

1 **Can oxygen utilization rate be used to track the**
2 **long-term changes of aerobic respiration in the**
3 **mesopelagic ocean?**

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6 **Key Points:**

- 7 • In the mesopelagic North Atlantic Subtropical Gyre, modelled oxygen utilization
8 rate reproduces changes of the modelled true respiration
9 • In the mesopelagic Tropical South Atlantic, modelled oxygen utilization rate in-
10 creases while modelled true respiration decreases
11 • Changes in the mixing process under global warming may be the main driver of
12 the changing oxygen utilization rate in some parts of the ocean

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13 Abstract

14 Quantifying possible changes in oceanic aerobic respiration will contribute to the
 15 understanding of marine deoxygenation. Here we use a high-resolution Earth system model
 16 to investigate the ability of oxygen utilization rate (OUR) to track the temporal change
 17 of marine respiration. Results show that in intermediate waters of the North Atlantic
 18 Subtropical Gyre (200m-1000m), vertically integrated OUR shows the same trend as the
 19 model's vertically integrated true respiration (decrease by around $0.2 \text{ molO}_2/\text{m}^2/\text{y}$) for
 20 the time period 1850 to 2100. However, in the mesopelagic Tropical South Atlantic, in-
 21 tegrated OUR increases by $0.2 \text{ molO}_2/\text{m}^2/\text{y}$, while the local true respiration decreases
 22 by $0.3 \text{ molO}_2/\text{m}^2/\text{y}$. We identify changes in water mass mixing over time, affecting ap-
 23 parent oxygen utilization (AOU) and age in different ways, as one explanation for this
 24 divergence. Quantitatively assessing changes in aerobic respiration from OUR in a chang-
 25 ing ocean will require accurate knowledge of changes in mixing processes.

26 Plain Language Summary

27 The ocean is losing oxygen due to an imbalance in oxygen supply and aerobic res-
 28 piration. Therefore, monitoring the temporal changes in the aerobic respiration rate is
 29 essential to quantitatively understand and project marine oxygen changes. Based on the
 30 simulations of an Earth system model, we investigate a diagnostic measure of the res-
 31 piration rate (oxygen utilization rate, OUR), calculated as the ratio of the gradient of
 32 the apparent oxygen utilisation (saturated oxygen concentration minus local oxygen con-
 33 centration) and seawater age that can be computed from transient abiotic tracers. Re-
 34 sults show that in the North Atlantic Subtropical Gyre intermediate water (200m-1000m),
 35 vertically integrated OUR shows the same trend (decrease by around $0.2 \text{ molO}_2/\text{m}^2/\text{y}$)
 36 with the simulated respiration integral for the time period 1850 to 2100 at the 95% con-
 37 fidence level. However, this finding is not confirmed in the Tropical South Atlantic in-
 38 termediate water, where the OUR integral increases and the simulated local true respi-
 39 ration integral decreases over time. We propose that the changes in isopycnal mixing,
 40 affecting AOU and age in different ways, cause the mismatch between OUR-inferred and
 41 true respiration in the latter region in the transient ocean.

42 1 Introduction

43 Various Earth system models project rapid and perhaps also accelerating ocean de-
 44 oxygenation (Bopp et al., 2013; Kwiatkowski et al., 2020). However, the simulated de-
 45 oxygenation differs considerably from available observations covering the recent 50yrs
 46 (Schmidtke et al., 2017; Ito et al., 2017) both in magnitude, and the spatial pattern (Stramma
 47 et al., 2012; Oschlies et al., 2018). The explanation for the above differences requires knowl-
 48 edge of respective contributions from changing respiration versus changing ventilation
 49 to marine deoxygenation over decades (Oschlies et al., 2018; Robinson, 2019). However,
 50 the direct measurements of respiration are still sparse because of methodological limi-
 51 tations (Del Giorgio & Williams, 2005; Robinson, 2019).

