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2 SODA4: A Mesoscale Ocean-Sea Ice Reanalysis
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24 **Key Points:**

- 25 • A global eddy resolving ocean-sea ice reanalysis is introduced
26 • The contribution of eddies to mass and heat transport is explored
27 • Modification of shallow circulation due to summer solar stratification identified
28

29 **Abstract**

30 This paper introduces the new eddy-resolving global Simple Ocean Data Assimilation version 4
31 (SODA4) ocean/sea ice reanalysis. As with its predecessor SODA3, sequential data assimilation
32 is used to constrain the evolving temperature and salinity fields using World Ocean Database
33 profiles, in-situ and satellite sea surface temperature observations, and sea ice thickness estimates
34 as constraints. The numerical model is based on NOAA/Geophysical Fluid Dynamics Laboratory
35 MOM5.1/SIS1 numerics with nominal $1/10^\circ$ horizontal resolution, and 75 levels in the vertical.
36 Surface forcing is provided by a bias-corrected version of the European Center for Medium
37 Range Weather Forecasts ERA5 forcing, while continental discharge is provided by a separate
38 monthly observation-based discharge dataset. A 13-year (2010-2022) reanalysis experiment
39 (SODA4.15.2) is compared to the coarser resolution, but otherwise similar SODA3.15.2 and to
40 assimilated and independent observations. These comparisons show that the greatest reduction
41 in time mean bias occurs near strong fronts, which become narrower and stronger. Eddy
42 variability is increased, increasing eddy heat transport. Improved vertical resolution produces
43 shallow summer temperature and salinity stratification which is most noticeable in midlatitudes
44 and the eastern tropical Pacific and Atlantic in Northern Hemisphere winter.

46 **Plain Language Summary**

47 Reanalyses of the atmosphere, ocean, land, and cryosphere provide historical reference for
48 weather and climate studies as well as initial conditions and verification for forecasting studies.
49 This paper describes the new SODA4 eddy-resolving ocean-sea ice reanalysis of variables such
50 as temperature, salinity, sea level, currents, and sea ice. A thirteen-year reanalysis experiment
51 (2010-2022) is compared to a second experiment using a less accurate forecast model. The
52 comparison highlights the changes that result from the use of the improved forecast model.
53 These changes include reduction in mean error and improved representation of the eddy
54 contributions to the circulation and transports.

55 **1 Introduction**

56 Data assimilation is most accurate when it begins with an accurate model forecast.
57 *Griffies et al* (2015), *Chang et al.* (2020), *Hewitt et al.* (2020), *Jackson et al.* (2020) and others
58 provide compelling evidence that ocean/sea ice as well as coupled forecast models improve
59 substantially when resolution is sufficient to resolve (10-200 km) ocean eddy processes. Yet few
60 global ocean data assimilation systems currently use such fine-resolution forecast models. Here
61 we introduce a new eddy-resolving version of the Simple Ocean Data Assimilation (SODA)
62 ocean/sea reanalysis, SODA4, and explore the impact of the improved forecast model by
63 comparing the results to a reanalysis that is otherwise similar but uses a lower resolution eddy-
64 permitting forecast model during a 12-year period of overlap 2011-2022.

65 Ocean reanalysis developed from efforts by meteorologists in the 1990s to use data
66 assimilation to combine dynamics- and data-constraints, to create uniformly gridded
67 reconstructions (reanalyses) of the evolving state of the atmosphere at time scales ranging from
68 diurnal through decadal (*Kalnay et al.* 1996). One consequence is that these atmospheric
69 reanalyses also provided the uniformly gridded surface heat, mass, and momentum flux
70 boundary conditions needed to force a physical model of the ocean, which then allowed

71 development of ocean reanalyses (e.g. *Behringer et al.*, 1998; *Carton et al.*, 2000a,b). The early
72 ocean reanalyses suffered from a variety of errors including: i) forcing errors, ii) inaccurate
73 initial conditions, iii) inaccurate ocean model physics, iv) limited ocean observing systems, and
74 v) limitations in the data assimilation procedures themselves. Recent decades have seen
75 reductions of many of these error sources. High quality ocean observing systems have been
76 deployed, such as surface moorings and Argo profilers (*Roemmich et al.*, 2019), while
77 calibration projects such as the International Quality-controlled Ocean Database (e.g. *Boyer et al.*,
78 2016; *Cowley et al.*, 2021) and the Group for High Resolution Sea Surface Temperature
79 (*GHRSSST Project Office*, 2012) have been correcting biases in historical observations. Data
80 assimilation algorithms are also undergoing rapid development, (*Storto et al.*, 2019), importantly
81 now including adjustments to the meteorological fluxes based on the ocean observations (e.g.
82 *Stammer*, 2002; *Carton et al.*, 2018b). Here we explore the impact of an improved forecast
83 model that is better able to resolve the oceanic mesoscale.

84 The oceanic mesoscale contains steady and transient baroclinic eddies whose horizontal
85 scales range from 230 km in the tropics to 10 km or less at high latitudes (*Eden*, 2007). Because
86 of correlations among variables modeling studies suggest that transient eddies contribute at least
87 10% of the net poleward heat transport in both the deep tropics and across the
88 subtropical/midlatitude gyre boundaries (*Hecht and Hasumi*, 2008; *Volkov et al.*, 2008; *Griffies*
89 *et al.*, 2015). In the deep tropics an active mesoscale allows poleward eddy heat fluxes to
90 regulate the temperature of the tongue of cool surface water in the eastern Pacific and Atlantic.
91 Across the gyre boundaries eddies can transport heat down-gradient, warming the higher
92 latitudes and this reducing available potential energy. Stationary eddies can also play important
93 roles in regulating flow past topographic features such as coastal irregularities.

94 SODA4 is the fourth generation of the SODA reanalysis system, which began with the
95 goal of providing a uniformly gridded historical reference for the evolving physical state of the
96 ocean from monthly to decadal timescales. In SODA4 the latest improvement is the use of a
97 forecast model with resolution increased by a factor of eight and with an improved topographic
98 mapping. The impact of the improved eddy-resolving forecast model was first explored at polar
99 latitudes in the Regional Arctic Ocean/sea ice Reanalysis (RARE1, *Carton et al.*, 2023). RARE1
100 showed many changes to the representation of temperature, salinity, and currents in the Arctic
101 Ocean and neighboring seas relative to a coarser resolution reanalysis or to a data-driven
102 objective analysis. SODA4 extends the developments introduced in RARE1 to the global ocean.
103 Thus, while SODA4 also includes a complete representation of the polar latitudes (similar to
104 RARE1) here we focus on changes observed in the ice-free ocean 60°S-60°N. In addition to
105 presenting summary statistics we highlight examples to illustrate some climate-relevant
106 applications.

