

# On the relevance of aerosols to snow cover variability over High Mountain Asia

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## Key Points

1. Interactions between aerosols and meteorology are significant during late snowmelt (June-July) over low snow-covered regions in HMA.
2. Species related interactions drive the seasonal variability of the overall relative importance.
3. Carbonaceous aerosols are more relevant than mineral dust during late snowmelt.

## Abstract

While meteorology and aerosols are identified as key drivers of snow cover variability in High Mountain Asia (HMA), complex non-linear interactions between them are not adequately quantified. Here, we attempt to unravel these interactions through a simple relative importance (RI) analysis of meteorological and aerosol variables from ERA5/CAMS-EAC4 reanalysis against satellite-derived snow cover from MODIS across 2003-2018. Our results show a statistically significant 7% rise in the RI of aerosol-meteorology interactions (AMI) in modulating snow cover during late snowmelt season (June-July), notably over low snow-covered (LSC) regions. Sensitivity tests further reveal that the importance of meteorological interactions with individual aerosol species are more prominent than total aerosols over LSC regions. We find that the RI of AMI for LSC regions is clearly dominated by carbonaceous aerosols, on top of the expected importance of dynamic meteorology. These findings clearly highlight the need to consider AMI in hydrometeorological monitoring, modeling, and reanalyses.

## Plain Language Summary

Understanding the changes in snow cover over glaciers in High Mountain Asia (HMA) is important yet challenging. Despite its impact on water resources, physical processes that drive these changes are complex. In particular, large-scale weather patterns, together with aerosol pollution hotspots in the vicinity, and its steep elevation strongly interact with each other. We use a statistical approach to assess the relevance of these interactions using geophysical data from present day reanalysis and observed snow cover extent from satellite products for two decades. We find that during the late snowmelt period from June to July, interactions between aerosols and meteorology are significant, specifically in low snow cover regions. Interactions of individual

aerosol species, especially carbonaceous aerosols like black carbon are more important than total aerosol concentration. This approach in quantifying the interactions of these processes can help improve the monitoring and modeling of snow hydrology. Representing these relevant interactions in current models and reanalysis of hydrometeorology can lead to more accurate predictions of the state of snow for critical regions like HMA.

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Keywords: aerosol-meteorology interactions, ERA5/CAMS-EAC4, snow cover, relative importance, High Mountain Asia

## 1 Introduction

The High Mountain Asia (HMA) region, often termed as the Third Pole, contains the largest volume of ice outside the poles (Farinotti et al., 2019). Glaciers in HMA provide the hydrological needs of approximately 1.5 billion people via snowmelt and glacial discharge from its major rivers (e.g., Bolch et al., 2012; Pritchard, 2019). As indicators of climate change, glaciers in HMA have been studied through satellite observations to better understand the implications on the water supply in downstream inhabited regions and natural hazards. Snow cover (SC) and its extent is a widely used parameter to characterize the spatiotemporal distribution of these glaciers since it serves as a conduit between surface processes and the atmosphere over it. In fact, observational studies (Notarnicola, 2020) and future projections (Lalande et al., 2021) report that approximately 86% of HMA areal extent exhibit negative trends in SC due to climate change.

Recent studies have attributed this decline to atmospheric teleconnections (e.g., Wang et al., 2021), solar radiation, temperature, precipitation, and their seasonal fluctuations (e.g., Bhattacharya et al., 2021; Johnson & Rupper, 2020; Sahu & Gupta, 2020 and references therein). In addition, there are topographic controls on SC variability and associated runoff due to HMA's steep and complex terrain (Gurung et al., 2017; Jain et al., 2009; She et al., 2015). However, the response of glaciers to climate is not strictly linear and often complex. For example, increase in temperature is followed by snowmelt and decrease in snow albedo (reflectivity) which further continues snowmelt. Decrease in precipitation (that fall as snow) associated with warming maintains this feedback. Although temperature and snowmelt decrease with elevation, glaciers at higher elevations are more susceptible to changes in temperature and precipitation (Pepin et al., 2015; Rangwala & Miller, 2012). Spatial heterogeneity in SC variability is thus a common observation over HMA because of these non-linear processes, which often makes it more difficult to estimate the sensitivity of SC to different climatic factors.