52 Here, we focus on the classic Oxygen Utilization Rate (OUR) method as an indi-
 53 rect measure of marine respiration. OUR is defined as the ratio of the gradient of ap-
 54 parent oxygen utilization (AOU, the difference between saturated oxygen concentration,
 55 $[O_2^{\text{sat}}]$, and actual oxygen concentration, $[O_2^{\text{obs}}]$) and the gradient of seawater age on po-
 56 tential density surfaces (equation 1; Jenkins, 1987). Seawater age is defined as the time
 57 elapsed since the water had been last in contact with the atmosphere. In the real ocean,
 58 AOU is a readily available property of seawater, while the age of a water mass is typi-
 59 cally derived from transient abiotic tracers like sulphur hexafluoride (SF6), chloroflu-
 60 orocarbons (CFCs, e.g. CFC-11, CFC-12), or radioactive elements (e.g. ^3H , ^{39}Ar , ^{14}C)
 61 (Fine, 2011; Fine et al., 2017; Stöven et al., 2015). These age tracers have been inten-

62 sively measured in the last three decades globally (Fine et al., 2017), which allow, the-
 63oretically, the widespread use of OUR.

$$64 \quad \text{OUR} = d\text{AOU}/dt = d([O_2^{\text{sat}}] - [O_2^{\text{obs}}])/dt \quad (1)$$

65 Despite several field studies (e.g., Jenkins, 1987; Brea et al., 2004; Sonnerup et al.,
 66 2013, 2015, 2019; Álvarez-Salgado et al., 2014) and model studies (e.g., Koeve & Kähler,
 67 2016) on OUR, to our knowledge, no study has attempted to evaluate the potential abil-
 68 ity of OUR on tracking the trend of aerobic respiration under the changing climate. In
 69 order to address this issue, in the following we employ a high-resolution Earth system
 70 model to examine the relationship between OUR and true respiration from 1850 to 2100
 71 under a climate change forcing in two selected study areas in the Atlantic Ocean, where
 72 the physical-biogeochemical features differ.

73 2 Method

74 2.1 Model description

75 The model used in this study is the Flexible Ocean and Climate Infrastructure (FOCI)
 76 Earth system model (Matthes et al., 2020) coupled to ocean biogeochemistry as detailed
 77 by Chien et al. (2022). It includes an atmosphere, a land biosphere, an ocean circula-
 78 tion, a sea-ice, and an ocean biogeochemistry component. The oceanic components ap-
 79 ply the ORCA05 grid, corresponding to a tripolar grid with $0.5^\circ \times 0.5^\circ$ nominal hor-
 80 izontal resolution and 46 vertical levels with thicknesses varying from 6 m at the surface
 81 to 250 m in the deep ocean. Tracer diffusion is aligned along isopycnals, with a diffu-
 82 sion coefficient of $600 \text{ m}^2\text{s}^{-1}$. The biogeochemical component of FOCI, MOPS (Model
 83 of Oceanic Pelagic Stoichiometry) includes nine compartments, namely phytoplankton,
 84 zooplankton, particulate detritus (DET), dissolved organic matter (DOM), and phos-
 85 phate, oxygen, nitrate, dissolved inorganic carbon, and alkalinity (Kriest & Oschlies, 2015;
 86 Chien et al., 2022). The effects of iron limitation on marine primary productivity are not
 87 included in MOPS. The flux profile of particulate organic matter in MOPS follows a "Mar-
 88 tin Curve" (Martin et al., 1987), where the exponent is derived from a constant decay
 89 rate (0.05 d^{-1}) and linearly increasing sinking speed ($0.0354 \times \text{zm}/\text{d}$).

90 We here describe details on how FOCI simulates ideal age and true respiration rate.
 91 The ideal age tracer works like a "clock," which increases one day per day since the wa-
 92 ter parcel has left the surface. The "clock" is restored to zero when the water reaches
 93 the surface of the ocean (Thiele & Sarmiento, 1990). In FOCI, the ideal age is set to zero
 94 in the upper 10 m. The remineralization rate in MOPS is temperature-independent and
 95 depends only on substrate availability and oxygen concentration. Oxygen concentration
 96 constrains remineralization rate only when it is lower than oxygen demand from organic
 97 matter degradation, which is not the case for our research sections. Hence, we do not
 98 need to account for the oxygen dependence of remineralization in this paper, but refer
 99 readers to Chien et al. (2022, Appendix A1) for details. The true respiration rate is the
 100 total oxygen consumption for aerobic remineralization of detritus (DET) and dissolved
 101 organic matter (DOM, in the model expressed in phosphorus unit) per time unit, as de-
 102 scribed in Equation 2:

