

1 Article

# 2 Sea Surface Salinity Seasonal Variability in the 3 Tropics from Satellites, Gridded in situ Products and 4 Mooring Observations

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12 **Abstract:** Satellite observations of sea surface salinity (SSS) have been validated in a number of  
13 instances using different forms of in situ data, including Argo floats, moorings and gridded in situ  
14 products. Since one of the most energetic timescales of variability of SSS is the seasonal, it is  
15 important to know if satellites and in situ gridded products are observing the seasonal variability  
16 correctly. In this study we validate the seasonal SSS from satellite and in situ products using  
17 observations from moorings in the global tropical moored buoy array. We utilize 6 different satellite  
18 products, and two different in situ gridded products. For each product we have computed seasonal  
19 harmonics, including amplitude, phase and fraction of variance ( $R^2$ ). These quantities are mapped  
20 for each product and for the moorings. We also do comparisons of amplitude, phase and  $R^2$  between  
21 moorings and all the satellite and in situ products. Taking the mooring observations as ground truth,  
22 we find general good agreement between them and the satellite and in situ products, with near zero  
23 bias in phase and amplitude and small root mean square differences. Tables are presented with  
24 these quantities for each product quantifying the degree of agreement.

25 **Keywords:** sea surface salinity, seasonal variability, satellite validation, harmonic analysis,  
26 mooring observations

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## 29 1. Introduction

30 Sea surface salinity (SSS) has been observed by satellite for over 10 years since the launch of the  
31 SMOS (Soil Moisture and Ocean Salinity; [1]) instrument in 2009. Since then two other satellites have  
32 been launched by NASA that have measured SSS from space, Aquarius [2] and SMAP (Soil Moisture  
33 Active Passive) [3]. Validation of these datasets has occurred in a number of contexts by comparison  
34 with in situ data [4-13]. Typically, individual satellite measurements are compared with nearby in  
35 situ measurements such as individual Argo floats [4], or more commonly with gridded Argo  
36 products such as that of [14] or the global HYCOM (Hybrid Coordinate Ocean Model) [3]. Problems  
37 exist with this type of comparison, however. Individual float measurements are usually made at 5 m  
38 depth, as compared to 1-2 cm for the satellites [15], and are spatially and temporally sparse compared  
39 to the satellite measurements. Gridded Argo products have their own uncertainty related to the  
40 sparse sampling and the gridding process [16].

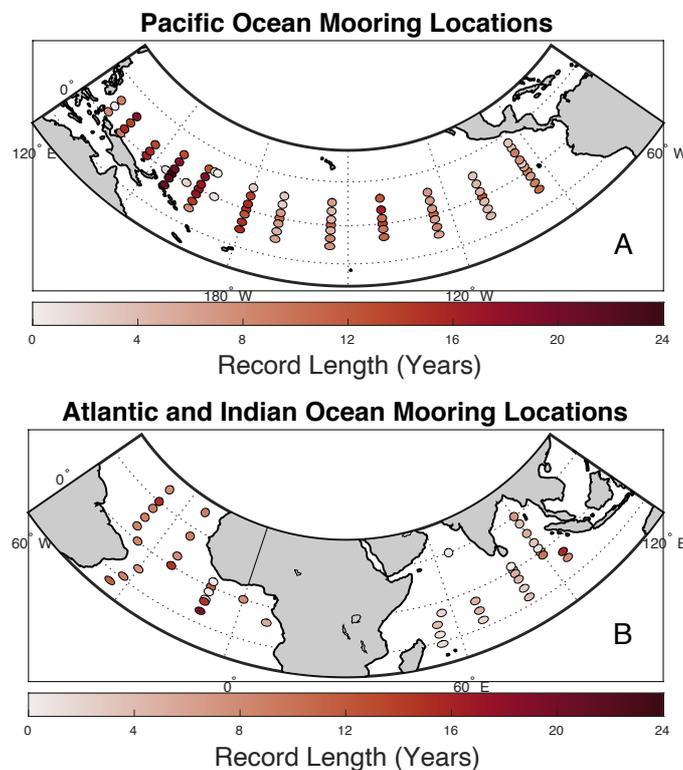
41 In many regions of the ocean, the most important time scale is seasonal [17-22]. This is especially  
42 true in the tropics where the intertropical convergence zone (ITCZ) migrates seasonally in the  
43 meridional direction [23-25] bringing with it increased precipitation [26] and the seasonal translation  
44 of the North Equatorial Countercurrent front. Thus, SSS has been observed to have large seasonal

45 variations in the tropics, especially north of the equator in the Pacific and Atlantic basins [17, 18, 22,  
46 27, 28] where the ITCZ is present and as a result of strong river discharge into the tropical Atlantic.

47 The global tropical moored buoy array (GT MBA) is a vast network of moorings stretching across  
48 all the ocean basins (Figure 1). It was set up starting in the 1980's to measure variations related to El  
49 Niño in the Pacific, but has since expanded to the Indian and Atlantic basins. (See  
50 <https://www.pmel.noaa.gov/gtmba/> and [29] for a history of the program in the three different basins,  
51 and [www.tpos2020.org](http://www.tpos2020.org) for a discussion on the future of the Pacific portion of the array.) These  
52 moorings measure quantities such as wind, precipitation, humidity, currents, sea surface  
53 temperature, subsurface temperature, and, most importantly for the current study, SSS. The high  
54 quality standards, long record duration (some over 20 years – Figure 1) and location of the buoys in  
55 this array make them ideal platforms for validating satellite SSS measurements. Several groups have  
56 been making use of the GT MBA for this purpose [4, 5, 7, 12, 13, 30]. However, to date there has been  
57 little explicit comparison of mooring and satellite SSS data at the seasonal time scale. [17] used the  
58 mooring data to compute annual harmonics, but made no comparison to satellites as such data did  
59 not exist at the time.

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65 Figure 1. The Global Tropical Moored Buoy Array. (a) The array is called “TAO” in the eastern and  
66 central Pacific, “TRITON” in the western Pacific, (b) “PIRATA” in the Atlantic and “RAMA” in the  
67 Indian ocean. Note, some sites are not currently operational, especially in the western Pacific. Symbol  
68 colors correspond to the length of the record in years, with a scale at the bottom. The record length  
refers to the total number of hourly measurements regardless of gaps.

69 [21] found that a decorrelation scale of 80-100 days, corresponding to the seasonal time scale,  
70 was the most important one for about 1/3 of the global ocean, and that it was concentrated in the  
71 tropics. [17,18] using sparse historic and early Argo data found large amplitude seasonal harmonics  
72 in the tropical oceans. This result was verified by comparison to GT MBA data from the Pacific basin  
73 available at the time. Such large amplitude seasonal harmonics were also found by [19] and [20]. The  
74 most recent estimates of [20] using multiple satellite datasets found typical seasonal amplitudes of  
75 up to 0.5 in the tropics, with higher values in regions such as the Amazon and Congo River plumes.

76 We use data from the three satellites mentioned above: SMOS, SMAP and Aquarius. Although  
77 they use the same frequency of radiation to make their estimate, the satellites have very different  
78 configurations and ways of forming an image to retrieve values of SSS (see references in Table 1 and  
79 [31] for a summary). Thus, we use two different level 3 (L3) SMOS products, SMOS BEC (Barcelona  
80 Expert Center) and SMOS CATDS (Centre Aval de Traitement des Données), one L4 synthesis product,  
81 CCI (Climate Change Initiative), one L3 Aquarius product, and two L3 SMAP products, SMAP JPL  
82 (Jet Propulsion Lab) and SMAP RSS (Remote Sensing Systems). The various products have different  
83 ways of averaging or interpolating to get to a final version. Finally, we also examine two commonly  
84 used in situ gridded products, SIO (Scripps Institution of Oceanography) and EN4 [32]. These  
85 compilations serve as calibration points or first guess fields used in the retrieval process for some of  
86 the satellite products [33]. In this paper we directly compare all of these products to the mooring data  
87 at the seasonal time scale, and inter-compare the two SMOS and two SMAP products using the same  
88 methods. In an operational sense, the intent of this paper is to provide a guide to the user as to the  
89 advantages and disadvantages of different products when studying seasonal variability of SSS. In  
90 some products we will find that the seasonal time scale is suppressed relative to the moorings as  
91 ground truth. In others, the seasonal time scale is enhanced due to the way the measurement is  
92 generated or computed.