107 This paper is organized as follows. Section 2 describes construction of SODA4: the
108 model, data sets, and data assimilation, the thirteen-year long SODA4.15.2 experiment and the
109 SODA3.15.2 control experiment. Section 3 begins by examining systematic error and then
110 explores the impacts of improved horizontal resolution, which leads to more vigorous eddy
111 production and vertical resolution, which alters near surface stratification.

112 **2 Materials, Methods, and Data**

113 This section describes the data, model, forcing, and data assimilation used in the construction of
114 SODA4 (summarized in **Table 1**).

Table 1 Summary of parameters for SODA3.15.2 and SODA4.15.2 (OI is optimum interpolation, KF is Kalman filter, WOD is World Ocean Database).

Name	Model numerics	Resolution (XxYxZ)	Surface forcing	Datasets	Assimilation	Time Period
SODA3.15.2 ¹	MOM5.1 SIS1	0.25°x0.25°x50L	ERA5	WOD18 T/S, COADS3.0, AVHRR SST, GIOMAS	OI	1980- 2022
SODA4.15.2	MOM5.1 SIS1	0.1°x0.1°x75L	ERA5	WOD18 T/S, COADS3.0, AVHRR SST, GIOMAS	OI	2010- 2022

116 ¹*Carton et al. (2018b)*

117

118 2.1 Model

119 The global ocean/sea ice model, which is similar to the ocean–sea ice component of the
 120 GFDL CM2.6 coupled model (*Winton, 2014; Griffies et al., 2015*), uses MOM5.1/SIS1 numerics
 121 (*Winton, 2000; Griffies, 2012*). Velocity advection uses a third order Adams–Bashforth scheme
 122 on an Arakawa B-grid, while tracer advection uses a third order upwind biased scheme
 123 (*Hundsdorfer and Trompert, 1994*) with a predictor-corrector time-filter for sea level (*Griffies*
 124 *2004*). Vertical turbulent viscosity varies from 1×10^{-4} to $2.5 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$, while vertical
 125 diffusivity varies from 1×10^{-5} to $5 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$. Additional vertical mixing is added to simulate
 126 the impact of tidal mixing following *Lee et al. (2006)*.

127 The ocean model has 3600x2700 eddy resolving quasi-isotropic horizontal grid cells that vary in
 128 size from 11 km at the equator to 5.5 km at $\pm 60^\circ$ (the model resolution required to be considered
 129 ‘eddy resolving’ is discussed in *Hallberg, 2013*). Further northward the north polar cap splits
 130 into two geographically displaced poles located on the Eurasian and North American continents.
 131 Bottom topography is interpolated from the 30 arcsecond GEBCO 2014 topography
 132 (<https://www.gebco.net/>) with modifications to eliminate orphan points and bays spanned by a
 133 single grid point, and to impose a minimum depth of 10m. In the vertical the model has 75 z*
 134 vertical levels that expand from fine ~1.1 m resolution near surface to coarse 200m resolution in
 135 the deep ocean. Partial bottom cells are used to improve fidelity of the model topography.
 136 Monthly continental discharge is provided by a compilation of station gauge estimates including
 137 Greenland discharge (*Bamber et al., 2018*), with the combined dataset adjusted to match
 138 estimates of global annual evaporation rates. An examination of the global water budget
 139 suggests that our total continental discharge is similar to the $1.3 \times 10^6 \text{ m}^3/\text{s}$ estimate of *Schmitt*
 140 *(2008)*. However, discharge from some of the major river systems such as the Amazon, Orinoco,
 141 and Mississippi are too large. This error is apparent in the low salinity of fresh pools in front of
 142 these rivers. Gridded Global Ice-Ocean Modeling and Assimilation System sea ice thickness
 143 estimates of *Zhang et al. (2003)* are used to constrain sea ice. Relying on PIOMAS/GIOMAS

144 gridded thickness estimates rather than assimilating raw satellite observations allows us to
145 leverage the specialty knowledge of sea ice dynamics and observations of the sea ice group.
146 *Schweiger et al.* (2011) estimate the error in Arctic thickness to be less than 10 cm.

147 Sea ice has horizontal resolution matching the ocean model and has five snow and ice
148 categories. Snow albedo is fixed to be 0.85, which lies in the middle of observational estimates,
149 while ice albedo has a high value of 0.8, which was selected in order to reduce the rate of
150 summer sea ice melt. Initial conditions were interpolated from SODA3.15.2 on 29 December,
151 2009.

152 2.2 Surface Forcing

153 Surface forcing is derived from the ERA5 reanalysis of *Hersbach, et al.* (2020) by
154 combining three-hourly estimates of downwelling short and longwave radiative fluxes, six-
155 hourly estimates of neutral winds at 10m height, 2m air temperature and humidity, sea level
156 pressure, and daily liquid and solid precipitation. The ‘15’ in the name SODA4.15.2 refers to the
157 use of this ERA5 forcing. Variables are converted to net thermodynamic and radiative fluxes
158 within the GFDL Flexible Modelling System coupler, which has been modified to implement the
159 Coupled Ocean-Atmosphere Response Experiment (*Fairall et al.* 2003) bulk formulas. The ‘2’ in
160 the name SODA4.15.2 refers to the use of the COARE bulk formulas.

161 If the ERA5-derived fluxes were directly applied to the ocean, they would produce
162 systematic errors in the ocean and sea ice. We reduce those systematic errors by carrying out an
163 initial experiment to estimate the analysis increments (discussed below), and then use the
164 incremental near-surface heat and freshwater budgets to estimate seasonal corrections to surface
165 heat and freshwater fluxes following *Carton et al.* (2018b). These corrections are applied to
166 modify surface fluxes and thus to reduce the observation-model misfit in the experiments
167 presented here. Because of this ‘predictor-corrector’ approach each day’s analysis is influenced
168 by past, present, and future observations and model forecasts.

169 2.3 Constraining Data

170 The main data sets that SODA ingests are the World Ocean Database of historical
171 hydrographic profiles (*Boyer et al.*, 2018) with updates through year 2022, (**Table 1**). During
172 2010-2022 this dataset consists of more than 6.6 million profiles of which 2.1 million (or
173 13,400/month) come from Argo drifting profilers and another 2.8 million from ocean gliders.
174 Collated L3 remotely sensed nighttime Infrared SST observations from the NOAA Center for
175 Satellite Applications and Research (*Jonasson et al.*, 2022) span the full period of interest, with
176 additional calibrating in situ observations obtained from International Comprehensive Ocean–
177 Atmosphere Data Set (ICOADS) release 3.0 SST database (*Freeman et al.*, 2016). Sea level
178 observations are withheld for independent comparison.

