

# Unravelling the kinematics of the Brewer-Dobson circulation change

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## Key Points:

- Tropical upwelling is sensitive to various climate change-induced processes, including changes in vertical and horizontal atmospheric structure.
- The width of the upwelling region is highlighted as a very uncertain and potentially important contribution to the circulation change.
- Larger spread is found among the reanalyses than models regarding the net upwelling and individual contribution changes.

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## Abstract

Climate models robustly project acceleration of the Brewer-Dobson circulation (BDC) in response to climate change. However, the BDC trends derived from comprehensive models do not fully match observations. Additionally, the changing structure of the troposphere and stratosphere has received increasing attention in recent years and to which extent vertical shifts of the circulation are driving the acceleration is under debate. In this study, we present a novel method that enables the attribution of circulation changes to individual kinematic factors. Using this method allows to study the advective BDC trends in unprecedented detail and sheds new light into discrepancies between different datasets (reanalyses and models) at the tropopause and in the lower stratosphere. Our findings provide insights into the reliability of model projections of BDC changes and offer new possibilities for observational constraints.

## Plain Language Summary

The large-scale interhemispheric meridional overturning circulation in the middle atmosphere determines the composition of this region, including the distribution of radiatively important trace gases. The long term change of this circulation is a subject of ongoing debate, and area of disagreement between models and observations. In our study we present a method that provides an unprecedented insight into the change and disentangles the individual factors behind it. Hence, the method introduces new constraints on the circulation change and can aid the reconciliation between models and observations.

## 1 Introduction

Changes in atmospheric composition since the preindustrial have substantially warmed surface climate (Ivy et al., 2017) and altered atmospheric structure (Pisoft et al., 2021), temperature (Santer et al., 2023), dynamics, and transport (Eichinger & Šácha, 2020), which in turn affect atmospheric composition. The chemical makeup of the middle atmosphere (Andrews et al., 1987), including the distribution and trends of radiatively important gases such as ozone (Karpechko et al., 2018) and water vapor (Randel & Park, 2019), is dynamically governed by the Brewer-Dobson circulation (BDC), the large-scale, interhemispheric, meridional overturning circulation. Analytically, the BDC is commonly defined as consisting of a diffusive (Butchart, 2014) and advective part described by the residual mean circulation (Andrews et al., 1987). Given its importance for atmospheric composition, a realistic representation of the structure, strength, and variability of the BDC is crucial for earth system and chemistry-climate modelling (Abalos et al., 2021).

Comprehensive global climate models unanimously indicate a strengthening of the BDC during recent decades, which is projected to continue and amplify with progressing climate change. In particular, models consistently project that the advective BDC part accelerates in a warming climate (Butchart et al., 2010; Hardiman et al., 2014; Abalos et al., 2021) and that this acceleration dominates changes in atmospheric composition of the middle atmosphere in projections throughout the 21st century (Butchart, 2014). However, recent BDC trends diagnosed from model fields are not fully matching observations (Stiller et al., 2017). Moreover, there is evidence that not only changes in CO<sub>2</sub> and CH<sub>4</sub> abundances but also ozone depleting substances are key drivers of the BDC trends (Polvani et al., 2018). Taken together, the robustness of the modelled changes, past and future, are associated with uncertainties and thus represent a topic of ongoing research and debate within the scientific community.

In studies investigating BDC changes, a frequently explored proxy for the advective BDC component is the net tropical upwelling across the 70 hPa (Butchart et al., 2010), 100 hPa (Abalos et al., 2021) isobars or the tropopause (Ortland & Alexander, 2014), which quantifies the amount of air mass advected by the residual mean circulation from the troposphere into the stratosphere and beyond.

65 Another robust impact of changes in atmospheric composition is the changing vertical struc-  
 66 ture of the atmosphere. While the troposphere is warming and thermally expanding (Santer et  
 67 al., 2003; Vallis et al., 2014), the stratosphere is cooling and contracting (Pisoft et al., 2021), which  
 68 contributes also to changes in the mesosphere and above that undergo a downward shift of pres-  
 69 sure levels (Lübken et al., 2013; Solomon et al., 2018). It has been shown that these changes in  
 70 atmospheric vertical structure interfere with the diagnosed BDC trends (Shepherd & McLandress,  
 71 2011; Šácha et al., 2019; Eichinger & Šácha, 2020). Among others it has been illustrated that no  
 72 robust increase can be detected in the net tropical upwelling when heuristically accounting for  
 73 the tropopause rise (Oberländer-Hayn et al., 2016). Moreover, the horizontal structure of the tro-  
 74 pospheric (Staten et al., 2020) and stratospheric (Hardiman et al., 2014) circulation is also chang-  
 75 ing, which might affect BDC trends (Stiller et al., 2017) and thus make causal attribution of po-  
 76 tential BDC trends an even trickier task. Taken together, these findings motivate the central re-  
 77 search question we aim to contribute to here, namely, is the advective BDC component increas-  
 78 ing, moving upwards, or are the underlying mechanisms of advective BDC changes even more  
 79 complex? Unraveling the role of individual factors for BDC change, and quantifying their con-  
 80 tribution, could aid reconciling the disagreement between observations and models regarding BDC  
 81 trends in the (recent) past (WMO, 2018) and enhance confidence in future projections of BDC  
 82 changes by global chemistry-climate (CCMs) and earth system models (ESMs).

