

1 **Emulating present and future simulations of melt rates**  
2 **at the base of Antarctic ice shelves with neural**  
3 **networks**

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12 **Key Points:**

- 13 • We show that simple neural networks can produce reasonable basal melt rates by  
14 emulating circum-Antarctic cavity-resolving ocean simulations.
- 15 • Predicted melt rates for present and warmer conditions are similar or closer to the  
16 reference simulation than traditional parameterisations.
- 17 • We show that neural networks are suited to be used as basal melt parameterisa-  
18 tions for century-scale ice-sheet projections.

**Abstract**

Melt rates at the base of Antarctic ice shelves are needed to drive projections of the Antarctic ice sheet mass loss. Current basal melt parameterisations struggle to link open ocean properties to ice-shelf basal melt rates for the range of current sub-shelf cavity geometries around Antarctica. We present a proof of concept exploring the potential of simple deep learning techniques to parameterise basal melt. We train a simple feedforward neural network, or multilayer perceptron, acting on each grid cell separately, to emulate the behavior of circum-Antarctic cavity-resolving ocean simulations. We find that this kind of emulator produces reasonable basal melt rates for our training ensemble, at least as close as or closer to the reference than traditional parameterisations. On an independent ensemble of simulations that was produced with the same ocean model but with different model parameters, cavity geometries and forcing, the neural network yields similar results to traditional parameterisations on present conditions. In much warmer conditions, both traditional parameterisations and neural network struggle, but the neural network tends to produce basal melt rates closer to the reference than a majority of traditional parameterisations. While this shows that such a neural network is at least as suitable for century-scale Antarctic ice-sheet projections as traditional parameterisations, it also highlights that tuning any parameterisation on present-like conditions can introduce biases and should be used with care. Nevertheless, this proof of concept is promising and provides a basis for further development of a deep learning basal melt parameterisation.

**Plain Language Summary**

A warmer ocean around Antarctica leads to higher melting of the floating ice shelves, which influence the ice loss from the Antarctic ice sheet and therefore sea-level rise. In computer simulations of the ocean, these ice shelves are often not represented. For simulations of the ice sheet, so-called parameterisations are used to link the oceanic properties in front of the shelf and the melt at their base. We show that this link can be emulated with a simple neural network, which performs at least as well as traditional physical parameterisations both for present and much warmer conditions. This study also proposes several potential ways of further improving the use of deep learning to parameterise basal melt.

**1 Introduction**

The contribution of the Antarctic Ice Sheet to sea-level rise has been increasing in past decades and this increase is projected to continue with increasing greenhouse gas emissions (Fox-Kemper et al., 2021). Most of the mass loss is occurring at the margins of the ice sheet through faster ice flow from the grounded ice sheet to the ocean, mainly in West Antarctica (Mouginot et al., 2014; Rignot et al., 2014; Scheuchl et al., 2016; Khazendar et al., 2016; Shen et al., 2018; The IMBIE Team, 2018). This is because the floating ice shelves at the margins of the ice sheet, which usually buttress the ice flow, are rapidly thinning and retreating due to ocean-induced melt at their base (Rignot et al., 2013; Paolo et al., 2015; Adusumilli et al., 2020). In some bedrock configurations, increased ocean-induced melt can even trigger marine ice sheet instabilities (Weertman, 1974; Schoof, 2007; Gudmundsson et al., 2012), which have the potential to strongly increase Antarctic mass loss, on timescales below a century (Fox-Kemper et al., 2021). This makes ocean-induced sub-shelf melt, or *basal melt*, one of the main sources of uncertainty for future projections of sea-level rise.

Basal melt is a result of warm ocean water coming into contact with the base of the ice shelf. Which water masses reach the ice-ocean interface depends on the circulation of the water, not only in front of the ice shelf, but also after entering the ice-shelf cavity (Dinniman et al., 2016). As a consequence, to simulate the properties of the wa-

69 ter at the ice-ocean interface accurately, both the ocean circulation around Antarctica  
70 and the circulation in the cavities below the ice shelves need to be simulated accurately.  
71 A few global or circum-Antarctic ocean models already include ice-shelf modules (Losch,  
72 2008; Timmermann et al., 2012; Dinniman et al., 2015; Mathiot et al., 2017; Comeau et  
73 al., 2022), but such ocean models are expensive to run on long timescales or for large en-  
74 sembles. Instead, a majority of the global climate models used until now in the Coupled  
75 (CMIP) or Paleoclimate (PMIP) Model Intercomparison Projects still poorly represent  
76 the ocean dynamics along the Antarctic margins and do not include ice-shelf cavities (Beadling  
77 et al., 2020; Heuzé, 2021). Getting the right water masses in the right place around Antarc-  
78 tica is a matter for global and regional ocean modelling and will not be the focus of this  
79 study. In this study, we focus on the circulation within the ice-shelf cavities and the re-  
80 sulting melt.

81 To infer the basal melt forcing for projections of the Antarctic contribution to sea-  
82 level rise, ice-sheet models commonly rely on parameterisations linking hydrographic prop-  
83 erties in front of the ice shelves, given by observations or oceanic output from global cli-  
84 mate models, and the basal melt (Jourdain et al., 2020). Due to different assumptions  
85 and simplifications concerning the circulation in the cavities, the range of existing basal  
86 melt parameterisations leads to widely differing melt patterns and associated contribu-  
87 tions to sea-level rise (Favier et al., 2019; Burgard et al., 2022). The magnitude of the  
88 resulting uncertainty contribution is similar, or even larger, than the choice of emission  
89 scenario used to force the projections (Seroussi et al., 2020; Edwards & the ISMIP6 Team,  
90 2021).

91 Mimicking the ocean circulation within the cavity in simplified physical parame-  
92 terisations is challenging and calls for exploring alternative approaches. We suggest that  
93 deep learning can be one tool to tackle this challenge. In recent years, the amount of ocean  
94 simulation output including ice-shelf cavities has increased and tools that make the ap-  
95 plication of deep learning techniques easily accessible have been developed, opening up  
96 the possibility of developing a neural network parameterisation for basal melt. If trained  
97 with high-resolution model output, a neural network parameterisation could implicitly  
98 include more intrinsic information about the system than a traditional physical param-  
99 eterisation. This approach has been applied promisingly in several areas of Earth Sys-  
100 tem Sciences in the form of multilayer perceptrons applied on the grid-cell level (e.g. Gen-  
101 tine et al., 2018; Rasp et al., 2018), convolutional neural networks applied on multidim-  
102 ensional fields (e.g. Bolton & Zanna, 2019; Rosier et al., 2023) or random forests (e.g.  
103 Yuval & O’Gorman, 2020).

104 Deep learning has also been explored for basal melt parameterisations. Rosier et  
105 al. (2023) performed promising experiments that showed that a cavity-resolving ocean  
106 model can be emulated with a convolutional neural network in a variety of idealised ice-  
107 shelf geometries. In the present study, we choose a different deep learning approach to  
108 develop such a *deep emulator*, or *surrogate model*, which differs on two fundamental points.  
109 First, we train on the circum-Antarctic cavity-resolving ocean simulations with realis-  
110 tic geometries used in Burgard et al. (2022). Second, we use a multilayer perceptron ar-  
111 chitecture applied to each grid cell, as preliminarily explored in Bouissou et al. (2022).  
112 In the following, we present a proof of concept for a multilayer perceptron, which takes  
113 in hydrographic properties in front of the ice shelf and the geometric information at each  
114 grid point. In Sec. 2, we present the training and testing data, the neural network ar-  
115 chitecture, and the evaluation procedure. In Sec. 3, we explore the performance of the  
116 neural network using cross-validation techniques, while in Sec. 4 its explore the appli-  
117 cability to an independent testing dataset. Finally, in Sec. 5, we discuss lessons learned  
118 from our study and give an outlook on possible directions to explore further in the fu-  
119 ture.

## 2 Data and Methods

The goal of this study is to explore if and how a neural network, in the form of a multilayer perceptron, can emulate the link between hydrographic properties in front of an ice shelf, geometric characteristics of the cavity, and the melt rates at its base as simulated by a cavity-resolving ocean model. In the following, we present the ocean model used and the set of simulations used for training, validation and testing the neural network; the neural network, its architecture, and its input variables; and the training and testing procedure.

### 2.1 Data

We choose to emulate a cavity-resolving version of the 3-D primitive-equation coupled ocean–sea-ice model NEMO (Nucleus for European Modelling of the Ocean, NEMO Team, 2019) run on the eORCA025 horizontal grid (Storkey et al., 2018). This grid has a resolution of  $0.25^\circ$  in longitude on average, i.e. a resolution of 4 to 14 km in the Antarctic seas and below the ice shelves, which is sufficient to capture the basic ocean circulation below multiple Antarctic ice shelves (Mathiot et al., 2017; Bull et al., 2021). Basal melt in the ice-shelf cavities is computed following Mathiot et al. (2017): a  $z^*$  coordinate is used for depth and the three equations (as proposed by D. Holland & Jenkins, 1999; Asay-Davis et al., 2016) are used to parameterise the ice-shelf melt in the ice-ocean boundary layer.

