

1 **A unified framework to quantify demographic buffering in natural populations**

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5 Gabriel Silva Santos<sup>1,2\*</sup>, Samuel J L Gascoigne<sup>3\*</sup>, André Tavares Corrêa Dias<sup>4</sup>, Maja Kajin  
6 <sup>3,5\*\*♦</sup>, Roberto Salguero-Gómez<sup>3♦</sup>

7  
8 1 National Institute of the Atlantic Forest (INMA), 29650-000, Santa Teresa, Espírito Santo,  
9 Brazil. ssantos.gabriel@gmail.com

10 2 Department of Ecology, Graduate Program in Ecology and Evolution, Rio de Janeiro  
11 State University, 524 São Francisco Xavier Street, 20550-900, Maracanã, Rio de Janeiro,  
12 Brazil

13 3 Department of Biology, University of Oxford, South Parks Road, OX1 3RB, Oxford, UK.  
14 samuel.gascoigne@pmb.ox.ac.uk, rob.salguero@biology.ox.ac.uk,  
15 maja.kajin@biology.ox.ac.uk

16 4 Department of Ecology, Institute of Biology, Universidade Federal do Rio de Janeiro,  
17 Avenida Carlos Chagas Filho 373, 21941-590 Rio de Janeiro, RJ, Brazil. atcdias@gmail.com

18 5 Department of Biology, Biotechnical Faculty, University of Ljubljana, Večna pot 111, 1000  
19 Ljubljana, Slovenia

20  
21 \*Shared first authorship

22 \*\*Corresponding author

23 ♦Shared senior authorship

24  
25 AUTHOR CONTRIBUTIONS: GSS developed the initial concept, performed the statistical  
26 analyses, and contributed to the first draft of the manuscript. SJLG developed the initial  
27 concept, contributed to the first draft and all other versions of the manuscript, and generated  
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50 **Abstract** (143/150 words)

51 The Demographic Buffering Hypothesis (DBH) predicts that natural selection reduces the  
52 temporal fluctuations in demographic processes (such as survival, development, and  
53 reproduction), due to their negative impacts on population dynamics. However, a  
54 comprehensive approach that allows for the examination of demographic buffering patterns  
55 across multiple species is still lacking. Here, we propose a three-step framework aimed at  
56 quantifying demographic buffering. Firstly, we categorize species along a continuum of  
57 variance based on the sums of stochastic elasticities. Secondly, we examine the linear  
58 selection gradients, followed by the examination of nonlinear selection gradients as the third  
59 step. With these three steps, our framework overcomes existing limitations of conventional  
60 approaches to quantify demographic buffering, allows for multi-species comparisons, and  
61 offers insight into the evolutionary forces that shape demographic buffering. We apply this  
62 framework to mammal species and discuss both the advantages and potential of our  
63 framework.

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67 Environmental stochasticity shapes organisms' life histories (Bonsall & Klug 2011).  
68 Nonetheless, how organisms will cope with the increasing variation in environmental  
69 conditions (Boyce *et al.* 2006; Morris *et al.* 2008) remains an intriguing ecological and  
70 evolutionary question (Sutherland *et al.* 2013). Evolutionary demography provides diverse  
71 explanations for how evolutionary processes shape demographic responses to environmental  
72 stochasticity (Charlesworth 1994; Healy *et al.* 2019; Hilde *et al.* 2020; Pfister 1998;  
73 Tuljapurkar *et al.* 2009). The long-term stochastic population growth rate, expressed as the  
74 geometric mean of annual growth rates (Tuljapurkar 1982), forms the basis of the  
75 Demographic Buffering Hypothesis (DBH) (Morris & Doak 2004; Pélabon *et al.* 2020).

76         Increasing the geometric mean of  $\lambda$  corresponds to a rise in the long-term stochastic  
77 population growth rate ( $\lambda_s$ , hereafter). Conversely, higher variance in  $\lambda$  reduces  $\lambda_s$  (Morris &  
78 Doak 2004; Tuljapurkar 1982), impacting population persistence. The DBH predicts that life  
79 histories are under selection pressure to minimise the negative impacts of environmental  
80 variation by constraining the temporal variance of those demographic processes (*e.g.*,  
81 survival, development, reproduction) to which population growth rate (*i.e.*, fitness) is most  
82 sensitive to (Gaillard & Yoccoz 2003; Pfister 1998). The *demographic pattern* operating the  
83 DBH, *i.e.*, demographic buffering, describes the selection-driven constraint on the temporal  
84 variance of the most impacting demographic processes for the population growth rate (Hilde  
85 *et al.* 2020; Morris & Doak 2004; Pfister 1998). Here, we focus on the latter - on the  
86 emerging pattern of demographic buffering in different animal life histories – rather than on  
87 the DBH itself.

88         A unified approach to unambiguously quantify demographic buffering is still missing.  
89 Indeed, identifying demographic buffering remains challenging (Doak *et al.* 2005; Morris &  
90 Doak 2004) for several reasons, one of them being different interpretation of results from  
91 correlational analyses (*e.g.*, as in Pfister, 1998). Some authors rank species' life histories on a

92 continuum from buffered to labile using the correlation coefficient (Spearman's correlation  
93  $\rho$ ), where negative values indicate buffering (McDonald *et al.* 2017). Alternatively, the  
94 absence of statistical support for buffering may suggest a preference for demographic  
95 variance to track environmental conditions, known as the Demographic Lability Hypothesis  
96 (DLH) (Hilde *et al.* 2020; Jäkäläniemi *et al.* 2013; Koons *et al.* 2009; Reed & Slade 2012).  
97 However, increased temporal variance alone is not enough to constitute demographic lability;  
98 it must also result in significant changes in the mean value of the demographic process (Le  
99 Coeur *et al.* 2022).

100 Another obstacle to achieving generalization across species' populations regarding  
101 demographic buffering is the typical hierarchical level of examination. Some studies focus on  
102 characteristics drawn from the *entire population model (between-populations level)*  
103 (McDonald *et al.* 2017; Reed & Slade 2012). At this level, a life history is considered  
104 demographically buffered if key demographic processes have low temporal variance (Le  
105 Coeur *et al.* 2022; Hilde *et al.* 2020; Morris & Doak 2004; Pfister 1998). However, to fully  
106 grasp how and why demographic buffering occurs, and how patterns might change in  
107 response to the environment, we must also consider characteristics at the level of separate  
108 *components of population model (within-populations level)*. Within a population, one  
109 demographic process may be buffered while another may be labile (Barraquand & Yoccoz  
110 2013; Jongejans *et al.* 2010; Koons *et al.* 2009). Thus far, studies have focused on either one  
111 of the hierarchical levels, however, for a mechanistic understanding of how environmental  
112 stochasticity shapes life histories, both between- and within-population levels need to be  
113 addressed at the same time.

114 The complexity of examining the underlying mechanisms of demographic buffering  
115 presents additional challenge. Evidence suggests buffering in both long-lived (Doak *et al.*  
116 2005; Gaillard & Yoccoz 2003; McDonald *et al.* 2017; Pfister 1998; Rotella *et al.* 2012), and

117 short-lived species (Ferreira *et al.* 2013; Pfister 1998; Reed & Slade 2012). However, these  
118 patterns alone do not fully reveal how life histories are shaped by natural selection. First-  
119 order effects, such as elasticities, show how variation in demographic processes affects  
120 population growth rate, while second-order effects reveal sensitivity to autocorrelation  
121 (Tuljapurkar 1990). Integrating both allows a better understanding of fitness function  
122 behaviour near local maxima and minima.