93 This paper is closely related to [20], and has a similar motivation. That paper is a revisit of [19]  
94 and similar works using the more modern datasets now available. There are several distinctions  
95 between the work here and that of [20]. [20] is done using the 2018 World Ocean Atlas data as the  
96 “truth”, whereas here we use the GTMBA moorings. [20] use only 3 years of record for computing  
97 harmonics, whereas we use all the satellite data and mooring data available, with up to 20+ year  
98 record lengths for the moorings and up to 9 years for the satellites (Table 1). We explicitly compare  
99 amplitudes, phases and fractions of variance between the moorings and satellite/in situ products in  
100 a more detailed way than is done in [20]. Our focus is on individual moorings as opposed to the  
101 basin-scale patterns examined in [20]. Despite all of these differences, it should be noted that we use  
102 many of the same satellite datasets that are found in [20], and that the results we find here are similar  
103 to the ones found by [20].

104 The structure of the paper is as follows. In Section 2 we introduce the datasets we use, and the  
105 harmonic analysis method. In section 3 we present maps of annual amplitude and phase derived  
106 from the moorings and a couple of the satellite products, and compare amplitudes, phases and  
107 fractions of variance in a set of scatterplots. We also compute deviations of each product from the  
108 mooring-derived values. In Section 4 we discuss these results in the context of previous studies, and  
109 in Section 5 we conclude.

## 110 2. Data and Methods

111 All values of salinity in this paper are in practical salinity using the 1978 practical salinity scale.  
112 Practical salinity is unitless, and, following [34], we do not use terms such as “psu”. The terms  
113 “annual” and “seasonal” are used synonymously in this paper and refer to quantities that vary with  
114 a period of one year.

### 115 2.1 Datasets Used

116 As stated above, we make use of 9 main SSS datasets, two in situ gridded (EN4 and SIO), one in  
117 situ moored and 6 L3 and L4 satellite (Table 1). Table S1 extends Table 1 to give information for  
118 accessing all datasets. Time series of SSS were extracted from the different products at the grid node  
119 closest to the location of each mooring. These grid nodes are not located exactly at the sites of the  
120 moorings. For the SMAP and SMOS products, the mean distance from grid node to mooring location  
121 is about  $0.17^\circ$ . For the Aquarius and SIO products the mean distance is  $0.70^\circ$ . For the EN4 product it  
122 is  $0.04^\circ$ . In most cases, the mooring location lies within the footprint of the satellite or the averaging  
123 area of the in situ product.

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Table 1. A list of the datasets used in this study showing the time resolution, spatial grid and time span.

Dataset	Time resolution	Spatial grid	Time span	References
Moorings	Hourly	N/A	various	[35]
SMOS BEC	Daily values with a 9-day running mean	0.25°	2011-2019	[9, 10, 36]
SMOS CATDS	4-day values with a 9-day running mean	Lon: 0.2594° Lat: varies from 0.1962° to 1.5341°	2010-2019	[37-39]
CCI	Daily values with a 7-day running mean	Lon: 0.2594° Lat: varies from 0.1962° to 1.5341°	2010-2018	[40]
SMAP JPL	8-day running mean	0.25°	2015-2020	[41]
SMAP RSS (70 km)	8-day running mean	0.25°	2015-2020	[3, 33]
Aquarius	Daily values with a 7-day running mean	1°	2011-2015	[2, 8]
EN4	Monthly	1°	2000-2018	[32]
SIO	Monthly	1°	2004-2020	[14]

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128 An overview of the methods used to produce the L3 estimates for the satellite datasets from raw  
129 brightness temperatures is given by [31]. This reference also describes such things as the repeat period  
130 and spatial resolution.

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The vertical sampling of the three data types is different. The salinity sensors on the moorings are  
at ~1m depth [35]. Argo floats, which make up the bulk of the observations used in the EN4 and SIO  
datasets, are sampled about 5 m depth. Satellite SSS sensors sample the upper 1-2 cm [15].

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The computations detailed below using the moorings were repeated with only data from 2010 and  
after to match the time period when the satellites were operating. The results were very similar, only  
with less precision due to the use of shorter time series.

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## 2.2 Harmonic Analysis

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Using a standard harmonic analysis, annual and semiannual harmonic fits were computed for  
each mooring time series following [17, 19, 22 and 42], and for each of the other products at the closest  
grid node to each mooring site. These computations yield amplitudes, phases (month of maximum SSS)  
and fractions of variance ( $R^2$ ) associated with both annual and semiannual. We show results for the  
annual harmonics only in this paper. Semiannual harmonic amplitudes were generally smaller and we  
omit those results for brevity here, but include some of them in the supplemental materials for  
completeness (Tables S5-S7).

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Harmonic analysis involves fitting each salinity time series to

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$$S = S_0 + A_1 \cos(\omega_1 t + \varphi_1) + A_2 \cos(\omega_2 t + \varphi_2) + \epsilon \quad (1)$$

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$\omega_1$  is the annual frequency, i.e.  $2\pi$  radians/year.  $\omega_2$  is the semiannual frequency,  $4\pi$  radians/year.  $A_1$  ( $A_2$ ) is the amplitude of the (semi)annual harmonic.  $\varphi_1$  ( $\varphi_2$ ) is the phase of the (semi) annual harmonic.  $t$  is the time.  $S_0$  is the mean value of salinity at each location.  $\epsilon$  is a residual to be minimized in the least squares sense by determination of  $A_1$ ,  $A_2$ ,  $\varphi_1$  and  $\varphi_2$ .

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Significance tests for the harmonic fits were carried out for the first and second harmonics separately. The  $R^2$  value of each harmonic was calculated with the standard formula

$$R^2 = 1 - \frac{\text{variance}(\text{data-fit})}{\text{variance}(\text{data})}. \quad (2)$$

154 The f-statistic was then calculated from  $R^2$  using the equation

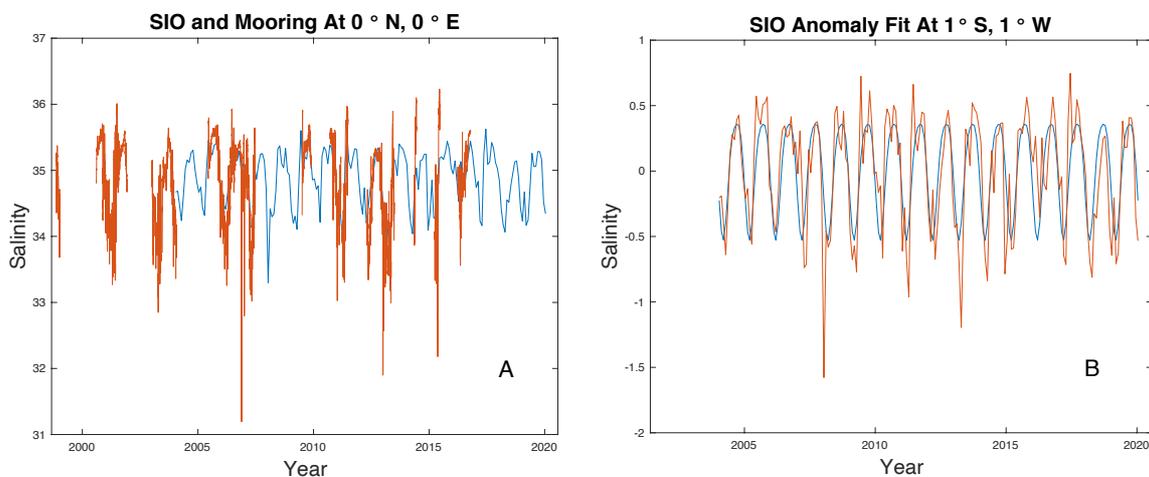
$$f = \left( \frac{R^2}{1-R^2} \right) \cdot \left( \frac{n-k-1}{k} \right), \quad (3)$$