For the training phase, we use the same ensemble of simulations as used for the assessment of traditional basal melt parameterisations in Burgard et al. (2022). The ensemble is composed of four ocean simulations spanning 30 to 40 years, depending on the simulation, between 1979 and 2018. They were run with a standalone version of NEMO and forced with atmospheric forcing from JRA55-do version 1.4 (Tsujino et al., 2018). The Antarctic continental shelf bathymetry and ice shelf draft are constant and based on Bedmachine Antarctica version 2 (Morlighem et al., 2020). The simulations in the ensemble differ in a small number of parameters which are not directly related to the physics driving the ocean circulation and melt within the ice-shelf cavities but rather lead to a variety of hydrographic properties all around Antarctica. A more detailed description of the exact model configuration, differences in parameters and evaluation against observational estimates can be found in Burgard et al. (2022).

For the testing phase, we use two simulations independent from the ensemble used for training. In this case, NEMO was run in coupled mode as the oceanic component of the Earth System Model UKESM1.0-ice (Smith et al., 2021), which couples the UK Earth System Model (UKESM1, Sellar et al., 2019) to an adapted version of the ice-sheet model BISICLES (Cornford et al., 2013). In this coupled configuration, the cavities below the ice shelves are open and the ice-shelf melt is computed with the same approach as in the training ensemble. Due to the coupled setup, the ice-shelf draft evolves according to the simulated evolution of the ice sheet. Note that the position of the ice front at the surface remains fixed by ice-sheet model design. More details about the configuration of NEMO in this model setup can be found in Smith et al. (2021). The two test simulations differ in their atmospheric forcing. In the first one, which we will call “REPEAT1970”, UKESM1.0-ice was run for several decades under constant 1970 greenhouse gas and other forcings. In the second one, which we will call “4xCO2”, UKESM1.0-ice was run for several decades under instantaneously quadrupled 1970 CO<sub>2</sub> concentrations. In our study, we use 60 years of simulation, from year 10 to year 70, for both runs.

The training and the testing dataset result from NEMO simulations. Nevertheless, next to differences in forcing from the atmosphere and the ice and bed geometry, the training and testing ensembles also differ in several technical aspects of NEMO. The training simulations were run with the version of 4.0.4. of NEMO (NEMO Team, 2019), including the sea-ice model SI<sup>3</sup>, while the test simulations were run with the version 3.6

171 of NEMO (Madec & NEMO Team, 2017) and version 5.1 of the Community Ice Code  
 172 (CICE, Hunke et al., 2015). In addition, a few different parameter choices may affect the  
 173 link between hydrographic properties in front of the ice shelf and the melt at the base  
 174 of the ice shelf. The training ensemble was computed on 121 vertical levels (represent-  
 175 ing 20 m at 600 m depth), while the testing ensemble was computed on 75 vertical lev-  
 176 els (representing 60 m at 600 m depth). In both ensembles, the thickness of the top bound-  
 177 ary layer is bound at 20 m but can differ locally due to the different vertical resolutions.  
 178 In the training ensemble, the thermal Stanton number is set to  $7 \times 10^{-4}$  while in the test-  
 179 ing ensemble the thermal Stanton number is set to  $1.45 \times 10^{-3}$ . In the training ensem-  
 180 ble, the top tidal velocity varies locally based on the CATS2008 dataset (Padman et al.,  
 181 2008; Howard et al., 2019), while it is fixed to 5 cm/s in the testing ensemble. In con-  
 182 clusion, this means that the testing ensemble is a slightly different model than the model  
 183 which the neural network is trained to emulate and therefore represents a demanding test-  
 184 ing experiment.

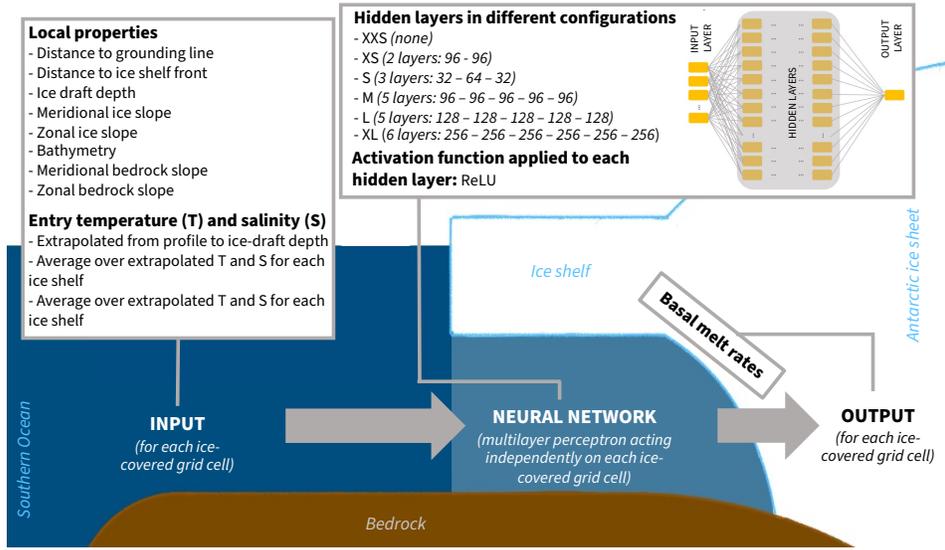
185 The training and testing ensembles cover a range of states that do not necessar-  
 186 ily match observational estimates of hydrographic properties and basal melt rates. In  
 187 both standalone and coupled mode, eORCA025 configurations are prone to biases in the  
 188 ocean circulation around Antarctica (Smith et al., 2021). Nevertheless, in Burgard et al.  
 189 (2022), we showed that, if the forcing and parameters were carefully chosen to reproduce  
 190 realistic ocean conditions in the Southern Ocean, the resulting basal melt rates were in  
 191 agreement with observational estimates from Rignot et al. (2013). The physical link be-  
 192 tween the hydrographic properties in front of the ice shelves and the basal melt rates is  
 193 therefore reasonable. Based on this assumption, biases in the input properties should not  
 194 affect the credibility of the training and evaluation procedure and the resulting neural  
 195 network. On the contrary, a large variety of states is even beneficial because it provides  
 196 more cases for our neural network to train on than only using the very limited sample  
 197 of observations.

198 On a more technical note, for this study, the NEMO output was interpolated bi-  
 199 linearly to a stereographic grid of 5 km spacing, as ice-sheet models and basal melt pa-  
 200 rameterisations are commonly run on a stereographic grid. All pre-processing, training,  
 201 testing, and analysis is conducted using this regridded data. From this regridded data,  
 202 we cut out the different ice shelves according to latitude and longitude limits defined on  
 203 the present geometry (details found in Burgard, 2022) and then apply a routine to adapt  
 204 this mask to slightly different geometries, like the ones resulting from the fully coupled  
 205 UKESM1.0-ice runs. Of these ice shelves, we only keep the ice shelves under which we  
 206 expect a reasonable representation of the ocean circulation. The effective resolution of  
 207 physical ocean models, i.e. the resolution below which the circulation might not be re-  
 208 solved well, is typically 5 to 10 times the grid spacing (Bricaud et al., 2020). We empir-  
 209 ically choose a cutoff at an area of  $2500 \text{ km}^2$  (i.e.  $6.25 \Delta x$ ) to be in this range while keep-  
 210 ing a sufficiently large number of ice shelves. Due to different geometries in the train-  
 211 ing and testing ensemble, this results into a slightly different ensemble of resolved ice shelves  
 212 in these two ensembles (as listed in the figures S1 to S4).

## 213 **2.2 Neural network**

214 We design our neural network to predict the basal melt rates based on information  
 215 about the ocean temperature and salinity in front of the ice shelf and about the ice-shelf  
 216 geometry (Fig. 1). The scope of this study is to provide a proof of concept for the ap-  
 217 plication of neural networks as a basal melt parameterisation. We only investigate a small  
 218 sample of neural network sizes for exploration in this study and do not claim that the  
 219 best performing neural network in this study is the best performing neural network for  
 220 the problem in general. This study is rather a proof of concept to encourage further re-  
 221 search in this direction.