83 The paper is structured as follows. First we derive the method for decomposition of the BDC  
 84 changes and describe the statistical approach and datasets. Then we demonstrate the utility of  
 85 the method by applying it to the most recent reanalysis dataset and compare the results with state-  
 86 of-the-art earth system model simulations of the recent past. The results section continues with  
 87 the extension of the analysis towards projections of future advective BDC changes with increas-  
 88 ing anthropogenic emissions. The final section of the paper starts with a discussion of the uncer-  
 89 tainty of the advective BDC changes that can be studied in unprecedented detail using the de-  
 90 composition method together with our statistical approach, which is documented in a compar-  
 91 ison between multimodel and multireanalysis results for the recent past changes. The paper is  
 92 concluded by the summary of the results and an outlook of future research directions.

## 93 2 Methods and Data

94 Here we present a methodological framework rooted in environmental fluid mechanics that  
 95 allows us to quantify the role of the individual aforementioned factors behind the advective BDC  
 96 changes. In a nutshell our approach is centered around the decomposition of the change in mass  
 97 transport across time-variable material lines. This allows us to derive the complete set of mech-  
 98 anisms contributing to the net tropical upwelling, and changes therein. Given the kinematic na-  
 99 ture of the problem, the solution is dependent on the material line under consideration (e.g., the  
 100 tropopause or individual pressure levels), where the transport is diagnosed. As a hypothetical ex-  
 101 ample, for a certain material line the BDC can be decreasing and moving upwards, while, at the  
 102 same time, it might be diagnosed for another to increase and move downwards.

103 For studying the long term changes in BDC strength, it is advantageous to define a single  
 104 scalar indicator for this, either the net upwelling or downwelling mass flux across a specified level.  
 105 Here, we are concerned with the net upwelling across traditional pressure levels (100 and 70 hPa)  
 106 and across the tropopause. The zonal mean tropopause (TPP) and also the zonal mean isobars  
 107 define parameterized curves in the  $z, \varphi$  plane with a time-variable location and shape,  $\bar{z}(t, \varphi)$ .  
 108 The advective zonal mean mass transport across an oriented element of the zonal mean curve,  
 109 represented by a vector  $d\vec{l}(\bar{z}(t, \varphi), t, \varphi)$ , is given as:

$$dT(\bar{z}(t, \varphi), t, \varphi) = 2\pi a \cos \varphi \bar{\rho}(\bar{v}^*, \bar{w}^*) \cdot \vec{n} dl, \quad (1)$$

110 where  $a$  is the radius of the spherical Earth,  $\bar{\rho} = \bar{\rho}(\bar{z}(t, \varphi), t, \varphi)$  is the zonal mean density at  
 111 the respective level (itself a function of time  $t$  and latitude  $\varphi$ ),  $\bar{w}^* = \bar{w}^*(\bar{z}(t, \varphi), t, \varphi)$  and  $\bar{v}^* =$   
 112  $\bar{v}^*(\bar{z}(t, \varphi), t, \varphi)$  are the vertical and meridional residual mean velocity components evaluated at  
 113 the respective zonal mean levels,  $\vec{n}(\bar{z}(t, \varphi), t, \varphi)$  is a normal vector of the oriented element of

114 the zonal mean curve and  $dl(\bar{z}(t, \varphi), t, \varphi)$  is the length of the zonal mean curve element. As the  
 115 location, length and shape (quantified by the angle  $\alpha$  it makes with the horizontal) of the zonal  
 116 mean curves vary with time, the problem is analogous to the computation of transport across a  
 117 material line.

118 The net zonal mean advective transport across the selected material line is then computed  
 119 as an integral of  $dT$  from the South to the North pole along the line and is approximately zero  
 120 from continuity. However, studying the residual mean circulation associated mass flux in indi-  
 121 vidual upwelling and downwelling branches separately, allows to assess potential changes of this  
 122 circulation. For this, first, we determine the time varying boundaries between the upwelling and  
 123 downwelling regions ( $\varphi_1, \varphi_2$ ) as latitudes with the zeroth zonal mean advective transport across  
 124 the material line. Then we compute the upwelling ( $U$ ) across the material line  $L_m$  as:

$$U(L_m, t) = \int_{\varphi_1(t)}^{\varphi_2(t)} dT(\bar{z}(t, \varphi), t, \varphi). \quad (2)$$