179 2.4 Data assimilation

180 SODA uses a linear deterministic sequential filter in which the ocean state ω^a is
181 constructed from a forecast ω^f based on the difference between observations ω^o and ω^f
182 mapped onto the observation variable $\mathbf{H}(\omega^f)$:

$$183 \quad \omega^a = \omega^f + \mathbf{K}[\omega^o - \mathbf{H}(\omega^f)] \quad (1)$$

184

185 where the gain matrix \mathbf{K} determining the impact of the observations, depends on the observation
186 error covariance $\mathbf{R}^o \equiv \langle \boldsymbol{\varepsilon}^o \boldsymbol{\varepsilon}^{oT} \rangle$ and the model forecast error covariance $\mathbf{P}^f \equiv \langle \boldsymbol{\varepsilon}^f \boldsymbol{\varepsilon}^{fT} \rangle$. A direct
187 implementation of (1) would introduce shocks and spurious waves. To avoid this, we implement
188 the incremental analysis update procedure of *Bloom et al. (1996)* using an update cycle of 10
189 days (a period chosen to be consistent with the available data and the timescale of ocean
190 variability. The form of $\mathbf{K} = \mathbf{P}^f \mathbf{H}^T (\mathbf{H} \mathbf{P}^f \mathbf{H}^T + \mathbf{R}^o)^{-1}$ is the consequence of minimizing the
191 expected variance of the analysis error subject to some simplifying assumptions, including the
192 assumptions that the model forecast, observation, and analysis errors are unbiased. Observation
193 errors are also assumed to be unbiased and uncorrelated and as a result \mathbf{R}^o is a diagonal matrix.
194 The *analysis increments* $\mathbf{K}[\omega^o - \mathbf{H}\omega^f]$, which are the gridded corrections to the forecast at
195 each assimilation cycle, are also saved and are used in *Section 3* to evaluate the degree of misfit
196 between the forecasts and the observations. For example, negative time mean temperature
197 analysis increments imply that the model forecasts are biased warm while positive temperature
198 analysis increments imply that the model forecasts are biased cold.

199 2.5 Comparison datasets

200 We compare SODA4.15.2 to SODA3.15.2 during a twelve-year period of overlap, 2011-
201 2022, skipping the first year of SODA4.15.2 (**Table 1** second row). SODA3.15.2 shares similar
202 model numerics, forcing, data assimilation, and constraining datasets, but has coarser
203 $0.25^\circ \times 0.25^\circ$ horizontal and vertical resolution (SODA3.15.2 has 13 fewer levels the top 50m and
204 9 fewer in the lowest 4.5 km) and an older, pre-satellite, bottom topography map. To evaluate
205 surface eddy energy levels at 5-dy timescale we compare SODA4.15.2 and SODA3.15.2, to
206 observed sea level, which is not assimilated in either reanalysis. The observed sea level is the
207 $0.25^\circ \times 0.25^\circ$ Copernicus Marine Service multi-satellite Data Unification and Altimeter
208 Combination System sea level (*Taburet et al., 2019*).

209 3 Results

210 We begin with an examination of the SODA3.15.2 and SODA4.15.2 analysis increments
211 produced by the data assimilation system, and with a comparison to observed sea level, which is
212 an independent observation set. Next, we examine differences in seasonal near surface
213 stratification resulting from improved near surface resolution. Finally, we compare volume and
214 heat transports.

215 3.1 Comparisons to Observations

216 The time mean temperature and salinity analysis increments integrated 0-300m, are
217 converted to units of net surface heat (W/m^2) and freshwater ($\text{mm}/10\text{dy}$) fluxes in order to make
218 it easier to understand the surface flux errors that would be required to produce these increments
219 (**Fig. 1**). The largest time mean temperature analysis increments occur close to the equator and
220 are similar among SODA3.15.2 and SODA4.15.2. These large analysis increments are not the
221 result of surface heat flux errors, but rather can be traced to a $\sim 4\%$ difference between the zonal
222 wind stress imposed on the ocean models and the value that the ocean models expect based on
223 the observed thermal structure. The consequence of this difference is that the forecast model's

224 equatorial thermocline has a slightly weaker eastward tilt than actually observed. The data
 225 assimilation is continually acting to strengthen this tilt by cooling the eastern equatorial Pacific
 226 and warming the western equatorial Pacific.

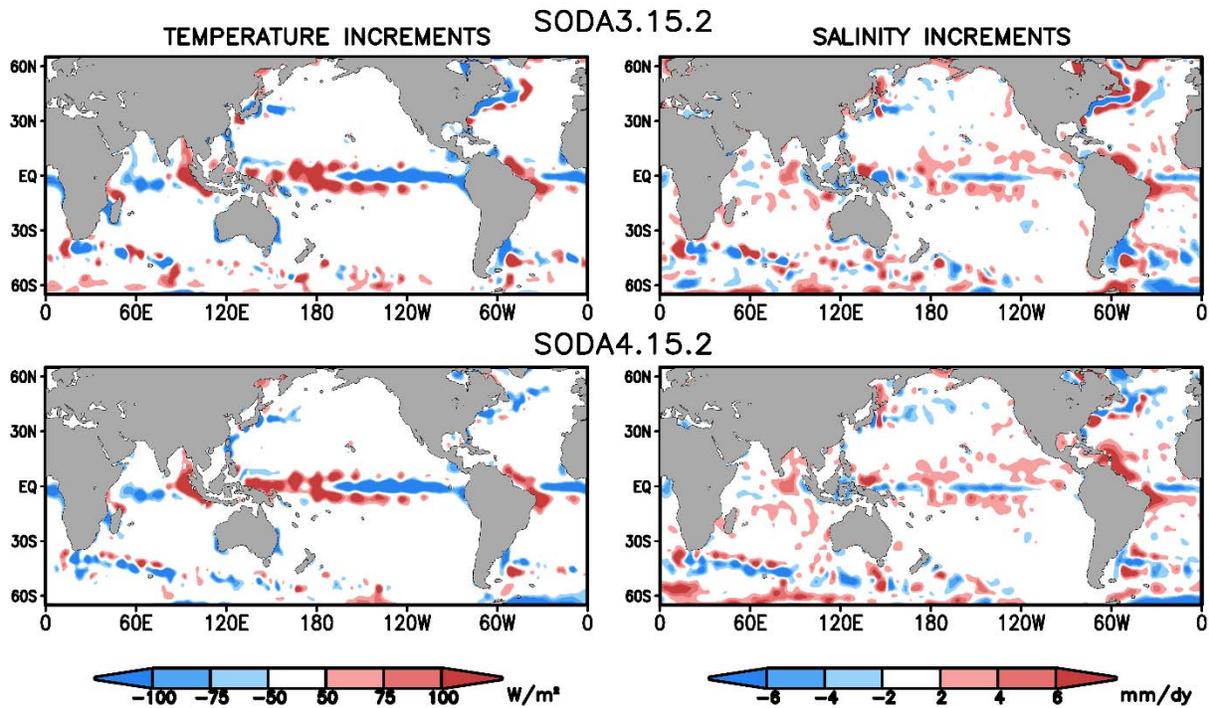


Figure 1 (mean_global_increments.pdf) Time mean (2011-2022) (left) temperature and (right) salinity analysis increments averaged 0-300m. (upper) SODA3.15.2, (lower) SODA3.4.2.