222 To link the input to the prediction, we use a multilayer perceptron, which is ap-  
 223 plied to each grid cell independently. A multilayer perceptron is the simplest form of a  
 224 neural network and is a composition of functions (also called hidden layers), which takes  
 225 an input array containing any number of variables and outputs a prediction. Specifying  
 226 its number of neurons, each hidden layer is characterised by its parameters – the weights  
 227 and biases, that connect each layer to its previous layer and shift the values in the hid-  
 228 den layer, respectively. An activation function in the hidden layer introduces non-linearities  
 229 in the relationship between input and output. In this study, we explore different num-  
 230 bers of layers and numbers of neurons per layer. As activation function, we use the rec-  
 231 tified linear unit (ReLU, Fukushima, 1975; Nair & Hinton, 2010). The multilayer per-  
 232 ceptron is implemented in Python with the package Keras (Chollet et al., 2015).



**Figure 1.** Schematic of the workflow around our neural network and description of the different neural network sizes.

233 The strength of a neural network, and supervised machine learning techniques in  
 234 general, is that it can reproduce complex non-linear relationships without being given  
 235 the driving equations behind the data. Instead, its performance is driven by the super-  
 236 vised training phase, which determines the weights and biases of each neuron in the net-  
 237 work. During training, the loss, describing the averaged distance of the network predic-  
 238 tions to a given target output, is backpropagated to the weights of the network. The weights  
 239 are then optimised with stochastic gradient descent. The training dataset is randomly  
 240 split up into batches, over which the optimisation is looped. A complete pass through  
 241 the batches defines an epoch, and the weights and biases are optimised over several such  
 242 epochs. In parallel to the training, the neural network is applied to a validation dataset  
 243 to monitor its performance on data that has not been used for the training. After train-  
 244 ing, the final performance of the neural network is estimated by applying it to a previ-  
 245 ously unseen testing dataset.

246 In this study, to train the neural network, the loss which we reduce is the mean-  
 247 squared-error over all ice-covered points between the predicted ( $m_{NN}$ ) and target ( $m_{ref}$ )  
 248 basal melt rates,

$$MSE = \frac{\sum_i^{N_{pts}} \sum_t^{N_{years}} (m_{NN}[i, t] - m_{ref}[i, t])^2}{N_{pts} N_{years}} \quad (1)$$

249 where  $N_{\text{pts}}$  is the number of ice-covered grid points and  $N_{\text{years}}$  is the number of years  
 250 used in the training. In Burgard et al. (2022), we argued that tuning on the grid-cell level  
 251 would give too much weight to the larger ice shelves, as they cover a larger area. We still  
 252 agree with this statement for traditional parameterisations because they already intrin-  
 253 sically contain assumptions about the physics of the circulation and the melt before tun-  
 254 ing and have only one or two tuneable parameters. In the case of our neural network,  
 255 the relationship between the properties in front of the ice shelf and the melt is learnt from  
 256 scratch, and it contains a larger number of parameters to adjust. We therefore argue that  
 257 training on the grid-cell level is more sensible.

258 The neural network is optimised with Adam (Kingma & Ba, 2014), an initial learn-  
 259 ing rate of 0.001, an exponential decay rate for the 1st moment estimates ( $\beta_1$ ) of 0.9 and  
 260 an exponential decay rate for the 2nd moment estimates ( $\beta_2$ ) of 0.999. We split the train-  
 261 ing dataset in batches with a size of 512 samples and optimise the neural network for  
 262 at most 100 epochs. If the validation loss is not improved for 5 epochs, we reduce the  
 263 learning rate by a factor of 2. If the validation loss is not improved for 10 epochs, we stop  
 264 the training early. After early stopping, the model weights with the lowest validation loss  
 265 are restored. More information about the choice of hyperparameters can be found in the  
 266 Supplementary Information.

### 267 **2.3 Input variables**

268 The multilayer perceptron takes an array of variables as input for each grid cell in-  
 269 dependently. In our case, the input array contains information about the geometrical prop-  
 270 erties of the grid cell and the hydrographic forcing (Fig. 1).

271 For the geometrical properties, the input contains the following information: the  
 272 ice draft depth, the local meridional and zonal slopes of the ice draft, the bathymetry,  
 273 the local meridional and zonal slopes of the bedrock, and the distance of the grid cell  
 274 to the nearest grounding line cell and the distance to the nearest ice front cell. All these  
 275 variables are defined on the same horizontal plane and domain as the output array, the  
 276 basal melt rates.

277 For the hydrographic forcing, more pre-processing is needed. To map the hydro-  
 278 graphic forcing to the same grid cells as the other input variables, we proceed in the same  
 279 manner as for traditional simple parameterisations in Burgard et al. (2022). First, we  
 280 convert the conservative temperature and absolute salinity given by NEMO into poten-  
 281 tial temperature and practical salinity with the GSW oceanographic toolbox (Firing et  
 282 al., 2021). Second, we horizontally average the potential temperature and practical salin-  
 283 ity, respectively, for each depth layer situated above the continental shelf within 50 km  
 284 of the front of each ice shelf. The continental shelf is defined as grid cells where the depth  
 285 of the bathymetry is shallower than 1500 m. The 50 km criterion imitates CMIP-type  
 286 global ocean models that have resolutions around  $1^\circ$  (Heuzé, 2021), corresponding to a  
 287 distance of between 38 km ( $70^\circ\text{S}$ ) and 56 km ( $60^\circ\text{S}$ ) in longitude. Third, we extrapolate  
 288 the temperature and salinity from these mean vertical profiles in front of the ice shelf  
 289 to the local ice-draft depth, resulting in one local temperature and local salinity value  
 290 per grid cell in the ice-shelf domain. Fourth, we also compute, for each time step, the  
 291 average and standard deviation of these extrapolated temperature and salinity fields and  
 292 use them as additional input variables for each grid cell.

### 293 **2.4 Training, validation and testing methodology**

294 In a first step, we explore different neural network sizes using the method of cross  
 295 validation on our training ensemble. In a second step, we choose one of these neural net-  
 296 works to explore their performance on the testing dataset.

297 We conduct two variations of leave-one-block-out cross validation to estimate the  
 298 validation loss (MSE as defined in Eq. 1), one on the ice shelf dimension and one on the  
 299 time dimension, like in Burgard et al. (2022). This approach consists of dividing the dataset  
 300 into  $N$  blocks, training the neural network to minimise the training loss on  $N-1$  blocks  
 301 and using the left-out block to compute the validation loss (Wilks, 2006; Roberts et al.,  
 302 2017). The procedure is re-iterated  $N$  times, leaving out each of the  $N$  blocks succes-  
 303 sively, so that, in the end, each  $N$ -th block has been left out of training once. All pre-  
 304 dictions for the left-out blocks, using the separately trained neural networks, are then  
 305 concatenated to form a “synthetically independent” evaluation dataset. Applying an eval-  
 306 uation metric on this evaluation dataset, we assess how well the neural network gener-  
 307 alises to data “unseen” during training. We use  $N=35$  for the cross validation over ice  
 308 shelves. For the cross validation over time, we divide the years into blocks of approxi-  
 309 mately 10 years (ten 10-year blocks and three 9-year blocks) to reduce the effect of au-  
 310 tocorrelation, which is typically 2 to 3 years in our input temperatures. This results in  
 311  $N=13$  for the cross validation over time.

312 Before training, we normalise the training sample to put each of the 14 input vari-  
 313 ables (listed in Fig. 1) as well as the output variable on a similar order of magnitude and  
 314 avoid potential problems of gradient explosion. We do so by subtracting the mean and  
 315 dividing by the standard deviation of the training sample. To avoid that validation data  
 316 leaks into the training, this normalisation is reiterated for each iteration of the cross val-  
 317 idation.

318 We use the framework of cross validation to evaluate not only one but several neu-  
 319 ral networks to estimate the effect of their size on their performance. We sample differ-  
 320 ent sizes ranging from an extra-extra small (XXS) neural network, with no hidden layer,  
 321 and thus corresponding to a linear regression, to an extra-large (XL) neural network, with  
 322 six hidden layers, each containing 256 neurons. The different sizes are listed in Fig. 1.

