

1 **Atmospheric Response to a Collapse of the North Atlantic Circulation Under**  
2 **A Mid-Range Future Climate Scenario: A Regime Shift in Northern**  
3 **Hemisphere Dynamics**

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10 ABSTRACT: Climate models project a future weakening of the Atlantic Meridional Overturning  
11 Circulation (AMOC), but the impacts of this weakening on climate remain highly uncertain. A  
12 key challenge in quantifying the impact of an AMOC decline is in isolating its influence on  
13 climate, relative to other changes associated with increased greenhouse gases. Here we isolate  
14 the climate impacts of a weakened AMOC in the broader context of a warming climate using a  
15 unique ensemble of Shared Socioeconomic Pathway (SSP) 2-4.5 integrations that was performed  
16 using the Climate Model Intercomparison Project Phase 6 (CMIP6) version of the NASA Goddard  
17 Institute for Space Studies ModelE (E2.1). In these runs internal variability alone results in a  
18 spontaneous bifurcation of the ocean flow, wherein two out of ten ensemble members exhibit an  
19 entire AMOC collapse, while the other eight recover at various stages despite identical forcing  
20 of each ensemble member and with no externally prescribed freshwater perturbation. We show  
21 that an AMOC collapse results in an abrupt northward shift and strengthening of the Northern  
22 Hemisphere (NH) HC and intensification of the northern midlatitude eddy-driven jet. We then  
23 use a set of coupled atmosphere-ocean abrupt CO<sub>2</sub> experiments spanning the range 1-5xCO<sub>2</sub> to  
24 show that this response to an AMOC collapse results in a nonlinear shift in the NH circulation  
25 moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub>. Slab-ocean versions of these experiments, by comparison, do not  
26 capture this nonlinear behavior. Our results suggest that changes in ocean heat flux convergences  
27 associated with an AMOC collapse — while highly uncertain — can result in profound changes in  
28 the NH circulation and continued efforts to constrain the AMOC response to future climate change  
29 are needed.

## 30 **1. Introduction**

31 Future projections of the atmospheric circulation remain highly uncertain and reflect uncertainties  
32 in the direct radiative response to CO<sub>2</sub> forcing (Deser and Phillips (2009); Grise and Polvani (2014);  
33 Shaw and Voigt (2015); Ceppi et al. (2018)), as well as both the (direct) response to changes in sea  
34 surface temperatures (SSTs) and the (indirect) response to changes in eddy feedbacks (see Shepherd  
35 (2014) and references therein). Uncertainties in SST projections over the subpolar North Atlantic  
36 are particularly consequential, as they strongly influence the location and strength of the North  
37 Atlantic storm track, with profound downstream impacts on precipitation and wintertime weather  
38 over Europe and parts of Africa (e.g., Zhang and Delworth (2006), Smith et al. (2010), Woollings  
39 et al. (2012), O'Reilly et al. (2016)). In particular, while increases in greenhouse gases over the  
40 21<sup>st</sup> century are expected to result in substantial warming over much of the North Atlantic, climate  
41 models project considerable cooling over midlatitudes resulting in a so-called “North Atlantic  
42 warming hole (NAWH)” (e.g., Josey et al. (2018), Drijfhout et al. (2012), Robson et al. (2016),  
43 Caesar et al. (2018)). While the drivers of this NAWH have been under considerable debate, recent  
44 detection-attribution analysis suggests that the anthropogenic signal of the NAWH has emerged  
45 from internal climate variability and, moreover, that this cooling can be attributed to declining  
46 northward oceanic heat flux over recent decades related to increased greenhouse gas emissions  
47 (Chemke et al. (2022)).

48 Among other mechanisms contributing to the development of the NAWH, the slowdown of  
49 the Atlantic Meridional Overturning Circulation (AMOC) has been invoked as one potential key  
50 driver (Cheng et al. (2013), Rahmstorf et al. (2015), Menary and Wood (2018)). Studies have  
51 long shown that changes in the strength of the AMOC can have widespread impacts not only  
52 on other components of the ocean circulation but, more generally, on the broader atmospheric  
53 climate system, resulting in a southward shift of the intertropical convergence zone (ITCZ) (e.g.,  
54 Zhang and Delworth (2005), Vellinga and Wood (2008), Jackson et al. (2015)), a strengthening  
55 of the Walker circulation (e.g., Vial et al. (2018), Orihuela-Pinto et al. (2022)) and a northward  
56 shift of the Northern Hemisphere (NH) jet stream (e.g., Liu et al. (2020), Bellomo et al. (2021)).  
57 Understanding the global scale atmospheric response to changes in AMOC strength is important  
58 not only for projections of future climate, but also for understanding paleoclimate records and  
59 the dynamics of past Dansgaard-Oeschger events. In particular, while the future collapse of an

60 AMOC is still considered unlikely, the latest generation of coupled climate models project stronger  
61 weakening with future warming, compared to older generations of models (Weijer et al. (2020)).

62 In addition to its impacts on global precipitation, SST-related changes in the AMOC can change  
63 the baroclinicity of the atmosphere, which can result in changes in the storm tracks (Woollings  
64 et al. (2012)). However, the precise impacts of a weakened AMOC on atmospheric baroclinity  
65 are not well understood, largely because studies have used models that exhibit a wide diversity  
66 in the amplitude and spatial extent of the NAWH (Gervais et al. (2019), Haarsma et al. (2015),  
67 Menary and Wood (2018)). Nonetheless, despite these uncertainties in the drivers and extent of  
68 the NAWH, Woollings et al. (2012) showed that the response of the North Atlantic storm track to  
69 climate change was singularly shaped by changes in ocean-atmosphere coupling.

70 The role of the AMOC in future projections of the jet stream in the Climate Model Intercom-  
71 parison Project Phase 5 (CMIP5) and Phase 6 (CMIP6) models was recently examined in Bellomo  
72 et al. (2021) (hereafter KB2021), who showed that changes in the AMOC play a primary role  
73 in determining the magnitude of the projected poleward displacement of the NH zonal mean jet  
74 stream. In particular, by stratifying models according to the strength of their projected AMOC  
75 weakening (in response to a quadrupling of CO<sub>2</sub>), the authors showed that models with a larger  
76 AMOC decline (> 7 Sv, relative to preindustrial values) exhibit minimum warming over the North  
77 Atlantic, a southward displacement of the ITCZ and a poleward shift of the northern midlatitude  
78 jet. The results from KB2021 suggest that the AMOC is a major driver of intermodal uncertainty  
79 in future projections of the northern jet stream (and associated hydrological impacts).

80 A key challenge in quantifying the impact of AMOC uncertainties on future projections of the  
81 large-scale atmospheric circulation is in isolating its influence on climate, relative to other changes  
82 associated with increased greenhouse gases. Thus, while the results from KB2021 are compelling,  
83 that study drew conclusions based on the spread among models subject to the same abrupt 4xCO<sub>2</sub>  
84 forcing and it is not clear if the models exhibiting greater AMOC weakening were also models  
85 that exhibit other characteristics that would independently impact the jet stream. At the same time,  
86 previous studies using more traditional freshwater flux perturbations to examine the jet (and other  
87 climate) responses to a weakened AMOC, have done so in the absence of other background changes  
88 related to increased CO<sub>2</sub> (e.g., Zhang and Delworth (2005), Jackson et al. (2015)). As such, these

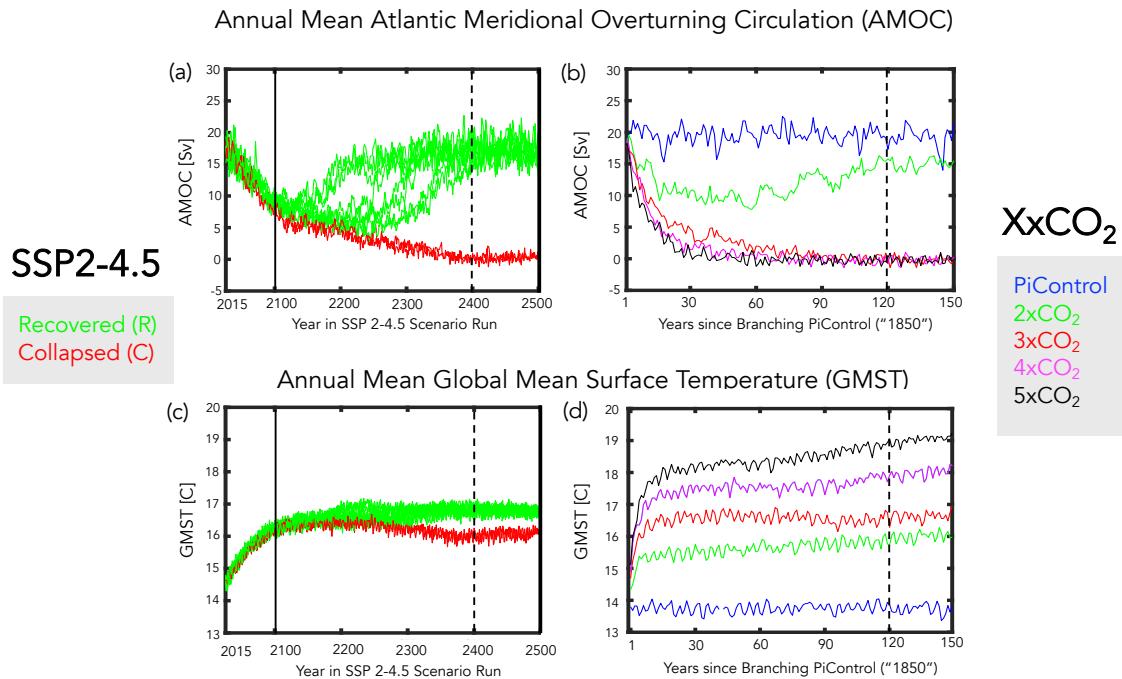
89 studies may produce a circulation response to a weakened AMOC that is different than what might  
90 occur if other factors impacting atmospheric temperature gradients are included.

91 One recent attempt to isolate the climate impacts of a weakened AMOC in the broader context  
92 of a warming climate was performed in Liu et al. (2020). In that study, the authors compared fully  
93 coupled Representative Concentration Pathway (RCP) 8.5 simulations (Riahi et al. (2011)) using a  
94 full physics comprehensive model (CCSM4) with identically forced simulations in which a negative  
95 freshwater perturbation over the subpolar North Atlantic was added after year 1980 in order to  
96 maintain the AMOC strength (while preserving all other forcings). That study showed results  
97 that were generally consistent with KB2021, pointing to a major role of the AMOC in causing  
98 widespread cooling stretching from NH high latitudes to the tropics and a poleward displacement  
99 of the NH midlatitude jet.

100 While the results from Liu et al. (2020) represent an important step forward in isolating the  
101 impacts of the AMOC on the storm tracks in the context of a warming climate, it is not clear  
102 that prescribing a negative freshwater perturbation does not potentially interfere with nonlinear  
103 components of the AMOC response in a coupled system. To this end, here we present new results  
104 featuring an ensemble of Shared Socioeconomic Pathway (SSP) 2-4.5 integrations (Meinshausen  
105 et al. (2020)) that was performed using the CMIP6 version of the NASA Goddard Institute for  
106 Space Studies (GISS) ModelE (E2.1) (Kelley et al. (2020)). In particular, we show results from a  
107 subset of the runs documented in Romanou et al. (2023) (hereafter AR2023), in which the authors  
108 identified a tipping point in the SSP 2-4.5 ensemble occurring during the “extended” portion of  
109 the simulations (i.e. beyond year 2090, after which CO<sub>2</sub> emissions are ramped down). During this  
110 time period the authors show that internal variability alone results in a spontaneous bifurcation of  
111 the ocean flow, wherein two out of ten ensemble members exhibit an entire AMOC collapse, while  
112 the other eight recover at various stages (Figure 1a). Note that, in contrast to the aforementioned  
113 freshwater hosing studies, in which an AMOC collapse is induced by adding freshwater, in these  
114 experiments the AMOC collapse is caused by a reduction in evaporation from the ocean, mediated  
115 by sea ice melting (AR2023). As such, the atmospheric configuration that is used to produce this  
116 effect in an interactive mode is likely to be very different from an atmosphere which is simply  
117 responding to a prescribed freshwater flux perturbation.

118 Whereas AR2023 focused primarily on the oceanic conditions giving rise to this divergence in  
119 AMOC behavior among different ensemble members, here we focus on the subsequent impacts  
120 this has on the atmospheric large-scale circulation. In particular, we contrast the behavior between  
121 two and eight ensemble members in which the AMOC respectively collapses and recovers to  
122 historical values by year 2400 (red vs. green lines, Fig. 1a). As such, we isolate the impact of  
123 a weakened AMOC on the atmospheric circulation in the presence of increased greenhouse gas  
124 warming using a single model (unlike KB2021) and without any need to invoke negative freshwater  
125 perturbations (as in Liu et al. (2020)). To the best of our knowledge, this represents the first time  
126 that the AMOC imprint on the circulation has been isolated in the context of background increases  
127 in greenhouse gases using a fully coupled comprehensive model, absent any externally imposed  
128 freshwater perturbations that may potentially interfere with the model's internal dynamics.

129 As discussed in AR2023, the ensemble members in which the AMOC collapses are substantially  
130 cooler than those runs in which it recovers, with wintertime global mean surface temperature  
131 (GMST) differences of about 1°C by year 2400 (Fig. 1c). Therefore, in documenting the influence  
132 of the AMOC on the atmosphere in the different SSP 2-4.5 ensemble members it is natural to ask how  
133 the large-scale thermodynamic and dynamical circulations scale with these differences in GMST.  
134 Though perhaps naive, it is common practice to assume that the climate system scales linearly with  
135 GMST, as reflected in the use of so-called “global warming levels” in the recent IPCC AR6 report  
136 (James et al. (2017)) and the widely applied related practice of “pattern scaling” (e.g., Santer et al.  
137 (1990), Tebaldi and Arblaster (2014)). Recent studies, however, have shown that the climate's  
138 so-called “dynamical sensitivity” – in particular, circulation shifts associated with changes in the  
139 Hadley Cell and storm tracks - do not simply scale with equilibrium climate sensitivity across the  
140 CMIP5 models (Grise and Polvani (2016)) and strongly depend on the evolution of SST warming  
141 patterns in individual climate models (Ceppi et al. (2018)). As those studies, however, focused on  
142 large (CMIP5) multi-model ensembles, it is not clear if similar conclusions also apply to single  
143 models and to climate states in which the AMOC has undergone a substantial weakening. More  
144 precisely, it remains unclear how much of the circulation response to a weakened AMOC is related  
145 simply to changes in GMST or, rather, to changes in (free-tropospheric) meridional temperature  
146 gradients away from the surface.



158 FIG. 1. Top: Evolution of the annual mean maximum overturning streamfunction in the Atlantic ocean,  
 159 evaluated at 48°N, compared among the SSP 2-4.5 (8) recovered and (2) collapsed ensemble members (top, left)  
 160 and among the abrupt XxCO<sub>2</sub> runs (top, right). Bottom: Same as top panels, except showing annual mean global  
 161 surface temperature (GMST). Vertical solid lines mark the beginning of the “extension” portion of the SSP 2-4.5  
 162 scenario. Vertical dashed lines indicate the years after which climatological averages are evaluated (i.e., years  
 163 2400-2500 (left) and years 120-150 (right)).