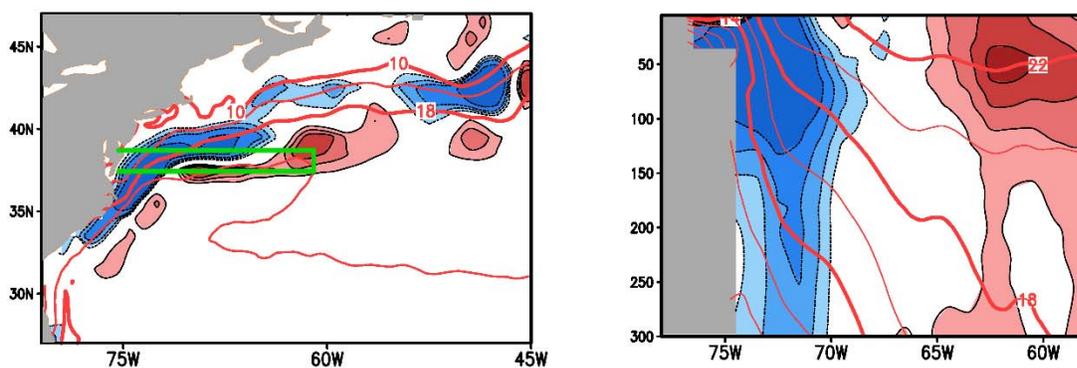
227

228 Next, we note that western boundary currents such as the Gulf Stream and Kuroshio
 229 show large time mean temperature analysis increments in SODA3.15.2 with negative values near
 230 the coast and positive values further to the east (**Fig. 1**). The Gulf Stream region is shown in
 231 detail in **Fig. 2**. Negative values near the coast in SODA3.15.2 show that the data assimilation is
 232 acting to cool the already cold coastal water, while positive values show the data assimilation is
 233 acting to warm the already warm subtropical gyre (blue and red contours, **left Fig. 2**). Thus, the
 234 data assimilation is acting to intensify and steepen the Gulf Stream temperature front and thus to
 235 narrow and strengthen the Gulf Stream current. The small time-mean temperature analysis
 236 increments in SODA4.15.2 show that this forecast model already produces a Gulf Stream front
 237 that is narrow and steep and a current that is as strong as observed. The monthly variability of
 238 the analysis increments is similar in the two models despite the fact that the model used in
 239 SODA4.15.2 is so much more variable (Supporting Information **Fig. S1**)

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7

SODA3.15.2



SODA4.15.2

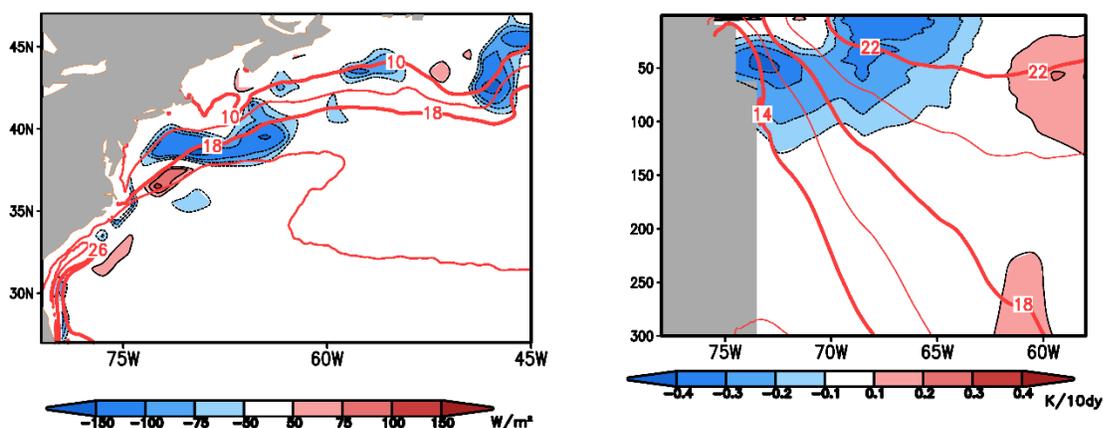


Figure 2 (increment-map-2) (colors) (left) Time mean temperature analysis increments in the upper 300m of the western North Atlantic with latitude and longitude. Contours show time mean temperature averaged 0-100m. (right) Time mean temperature analysis increments with depth in the latitude band 37-38°N. Again, contours show time mean temperature. (upper) SODA3.15.2, (lower) SODA4.15.2.

241

242 We next compare surface eddy potential energy in SODA3.15.2 and SODA4.15.2 to
 243 observed surface eddy potential energy (**Fig. 3**). The comparison shows that the locations of
 244 peaks in eddy potential energy such as the Northwest Atlantic are similar in SODA3.15.2 and
 245 SODA4.15.2. But the peak values of SODA4.15.2 eddy potential energy are roughly 50%
 246 higher and the region of high eddy potential energy is more extensive, both more closely
 247 resembling the observations (lower panels, **Fig. 3**).