125 Having the annual-mean time-series of upwelling, we are ready to derive the kinematic factors  
 126 contributing to its year-to-year change  $\delta U$ , defined as:

$$\delta U = U(L_m, t + \delta t) - U(L_m, t). \quad (3)$$

127 Differentiating the integral (2), using the Leibniz integral rule, invoking an analogy to the ma-  
 128 terial derivative concept and after some manipulations (for the complete derivation please refer  
 129 to the Supplementary Text S1), we get on the leading order a complete decomposition of the net  
 130 upwelling change across any material line in the form:

$$\begin{aligned} \delta U = & \overbrace{\int_{\varphi_2(t)}^{\varphi_2(t+\delta t)} dT(\bar{z}(t^*, \varphi), t^*, \varphi) - \int_{\varphi_1(t)}^{\varphi_1(t+\delta t)} dT(\bar{z}(t^*, \varphi), t^*, \varphi)}^{\text{width term}} + \\ & \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \frac{\partial \bar{z}_{str}}{\partial t} \frac{\partial \bar{\rho}(\bar{w}^* + \bar{v}^* \tan \alpha)}{\partial z} \cos \varphi d\varphi \cdot \delta t}_{\text{z term}} + \\ & \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \frac{\partial \bar{w}^*}{\partial t} \cos \varphi d\varphi \cdot \delta t}_{\bar{w}^* \text{ term}} + \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \tan \alpha \bar{\rho} \frac{\partial \bar{v}^*}{\partial t} \cos \varphi d\varphi \cdot \delta t}_{\bar{v}^* \text{ term}} + \\ & \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \bar{w}^* \frac{\partial \bar{\rho}}{\partial t} \cos \varphi d\varphi \cdot \delta t}_{\rho \text{ term}} + \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \tan \alpha \bar{v}^* \frac{\partial \bar{\rho}}{\partial t} \cos \varphi d\varphi \cdot \delta t}_{\rho \text{ term}} + \\ & \overbrace{+ 2\pi a^2 \int_{\varphi_1}^{\varphi_2} \frac{\bar{\rho} \bar{v}^*}{\cos^2 \alpha} \frac{\partial \alpha}{\partial t} \cos \varphi d\varphi \cdot \delta t}_{\text{shape term}}. \end{aligned} \quad (4)$$

131 The decomposition (4) reveals a complete set of kinematic factors contributing to the net upwelling  
 132 changes, which are the change in the width of the upwelling region (width term), the vertical shift  
 133 of the material line (z term), the contributions from the local accelerations of the vertical ( $\bar{w}^*$  term)  
 134 and meridional ( $\bar{v}^*$  term) components of the residual mean velocity, the contribution from the  
 135 local density change ( $\rho$  term), and finally the contribution from the change in the local material  
 136 line inclination (shape term). In Fig. S1, we illustrate, how the mechanisms contribute to the net  
 137 upwelling changes. While speed and direction of the residual mean circulation, the vertical shift  
 138 of the respective material line, and density of air at the material line have been considered in iso-  
 139 lation and (partial) combination in previous works, our study breaks new ground by proposing  
 140 a consistent framework also considering the changes in geometrical features of the upwelling re-  
 141 gion. Similarly, we can decompose the kinematic contributions for downwelling across any "quasi"-  
 142 material line (e.g., isentropic levels). Although the net upwelling and downwelling changes shall  
 143 be approximately equal, the relative importance of individual kinematic factors may differ.

144 The accuracy of our partitioning methodology is easy to validate after its application to data.  
145 Simply, one has to compare the net upwelling (left-hand side of the equation (4)) computed di-  
146 rectly from the data with its reconstruction from the sum of the right-hand side terms (for dis-  
147 cretization of the method for application on discrete datasets please also refer to the Supplemen-  
148 tary Text S2). For example, the correlation between the two time series of a directly computed  
149 and reconstructed net upwelling across the tropopause yields  $R=0.99$  when computed from ERA5  
150 (Hersbach et al., 2020, see next section) annual mean data, and  $R=1.0$  for the upwelling across  
151 the two isobars.

## 152 2.1 Data

153 We analyze annual mean data from three widely used reanalyses: ERA5 (Hersbach et al.,  
154 2020), JRA55 (Kobayashi et al., 2015), and MERRA2 (Gelaro et al., 2017). Along with reanal-  
155 yses, the data from the Coupled Model Intercomparison project Phase 6 (CMIP6) simulations  
156 were used. Specifically, for the recent past we use the Atmospheric Model Intercomparison Project  
157 (AMIP) CMIP6 simulations and SSP370 (Eyring et al., 2016) simulations for future climate pro-  
158 jections. CMIP6 models that have all the necessary outputs required for the proposed analysis  
159 (temperature, geopotential height,  $\bar{v}^*$ ,  $\bar{w}^*$ , and the tropopause height) are CESM2 (Danabasoglu  
160 et al., 2020), CESM2-WACCM (Danabasoglu et al., 2020), MRI-ESM2-0 (Yukimoto et al., 2019)  
161 for both AMIP and SSP370 and UKESM1-0-LL (Sellar et al., 2020) only for SSP370. For each  
162 model the maximum number of available realisations was used. A more detailed information on  
163 the individual models is given in Supplementary Table S1.