123         In linear relationships between fitness and demographic processes, second-order  
124 derivatives of population growth rate are zero, indicating natural selection acts on mean  
125 values (Shyu & Caswell 2014). Nonzero second derivatives suggest nonlinear relationships  
126 between fitness and a demographic process, revealing additional aspects of selection on the  
127 variances and covariances of demographic processes (Brodie *et al.* 1995; Carslake *et al.*  
128 2008; Shyu & Caswell 2014). The sign ( $>0$ ,  $=0$ ,  $<0$ ) of the self-second derivative of  $\lambda$  with  
129 respect to demographic processes determines the type of selection. Negative values describe  
130 concave ( $\cap$ -shaped) selection, reducing temporal variance (Caswell 1996, 2001; Shyu &  
131 Caswell 2014) and thus, indicating demographic buffering. Positive values indicate convex  
132 ( $\cup$ -shaped) selection, amplifying variance (Caswell 1996, 2001; Shyu & Caswell 2014) and  
133 potentially indicating demographic lability (Le Coeur *et al.* 2022; Koons *et al.* 2009). To  
134 confirm lability, increased variance must shift the mean value of a demographic process,  
135 outweighing its negative effect on population growth rate (Le Coeur *et al.* 2022).

136         The diverse demographic strategies across species result from evolutionary processes  
137 shaping variance in demographic processes over time. Integrating demographic buffering into  
138 the context of linear and nonlinear selection enables quantification of the evolutionary forces  
139 driving these patterns, shedding light on how environmental variability shapes existing and  
140 novel strategies. Despite this, a unified approach to characterize demographic buffering  
141 signatures remains lacking.

142 Here, we introduce a framework to quantify demographic buffering, offering insight  
143 into temporal variance patterns affected by environmental stochasticity. This framework  
144 involves categorizing species or populations along a variance continuum based on the degree  
145 of natural selection buffering key demographic processes, with three steps incorporating well-  
146 known methods applied to stage-structured demographic data (*e.g.*, matrix population models  
147 [Caswell 2001]; integral projection models [(Easterling et al. 2000)]. First, species or  
148 populations are positioned on the continuum to assess cumulative effects of variance in  
149 demographic processes on population growth rate. Second, linear selection forces within the  
150 life cycle of each species or population are investigated at the within-populations level. Third,  
151 non-linear selection forces within the life cycle are explored at the within-populations level.  
152 These steps provide quantitative evidence of demographic buffering occurrence. Lastly,  
153 further analyses are proposed to identify demographic lability.

154 To demonstrate the applicability of our framework, we apply it to 40 populations of  
155 34 mammal species sourced from the COMADRE database (Salguero-Gómez *et al.* 2016).  
156 We showcase how the framework can provide valuable insights into the patterns of  
157 demographic buffering across species. The framework offers novel, detailed insights into the  
158 selection pressures that act within species' life cycles, thus allowing for a thorough  
159 understanding of the evolutionary selection forces that shape the patterns of demographic  
160 buffering across species. Beyond providing a quantitative, systematic toolset to quantify  
161 buffering through three steps, we have also offered an alternative fourth step that briefly  
162 outlines how to evidence lability.

163

#### 164 **A unified framework to assess evidence of demographic buffering**

165 The evidence for demographic buffering has been mainly assessed using Matrix Population  
166 Models (MPM; Pfister 1998; Rotella et al. 2012)). However, Integral Projection Models

167 (IPM; Rodríguez-Caro et al. 2020; Wang et al. 2023) can be equally applied for identifying  
168 the demographic buffering signatures. Both MPMs and IPMs are stage-structured, discrete-  
169 time demographic models (Caswell 2001; Ellner *et al.* 2016). For simplicity, here we focus  
170 on MPMs, but note that the same approaches are as equally applicable to IPMs (Doak *et al.*  
171 2021; Griffith 2017). Throughout this manuscript, we refer to demographic processes as both  
172 matrix entries  $a_{ij}$  (*i.e.*, upper-level parameters) and the vital rates that underline the matrix  
173 elements (*i.e.*, lower-level parameters), and note that their conversion is straightforward and  
174 described elsewhere (Franco & Silvertown 2004). The framework operates on three steps.  
175 In the first step of our framework, we calculate the impact of variation in demographic  
176 processes on the stochastic growth rate,  $\lambda_s$ , known as stochastic elasticities  $E_{ij}^S$  (Haridas &  
177 Tuljapurkar 2005) (Figure 1A). This calculation separates the sum of all stochastic elasticities  
178 ( $\Sigma E_{a_{ij}}^S$ ) into two components: one for assessing how temporal variance affects  $\lambda_s$  ( $\Sigma E_{a_{ij}}^{S\sigma}$ ), and  
179 the other for assessing the impact of mean values of demographic processes on  $\lambda_s$ , ( $\Sigma E_{a_{ij}}^{S\mu}$ )  
180 (Haridas & Tuljapurkar 2005). A higher absolute value of the sum of stochastic elasticity  
181 with respect to variance ( $\Sigma E_{a_{ij}}^{S\sigma}$ ), indicates greater sensitivity of  $\lambda_s$  to changes in demographic  
182 process variance, suggesting absence of buffering. Conversely, a lower absolute value  
183 suggests demographic buffering, where  $\lambda_s$  is less sensitive to such perturbations (Haridas &  
184 Tuljapurkar 2005; Tuljapurkar *et al.* 2003) (Fig. 1A). This step places species or populations  
185 along a continuum based on variance in demographic processes, with unconstrained variance  
186 on the left (possibly unbuffered) and constrained variance on the right (possibly buffered).  
187 However, unconstrained variance does not necessarily imply demographic lability, defined as  
188 an increase in *mean value* of a demographic process in response to improved environmental  
189 conditions (Le Coeur *et al.* 2022). By examining  $\Sigma E_{a_{ij}}^{S\sigma}$ , we can assess changes in the  
190 contribution of demographic process variance to  $\lambda_s$ , while mean values remain unchanged.

191 Although this step provides insight into how environmental variation affects  $\lambda_s$ , it does not  
192 consider covariances between demographic processes and serial correlations, which are  
193 important for fully diagnosing buffering (Haridas & Tuljapurkar 2005). Instead, our approach  
194 focuses on second derivatives of population growth rate with respect to demographic  
195 processes to elucidate selection's impact on variance (step 3, below).

196 Steps 2 and 3 of the framework delve into within-population analysis. After step 1  
197 positions species or populations along the variance continuum for  $\lambda_s$ , each life cycle  
198 undergoes scrutiny. Step 2 (Fig. 1B) involves calculating the partial derivatives of  $\lambda_t$   
199 concerning all matrix elements of the MPM. This step reveals how each demographic process  
200 influences  $\lambda_t$ . In step 3, one evaluates nonlinear selection patterns using self-second  
201 derivatives of  $\lambda_t$  for each demographic process (Fig. 1C). This step unveils potential nonlinear  
202 selection pressures on demographic processes, crucial for understanding their evolutionary  
203 dynamics. Failure to consider these evolutionary processes in step 1 may lead to  
204 misinterpretation of patterns (*e.g.*, Lawler et al. 2009).