323 To evaluate the resulting basal melt rates, we use the same metrics as in Burgard  
 324 et al. (2022), namely: (1) the root-mean-squared error (RMSE) of the yearly integrated  
 325 melt on the ice-shelf level and (2) the RMSE of the mean melt near the grounding line  
 326 for each ice shelf. For the former, we compute the RMSE between the simulated and em-  
 327 ulated yearly integrated melt ( $M$ ) of the individual ice shelves [in Gt/yr] as follows:

$$RMSE_{\text{int}} = \sqrt{\frac{\sum_k^{N_{\text{isf}}} \sum_t^{N_{\text{years}}} (M_{\text{NN}}[k, t] - M_{\text{ref}}[k, t])^2}{N_{\text{isf}} N_{\text{years}}}} \quad (2)$$

328 where the subscript  $NN$  stands for neural network,  $N_{\text{isf}}$  is the number of ice shelves and  
 329  $N_{\text{years}}$  the number of simulated years, and the integrated melt  $M$  of ice shelf  $k$  [in Gt/yr]  
 330 is:

$$M[k] = \rho_i \times 10^{-12} \sum_j^{N_{\text{grid cells in } k}} m_j a_j \quad (3)$$

331 where  $\rho_i$  is the ice density,  $m_j$  is the melt [in m ice per year] in grid cell  $j$ , and  $a_j$  is the  
 332 area of grid cell  $j$ . For the latter, we compute the RMSE between the simulated and em-  
 333 ulated yearly mean melt rate near the grounding line [in m ice per year]:

$$RMSE_{\text{GL}} = \sqrt{\frac{\sum_k^{N_{\text{isf}}} \sum_n^{N_{\text{simu}}} (m_{\text{GL,NN}}[k, n] - m_{\text{GL,ref}}[k, n])^2}{N_{\text{isf}} N_{\text{simu}}}} \quad (4)$$

334 where  $N_{\text{simu}}$  is the number of simulations in the ensemble and where  $m_{\text{GL}}$  for ice shelf  
 335  $k$  and simulation  $n$  is:

$$m_{\text{GL}}[k, n] = \frac{1}{N_{\text{years in } n}} \sum_t^{N_{\text{years in } n}} \frac{\sum_j^{N_{\text{grid cells near GL in } k}} (m_j a_j)}{\sum_j^{N_{\text{grid cells near GL in } k}} a_j} \quad (5)$$

336 The domain “near the grounding line” is the area covered by the first box prepared for  
 337 the box parameterisation, when considering a maximum amount of five boxes, and is equiv-  
 338 alent to approximately 10 % of the shelf area.

339 After cross validation, we choose the neural network producing the most satisfy-  
 340 ing results to do further evaluation on a completely independent dataset. To do so, we  
 341 reiterate the training of the subsample of neural networks over the whole training dataset  
 342 and choose to work with a deep ensemble (Lakshminarayanan et al., 2017). The final weights  
 343 and biases of neural networks depend on the initialisation of the weights before the first  
 344 training iteration (Goodfellow et al., 2016). To account for this uncertainty and gain a  
 345 more robust performance from the chosen neural network, we reiterate the training of  
 346 the neural network ten times with ten different random initialisations. We then apply  
 347 this deep ensemble of ten neural networks to the independent testing input and compute  
 348 an ensemble mean over the ten resulting melt rates.

### 349 3 Training and cross validation

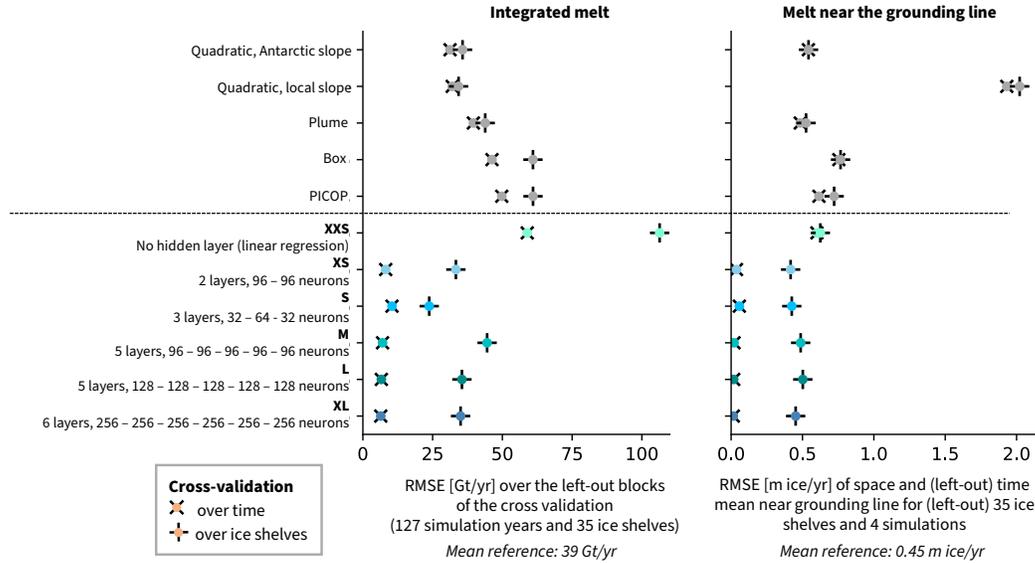
#### 350 3.1 Integrated melt and mean melt near the grounding line

351 The two evaluation metrics for the cross validation of the different neural network  
 352 sizes are shown in Fig. 2. To compare the performance to traditional parameterisations,  
 353 we show the evaluation metrics for a subset of existing parameterisations: the quadratic  
 354 local parameterisation using a constant Antarctic slope (e.g. P. Holland et al., 2008) and  
 355 using a local slope (e.g. Favier et al., 2019; Jourdain et al., 2020), the plume parame-  
 356 terisation proposed by Lazeroms et al. (2019), the box parameterisation with the same  
 357 box amount as in Reese et al. (2018), and the PICOP parameterisation from Pelle et al.  
 358 (2019). These parameterisations are taken as formulated and tuned in Burgard et al. (2022).  
 359 This means that they are tuned on the same training dataset as the neural networks.

360 Corresponding to a linear regression, the XXS neural network leads to a RMSE of  
 361 a similar order as traditional parameterisations in the cross validation over time and, for  
 362 the melt near the grounding line, in the cross validation over ice shelves as well. For the  
 363 integrated melt, the cross validation over ice shelves leads to a comparably high RMSE.  
 364 In the further course of this study, we therefore focus on neural networks that include  
 365 hidden layers.

366 For both metrics, the RMSE for the cross validation over time is considerably re-  
 367 duced when using a neural network with hidden layers compared to traditional param-  
 368 eterisations and the XXS neural network. For the S-sized network, for example, the RMSE  
 369 is reduced by 67 to 79% for the integrated melt compared to traditional parameterisa-  
 370 tions and by 88 to 97% for the melt near the grounding line. The RMSE for the cross  
 371 validation over ice shelves is higher than for the cross validation over time but remains  
 372 on the lower end of the range of RMSEs given by traditional parameterisations. For the  
 373 S-sized network, for example, the RMSE is reduced by 31 to 61% for the integrated melt  
 374 and from 19 to 78% for the melt near the grounding line.

375 The  $\text{RMSE}_{\text{int}}$  of the cross validation over time is very similar between neural net-  
 376 work sizes and spans between 6 Gt/yr (XL) and 11 Gt/yr (S). It remains well below the  
 377 mean reference integrated melt on the ice-shelf level of 39 Gt/yr. The  $\text{RMSE}_{\text{int}}$  of the



**Figure 2.** Summary of the RMSE of the integrated melt ( $RMSE_{int}$ ) for the cross validation over time ( $\times$ ) and for the cross validation over ice shelves ( $+$ ) [in Gt/yr] (left) and summary of the RMSE of the melt rate averaged over time and space near the grounding line ( $RMSE_{GL}$ ) [in m ice/yr] (right). Shades of blue indicate the ensemble of neural network sizes and grey indicates a selection of traditional parameterisations (as shown in Burgard et al., 2022). The RMSE is computed following Eq. (2), left panel, and Eq. (4), right panel, on the synthetically independent evaluation dataset.

378 cross validation over ice shelves varies more and is higher, between 24 (S) and 45 Gt/yr  
 379 (M). The performance does not correlate with the neural network size. On the contrary,  
 380 the lowest  $RMSE_{int}$  of the cross validation over ice shelves is found for a comparably small  
 381 neural network (S).