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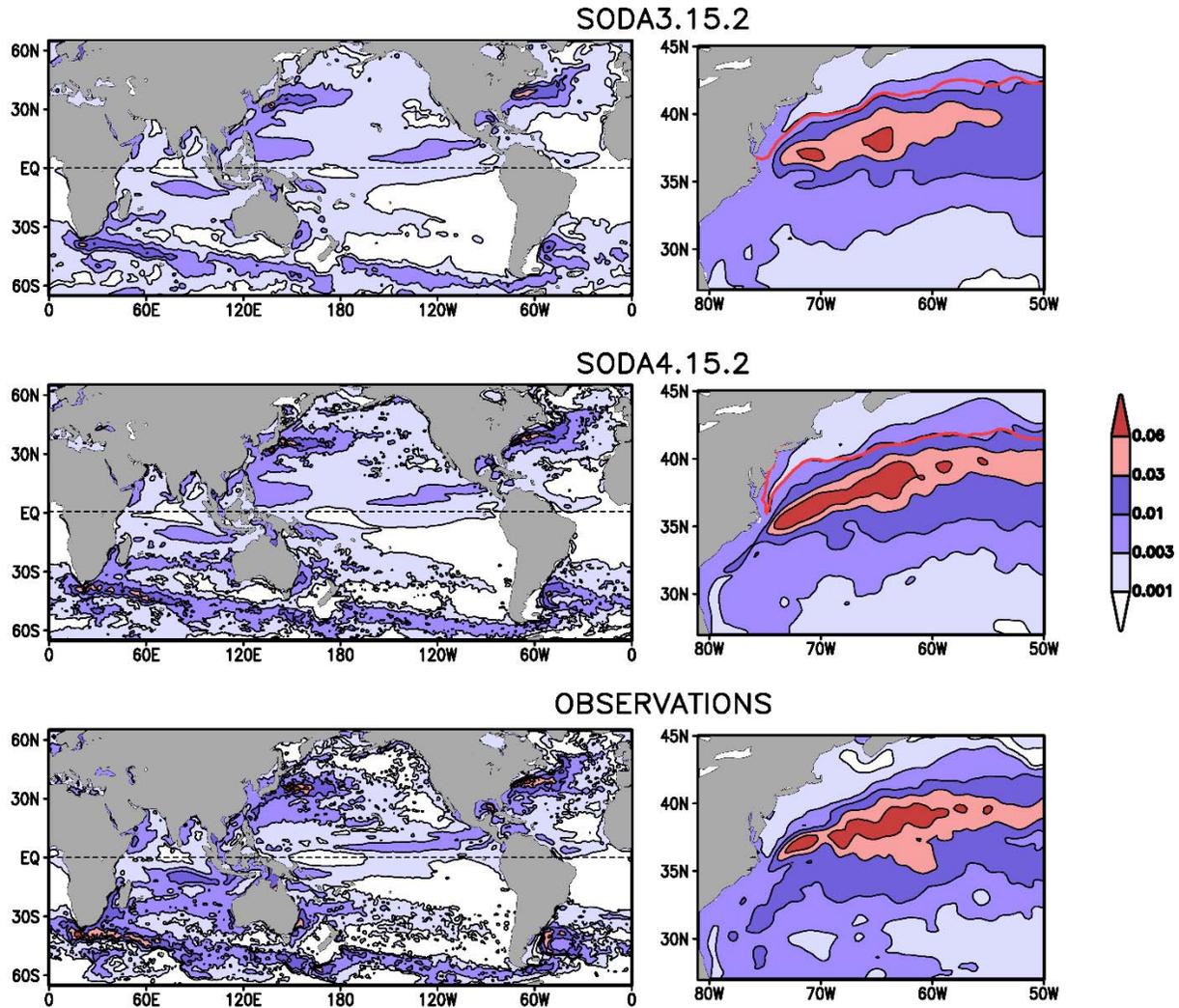


Figure 3 (epe-compare) Time mean (2011-2022) surface eddy potential energy ($(\eta')^2 / 2$). (left) Global ocean, (right) northwest Atlantic. (upper) SODA3.15.2, (middle) SODA3.4.2, and (bottom) observations. Units are m^2/s^2 .

249

250 3.2 Near surface stratification

251 The extra vertical resolution used in SODA4.15.2 alters the near-surface penetration of
 252 solar radiation and wind-driven turbulence. As a result, SODA4.15.2 has 2-4°C near surface
 253 temperature and -0.1 to -0.2psu salinity stratification (measured between the surface and 30m
 254 depth in midlatitudes) in summer (**Figs. 4 and 5**). This increase in near-surface temperature and
 255 salinity stratification increases near surface density stratification by $\sim -0.4 \text{ kg/m}^3$. Much of this
 256 additional buoyancy stratification in is sufficiently close to the surface so that it cannot easily be
 257 sampled by in situ profiling instruments such as ARGO and may have a diurnal component (**Fig.**
 258 **S3** compares temperature analysis increments at 5m and 30m depth). Particularly large near
 259 surface changes in buoyancy also occur along the continental shelves of eastern North Asia and

260 North America. In the eastern equatorial Pacific the northern hemisphere winter vertical
261 temperature stratification increases by approximately 1°C.

262

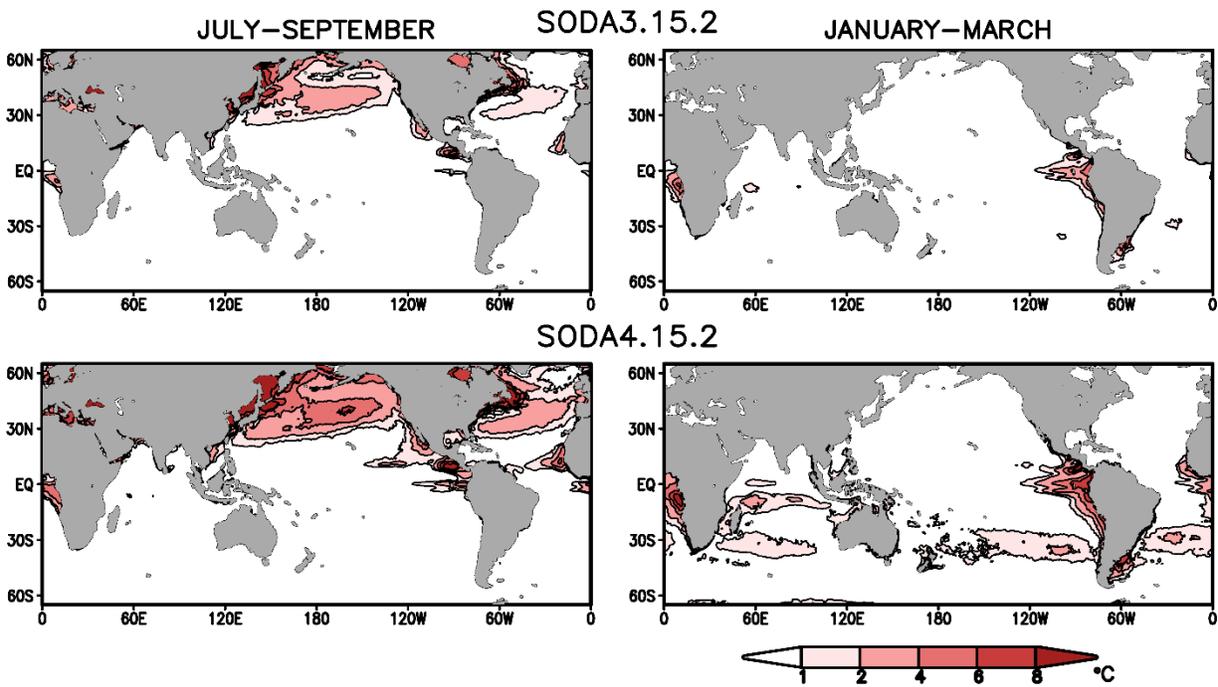


Figure 4 (t0-t30) Climatological seasonal 0-30m temperature difference. (left) July-September, (right) January-March.