205 Steps 2 and 3 of the framework analyse averaged selection pressures over time  
206 periods. They offer insights into how perturbations in demographic processes affect  $\lambda_t$ ,  
207 obtained by averaging sequential Matrix Population Models (MPMs) across the study  
208 duration. Therefore, they enhance our understanding of selection pressures' role in shaping  
209 demographic patterns across various species.

210 In step 3, it is important to note that the importance of demographic processes shifts  
211 with changing environments (Stearns 1992). This dynamic sensitivity of  $\lambda_t$  to specific  
212 processes (Kroon *et al.* 2000), indicated by self-second derivatives, helps pinpoint which  
213 processes are most likely to induce changes. For instance, in the hypothetical wolf species  
214 (Fig. 1), a decline in reproduction among third age-class individuals (matrix element  $a_{1,3}$ )  
215 would heighten sensitivity to that process. Consequently, with increased environmental

216 variability, the key demographic process might change from remaining in the fourth age class  
217 (matrix element  $a_{4,4}$ , Fig. 1B) to reproduction of the third age-class (matrix element  $a_{1,3}$ , Fig.  
218 1C).

219 Combining the three steps of our framework allows for a quantitative identification of  
220 buffering. Steps 2 and 3 offer key insights as to *why* a given species or population is placed  
221 on either the buffered or the non-buffered end of the variance continuum. A clear and  
222 unequivocal evidence for support towards buffering consists of: (1) a species or population  
223 being positioned near the 0 end of the continuum (the right-hand side) in step 1; (2) this  
224 species' or populations' life cycle having one or more demographic processes with highest  
225 elasticity values in step 2; and (3) the same demographic process displaying the highest  
226 elasticity in step 2 with negative self-second derivative values in step 3. In this sense, Figure  
227 1B shows that, for the chosen population of a hypothetical wolf species, the most important  
228 demographic process is remaining in the fourth stage (MPM element  $a_{4,4}$ ), as this  
229 demographic process results in highest elasticity value (Fig. 1B yellow square). However,  
230 Fig. 1C reveals that  $a_{4,4}$  is under little selection pressure for variance reduction. Thus, there is  
231 no clear evidence of buffering from the third step of the framework (*i.e.*, no concave selection  
232 forces). This way, the lack of concave selection forces on the key demographic process  
233 within wolf's life cycle explains why this species is placed on the left-hand side of the  
234 variance continuum (Fig. 1A).

235 Although not our primary goal here, we briefly introduce said step 4. To establish  
236 compelling evidence of lability, it is essential to fulfil several further criteria. First, sufficient  
237 data across various environments (over time or space) are required to construct reaction  
238 norms that depict how a demographic process responds to environmental changes (Koons *et*  
239 *al.* 2009; Morris *et al.* 2008), which can be challenging in terms of sufficient and high-quality  
240 demographic and environmental data. Second, non-linear relationships between demographic

241 processes and the environment must be established based on the demographic process-  
242 environment reaction norms. Lastly, demographic processes where an increase in the mean  
243 value has a stronger positive impact on population growth rate than the detrimental effect of  
244 increased variance needs to be identified. The latter condition is only met when the  
245 demographic process-environment reaction norm takes a convex shape (resembling a "U"  
246 shape), as described by Koons et al. (2009) and Morris et al. (2008). However, a study by  
247 Barraquand & Yoccoz (2013) reported diverging results in this regard. Importantly, we note  
248 that more likely than previously thought (*e.g.*, Pfister 1998), species do not exist as purely  
249 buffering or labile, but that within populations, some vital rates may be buffered, other labile,  
250 and others insensitive to the environment (*e.g.*, Doak et al. 2005). Deciphering generality in  
251 this likely complex pattern should attract much research attention going forward, in our  
252 opinion.

253

#### 254 **Demographic buffering in mammals: a case study using the unified framework**

255 We demonstrate the performance of our framework using 44 MPMs from 34 mammal  
256 species. Mammals are of special interest here for two reasons: (1) mammalian life histories  
257 have been well studied (Bielby *et al.* 2007; Gillespie 1977; Jones 2011; Stearns 1983); and  
258 (2) some of their populations have already been assessed in terms of buffering, particularly  
259 for primates (Campos *et al.* 2017; Morris *et al.* 2008, 2011; Reed & Slade 2012; Rotella *et al.*  
260 2012). Together, the well-studied life histories and previous information about the occurrence  
261 of buffering in mammals provide the necessary information to make accurate predictions and  
262 validate the performance of the proposed framework.

263 We used Matrix Population Models from 40 out of 139 studies with mammals  
264 available in the COMADRE database v.3.0.0 (Salguero-Gómez *et al.* 2016). These 40  
265 populations encompass 34 species from eight taxonomic orders. We included these MPMs in  
266 our analyses because they provide values of demographic processes ( $a_{ij}$ ) for three or more

267 contiguous time periods, thus allowing us to obtain the stochastic elasticity of each  $a_{ij}$ .  
268 Although we are aware that not all possible temporal variation in demographic processes may  
269 have been expressed within this period, we assumed three or more transitions are enough to  
270 provide sufficient variation for population comparison. At least three contiguous time periods  
271 - a common selection criteria in comparative studies of stochastic demography (Compagnoni  
272 *et al.* 2023) - also allowed to test and showcase our framework. Fortunately, several long-  
273 lived species, characterized by low variation in their demographic processes, were studied for  
274 a long time (*e.g.*, some primates in our dataset have been studied for over 20 years – Morris  
275 *et al.* 2011). We removed the populations where either only survival or only reproduction  
276 rates were reported, because of the impossibility to calculate the stochastic growth rate. A  
277 detailed description of the analysed data and their original sources are available in  
278 supplementary material (Supplementary Material, Table S1).

279 *Homo sapiens* was included in our analyses because it is the only mammalian species  
280 in which second-order derivatives have been applied (Caswell 1996). Therefore, *Homo*  
281 *sapiens* provides an ideal basis for comparisons among species. The data for *Homo sapiens*  
282 were gathered from 26 modern populations located in various cities, allowing us to construct  
283 a spatiotemporal variance. It is important to note that in this case, we are not working with  
284 true temporal variance but rather a variance that encompasses both spatial and temporal  
285 aspects.

286 For steps 2 and 3 of our framework, we utilized a subset of 16 populations (including  
287 *Homo sapiens*) whose population projection matrices (MPMs) were organized by age. We  
288 specifically selected these populations because their life cycles can be summarized by two  
289 main demographic processes: survival and contribution to recruitment of new individuals.  
290 The contribution to recruitment can be interpreted as either the mean reproductive output for  
291 each age class or an approximation thereof, depending on how the matrices are structured

292 (Ebert 1999). One advantage of using such matrices is that they encompass only two types of  
293 demographic processes, namely survival and recruitment, eliminating the need to account for  
294 multiple transitions between different life stages.

295 To perform the step 1 of our framework and obtain the  $\Sigma E_{a_{ij}}^{S\sigma}$  (and  $\Sigma E_{a_{ij}}^{S\mu}$ ), we followed  
296 Tuljapurkar et al. (2003) and Haridas & Tuljapurkar (2005). To perform step 2 of our  
297 framework, we calculated the deterministic elasticities of each demographic process extracted  
298 using the *popbio* package. All analyses were performed using R version 3.5.1 (R Core team,  
299 2018). Finally, to perform the step 3 of our framework the self-second derivatives were  
300 adapted from *demogR* (Jones 2007) following (Caswell 1996) and applied for the mean  
301 MPM.