$$103 \quad R_{\text{true}} = [\lambda'_{\text{DET}} \cdot \max(0, \text{DET} - P') + \lambda'_{\text{DOP}} \cdot \max(0, \text{DOP} - P')] \cdot R_{\text{O}_2:\text{P}} \Delta t \quad (2)$$

104 where λ'_{DET} (0.05d^{-1}) and λ'_{DOP} (0.17y^{-1}) are the temperature-independent de-
 105 cay rates of DET and DOP, respectively. P' is the concentration threshold, set to $10^{-6} \text{ mmol} \cdot$
 106 $\text{P} \cdot \text{m}^{-3}$. P' makes sure that when the concentration of organic matter is lower than $10^{-6} \text{ mmol} \cdot$

107 $P \cdot m^{-3}$, the remineralization stops. $R_{O_2:P} = 165.08044$ denotes the stoichiometric oxy-
 108 gen demand of aerobic remineralization.

109 The experimental set-up is detailed by Chien et al. (2022). In brief, the coupled
 110 ocean-biogeochemical model was simulated for 500 years under prescribed pre-industrial
 111 atmospheric pCO_2 (and other greenhouse gases) and hence climate (piControl-spinup),
 112 followed by a 250 years (drift) period with zero carbon dioxide emissions in which at-
 113 mospheric carbon dioxide concentrations are computed prognostically (esm-piControl-
 114 spinup). Afterwards, three transient and pre-industrial control (esm-piControl) simula-
 115 tions were carried out, starting from 230th, 240th, and 250th year of esm-piControl-spinup,
 116 respectively (for brevity's sake simply referred to as ensemble members 1, 2, 3, respec-
 117 tively). The transient simulations include 165 years (1850 to 2014) historical (esm-Hist)
 118 simulation and 85 years (2015 to 2099) projection under the Shared Socioeconomic Path-
 119 ways 585 (SSP-585) scenario (esm-ssp-585 Eyring et al., 2016). Here, we only present
 120 details of simulation ensemble member 1, but provide the statistical analysis of simulated
 121 OUR and true respiration for all ensemble members in the Table 1.

122 2.2 Model analysis

123 We confine our analysis to the mesopelagic zone, i.e. depth ranges 200m to 1000m.
 124 We consider OUR estimates unreliable in the upper 200m in FOCI. In the upper ocean,
 125 besides the zero-age as an upper boundary condition at the surface, also AOU might be
 126 substantially modified by other biological activities or physical processes besides respi-
 127 ration (in particular photosynthesis and air-sea gas exchange). In the deep ocean below
 128 1000m, respiration proceeds at very low rate (below $0.4 \text{ mmol}/\text{m}^3/\text{y}$), and only contributes
 129 3% of globally integrated oceanic respiration in the FOCI model.

130 We select two main research sections, referred to as the North Atlantic Subtrop-
 131 ical Gyre (NASG section, 60°W - 30°W , 20°N - 25°N) and the Tropical South Atlantic (TSA
 132 section, 35°W - 5°W , 15°S - 20°S), respectively (Figure 1). Both sections approximately
 133 fit the criteria for selecting sections used by Jenkins (1987): sections follow the flow (Fig-
 134 ure S1) and are approximately perpendicular to isolines of ideal age and AOU. In ad-
 135 dition, the NASG region is one of the regions projected to suffer the most significant re-
 136 duction of net primary production in the climate projections, though with the large un-
 137 certainties (Kwiatkowski et al., 2020; Tagliabue et al., 2021). This might impact local
 138 respiration rates and oxygen concentrations.