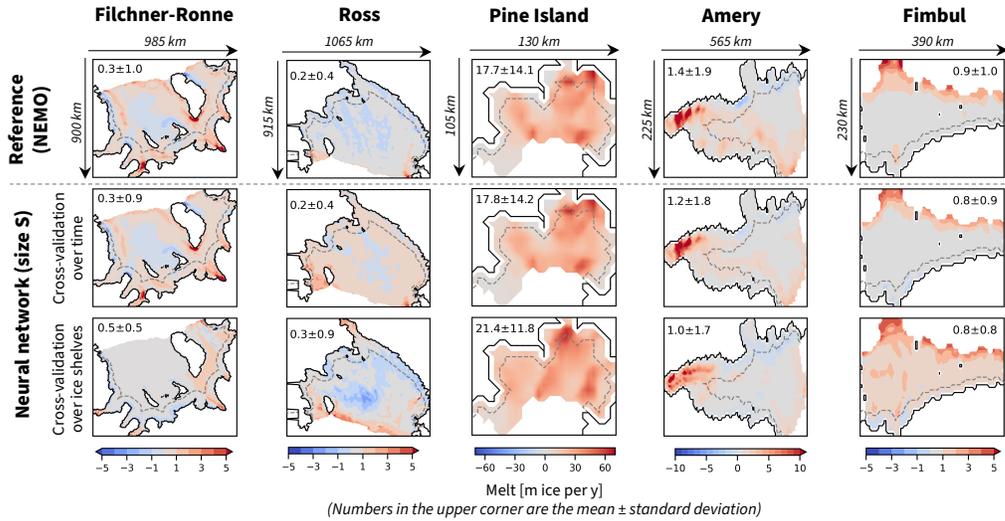
382 For the melt near the grounding line, the  $RMSE_{GL}$  does not vary much in both cross  
 383 validations between neural network sizes. The cross validation over time leads to a very  
 384 low RMSE, varying from 0.02 m/yr (M,L,XL) to 0.06 m/yr (S). The cross validation over  
 385 ice shelves leads to a RMSE between 0.42 m/yr (XS,S) and 0.50 m/yr (L), on the same  
 386 order as the mean reference melt near the grounding line on the ice-shelf level, which is  
 387 0.45 m ice/yr.

388 The neural networks have more difficulties generalising to unseen ice shelves than  
 389 generalising to unseen time periods. This means that one of the obstacles for the neu-  
 390 ral networks' performance is the application to unknown cavity geometries. Some of the  
 391 cavity geometries are so different from the rest of the ensemble that they force the neu-  
 392 ral networks to extrapolate far from their training domain. However, if they have seen  
 393 a given geometry at least once during training, they perform well on this geometry for  
 394 another time step. This aspect is encouraging, as this means that the neural networks  
 395 adapt well to temperature and salinity variations across the training ensemble.

### 396 3.2 Spatial patterns

397 To add on the metrics at the ice-shelf level, we analyse the spatial patterns result-  
 398 ing from the S-sized neural network for the training ensemble member closest to real-

399 istic conditions (called REALISTIC in Burgard et al., 2022). We choose the S size be-  
 400 cause it represents the best compromise in the integrated metrics, having comparably  
 401 low RMSE for both cross validations. For the cross validation over time, the pattern is  
 402 nearly indistinguishable from the reference for Filchner-Ronne, Pine Island, and Fim-  
 403 bulice shelves (Fig. 3), while there is a slight overestimation by less than 1 m/yr over  
 404 large parts of Ross ice shelf. For all ice shelves, the magnitude of the mean and standard  
 405 deviation of the parameterised melt is nearly identical to the reference.



**Figure 3.** Subset of ice shelves for a visual evaluation of the melt patterns. Time average for the training ensemble member closest to real conditions (39 years) where the melt for each timestep has been computed with the neural network trained on the dataset leaving out that timestep (cross validation over time, 2nd row) and where the melt of each ice shelf has been computed with the neural network trained on the dataset leaving out that ice shelf (cross validation over ice shelves, 3rd row). Mean  $\pm$  standard deviation are shown. The dashed line indicates the region used to evaluate the melt rate near the grounding line.

406 For the cross validation over ice shelves, the patterns are not matching in as much  
 407 detail as in the cross validation over time. In particular, for the two largest ice shelves,  
 408 Filchner-Ronne and Ross, it becomes clear that if the neural network has been trained  
 409 without one of them, it will mimic the spatial pattern of the other because they are the  
 410 only ones to share given ranges in the input variables, such as for example large distances  
 411 to the ice front and grounding line. For the other ice shelves, the parameterised patterns  
 412 match the reference, but the magnitude of the melt deviates more from the reference than  
 413 in the cross validation over time. For Pine Island, the neural network overestimates the  
 414 average melt by 4 m/yr and underestimates the standard deviation by 3 m/yr. For Amery  
 415 ice shelf, the high melt region in the South displays melt rates about 5 m/yr lower than  
 416 the reference, whereas for Fimbul ice shelf the widespread melt is overestimated by less  
 417 than 1 m/yr.

418 The low RMSE in the cross validation over time suggests an overfit on the geom-  
 419 etry, which is fixed over time in the training dataset. The melt patterns and magnitudes  
 420 very close to the reference in the cross validation over time show that, even if our neu-  
 421 ral network is applied on each grid-cell separately, the location of the grid cell is more

or less encoded in one or more input variables. However, as our problem is not necessarily well constrained with the input variables given, we suggest that this overfit can be used to our advantage. Our hypothesis is that, if the neural network has seen each ice shelf once, it has captured the variety of geometries and will be able to generalise to future changes in these “known” ice shelves. We do not expect new and completely different ice shelves to appear in the next centuries. To assess this idea, we need to investigate how well the neural network will perform on a geometry which is similar to but not identical to the training, and on hydrographic properties outside of the training range.

#### 4 Testing on independent simulations

We apply our S-sized neural network on two independent datasets, one representing 60 years of constant 1970-forcing (REPEAT1970), and one representing warmer conditions, i.e. 60 years of abrupt 4xCO<sub>2</sub> forcing (4xCO<sub>2</sub>), from Smith et al. (2021). The REPEAT1970 simulation has a relatively steady ice-sheet geometry, similar (but not identical) to the training geometry and is useful to assess the sensitivity of the neural network to different near-present-day atmospheric conditions (from the UKESM atmosphere component), to different parameters used in NEMO, and to slightly different geometries. The 4xCO<sub>2</sub> simulation experiences larger changes in ice-sheet geometry and much warmer conditions, which is useful to test the neural networks far outside of their training range. As a consequence, this evaluation is demanding and permits to evaluate the limits of the neural network.

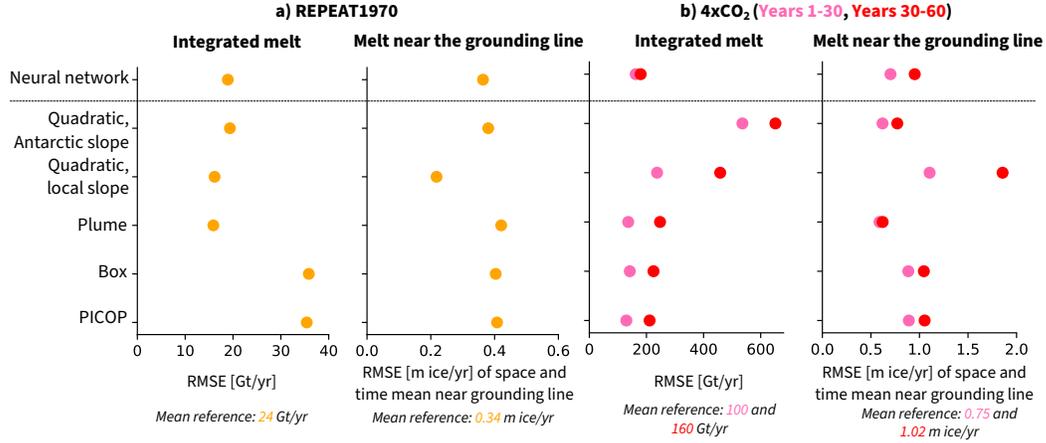
For evaluation, we divide the 4xCO<sub>2</sub> run into two 30-year blocks to capture potential differences with warming in time. As explained in Sec. 2.4, we train the neural network ten times, with ten different random initialisations. In the following, the results shown are averages over the predictions of the ten ensemble members, which represent one deep ensemble.

##### 4.1 Integrated melt and melt near the grounding line

The neural network reproduces well the REPEAT1970 melt rates integrated over individual ice shelves, with a RMSE<sub>int</sub> of 19 Gt/yr (Fig. 4a, left). This error is slightly larger than in the cross validation over time (see Fig. 2), and becomes similar to the quadratic and plume parameterisations. It should be noted that the RMSE<sub>int</sub> of these parameterisations is lower than in the cross validation, likely because of the overall lower melt rates in this simulation (24 Gt/yr compared to 39 Gt/yr in the training ensemble). The neural network still clearly outperforms the box and PICOP parameterisation (RMSE<sub>int</sub>  $\simeq$  35 Gt/yr).

For the melt near the grounding line, all parameterisations are uncertain, with RMSE<sub>GL</sub> close to the reference mean melt near the grounding line of 0.34 m/yr (Fig. 4a, right). The neural network and the traditional parameterisations yield similar RMSE<sub>GL</sub>, between 0.36 and 0.42 m/yr, except the quadratic using a local slope, which leads to a slightly lower RMSE, on the order of 0.22 m/yr.