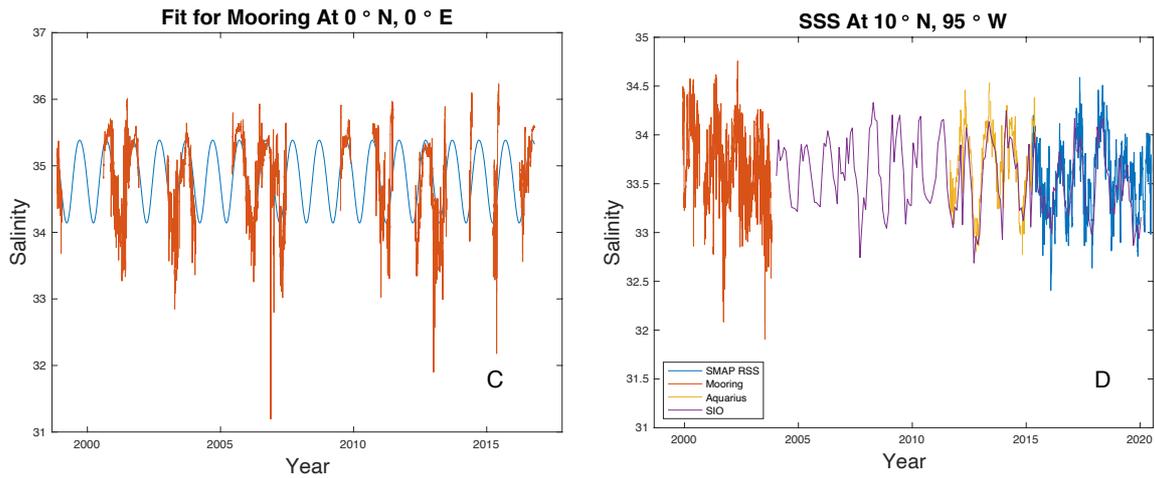
155 Where  $n$  is the number of observations (non-null data points in the time series at that location) and  $k$  is  
 156 the number of independent variables, two in the case of looking at the annual and semiannual  
 157 harmonics individually. Then the cumulative F-distribution function was used on the given f-statistic,  
 158  $n$ , and  $k$ , and fits with values greater than 0.95 were considered significant. The significance was  
 159 calculated as if all the data points were independent observations. In addition to filtering by  
 160 significance, we only considered locations where we had at least one year total of data points for a given  
 161 data set.

162 In comparing the amplitudes, phases and  $R^2$  values between mooring and products, we used the  
 163 entirety of each dataset, including possibly non-overlapping periods. This was done because: 1) the  
 164 computed amplitudes and phases seemed stable as described below, 2) we wanted to increase the  
 165 significance of the computed fits, and 3) many of the moorings were sampled sporadically (e.g. Figure  
 166 2a) making determination of overlapping periods computationally cumbersome.

167 As an illustration of the method, we show the mooring data, harmonic fit, SIO data and its fit  
 168 at the mooring site at (0°N, 0°E). Although there are large gaps in the mooring record (Figure 2a), a major  
 169 advantage of the harmonic method is that it can make use of such time series. A possible problem with  
 170 the method is if the amplitude or phase of the seasonal variability changes over time. The SIO data  
 171 indicate that for this location this is not an issue (Figure 2b). The seasonal maximum or minimum does  
 172 vary from year to year, but not in a systematic or interannual way. The signal appears phase-locked to  
 173 the calendar year. The harmonic fits we have done do not depict some of the extreme events in the  
 174 mooring record (Figure 2c), so in this sense it acts as a low pass filter. These low SSS events may indicate  
 175 real events (e.g. [43]). The way they are displayed in the figure tends to exaggerate their importance  
 176 however, as they generally consist of only a small number of hourly observations. The amplitudes of  
 177 the two records in Figure 2a-c are similar. The peak-to-peak amplitude of the SIO fit is about 0.8 (Figure  
 178 2b), whereas that for the mooring is a little larger, about 1.0 (Figure 2c).

179 We also show data from a different location in the eastern tropical North Pacific (Figure 2d; 10°N,  
 180 95°W). There is no fit displayed, but it is clear there is a large annual cycle in all the datasets. The  
 181 amplitude and phase of that annual cycle is relatively stable, except for the 2015-2016 and 2019-2020  
 182 period.  
 183



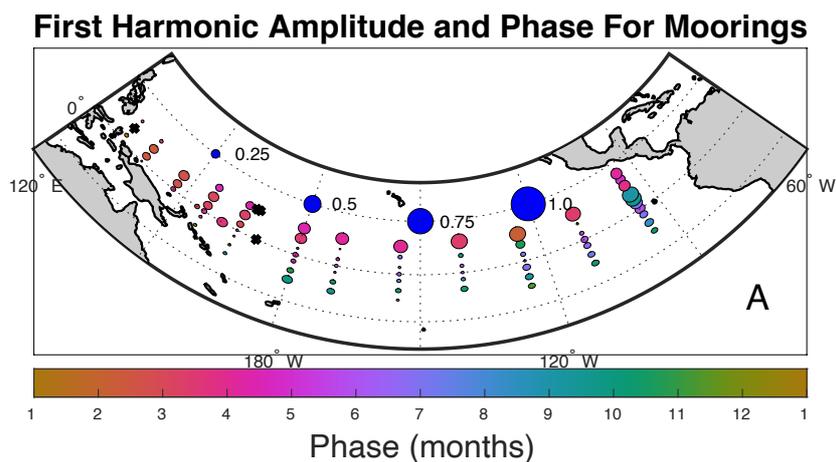


184 Figure 2. Harmonic fits and observations of SSS at (0°N, 0°E). (a) Mooring (red) and SIO (blue)  
 185 observations. (b) SIO anomaly (red) and its harmonic fit (blue). (c) Mooring (red) and its harmonic fit  
 186 (blue). (d) SSS data from 10°N, 95°W. Source of data is indicated in the legend at the bottom left.

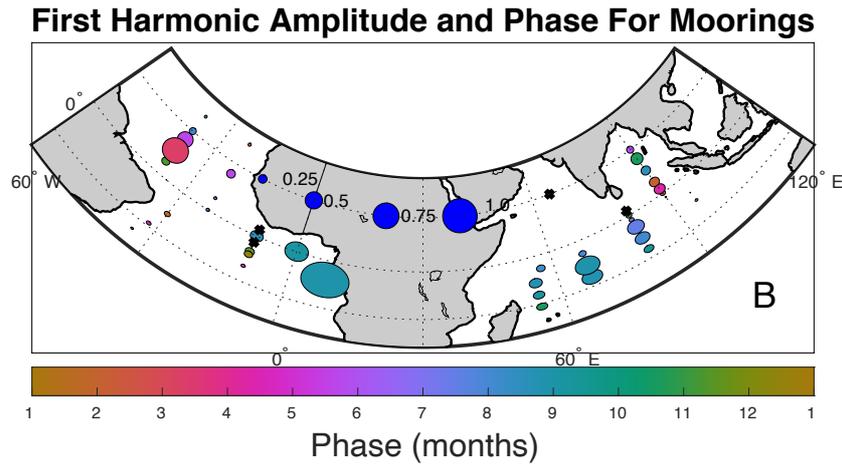
187 **3. Results**

188 *3.1 Amplitude and phase maps*

189 The annual harmonics for the moorings (Figure 3) indicate a variety of amplitudes and phases.  
 190 The largest amplitude, ~1.0, is near the west coast of Africa in the vicinity of the outlet of the Congo  
 191 River. Other areas with large amplitude are in the Amazon River outflow in the western Atlantic, the  
 192 western tropical Indian Ocean south of the equator, and along 10°N in the North Pacific. The sizes of  
 193 the harmonics shown match well with the values reported by [17-20] among others. Phases show  
 194 maximum SSS in the northern hemisphere mostly in February-May and in the southern hemisphere  
 195 in July-December (This will be shown more clearly below). There are some exceptions to this general  
 196 pattern. The Bay of Bengal for example, has maximum SSS in October, and some far eastern North  
 197 Pacific moorings have maximum SSS also in October.  
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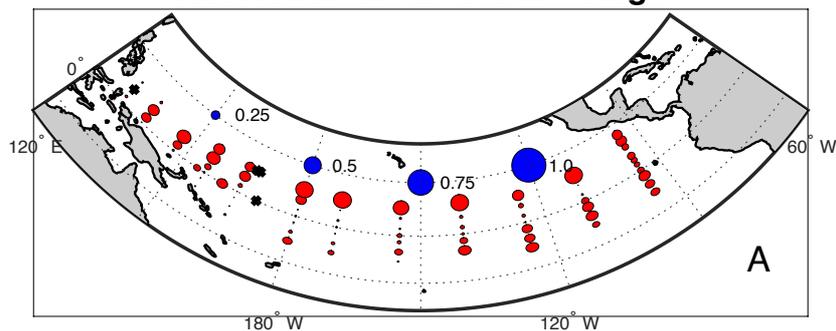
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201 Figure 3. Amplitude and phase of the first harmonic from the moorings. Each symbol is for one  
 202 mooring at its given location. The amplitude is indicated by the area of the symbol, with scale in dark  
 203 blue near the top middle of each figure. The color of each symbol indicates the phase, as the month of  
 204 maximum SSS, with color scale in months (January-January) at the bottom. Symbols with a black “X”  
 205 were either found not to have a significant fit to the annual harmonic, or contained less than one year  
 206 of observations. The maps use an equal area conic projection. This means that though the symbols  
 207 change in shape from north to south, the relative areas are depicted correctly in relation to the dark  
 208 blue scale. (a) Pacific basin. (b) Atlantic and Indian basins. For completeness, we include maps of  
 209 amplitude and phase for all products for both annual (Table S2) and semi-annual (Table S5)  
 210 harmonics.