147 To this end, in addition to reporting on the results from the SSP 2-4.5 ensemble we also examine a  
 148 suite of abrupt 1-5xCO<sub>2</sub> experiments that were conducted using the same model version (Mitevski  
 149 et al. (2021)). In particular, we exploit the fact that between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> abrupt forcing  
 150 the AMOC respectively recovers and collapses by year 150 (Fig. 1b), behavior which is generally  
 151 similar to the differences in AMOC responses between the recovered and collapsed members of  
 152 the SSP 2-4.5 ensemble, hereafter referred to as SSP 2-4.5 R and SSP 2-4.5 C, respectively (Fig.  
 153 1a). However, by spanning a much broader range of GMST changes, compared to the SSP 2-4.5  
 154 ensemble – and assuming that the atmospheric responses to an AMOC collapse are similar between  
 155 the 3xCO<sub>2</sub> and SSP 2-4.5 collapsed ensemble members (a point which we examine in Section 3a3)  
 156 – the broader set of XxCO<sub>2</sub> experiments affords a unique opportunity to investigate the relationship  
 157 between dynamical sensitivity and GMST changes in the presence of a collapsed AMOC.

164 In Section 3 we begin by contrasting the large-scale atmospheric circulation responses between  
165 the SSP 2-4.5 R and C members in which the AMOC recovers and remains collapsed after year  
166 2400 (Sections 3a1-2, Q1 below). We then compare this behavior with the circulation differences  
167 occurring in the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> integrations (Section 3a3, Q2). After showing that the 3xCO<sub>2</sub>  
168 circulation changes in the NH are largely dominated by the behavior of the AMOC, we then use the  
169 broader set of 1-5xCO<sub>2</sub> abrupt experiments to examine how the collapse of the AMOC modulates  
170 the relationship between the NH dynamical circulation and GMST over a much broader range of  
171 CO<sub>2</sub> forcing (Section 3b, Q3). In addressing the latter we also use slab-ocean model integrations  
172 in order to examine if the behavior exhibited in the coupled atmosphere-ocean runs is reflected in  
173 simulations in which ocean heat flux convergence changes associated with an AMOC collapse are  
174 not allowed to occur. Finally, to interpret the CO<sub>2</sub> scaling results we examine the compensation  
175 that arises between the ocean and atmosphere in response to a decline and eventual collapse of the  
176 AMOC (Section 3c).

177  
178 The main goals of the manuscript are centered around addressing these three questions:

179  
180 Q1) How does a collapse of the AMOC influence the atmospheric circulation in the pres-  
181 ence of the same background CO<sub>2</sub> forcing (SSP 2-4.5 ensemble)?

182  
183 Q2) How does this compare with the response to an AMOC collapse induced by different  
184 CO<sub>2</sub> forcing (2xCO<sub>2</sub> vs. 3xCO<sub>2</sub>)?

185  
186 Q3) Are AMOC-related circulation changes mediated primarily by GMST or by changes  
187 in atmospheric temperature gradients?

188  
189 In addressing Q1-Q3 we show that the AMOC tipping point described in AR2023 results in a  
190 vastly different atmospheric response between ensemble members in which the AMOC collapses  
191 versus members in which the AMOC recovers. In particular, in our model the atmospheric response  
192 to an AMOC collapse (occurring on the timescales addressed in this study) reflects a regime shift  
193 between a climate state in which the NH Hadley Cell and midlatitude jet are substantially weaker and

194 displaced further equatorward (strong AMOC), compared to a state in which they are substantially  
195 stronger and displaced poleward (weak AMOC).

## 196 **2. Analysis/Methods**

### 197 *a. Models and Experiments*

198 Here we use simulations from two sets of experiments produced using the GISS version E2.1  
199 climate model (GISS-E2-1-G) (Kelley et al. (2020)), which consists of a 40-level atmospheric model  
200 with a horizontal resolution of  $2^\circ \times 2.5^\circ$  latitude/longitude coupled to the  $1^\circ$  horizontal resolution  
201 40-level GISS Ocean v1 (GO1) model (for more details of GO1 see AR2023). Comprehensive  
202 reviews of this model’s response to historical and future climate change simulations are provided  
203 in Miller et al. (2021) and Nazarenko et al. (2022), respectively.

204 We first examine results from the SSP 2-4.5 ensemble that contributed to the official submission  
205 of the NASA-GISS climate group to CMIP6. In particular, we contrast the behaviors of eight  
206 members in which the AMOC has recovered by year 2400 (SSP 2-4.5 R) with two members  
207 in which it has remained collapsed (SSP 2-4.5 C) (Fig. 1a). As discussed in AR2023, this  
208 contrasting behavior emerges during the “extension” portion following year 2090, beyond which  
209  $\text{CO}_2$  concentrations slow down in growth from 597 ppm to 643 ppm at year 2200 and decline  
210 thereafter (Meinshausen et al. (2020)). That study further showed that the divergence in the  
211 behavior of the AMOC results from stochastic variability associated with sea-ice transport and  
212 melting in the Irminger Sea that led to a reduction in evaporation and salinity. Note that, whereas  
213 AR2023 was primarily focused on identifying the mechanisms leading to different recovery times  
214 among the SSP 2-4.5 R ensemble members, our interest is in quantifying the impact of an AMOC  
215 collapse on the large-scale circulation after year 2400 up to year 2500. To this end, we treat the  
216 SSP 2-4.5 R and C simulations as comprising two distinct “recovered” and “collapsed” ensembles.

217 To put the SSP 2-4.5 results in a broader context, we also examine the coupled atmosphere-  
218 ocean 1-5x $\text{CO}_2$  abrupt  $\text{CO}_2$  experiments reported in Mitevski et al. (2021), which were performed  
219 using the same version of the model. We restrict our attention to a subset of the runs, focusing  
220 mainly on the 2x $\text{CO}_2$  and 3x $\text{CO}_2$  experiments, but also including results from the 4x $\text{CO}_2$  and  
221 5x $\text{CO}_2$  simulations when commenting on the linearity of the atmospheric circulation responses  
222 with respect to changes in GMST (Section 3b). As shown in Figure 1, the behavior of the AMOC

223 by the end of the abrupt 2xCO<sub>2</sub> and 3xCO<sub>2</sub> runs is generally very similar to the AMOC behavior  
224 in the SSP 2-4.5 R and C ensemble members, respectively, past year 2400. This similar behavior  
225 also appears at lower latitudes (26°N) (not shown), consistent with the findings in AR2023, who  
226 showed a strong correlation in AMOC strength at these two latitudes (0.97) within the broader SSP  
227 2-4.5 ensemble.

228 In addition to the results from the fully coupled ocean-atmosphere model (hereafter FOM) SSP  
229 2-4.5 and XxCO<sub>2</sub> integrations, we also show results from q-flux or slab-ocean model (SOM)  
230 integrations spanning the range 1-5xCO<sub>2</sub>. In these experiments any changes in ocean horizontal  
231 heat transport and vertical heat uptake by the deep ocean are not included as the ocean heat flux  
232 convergences in the mixed layer ( $-\nabla \cdot (vT)$ , including both horizontal and vertical heat fluxes) are  
233 calculated using preindustrial control values. At the same time, the SOM experiments do capture  
234 the mixed layer temperature changes resulting from changes in the net surface heat fluxes (hereafter  
235 referred to as “thermodynamic” ocean coupling). As such, contrasting the responses in the FOM  
236 and SOM experiments isolates the role of dynamic (i.e., ocean heat flux convergence) coupling on  
237 the atmospheric responses in the FOM simulations, consistent with the presentation in Chemke et al.  
238 (2022). Note that this approach does not explicitly isolate the contribution of changes in SSTs to the  
239 atmospheric circulation response, as the SST response reflects both changes in thermodynamic and  
240 dynamic ocean-atmosphere coupling. However, robustly isolating the impact of SSTs can be tricky  
241 as previous studies utilizing prescribed SST “warming hole” patterns have shown large sensitivity  
242 to how these patterns are prescribed, particularly in relation to SST gradients (see discussion in  
243 Gervais et al. (2019)).

#### 244 *b. Temporal Averaging and Spatial Domains*

245 To compare the atmospheric responses from the SSP 2-4.5 simulations with those from the abrupt  
246 CO<sub>2</sub> experiments we focus on climatological averaging periods during which the characteristics  
247 of the AMOC are similar, i.e., years when the AMOC has recovered in the 2xCO<sub>2</sub> and SSP 2-4.5  
248 R runs, while the AMOC has remained collapsed in the 3xCO<sub>2</sub> and SSP 2-4.5 C experiments.  
249 As indicated in Figure 1 (dashed black vertical lines) this corresponds to years beyond which the  
250 maximum value of the overturning streamfunction at 48°N has reached nearly zero, corresponding  
251 to years 120-150 and 2400-2500 in the XxCO<sub>2</sub> and SSP 2-4.5 integrations, respectively. We refer

252 to these periods hereafter as the “equilibrated” responses in the model, bearing in mind that the  
253 AMOC exhibits multi-centennial instability as was illustrated in an older version of the GISS  
254 climate model (Rind et al. (2018)). Variations on these longer timescales are not addressed in this  
255 study.

256 We begin by presenting differences in climatological means between the SSP 2-4.5 R and C  
257 ensembles and between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> integrations. Statistical significance of the SSP  
258 2-4.5 C-R differences is assessed at a confidence level of 95% using a Welch’s t-test, given the  
259 unequal sample sizes represented by the 8-member R and two-member C ensembles. A two-sample  
260 Student’s t-test is used when comparing the abrupt CO<sub>2</sub> responses. In addition, when putting the  
261 SSP 2-4.5 results in the context of the broader 1-to-5xCO<sub>2</sub> forcing range we define all responses  
262 relative to a 150-year average over the preindustrial control simulation from which the abrupt CO<sub>2</sub>  
263 experiments are “branched.”

264 For the majority of the analysis considered here we focus on December-January-February (DJF)  
265 and over the NH. Our focus on DJF is consistent with the presentation in AR2023, while our  
266 focus on the NH is motivated by Mitevski et al. (2021), who showed that the AMOC collapse  
267 occurring between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> results in a non-monotonic response in global mean surface  
268 temperature, driven primarily by changes occurring in the NH (more precisely, the North Atlantic).  
269 We deviate from this convention, however, at two different points in this study. First we use annual  
270 mean GMST when evaluating the dynamical sensitivity scaling in Section 3b; second, we present  
271 the energy budget analysis in Section 3c using annual means in order to facilitate comparison with  
272 previous studies. Some results about the Southern Hemisphere (SH) circulation response are also  
273 presented, but only discussed briefly.

274 Finally, while our main focus is on the “equilibrated” responses defined above, we are also  
275 interested in exploiting the evolution of the responses, as in Grise and Polvani (2017) and Chemke  
276 and Polvani (2019). As shown in those studies, consideration of the response timescales of different  
277 variables affords insight into possible mechanisms governing their evolution.

### 278 *c. Scaling with Global Mean Surface Temperature (GMST)*

279 We begin by comparing the absolute differences in the atmospheric “equilibrated” responses  
280 between the SSP 2-4.5 R and C members (Section 3a1-2) and between the 2- and 3xCO<sub>2</sub> simulations

281 (Section 3a3). When interpreting these differences, however, it is important to note that these could  
282 partly be reflective of background differences in the CO<sub>2</sub> forcing. In particular, the CO<sub>2</sub> values in  
283 the SSP 2-4.5 extended experiments peak at 643 ppm, or roughly 2.4 times preindustrial values,  
284 and decrease thereafter (Figure 2a in AR2023). It is perhaps not surprising, therefore, that this  
285 value of CO<sub>2</sub> lies in between the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> levels identified in Mitevski et al. (2021) as  
286 the transition point between the AMOC recovering and collapsing under abrupt CO<sub>2</sub> forcing (Fig.  
287 1b).

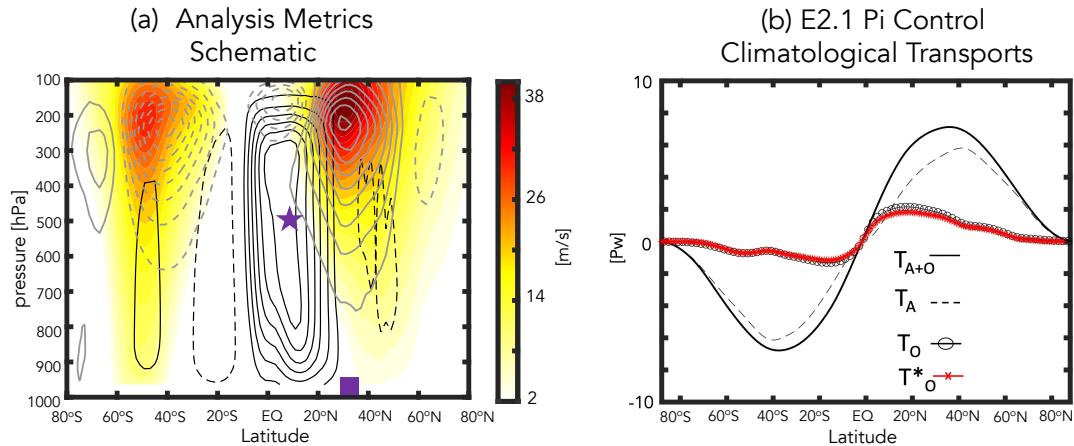
288 Given these differences in CO<sub>2</sub> forcing (further exaggerated when considering the broader suite  
289 of 1-5xCO<sub>2</sub> experiments) it may seem most natural to compare the simulations with respect to  
290 their associated instantaneous radiative forcing (RF) as in Mitevski et al. (2021). However, another  
291 difference between the transient SSP 2-4.5 and abrupt 1-5xCO<sub>2</sub> experiments is the evolution of the  
292 forcing. As the AMOC is known to be sensitive to the time history of the forcing, this is important  
293 to take into consideration, and so we cast our scaling analysis in Section 3b (in which the SSP 2-4.5  
294 results are compared against the broader 1-5xCO<sub>2</sub> suite) in terms of GMST. This approach is also  
295 more in spirit with Ceppi et al. (2018) as it directly addresses the extent to which the dynamical  
296 sensitivity captured in the simulations scales with equilibrium climate sensitivity (Q3).

297 Finally, a related but distinct approach is to normalize by annual mean GMST. KB2021 showed  
298 that doing so highlights large differences in temperature gradients and the zonal mean meridional  
299 circulation between models in which the AMOC weakens substantially ( $> 7$  Sv), compared to  
300 models showing a limited AMOC response ( $< 7$  Sv). However, while this approach is well suited  
301 to understanding the multi-model response to the same (4xCO<sub>2</sub>) forcing, it does not directly afford  
302 insight into how dynamical sensitivity scales with GMST. As we have tried both normalizing and  
303 not normalizing in this study and draw generally very similar conclusions (not shown), we focus  
304 on the unnormalized results.