Atmospheric aerosols and their deposition, particularly light absorbing particles (LAPs) like dust and black carbon (BC) also add to the complexity in snow-climate processes by accelerating snowmelt (e.g., He et al., 2014; Lee et al., 2017; Li et al., 2022; Xu et al., 2016). Deposition of LAPs onto snow causes snow darkening which reduces snow albedo and subsequently enhances snowmelt. This continues as the underlying darker surface beneath the snow remains exposed. This aerosol-induced snow albedo effect is identified as one of the primary but highly uncertain agents affecting climate change in addition to greenhouse gases (Shindell and Faluvegi, 2009; Skiles et al., 2018 and references therein). Among LAPs, most studies have placed importance on BC deposition rather than dust, owing to its absorption efficiency and proximity of HMA to regions with strong combustion activities (Bond et al., 2013 and references therein; Das et al., 2022; Gul et al., 2021; Schmale et al., 2017). Other studies, however, report the importance of dust radiative effects on snowmelt, mostly arising from large-scale meteorological transport and high elevation (Hu et al., 2020; Kaspari et al., 2014; Sarangi et al., 2019, 2020). This points to the uncertainty in determining the comparative effects between different LAPs as such studies have been limited by far.

While these studies have elucidated the contribution of both meteorology and aerosols, albeit separately, there is a compelling need to quantify their relative importance and the interactions between different drivers of SC evolution. Here, we analyze the relevance of these factors by conducting a statistical analysis of hydrometeorological variables from the European Centre for Medium-Range Weather Forecasts (ECMWF) reanalysis onto satellite-derived snow cover fraction (SCF) from Moderate Resolution Imaging Spectroradiometer (MODIS). Although these complex interactions can be studied through modeling experiments (e.g., using the Regional Climate Model (RegCM4.6) coupled with Snow, Ice and Aerosol Radiation (SNICAR) (Usha et al., 2022)), such an approach is often computationally expensive and entails rigorous assessment. Instead, we use a multivariate regression method with non-linear interaction terms of reanalysis state variables onto observed SCF to quantify the relative contribution of these interactions. From these analyses, we aim to unravel these interactions that are otherwise already embodied in these observationally constrained models. In Section 2, we described the methodology and datasets used. We discuss our results of our relevance analysis in Section 3 and highlight implications in Section 4.

## 2 Methodology

### 2.1 Study Region

A total of 6 glacier regions (GRs) are defined for HMA following the classification in Randolph Glacier Inventory version 6 (Pfeffer et al., 2014). This comprises a total of 15 glacier basins that are aggregated into 6 major GRs for this study. We show in Figure 1a the geographical extent of the glacier basins over HMA. GRs marked in red (blue) denote regions of high snow cover or HSC (low snow-covered or LSC) based on spatiotemporal mean of SCF which ranges from 4% to 20% (1 to 12%) across the most recent 16-year period from 2003 to 2018.

### 2.2 Data

#### 2.2.1 MODIS Snow Cover (Predictand)

We use daily snow cover fraction (SCF) or extent maps at a spatial resolution of  $0.05^\circ$  as the predictand in our regression analysis. These SCF datasets, which are obtained from the National Snow and Ice Data Center (NSIDC), are satellite derived SCFs based on the Normalized Difference Snow Index (NDSI) (Hall & Riggs, 2007). Specifically, we use MODIS (Terra and Aqua) Daily Level 3 (L3) Global  $0.05^\circ$  Deg Climate Modeling Grid (CMG) Version 6 product with pixels having only recommended quality flags of 0. These products have been used in previous studies where they reported promising results and high accuracy over HMA (Immerzeel et al., 2009; Li et al., 2018; Pu et al., 2007).

### 2.2.2 ECMWF Reanalyses (MET and AER Predictors)

**ERA5.** We use select hydrometeorological state variables from ERA5 reanalysis (Hersbach et al., 2020) at a spatial resolution of  $0.25^\circ$  as one group of predictors in our regression. Considering the scarcity of observations across HMA, its remote location and complex terrain, reanalyses such as ERA5, which is a fifth-generation reanalysis from ECMWF, provide a suitable option for long-term study of this region. ERA5 are used for glacier related studies and as atmospheric forcing for regional downscaling efforts (e.g., Arndt et al., 2021; Azam & Srivastava, 2020; Khanal et al., 2021; Sahu & Gupta, 2020). The meteorological variables, defined hereafter as MET, are aggregated from hourly to daily resolution to match MODIS SCF temporal resolution. These MET variables include a) *temperature* (2-m temperature, skin temperature), b) *cloud cover* (total, low, mid, and high-level cloud), c) *dynamic circulation* (mean sea level pressure, geopotential height at 500 hPa and 300 hPa, 10-m zonal and meridional winds), d) *radiation* related surface fluxes (sensible and latent heat), and e) *moisture* (2-m specific humidity, sum of large-scale and convective rain rate). We note that temperature, precipitation, surface radiative fluxes along with cloud cover are considered to be the most important factors in glacier mass balance studies (Armstrong & Brun, 2008; Ohmura et al., 1992; Pepin & Norris, 2005). The dynamic circulation variables are chosen considering the association of wind-driven processes and atmospheric teleconnections on SC (Mott et al., 2018; Yuan et al., 2008). ERA5 uses a single-layer snow model (Dutra et al., 2010), where snow related parameters are calculated using thermodynamic variables to estimate the land surface response to atmospheric forcing. Notably, aerosol related parameterizations are absent in the scheme, which could be relevant given previously described interactions between aerosols and the cryosphere.