## 164 2.2 Statistical analysis

165 We adopt the Dynamical Linear Modeling (DLM) regression from Alsing (2019). While  
166 Multiple Linear Regression has been considered a standard approach to assess long-term trends  
167 of atmospheric time series with a number of issues (e.g. Kuchar et al., 2017), DLM represents  
168 a regression framework with the ability of regression coefficients to evolve in time. This allows  
169 DLM to assess the non-linear background trend which corresponds better with non-stationary  
170 processes in the atmosphere (Laine et al., 2014). For these reasons, DLM has been recently used  
171 to estimate trends in ozone in model outputs (Ball et al., 2018; Karagodin-Doyennel et al., 2022)  
172 and observational records (Maillard Barras et al., 2022; Bogner et al., 2022; Laine et al., 2014)  
173 quite extensively.

174 We use the Markov chain Monte Carlo (MCMC) method to infer the posterior distributions  
175 of the background level, auto-regressive coefficient (AR1) and regression coefficient of the El  
176 Niño–Southern Oscillation (ENSO) variability. This method represents Bayesian DLM estima-  
177 tion of model states, parameters and their uncertainties. We input the ensemble mean and spread  
178 of individual models into the DLM regression to capture their forced response and model their  
179 time-dependent uncertainty. To illustrate the spread/uncertainties across reanalyses and model  
180 ensembles, we visualize the probability density functions of the individual contributions to BDC  
181 change in violin plots throughout the manuscript. We note, that if the results are illustrated from  
182 a multi-model distribution (MMD) perspective this analysis includes 3000 MCMC samples from  
183 each model.

184 We used here the same DLM model as Ball et al. (2018) but without a seasonal cycle. We  
185 also increased the variance of the local trend so that the background trend is more variable on shorter  
186 timescales since we use ENSO as the only regressor. For the ENSO variability in future CMIP6  
187 simulations, we use the first principal component of the detrended SST anomalies in the trop-  
188 ical belt, 20°S and 20°N (e.g. Berner et al., 2020) from each model. For the ENSO variability  
189 in the AMIP simulations, we use the observed ENSO index. The Brewer-Dobson circulation (BDC)  
190 and its proxies are explained mostly by internal variability in the tropics (Iglesias-Suarez et al.,  
191 2021). We tested multiple configurations, e.g. including QBO regressors, but the results confirm  
192 a large dependency of transport terms on ENSO.

### 3 Results

Here we discuss results for ERA5 first, followed by the discussion of the decomposition of the net tropical upwelling for the available CMIP6 models, which we compare further below also with the other reanalyses (MERRA2, JRA55). In Fig. 1 we show the posterior distribution of the interannual changes in tropical upwelling (trend) over 1979–2014 for the most recent reanalysis dataset ERA5. This figure clearly illustrates that the sign (and magnitude) of the net upwelling trend is a function of the material line (e.g. upwelling increases at the tropopause and 100 hPa levels, but decreases at 70 hPa). This is a physically plausible result, given that the structure of the circulation and the amount of purely meridional mass flux in between the 100 hPa and 70 hPa levels is changing as well. From the continuity equation the only constraint emerging is that the net upwelling has to be balanced by a net downwelling across a particular material line. Focusing on the occurrence frequency of (negative or positive) year to year upwelling changes (see individual numbers below the plots in Fig. 1) from ERA5 illustrates that, at TPP and the 100 hPa level, an increase in the net tropical upwelling occurred more frequently than a decrease, while the opposite applies for the 70 hPa material line. At 70 hPa, the largest variations can be attributed to the term connected with the vertical component of the residual mean circulation ( $\overline{w}^*$ ). However, its contribution shows large spread and the sign of the changes, though uncertain, is opposed to the decreasing net upwelling. Further partitioning reveals, that the net change is dominated by two factors that (over)compensate the increase via  $\overline{w}^*$ . These are the narrowing of the upwelling region at 70 hPa and the co-located upward shift of the isobar. As the 70 hPa isobar is moving systematically upwards, this results in sampling of regions with climatologically smaller mass transport due to the exponential decrease of the density with height. At a fixed altitude, however, the density is increasing with time and the positive contribution by the term connected with it slightly damps the effect of the upward shift. The other kinematic factors ( $\overline{v}^*$  and shape term) show a negligible systematic influence on the net upwelling trend at this material line.