#### 305 *d. Analysis Approach*

##### 306 1) HADLEY CELL AND STORM TRACK DIAGNOSTICS

307 Whereas KB2021 focused on the latitude of the northern midlatitude jet, here we expand their  
308 analysis to also include measures of the Hadley Cell (hereafter HC) and the storm tracks. Figure 2a



311 FIG. 2. (a): Schematic of the main zonal mean dynamical metrics considered in this study, illustrated  
 312 using data from the preindustrial control simulation. The December-January-February (DJF) climatological  
 313 mean meridional circulation is shown in black contours, with solid and dashed lines denoting clockwise and  
 314 counterclockwise directions, respectively (contour interval:  $3 \times 10^{10}$  kg/s). The DJF zonally averaged zonal winds  
 315 are shown in the filled colored contours (only positive values shown; contour interval: 2 m/s) and the DJF  
 316 eddy momentum fluxes are shown in the grey contours (contour interval:  $8 \text{ m}^2/\text{s}^2$ ). The purple star denotes the  
 317 Northern Hemisphere (NH) HC strength, or the maximum value of the mean meridional streamfunction at 500  
 318 hPa equatorward of where it crosses zero, while the edge is denoted by  $\phi_{UAS}$  (purple square), or the zero-crossing  
 319 latitude of the surface zonal wind. (b): Annual mean meridional distributions of the total atmospheric ( $T_A$ ;  
 320 black dashed line) and combined atmosphere-ocean ( $T_{A+O}$ ; black solid line) northward energy transports for  
 321 the preindustrial control simulation. The implied ocean heat transport ( $T_O$ ; black circled line), calculated by  
 322 subtracting  $T_A$  from  $T_{A+O}$ , exhibits good agreed with online calculations of the ocean transports ( $T_O^*$ ; red starred  
 323 line). For more details see Section 2.

309 highlights how these measures of the HC and midlatitude jet are coupled through eddy momentum  
 310 fluxes.

324 To quantify the characteristics of the HC we use metrics calculated using the Tropical-width  
 325 Diagnostics (TropD) code (Adam et al. (2018)) based on fields that were zonally and seasonally

326 averaged before calculation of the metrics. The edge of the HC,  $\phi_{\text{UAS}}$ , is defined as the zero-crossing  
 327 latitude of the surface zonal wind (corresponds to UAS in TropD and is calculated using the “zero-  
 328 crossing” method) (Fig. 2a, purple square). Our use of a surface-wind based measure of the HC  
 329 edge is partly motivated by previous studies showing a strong signature of an expanded northern  
 330 edge of the HC on sea level pressure (SLP) (Schmidt and Grise (2017)). This measure of the HC  
 331 was also shown to correlate well with the latitude at which the mean meridional streamfunction  
 332 at 500 hPa crosses 0 poleward of its tropical extremum (Waugh et al. (2018)). The value of that  
 333 tropical extremum ( $\Psi_{500}$ ) is also examined as a measure of HC strength (Fig. 2a, purple star).

334 In addition to looking at the HC, we also examine its relation to the northern midlatitude jet via  
 335 the eddy momentum fluxes. This is based on research showing a strong connection between the  
 336 evolution of the HC and the latitude of the maximum eddy momentum fluxes (Schneider (2006);  
 337 Chemke and Polvani (2019); Menzel et al. (2019)). The eddy momentum fluxes are calculated  
 338 as in Chemke and Polvani (2019) as the time mean of  $[u'v']$ , where  $u$  and  $v$  are the zonal and  
 339 meridional winds, respectively, and primes represent deviations from both the zonal and monthly  
 340 means. In particular we are interested in the latitude where the eddy momentum flux maximizes  
 341 (eddy momentum convergence = 0) (Fig. 2a, grey contours). As it is well known that the largest  
 342 eddy momentum flux convergences are closely collocated with the extratropical storm tracks (e.g.,  
 343 Lau et al. (1978), Lim and Wallace (1991)), we also examine the vertically averaged eddy kinetic  
 344 energy, calculated using daily output. Connections with static stability ( $S_p$ ) and baroclinic eddy  
 345 generation are also made, where  $S_p = -(\frac{T}{\theta})(\frac{\partial\theta}{\partial P})$  and  $\theta$  is potential temperature. The baroclinic  
 346 eddy generation is quantified using  $\sim \alpha' \omega'$ , where primes denote zonal deviations and  $\alpha$  and  $\omega$  refer  
 347 to one over the density and vertical velocity in pressure coordinates, respectively (Lorenz (1955)).

## 348 2) ENERGETIC ANALYSIS

349 To put the results of the dynamical analysis in an energetic context we evaluate the total meridional  
 350 heat transport of the coupled ocean-atmosphere transport system, further partitioned into its oceanic  
 351 and atmospheric contributions. Following Magnusdottir and Saravannan (1999) we estimate the  
 352 total vertically integrated atmospheric heat flux ( $T_A$ ) as:

$$\frac{\partial \cos\phi}{a \cos\phi \partial \phi} [T_A] \equiv \frac{\partial \cos\phi}{a \cos\phi \partial \phi} \int_1^0 \overline{(c_p T + gz + Lq) v \rho d\eta}$$

$$= \overline{[-F_T - F_S + SHF + LHF]} \quad (1)$$

353 as well as the vertically integrated meridional heat flux in the combined atmosphere-ocean system  
 354 ( $T_{A+O}$ ) as:

$$\frac{\partial \overline{c \cos \phi}}{\partial \cos \phi} \overline{[T_{A+O}]} \equiv \overline{[-F_T]} \quad (2)$$

355 where moist static energy density is the sum of dry static energy density ( $c_p T + gz$ ) and the latent  
 356 heat density ( $Lq$ ),  $\rho$  and  $v$  refer to the mass density and horizontal velocity on  $\eta$  surfaces. Zonal  
 357 averages and time averages are denoted by square brackets and overbars, respectively. The terms  
 358 on the RHS of both equations refer to energy fluxes out of the top of the atmosphere and at the  
 359 surface:  $F_T$  (net upward flux of radiation at the top of the atmosphere, calculated as outgoing  
 360 longwave radiation (OLR) minus the absorbed solar radiation (ASR)),  $F_S$  (net downward flux of  
 361 radiation at the surface equal to the sum of net downward longwave (LWF) and shortwave (SWF)  
 362 radiation), and the fluxes of latent and sensible heat at the surface (LHF and SHF).

363 The resulting annual mean meridional distributions of  $T_A$  and  $T_{A+O}$ , calculated using the E2.1  
 364 150-year preindustrial control simulation, is consistent with the climatological energy transports  
 365 presented in other studies (e.g., Magnusdottir and Saravanan (1999), Held and Soden (2006))  
 366 (Figure 2b). Note that the implied ocean heat transport, calculated by subtracting the first from  
 367 the second equation above (Fig. 2b, black circled line) is found to exhibit good agreement with  
 368 online calculations of the ocean transports (Fig. 2b, red starred line). These northward ocean heat  
 369 transports, simulated in historical integrations using E2.1, have been shown to agree well with 1992-  
 370 2011 estimates from the ECCO ocean state estimate (Figure 23 in Kelley et al. (2020)). Finally,  
 371 in addition to examining the compensation between atmospheric and oceanic poleward transports,  
 372 we also further partition  $T_A$  into its moist versus dry contributions using online calculations of the  
 373 vertically integrated dry static energy and latent heat northward transports (Section 3c).

### 374 **3. Results**

375 We begin by contrasting the regional SSP 2-4.5 C and R responses in sea surface temperature,  
 376 sea level pressure, precipitation and zonal winds (Section 3a1) and in the large-scale zonal mean

377 circulation (Section 3a2). Then we compare the SSP 2-4.5 C-R differences to the responses in the  
378  $2\times\text{CO}_2$  and  $3\times\text{CO}_2$  simulations (Section 3a3), followed by a discussion of the full set of abrupt  
379  $1-5\times\text{CO}_2$  experiments, which we use to examine how the changes in thermodynamics and the  
380 circulation scale with changes in global mean surface temperature (Section 3b). To interpret the  
381 dynamical scaling results we then examine the compensation that arises between the ocean and  
382 atmosphere in response to a decline and eventual collapse of the AMOC (Section 3c).

### 383 *a. Equilibrated Responses*

#### 384 1) SSP 2-4.5 COLLAPSED VS. RECOVERED: SEA SURFACE TEMPERATURES, PRECIPITATION, SEA 385 LEVEL PRESSURE AND WINDS

386 Figure 1 (bottom panels) shows the evolution of annual global mean surface temperature in the  
387 SSP 2-4.5 C and R members (Fig. 1c) and the abrupt  $\text{CO}_2$  experiments (Fig. 1d). Comparing the  
388 collapsed versus recovered SSP 2-4.5 ensemble members reveals global cooling associated with a  
389 sustained collapse of the AMOC such that by the time that the AMOC has recovered in the SSP  
390 2-4.5 R members the annual mean global surface temperature is almost one degree warmer, relative  
391 to the SSP 2-4.5 C members. In the abrupt  $\text{CO}_2$  simulations, the GMST change in the  $3\times\text{CO}_2$   
392 experiment is only  $\sim 0.6^\circ\text{C}$  warmer than the  $2\times\text{CO}_2$  simulation, reflective of a clear flattening of  
393 the warming trend after years  $\sim 60-70$ . Overall, the changes in GMST are  $2.2^\circ\text{C}$ ,  $2.8^\circ\text{C}$ ,  $3.0^\circ\text{C}$ ,  
394 and  $2.3^\circ\text{C}$  for the  $2\times\text{CO}_2$ ,  $3\times\text{CO}_2$  and SSP 2-4.5 recovered and SSP 2-4.5 collapsed ensembles,  
395 respectively.

396 That the cooling associated with a steady decline and eventual collapse of the AMOC acts to  
397 mitigate, and partially counteract, other components of the global surface temperature change is  
398 reflected in a non-monotonic change in equilibrium climate sensitivity that occurs between  $2\times\text{CO}_2$   
399 and  $3\times\text{CO}_2$  over the broader range of experiments spanning 1-to- $5\times\text{CO}_2$  (Figure 1 in Mitevski et al.  
400 (2021)). This counteracting of warming due to a weakening of the AMOC has also been shown to  
401 occur in 21<sup>st</sup> century warming simulations (Drijfhout et al. (2012), Caesar et al. (2018), Marshall  
402 et al. (2015)).

403 While the AMOC influence on the climate can occur via its changes in GMST, a reduction in  
404 AMOC strength can also influence sea surface temperature patterns. We examine this next, with a  
405 focus on DJF, and examine changes in SSTs and associated spatial gradients over the Atlantic and

406 Pacific (Figure 3a). Note that a saturated color bar has been used in order to highlight the structure  
407 of SST changes outside of the North Atlantic region.

408 Examination of the North Atlantic reveals much more cooling in the SSP 2-4.5 collapsed simula-  
409 tions (Fig. 3a) over the subpolar North Atlantic (SPNA), consistent with the results from previous  
410 studies. This cooling within the SPNA region is also associated with a large increase in meridional  
411 SST gradients over the North Atlantic south of 40°N and enhanced zonal gradients between the  
412 western and eastern Atlantic basins. There is also an indication of a slight increase in SST gradients  
413 in the tropics.

414 The cooler SSTs in the collapsed simulations are not only confined to the Atlantic, but also  
415 span the Pacific (Fig. 3a), resulting in stronger meridional SST gradients, particularly over middle  
416 northern latitudes. Preliminary analysis of the evolution of the SST response (Appendix Figure  
417 1) shows that this cooling over the extratropical Pacific occurs over several centuries and may be  
418 related to a deepening and poleward shift of the Aleutian Low (Fig. 3c), resulting in more advection  
419 of colder temperatures over the West Pacific (Wu et al. (2008)), although direct thermodynamic  
420 advection of colder North Atlantic air may also be occurring. By comparison, the changes in SSTs  
421 and associated gradients in the tropical Pacific are much smaller. Unlike some previous studies  
422 (Timmermann et al. (2007), Zhang and Delworth (2005)) we find no evidence of an El Niño like  
423 response to an AMOC weakening, although the robustness of this response has recently been  
424 questioned (KB2021).

425 In the SH, SSTs warm over the extratropics in the SSP 2-4.5 collapsed integrations, compared  
426 to the simulations in which the AMOC recovers. This warming takes several centuries to develop  
427 (Appendix Figure 1) and resembles the evolution of the SST pattern documented in Pedro et al.  
428 (2018) (their Figure 7). This delayed warming over the SH results in increased SST gradients over  
429 the South Atlantic (~60°S) in the SSP 2-4.5 C runs, relative to SSP 2-4.5 R, a feature which is not  
430 captured in the 3xCO<sub>2</sub> simulation (discussed more in Section 3a3).

431 In addition to the changes in SSTs, the response in precipitation in the SSP 2-4.5 collapsed  
432 simulations reflects large decreases over the North Atlantic subpolar region, reductions over the  
433 Amazon and suggestions of a southward shift of the ITCZ over both the Atlantic and East Pacific  
434 basins (Fig. 3b). By comparison, the increased precipitation in the West Pacific is not statistically  
435 significant, consistent with previous studies (Vellinga and Wood (2008), KB2021).

436 Moving next to more dynamical measures, we examine changes in sea level pressure and near-  
437 surface zonal winds (Fig. 3c,d). The changes in SLP show differences over the North Atlantic  
438 indicative of enhanced (anticyclonic) high level pressure over the subpolar latitudes in the runs in  
439 which the AMOC collapses (Fig. 3c). This increase in SLP is shifted slightly downstream of the  
440 SST changes, as noted in Gervais et al. (2019), albeit for the prescribed SST experiments examined  
441 in that study. In addition to the changes over the Atlantic, there is also a pronounced dipole of  
442 increased and reduced sea level pressure values over the North Pacific middle and high latitudes.  
443 While this response was not discussed in KB2021, earlier studies have shown that a weakening of  
444 the AMOC is associated with a deepening of the Aleutian Low (Wu et al. (2008), Liu et al. (2020)).