**CAMS-EAC4.** We use the chemical and aerosol reanalysis from Copernicus Atmosphere Monitoring Service (CAMS) ECMWF Atmospheric Composition (EAC4) for aerosol related variables in our predictors. CAMS-EAC4 uses the up-to-date version of the Integrated Forecast System (IFS) and assimilates space-based aerosol optical depths (AOD) including MODIS. CAMS-EAC4 provides 3-hourly  $0.75^\circ$  resolution data which we aggregate into daily data to match MODIS SCF. It uses an aerosol module that simulates major tropospheric aerosol species (Inness et al., 2019). Aerosol variables, defined as AER hereafter, consists of both AOD at 550 nm and surface mass mixing ratios (SMXR) that we grouped according to species; i.e., a) carbonaceous (black carbon or BC and organic matter or OC AOD, hydrophilic and hydrophobic BC and OC SMXR), b) dust (DU) (AOD and the sum of three types of DU SMXRs at three size bins), c) sulphate (SU) (AOD and SMXR), d) others (sea salt or SS AOD and the sum of three types of SS SMXRs at three size bins). Several evaluation studies over HMA and other regions have used CAMS-EAC4 successfully albeit with some biases (e.g., Fu et al., 2022; Gueymard & Yang, 2020).

### 2.2.3 GMTED 2010 Elevation (ELEV Predictor)

We use the Global Multi-resolution Terrain Elevation Data (GMTED 2010) for the elevation variable as one of our predictors. This is a global digital elevation model with elevation data given at three resolutions: 1000, 500 and 250 m (Danielson & Gesch, 2011) with reported uncertainty of about 4 m over HMA (Carabajal et. al., 2011; Grohmann, 2016). The dataset was downloaded from temis.nl where several coarser resolutions are also available (e.g.,  $0.75^\circ$ ,  $0.50^\circ$  among others).

SCF, MET and ELEV are regridded to a resolution of 0.75° to match the spatial resolution of AER variables. Figure 1 shows the spatial distribution of multi-year averaged SCF and key relevant meteorology and aerosol variables over HMA. We see an overestimation of SCF in ERA5 (Figure 1b) compared to MODIS SCF. The large positive bias for ERA5 SCF has been observed in a previous study which has been attributed to excessive snowfall (Orsolini et al., 2019). The mean spatial patterns of these meteorological and aerosol variables qualitatively reflect the non-linear relationships between SCF, MET, and AER which we will further quantify in our regression analysis.

### 2.3 Relative Importance Analysis

For each GR, a multiple linear regression (MLR) model of daily 0.75° MODIS SCF for each month across all years (2003-2018) is formulated using AER, MET, and ELEV as predictors. We also considered second-order product interaction terms between AER, MET, and ELEV to account for non-linear relationships between these geophysical variables and SCF (Cortina, 1993; Jaccard et al., 1990). A similar approach on using second-order terms is used in previous studies by Ho Park et al. (2021) and Guo et. al. (2014). The MLR model is expressed in Equation 1 as:

$$y \approx \sum_{i=1}^{27} \alpha_i x_i + \sum_{j=28}^{378} \alpha_j x_i x_{i'} \quad (1)$$

where  $y$  is the standardized daily MODIS SCF,  $x_i$  are the standardized predictor variables, and  $x_i x_{i'}$  are the two-way product interaction terms using the standardized values of  $x_i$ . Standardization refers to rescaling a variable to a mean of 0 and a standard deviation of 1. The partial coefficients  $\alpha_1, \dots, \alpha_{378}$  represent the relative importance of each term in the MLR model. The first 27 terms on the right-hand side of Equation 1 comprise of *main effects* depicted by the individual AER, MET, and ELEV variables while the rest of 351 terms consist of *interaction effects* shown as product terms among the individual predictors. We then classify the interaction terms into 5 groups: 1) AER-AER (between speciated AOD and SMXR), 2) AER-MET (between aerosol and meteorological variables), 3) AER-ELEV (between elevation and aerosol variables), 4) MET-ELEV (between elevation and meteorological variables), and 5) MET-MET (between meteorological variables themselves).

We use the relative importance (RI) analysis introduced by Johnson (2000) and further described by Tonidandel & LeBreton (2011) to minimize multi-collinearity between the explanatory variables. This algorithm quantifies the proportion of the explained variance in SCF. The relative importance or weight is estimated by transforming the original predictors to their orthogonal equivalent before calculating the regression coefficients. Each relative weight is interpreted to be the independent contribution of the predictor terms as a fraction of the explained variance in SCF. Details of the algorithm are provided in Supplementary Information. Finally, we implement a bootstrapping procedure with 1000 iterations as suggested by Efron & Tibshirani (1986) to estimate confidence intervals for these weights.