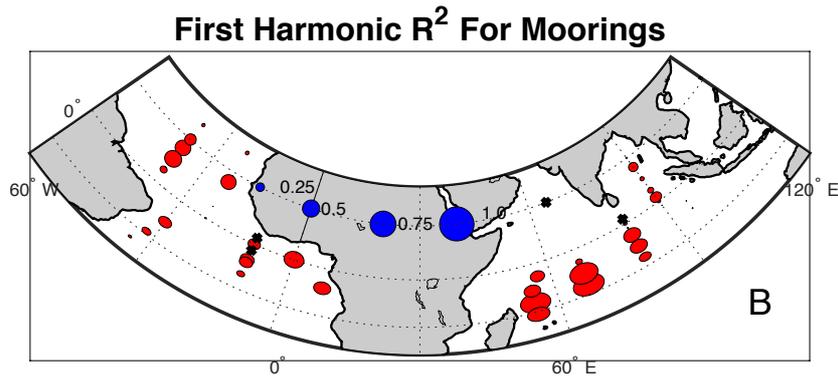
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212 Next, we show maps of fraction of variance,  $R^2$ , explained by the harmonic fit (Figure 4). In the  
 213 Pacific basin, the numbers tend to be larger, over 0.5, in the ITCZ, in the western Pacific and south of  
 214 the equator in the eastern Pacific, whereas they are small along the equator. In the Atlantic most of  
 215 the values are large, especially near the coast of Africa. In the Indian basin, the values get very large,  
 216 approaching 1 in the western South Indian. All of these results indicate that in many parts of the  
 217 tropical ocean, the seasonal time scale represents a large fraction of the total signal [20, 21].  
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### First Harmonic $R^2$ For Moorings



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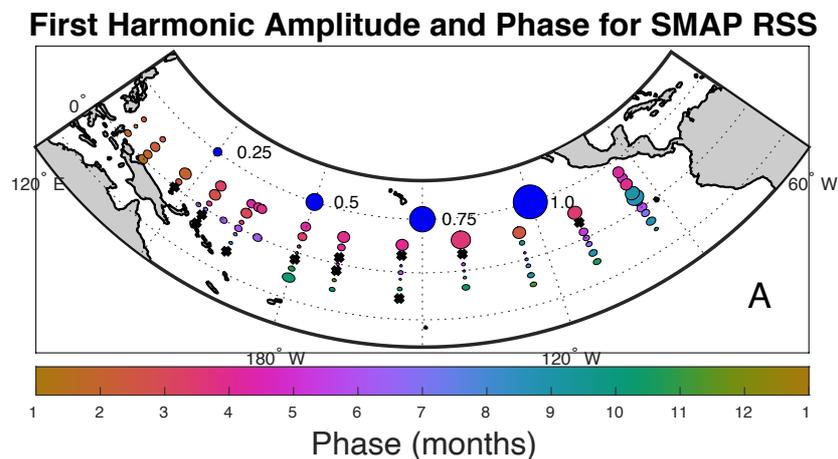


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221 Figure 4. As in Figure 3, but for fraction of variance,  $R^2$ , explained by the annual harmonic fit. For  
 222 completeness, we include maps of  $R^2$  for all products for both annual (Table S3) and semi-annual  
 223 (Table S6) harmonics.

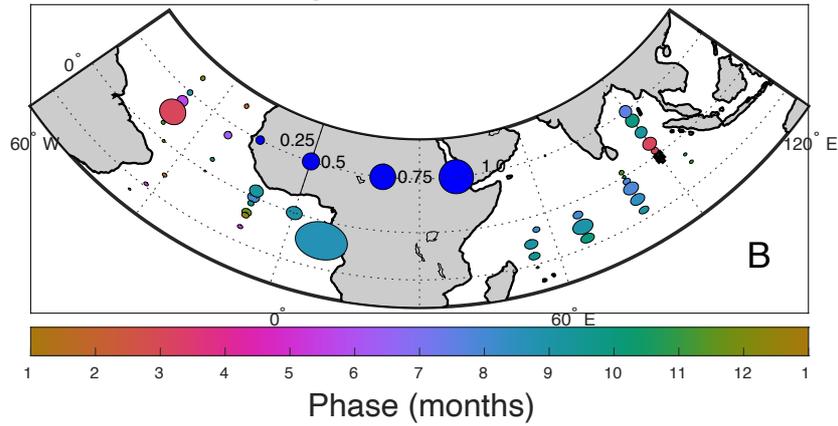
224 The results presented in Figures 3 and 4 for the GTMBA are consistent with previous such  
 225 calculations [17-19] using different datasets or [20] using mostly the same datasets. What we do  
 226 differently here is to compare the various datasets against the moorings as ground truth, and to some  
 227 extent each other. Analyses such as those of Figures 3 and 4 were carried out for all the different  
 228 datasets mentioned in section 2. We present a couple of examples similar to Figure 3 here and a more  
 229 complete set of them in the supplemental materials.

230 The RSS SMAP amplitude and phase (Figure 5) are similar to the moorings with a few minor  
 231 differences. In the western Pacific along the equator, the SMAP RSS data show phase with maximum  
 232 SSS in June, whereas in the mooring data those maxima are in March or so. The amplitudes are not  
 233 large which may explain the difference. More of the SMAP RSS locations are below significance level  
 234 than the moorings, especially off the equator in the central Pacific, likely due to the shorter record  
 235 length. In the Atlantic and Indian basins, the results are also similar to the moorings. The results for  
 236  $R^2$  are also very similar, and are not included here for brevity, but are in the supplemental materials  
 237 (Table S3).



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**First Harmonic Amplitude and Phase for SMAP RSS**



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Figure 5. As in Figure 3, but for the SMAP RSS data.