## 302 *Results*

303 We ranked 40 populations from the 34 identified mammal species according to the  
304 cumulative impact of variation in demographic processes on  $\lambda_s$  using the step 1 of our  
305 framework (Fig. 2). Additional information (including standard deviations of the elasticity  
306 estimates and number of matrices available) is provided in the supplementary material (Table  
307 S1). Most of the analysed orders were placed on the low-variance end of the variance  
308 continuum (Fig. 2). The smallest contributions of variation in demographic processes (*i.e.*,  
309 maximum value of  $\Sigma E_{a_{ij}}^{S\sigma}$ , note that  $\Sigma E_{a_{ij}}^{S\sigma}$  ranges from 0 to -1), suggesting more buffered  
310 populations, were assigned to Primates: northern muriqui (*Brachyteles hyphoxantus*,  $\Sigma E_{a_{ij}}^{S\sigma} = -$   
311  $0.09 \times 10^{-4} \pm 0.12 \times 10^{-4}$ ) (mean  $\pm$  standard deviation) (Fig. 2 silhouette a), mountain gorilla  
312 (*Gorilla beringhei*,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.24 \times 10^{-4} \pm 0.08 \times 10^{-4}$ ) (Fig. 2 silhouette b), followed by the  
313 blue monkey (*Cercopithecus mitis*,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.63 \times 10^{-4} \pm 0.06 \times 10^{-4}$ ) (Fig. 2 silhouette c).  
314 The first non-primate species placed near the low-variance end of the continuum was the  
315 Columbian ground squirrel (*Urocitellus columbianus*, Rodentia,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.003 \pm 0.002$ ) (Fig.

316 2 silhouette d). The species with the highest contribution of variation in demographic  
317 processes placed at the high-variance end of the continuum was the stoat (*Mustela erminea*,  
318 Carnivora,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.35 \pm 0.02$ ) (Fig. 2 silhouette e). All the 14 primate populations  
319 displayed potential evidence of buffering, occupying the right-hand side of the variance  
320 continuum, with the exception of the Patas monkey (*Erythrocebus patas*, Primates,  $\Sigma E_{a_{ij}}^{S\sigma} = -$   
321  $0.05 \pm 0.03$ ) (Fig. 2 silhouette f). The snowshoe hare (*Lepus americanus*, Lagomorpha,  $\Sigma E_{a_{ij}}^{S\sigma}$   
322  $= -0.29 \pm 0.16$ ) (Fig. 2 silhouette g) and the Bush rat (*Rattus fuscipes*, Rodentia,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.25$   
323  $\pm 0.03$ ) (Fig. 2 silhouette h) appear on the high-variance end of the continuum.

324 As predicted for the steps 2 and 3, we could not observe a clear pattern in support of  
325 buffering. This finding means that the demographic processes with the highest elasticity  
326 values failed to display strongly negative self-second derivatives (Fig. 3). Particularly for  
327 majority of primates - with the lack or minor temporal variation in demographic processes -  
328 demographic processes with high elasticities had positive values for the self-second  
329 derivatives (indicated by yellow squares with white dots in Fig. 3). Examples of primate  
330 species exhibiting high elasticities and positive values for the self-second derivatives and  
331 include northern muriqui (*Brachyteles hypoxanthus*), mountain gorilla (*Gorilla beringei*),  
332 white-faced capuchin monkey (*Cebus capucinus*), rhesus monkey (*Macaca mulatta*), blue  
333 monkey (*Cercopithecus mitis*), Verreaux's sifaka (*Propithecus verreauxi*) and olive baboon  
334 (*Papio cynocephalus*) (Fig. 3). This implies that the key demographic processes influencing  
335  $\lambda_t$  are not subject to selective pressure for reducing their temporal variability. However, even  
336 though the primates were positioned closer to the low-variance end of the continuum in step  
337 1, the evidence from steps 2 and 3 does not support the occurrence of buffering in the most  
338 influential demographic processes.

339           The killer whale showed similar controversy between step 1 and steps 2-3 results as  
340 most primates. In step 1, the killer whale was positioned at the buffered end of the variance  
341 continuum (*Orcinus orca*, Cetacea,  $\Sigma E_{a_{ij}}^{S\sigma} = -0.70 \times 10^{-4} \pm 1.04 \times 10^{-5}$ ) (Fig. 2 silhouette not  
342 shown). However, steps 2 and 3 show that the three demographic processes in killer whale  
343 life cycle with highest elasticity values (matrix elements  $a_{2,2}$ ,  $a_{3,3}$  and  $a_{4,4}$ ) are not under  
344 selection pressures for reducing their temporal variance, but the opposite (depicted by yellow  
345 and green squares with white dots, Fig. 3).

346           The only primate species exhibiting evidence of buffering in steps 2 and 3 was  
347 human. In human, demographic parameters representing survival from first to second age  
348 class (matrix element  $a_{2,1}$ ) displayed high elasticities and negative self-second derivatives  
349 (depicted as yellow squares with black dots in Fig. 3). Evidence supporting buffering was  
350 also found in the Columbian ground squirrel (*Urocitellus columbianus*), where, similar to  
351 humans, survival from the first to the second age class (matrix element  $a_{2,1}$ ) showed  
352 indications of selection acting to reduce  $a_{2,1}$  variance. Accordingly, the Columbian ground  
353 squirrel was positioned close to the buffered end of the variance continuum in step 1. Hence,  
354 the Columbian ground squirrel was the sole species with consistent evidence of buffering  
355 across all three steps of the framework.

356           The Soay sheep (*Ovis aries*) was the species furthest from the buffered end of the  
357 variance continuum that enabled to perform steps 2 and 3. For the Soay sheep, remaining in  
358 the third age class (matrix element  $a_{3,3}$ ) has the major influence on  $\lambda_t$  and is under selection  
359 pressure to have its variance increased. The latter characteristics reveal potential conditions  
360 for lability even though the species is placed closer to the buffered end of the variance  
361 continuum.

362 Steps 2 and 3 illustrate the importance of examining buffering evidence on the within-  
363 populations level. These two steps of the framework identify the simultaneous acting of  
364 concave and convex selection on different demographic processes within a single life cycle.  
365 In polar bear (*Ursus maritimus*), the key demographic process (matrix element  $a_{4,4}$ ) is under  
366 convex selection, as depicted by a yellow square with a white dot in Fig. 3. However, the  
367 demographic process with the second highest elasticity value (matrix element  $a_{5,4}$ ) is under  
368 strong concave selection (depicted by a light green square with a black dot in Fig. 3).

369 By adding step 3 to the framework, another important information was accessed. The  
370 high absolute values of self-second derivatives (large dots, either black or white, Fig. 3)  
371 indicate where the sensitivity of  $\lambda_t$  to demographic parameters is itself prone to environmental  
372 changes. For instance, if the value of  $a_{5,4}$  for polar bear increased, the sensitivity of  $\lambda_t$  to  $a_{5,4}$   
373 would decrease because the self-second derivative of  $a_{5,4}$  is highly negative (depicted by the  
374 largest black dot in polar bear MPM). Vice versa holds for the  $a_{4,4}$  demographic process,  
375 where an increase in the value of  $a_{4,4}$  would increase  $\lambda_t$ 's sensitivity to  $a_{4,4}$ , because the self-  
376 second derivative of  $a_{5,4}$  is highly positive (depicted by the largest white dot in polar bear  
377 MPM). Thus, sensitivities (or equally elasticities) of demographic processes with high  
378 absolute values for self-second derivatives can easily change.