### 3 Results and Discussions

Figure 2a shows the seasonality of SCF for both HSC and LSC regions, where HSC regions show a higher degree of SCF variability with an interquartile range (IQR) of 9% while LSC regions have lower variability (IQR of 4%). The results of the monthly RI analysis of the predictors and their interactions for HSC and LSC regions are shown in Figure 2b-j. We grouped the relative weights of predictors from the monthly MLR models based on their interactions (defined in Section 2.2). Aerosol interactions with meteorology (AMI) are grouped as AER-MET + AER-AER + AER while sole meteorology interactions are defined as MET-MET + MET. Interactions with elevation were treated separately. For LSC regions, RI of AMI shows a statistically significant 7% increase from June to July as seen in Figure 2d, compared to HSC regions where the RI remains relatively stable for all months. Meteorology interactions for LSC regions show a corresponding statistically significant 13% decrease in RI from June to July. The period of May to June over HMA is attributed to accelerated snowmelt along with high aerosol loading. The increase in AMI during the late snowmelt period (June-July) is consistent with studies demonstrating the radiative impact of LAPs that in turn increase tropospheric temperature inducing convection, moisture transport, and cloud formation over the Himalayas and the Tibetan Plateau (Lau et al., 2010; Sharma et al., 2022; Usha et al., 2020). Elevation related interactions show higher degree of variability in their relevance for both HSC and LSC regions which are dominated by elevation interactions with meteorology (MET-ELEV) with a maximum of 20% in RI (Figure 2g-j). While AER-ELEV interactions are relatively negligible, its monthly variability in RI for HSC regions is significant. We note that there is increasing evidence of amplified warming with elevation in mountainous regions of HMA that supports our results (Dimri et al., 2022; Ghatak et al., 2014; Guo et al., 2021; Li et al., 2020). Complex processes between cloud cover, radiation, and moisture as well as aerosols at higher elevations have also been associated with elevation dependent warming. Carbonaceous aerosols like BC have prominent snowmelt effects at lower elevations, while dust-induced snowmelt dominates at higher elevations (Sarangi et al., 2020; Xu et al., 2016).

We then performed a series of sensitivity tests (as described in Table S1) by eliminating certain variables from each MLR model and comparing the RI of interactions in LSC regions with the “control” model results shown in Figure 2. Monthly RI of AMI are shown in Figure 3a. Cases 2-4 show a maximum of 24% decrease in RI compared to Cases 0 and 1. The characteristic peak in aerosol interactions as observed in Figure 2c-d during June and July are not noticeable when interaction terms containing individual aerosol species are removed (Case 2). This clearly suggests that species-related interactions are more relevant for SC variability in LSC regions than interactions related to total aerosol loading. Except Case 2, the characteristic peak is still observed for AMI. This confirms the significance of the increase in RI of aerosols for SC variability during late snow melt season. For meteorology related interactions, elevation appears to play an important role as observed in Case 1 of Figure 3b. Removing elevation from the MLR model decreases the RI of meteorology interactions by up to 19% suggesting the high sensitivity of SC variability to MET-ELEV interactions. As previously described, past studies have pointed out the sensitivity of SC to elevation over HMA in addition to trends in temperature and precipitation, which is consistent with our findings (Jain et al., 2009; Li et al., 2018; Rangwala & Miller, 2012; She et al., 2015; Wang et al., 2019). For HSC regions, our sensitivity tests show similar results as to LSC regions but with no significant change in RI of aerosol or meteorology interactions, confirming the sensitivity of aerosol and meteorology related interactions in LSC regions.

We present in Figure 4 the decomposition of the aerosol and meteorology related interactions for LSC regions into different predictor types. Among aerosol related interactions, we find that the RI of carbonaceous aerosols is the highest across all months with the characteristic peak in the late snowmelt season as observed in Figure 2. In addition, carbonaceous aerosols show the highest month-to-month variability of maximum 6% in RI compared to other aerosol types (Figure 4b). During this period, carbonaceous aerosols show the maximum rise (3%) in RI. A possible explanation could be that carbonaceous aerosols are particularly high in abundance from April to May (pre-monsoon) over South and East Asian regions surrounding HMA, which could lead to significant interactions with meteorology in June to July (Das et al., 2022; Kumar et al., 2011; Lau et al., 2006; Zhao et al., 2017). Specifically, Zhao et al. (2017) reported high BC loading during pre-monsoon over the Tibetan Plateau. Springtime crop-residue burning in northern India has also been shown to increase black carbon and AOD levels in the central Himalayas by ~145% and ~150%, respectively (Kumar et al., 2011). Among meteorological interactions shown in Figure 4a, we see that circulation related variables have the highest RI followed by cloud cover and temperature, with the characteristic dip in RI during late snow melt (June and July). Thus, interactions related to circulation contribute significantly to the SC variability in LSC regions followed by cloud cover and temperature. Circulation related variables account for large-scale atmospheric dynamics that can influence the surface energy budget and snow mass balance. We hypothesize that dynamical variables contribute to the relatively higher importance across all months, as studies have reported the possible relationships between glaciers in HMA and the relevant atmospheric teleconnections that influence the Asian monsoon system (Arndt et al., 2021; Forsythe et al., 2017; Priya et al., 2017; Wu et al., 2012; Yuan et al., 2008; Zhao et al., 2007).