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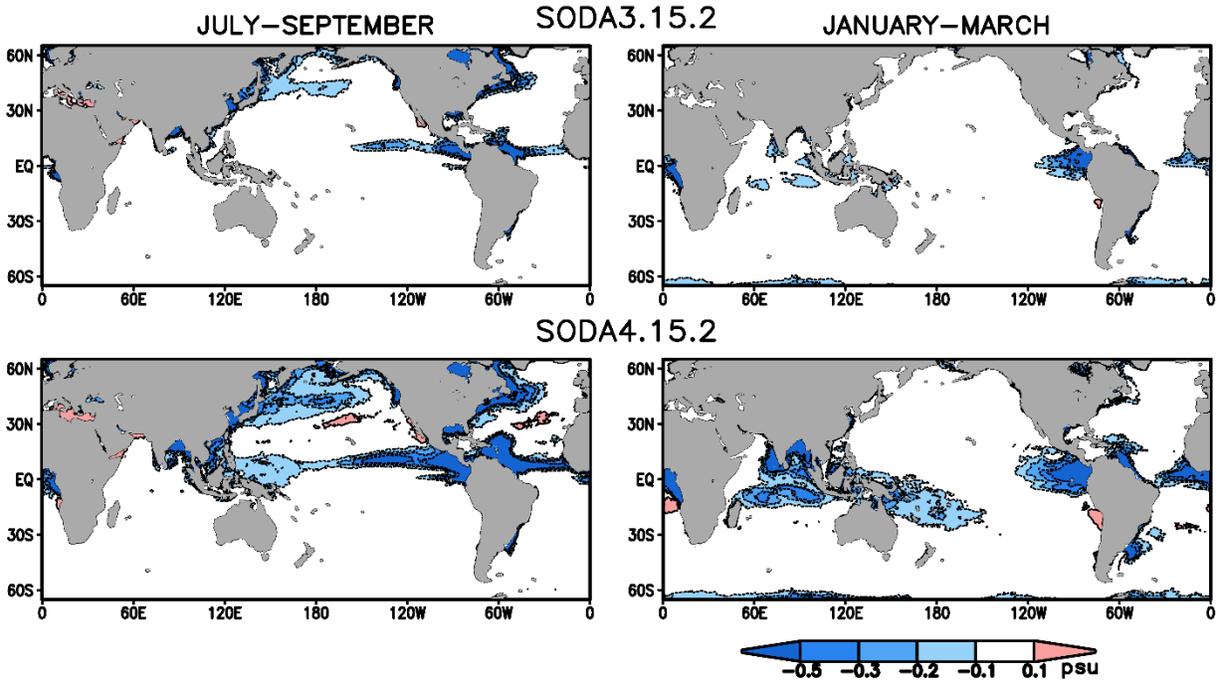


Figure 5 (s0-30) Climatological seasonal 0-30m salinity difference. (left) July-September, (right) January-March.

265

266 On the continental shelf of the western North Atlantic previous studies (e.g. *Flagg et al.*,
 267 *2006*; *Lentz, 2008*) have documented a variety of processes influencing along- and cross-shelf
 268 exchanges, including seasonal and storm-driven wind drift, solar heating, and interactions with
 269 Gulf Stream rings. In **Fig. 6** we show that both SODA3.15.2 and SODA4.15.2 experience a
 270 10°C summer near surface temperature stratification that isolates the surface from the water
 271 below 30m. This stratification confines the wind driven cross-shelf exchange to a shallow
 272 surface layer and allows deeper water to flow southward along shelf break isobaths. But while
 273 SODA3.15.2 shows cool < 8°C water at the shelf break SODA4.15.2 shows even cooler < 6°C
 274 water as well as a more extensive southward flow along the shelf break.

275

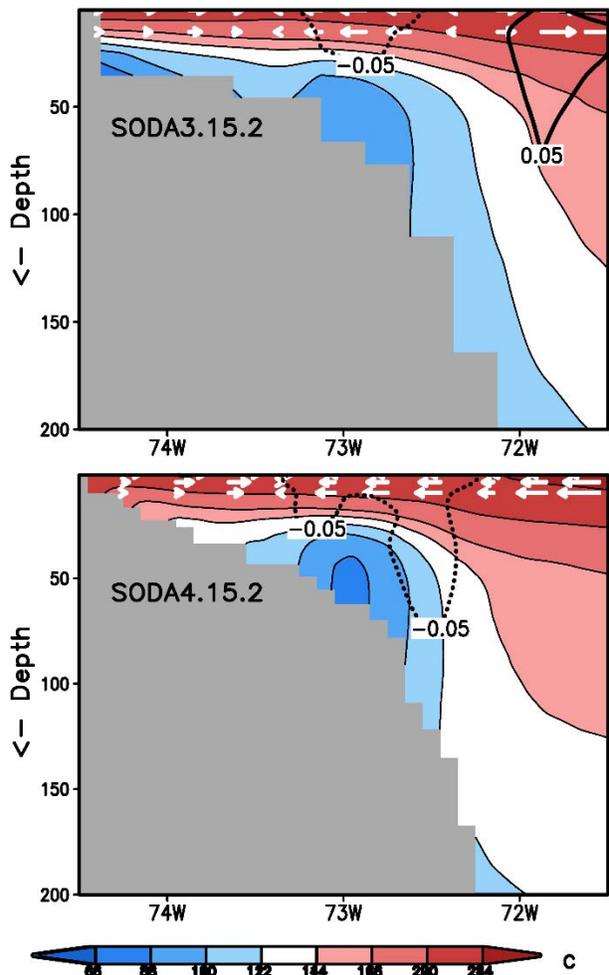


Fig. 6 (39.33-section.pdf) (colors) Summer (JAS) temperature with depth and longitude along 39.33N (averaged 2011-2021). (White arrows) Zonal velocity in the upper 10m. (Black contours) Meridional current.

276

277 3.3 Volume Transport

278 We begin by examining time-mean volume transports. Transports through 1) Drake
 279 Passage in the Southern Ocean, measuring the strength of the Circumpolar Current; 2) the
 280 Indonesian Throughflow, measuring the Western tropical Pacific to eastern tropical Indian Ocean
 281 exchange; and 3) Bering Strait, measuring the North Pacific Water to Arctic Ocean transport
 282 agree to within 5% (**Table 2**). Indonesian throughflow transport is carried through three separate
 283 straits: Lombok, Ombai, and Timor. In SODA3.15.2 the transport is shared equally among the
 284 three while in SODA4.15.2, as in the observations of *Susanto et al.* (2016), more than half of the
 285 transport is carried through Timor Strait alone (Supporting Information **Fig. S2**). This difference
 286 in mean transport alters the magnitude and temperature of the seasonal and interannual
 287 fluctuations.