379

## 380 **Discussion**

381 In the Anthropocene, identifying and quantifying mechanisms of species responses to  
382 stochastic environments holds crucial importance. This importance is particularly tangible in  
383 the context of the unprecedented environmental changes and uncertainties that impact the  
384 dynamics and persistence of natural populations (Boyce *et al.* 2006). Correlational  
385 demographic analysis, whereby the importance of demographic processes and their temporal  
386 variability is examined (Pfister 1998), has attempted to identify how species may buffer

387 against the negative effects of environmental stochasticity. However, these widely used  
388 approaches have important limitations (see Introduction and Hilde *et al.* 2020). One  
389 significant limitation is the issue of measurement scale concerning demographic processes  
390 (Hilde *et al.* 2020; Morris & Doak 2004). Demographic processes, such as birth rates, death  
391 rates, immigration, and emigration, operate at various temporal and spatial scales. The choice  
392 of scale at which these processes are measured can impact the outcomes of correlational  
393 demographic analysis (Bjørkvoll *et al.* 2016). Our novel framework overcomes said  
394 limitations by providing a rigorous approach to quantify demographic buffering (Hilde *et al.*  
395 2020; Pfister 1998).

396         Evidencing demographic buffering is not straightforward. Indeed, through the  
397 analysis of stochastic population growth rate ( $\lambda_s$ ) in our application of the framework to 44  
398 populations of 34 species, we identify the highest density of natural populations near the  
399 buffered end of the variance continuum (step 1). However, we show that the same species  
400 then fail to exhibit signs of concave ( $\cap$ -shaped) selection on the key demographic parameters  
401 when further analyses are performed averaging the variation across the duration of each study  
402 (steps 2 and 3). This finding confirms that placing the species near the buffered end of the  
403 variance continuum is *necessary* but not *sufficient* to diagnose demographic buffering.  
404 Indeed, buffering occurs when concave selection forces act on the key demographic  
405 parameter (Caswell 1996, 2001; Shyu & Caswell 2014).

406         Combining the three steps into a unified framework is of utmost importance. In steps  
407 2 and 3 of the framework, we find relatively limited overall evidence of buffering in the  
408 examination of our 16 (out of 34 in step 1) studied animal species. Step 3 of our framework  
409 reveals that the role of natural selection shaping temporal variation in demographic processes  
410 is more complex than expected. Indeed, demographic processes within our study populations  
411 are often under a mix of convex and concave selection. This mix of selection patterns was

412 already suggested by Doak *et al.* (2005). Here, only two out of 16 mammal species revealed  
413 concave selection acting on the key demographic processes (Columbian ground squirrel  
414 [*Uroditellus columbianus*], and humans, [*Homo sapiens sapiens*]). These two species were  
415 also placed near the buffered end of the variance continuum, therefore meeting all the  
416 necessary conditions to diagnose buffering. However, finding 12.5% (two out of 16) species  
417 that meet the criteria for demographic buffering is not in concordance with previous studies.  
418 Evidence of buffering has been reported across 22 ungulate species (Gaillard & Yoccoz  
419 2003). In the one ungulate we examined, the moose (*Alces alces*), we find only partial  
420 support for buffering in adult survival, since this species is placed near the buffered end of  
421 the variance continuum in step 1 but does not show concave selection pressures on adult  
422 survival in step 2/3, as would be necessary to confirm the occurrence of buffering.

423         It is worth noting that a varying number of matrices per species were employed,  
424 ranging from 1 to 21, with an average of 8.1 matrices per species (as shown in Table S1).  
425 Naturally, having a greater number of matrices is preferred in such analyses. Furthermore,  
426 while the size of matrices (matrix dimensions) does not directly bias the results of our  
427 framework in any way – since steps 2 and 3 are shown for all the demographic processes  
428 independent of matrix dimension – potential implications of varying matrix dimensions  
429 should be further investigated in the future.

430         Our overall findings reveal varying levels of support for the notion that adult survival  
431 in long-lived species tends to be buffered. Indeed, (Gaillard *et al.* 1998) found that adult  
432 female survival varied considerably less than juvenile survival in large herbivores. This  
433 finding was also supported by further studies in ungulates and small rodents (Gaillard &  
434 Yoccoz 2003), turtles (Heppell 1998), vertebrates and plants (Pfister 1998), and more  
435 recently across nine (out of 73) species of plants (McDonald *et al.* 2017).

436           When placing our study species along a variance continuum (step 1), primates tend to  
437 be located on the buffered end. However, most primates displayed convex –instead of the  
438 expected concave– selection on adult survival. Similar results, where the key demographic  
439 process failed to display constrained temporal variability, have been reported for long-lived  
440 seabirds (Doherty *et al.* 2004). One explanation for the unexpected convex selection on adult  
441 survival involves trade-offs, as suggested by (Doak *et al.* 2005). When two demographic  
442 parameters are negatively correlated, the variance of population growth rate ( $\lambda$ ) can be  
443 increased or decreased (Compagnoni *et al.* 2016; Evans & Holsinger 2012). The well-  
444 established trade-off between survival and fecundity (Roff & Fairbairn 2007; Stearns 1992)  
445 might explain the observed deviation of our results. Because variation in primate recruitment  
446 is already constrained by physiological limitations (Campos *et al.* 2017), when adult survival  
447 and recruitment are engaged in a trade-off, this trade-off might lead to our unexpected result.  
448 Correlations among demographic processes (positive and negative) inherently influence the  
449 biological limits of variance (Haridas & Tuljapurkar, 2005). This is because the magnitude of  
450 variation in a particular demographic process is constrained by (the variation of) other  
451 demographic processes that exert an influence on it. Not surprisingly, correlations among  
452 demographic processes have been shown to be strongly subjected to ecological factors (Fay  
453 *et al.* 2022). Here, future studies may benefit from deeper insights via cross-second  
454 derivatives (Caswell 1996, 2001) to investigate correlations among demographic processes.

455           Examining the drivers of demographic buffering has become an important piece of the  
456 ecological and evolutionary puzzle of demography. As such, quantifying buffering can help  
457 us better predict population responses to environmental variability, climate change, and direct  
458 anthropogenic disturbances (Boyce *et al.* 2006; McDonald *et al.* 2017; Pfister 1998; Vázquez  
459 *et al.* 2017). By setting demographic buffering into a broader and integrated framework, we  
460 hope to enhance comprehension and prediction of the implications of heightened

461 environmental stochasticity on the evolution of life history traits. This understanding is  
462 crucial in mitigating the risk of extinction for the most vulnerable species.

463

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470

#### 471 **Data availability**

472 The demographic data used in this paper are open-access and available in the COMADRE  
473 Animal Matrix Database (<https://compadre-db.org/Data/Comadre>). A list of the studies and  
474 species used here is available in Supplementary Material (Table S1). The data and code  
475 supporting the results can be accessed here:

476 [https://github.com/SamuelGascoigne/Demographic\\_buffering\\_unified\\_framework](https://github.com/SamuelGascoigne/Demographic_buffering_unified_framework).