#### 4 Summary and Implications

We estimated the monthly relative importance (RI) of AER and MET interactions (AMI) from ECMWF reanalyses in driving MODIS SC over six HMA glacier regions. We find that snow cover fraction is particularly sensitive to AMI during snowmelt period, especially in low snow-covered (LSC) regions. MET interactions on the other hand exclusively dominate the RI for SC variability in both high (HSC) and LSC regions. We also find that the interactions related to carbonaceous aerosols are the highest in their relevance to SC compared to other aerosol species like dust. More importantly, our sensitivity tests show that species-related interactions matter more than the total aerosol loading in association to SC variability, while MET-ELEV interactions matter more during snowmelt season. These findings appear to be very consistent with literature. Albeit simplified relative to machine/deep learning (ML/DL) approaches, this RI estimation using interaction terms offers a useful and explainable diagnostic tool in unraveling complex non-linear interactions that could otherwise be quantified through more expensive global sensitivity analyses using Earth system models (ESM). Our results on the importance of AMI during snowmelt highlights the need to: 1) improve observing system on snow hydrology in this region by augmenting with in-situ and remotely sensed aerosol and meteorological monitoring; and 2) represent these interactions in coupled ESMs and reanalyses like ERA5 to improve the predictive capability of snow hydrology. While this study only examines interactions embodied in ERA5/CAMS-EAC4, we view this to be a useful starting point in unfolding non-linear interactions in ESMs. We note however that future studies on associating these interactions with snow albedo, snow depth or snow water equivalent, as well as investigating other modeling/reanalysis systems like NASA MERRA-2 are essential to



corroborate our findings. Application of promising ML/DL algorithms on estimating relevance should also be considered.

## **Acknowledgments**

This work is supported by a NASA HiMAT2 grant (#NNH19ZDA001N). We acknowledge the National Center for Atmospheric Research (NCAR) (sponsored by the National Science Foundation (NSF)) for this ongoing work. HiMAT2 is an interdisciplinary effort to understand the cryospheric and hydrological state of HMA. This work is in tandem with the goals of the Aerosol subgroup under HiMAT2 that seeks to quantify the deposition of aerosols over snow in HMA.

## **Open Research**

### **Data Availability Statement**

MODIS Level 3 Snow Cover Products available at <https://nsidc.org/data/MOD10C1/versions/61> and <https://nsidc.org/data/MYD10C1/versions/61> for registered users at Earthdata (<https://urs.earthdata.nasa.gov/>).

ERA5 hourly data available at the Copernicus Climate Data Store for registered users to download.

Hourly data for single levels can be found at <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview>

Hourly data for pressure levels can be found at <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=overview>

CAMS-EAC4 3 hourly reanalysis data from ECMWF is available at the Copernicus Atmospheric Data Store from <https://ads.atmosphere.copernicus.eu/cdsapp#!/dataset/cams-global-reanalysis-eac4?tab=overview> (requires registration as well).

GMTED2010 global elevation data available at various resolutions from <https://www.temis.nl/data/gmted2010/>