In contrast, at the 100 hPa material line, increasing  $\overline{w}^*$  is much less uncertain and dominating the trend, thus yielding a net positive upwelling, which is only partly compensated by the upward shift and narrowing of the upwelling region. All other terms do not significantly contribute to the net upwelling trend at this material line in ERA5. At TPP (the bottom row of Fig. 1), which is characterized through more complex geometry, the net positive upwelling trend results from competing effects between the different mechanisms. Here all terms contribute with a very similar magnitude, except the density term, which is negligible in this region. Large positive contributions to the net upwelling stem from the widening and the term connected with the accelerating meridional component of the residual mean circulation ( $\overline{v}^*$ ) and the tropopause shape term. As the tropopause gets more inclined, the efficiency of the accelerating  $\overline{v}^*$  for cross-tropopause transport further increases. The positive contributions are only partly compensated by the negative contributions from the terms connected with the upward shift of the tropopause and  $\overline{w}^*$  (smaller net negative effect).

Having illustrated the contributions of individual factors to upwelling over the recent decades in ERA5, we turn next to the comparison with the CMIP6 model simulations. For the net upwelling, CMIP6 models and ERA5 agree generally well on the positive trend at 100 hPa and the TPP level (one exception is the MRI model which shows a pronounced spread). In contrast, trends of opposite sign are found at 70 hPa, where CESM2 and WACCM are simulating increased upwelling, compared to the decreasing trend in ERA5, and MRI shows no robust systematic change. Applying the decomposition hinges to a contribution of the widening term in ERA5, where the upwelling region becomes narrower at 70 hPa, but the models do not simulate any significant changes (or altered variability) in this metric. Otherwise, the models and ERA5 agree well on the individual contributions from the other terms. At 100 hPa, again CMIP6 models do not reveal any contribution from the widening term, compared to ERA5. This results overall in slightly stronger net upwelling changes in WACCM and CESM2, which otherwise agree well with ERA5 regarding the contributions from the other terms. The MRI model compares well to ERA5 in terms of the net upwelling, but the individual contributions differ strongly. Compared to the other analyzed datasets, MRI shows the smallest changes connected with the  $\overline{w}^*$  term that are to a large



**Figure 1.** Interannual change of the net upwelling and the contributing terms at 70 hPa (top), 100 hPa (middle) and the tropopause (bottom) for the period 1979-2015. The dots in the individual violin plots depict the position of the median and vertical lines represent the 95% credible interval (also called the highest density interval - HDI). The HDI of ERA5 is represented by the persian-pink band. The colored numbers at the bottom denote the percentage of relative occurrence of negative or positive year to year upwelling changes.

246 degree compensated by the negative contribution from the vertical shift term, which is, in MRI  
 247 however, much smaller in magnitude compared to CESM2, WACCM and ERA5. Interestingly  
 248 MRI slightly differs from the other datasets also in the magnitude of another radiatively driven  
 249 term - the  $\rho$  term.

250 Overall good agreement can be found between CMIP6 models (esp. CESM2 and WACCM)  
 251 and ERA5 at TPP. Here only MRI shows a large spread with a double peak structure for the net  
 252 upwelling, more skewed towards negative values. That said, taking into account only the tradi-  
 253 tional framework, i.e. the contribution from the  $\bar{w}^*$  term, also MRI and ERA5 agree well regard-  
 254 ing the net negative contribution. Besides this only the strong effect of the TPP shape term in WACCM  
 255 stands out as different among models and ERA5, and the models agree well regarding the con-  
 256 tributions from most other terms. Compared to ERA5 however, the models show a smaller mag-  
 257 nitude of the changes in  $\bar{v}^*$  and the vertical shift term and, with the exception of MRI, also in the  
 258 width term.

259 Although the models do mostly not simulate the systematic contributions from the width  
 260 term to the changes in net upwelling in contrast to ERA5 (i.e. negative contribution at the iso-  
 261 bars and positive at the tropopause), we are cautious to state that the models indeed miss this con-  
 262 tribution. It must be noted that different reanalyses do not agree (on the magnitude and sign) in  
 263 the contribution from this term. A further investigation of this feature is beyond of the scope of  
 264 the present study and suggested to be explored in subsequent work including also comparison  
 265 and validation with direct observational estimates on the width of the upwelling regions. For brevity  
 266 we discuss this point in more detail in the concluding section (see Sec. 4).