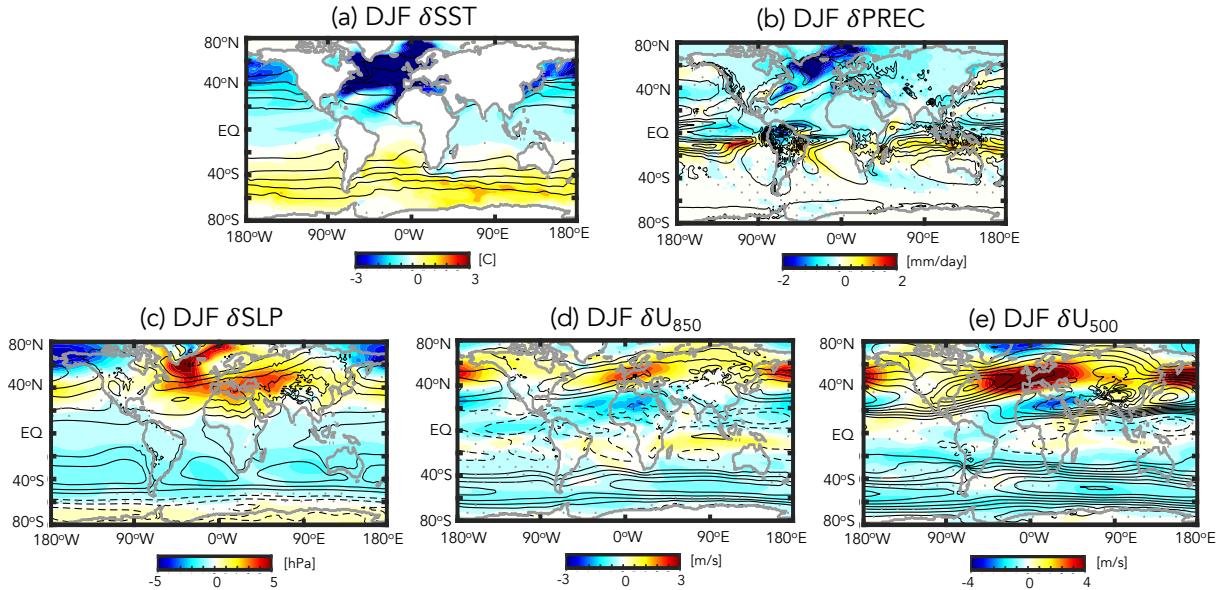
445 Consistent with the SLP changes over the North Pacific, there is a strong signature of a weakened  
446 AMOC in the near surface zonal winds (850 hPa) (Fig. 3d). These wind changes over the Pacific  
447 reflect a poleward shift of the midlatitude jet, whereas over the North Atlantic the jet mainly  
448 accelerates and extends further eastward over Europe. This acceleration over the North Atlantic is  
449 more pronounced in the mid-troposphere (Fig. 3e), as was also reported in KB2021, who identified  
450 a statistically significant strengthening of the midlatitude jet at 250 hPa, but not at 850 hPa, in  
451 models featuring a stronger AMOC decline. Finally, in contrast to the NH, there is a uniform  
452 weakening of the zonal winds over the SH extratropics. We discuss the vertical coherence of these  
453 wind changes in the next section.

## 454 2) SSP 2-4.5 COLLAPSED VS. RECOVERED: VERTICAL STRUCTURE

455 In addition to its impacts on SSTs, changes in the AMOC impact the vertical structure of  
456 meridional temperature gradients in the atmosphere. To interpret the zonal wind changes shown in  
457 Figure 3 we therefore next examine the zonal mean changes in temperatures, zonal winds and eddy  
458 kinetic energy, as well as their coupling to responses in the tropical mean meridional circulation  
459 (Figure 4).

460 We begin by examining changes in temperature (Fig. 4a), which show much more cooling over  
461 the NH high latitude troposphere in the SSP 2-4.5 collapsed runs. A similar reduction in Arctic  
462 warming was reported in the “strongly” collapsed models examined in KB2021 (their Figure S5)  
463 and in Liu et al. (2020) (their Figure 6). In addition to the changes over the northern extratropics, we  
464 also find an indication of weak polar amplification in the SH characterized by warming throughout

## SSP 2-4.5 Collapsed - Recovered

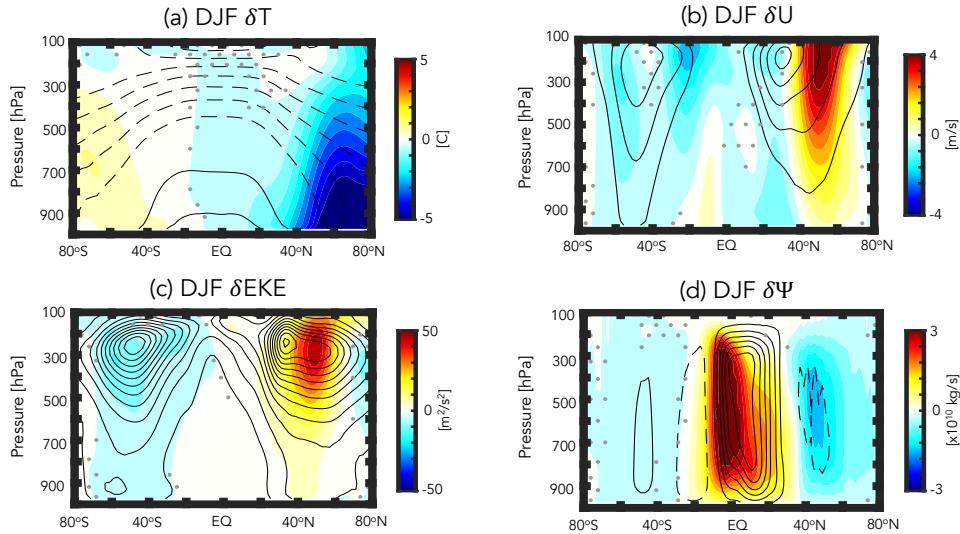


454 FIG. 3. The difference in the year DJF 2400-2500 climatological mean (a) sea surface temperatures ( $\delta$ SST),  
 455 (b) precipitation ( $\delta$ PREC), (c) sea level pressure ( $\delta$ SLP), (d) 850 hPa zonal winds ( $\delta$ U<sub>850</sub>) and (e) 500 hPa zonal  
 456 winds ( $\delta$ U<sub>500</sub>) between the SSP 2-4.5 collapsed (C) and recovered (R) ensemble members. Climatological mean  
 457 values from the preindustrial control simulation are denoted in the black contours (contour intervals: (a) 5°C,  
 458 (b) 2 mm/day, (c) 5 mb, (d) 3 m/s and (e) 3 m/s). Grey stippling denotes regions where the SSP 2-4.5 C-R  
 459 differences are not statistically significant.

481 the SH middle and high latitudes poleward of 40°S, also seen in the SST differences (Fig. 3a).  
 482 This warming in the SH is consistent with Liu et al. (2020) (their Figure 6), but inconsistent  
 483 with KB2021, which likely reflects their focus on shorter (100-150 year) timescales. In addition,  
 484 KB2021 also identified more warming in the tropical upper troposphere, a feature that is also  
 485 not evident in the SSP 2-4.5 collapsed runs. Normalization of our results by GMST (not shown)  
 486 produces an anomalous upper tropical tropospheric warming, suggesting that the results reported  
 487 in KB2021 are reflective of the normalization performed in that study, not of absolute temperature  
 488 differences.

489 Moving next to the zonal winds (Fig. 4b) we find that the reduced warming over NH high  
 490 latitudes is associated with enhanced meridional temperature gradients, which result in a poleward

## SSP 2-4.5 Collapsed - Recovered

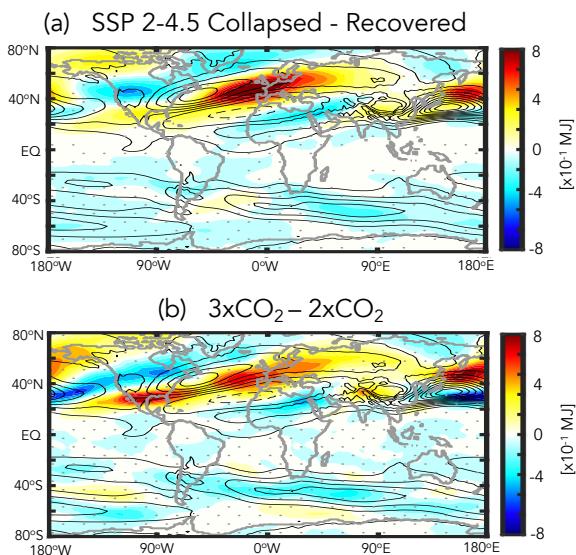


460 FIG. 4. The difference in the year DJF 2400-2500 climatological mean zonal mean (a) temperature ( $\delta T$ ), (b)  
 461 zonal wind ( $\delta U$ ), (c) eddy kinetic energy ( $\delta EKE$ ) and (d) Eulerian mean streamfunction ( $\delta \Psi$ ) between the SSP  
 462 2-4.5 collapsed (C) and recovered (R) ensemble members. Climatological mean values from the preindustrial  
 463 control simulation are denoted in the black contours (contour intervals: (a)  $10^{\circ}\text{C}$ , (b)  $8\text{ m/s}$ , (c)  $28\text{ m}^2/\text{s}^2$  and  
 464 (d)  $3 \times 10^{10}\text{ kg/s}$ ). Note that in (d) solid and dashed lines denoting clockwise and counterclockwise directions,  
 465 respectively. Grey stippling denotes regions where the SSP 2-4.5 C-R differences are not statistically significant.

491 shift of the zonal mean northern midlatitude jet in response to a decline and eventual collapse of  
 492 the AMOC. A similar poleward shift in the NH jet was documented in KB2021 (their Figure 4)  
 493 and in Liu et al. (2020). In the SH the zonal winds weaken and, if anything shift equatorward, in  
 494 the SSP 2-4.5 C ensemble members, consistent with the weak polar amplification in that region  
 495 (Fig. 4a). Again, this wind response is highly consistent with Liu et al. (2020), but opposite  
 496 to that shown in KB2021, who identified a poleward shift of the SH jet. As that study did not  
 497 propose a testable mechanism for the SH jet changes, it is not entirely clear what is the driver of  
 498 the differences between their results and those presented here and in Liu et al. (2020), although  
 499 both the normalization by GMST as well as the differing integration lengths likely contribute.

500 In concert with the changes in the zonal winds, the changes in eddy kinetic energy (EKE) over  
 501 the NH feature increases north of  $40^{\circ}\text{N}$  (Fig. 4c). Note that there is no statistically significant

## DJF Eddy Kinetic Energy

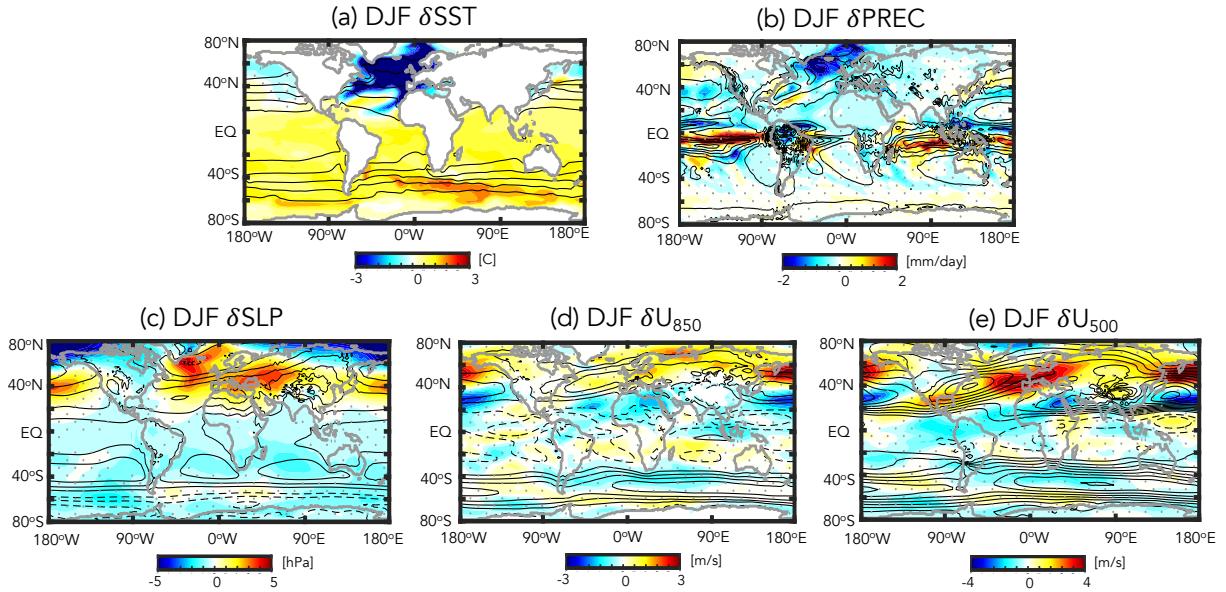


472 FIG. 5. (a) The difference in the year DJF 2400-2500 climatological mean vertically integrated eddy kinetic  
473 energy between the SSP 2-4.5 C and R ensembles. (b) Same as in (a), except showing the year 120-150  
474 difference between the  $3\times\text{CO}_2$  and  $2\times\text{CO}_2$  integrations. Climatological mean values from the preindustrial  
475 control simulation are denoted in the black contours (contour interval:  $5\times 10^{-1}$  MJ).

502 response in the subtropics and only the wind (and EKE) changes poleward of  $40^\circ\text{N}$  are robust.  
503 Zonally, the increases in EKE are concentrated over the North Atlantic and extend eastward over  
504 Europe, as well as over the West Pacific (Fig. 5a), strongly resembling the zonal wind changes  
505 at 500 hPa (Fig. 3e). Comparisons with the changes in EKE associated with an AMOC collapse  
506 in another model (the Community Earth System Model (CESM-LE)) examined in Mitevski et al.  
507 (2021) show very similar anomalies (not shown). Furthermore, a spectral decomposition of these  
508 NH EKE changes show increased wave energy over zonal wavenumbers 1-6 in the collapsed SSP  
509 2-4.5 members, relative to the recovered members (also not shown).

512 Finally, the changes in the mean meridional streamfunction indicate an overall strengthening  
513 of the wintertime NH Hadley circulation in the collapsed SSP 2-4.5 simulations (Fig. 4d). This  
514 intensification of the NH Hadley circulation in response to an AMOC shutdown has been reported  
515 in previous studies (Zhang and Delworth (2005), Orihuela-Pinto et al. (2022)) and generally  
516 associated with a southward displacement of the ITCZ, although Brayshaw et al. (2009) also  
517 identify a zonally localized enhancement of the HC region over the subtropical Atlantic, which

## $3xCO_2 - 2xCO_2$



510 FIG. 6. Same as Figure 3, except showing the difference between the year 120-150 climatological mean  $3xCO_2$   
 511 and  $2xCO_2$  responses.

518 they associate with increased meridional SST gradients in that region. Compared to those studies,  
 519 however, our results also show a poleward displacement of the northern HC edge in the lower  
 520 troposphere ( $>500$  hPa), a result which has not been directly commented on in the literature. These  
 521 streamfunction anomalies over the NH extratropical lower troposphere appear to be coupled to a  
 522 slight strengthening and poleward displacement of the northern Ferrel cell.

### 523 3) COMPARISON WITH $2xCO_2$ vs $3xCO_2$

524 Comparisons of the surface and lower tropospheric impacts associated with an AMOC collapse  
 525 in the SSP 2-4.5 ensemble (Fig. 3) are highly consistent with the responses moving from  $2xCO_2$   
 526 to  $3xCO_2$  (Fig. 6). In particular, over the North Atlantic the changes moving from  $2xCO_2$  to  
 527  $3xCO_2$  reflect cooler SSTs (Fig. 6a), reduced precipitation (Fig. 6b) and an anomalous anticyclonic  
 528 circulation over the North Atlantic subpolar gyre region (Fig. 6c), as well as a strengthening and  
 529 eastward extension of the North Atlantic jet over Europe (Fig. 6d, 6e). The magnitudes of the

530 3xCO<sub>2</sub> changes are also similar to the responses in the SSP 2-4.5 collapsed ensemble members,  
531 albeit somewhat smaller (Fig. 3).