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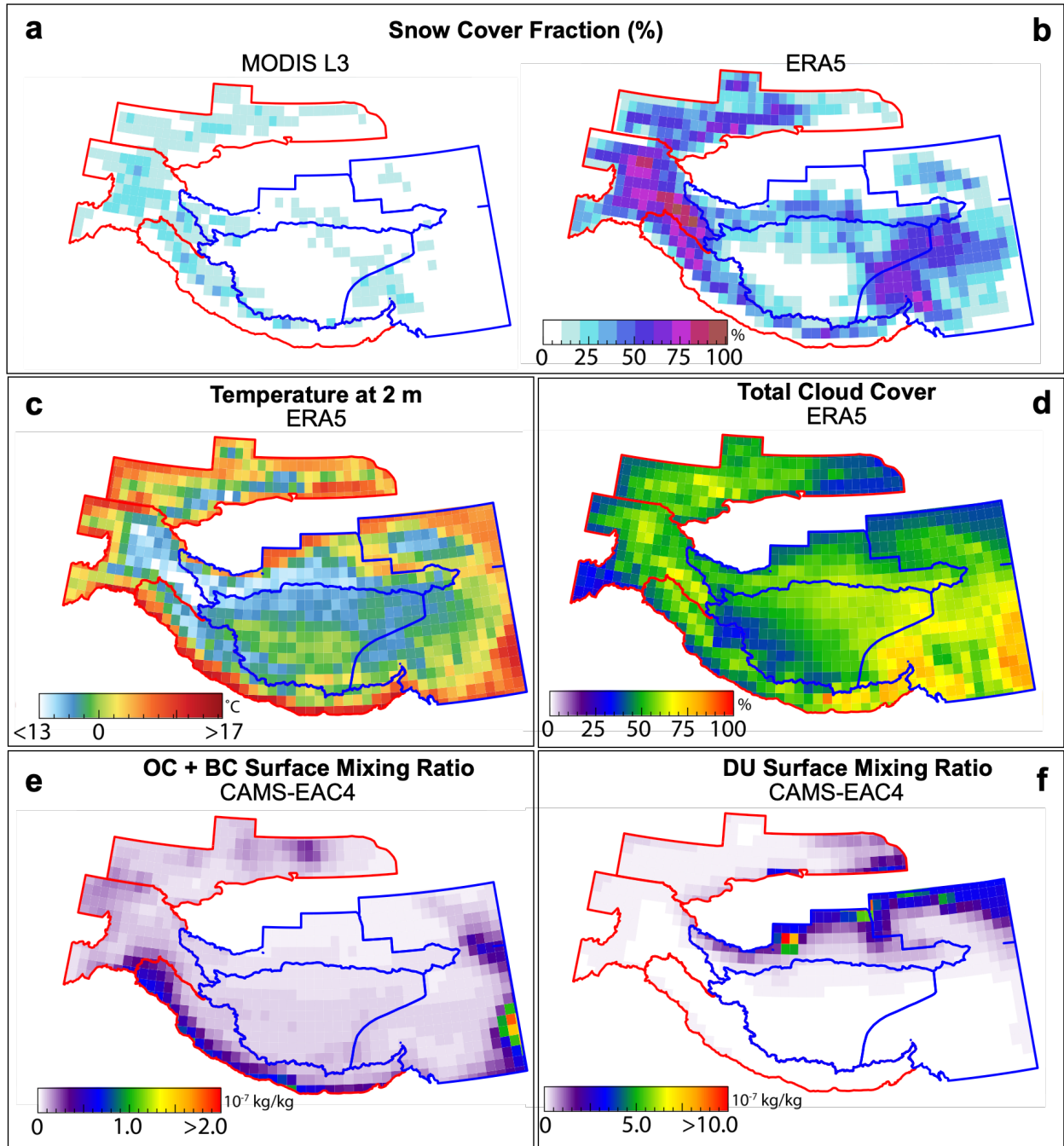
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