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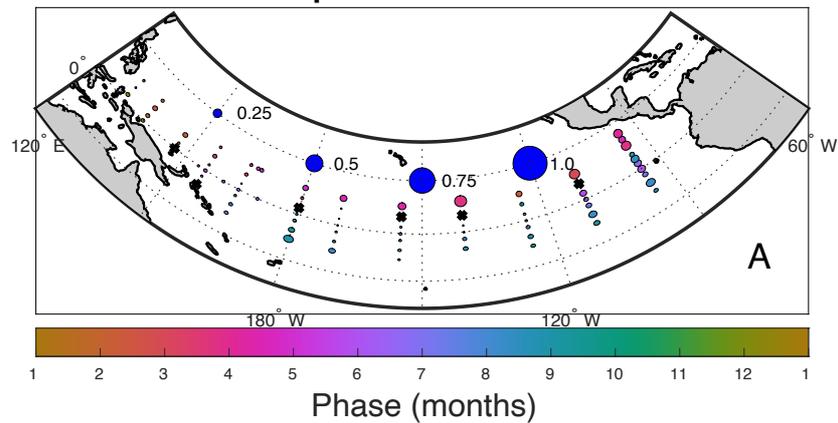
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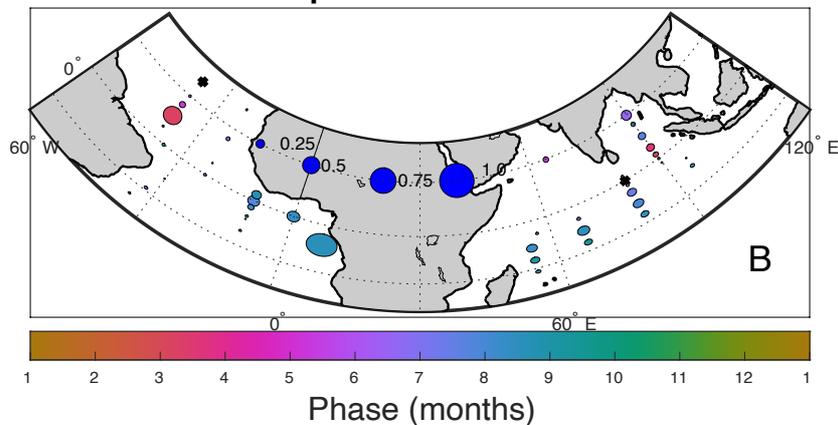
The similarity of the mooring and SMAP RSS results is striking, and is repeated for most of the other datasets we analyzed (Tables S3 and S4). One exception is the SMOS BEC results shown in Figure 6. In this case there are major differences between these and the mooring data. The amplitudes are in general much smaller in the SMOS BEC data throughout the tropical ocean. Detailed comparison of the amplitudes and phases between the products and the moorings is presented below as a set of scatter plots and root mean square (RMS) differences.

**First Harmonic Amplitude and Phase for SMOS BEC**



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**First Harmonic Amplitude and Phase for SMOS BEC**



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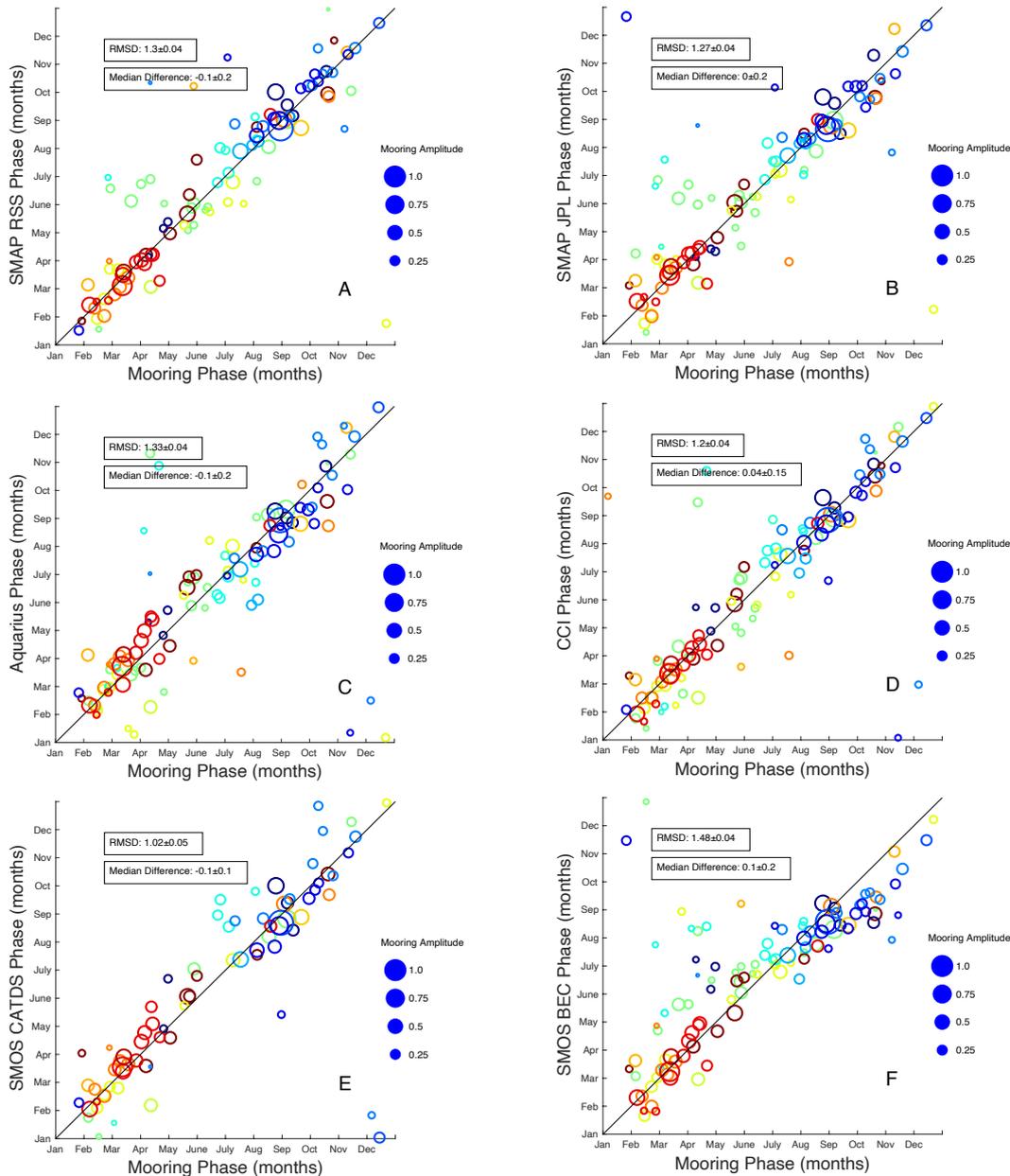
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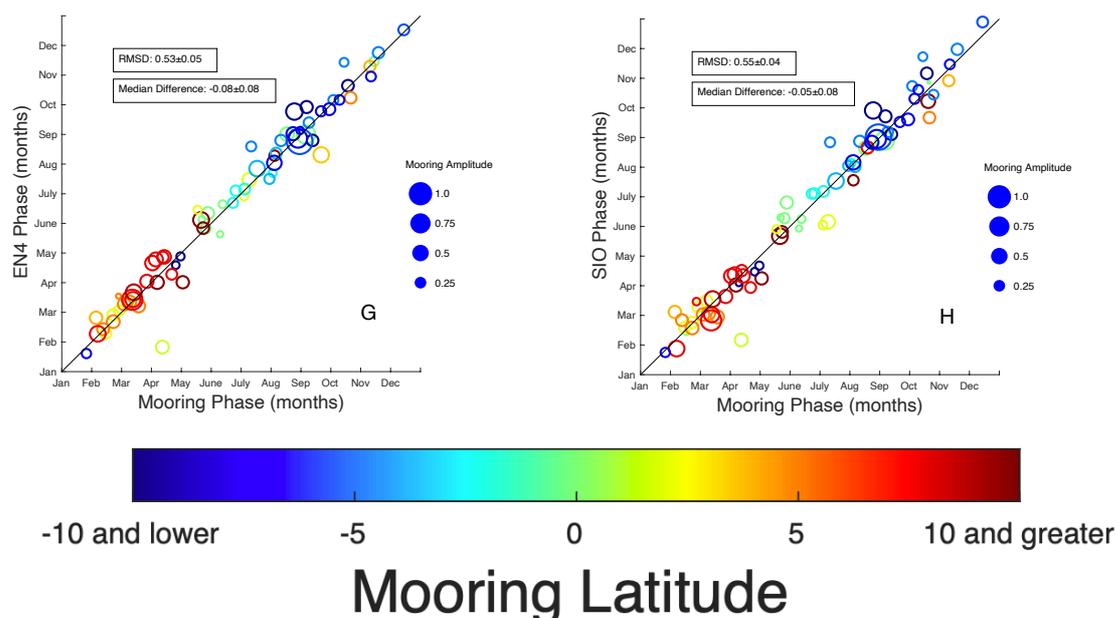
Figure 6. As in Figure 3, but for the SMOS BEC data.