For the warmer conditions (4xCO<sub>2</sub>), all parameterisations struggle to reproduce the integrated melt on the ice-shelf level, with high spread in performance between the parameterisations (Fig. 4b, left). The RMSE<sub>int</sub> is multiplied by more than 10 for the neural network and reaches nearly 650 Gt/yr for the quadratic parameterisation using an Antarctic slope in the second period. While this jump in RMSE can be explained by a higher mean reference integrated melt (100 Gt/yr for the first period and 159 Gt/yr for the second period), it is probably also a result of forcing unseen during training such as much warmer and less saline ocean conditions (Figs. S1 and S2). Over both periods, the neural network remains at the lower range of the difference to the reference melt rates. While neural network, plume, box and PICOP parameterisation have comparable RMSEs for the first warm period (between 103 and 163 Gt/yr), the RMSE increases more



**Figure 4.** Summary of the RMSE of the integrated melt ( $RMSE_{int}$ ) [in Gt/yr] and of the RMSE of the melt rate averaged over time and space near the grounding line ( $RMSE_{GL}$ ) [in m ice/yr] for the application of the S-sized deep ensemble and a selection of traditional parameterisations on REPEAT1970 (a) and 4xCO<sub>2</sub> (b). Note the change in x-axis between the (a) and (b) panels.

471 for the plume, box and PICOP parameterisation (between 211 and 248 Gt/yr) than for  
 472 the neural network (180 Gt/yr) in the even warmer second period.

473 For the melt near the grounding line, the parameterisations perform differently than  
 474 for the integrated melt, pointing to potential challenges outside the domain near the ground-  
 475 ing line, along the path of the meltwater plume. These could be, for example, the effect  
 476 of the ocean circulation in the wider cavity, interactions between the melt plume and the  
 477 ambient ocean, the circulation of the melt plume or irregularities in the ice draft. The  
 478 neural network performs in a similar uncertain manner as in the REPEAT1970 case (Fig. 4b,  
 479 right). Its  $RMSE_{GL}$ , 0.70 m/yr in the first period and 0.95 m/yr in the second period,  
 480 is close to the reference mean melt near the grounding line (0.75 m/yr for the first pe-  
 481 riod and 1.02 m/yr for the second period). In the first period, only the quadratic local  
 482 parameterisation using an Antarctic slope and the plume parameterisation have lower  
 483  $RMSE_{GL}$  (0.62 and 0.59 m/yr respectively), while in the second period only the quadratic  
 484 parameterisation using a local slope performs clearly worse than the other parameter-  
 485 isations. For all, the RMSE increases with warmer conditions but the gap between the  
 486 periods depends on the parameterisation, ranging from a difference of 0.04 m/yr for the  
 487 plume parameterisation to a difference of 0.76 m/yr for the quadratic parameterisation  
 488 using a local slope.

489 From this demanding application on an independent testing dataset, several conclu-  
 490 sions can be drawn. First, the neural network applies reasonably well to data that devi-  
 491 ates from the training dataset but represents near-present conditions. This means that,  
 492 if it has seen all geometries of the main circum-Antarctic ice shelves, it can adapt to slightly  
 493 different geometries. This is even more encouraging as the testing simulations were con-  
 494 ducted with a slightly different version of NEMO than the neural networks were trained  
 495 on. Second, the RMSE of the neural network is higher when applied to warmer condi-  
 496 tions, but, in comparison with the traditional parameterisations, it performs at least as  
 497 well or even better.

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## 4.2 Spatial patterns

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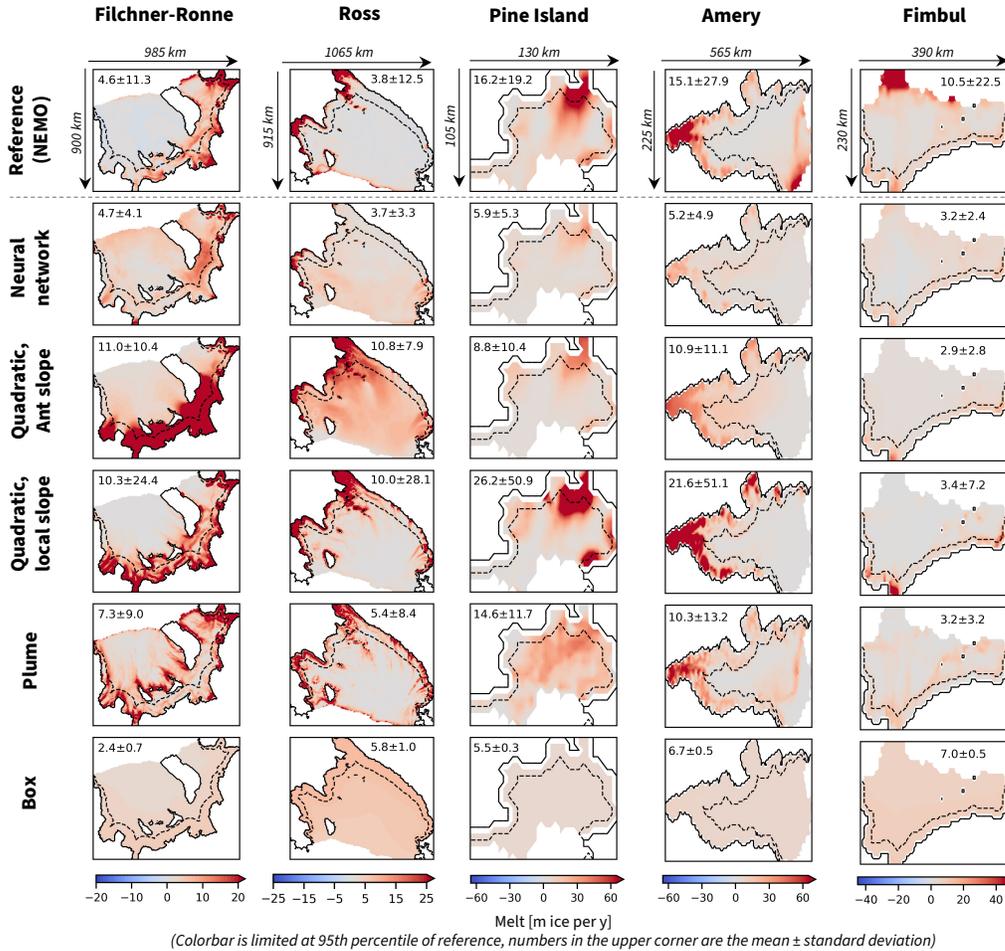
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Looking at the spatial patterns averaged over the last 10 years of the 4xCO<sub>2</sub> run, it becomes clear that all parameterisations, both neural network and traditional ones, struggle with warmer conditions and slightly different geometries to the training ensemble (Fig. 5). The parameterisation which struggles the most is the box parameterisation, which widely underestimates the melt for all ice shelves, completely missing regions of strong melt.



**Figure 5.** Subset of ice shelves for a visual evaluation of the melt patterns. Time average for the last 10 years of the 4xCO<sub>2</sub> run. The colorbar is limited to the 95th percentile of the NEMO reference. Mean ± standard deviation are shown. The dashed line indicates the region used to evaluate the melt rate near the grounding line.

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For the large ice shelves of Filchner-Ronne and Ross, the neural network predicts similar mean melt rates as the reference but the distribution of the melt is mostly homogeneous over the ice shelves, with a few regions of comparably high but still underestimated melt. Except the box parameterisation, all traditional parameterisations result in a more divided melt pattern, with higher melt near the grounding line for the quadratic parameterisations and higher melt near most coastlines for the plume parameterisation. They overestimate the average melt by 150% to 200% compared to the reference, a dif-

512 ference mostly introduced by an overestimation by about 20 m/yr in the regions of high  
513 parameterised melt.

514 For Pine Island and Amery ice shelves, a slight pattern similar to the reference can  
515 be seen in the melt predicted by the neural network but it is on average about 3 times  
516 lower than the average reference melt. The quadratic parameterisations both exhibit a  
517 similar pattern to the reference, but on average too low by 4 to 6 m/yr for the quadratic  
518 parameterisation using the Antarctic slope and on average too high by 6 to 10 m/yr for  
519 the quadratic parameterisation using the local slope. The plume parameterisation has  
520 a more scattered melt pattern for Pine Island but a similar pattern to the reference with  
521 slightly too low melt for Amery. All parameterisations underestimate the melt for Fim-  
522 bul ice shelf.