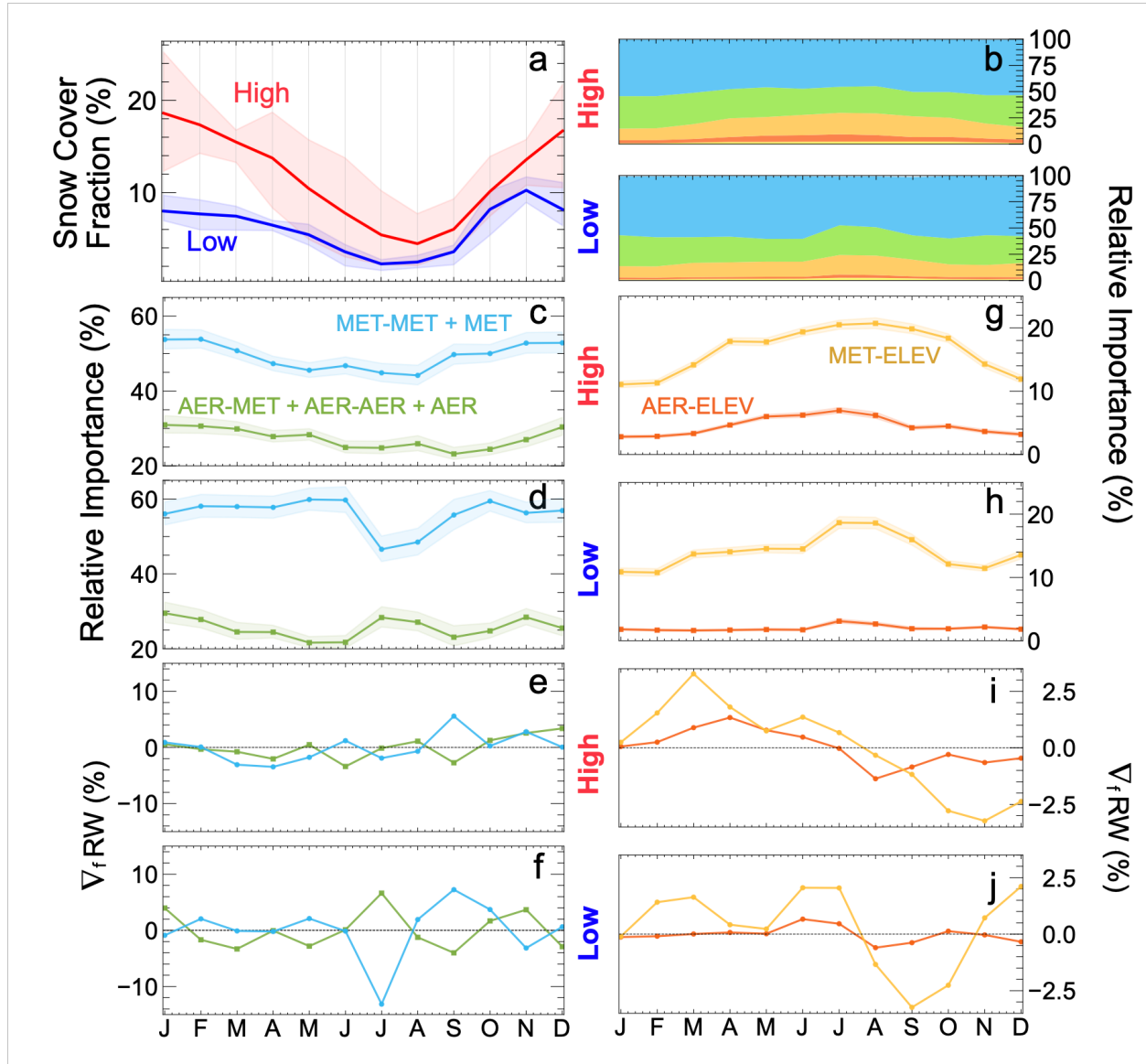
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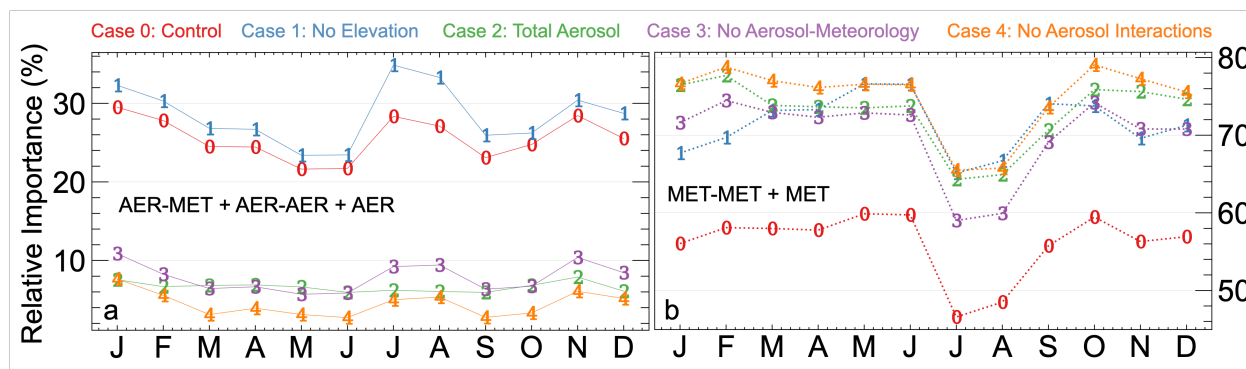