139 Along the chosen sections, we compare the diagnosed OUR integral and the true
 140 respiration rate integral in the mesopelagic zone rather than on certain density surfaces.
 141 We notice the sharp change of density surface depth in the transient simulations (e.g.,
 142 in the NASG section, the depth of potential density surface $26.5 \text{ kg}/\text{m}^3$ increases from
 143 257.1 ± 51.4 to 420.7 ± 4.3 m from 1850 to 2099), which might induce significant changes
 144 in the true respiration rate on the respective density surfaces (Figure S2). These changes
 145 on moving isopycnals may mislead the analysis of how the biology-induced respiration
 146 evolves under changing climate. Hence we explore trends of vertically integrated changes
 147 for the depth range 200 to 1000m

148 We derive the integrals of true respiration rate and OUR from 200m to 1000m as
 149 follows. Firstly, we calculate the potential density from potential temperature, salinity,
 150 and reference pressure (set to 0 decibar) using the 1980 UNESCO International Equa-
 151 tion of State (Millero & Poisson, 1981), and remap data from z-coordinates to sigma0-
 152 coordinates. The OUR is calculated for every $0.1 \text{ kg}/\text{m}^3$ density surface interval from
 153 24.1 to $28.0 \text{ kg}/\text{m}^3$ by using the linear least square regression of AOU versus ideal age.
 154 We also calculate the area-weighted mean true respiration rate and mean depth for each
 155 density surface. Afterwards, we remap OUR and mean true respiration rate onto z-coordinates
 156 using the area-weighted z-depth of the corresponding density surfaces. Finally, the ver-
 157 tical integral is obtained as the sum of the grid-box thickness times the variable (OUR

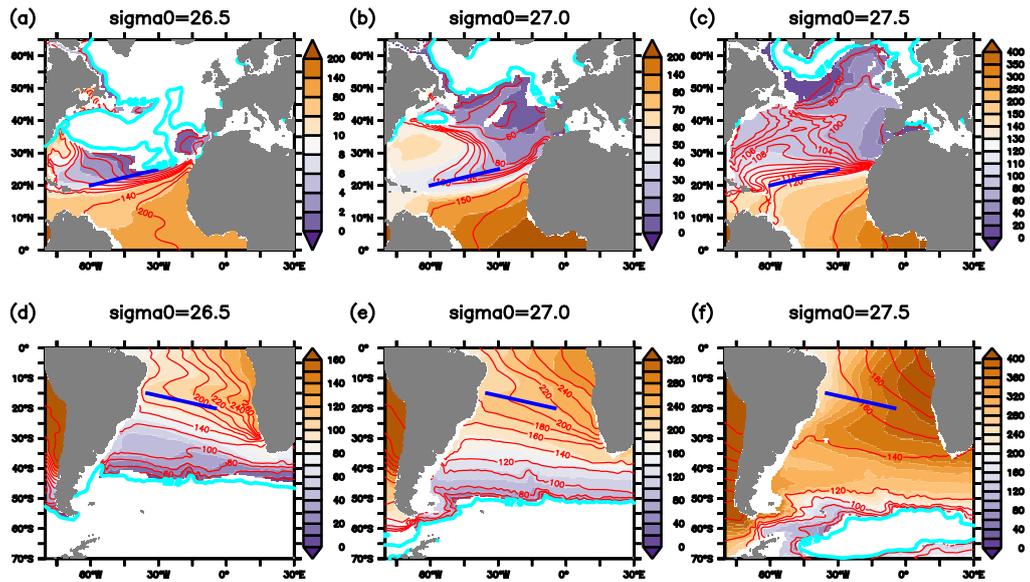


Figure 1. Distributions of ideal age (shading), AOU (red lines), and outcrop locations (light-blue lines) in the North and the South Atlantic Ocean on three isopycnal surfaces. We use the last decade’s mean in the esm-piControl simulation and exclude the waters above hemispheric winter mixing depth. Winter surface density outcrops are calculated from March (northern hemisphere) and September (southern hemisphere) mean temperature and salinity. Blue lines represent chosen research sections.