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*3.2 Amplitude and phase comparisons*

251 Comparison between mooring phases and the other datasets (Figure 7) show they mostly match  
 252 well. Maximum SSS along the equator and at the southern hemisphere moorings is later in the year,  
 253 July-December, while for the northern hemisphere moorings it is in February-May. There is some  
 254 tendency for small amplitude locations to be further off the one-to-one correspondence line than large  
 255 amplitude ones. RMS differences (RMSD) between mooring and product phase range from 0.5 to 1.5  
 256 months, all significantly different from zero (Table 2). Median differences are all less than or equal to  
 257 0.1 in absolute value and none of them are significantly different from zero. The datasets with the  
 258 largest scatter are the two from SMAP (Figure 7a,b; 1.3 months RMSD), Aquarius (Figure 7c; also 1.3  
 259 months) and SMOS BEC (Figure 7f; 1.5 months). A bit less is the one from CCI (Figure 7d; 1.2 months)  
 260 and the smallest are the two in situ datasets (Figure 7g,h; 0.5 months).  
 261





262 Figure 7. Scatterplots of first harmonic comparison product phase (month of maximum SSS) vs.  
 263 mooring phase. Each symbol is for one mooring, with symbols plotted only where there is a significant  
 264 annual fit for both the moorings and the given product. The number of symbols in each plot is given  
 265 for each product in Table S7. Colors of symbols indicate latitude of mooring with scale at bottom.  
 266 Sizes of symbols indicate mooring amplitude with scale at right in solid blue in each panel. A light  
 267 black line shows a one-to-one correspondence. Boxes in each panel show RMSD and median  
 268 difference (mooring – comparison) in months. Products compared are: a) SMAP RSS, b) SMAP JPL,  
 269 c) Aquarius, d) CCI, e) SMOS CATDS, f) SMOS BEC, g) EN4, h) SIO.

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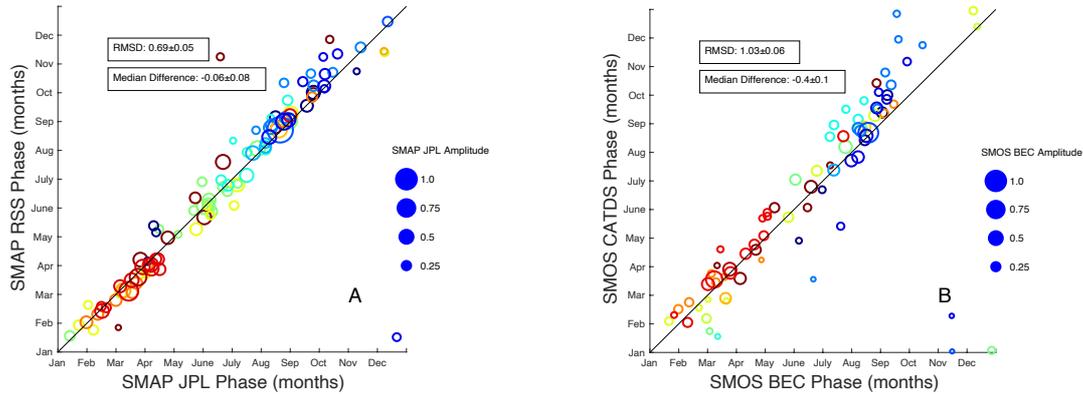
271 Table 2. Columns 2-5: Amplitude and phase discrepancies between mooring and satellite or in situ  
 272 products. Median differences are mooring – product. Column 6: Median difference in  $R^2$  between  
 273 mooring and satellite or in situ product for the annual harmonic. Positive number means mooring  $R^2$   
 274 is greater.

Product	Amplitude RMSD	Phase RMSD (months)	Amplitude median difference	Phase median difference (months)	$R^2$ median difference
SMOS BEC	0.128±0.002	1.48±0.04	0.06±0.01	-0.1±0.2	0.03
SMOS CATDS	0.085±0.004	1.02±0.05	0.01±0.01	0.1±0.1	0.05
CCI	0.074±0.002	1.2±0.04	0.02±0.01	-0.04±0.15	0.01
SMAP JPL	0.074±0.003	1.27±0.04	-0.013±0.009	0.0±0.2	0.01
SMAP RSS	0.070±0.003	1.30±0.04	0.003±0.009	0.1±0.2	0.01
Aquarius	0.108±0.003	1.33±0.04	-0.02±0.01	0.1±0.2	-0.12
EN4	0.082±0.008	0.53±0.05	0.01±0.01	0.08±0.08	-0.14
SIO	0.080±0.008	0.55±0.04	0.01±0.01	0.05±0.08	-0.14

275

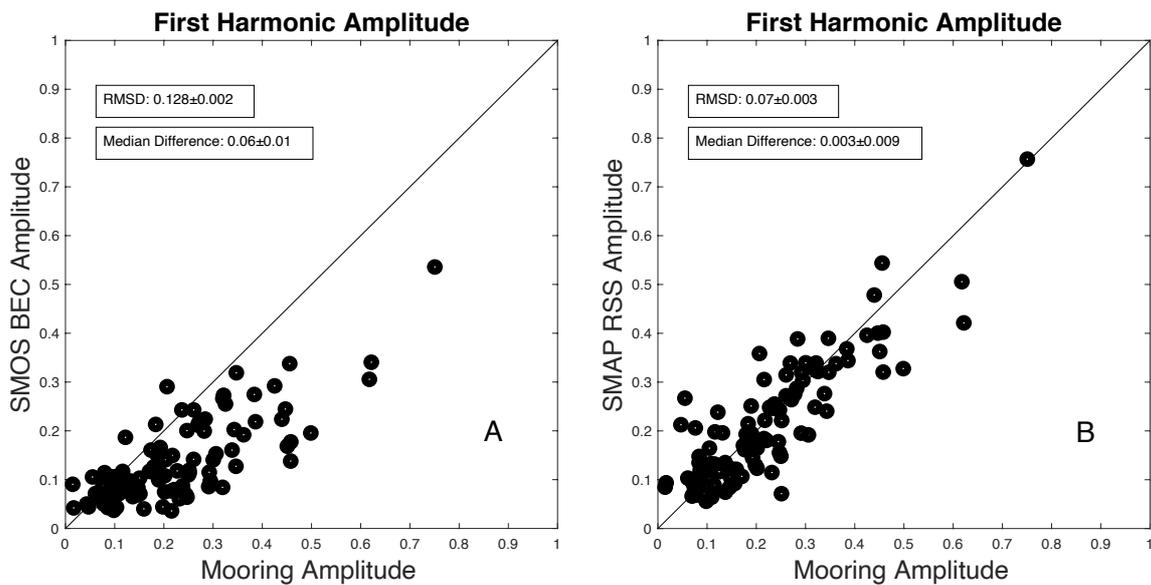
276 In a couple of cases we can compare two products whose underlying measurement is the same.  
 277 There are two different L3 SMAP products and two L3 SMOS products (Figure 8). So, in making these  
 278 comparisons, all of the difference between them is due to the processing algorithm and not the  
 279 measurement platform. The SMAP products compare very well, with an RMSD of about 0.7 months  
 280 and median difference not significantly different from zero (Figure 8a). The one outlier point is at  
 281 (15°N, 65°E) in the Arabian Sea. (This harmonic is not included in any mooring plot because there are  
 282 too few data at this location.) The two SMOS products do show some differences, with the SMOS

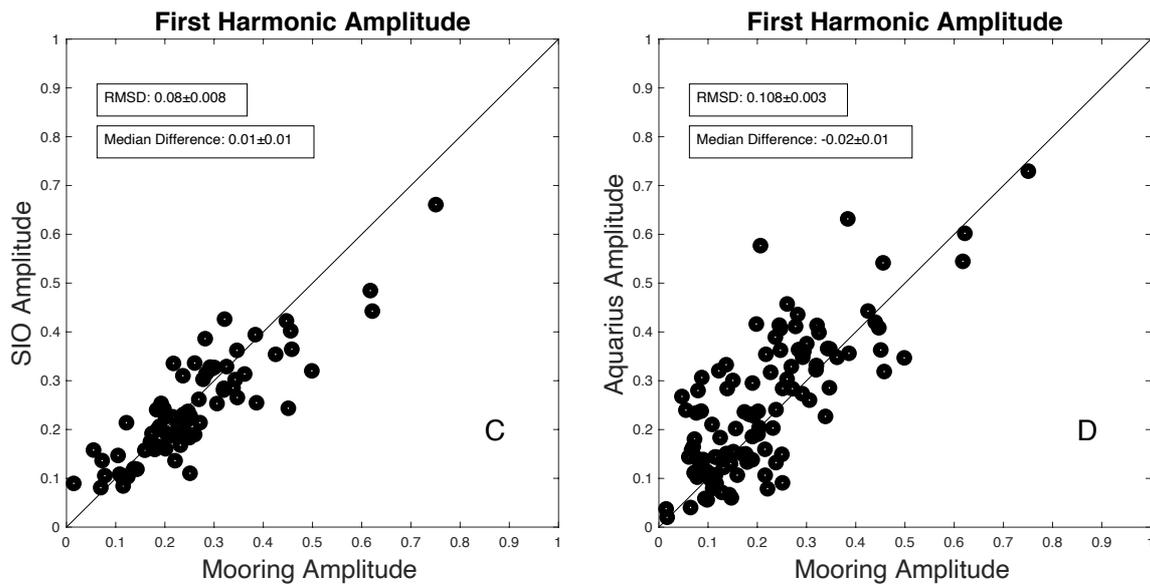
283 BEC product generally leading the SMOS CATDS (Figure 8b). The median difference is 0.4 months,  
 284 SMOS BEC leading, which is significantly different from zero.  
 285