477

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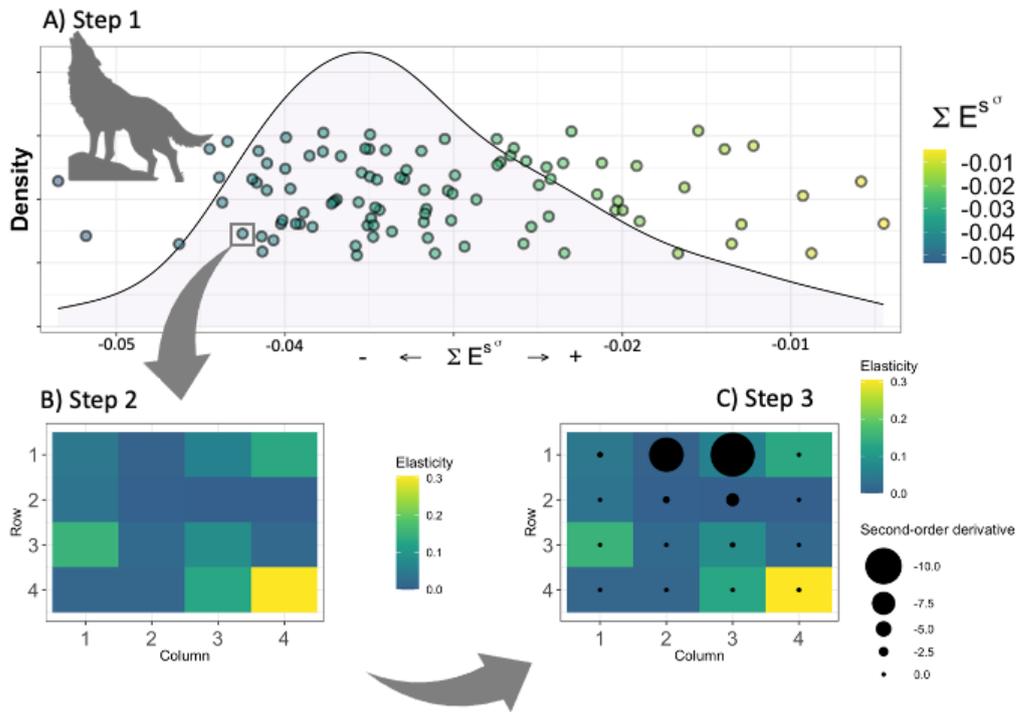
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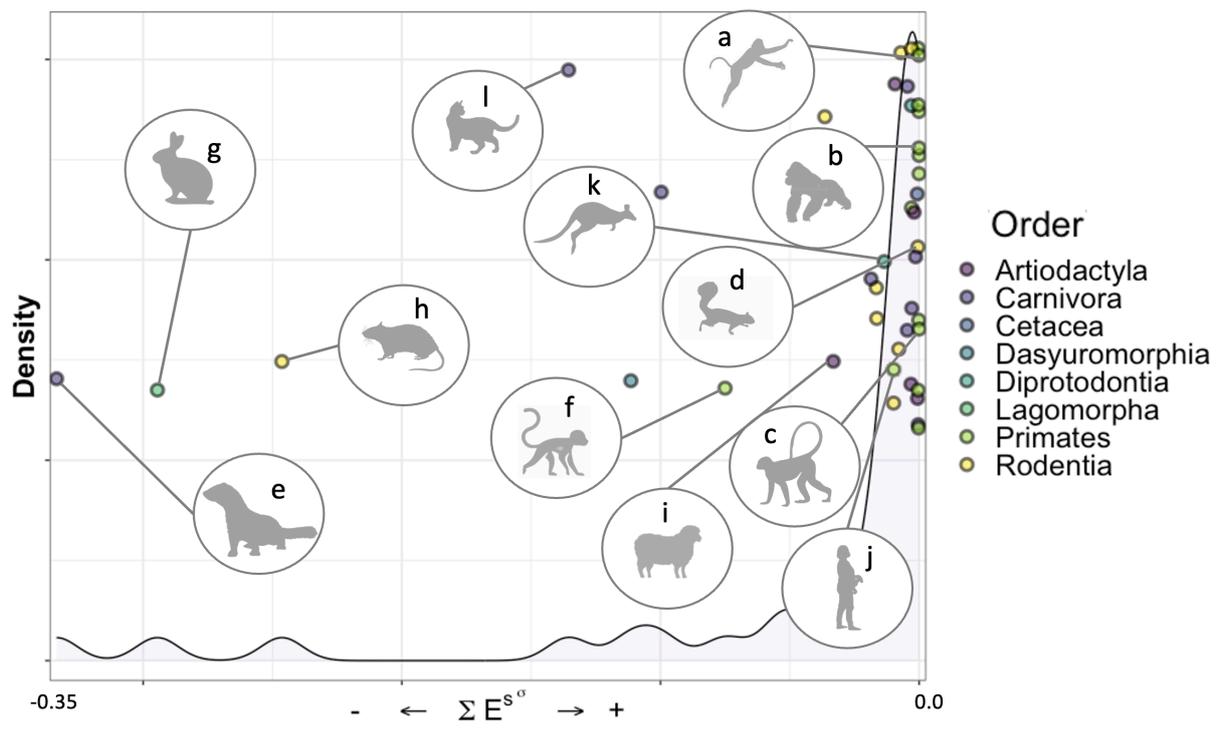
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631 **Figure 1**