158 or mean true respiration rate) at each grid point, which is detailed in the supplement-
 159 tary material Text S1. The transformation of coordinates forth and back, fortunately,
 160 does not induce biases on either the vertical distribution of true respiration rate or the
 161 trend of integrated true respiration rate (Figure S3). For convenience, in the following
 162 part, we refer to the integral of OUR and true respiration rate from 200m to 1000m as
 163 "OUR" and "true respiration", respectively.

164 3 Results and discussion

165 OUR underestimates true respiration in the NASG section by around 1.9 fold (Fig-
 166 ure 2a), and most of the underestimation occurs in the upper ocean (Figure 2b). This
 167 underestimate might be caused by the spatial heterogeneity of the respiration rate on
 168 isopycnals, as found for idealized isopycnals with prescribed patterns of respiration (Koeve
 169 & Kähler, 2016). High respiration rates occur near the outcrops (shallower ocean) due
 170 to the high availability of substrates and low sinking speed of detritus. However, mix-
 171 ing with nearby surface waters allows the imprint of respiration, AOU, to quickly escape
 172 to the atmosphere. On the other hand, respiration far from outcrops (deeper ocean) can
 173 be well-preserved as AOU because of smaller mixing losses to surface waters. However,
 174 the respiration rate in deeper waters is often much lower because much organic matter
 175 has already been consumed in the water column above, and because the sinking speed
 176 of particulate organic matter increases with depth (Berelson, 2001). In contrast to res-
 177 piration, the water aging rate is the same everywhere. Therefore, more idealized age tracer
 178 is preserved at isopycnals compared to AOU, and consequently the OUR diagnosed from
 179 the gradient ratio is smaller than true respiration rate. The underestimate of OUR com-
 180 pared to the true respiration, and the vertical distribution of their difference has also been
 181 found in the other two ensemble members with similar magnitude (Table 1, Figure S4ab,
 182 S5ab).

183 At the 95% confidence level, the esm-piControl results show that OUR slightly de-
 184 creases by $0.241 \pm 0.239 \text{ mmol}/\text{m}^2/\text{y}^2$, while the true respiration increases by 0.282 ± 0.191
 185 $\text{mmol}/\text{m}^2/\text{y}^2$ along the NASG section. However, this diverging trend of OUR and true
 186 respiration in the esm-piControl is not repeated in the other ensemble members (Table
 187 1, Figure S4, S5), which indicates that the divergence in the esm-piControl simulation
 188 from ensemble member 1 may not be induced by the drift but perhaps by the multi-decadal
 189 or even longer variability (with small magnitude) in the FOCI model (Matthes et al.,
 190 2020). The Pearson correlation coefficient between the diagnosed OUR and the true res-
 191 piration in esm-piControl is 0.48.

192 The true respiration in the NASG section shows a long term decreasing trend along
 193 with global warming in the transient simulations (Figure 2d). Here we use the transient
 194 simulation minus esm-piControl to remove the trend in the latter and isolate the climate
 195 change signal. The true respiration decreases by $0.872 \pm 0.268 \text{ mmol}/\text{m}^2/\text{y}^2$ from 1850
 196 to 2100, indicating a decline of up to 10.6 % of local mesopelagic respiration. The trend
 197 is the same in the other two ensemble members at the 95% confidence level.

198 For the strong climate change scenario simulated here, OUR is suitable to track
 199 the long-term trend of local true respiration along the NASG section (Figure 2). OUR
 200 decreases by $0.802 \pm 0.333 \text{ mmol}/\text{m}^2/\text{y}^2$, which is overlapped with true respiration changes.
 201 Besides, the Pearson correlation coefficient between the diagnosed OUR and the true res-
 202 piration is 0.54 for the transient scenario. In the other two ensemble members, the trends
 203 of true respiration and OUR versus time also overlap at the 95% confidence level (Ta-
 204 ble 1).