286 Figure 8. As in Figure 7. Comparisons are a) SMAP RSS vs. SMAP JPL and b) SMOS CATDS vs. SMOS  
 287 BEC.

288 With the first harmonic amplitudes, we find that most satellite and in situ products compare  
 289 well with the moorings (Figure 9 and Table 2). RMSDs are typically 0.07-0.08 and median differences  
 290 of about 0.01-0.03. The two exceptions are Aquarius, with RMSD of 0.11 and SMOS BEC with RMSD  
 291 of 0.13. For the SMOS BEC dataset, the mooring amplitudes are generally larger than in the satellite  
 292 data, with median difference of about 0.06.  
 293





294 Figure 9. Scatterplots of first harmonic amplitude vs. mooring amplitude. A light black line shows a  
 295 one-to-one correspondence. Boxes in each panel show RMSD and median difference (mooring –  
 296 comparison). Comparison amplitudes are: a) SMOS BEC, b) SMAP RSS, c) SIO, d) Aquarius. For  
 297 completeness, all first harmonic amplitude comparisons are shown in the supplemental materials  
 298 (Table S4).

299 Table 2 shows the median of the difference between  $R^2$  values for the mooring and that of the  
 300 various products. In other words, for each dot in Figure 9, one can subtract the mooring value from  
 301 the comparison product value, to obtain the degree to which those dots depart from the one-to-one  
 302 line. One can then compute the median of those differences, to get the numbers displayed in Table 2.  
 303 Table 3 shows the median over the dots for, say, the moorings or SMAP RSS. These values show  
 304 which products tend to have large or small values of  $R^2$ .

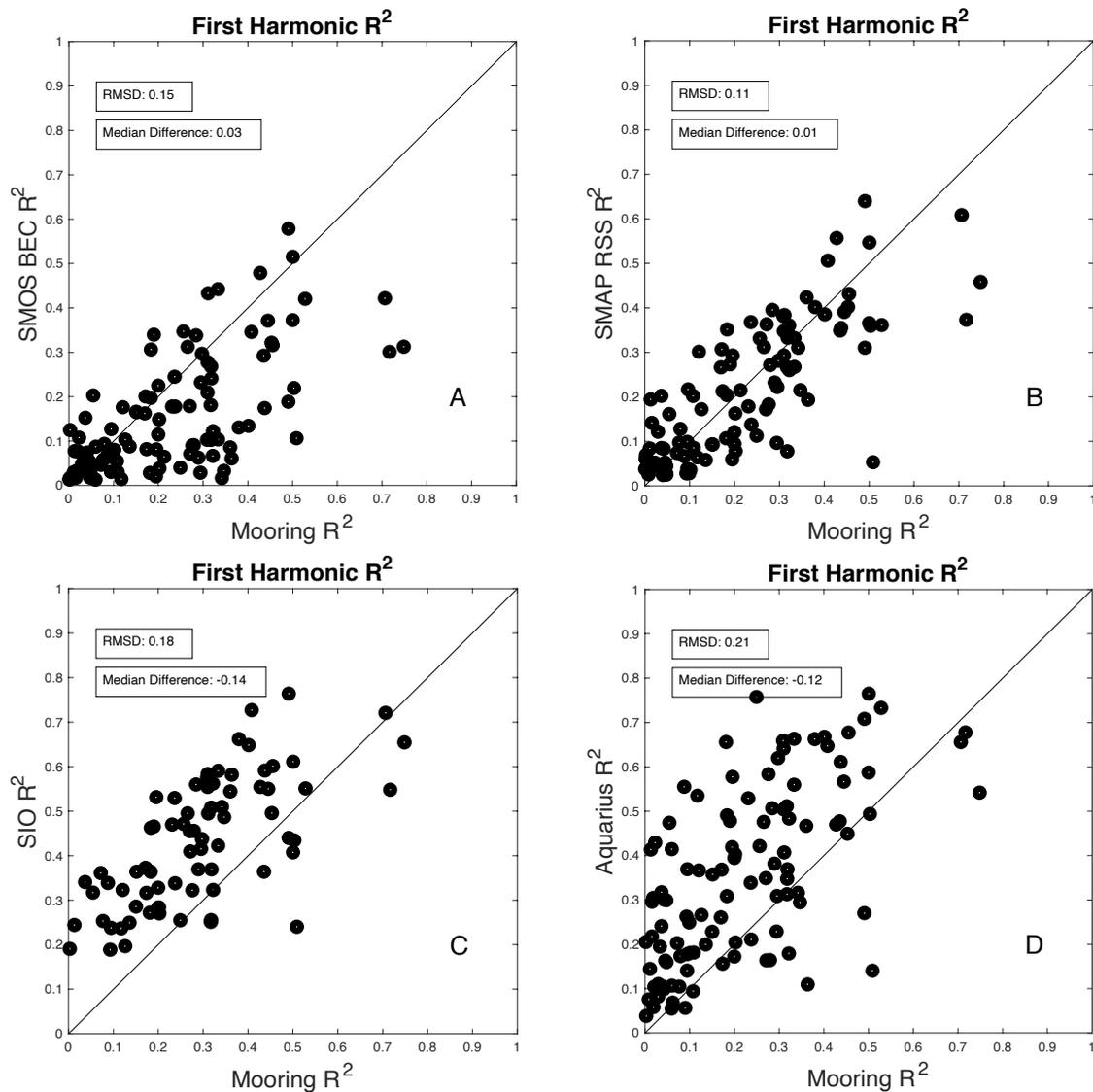
305 An example set of  $R^2$  comparisons are shown (Figure 10; Table 3). These examples were chosen  
 306 to illustrate each of the satellites and one in situ product. The value of  $R^2$  is a function of the temporal  
 307 sampling of each dataset, and the footprint of the satellite or grid size of the in situ product. Overall,  
 308 in the tropics the annual harmonic only comprises about 20% of the total variance of SSS for the  
 309 moorings (Table 3). The moorings, one assumes as they are sampled hourly, capture all or almost all  
 310 of the temporal variance in nature. The in situ datasets (EN4 and SIO) are averaged monthly and over  
 311 a  $1^\circ \times 1^\circ$  area, so any variance with smaller time and space scales is not present in those datasets. Thus,  
 312 one would expect  $R^2$  in the annual harmonic would be larger for these than for the moorings, which  
 313 it is (Figure 10c; Table 3). For Aquarius, the issue is the same. It has a footprint similar in size to the  
 314 in situ products' grids, generating an average over about a 100 km area. Thus, it does not sample  
 315 most of the variability at less than 100 km in size. As much of ocean SSS variance is at sizes less than  
 316 50 km [44], the Aquarius dataset cannot resolve it, and therefore, the annual harmonic constitutes a  
 317 larger fraction of the variance than for the moorings (Figure 10d; Table 3). As we have seen, the SMOS  
 318 BEC data underestimate the size of the annual harmonic, and so the fraction of variance captured in  
 319 that dataset is less than for the moorings (Figure 10a; Table 3). Finally, the SMAP RSS product (Figure  
 320 10b; Table 3) has a smaller footprint than Aquarius, and more frequent sampling than SIO. The  
 321 fraction of variance depicted in that dataset is comparable to that of the moorings. The datasets not  
 322 plotted in Figure 10, SMOS CATDS, SMAP JPL, EN4 and CCI, all show similar patterns as SMAP RSS  
 323 (Table 2).  
 324

325 Table 3. Median  $R^2$  over all the mooring locations for all the products for the annual harmonic. The  
 326 number of mooring locations used in each of these values is listed in Table S7.