532 Though the overall responses in the surface temperatures and winds are very similar, there are  
533 some important differences worth noting. First, the SSTs in the 3xCO<sub>2</sub> simulation show much less  
534 cooling over the Pacific northern midlatitudes (> 40°N) compared to the SSP 2-4.5 C simulations,  
535 which likely reflects differences in the length of these integrations as this cooling takes centuries  
536 to equilibrate (Appendix Figure 1). Second, in response to 3xCO<sub>2</sub> there is more warming over the  
537 NH subtropics and tropics, consistent with the higher CO<sub>2</sub> forcing in that simulation. Thus, unlike  
538 what happens in the SSP 2-4.5 C ensemble members, there is no SH polar amplification occurring  
539 at 3xCO<sub>2</sub>.

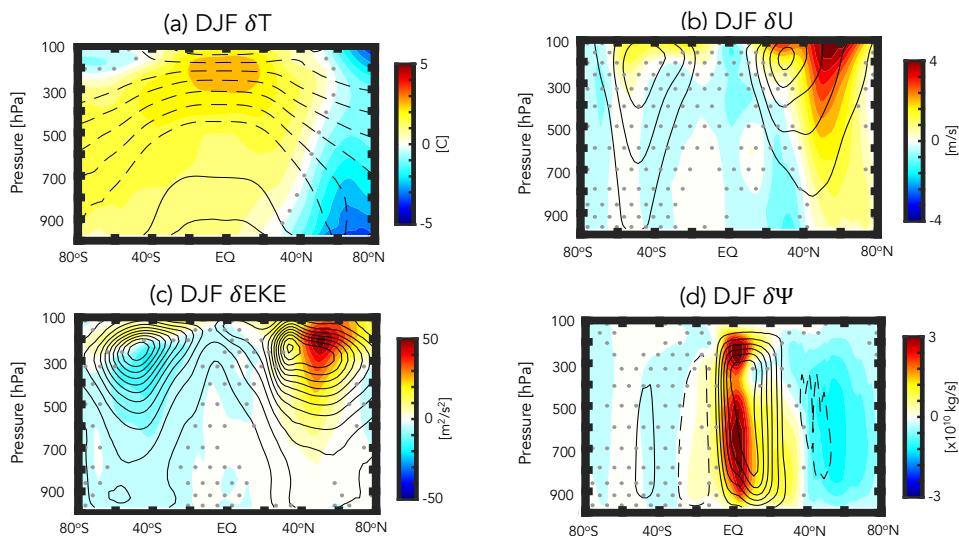
540 The different SST gradients over the northern high latitude Pacific and tropics and SH occurring  
541 at 3xCO<sub>2</sub> have implications for the jet and precipitation changes in these regions. In particular,  
542 over the Pacific northern midlatitudes, where there is much less cooling compared to the SSP 2-4.5  
543 C integrations, the jet response resembles more of a poleward shift, characterized not only by an  
544 acceleration north of 40°N, but also reduced winds ~20°N; in the tropical Pacific there is also a  
545 much stronger increase in precipitation, relative to the AMOC SSP 2-4.5 C ensemble.

546 Even over the North Atlantic the SST cooling is slightly weaker and less expansive and the jet  
547 response at 850 hPa is not statistically significant at 3xCO<sub>2</sub>, in contrast to the SSP 2-4.5 collapsed  
548 ensemble members. In the SH, there is also a suggestion of a poleward shift of the midlatitude jet  
549 at 3xCO<sub>2</sub>, not evident in the SSP 2-4.5 C integrations, although these changes are not statistically  
550 significant. These subtle differences aside, however, the overall similarities between Figures 3 and  
551 6 are remarkable and suggest that the climate response that occurs moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub>  
552 is, to first order, determined by the changes in AMOC strength.

553 Strong consistency is also found when comparing the vertical response of the large-scale circu-  
554 lation between the AMOC SSP 2-4.5 C and R ensemble members (Fig. 4) and between the 3xCO<sub>2</sub>  
555 and 2xCO<sub>2</sub> integrations (Fig. 7). That is, in concert with stronger cooling over the Arctic (Fig.  
556 7a), the 3xCO<sub>2</sub> simulation features a stronger poleward shift of the NH zonal mean jet (Fig. 7b),  
557 increased EKE northward of 40°N (Fig. 7c) and a strengthened HC (Fig. 7d).

558 One difference in vertical structure occurs over the Arctic, where the cooling that occurs at  
559 3xCO<sub>2</sub> (Fig. 7a) is much smaller than in the collapsed SSP 2-4.5 ensemble (Fig. 4a), reflecting

## $3\times\text{CO}_2 - 2\times\text{CO}_2$



570 FIG. 7. Same as Figure 4, except showing the difference between the year 120-150 climatological mean  $3\times\text{CO}_2$   
 571 and  $2\times\text{CO}_2$  responses.

560 the higher  $\text{CO}_2$  forcing in that simulation. There is also stronger warming occurring within the  
 561 tropics and over southern latitudes. Despite these differences in absolute temperature, however,  
 562 the increase in meridional temperature gradients that occurs is similar to what happens when  
 563 comparing the SSP 2-4.5 C and R ensemble members. As such, the zonal mean NH jet response  
 564 to an AMOC collapse is quite similar in the  $3\times\text{CO}_2$  simulation (Fig. 7b) compared to SSP 2-4.5 C  
 565 (Fig. 4b) and is also coupled to an EKE increase on the poleward flank of the jet (Fig. 7c). Maps  
 566 of the EKE response show that at  $3\times\text{CO}_2$  much of this increased EKE reflects changes over the  
 567 Atlantic (Fig. 5b), as in the SSP 2-4.5 C ensemble (Fig. 5a), although there is also increased EKE  
 568 over the western Pacific and North America.

569  
 572 To summarize: In response to a collapse of the AMOC, our results show widespread cooling over  
 573 the Arctic and stronger meridional temperature gradients over the NH. This increase in temperature  
 574 gradients is associated with a poleward shift of the midlatitude jet (and associated eddy energy)  
 575 as well as a strengthening of the NH HC. In the lower troposphere ( $> 600$  hPa) the NH HC is  
 576 displaced poleward.

577 Over the Northern Hemisphere the response to an increase from  $2xCO_2$  to  $3xCO_2$  is remarkably  
578 similar to the differences between the SSP 2-4.5 R and C simulations, in terms of both the magnitude  
579 and spatial patterns of these changes. Some exceptions, however, include the near surface (850  
580 hPa) wind response over the North Atlantic, which is not statistically significant at  $3xCO_2$ , as  
581 well as in the tropics, where precipitation increases strongly over the Pacific. There is also more  
582 warming in the tropical upper troposphere and SH in the  $3xCO_2$  simulation. Overall, this close  
583 correspondence suggests that the collapse of the AMOC is the dominant driver of the large-scale  
584 circulation changes moving from  $2xCO_2$  to  $3xCO_2$  in our model.

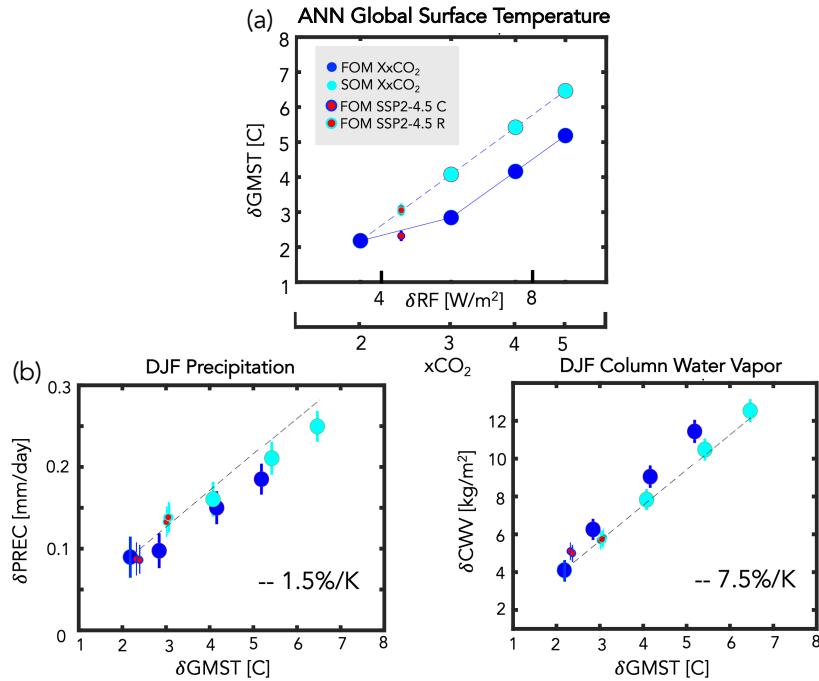
585 *b. Scaling of Equilibrated Thermodynamic and Dynamic Responses with Global Mean Surface*  
586 *Temperature (GMST)*

587 One question (Q3) not addressed in the previous sections relates to how changes in the climate  
588 response to an eventual collapse of the AMOC scale with changes in GMST. To this end, here we  
589 expand our analysis to include the results of additional ( $4xCO_2$  and  $5xCO_2$ ) FOM abrupt  $CO_2$  runs,  
590 as well as the results from the SOM abrupt  $CO_2$  integrations.

591 1) GLOBAL THERMODYNAMIC CHANGES

592 Figure 8a shows the annual global mean surface temperature response among all of the sim-  
593 ulations, plotted as a function of associated instantaneous radiative forcing (RF), where RF is  
594 calculated from the expression  $5.35 \ln(NxCO_2/1xCO_2)$  (Byrne and Goldblatt (2014)) and, for each  
595 run, N is the  $CO_2$  multiple of the PI value (2.4, for the case of all SSP 2-4.5 ensemble members).  
596 The changes in GMST across this broader range of  $CO_2$  forcing show the nonlinear behavior  
597 between the  $2xCO_2$  and  $3xCO_2$  FOM simulations (blue circles) that was first identified in Mitevski  
598 et al. (2021) (their Figure 1). By comparison, the results from the SOM experiments (aqua circles)  
599 show no evidence of a nonlinearity. This result was also documented in Mitevski et al. (2021) and  
600 suggests that the changes in ocean horizontal and vertical heat fluxes not included in the q-flux  
601 experiments are primarily responsible for the nonlinear changes in GMST occurring in the FOM  
602 experiments.

615 Building on Mitevski et al. (2021), here we also include the results from the SSP 2-4.5 R and C  
616 ensemble members (red circles, cyan and blue outlines) which are seen to align respectively with the



603 FIG. 8. Top: Changes in annual mean global mean surface temperature (GMST), plotted as a function of the  
 604 associated radiative forcing (RF), calculated from the expression  $5.35 \ln(Nx\text{CO}_2/1x\text{CO}_2)$  (Byrne and Goldblatt  
 605 (2014)) where, for each run,  $N$  is the  $\text{CO}_2$  multiple of the PI value (2.4, for the case of the SSP 2-4.5 ensemble  
 606 members), consistent with the presentation in Mitevski et al. (2021). Bottom: Changes in DJF global mean  
 607 precipitation (left) and atmospheric column water vapor (right). Changes in precipitation and column water  
 608 vapor are plotted relative to the annual mean GMST changes in (a). Results from the abrupt 2-5 $x\text{CO}_2$  fully  
 609 coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown in the blue and cyan  
 610 filled circles. The FOM SSP 2-4.5 recovered (R) and collapsed (C) results are also shown in the red circles  
 611 (cyan and blue outlines, respectively). Interannual variability for each metric is indicated by the vertical bars.  
 612 Note that in all panels the SOM 2 $x\text{CO}_2$  results have been adjusted to match the FOM 2 $x\text{CO}_2$  results in order to  
 613 facilitate comparison of the FOM and SOM scalings with  $\text{CO}_2$  and GMST, not on the absolute magnitude of the  
 614 responses.

617 SOM (solid cyan) and FOM (solid blue) scalings. This suggests that the GMST differences between  
 618 the collapsed (C) versus recovered (R) SSP 2-4.5 ensemble members are primarily associated with  
 619 the changes in ocean heat convergence occurring in the former. Note that the SSP 2-4.5 results are  
 620 plotted with respect to the peak  $\text{CO}_2$  level achieved (i.e. 643 ppm), which occurs at year 2200 (not

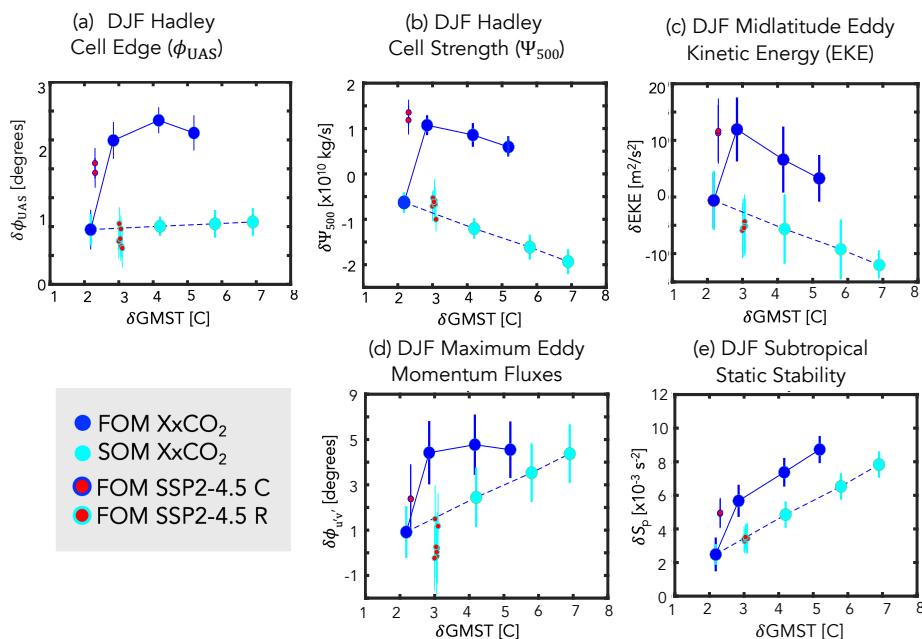
621 at the values occurring during years 2400-2500, which are lower (579-598 ppm)) (Meinshausen  
622 et al. (2020)).

623 Next we examine how changes in first-order thermodynamic variables scale with these (nonlinear)  
624 changes in GMST. As with GMST, the changes in global mean precipitation and integrated column  
625 water vapor (CWV) also vary nonlinearly with respect to radiative forcing in the FOM simulations  
626 moving from  $2xCO_2$  to  $3xCO_2$  (Appendix Figure 2). As expected from the GMST changes, this  
627 behavior is absent in the SOM integrations and the SSP 2-4.5 C and R members again align with the  
628 FOM and SOM scalings, respectively. However, plotting the precipitation and CWV DJF changes  
629 relative to annual mean GMST, reveals that the nonlinear scaling with RF more-or-less disappears  
630 (Fig. 8b). This demonstrates that, while the first order global scale hydrological cycle is sensitive  
631 to the collapse of the AMOC, this sensitivity occurs primarily through changes in GMST.

632 Finally, we note that the scaling of precipitation and CWV with GMST roughly follow the predic-  
633 tions from Held and Soden (2006), who identified a Clausius-Clapeyron (CC) scaling of integrated  
634 column water vapor (dashed black line denoting 7.5%/K, Fig. 8b, right) and a significantly sub-CC  
635 scaling of global mean precipitation (1.5%/K, Fig. 8b, left). While some additional nonlinearity  
636 in precipitation is also evident at higher  $CO_2$  levels, as this is not immediately relevant to the SSP  
637 2-4.5 ensemble, we reserve further discussion for future work.