632

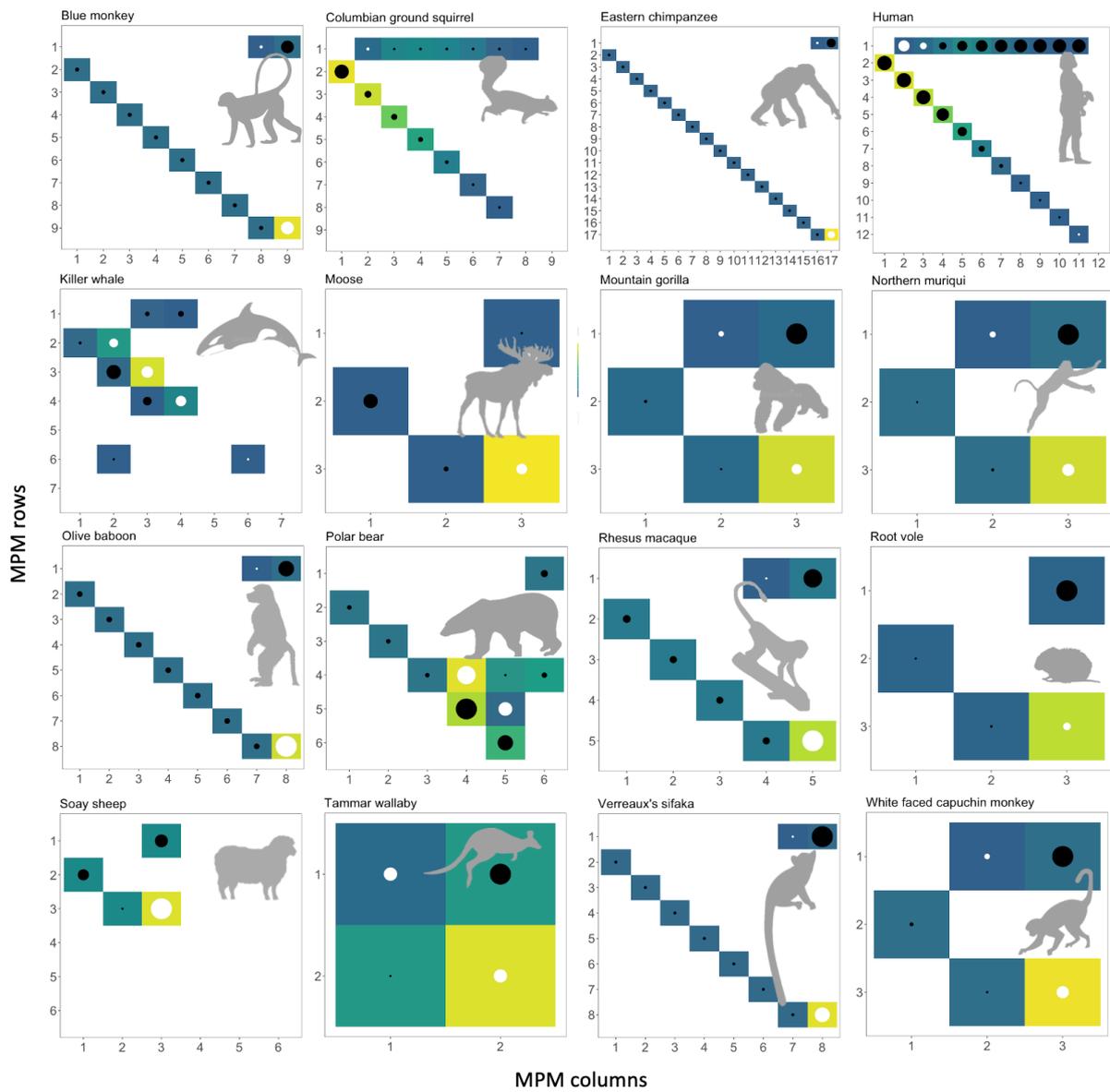
633 **Figure 2**



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635

636 **Figure 3**



637

638

639

640 **Figure legends**

641

642 **Figure 1.** A three-step framework proposed to: Step 1 - allocate species and/or populations  
643 on a variance continuum (plot A, dots representing 50 hypothetical species). The variance  
644 continuum operates at the between-populations level (see text) and is represented by  
645 partitioning the sum of all the stochastic elasticities ( $\Sigma E_{a_{ij}}^S$ ) into two compounds: i) sums of  
646 stochastic elasticities with respect to the variance ( $\Sigma E_{a_{ij}}^{S\sigma}$ ), and ii) sums of stochastic  
647 elasticities with respect to the mean ( $\Sigma E_{a_{ij}}^{S\mu}$ ). The first step of our framework shows the  
648 variance compound of the sums of stochastic elasticities forming a continuum where the  
649 right-hand side of the plot represents species (or populations) where a perturbation of  
650 variance in demographic processes results in weak or no impact on  $\lambda_s$  (yellow dots). The  
651 yellow-dotted species (or populations) can be classified as having potentially *buffered life-*  
652 *cycles* – based on all the demographic processes. The left-hand side of the graph represents  
653 species (or populations) where a perturbation of the variance in demographic processes  
654 results in strong impact on  $\lambda_s$  (blue dots). Thus, the blue-dotted species (or populations) can  
655 be classified as having potentially *unbuffered life cycles* – based on all the demographic  
656 processes. The vertical axis delineates the values of the density distribution function,  
657 indicating the number of species/populations at each value of  $\Sigma E_{a_{ij}}^{S\sigma}$ . The placement of data  
658 points (species/populations) along the horizontal axis corresponds to their calculated values  
659 of  $\Sigma E_{a_{ij}}^{S\sigma}$  and is arranged linearly, while the breadth along the y-axis is solely for improved  
660 visual comprehension. Step 2 - Access the linear selection pressures for individual species or  
661 populations at within-species level (see text) (plot B). Step 2 displays the elasticities of the  
662 deterministic population growth rate ( $\lambda_t$ ) for a hypothetical population of wolf and reveals the  
663 linear selection gradients, and which demographic processes are the most influential for  $\lambda_t$ .  
664 Step 3 - Access the nonlinear selection pressures at the within-species level (see text) (plot

665 C). In the third step self-second derivatives for the corresponding demographic processes  
666 from step 2 are displayed.

667

668 **Figure 2.** Results for step 1 of our framework showing the sum of stochastic elasticities with  
669 respect to the variance  $\Sigma E_{a_{ij}}^{S\sigma}$ . The closer the  $\Sigma E_{a_{ij}}^{S\sigma}$  is to zero, the weaker the impact of  
670 variation in demographic processes on  $\lambda_s$ . The 40 populations from 34 species of mammals  
671 from the COMADRE database are ranked into the variance continuum from potentially  
672 buffered (right-hand side) to less buffered (left-hand side), since any variation in  
673 demographic processes would strongly impact  $\lambda_s$ . Colors represent different taxonomic orders  
674 with Primates occupying the right-hand side. Silhouettes: a) *Brachyteles hypoxantus*, b)  
675 *Gorilla beringhei*, c) *Cercopithecus mitis*, d) *Urocyon columbianus*, e) *Mustela erminea*, f)  
676 *Erythrocebus patas*, g) *Lepus americanus*, h) *Rattus fuscipes*, i) *Ovis aries*, j) *Homo sapiens*,  
677 k) *Macropus eugenii*, and l) *Felis catus*. The vertical axis delineates the values of the density  
678 distribution function, indicating the number of species/populations at each value of  $\Sigma E_{a_{ij}}^{S\sigma}$ .  
679 The placement of data points (species/populations) along the horizontal axis corresponds to  
680 their calculated values of  $\Sigma E_{a_{ij}}^{S\sigma}$  and is arranged linearly, while the breadth along the y-axis is  
681 solely for improved visual comprehension.

682

683 **Figure 3:** Results from steps 2 and 3 of the proposed framework (see Fig. 2B, C). The 16  
684 plots represent populations where the MPMs built by ages were available in the COMADRE  
685 database (see text). The color scale represents elasticity values for each of the demographic  
686 processes in the MPM, where yellow represents high and blue low elasticity values. No color  
687 means elasticity=0. Because the aim of step 2 is to identify the most impacting demographic  
688 process within each species' life cycle (the within-populations level, see text) - not to