205 Now we describe the OUR performance in our second study region, the TSA sec-
 206 tion (Figure 3). The vertically integrated OUR here amounts to 83.3% of the true res-
 207 piration in the esm-piControl simulation (Figure 3a), and the underestimation occurs

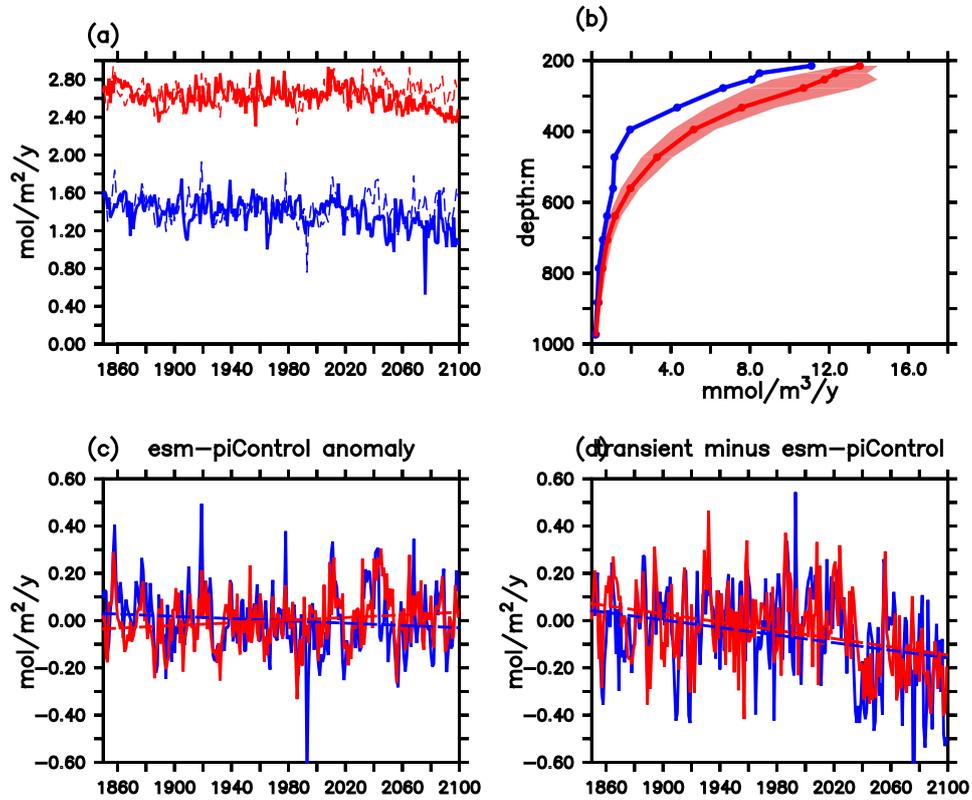


Figure 2. Comparison between vertically integrated OUR and the true respiration rates (200 - 1000m) along the NASG section (see blue line in Figure 1a) in simulation ensemble member 1. Panel (a) shows absolute integrated OUR (blue) and true respiration (red) from the transient simulation (solid) and the esm-piControl simulation (dashed). Panel (b) is the vertical distribution of OUR (blue) and true respiration rate (red) in the last year of the esm-piControl simulation. The shading indicates one standard deviation. All r^2 values of the linear regressions of AOU against ideal age on density surfaces are above 0.92. Panel (c) shows the integrated OUR (thick blue line) and true respiration (thick red line) anomalies relative to the respective time-averaged values of the esm-piControl simulation. The thin solid lines are the linear least square regression lines of integrated OUR anomalies (blue) and integrated true respiration anomalies (red) over time. (d) shows the transient minus esm-piControl integrated OUR (blue) and true respiration (red), and their linear least square regression lines over time.