## 638 2) NORTHERN HEMISPHERE DYNAMICAL CHANGES: A REGIME SHIFT

639 Moving next to the dynamical response, we find that several measures of the NH DJF zonal mean  
640 dynamical circulation behave nonlinearly (and even non-monotonically) with respect to radiative  
641 forcing in the FOM simulations (Appendix Figure 3). Unlike precipitation and CWV, however,  
642 this non-linear behavior in the NH surface wind-based HC edge (Fig. 9a), HC strength (Fig. 9b),  
643 northern midlatitude EKE (Fig. 9c), latitude of maximum eddy momentum fluxes (Fig. 9d) and  
644 northern subtropical static stability (Fig. 9e) also occurs after plotting as a function of GMST.  
645 Overall, these results suggest that there is no clear (certainly not linear) relationship between  
646 the responses in the northern HC (strength and lower tropospheric edge) and midlatitude jet and  
647 changes in GMST in simulations ( $>3xCO_2$  and SSP 2-4.5 C) in which the AMOC eventually  
648 collapses.



649 FIG. 9. Changes in various DJF Northern Hemisphere (NH) dynamical metrics, plotted as a function of GMST.  
650 Specifically, shown are the HC edge ( $\phi_{\text{UAS}}$ ) (a), HC strength ( $\Psi_{500}$ ) (b), NH column eddy kinetic energy (EKE)  
651 (c), latitude of the maximum NH eddy momentum fluxes (d) and NH subtropical dry static stability (e). The  
652 quantities in (a), (b) and (d) are defined in Section 2, while the zonally averaged EKE and static stability changes  
653 have both been averaged over 300-1000 hPa and over 30°N-60°N and 20°N-40°N, respectively. Results from the  
654 abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and slab ocean model (SOM) results are shown  
655 in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered (R) and collapsed (C) ensemble members are  
656 shown in the red circles (cyan and blue outlines, respectively). Interannual variability for each metric is indicated  
657 by the vertical bars. As in Figure 8 the SOM 2xCO<sub>2</sub> results have been adjusted to match the FOM 2xCO<sub>2</sub> results.

658 Rather, the changes in the NH circulation reflect an abrupt poleward shift and increase, respec-  
659 tively, moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> and between the SSP 2-4.5 R and SSP 2-4.5 C ensemble  
660 members. Furthermore, the responses in the NH HC edge, HC strength, midlatitude eddies and  
661 momentum fluxes saturate at 3xCO<sub>2</sub> forcing, which is indicative of a “regime” shift in our model,  
662 consistent with the use of the term in Caballero and Langen (2005), albeit for the low-gradient,  
663 high temperature regime identified in their study using a more idealized model (see discussion in  
664 Section 4). In particular, our results suggest that the AMOC collapse is associated with a regime  
665 shift in our model between a climate state in which the HC is substantially weaker and displaced

666 equatorward (strong AMOC) and a state in which the HC and midlatitude EKE is stronger and  
667 displaced poleward (weak AMOC).

668 While the HC and midlatitude eddy energy share a similar nonlinear behavior with respect to  
669 GMST, there are some important differences worth noting. In particular, whereas the HC edge  
670 (Fig. 9a) and latitude of maximum eddy momentum fluxes (Fig. 9d), saturate at  $3\times\text{CO}_2$ , the  
671 changes in HC strength and midlatitude EKE continue to decrease for higher  $\text{CO}_2$  values, despite  
672 continued increases in GSMT. At the same time, the subtropical static stability changes (Fig. 9e)  
673 are monotonic and more similar in spirit to the HC edge changes, compared to the changes in  
674 midlatitude EKE. The similar behavior shared by the HC edge and momentum fluxes is consistent  
675 with recent studies showing that the HC edge is strongly linked to the latitude of maximum eddy  
676 momentum fluxes (Chemke and Polvani (2019); Waugh et al. (2018); Menzel et al. (2019)).

677 One might expect that an expansion of the HC due to increased subtropical static stability would  
678 also impact the extratropical tropospheric eddy response by shifting the eddy fields poleward  
679 (Chemke and Polvani (2019); Menzel et al. (2019)). While this may partly explain the response  
680 in midlatitude EKE (Fig. 9c), however, the decreases in EKE that occur for  $\text{CO}_2$  values higher  
681 than  $3\times\text{CO}_2$  suggest that other processes are also at play. In particular, further investigation of  
682 the EKE changes reveals that the increased generation of baroclinic eddies occurs in the region of  
683 strongest zonal vertical wind shear (Fig. 4b, Fig. 7b), where, if anything, static stability increases  
684 (not shown). This enhanced baroclinity over northern midlatitudes is therefore also reflective of  
685 the strong mid-tropospheric meridional temperature gradients that form over that region (Fig. 4a,  
686 7a), and not entirely to the poleward shifted HC edge.

687 Another interesting feature highlighted in Figure 9 is that for some variables even the *sign* of the  
688 response is different than would otherwise be predicted from the SOM experiments which ignore  
689 changes in ocean heat convergence. This applies both to the changes in HC strength (Fig. 9b) and  
690 tropospheric column averaged EKE (Fig. 9c) which otherwise decrease in response to increasing  
691  $\text{CO}_2$ . This role of the ocean in the behavior of projected changes in northern EKE is consistent  
692 with Chemke et al. (2022), who showed that changes in ocean heat convergence are essential for  
693 correctly capturing the sign of the projected response in future storm track changes over the North  
694 Atlantic.

695 To further examine the relationship between changes in the HC and changes in the midlatitude  
696 eddies, Figure 10 shows the evolution of the responses in the northern HC edge (a), northern HC  
697 strength (b), midlatitude EKE (c), and midlatitude baroclinic eddy generation (d). While all fields  
698 show a generally similar evolution, the response of the HC edge (Fig. 10a) is more variable and  
699 somewhat different compared to the changes in HC strength, midlatitude eddies and midlatitude  
700 baroclinicity. This is consistent with the differences in GMST scaling between the HC edge and  
701 midlatitude metrics shown in Figure 9.

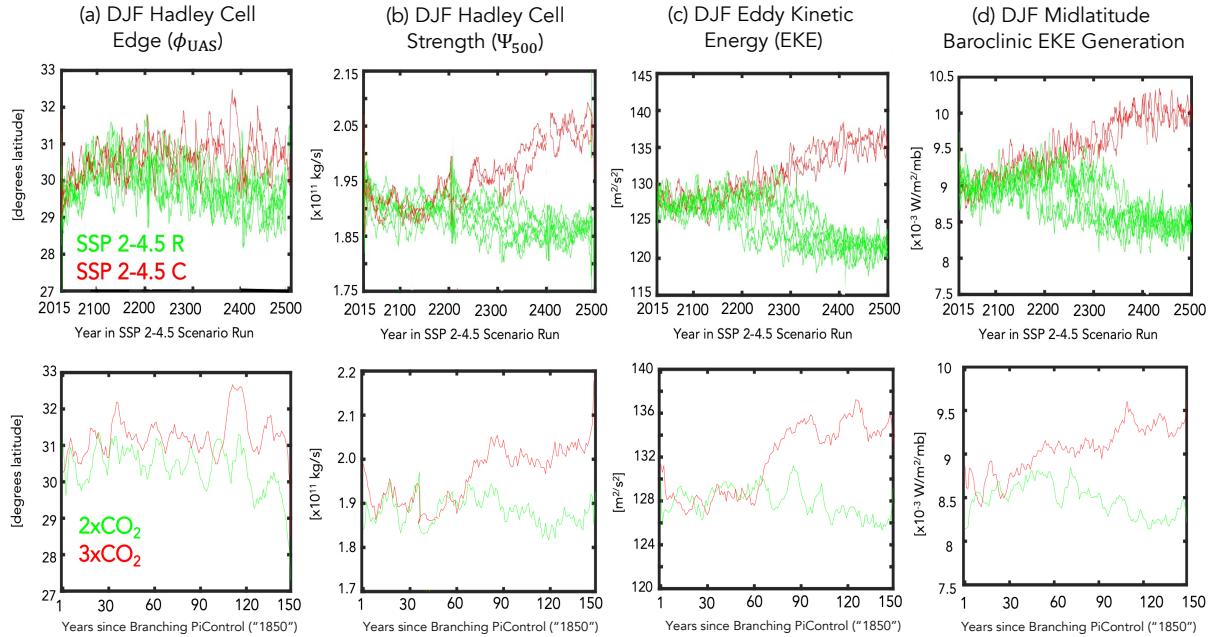
702 Finally, while the HC strengthening may be more directly linked to the southward shift of the  
703 ITCZ as proposed in previous studies (Zhang and Delworth (2005); Zhang et al. (2010); Orihuela-  
704 Pinto et al. (2022)), the similarity of its evolution (Fig. 10b) and scaling with GMST (Fig. 9b)  
705 compared with the behavior of the midlatitude eddies (Fig. 10c, Fig. 9c) is striking and suggests  
706 that the two may be mechanistically linked. Indeed, previous studies have shown that extratropical  
707 wave fluxes impinging on the tropics can strongly influence the HC mass flux (Caballero (2007);  
708 Singh et al. (2017)). Though beyond the scope of the current study, future work will focus on better  
709 understanding this close correspondence between changes in northern HC strength and midlatitude  
710 eddies in the “collapsed” simulations.

### 711 *c. Energetic Analysis: Bjerknes Compensation in Response to an AMOC Shutdown*

712 The previous section showed that, unlike the global mean thermodynamic response, several  
713 measures of NH dynamical sensitivity do not scale linearly with changes in global mean surface  
714 temperature. Rather, a collapsed AMOC in our model is accompanied by an abrupt strengthening  
715 and northward shift of the HC and northern midlatitude jet. To better understand why these  
716 variables exhibit this regime shift we examine the changes in energetics – and their partitioning  
717 between the atmosphere and ocean – that arise moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub> and between the  
718 SSP 2-4.5 R and SSP 2-4.5 C members.

#### 725 1) OCEAN AND ATMOSPHERE COMPENSATION

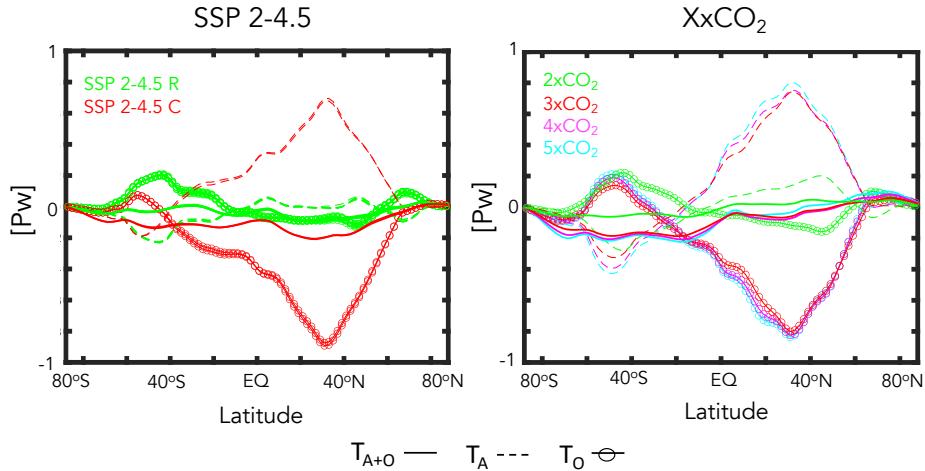
726 Figure 11 shows the response in the annual mean northward total (atmosphere + ocean), oceanic  
727 and atmospheric transports, relative to the preindustrial control simulation. Between 2xCO<sub>2</sub> and  
728 3xCO<sub>2</sub> and between the SSP 2-4.5 R and SSP 2-4.5 C members there is a large decrease/increase



719 FIG. 10. Evolution of DJF Northern Hemisphere HC edge (a), HC strength (b), midlatitude eddy kinetic  
 720 energy (c) and midlatitude baroclinic eddy kinetic energy generation (d). The baroclinic eddy generation has  
 721 been averaged over the same region (300-1000 hPa, 30°N-60°N) as the EKE field, consistent with Figure 9.  
 722 Comparisons among the SSP 2-4.5 recovered (R) and collapsed (C) ensemble members (top panels) and between  
 723 the 2xCO<sub>2</sub> and 3xCO<sub>2</sub> runs (bottom panels) are shown in the green and red lines, respectively. A 5-year moving  
 724 average has been applied to all time series.

729 in  $T_O/T_A$  over northern latitudes with a peak located at  $\sim 30\text{-}40^\circ\text{N}$ . This behavior is reflective of an  
 730 abrupt Bjerknes compensation that emerges in the model, wherein large anomalies in heat trans-  
 731 ported by the atmosphere increase to approximately balance large reductions in northward ocean  
 732 transport (Bjerknes (1964)). More precisely, the reduction in northward ocean heat transport in  
 733 the SSP 2-4.5 C ensemble members and at 3xCO<sub>2</sub> is approximately 1 PW (Fig. 11), representing  
 734 a  $\sim 50\%$  decrease relative to preindustrial values (Fig. 2b). Magnusdottir and Saravanan (1999)  
 735 attributed this compensatory response in the atmosphere to high dynamical efficiency of atmo-  
 736 spheric eddy transport. Note that the annual mean is shown here to facilitate comparison with the  
 737 annual mean results presented in previous studies (e.g., Figure 1 in Zhang and Delworth (2005))

## Annual Mean Response in Poleward Heat Transport



740 FIG. 11. Changes in the annual mean atmospheric ( $T_A$ ), oceanic ( $T_O$ ) and total (atmospheric + oceanic,  $T_{A+O}$ )  
 741 northward energy transport, relative to the preindustrial control simulation. Results from the SSP 2-4.5 ensemble  
 742 members and the 2-5xCO<sub>2</sub> simulations are shown in the left and right panels. The simulations in which the  
 743 AMOC collapses (3xCO<sub>2</sub>, SSP 2-4.5 C) versus recovers (2xCO<sub>2</sub>, SSP 2-4.5 R) are highlighted in the red and  
 744 green lines, respectively.

738 and Figure 5 in Zhang et al. (2010)). We note in passing that the responses in the boreal winter  
 739 transports look very similar (not shown).