**Figure 1.** Time average (2003-2018) of daily geophysical products over HMA with geographical outlines from RGI v6. (a and b): snow cover fraction from MODIS Level 3 data and ERA5 reanalysis, respectively. Regions marked in red denote high snow cover (HSC) regions while those in blue denote low snow cover (LSC) regions. (c and d): 2-m temperature and total cloud cover fraction from ERA5 reanalysis. (e): sum of organic matter and black carbon surface mass mixing ratios from CAMS-EAC4 reanalysis. (f): dust surface mass mixing ratios from CAMS-EAC4 reanalysis.

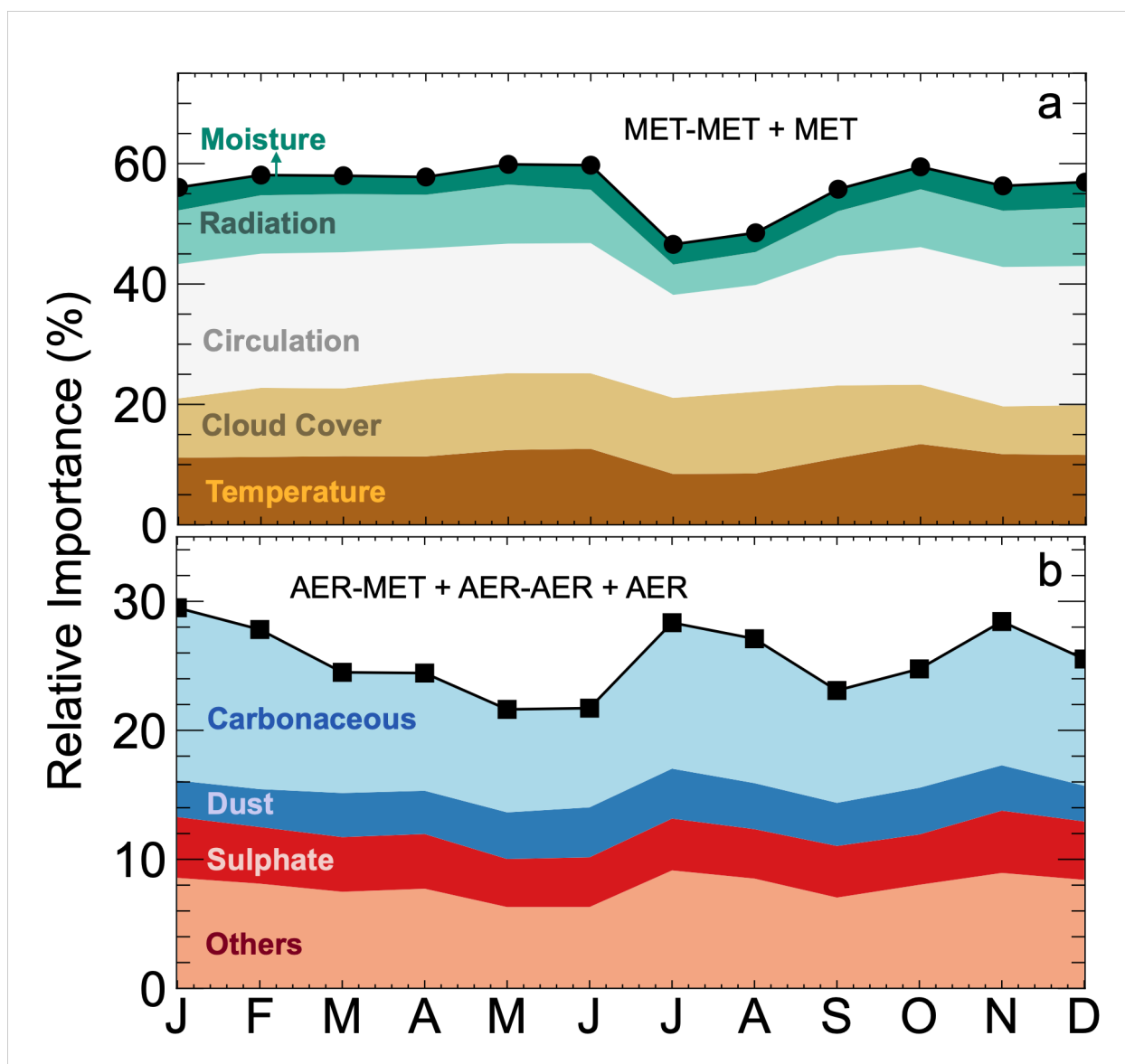




**Figure 2.** (a): Snow cover fraction averaged over high and low snow-covered (HSC and LSC) regions for each month. The shaded regions refer to the range of monthly snow cover for both HSC and LSC regions. (b): Monthly relative importance (RI) of different groups of interactions (MET-MET+MET in green, AER+AER-AER+MET in blue, MET-ELEV in orange and AER-ELEV in red). RI for elevation (ELEV) not shown as it is negligible. (c-d): Monthly RI of aerosol (green) and meteorology (blue) interactions over high and low snow-covered regions. (e-f): Gradient of monthly relevance for aerosol and meteorology interactions. (g-h): Monthly RI of aerosol (red) and meteorology (orange) interactions with meteorology. (i-j): Gradient of monthly RI of elevation interactions. Shaded regions show the interquartile range (75<sup>th</sup> to 25<sup>th</sup> percentile) of RI based on 1000 bootstrap iterations. The gradient for a particular month is based on a forward difference between that month and the prior month.



**Figure 3.** Monthly relative importance (RI) of aerosol (a) and meteorology (b) interactions for different sensitivity tests outlined in Table S1.



**Figure 4.** Monthly relative importance (RI) for meteorology (a) and aerosol (b) interactions decomposed to different types of variables outlined in Section 2 (Data).