289 **Table 2** Mean volume transport estimates (in units of $10^6 \text{ m}^3/\text{s}$)

Passage	SODA3.15.2	SODA4.15.2	Observations
Drake Passage	160 Eastward	159 Eastward	$\sim 157^1$
Indonesian Throughflow	15.4 Westward	14.7 Westward	15^2
Bering Strait	0.9 Northward	0.9 Northward	0.8^3

290 ¹*Xu et al (2020)*; ²*Susanto et al. (2016)*; ³*Woodgate et al. (2005)*

291 The Atlantic Ocean has instrumented transport arrays across the 59°N, 26.5°N, and 34°S
 292 meridians (*Lozier et al., 2019; Frajka-Williams et al., 2019; Kersalé et al., 2020*), spanning the
 293 subpolar and subtropical gyres (Supporting Information, **Table S1**). Across 59°N in the section
 294 east of Greenland *Lozier et al.* report time mean northward overturning transport in the upper
 295 ocean (depths shallower than the 27.66 sigma potential density surface) of $15.6 \times 10^6 \text{ m}^3/\text{s}$. For
 296 SODA3.15.2 and SODA4.15.2 the corresponding numbers are slightly lower: 14.1×10^6 and
 297 $13.8 \times 10^6 \text{ m}^3/\text{s}$, but the differences may not be significant. Across the 26.5°N meridian *Frajka-*
 298 *Williams et al.* report an average northward overturning transport above 1000m depth of
 299 $17 \pm 4 \times 10^6 \text{ m}^3/\text{s}$ for the period 2004-2017. For this meridian SODA3.15.2 also slightly lower
 300 overturning transport of $14.7 \times 10^6 \text{ m}^3/\text{s}$ while SODA4.15.2 has somewhat higher transport of
 301 $18.6 \times 10^6 \text{ m}^3/\text{s}$ due to a more vigorous western boundary current system.

302

3.4 Poleward Heat Transport

303 The oceans absorb heat in the tropics and release that heat poleward of ± 20 - 30° latitude.
 304 Between those two latitudes heat is transported by poleward movement of warmer water
 305 balanced by equatorward movement of cooler water. *Trenberth, et al. (2019)* asserts that the
 306 most accurate way to quantify this transport is through ocean reanalyses such as presented here.
 307 In **Fig. 7** we compare heat transport estimates for SODA3.15.2 and SODA4.15.2 in each ocean
 308 basin. In the Atlantic SODA3.15.2 and SODA4.15.2 have a maximum northward transport of
 309 approximately $1 \times 10^{15} \text{ W}$. However, the two differ in the fact that the latitude of that maximum
 310 shifts poleward from 15-20°N in SODA3.15.2 to 20-30°N in SODA4.15.2.

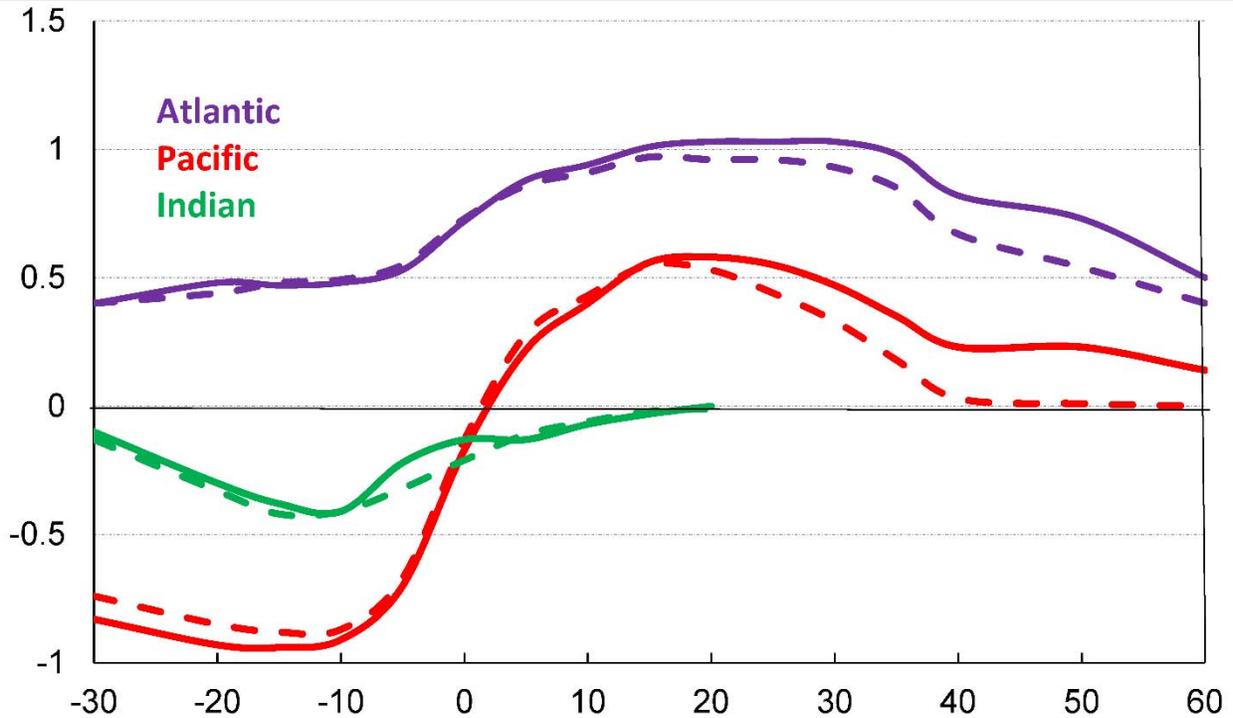


Fig. 7 Mean northward heat transport in the Atlantic, Pacific, and Indian basins with latitude. (dashed) SODA3.15.2, (solid) SODA4.15.2. Units are 10^{15} W.

312 The cause of this shift is likely due to the increasing contribution of eddy heat transport
 313 between 20-30°N in SODA4.15.2 (**Fig. 8**). In the South Atlantic heat transport is toward the
 314 equator in both SODA3.15.2 and SODA4.15.2. In the Pacific SODA3.15.2 and SODA4.15.2
 315 also agree in estimating the maximum northward transport to be 0.6×10^{15} W and the minimum
 316 to be -0.9×10^{15} W. But as in the case of the Atlantic the latitude of the northward maximum shifts
 317 poleward from 15-20°N for SODA3.15.2 to 15-25°N for SODA4.15.2. In the Indian Ocean heat
 318 transport is southward, reaching a maximum of -0.4×10^{15} W.