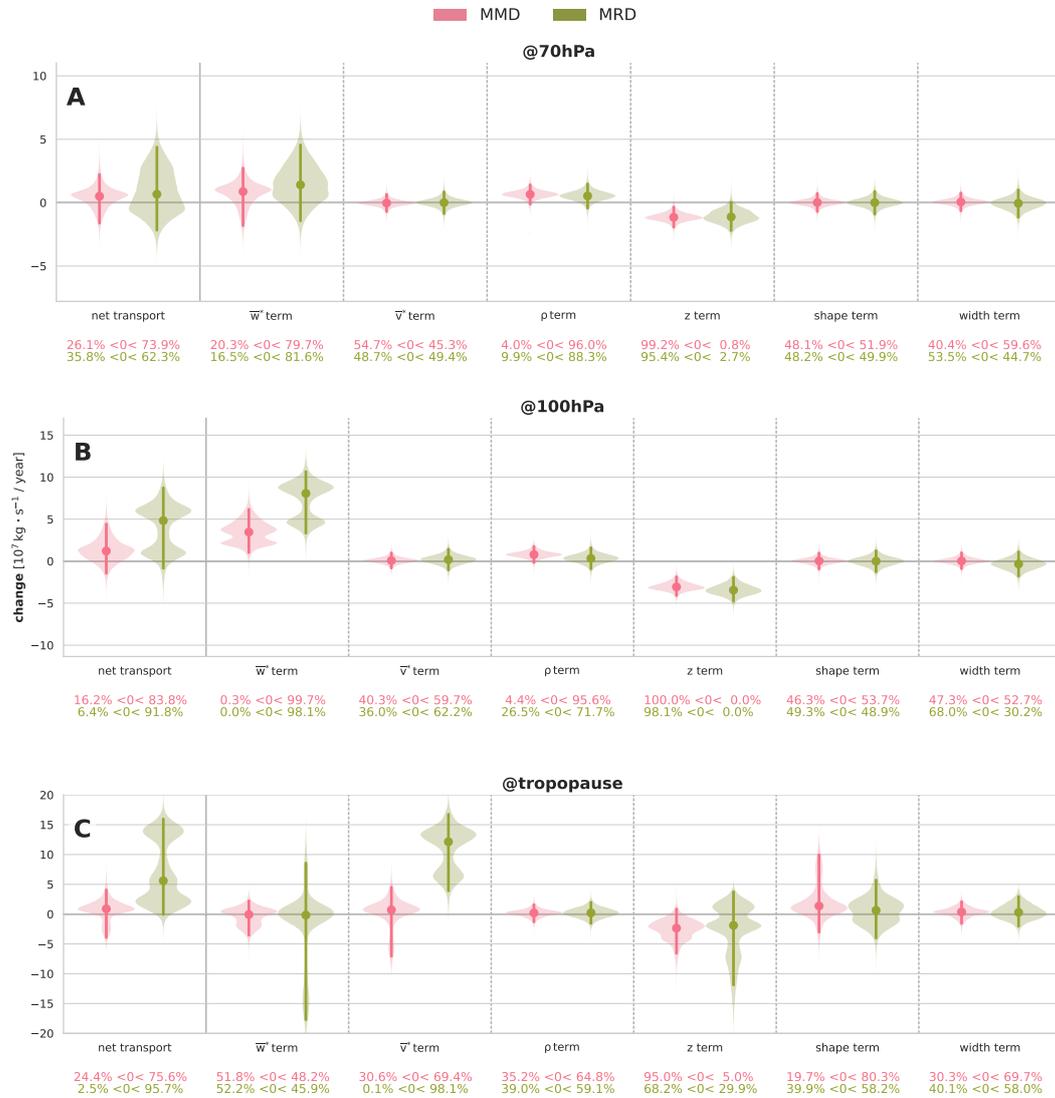
267 In Fig. 2 we turn now to the analysis of projections of future net upwelling changes, for which  
 268 besides the models discussed so far also output of the UKESM1 model is available. At TPP, pro-  
 269 nounced intermodel differences emerge in the contribution to the net upwelling from the  $\bar{v}^*$ , ver-  
 270 tical shift and tropopause shape terms. In contrast strong agreement among the models is found  
 271 regarding the contribution to net upwelling changes from the  $\bar{w}^*$  term and density terms. As a  
 272 result, MRI and UKESM1 project decreasing net upwelling at TPP while CESM2 and WACCM  
 273 are indicating a slight tendency towards increased net upwelling.

274 At the 70 and 100 hPa material lines all models project increasing net upwelling of simi-  
 275 lar magnitude and with similar variability. Decomposing the net upwelling at 70 hPa into indi-  
 276 vidual factors reveals that the agreement among models stems largely from a compensation be-  
 277 tween pronounced intermodel differences in contributions resulting from the  $\bar{w}^*$  and the upward  
 278 shift terms. From a conceptual point of view a complete cancellation of the effects of those two  
 279 terms would emerge, if the changes would originate solely from the shift in the vertical circula-  
 280 tion, and no acceleration of the circulation would occur. It is, however, apparent from Fig. 2  
 281 that this is clearly not the case at the 70 hPa material line, given that in absolute terms the con-  
 282 tribution from the  $\bar{w}^*$  term is larger than from the vertical shift term in all models. In addition,  
 283 at this level the density term exerts a considerable contribution to the increase in upwelling (of  
 284 similar magnitude) in all models. A similar result is obtained for the 100 hPa material line, where  
 285 only for MRI the contribution of the  $\bar{w}^*$  term is weaker and to a large part cancelled by the ver-  
 286 tical shift term, resulting in weaker positive net upwelling changes compared to the other mod-  
 287 els.