745 What Figure 11 makes clear is that the changes in ocean heat transport are dominated by the  
 746 changes in the AMOC, as reflected in the magnitude of the compensation occurring at 3xCO<sub>2</sub>  
 747 (similar to the compensation occurring in the SSP 2-4.5 C ensemble) which saturates, despite  
 748 further increases in CO<sub>2</sub> (and GMST). This helps to explain the behavior of the dynamical indices  
 749 discussed in the previous section (Fig. 9), which also saturate at 3xCO<sub>2</sub> and do not increase  
 750 (rather, decrease) moving to higher CO<sub>2</sub> forcings. A dramatic reduction in poleward ocean heat  
 751 transport at ~30-40°N was also noted in the CMIP5 historical models in association with strong  
 752 air-sea interactions within the midlatitude storm tracks (Outten et al. (2018)) and in several future  
 753 climate integrations performed using the CMIP5 version of the GISS climate model (E2) Rind  
 754 et al. (2018). In the latter case, however, the near cessation of the AMOC severely limited, but  
 755 did not entirely shut off, poleward heat transport, which was partly maintained through the ocean

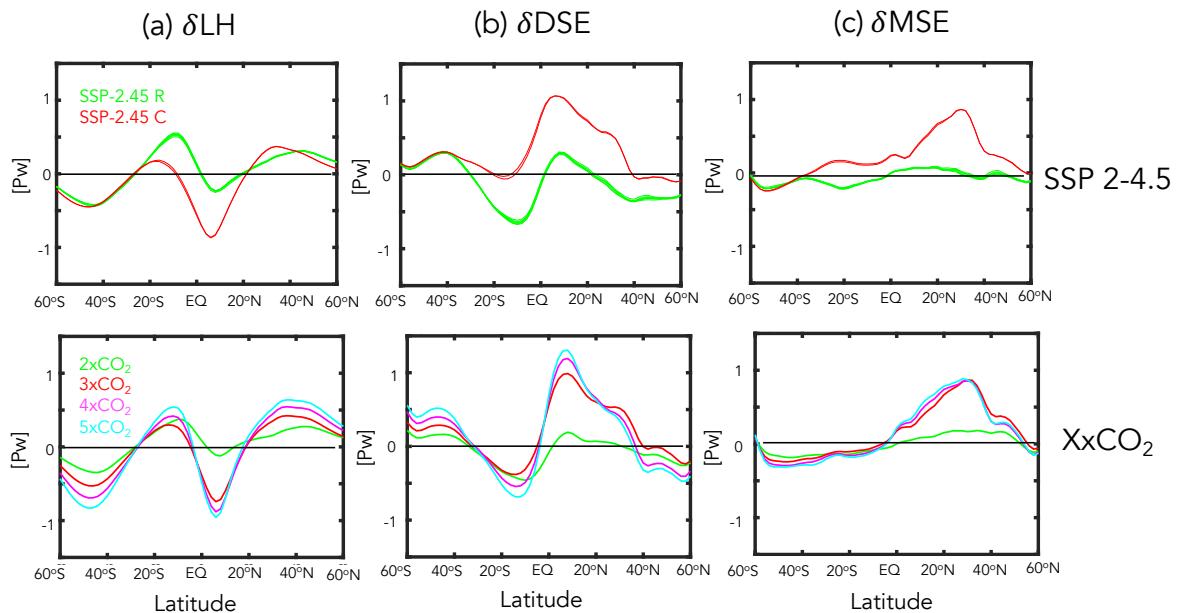
756 subtropical gyre contribution. Our results also show stronger compensation occurring over SH  
757 high latitudes poleward of 40°S.

758 While the changes in  $T_O$  and  $T_A$  reflect near entire compensation, this compensation is nonethe-  
759 less not perfect and slightly negative, resulting in a net reduction in the total northward combined  
760 atmospheric and oceanic energy transport. This reduction in net poleward energy transport was  
761 also found in Liu et al. (2020), who showed that a weakened AMOC caused a larger energy change  
762 at the Earth's surface than at the TOA (their Figure S.5). In particular, over the NAWH region  
763 they found that more energy was taken from the atmosphere through surface turbulent heat fluxes,  
764 resulting in a situation where the NH atmosphere loses more energy at the surface compared to the  
765 energy that is gained at the TOA (through reduced OLR). In the GISS model we also find that there  
766 is more energy loss at the surface compared to changes at the TOA and that these are primarily  
767 associated with reduced latent heat fluxes (Appendix Figure 4). The reductions in surface latent  
768 heat fluxes occur over the North Atlantic and are strongly shaped by changes in evaporation (not  
769 shown). The exact extent and nature of this compensation, however, is likely shaped strongly by  
770 cloud feedbacks (Zhang et al. (2010)) as discussed more in Section 4b.

## 771 2) MOIST VS. DRY ATMOSPHERIC TRANSPORTS

772 To better understand the nature of the compensation occurring in the GISS model, Figure 12  
773 further decomposes the changes in  $T_A$  into changes in the northward transports of latent heat (Fig.  
774 12a) and dry static energy (Fig. 12b). Over the SH the changes in dry and moist static energy  
775 nearly compensate in all simulations, resulting in weakly negative northward atmospheric transports  
776 poleward of ~40°S in both the XxCO<sub>2</sub> and SSP 2-4.5 runs. Equatorward of ~40°S, however, this  
777 behavior transitions in the SSP 2-4.5 C members to net positive northward atmospheric transport  
778 from the SH subtropics towards and across the equator (which compensates the reduction in  
779 oceanic equatorward heat transport in that region evident in Figure 11). This behavior over the SH  
780 subtropics is distinct from what occurs in the XxCO<sub>2</sub> simulations, in which there is overall reduced  
781 northward atmospheric transport (and less compensation by the oceanic transports). The fact that  
782 the oceanic compensation in this region is weaker at 3xCO<sub>2</sub> (relative to the SSP 2-4.5 C members)  
783 may reflect the differences in simulation length between the abrupt CO<sub>2</sub> and SSP 2-4.5 integrations  
784 or the fact that at 3xCO<sub>2</sub> there is increased water vapor in the atmosphere in the warmer climate and

## Annual Mean Response in Latent Heat, Dry and Moist Static Energy Transport



787 FIG. 12. Changes in the annual mean atmospheric latent heat (a), dry static energy (b) and total moist static  
 788 energy (c) northward transports, relative to the preindustrial control simulation. Results from the SSP 2-4.5  
 789 ensemble members and the 2-5xCO<sub>2</sub> simulations are shown in the top and bottom panels. The simulations in  
 790 which the AMOC collapses (3xCO<sub>2</sub>, SSP 2-4.5 C) versus recovers (2xCO<sub>2</sub>, SSP 2-4.5 R) are highlighted in the  
 791 red and green lines, respectively.

785 hence increased poleward latent heat transport. Notably, however, the AMOC response in all runs  
 786 has little effect on extratropical latent heat transport over the Southern Hemisphere extratropics.

792 Aside from the subtle differences between the 3xCO<sub>2</sub> and SSP 2-4.5 C runs that occur over the  
 793 SH subtropics, the fact that the changes in dry static energy (DSE) and latent heat transport nearly  
 794 compensate over southern and tropical latitudes in all runs is consistent with the expectation from  
 795 Held and Soden (2006). Interestingly, however, this compensation does not occur over northern  
 796 latitudes spanning  $\sim 10^\circ\text{N}$  to  $\sim 40^\circ\text{N}$ , resulting in a net increase in poleward moist static energy  
 797 transport (Fig. 12c). Over these latitudes the increased atmospheric energy transport resulting  
 798 from an AMOC collapse is almost entirely due to changes in dry static energy, not latent heat  
 799 transport. In particular, DSE transport exhibits a “jump” between 2xCO<sub>2</sub> and 3xCO<sub>2</sub> (also evident  
 800 in the differences between the SSP 2-4.5 C and SSP 2-4.5 R members) (Fig. 12b); a similar jump is  
 801 only evident in the latent heat transports equatorward of  $20^\circ\text{N}$  (which, if anything, enhances energy

802 transport equatorward, not poleward). The jump in DSE transport over the northern extratropics  
803 saturates for forcings greater than  $3\times\text{CO}_2$ . Further analysis of the evolution of the dry static energy  
804 transports at different latitudes in the northern hemisphere (not shown) reveals that these changes  
805 in DSE transport first emerge around  $20^\circ\text{N}$  and propagate thereafter to  $40^\circ\text{N}$ .

806 The fact that the abrupt increase in atmospheric poleward transport derives primarily from  
807 changes in DSE transport helps in interpreting why a similar shift emerges in the HC and eddy-  
808 driven jet, since the HC fluxes dry static energy poleward (Frierson et al. (2007)). Indeed, previous  
809 energetic definitions of the storm track have appealed directly to DSE (e.g. latitude of maximum  
810 vertically-integrated dry static energy flux (Hoskins and Valdes (1990))). More recently, Lachmy  
811 and Shaw (2018) show that the vertically integrated eddy potential energy flux shifts in same sense  
812 as the vertically integrated eddy DSE flux. They then use the Eliassen-Palm flux relation to connect  
813 these changes in energy fluxes to changes in the eddy momentum fluxes. Therefore, the fact that  
814 these features all shift in concert with each other in our runs should perhaps not be too surprising.

## 815 **4. Discussion**

### 816 *a. Caveats Concerning Model Biases*

817 One important caveat with our results relates to known biases in vertical mixing in the ocean  
818 component of the GISS model, as discussed in Miller et al. (2021). This biased mixing is  
819 likely related to why E2.1 exhibits a more sensitive AMOC response to a quadrupling of  $\text{CO}_2$ ,  
820 compared to some other CMIP6 models (KB2021). In addition, Rind et al. (2020) showed that the  
821 parameterization of rainfall evaporation associated with moist convective precipitation has a strong  
822 influence on the AMOC sensitivity to greenhouse gas forcing in the E2.1 (and higher top E2.2)  
823 models, likely via its effect on moisture loading in the atmosphere. Thus, in addition to oceanic  
824 processes, atmospheric parameterizations could also be influencing this result.

825 Along with biases in vertical mixing, the ocean component of E2.1 is also low resolution (one  
826 degree). This likely has direct implications for the stability of the AMOC, as discussed in AR2023  
827 (see references therein). In particular, the stability of the AMOC will differ between low resolution  
828 climate models, which exhibit a negative salt-advection feedback (leading to salinification of the  
829 subpolar gyre and AMOC recovery), and eddy-permitting models, which tend to exhibit a stable  
830 AMOC-off state. We emphasize here, however, that throughout we have focused on the response of

831 the atmospheric circulation given a collapse in the AMOC. Thus, while the particular mechanisms  
832 by which the AMOC is weakened (and subsequently recovers) in E2.1 may be model-specific,  
833 our focus has been on quantifying the atmospheric changes. We also note that Mitevski et al.  
834 (2021) showed that the behavior of the AMOC in E2.1 was similar to the response in CESM-LE;  
835 furthermore that model also featured a nonlinear response in GMST related to a collapse of the  
836 AMOC, albeit one occurring at the transition between  $3xCO_2$  and  $4xCO_2$ .

837 *b. Bjerknes Compensation: Cloud Feedbacks and Dry Versus Moist Energy Transports*

838 A key result from our study is that a collapse of the AMOC results in a regime shift in various  
839 components of the NH large-scale circulation and this shift is reflective of an abrupt Bjerknes  
840 compensation that emerges at  $3xCO_2$  and in the SSP 2-4.5 C ensemble members. There are several  
841 aspects of this compensation, however, that require closer examination. Among others, these  
842 include:

843 1) INFLUENCE OF CLOUD FEEDBACKS

844 Mitevski et al. (2022) showed that nonlinearity in ECS occurring between  $2xCO_2$  and  $3xCO_2$   
845 in our model was related to nonlinear variations in the atmospheric feedback parameter and not  
846 to changes in radiative forcing. At the same time, the strength of the Bjerknes compensation in  
847 our model will likely depend on cloud feedbacks, as the right-hand-side of Equation (1) makes  
848 clear (via the  $F_T$  and  $F_S$  terms). For example, Zhang et al. (2010) showed a strong sensitivity  
849 of the tropical climates' response to a freshwater hosing forcing to changes in cloud feedbacks,  
850 showing that in a model with no cloud feedbacks the tropical response to the weakening of the  
851 AMOC (including its southward ITCZ shift) was much smaller. Thus, while the overall Bjerknes  
852 compensation occurring in our model is generally consistent (in its meridional distribution and  
853 amplitude) with the results from other similar studies, the exact details of how compensation occurs  
854 is likely to be sensitive to local climate feedbacks which may be model-dependent and/or poorly  
855 constrained by observations. Future work will focus on better understanding how changes in cloud  
856 feedbacks modulate the response of the atmosphere to a weakened AMOC in our model.

## 857 2) ATMOSPHERIC DRY VS. MOIST COMPENSATION

858 One interesting result from this study is that the large compensation in poleward atmospheric  
859 transport that occurs as the AMOC collapses is primarily related to increases in the northward  
860 transport of dry static energy poleward of 20°N (coincident with the edge of the non-monotonically  
861 shifting HC edge) (Fig. 12). This result is initially surprising as it downplays the compensation  
862 that occurs through changes in latent heat transport over northern midlatitudes. Thus, while our  
863 results do show a compensatory latent heat transport occurring in the tropics, this does not occur  
864 over the NH extratropics and is therefore not fundamentally associated with the non-monotonic  
865 behavior in the NH HC edge and midlatitude eddy-driven jet.

866 The diminished importance of the latent heat transports over northern midlatitudes is initially  
867 surprising, given that warming in response to increased CO<sub>2</sub> results in an overall increase in  
868 atmospheric water vapor. Upon further reflection, however, this effect of enhanced global warming  
869 needs to be considered in the context of both the reduced Arctic warming and poleward shifted  
870 EKE evident in Figure 4. The former can, via cooling, reduce the total moisture available for  
871 northward transport, while the latter would impact the efficiency with which subtropical moisture  
872 is transported poleward to higher latitudes. In our results it appears that these changes compensate,  
873 resulting in no net AMOC imprint on the latent heat transports over northern extratropical latitudes  
874 (Fig. 12a, top). While disentangling these contributions is beyond the scope of this study, we do  
875 comment on the consistent results shown in Figure S5 of Mitevski et al. (2021), who identified  
876 a much stronger non-monotonicity present in the edge of the dry zone (P-E) compared to NH  
877 specific humidity. While this suggests that the circulation changes are themselves responsible for  
878 the behavior of the latent heat transports (and not vice versa), more work is needed to understand  
879 the underlying mechanism present in our model and whether this behavior is also exhibited in other  
880 models (or the real atmosphere).

## 881 5. Conclusions

882 Here we have documented the atmospheric response to a CO<sub>2</sub>-induced AMOC collapse using  
883 the CMIP6 version of the NASA GISS climate model (E2.1). Using simulations from an  
884 identically forced (SSP 2-4.5) ensemble in which the AMOC collapses and recovers in two and  
885 eight members, respectively, we have isolated the atmospheric response to a spontaneous collapse

886 of the AMOC in the context of a warming climate, absent any external perturbations that may  
887 interfere with the model’s internal dynamics. By comparison, previous studies have all needed  
888 to employ (negative) freshwater flux perturbations or similar AMOC “locking” methods (Liu  
889 et al. (2020), Orihuela-Pinto et al. (2022)). We then placed the atmospheric response in the  
890 SSP 2-4.5 simulations in the broader context of a set of integrations in which CO<sub>2</sub> is abruptly  
891 increased, run both using fully coupled atmosphere-ocean (FOM) and slab-ocean (SOM) config-  
892 urations, in which changes in ocean heat flux convergences are respectively included and neglected.