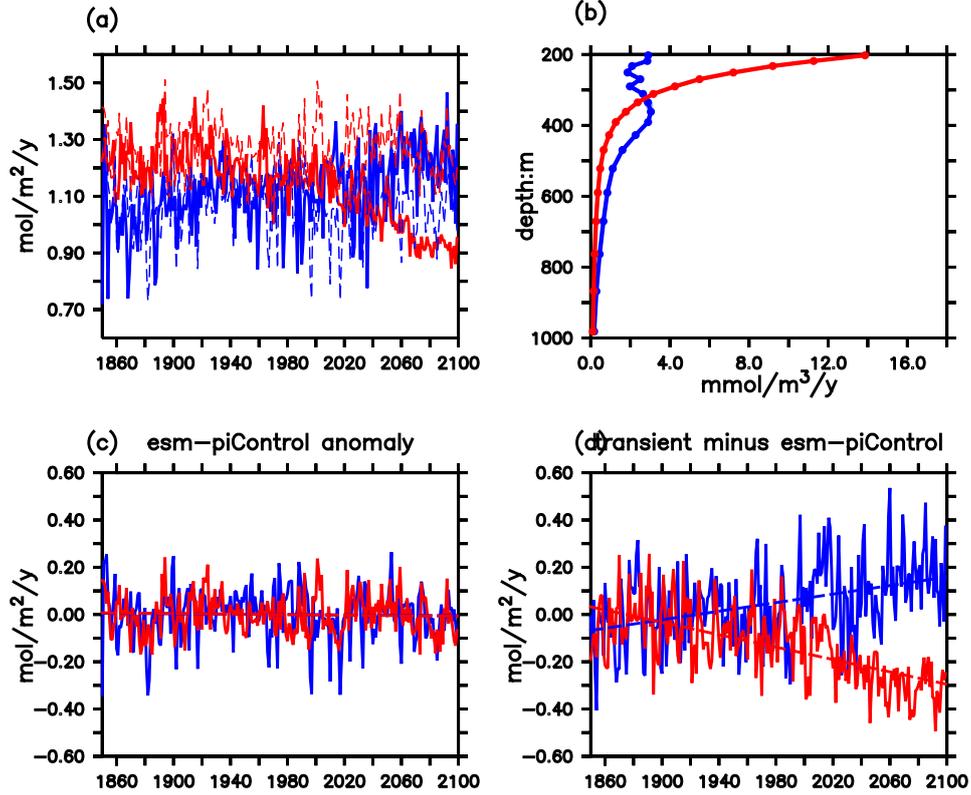


Figure 3. As Figure 2, but for the TSA section (see blue line in Figure 1d). In panel (b), all r^2 values of the linear regressions of AOU against ideal age on density surfaces are above 0.94.

208 between 200m and 300m (Figure 3b). The reason for the underestimate might be similar to what we proposed for the NASG section above. Below around 320m, however, OUR
 209 overestimates true respiration rate and it even increases between 300m to 400m (Fig-
 210 ure 3b). Both OUR and true respiration do not change over time in the esm-piControl
 211 simulation (Figure 3c), but the Pearson correlation coefficient between them is only 0.193.
 212 In the transient minus esm-piControl, OUR and true respiration show a significant di-
 213 verging trend (Figure 3d). True respiration decreases by $1.311 \pm 0.197 \text{ mmol}/\text{m}^2/\text{y}^2$, but
 214 OUR increases significantly by $0.905 \pm 0.257 \text{ mmol}/\text{m}^2/\text{y}^2$. The other two ensemble mem-
 215 bers show similar features (Table 1, Figure S6,S7). To sum up the above results, we pro-
 216 pose that along the TSA section the trend of OUR is not mainly determined by changes
 217 of local respiration.
 218

219 One explanation for the discrepancy of temporal trends of OUR and true respira-
 220 tion may be found in the different effects of mixing on AOU and age distributions along
 221 isopycnals, which may steepen or flatten the slope of OUR. For better clarification, we
 222 rewrite

$$223 \quad \text{OUR} = R_{\text{true}} + \text{OUR}_{\text{mixing}} \quad (3)$$

Table 1. Ratio of OUR and true respiration rate, slope of true respiration and OUR over time and their 95% confidence interval, and Pearson correlation coefficient between OUR and true respiration (with p-value below 0.05).