319

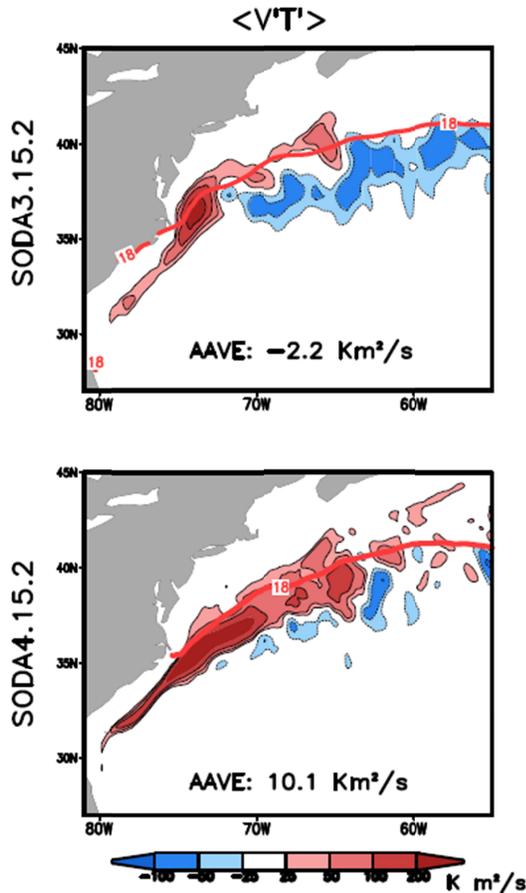


Fig. 8 Mean northward eddy temperature transport integrated over the upper 0-500m. Units are Km^2/s .

320

321 4 Discussion

322 This paper has two goals. The first is to describe an updated version of the Simple Ocean
 323 Data Assimilation (SODA4) ocean/sea ice reanalysis that includes an improved eddy-resolving
 324 forecast model. The second is to explore the impact of that improved (but noisier) forecast
 325 model on the resulting analysis. As part of that exploration, we compare two reanalysis
 326 experiments during a twelve-year period, the first, SODA4.15.2, using the new model, and the
 327 second, SODA3.15.2, using a forecast model which has $1/8^{\text{th}}$ of the numerical resolution. The
 328 results of the comparison highlight areas where the improved forecast model reduces analysis
 329 error, but also identifies areas where the improved model does not reduce analysis error because
 330 other errors are more significant.

331 The comparison begins by examining the temperature and salinity increments that are
 332 produced as a byproduct of the data assimilation. The comparison then examines differences
 333 from an independent satellite sea level data set. The results show that the errors are similar in the
 334 deep tropics because here the major error sources error is due to surface wind error. In contrast,
 335 systematic errors are reduced in western boundary and frontal regions where the additional

336 horizontal resolution allows fronts to become sharper and steeper, and the flow to produce more
337 eddies. The additional near-surface vertical resolution also increases summer temperature
338 stratification in the uppermost 30 m by as much as 2°-4°C, with corresponding increases in
339 salinity stratification. Much of this additional stratification occurs quite close to the surface.
340 Improved horizontal and vertical stratification also combine to alter the representation of the
341 coastal circulation in summer. In the North Atlantic mid-Atlantic Bight, for example, the
342 additional stratification enhances the isolation of the deeper shelf water from the near surface
343 wind-driven cross-shelf flow. This change reduces cross-shelf exchange and alters the along-
344 shelf transport, a change that has important implications for the usefulness of global ocean
345 reanalyses in the coastal zone.

346 Time mean, depth integrated volume transports through major passages are broadly
347 unaffected by the additional resolution (partly the result of topographic tuning), but the details of
348 the transports are altered. For example, in the Indonesian Throughflow, the time mean transport
349 is similar, but the fraction carried through individual straits: Lombok, Ombai, and Timor, is
350 altered as is the time evolution of the throughflow transport. In the Atlantic increased resolution
351 alters the overturning transport across the 26.5°N meridian and shifts the latitude of maximum
352 heat transport poleward by 5-10°. Further north across the 59°N meridian in the subpolar gyre
353 the impact of the change in resolution is much smaller. These results confirm previous
354 recommendation based on free-running numerical simulations, e.g. *Griffies (2015)*, to use
355 sufficient resolution resolve the oceanic mesoscale and near-surface processes in order to
356 improve the accuracy of ocean/sea ice reanalysis.

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364 365 **Data Availability**

366 The SODA3.15.2 and SODA4.15.2 datasets described in Section 2 are available for
367 download from soda.umd.edu in CF-compliant netCDF4 classic format. SODA4.15.2 ocean and
368 sea ice state estimates are saved separately at the full native grid at 5-day resolution. Each 5.7 Gb
369 5-dy compressed 32bit floating point NetCDF5 ocean file contains potential temperature,
370 practical salinity, velocity, and a set of diagnostic variables such as mixed layer depth,
371 entrainment, net surface heat flux, sea level, and so on. Each 0.9 Gb 5-dy sea ice file contains
372 five ice categories, total ice thickness and velocity. Each 2.3 Gb 10-day volume transport file
373 contains transport in and out of the horizontal walls of each cell. Many ocean and ice variables
374 have also been regridded onto a convenient regular $0.1^\circ \times 0.1^\circ$ horizontal grid at a subset of 38
375 vertical levels using a conservative mapping scheme at both 5-dy and monthly resolution. The
376 size of each individual uncompressed regridded ocean file is 2.1 Gb.

377 Hydrographic profile data was obtained from the NOAA National Center for
378 Environmental Information World Ocean Database (www.nodc.noaa.gov) [last accessed June
379 2023], and the Unified Database for Arctic and Subarctic Hydrography (Behrendt *et al.*, 2018)
380 from (doi.org/10.5194/essd-10-1119-2018) [accessed, 15 February 2022]. These data sets
381 includes data from the ice tethered profilers, which is available directly at:
382 <https://www2.who.edu/site/itp>. ERA5 hourly surface meteorological variables were obtained
383 through the ECMWF (www.ecmwf.int) [most recently accessed, 15 February 2023]. In situ SST
384 observations were obtained from the ICOADS version 3 archive, hosted by NOAA
385 (icoads.noaa.gov/e-doc) [last accessed 1 July 2023]. Satellite AVHRR Pathfinder Version 5.2
386 (PFV5.2) SSTs were obtained from (pathfinder.nodc.noaa.gov). ACSPO SST data (Jonasson, *et*
387 *al.*, 2022) are provided by NOAA. We strongly recommend contacting the NOAA SST team led
388 by A. Ignatov before the data are used for any publication or presentation. Dai (2017) (DOI:
389 10.5065/D6V69H1T) [accessed 7 July 2020], the Arctic Great Rivers Observatory Discharge
390 Dataset (Shiklomanov *et al.*, 2021, www.arcticrivers.org/data) [accessed 7 July 2020], and the R-
391 ArcticNET (russia-arcticnet.sr.unh.edu) [accessed 7 July 2020]. Daily combined sea level is
392 available from the CMEMS web portal ([http://marine.copernicus.eu/services-portfolio/access-to-](http://marine.copernicus.eu/services-portfolio/access-to-products/)
393 [products/](http://marine.copernicus.eu/services-portfolio/access-to-products/) [last access: July, 2023]. CMEMS and C3S services are funded by the European
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