288 While the models agree well in their projections of net upwelling changes at 70 and 100 hPa,  
 289 they can potentially miss the contribution from widening/narrowing of the upwelling region as  
 290 indicated by ERA5 for the recent past. Particularly at 70 hPa the models and ERA5 differed in  
 291 sign of the systematic change due to the discord in the width term contribution. However, as al-  
 292 ready mentioned the reanalyses differ substantially regarding the width changes of the upwelling  
 293 region. Some reanalyses (MERRA2 and JRA55) similarly to the models do not show a system-  
 294 atic contribution to upwelling changes from the width term. This manifests also comparing the  
 295 multi-reanalysis distribution (MRD) and multi-model distribution (MMD), both consisting of 3  
 296 members, shown in Fig. 3. Furthermore, this comparison shows that the spread of the net upwelling  
 297 and individual term changes is for most parts larger for MRD than for MMD.



**Figure 2.** Interannual change of a net upwelling and the contributing terms at 70 hPa (top), 100 hPa (middle) and the tropopause (bottom) for the period 2015–2100. Individual models are shown with different colors. Note that the scale of the y-axis differs between the levels. Dots depict the position of the median and full lines are for the 95% credible interval. Numbers at the bottom denote the percentage occurrence of negative or positive year to year upwelling changes.



**Figure 3.** Interannual change of the net upwelling and the contributing terms at 70 hPa (top), 100 hPa (middle) and the tropopause (bottom) for the period 1979-2015 as in Fig. 1 but comparing the multi-model distribution (MMD: CESM2-WACCM, CESM2 and MRI-ESM2) to the multi-reanalysis distribution (MRD: ERA5, JRA55 and MERRA2).

298 In particular, the magnitude of the  $\bar{w}^*$  term at 100 hPa and 70 hPa and magnitude of the  $\bar{v}^*$   
299 term and sign of the  $\bar{w}^*$  term contribution at the TPP show a large spread (with a clear double  
300 peak structure at 100 hPa and TPP) and add considerable uncertainty to the net upwelling changes  
301 in MRD. This feature is further explored in Fig. 4, where we focus on the relationship between  
302 the changes in net transport and the  $\bar{w}^*$  and width terms, respectively. In this comparison the large  
303 differences across reanalyses at all vertical levels becomes immediately apparent. Particularly,  
304 the flat relations at the TPP and 100 hPa levels for ERA5 and JRA55 stand out when compared  
305 to MERRA2, arguing for caution to attribute discrepancies between (individual) models and (in-  
306 dividual) reanalyses.

## 307 4 Conclusions

308 The novel, transparent and accessible methodological framework outlined here allows to  
309 clarify the connection between structural changes of the atmosphere and the advective BDC changes  
310 and can aid to narrow down the differences and uncertainties in the advective transport among  
311 models and reanalyses. The method has obvious potential to improve matching of the simulated  
312 BDC trends with observations by triggering research towards novel constraints to date uncon-  
313 sidered in BDC research.

314 Our analysis explored for the first time advective BDC changes in full complexity, consid-  
315 ering all relevant contributions from changes in mass flux, and the vertical and horizontal struc-  
316 ture of the global atmosphere. Overall, our results underline that the net tropical upwelling is sen-  
317 sitive to all mechanisms connected to climate change, but to different amounts at different ma-  
318 terial lines and to different extent for different reanalyses and models. This includes the verti-  
319 cal shift and the acceleration of the circulation, as well as changes in horizontal structure influ-  
320 encing the widening of the upwelling region. The sensitivity of the net upwelling to these fac-  
321 tors is enhanced by the fact that the contributions from different mechanisms can, but do not have  
322 to compensate. This makes an accurate simulation of changes in the advective BDC a daunting  
323 task for ESMs as this requires to represent all the involved mechanisms correctly (in terms of tim-  
324 ing, strength, and amplitude).

