcdr.interp.html> website. ERA-Interim reanalysis can be
793 obtained from the <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>
794 website. The Smith and Murphy (2007) ocean analyses and data from all simulations analyzed
795 are stored on the JASMIN collaborative analysis facility (<http://jasmin.ac.uk>).

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