523 This spatial evaluation shows that the neural network has difficulties with input  
524 temperatures, salinities and melt rates well outside the training range. For the smaller  
525 ice shelves, the melt pattern is comparable to the reference but the melt rate is under-  
526 estimated. We suggest that this is because it did not learn to compute melt rates above  
527 the range represented in the training dataset. Also, some of this underestimation could  
528 be a result of the higher Stanton number, and therefore potentially slightly higher melt  
529 for same input properties, in our testing dataset compared to our training dataset. For  
530 the larger ice shelves, the neural network is struggling both with the melt rate and the  
531 pattern. We conjecture that this is a limitation of the overfit of the neural network and  
532 the neural network therefore extrapolates freely. We expect the overfitting effect to be  
533 largest for the large ice shelves because some ranges of input variables, such as large dis-  
534 tances to ice front and grounding line as well as very deep ice-draft depth, are only found  
535 in these ice shelves, and these particular properties were not occurring in combination  
536 with warm conditions in the training.

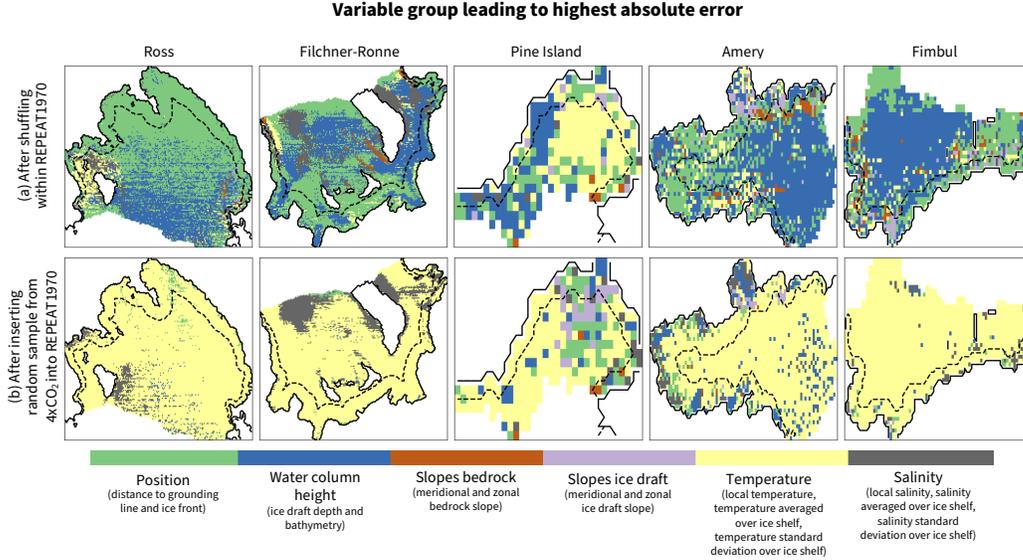
## 537 5 Discussion

538 In this study, we showed that a simple multilayer perceptron can emulate melt rates  
539 as simulated by the cavity-resolving ocean model NEMO. This result is encouraging for  
540 further development because, as it is applied on a grid-cell level, this architecture is in-  
541 dependent of the domain size and is therefore directly applicable to any ice shelf around  
542 Antarctica. It is also promising because the neural network’s architecture is very sim-  
543 ple and the hyperparameter tuning was mainly done empirically. In the following, we  
544 discuss insights from this study and possible further improvements to this approach.

### 545 5.1 Main drivers of the neural network

546 One argument that is often made against the use of neural networks is that they  
547 remain statistical emulators of the training data and do not contain any physical con-  
548 straints. The performance when applied to a slightly different model and to different con-  
549 ditions (see Sec. 4) already gives us a sense that the neural networks can reasonably adapt  
550 to conditions outside of training if they remain close to training conditions. In addition,  
551 we now evaluate which variables affect most the parameterised melt. First, this allows  
552 us to learn about the drivers of the neural network. Second, this could help future de-  
553 velopment of deep learning parameterisations as well as physical parameterisations to  
554 focus on these variables.

555 To assess the influence of the different variables on the predicted melt, we apply  
556 two variations of the permute-and-predict approach. In the permute-and-predict approach,  
557 one of the variables is shuffled randomly and used as input for the neural network along-  
558 side the other variables that remain in the original order. In the first variation, we shuf-  
559 fle the input variables within the REPEAT1970 sample to evaluate the influence of the  
560 different variables on the predicted melt in a situation close to the training conditions.



**Figure 6.** Variable group leading to highest absolute error in the time-mean pattern between originally parameterised melt and parameterised melt when using shuffled REPEAT1970 input (a) and using shuffled 4xCO<sub>2</sub> input (b) inserted in the REPEAT1970 input. This is conducted with the S-sized deep ensemble. The variables were shuffled by groups. The dashed line indicates the region used to evaluate the melt rate near the grounding line.

**Table 1.** Increase in RMSE of the integrated melt and of the melt near the grounding line (Near GL) due to the shuffling of the different variable groups [in % of original RMSE].

Difference in RMSE compared to original...		Position	Water col. height	Slopes bedrock	Slopes ice draft	Temperature	Salinity
... after shuffling within REPEAT1970	Integrated	100	100	1	6	69	27
	Near GL	3	3	0	19	50	17
... after inserting random sample from 4xCO <sub>2</sub> into REPEAT1970	Integrated	98	86	1	6	1624	507
	Near GL	6	0	0	19	39	0

561 In the second variation, we use a random sample from the 4xCO<sub>2</sub> input for the shuffled  
 562 variable and run the neural network using all other original input variables from the RE-  
 563 PEAT1970 run to evaluate the effect of the variables in much warmer conditions. To avoid  
 564 the potential effect of cross-correlation between some variables, we shuffle the variables  
 565 by blocks. The shuffling is reiterated for each block separately. In the block *Position* we  
 566 group the distance to the grounding line and to the ice front, in the block *Water column*  
 567 we group the ice-draft depth and the bathymetry, in the block *Slopes bed* and *Slopes ice*  
 568 *draft* we group the meridional and zonal slope of the bedrock and ice respectively, in the  
 569 block *Temperature* and *Salinity* we group the local value, the average over the cavity and  
 570 the standard deviation of temperature and salinity respectively.

571 For the shuffling within the REPEAT1970 run, the geometric properties, i.e. the  
 572 position of the grid cell and the water column height, are the variable groups which af-  
 573 fect the predicted melt for Ross, Filchner-Ronne, Amery and Fimbul ice shelves the most  
 574 (Fig. 6a), with a few patches dominated by salinity. For Pine Island, the shuffling of tem-

575 perature has the strongest effect in the central part but geometric variables are the most  
 576 important in large areas near the grounding line. On the circum-Antarctic scale, the ef-  
 577 fect of shuffling position and water column height both lead to an increase of the RMSE  
 578 of the integrated melt by 100%, while shuffling the temperature leads to an increase by  
 579 69% (Table. 1). Near the grounding line, the shuffling of position and water column height  
 580 has a lower effect on the RMSE. The temperature, ice slopes and salinity dominate, lead-  
 581 ing to an increase of the RMSE by 50%, 19% and 17% respectively.

582 When inserting random samples of 4xCO<sub>2</sub> input, the patterns show that shuffling  
 583 the temperature leads to the largest deviation to the original parameterised melt for most  
 584 parts of all five ice shelves shown in Fig. 6b. The most notable other features are the front  
 585 of Ronne ice shelf, which is most affected by the shuffling of salinity and of the position,  
 586 and some parts of Pine Island ice shelf and the grounding line of Amery ice shelf, which  
 587 are most affected by the shuffling of geometrical properties. Again, this spatial evalu-  
 588 ation is reflected in the circum-Antarctic evaluation metrics. The shuffling of temper-  
 589 ature variables leads to an increase of the RMSE of the integrated melt by 1624%, fol-  
 590 lowed by salinity with 507% and, further behind, position and water column height, with  
 591 an increase by 98% and 86% respectively. For the melt near the grounding line, the in-  
 592 crease in RMSE is not as high and remains of the same order of magnitude as using shuf-  
 593 fled variables from REPEAT1970.

594 Several conclusions can be drawn from this experiment. First, this experiment shows  
 595 that the geometry, in particular the position of the grid cell and the water column height,  
 596 are key variables for the neural network to infer reasonable melt when applied on vari-  
 597 ables close to the training range, closely followed by the temperature. Water column depth,  
 598 via the ice-draft depth, and temperature already are an integral part of existing param-  
 599 eterisations (Burgard et al., 2022). However, the position is currently only partly con-  
 600 sidered, and only in the more complex parameterisations such as the plume and box pa-  
 601 rameterisations (Lazeroms et al., 2019; Reese et al., 2018).

602 Second, when applied to much warmer conditions, the temperature and salinity,  
 603 well outside the training range, clearly affect the resulting melt. This suggests that train-  
 604 ing the neural network on simulations of warmer conditions could already improve its  
 605 performance. Even more promising, the comparably low effect of geometry changes on  
 606 integrated melt in the warm conditions presented here suggests that coupled ice-ocean  
 607 simulations of warmer conditions are not necessarily needed for training and that cavity-  
 608 resolving ocean simulations with fixed geometry could already be sufficient for projec-  
 609 tions of the near-future centuries.