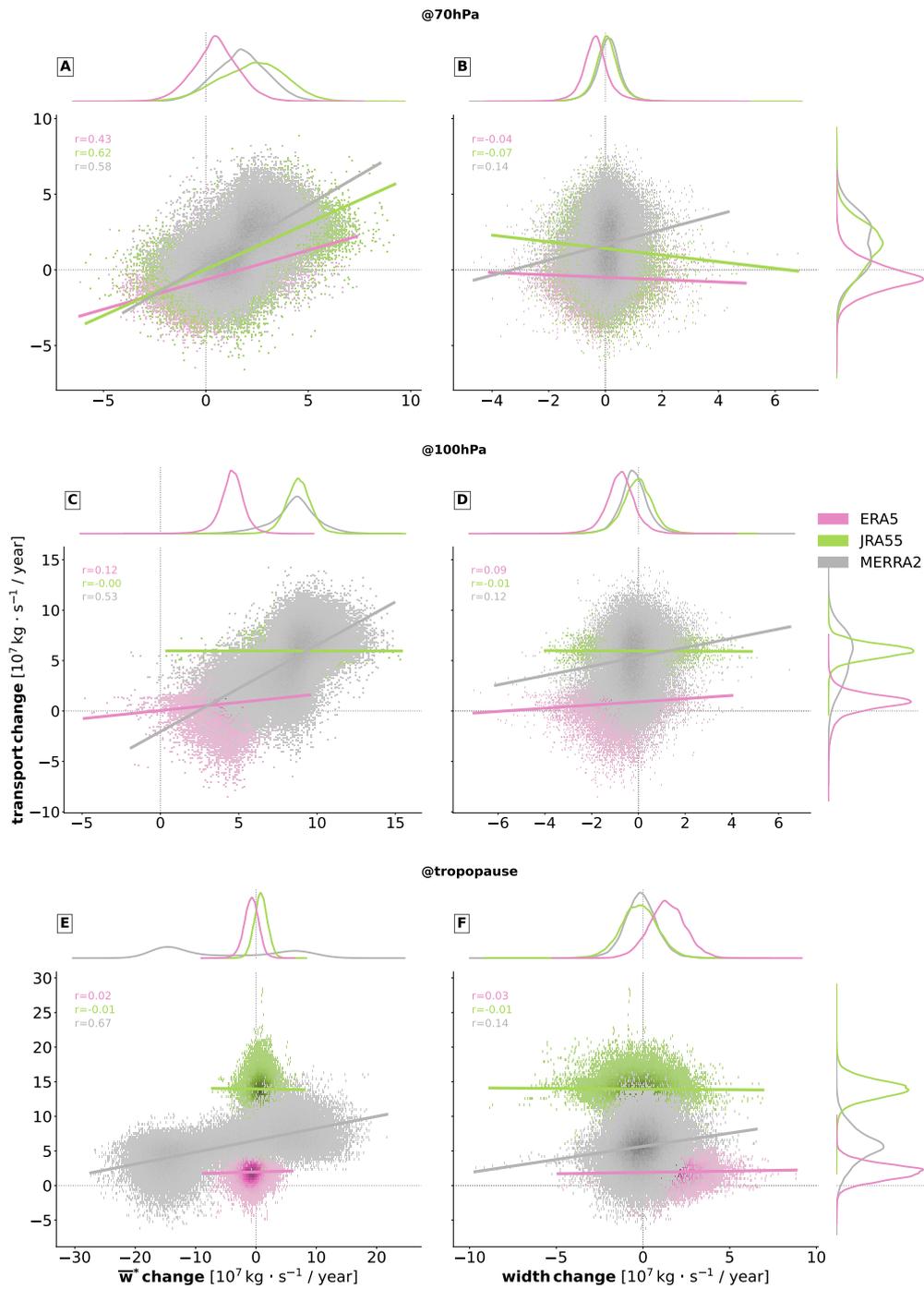
325 The here identified initial constraints connected with the structural changes of the atmo-  
326 sphere can be directly derived from observations (vertical shift, width, and density changes) and  
327 their utilization for validating simulated BDC changes is vital, fairly straightforward and repro-  
328 ducible at the same time. A further important step forward towards better constraining reanal-  
329 yses and global models, would be the broader availability of required ESM outputs which is sug-  
330 gested to be considered by the community in upcoming core variable lists for CMIP and CCMI  
331 activities.

## 332 5 Open Research

333 CMIP6 data can be downloaded from <https://esgf-node.llnl.gov/search/cmip6/>. ERA5 data are available from the Copernicus Climate Data Store, [https://cds](https://cds.climate.copernicus.eu)  
334 [.climate.copernicus.eu](https://cds.climate.copernicus.eu). JRA55 and MERRA2 zonal mean data was obtained from S-  
335 RIP project stored at CEDA archive <https://archive.ceda.ac.uk>. The tropopause data  
336 for JRA55 can be obtained on request from Sean Davis and Susann Tegtmeier and ERA5 and MERRA2  
337 tropopause characteristics from Lars Hoffmann. Transport and decomposition time series are stored  
338 at Zenodo, <https://doi.org/10.5281/zenodo.8099089>.

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**Figure 4.** Interannual change of the net upwelling in relationship with  $\bar{w}^*$  and width terms in the reanalyses (ERA5, JRA55, MERRA2) at 70 hPa (top), 100 hPa (middle) and the tropopause (bottom) for the period 1979-2015. Relationships between these terms shown as scatter points are quantified using linear regression (fitted lines) and correlation coefficient (annotations in upper left corners). Individual distributional side plots correspond to violin plots in Figs. 1 and 3.

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