689 compare the elasticity values among species - each plot has its own scale (see end of legend).  
690 The black dots represent negative self-second derivatives of  $\lambda_t$  - thus concave selection - and  
691 the white dots represent positive self-second derivatives of  $\lambda_t$  - thus convex selection. The dot  
692 sizes are scaled by the absolute value of self-second derivatives, where the smaller the dot,  
693 the closer a self-second derivative is to 0, indicating weak or no nonlinearity. Large dots  
694 indicate strong nonlinear selection forces. Scales ( $E_{\min-\max}$ =elasticity minimum and maximum  
695 value,  $SSD_{\min-\max}$ =self-second derivative minimum and maximum value): Blue monkey  $E_{\min-$   
696  $\max=0.00-0.52$ ,  $SSD_{\min-\max}=-1.25-1.27$ ; Columbian ground squirrel:  $E_{\min-\max}=0.00-0.23$ ,  
697  $SSD_{\min-\max}=-1.48-0.01$ ; Eastern chimpanzee:  $E_{\min-\max}=0.00-0.60$ ,  $SSD_{\min-\max}=-4.39-2.59$ ;  
698 Human:  $E_{\min-\max}=0.00-0.18$ ,  $SSD_{\min-\max}=-0.15-0.08$ ; Killer whale:  $E_{\min-\max}=0.00-0.55$ ,  
699  $SSD_{\min-\max}=-5.72-3.43$ ; Moose:  $E_{\min-\max}=0.00-0.55$ ,  $SSD_{\min-\max}=-0.66-0.36$ ; Mountain gorilla:  
700  $E_{\min-\max}=0.00-0.81$ ,  $SSD_{\min-\max}=-1.46-0.28$ ; Northern muriqui:  $E_{\min-\max}=0.00-0.72$ ,  $SSD_{\min-$   
701  $\max=-1.17-0.35$ ; Olive baboon:  $E_{\min-\max}=0.00-0.54$ ,  $SSD_{\min-\max}=-0.57-1.13$ ; Polar bear:  $E_{\min-$   
702  $\max=0.00-0.26$ ,  $SSD_{\min-\max}=-0.73-0.54$ ; Rhesus macaque:  $E_{\min-\max}=0.00-0.51$ ,  $SSD_{\min-\max}=-$   
703  $0.54-0.71$ ; Root vole:  $E_{\min-\max}=0.00-0.86$ ,  $SSD_{\min-\max}=-2.54-0.22$ ; Soay sheep:  $E_{\min-\max}=0.00-$   
704  $0.56$ ,  $SSD_{\min-\max}=-0.22-0.40$ ; Tammar wallaby:  $E_{\min-\max}=0.00-0.55$ ,  $SSD_{\min-\max}=-0.64-0.34$ ;  
705 White faced capuchin monkey:  $E_{\min-\max}=0.00-0.66$ ,  $SSD_{\min-\max}=-2.66-1.21$ .

706

707 **Supplementary material – Data available in COMADRE Version 2.0.1 and results from**  
708 **Step 1 of the framework**

709 **Table S1.** The metadata used in step 1 of our framework and the respective results presented  
710 in the main text. The first four columns represent the information from where Matrix  
711 Populations Models (MPMs) were extract precisely as presented in COMADRE 2.0.1.  
712 Column titles differ from the database as “SpeciesAuthorComadre” is equivalent to  
713 “SpeciesAuthor” and “SpeciesName” is equivalent to “SpeciesAccepted” in COMADRE  
714 2.0.1. The remaining columns present the results of step 1, where we present the raw values

715 of  $\Sigma E_{a_{ij}}^{S\mu}$  and  $\Sigma E_{a_{ij}}^{S\sigma}$ , their respective standard deviation, the stochastic population growth rate  
716  $\lambda_s$ , and the number of available matrices (# matrices). For ByAge, “TRUE” was assigned for  
717 MPMs built by age or “FALSE” if otherwise.

SpeciesAuthorComadre	SpeciesName	CommonName	Order	$\Sigma E$
Homo_sapiens_subsp._sapiens	<i>Homo sapiens sapiens</i>	Human	Primates	1.003
Alces_alces	<i>Alces alces</i>	Moose	Artiodactyla	1.001
Antechinus_agilis	<i>Antechinus agilis</i>	Agile antechinus	Dasyuromorphia	1.111
Brachyteles_hypoxanthus	<i>Brachyteles hypoxanthus</i>	Northern muriqui	Primates	1.000
Callospermophilus_lateralis	<i>Callospermophilus lateralis</i>	Golden-mantled ground squirrel	Rodentia	1.054
Cebus_capucinus	<i>Cebus capucinus</i>	White faced capuchin monkey	Primates	1.000
Cercopithecus_mitis	<i>Cercopithecus mitis</i>	Blue monkey	Primates	1.000
Eumetopias_jubatus	<i>Eumetopias jubatus</i>	Northern sea lion; Steller sea lion	Carnivora	1.005
Felis_catus	<i>Felis catus</i>	Feral cat	Carnivora	1.136
Gorilla_beringei	<i>Gorilla beringei</i>	Mountain gorilla	Primates	1.000
Hippocamelus_bisulcus	<i>Hippocamelus bisulcus</i>	Huemul deer	Artiodactyla	1.002
Lepus_americanus	<i>Lepus americanus</i>	Snowshoe hare	Lagomorpha	1.294
Lycaon_pictus	<i>Lycaon pictus</i>	African wild dog	Carnivora	1.100
Macaca_mulatta_3	<i>Macaca mulatta</i>	Rhesus macaque	Primates	1.000
Macropus_eugenii	<i>Macropus eugenii</i>	Tammar wallaby	Diprotodontia	1.013
Marmota_flaviventris_2	<i>Marmota flaviventris</i>	Yellow-bellied marmot	Rodentia	1.007
Marmota_flaviventris_3	<i>Marmota flaviventris</i>	Yellow-bellied marmot	Rodentia	1.008
Microtus_oeconomus	<i>Microtus oeconomus</i>	Root vole	Rodentia	1.000
Mustela_erminea	<i>Mustela erminea</i>	Stoat	Carnivora	1.334
Orcinus_orca_2	<i>Orcinus orca</i>	Killer whale	Cetacea	1.001
Ovis_aries_2	<i>Ovis aries</i>	Soay sheep	Artiodactyla	1.033
Pan_troglodytes_subsp._schweinfurthii	<i>Pan troglodytes</i>	Eastern chimpanzee	Primates	1.000
Papio_cynocephalus	<i>Papio cynocephalus</i>	Olive baboon	Primates	1.000
Peromyscus_maniculatus_2	<i>Peromyscus maniculatus</i>	Deer mouse	Rodentia	1.010
Phocarctos_hookeri	<i>Phocarctos hookeri</i>	New Zealand sea lion	Carnivora	1.005
Propithecus_verreauxi	<i>Propithecus verreauxi</i>	Verreaux's sifaka	Primates	1.000

Puma_concolor_8	<i>Puma concolor</i>	Cougar	Carnivora	NA
Rattus_fuscipes	<i>Rattus fuscipes</i>	Bush rat	Rodentia	1.246
Spermophilus_armatus	<i>Urocitellus armatus</i>	Uinta ground squirrel	Rodentia	1.016
Spermophilus_armatus_2	<i>Urocitellus armatus</i>	Uinta ground squirrel	Rodentia	1.017
Spermophilus_columbianus	<i>Urocitellus columbianus</i>	Columbian ground squirrel	Rodentia	1.036
Spermophilus_columbianus_3	<i>Urocitellus columbianus</i>	Columbian ground squirrel	Rodentia	1.003
Ursus_americanus_subsp._floridanus	<i>Ursus americanus</i>	Florida black bear	Carnivora	1.003
Ursus_arctos_subsp._horribilis_5	<i>Ursus arctos</i>	Grizzly bear	Carnivora	1.001
Ursus_maritimus_2	<i>Ursus maritimus</i>	Polar bear	Carnivora	1.019
Brachyteles_hypoxanthus_2	<i>Brachyteles hypoxanthus</i>	Northern muriqui	Primates	1.000
Cebus_capucinus_2	<i>Cebus capucinus</i>	WhiteNAfaced capuchin monkey	Primates	1.000
Chlorocebus_aethiops_2	<i>Chlorocebus aethiops</i>	Vervet	Primates	1.075
Erythrocebus_patas	<i>Erythrocebus patas</i>	Patas monkey	Primates	1.051
Gorilla_beringei_subsp._beringei	<i>Gorilla beringei</i>	Mountain gorilla	Primates	1.000

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