610 Third, for the melt near the grounding line, the position of the grid cell is (maybe  
 611 surprisingly) less important than for the integrated melt and the key variable is the tem-  
 612 perature information, both near the training range and in warmer conditions. While the  
 613 ice slope does not affect the integrated melt, it has some effect on the melt near the ground-  
 614 ing line. This suggests that including ice slopes is necessary for a good performance near  
 615 the grounding line. However, the way it is currently included in simple parameterisations  
 616 is not successful as we showed in Burgard et al. (2022) that it leads to a clear overesti-  
 617 mation of the melt in this region.

## 618 5.2 Possible improvements

619 While the results of our neural network are encouraging, a variety of further im-  
 620 provements can be conducted in the future. The most obvious conclusion from this study  
 621 is that predicting warmer conditions, similar to climate change conditions, is challeng-  
 622 ing for the neural network. To avoid extrapolation problems, we suggest, when possi-  
 623 ble, to introduce a set of simulations containing high-end future scenarios in the train-  
 624 ing dataset to make the neural network more robust for future projections. At the same  
 625 time, we saw that the traditional parameterisations struggle to represent future condi-

626 tions as well. How to tune melt parameterisations to be applicable in both present and  
627 future conditions is therefore a problem that is not limited to deep learning approaches.

628 Another possible improvement is the treatment of the largest ice shelves. When  
629 looking at the cross-validation results into more detail, i.e. at the scale of each ice shelf  
630 (not shown), the total RMSE over all ice shelves is strongly influenced by the high RMSE  
631 for the Ross ice shelf and, to a smaller extent, by the relatively high RMSE for the Filchner-  
632 Ronne ice shelves. These two ice shelves have an area which is much larger than the other  
633 ice shelves around Antarctica. Their cavities develop their own internal circulation (e.g.  
634 Gerdes et al., 1999; Naughten et al., 2021) and the residence time of water masses reaches  
635 several years (Michel et al., 1979; Nicholls & Østerhus, 2004). It is therefore not too sur-  
636 prising that parameterisations, which use input temperature and salinity averaged over  
637 thousands of kilometers at the front of the ice shelves and do not represent horizontal  
638 circulation explicitly, struggle with the representation of melt in these cavities. If we re-  
639 move these two from the RMSE in the 4xCO<sub>2</sub> case for example, we find that the RMSE  
640 is clearly reduced, below 100 Gt/yr, for both neural network and traditional parameter-  
641 isations, compared to an original RMSE of several hundreds of Gt/yr. This shows that  
642 these rather simple parameterisations are not necessarily appropriate for the application  
643 on the Ross and Filchner-Ronne ice shelves. However, currently, these cavities are only  
644 resolved by ocean models on rare occasions. We advocate to strongly push efforts towards  
645 resolving these two cavities in ocean models by default, when possible, even at the lower  
646 resolution of 1°, as was already done for NEMO in Smith et al. (2021) or Hutchinson et  
647 al. (2023).

648 There is also space for improvement in the definition of input temperatures and  
649 salinities. Like in Burgard et al. (2022), the input profiles of temperature and salinity  
650 are here averaged over a given domain in front of the ice shelf. Then, we extrapolate the  
651 properties to the ice-draft depth. In addition, we computed the mean and standard de-  
652 viation of these extrapolated temperature and salinity. However, machine learning gives  
653 us the opportunity to think bigger than traditional statistics when representing infor-  
654 mation about a given domain. One direction that could be explored in further develop-  
655 ment is the encoding of the important information about the water masses in front of  
656 the ice shelf using a machine learning technique. Ideally, this technique would take in  
657 a three-dimensional (horizontal plane and depth), or even a four-dimensional (taking also  
658 time as input to account for lags and residence time), field of temperature and salinity  
659 in front of the ice shelf and encode information about this field in a format to be given  
660 to the neural network. Such encoding might contain more information about the spa-  
661 tial distribution of the properties in front of the ice shelf and therefore potentially en-  
662 code changes in the ocean circulation which might change the circulation within the cav-  
663 ities, as expected to happen in warmer conditions for the Filchner-Ronne ice shelf (Naughten  
664 et al., 2021).

665 A further source of improvement is the hyperparameter tuning. The hyperparam-  
666 eter choices that we made for this study, such as the number of hidden layers, the num-  
667 ber of neurons per hidden layer, the activation function, the optimisation method, the  
668 batch size, and the learning rate, lead to satisfying results. Further tuning or different  
669 choices in these hyperparameters as well as introducing regularisation methods could fur-  
670 ther improve our neural network. Using a different number of neural networks in the deep  
671 ensemble might also affect the parameterised melt.

672 Another aspect that can be further explored is the choice of architecture. Rosier  
673 et al. (2023) showed that a convolutional architecture can also be used to infer basal melt  
674 rates from hydrographic and geometric properties. A convolutional architecture, often  
675 U-Nets, is the preferred choice in many current studies exploring the application of ma-  
676 chine learning to Earth System Sciences (e.g. Ebert-Uphoff & Hilburn, 2020; Anders-  
677 son et al., 2021; Finn et al., 2023). In the case of basal melt and the ocean circulation  
678 in the cavity, such architectures clearly make sense as they can capture spatial patterns

679 and correlations. Up to now, Rosier et al. (2023) demonstrate the performance of their  
 680 MELTNET in a fixed domain and have not yet shown how to apply it to larger ice shelves  
 681 than this domain. MELTNET remains however a promising approach and we are look-  
 682 ing forward to its further development.

683 Finally, this study has focussed on the emulation of one ocean model at a given res-  
 684 olution. We acknowledge that NEMO’s simulation of basal melt rates is not a perfect  
 685 reflection of reality. An interesting further direction to follow would be to train a neu-  
 686 ral network to emulate NEMO at other resolutions and also to emulate other cavity-resolving  
 687 ocean models. In this context, to ensure that the relationship remains sensible, we sug-  
 688 gest training separate emulators and using them as an ensemble. This would provide an  
 689 ensemble of emulators to be used as a variety of basal melt parameterisations, in addi-  
 690 tion to physics-based parameterisations. In a context where basal melt remains one of  
 691 the main sources of uncertainty in projections of the Antarctic contribution to sea-level  
 692 rise, a wide sample of this uncertainty in the form of a higher variety of parameterisa-  
 693 tions is welcome.

## 694 **6 Conclusions**

695 In conclusion, we show that a rather simple neural network architecture can be used  
 696 to emulate a cavity-resolving ocean model. Our multilayer perceptron is designed to be  
 697 rather simply usable as a basal melt parametrisation for ice-sheet modellers. It uses in-  
 698 put properties needed for the traditional parameterisations already and can be applied  
 699 on the grid-cell level, similarly to most traditional parameterisations. While it struggles  
 700 nearly as much as traditional parameterisations to generalise to ice shelves unseen dur-  
 701 ing tuning, the neural network generalises much better on time blocks unseen during train-  
 702 ing and the patterns are clearly better represented. In the demanding testing phase, on  
 703 a dataset produced with different NEMO parameters, geometry perturbations and forc-  
 704 ing from the training, it still performs at least as well or even better than traditional pa-  
 705 rameterisations, both in historical and much warmer conditions. Nevertheless, for more  
 706 robust applications on warmer conditions, we suggest including cavity-resolving ocean  
 707 simulation output, or even coupled ocean-ice-sheet simulation output with projected ge-  
 708 ometry changes, in training data when possible, as more of these are planned to become  
 709 available in coming years. In the present configuration, we suggest that, when possible,  
 710 this neural network be used as part of a larger ensemble of parameterisations to cover  
 711 this uncertainty.

712 Neural networks have been gaining lots of traction lately and efforts are done in  
 713 many disciplines of the Earth System Sciences to explore their application. In this promis-  
 714 ing study, we provide guiding thoughts for further exploration and refinement of this ap-  
 715 proach, while this first proof of concept can already be used as an additional parame-  
 716 terisation in the ice-sheet modelling landscape.

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**Open Research**

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The simulation data from Burgard et al. (2022) used for the training ensemble can be found on Zenodo: <https://doi.org/10.5281/zenodo.7308352>. The simulation data from (Smith et al., 2021) used for the testing ensemble is available on Zenodo: <https://doi.org/10.5281/zenodo.7886986>. All code to train the neural networks and produce the figures can be found on Github: [https://github.com/ClimateClara/basal\\_melt\\_neural\\_network](https://github.com/ClimateClara/basal_melt_neural_network) and will be uploaded to Zenodo upon paper acceptance. The Bedmachine Data is openly accessible (Morlighem, 2020).

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