893

894 Our main results are as follows:

- 895 • In our model a sustained decline and eventual collapse of the AMOC results in a strengthening  
896 of the NH HC and the northern midlatitude jet, as well as an abrupt northward shift of the  
897 HC edge in the lower troposphere. Quite remarkably, these features dominate the large-scale  
898 atmospheric circulation response that occurs in the NH moving from 2xCO<sub>2</sub> to 3xCO<sub>2</sub>.
- 899 • For certain variables (i.e., HC strength, EKE) an ultimate collapse of the AMOC produces  
900 changes that are *opposite* in sign to the response to increased CO<sub>2</sub> forcing occurring in the  
901 absence of ocean circulation changes.
- 902 • The regime shift in the NH large-scale circulation reflects an abrupt Bjerknes compensation  
903 that emerges in the 3xCO<sub>2</sub> and collapsed SSP 2-4.5 C simulations. This compensation is  
904 located further south (~40°N) of what is often considered to be the main region of maximum  
905 ocean-atmosphere compensation (70°N) (Shaffrey and Sutton (2006)) and reflects a key role  
906 for the midlatitude storm tracks in the coupled system’s response to a warmer climate.
- 907 • The impact of the AMOC on the large-scale NH circulation occurs mainly through its influence  
908 on mean free-tropospheric temperature gradients, not GMST. This finding reinforces growing  
909 evidence that the climate’s “dynamical sensitivity” does not scale with equilibrium climate  
910 sensitivity (Grise and Polvani (2016), Ceppi et al. (2018)), particularly in the presence of a  
911 collapsed AMOC .

912 The regime shift in NH dynamics resulting from an AMOC collapse in our model is, to the  
913 best of our knowledge, the first time that such behavior has been documented for a CMIP class  
914 model. While previous studies have also reported nonlinear behaviors in HC strength (Levine and

915 Schneider (2011), O'Reilly et al. (2016)) these studies have employed mainly idealized models. In  
916 addition to the changes in the HC we also identify a regime shift in the behavior of the northern storm  
917 tracks. This result brings to mind the findings from Caballero and Langen (2005), who showed that  
918 poleward energy transport increases over a range of increasing surface temperature but saturates  
919 in the low-gradient, high temperature regime. As in our study, they attribute this “low-gradient  
920 paradox” to increasing tropospheric static stability and the poleward migration of the storm tracks.  
921 However, they too employed a highly idealized (aquaplanet) model and find that this saturation in  
922 storm track behavior is related to a saturation of latent heat transport. Our results, by comparison,  
923 highlight the role of compensatory dry static energy transports and suggests that studies accounting  
924 for dynamic ocean-atmospheric coupling (i.e., changes in vertical and horizontal ocean heat fluxes)  
925 may come to different conclusions about the nature of compensation in the atmosphere.

926 In addition to contributing to improved understanding of the coupled atmosphere-ocean response  
927 to a weakening of the AMOC, our results also have a practical implication for the purpose of  
928 developing storylines of atmospheric circulation changes (Zappa and Shepherd (2017)) and for  
929 interpreting model differences in projected storm tracks. In particular, while the use of “global  
930 warming levels” applied throughout the IPCC AR6 report may suffice for understanding the global  
931 hydrological cycle (Hausfather et al. (2022)) here we have shown that this does not hold true for  
932 projections of the NH jet stream and HC edge. This underscores the need to understand the direct  
933 impact of the AMOC on meridional temperature gradients and not only on surface temperature.

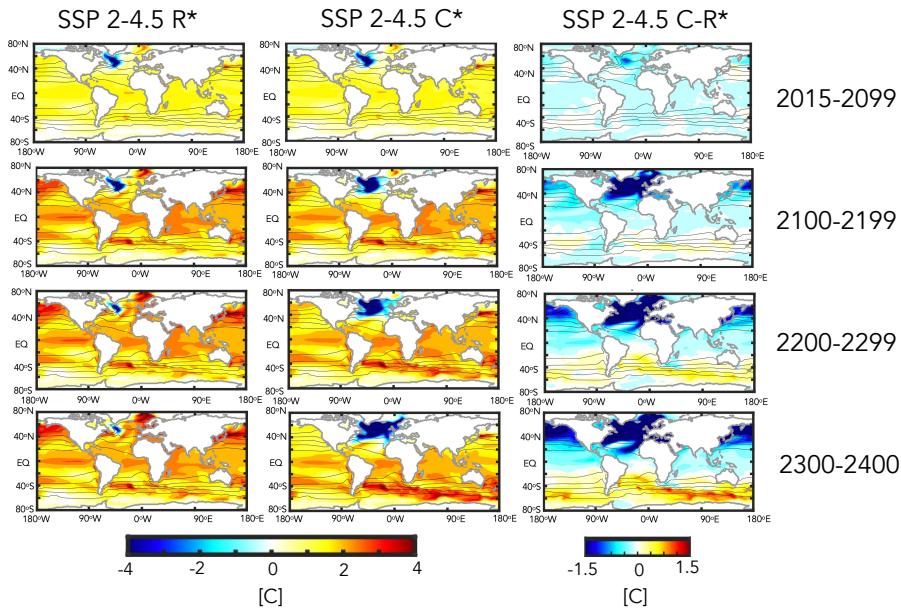
934 Finally, preliminary analysis of the high-top GISS climate model (E2.2 (Rind et al. (2020), Orbe  
935 et al. (2020)) suggests a different sensitivity of the AMOC compared to E2.1 (occurring between  
936  $3xCO_2$  and  $4xCO_2$ ). Understanding these differences and how they are reflected in different  
937 Bjerknes compensations will be described in a follow-up paper.

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940 by the NASA Modeling, Analysis and Prediction program, and resources supporting this work  
941 were provided by the NASA High-End Computing (HEC) Program through the NASA Center for  
942 Climate Simulation (NCCS) at Goddard Space Flight Center.

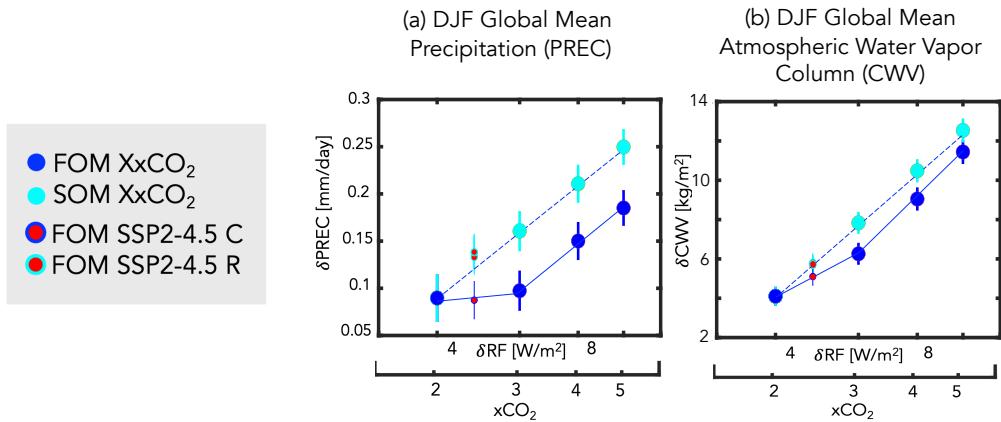
943 *Data availability statement.* The CMIP6 SSP 2-4.5 data used in this study is available from  
944 the Earth System Grid Federation (ESGF) (<https://esgf-node.llnl.gov/search/cmip6/>)  
945 or from the NASA Center for Climate Simulations (NCCS) (<https://portal.nccs.nasa.gov/datashare/giss/cmip6/>). The specific simulations used here are a subset of the historical  
946 r[1-10]i1p1f2 (doi:87010.22033/ESGF/CMIP6.7127) and SSP 2-4.5 r[1-10]i1p1f2 (doi:10.  
947 22033/ESGF/CMIP6.7415) runs. The XxCO<sub>2</sub> data used to produce the figures in the study is  
948 publicly available in a Zenodo repository at <https://doi.org/10.5281/zenodo.3901624>.  
949 The authors acknowledge the World Climate Research Programme's Working Group on Coupled  
950 Modeling and we thank all climate modeling groups for making available their model output.  
951 All GISS ModelE components are open source and available at [https://www.giss.nasa.gov/  
952 tools/modelE/](https://www.giss.nasa.gov/tools/modelE/).  
953

**Appendix Figures**

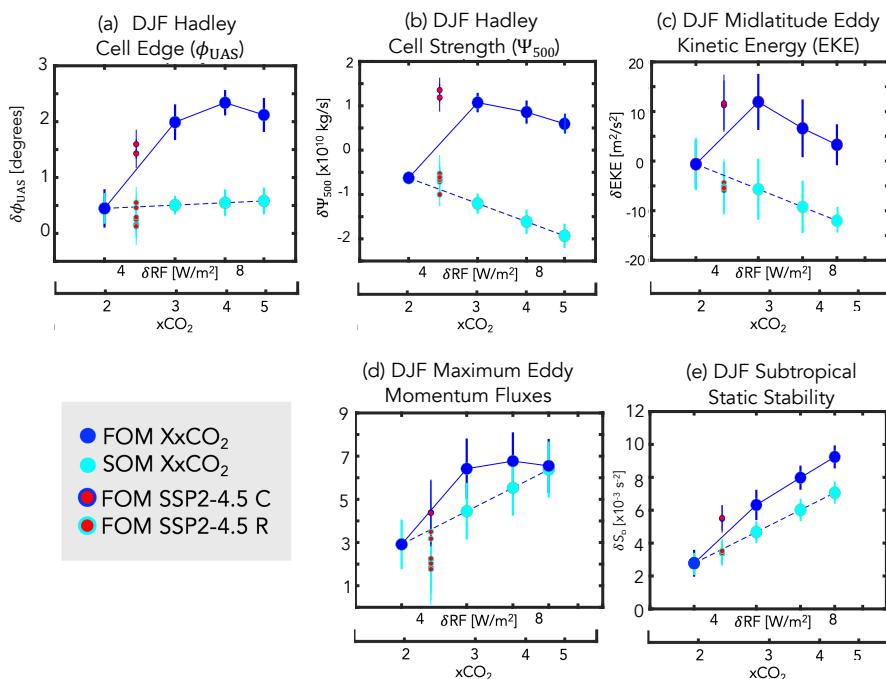
Evolution of DJF Response in Sea Surface Temperature ( $\delta$ SST)



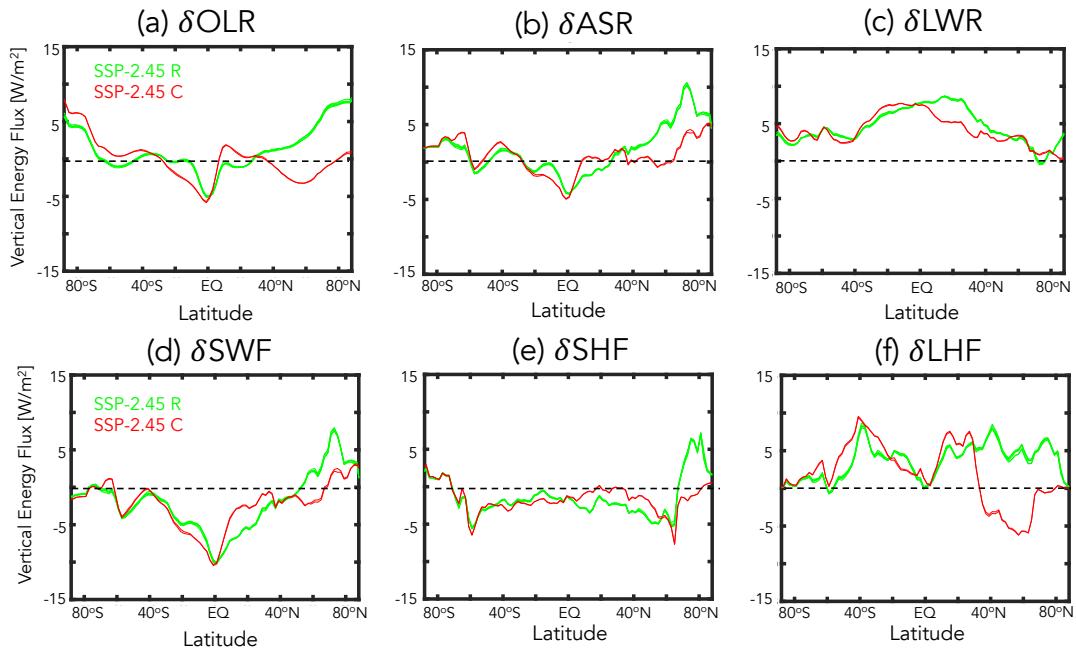
956 FIG. A1. The evolution of the DJF sea surface temperature difference, relative to the preindustrial control  
 957 simulation, in one of the SSP 2-4.5 recovered (R) (left) and collapsed (C) ensemble members (middle). The  
 958 difference between the SSP 2-4.5 recovered and collapsed ensemble members is also shown (right). Note that  
 959 only one ensemble member is used due to the different recovery times of the AMOC among the “recovered”  
 960 ensemble members prior to year 2400. Climatological mean values from the preindustrial control simulation are  
 961 denoted in the black contours.



962 FIG. A2. Changes in DJF global mean precipitation (a) and atmospheric column water vapor (b), plotted as a  
 963 function of the associated radiative forcing (RF), calculated from the expression  $5.35 \ln(NxCO_2/1xCO_2)$  (Byrne  
 964 and Goldblatt (2014)) where, for each run, N is the CO<sub>2</sub> multiple of the PI value (2.4, for the case of the SSP  
 965 2-4.5 ensemble members). Results from the abrupt 2-5xCO<sub>2</sub> fully coupled atmosphere-ocean model (FOM) and  
 966 slab ocean model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered and  
 967 collapsed ensemble members are also shown in the red circles (cyan and blue outlines, respectively). Interannual  
 968 variability for each metric is indicated by the vertical bars.



969 FIG. A3. Changes in various DJF Northern Hemisphere (NH) dynamical metrics, plotted as a function of  
 970 associated radiative forcing. Specifically, shown are the HC edge ( $\phi_{UAS}$ ) (a), HC strength ( $\Psi_{500}$ ) (b), NH column  
 971 eddy kinetic energy (EKE) (c), latitude of the maximum NH eddy momentum fluxes (d) and NH subtropical dry  
 972 static stability (e). The quantities in (a), (b) and (d) are defined in Section 2, while the zonally averaged EKE  
 973 and static stability changes have both been averaged over 300-1000 hPa and over  $30^\circ N$ - $60^\circ N$  and  $20^\circ N$ - $40^\circ N$ ,  
 974 respectively. Results from the abrupt 2-5 $xCO_2$  fully coupled atmosphere-ocean model (FOM) and slab ocean  
 975 model (SOM) results are shown in the blue and cyan filled circles. The FOM SSP 2-4.5 recovered and collapsed  
 976 ensemble members are shown in the red circles (cyan and blue outlines, respectively). Interannual variability for  
 977 each metric is indicated by the vertical bars.



978 FIG. A4. Changes in the annual mean top of the atmosphere outgoing longwave radiation (OLR) (a) and  
 979 absorbed shortwave radiation (ASR) (b) and the downward fluxes of radiation at the surface, decomposed into  
 980 longwave (LWF) (c) and shortwave (SWF) (d) components. The fluxes of latent and sensible heat at the surface  
 981 (LHF and SHF) are shown in (e) and (f), respectively. All changes are shown for the SSP 2-4.5 collapsed (C)  
 982 (red) and SSP 2-4.5 recovered (R) (green) ensemble members and are defined relative to the preindustrial control  
 983 simulation.

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