327

	Median R <sup>2</sup>
Moorings	0.190
SMOS BEC	0.103
SMOS	0.187
CATDS	
CCI	0.1842
SMAP JPL	0.198
SMAP RSS	0.203
Aquarius	0.316
EN4	0.351
SIO	0.430

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Figure 10. Scatterplots of fraction of variance,  $R^2$ , in the annual harmonic captured by four products, compared to that captured by the moorings.  $R^2$  values are based on the entirety of each dataset, including possibly non-overlapping periods. Products are (a) SMOS BEC, (b) SMAP RSS, (c) SIO and (d) Aquarius.

334

#### 335 4. Discussion

336 We have done comparisons of some various SSS datasets at the annual time scale. These  
337 comparisons are congruent with those of [17-20] among others. The advantage to our analysis is that  
338 it was done with the very long high-quality records of SSS at the moorings, and that these mooring  
339 data are largely independent of the products being evaluated. We have done more detailed  
340 comparisons of amplitude (Figure 9) and phase (Figure 7) in the discrete locations defined by the  
341 moorings (Figure 1) than was done by [20] or any previous studies. The disadvantage is the limited  
342 geographical expanse of the mooring array – most are equatorward of 10° especially in the Pacific-  
343 and the limited coverage of a point measurement from a mooring relative to the spatial averages from  
344 a satellite or gridded in situ product [45].

345 Most of the datasets record the phase of the annual cycle in a way that is reasonably consistent  
346 with the mooring data. Median phase differences between moorings and the products studied all  
347 include zero in their uncertainty range (Table 2, column 5 and Figure 7). The RMSD for phase between  
348 the moorings and the different products varies between 0.5 and 1.5 (Table 2, column 3 and Figure 7),  
349 giving an idea of the spread of phase values inherent in the data. Most of the products studied also  
350 give a reasonable value for the amplitude. Amplitude median differences are as high as 0.06 (Table  
351 2, column 4), with some within the uncertainty range of zero.

352 It's difficult to track what exactly might be causing differences in products quantified in Table 2  
353 given the variety of different processing algorithms, hardware configurations, antenna patterns,  
354 ancillary input data, etc. detailed in the references shown in Table 1 and in [31]. Are differences  
355 related to the conversion from L2 to L3? Is the annual cycle the same or similar in the L2 version of  
356 each of these as in the L3? Are any differences inherent in the hardware that is in orbit or are they  
357 part of the processing algorithm that converts engineering measurements within the satellite to  
358 geophysical measurements (L1 to L2)? Are they related to the footprint of the satellite or its antenna  
359 pattern? Its method of correcting for sea state, Faraday rotation within the atmosphere, galaxy  
360 brightness, radio frequency interference filtering, etc.? We get some hint of the answers to these  
361 questions in the comparison of SMAP RSS and SMAP JPL (Figure 8a) and comparison of SMOS BEC  
362 and SMOS CATDS (Figure 8b). As these datasets originate from the same basic L1 observations, any  
363 differences must be related to the L1 to L2 or L2 to L3 conversion. In the case of SMAP, it appears  
364 that very little difference is introduced in the gridding and processing, but the opposite is the case  
365 with the SMOS datasets. Clearly answers to the questions posed in this paragraph will require more  
366 analysis.

367 Another issue to consider when interpreting the results presented here is the depth dependence  
368 of upper ocean salinity, and how it is measured. There is a mismatch of sampling between these three  
369 measurement systems in depth. Satellites measure the skin surface value, the upper 1-2 cm. Argo  
370 floats, from which the EN4 and SIO products are mainly derived, usually do not measure above 5 m  
371 depth [15]. The topmost salinity sensors on the GTMBA buoys are positioned much closer to the  
372 surface, at a depth of ~1m [35]. The issue of depth dependence of upper ocean salinity has been  
373 explored in many previous papers [15, 46-50]. What impact might this different sampling have had  
374 on the results presented here? The moorings, having sensors close to the surface, give a better  
375 estimate of near surface values than Argo floats would. Studies like [43] have shown that rain  
376 anomalies do tend to get concentrated in the upper meter of the ocean surface. Such anomalies are  
377 present in the mooring time series like those displayed in Figure 2a. On the other hand, the large  
378 footprint of SSS satellites would tend to suppress short time scale rain-induced SSS anomalies. So,  
379 one would guess that the mooring time series will be able to capture very low values during rain  
380 events that might not be present in the footprint-averaged satellite values or the gridded in situ data.  
381 This effect is quite visible in Figure 2d. This could potentially lead to the mooring data having larger  
382 seasonal amplitudes than the other two types of data as low outliers during rainy seasons influence  
383 the harmonic analysis we have done here. However, this does not seem to be the case, at least for  
384 most of the products (Figure 9b-d and Table 2).

385 Satellite SSS is usually validated against one of the common gridded in situ products [5, 7, 20,  
386 30], of which we utilized two for our work here. As the seasonal time scale is one of the most energetic

387 in terms of variability [21], it is important to make sure these products themselves are validated. We  
388 have done some of that here for a limited geographical extent and a very limited time scale – i.e.  
389 annual.

390 Most important for the process of validation is the different fractions of temporal variance  
391 captured in the annual time scale by the in situ products vs. the various satellite products (Table 3  
392 and Figure 10). Given the fact that in situ products are mostly generated from sparse Argo data, it's  
393 expected that the seasonal time scale would be more heavily represented than anything shorter. Our  
394 results show however, that if validation is done using gridded products, important parts of the  
395 temporal spectrum of variability are missing. Do the satellite products get the balance correct  
396 between seasonal and shorter-term variability? Our results from Table 3 and Figure 10 show that this  
397 varies from one product to another.

398 As the moorings are a directly-measured, in situ dataset, the value of  $R^2$  presented in Table 3  
399 (0.19) likely is a good estimate of what fraction of variance the annual cycle represents in the real  
400 ocean – though Figure 10 indicates that this has a large degree of variation, from near-zero to almost  
401 80%. A further extension on this study would be to use the mooring data to generate power spectra  
402 for each location to see how prominent the peaks are, and how those spectra compare with ones from  
403 the satellite data. A major difference between the satellite data and the moorings is the fact that the  
404 satellites measure over a footprint rather than at a point. One would expect this difference to reduce  
405 the variance in individual estimates of SSS and thus make spectral peaks, including a seasonal peak  
406 if present, more prominent. Table 3 shows that the fraction of variance in the mooring data is larger  
407 than one of the satellite datasets (SMOS BEC), comparable to most, and smaller than one (Aquarius).  
408 This seems a hopeful sign, that the satellite datasets are mostly doing well at capturing the seasonal  
409 cycle, or at least giving it the correct weight among the other time scales present in the ocean.

## 410 5. Conclusions

411 We have compared a variety of satellite and in situ products with SSS data from the GTMBA at  
412 the seasonal time scale. A summary of the important results of this paper is shown in Table 2, which  
413 gives RMSD and median difference (i.e. bias) relative to the GTMBA for each product. The annual  
414 cycle is generally well-represented in all the products, though some discrepancies have been  
415 highlighted in the text. RMSD in amplitude (phase) has a range of 0.07-0.13 (0.5-1.5 months). Bias has  
416 a range of -0.02 - 0.06 (-0.1 - 0.1 months) in amplitude (phase). All values of phase difference include  
417 zero in their uncertainty range. The different products have different characteristics with regards to  
418 the fraction of variance in the annual cycle (Table 3). Aquarius and the two in situ products have the  
419 largest fraction (up to 43%) and the SMOS BEC product the smallest (10%).

420 **Supplementary Materials:** The following are available online at [www.mdpi.com/xxx/s1](http://www.mdpi.com/xxx/s1), Tables S1-S7.

421 **Author Contributions:** Conceptualization: F.B. and L.Y.; formal analysis: S.B.; funding acquisition: F.B.; project  
422 administration: F.B.; software: F.B. and S.B.; supervision: F.B.; visualization: S.B.; writing – original draft: F.B.  
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431

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