Region	simulations	ensemble member	true respiration/OUR	trend of true respiration ($\text{mmol}/\text{m}^2/\text{y}^2$) ^a	trend of OUR ($\text{mmol}/\text{m}^2/\text{y}^2$) ^a	correlation coefficient between true respiration and OUR
NASG	esm-piControl	ensemble member 1	1.9	0.282±0.191	-0.241±0.239	0.48
		ensemble member 2	1.9	-0.139±0.207	-0.289±0.246	0.51
		ensemble member 3	1.9	0.433±0.205	-0.040±0.258	0.45
	transient minus esm-piControl	ensemble member 1	1.9	-0.823±0.268	-0.802±0.333	0.54
		ensemble member 2	1.9	-0.833±0.296	-0.844±0.357	0.54
		ensemble member 3	1.9	-0.981±0.272	-0.754±0.362	0.55
TSA	esm-piControl	ensemble member 1	1.2	/	/	0.19
		ensemble member 2	1.2	0.206±0.137	/	0.13
		ensemble member 3	1.2	0.191±0.150	-0.316±0.188	/
	transient minus esm-piControl ^b	ensemble member 1	1.0	-1.311±0.197	0.905±0.257	-0.19
		ensemble member 2	1.0	-1.783±0.209	0.520±0.268	/
		ensemble member 3	1.0	-1.323±0.182	0.826±0.257	-0.17

^a For trends: positive number means increase and negative number means decrease.

224 in which, R_{true} is local aerobic respiration, and OUR_{mixing} is OUR induced by all
 225 processes other than local aerobic respiration. We propose that the value of OUR_{mixing}
 226 is determined mainly by mixing of waters from different origin and age, yielding imprints
 227 of ocean biogeochemistry outside the section under investigation. While local respira-
 228 tion (R_{true}) might change due to anthropogenic affects, also OUR_{mixing} can be affected
 229 by global warming via changes in ocean circulation and remote biogeochemical processes.
 230 Depending on sections under investigation, the local respiration might be either the main
 231 (e.g., in the NASG section in our study) or a minor driver (e.g., in the TSA section in
 232 our study) of long-term OUR change.

233 To reliably infer changes of respiration from OUR under global warming, it is re-
 234 quired to remove the mixing-induced contributions to AOU and age. However, to our
 235 knowledge quantitatively assessing the mixing-induced AOU and age in a transient ocean
 236 is still a challenge. This has been addressed in several studies by applying the Optimal
 237 Multi-Parameter (OMP) analysis (e.g., Brea et al., 2004; Álvarez-Salgado et al., 2014).
 238 However, this method requires that the properties (e.g., potential temperature, salinity)
 239 of water masses in their formation regions are constant. In a changing ocean, the OMP
 240 method cannot straightforwardly be used to infer the contributions of R_{true} and OUR_{mixing}
 241 to transient OUR.

242 4 Conclusions

243 Our study confirms the potential ability of OUR to track the trends of true res-
 244 piration during the time period of 1850 and 2100, and may hence contribute to our un-
 245 derstanding of drivers of ocean deoxygenation in parts of the ocean. However, there is
 246 also a risk that temporal trends diagnosed from OUR can be an unreliable indicator of
 247 trends in true respiration, and may even be of opposite sign to trends of true respira-
 248 tion in other parts of the ocean (as in the example of the TSA). In a changing climate,
 249 this discrepancy can arise from temporal variations in ocean mixing and circulation that
 250 can map remote effects on ocean biogeochemistry and age tracers onto the local OUR.
 251 The mixing-induced OUR possibly counteracts or even reverses the respiration-induced
 252 OUR changes in sections under investigation. Quantitatively assessing changes in aer-
 253 obic respiration from OUR in a changing ocean requires the separation of mixing-induced
 254 AOU and age.

255 5 Data availability statement

256 The model code is provided by Chien et al. (2022) at <https://doi.org/10.5281/zenodo.6772175>.
 257 The full model outputs used in this paper (only for variables potential temperature, salin-
 258 ity, dissolved oxygen concentration, ideal age, and true respiration rate) are too large (above
 259 130 GB for each ensemble member) to be provided in an online repository, therefore we
 260 provide only the last 10 years of esm-piControl simulations for reproducing partial re-

261 sults. These model outputs together with the scripts for data processing are available
262 at: <https://hdl.handle.net/20.500.12085/e7d53204-df0c-4973-8628-63dad7dd140>.

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