

# Quantifying permafrost deformation with ICESat-2

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## Key Points:

- We demonstrate that ICESat-2 altimetry can successfully resolve surface subsidence due to seasonally thawing permafrost
- ICESat-2 measurements of surface deformation are broadly consistent with independently-derived deformation estimates from Sentinel-1 InSAR
- The complementarity of ICESat-2 laser altimetry and InSAR methods shows promise for novel investigations of permafrost surface dynamics

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## Abstract

We use ICESat-2 laser altimetry crossovers and repeat tracks collected over the North Slope of Alaska to estimate height change due to the deformation of seasonally freezing and thawing permafrost. We compare these measurements with a time series of surface deformation from Sentinel-1 interferometric synthetic aperture radar (InSAR) and demonstrate agreement between these independent observations of surface deformation. Both methods resolve pronounced surface subsidence during the 2019 thaw season within the 2007 Anaktuvuk River fire scar. A temporal relationship between measured surface subsidence/uplift and changes in normalized annual degree days is observed, consistent with the thermodynamically driven seasonal freezing and thawing of the active layer. We discuss optimal strategies of post-processing ICESat-2 data for permafrost applications, as well as the future potential of joint ICESat-2 and InSAR investigations of permafrost surface dynamics.

## Plain Language Summary

NASA's Ice, Cloud, and Land Elevation Satellite (ICESat-2) was designed to accurately measure surface heights so that changes on ice sheets, sea ice, and biomass might be studied. In this paper, we demonstrate the ICESat-2 can be successfully employed in permafrost regions, where seasonal freezing and thawing of frozen ground causes the Earth's surface to deform with time. By comparing changes in estimated height from the ICESat-2 satellite, we can quantify the amount of surface deformation that occurs over a study site on the Alaskan North Slope. We compare these estimates of surface deformation with independent estimates of surface deformation acquired by the European Space Agency's Sentinel-1 spacecraft, which was specifically designed to precisely measure surface deformation. By comparing these independent measurements from two satellites, we demonstrate that agreement of the estimated spatial patterns of surface deformation, suggesting that ICESat-2 can be used to quantify surface dynamics in permafrost regions.

## 1 Introduction

Permafrost, defined as ground that remains frozen for two or more consecutive years, underlies 24% of the Northern Hemisphere, and contains stores of bound carbon in the subsurface (primarily carbon dioxide and methane) amounting to 60% of the world's soil

45 carbon (Turetsky et al., 2020). The Arctic, where the majority of permafrost is located,  
46 is the fastest changing component of the global climate system, with air temperatures  
47 across the Arctic currently increasing at roughly twice the average global rate (Jorgenson  
48 et al., 2001). Rising air temperatures can increase the magnitude of seasonal thawing  
49 and freezing of the uppermost portion of the permafrost column (the “active layer”) and  
50 can induce permanent melting and unrecoverable loss of permafrost. Both of these pro-  
51 cesses can result in decomposition of bound soil carbon and its release into the atmo-  
52 sphere (Natali et al., 2019). Results from the Coupled Model Intercomparison Project  
53 Phase 5 (CMIP5) suggest that global permafrost extent may decrease anywhere from  
54 20–37% by the end of the 21st century (Wang et al., 2019). As simultaneously one of the  
55 largest carbon reservoirs in the global carbon cycle and one of the fastest-warming re-  
56 gions on Earth, permafrost plays a disproportionately large role in the global climate sys-  
57 tem. Consequently, robust and expansive monitoring of regions with changing permafrost  
58 will be essential through the 21st century.

59 The Circumpolar Active Layer Monitoring Network (CALM) was established in  
60 1991 to observe long-term, interannual impacts of variable climate on the active layer  
61 and near-surface permafrost (Brown et al., 2000). More recently, Global Navigation Satel-  
62 lite System (GNSS) reflectometry has been used to resolve both annual and inter-annual  
63 surface deformation associated with thawing of the active layer (Liu & Larson, 2018; Hu  
64 et al., 2018). Although GNSS and dedicated in-situ monitoring efforts like CALM can  
65 provide precise estimates of permafrost subsidence, these are point measurements that  
66 may not adequately represent permafrost changes away from the point of observation.  
67 The vastness of permafrost regions and the general inaccessibility of much of the north-  
68 ern high latitudes hamper many conventional methods of in situ monitoring. As a re-  
69 sult, remote sensing techniques such as visual (e.g., Quinton et al., 2010) or multispec-  
70 tral (e.g., Nitze & Grosse, 2016) imagery mapping, lidar surveying (e.g., Jones et al., 2013),  
71 and synthetic aperture radar (SAR) analysis (e.g., Liu et al., 2010), have been employed  
72 to monitor permafrost, with varying degrees of success.

73 Interferometric synthetic aperture radar (InSAR) is a geodetic technique that can  
74 resolve centimetric deformation of the Earth’s surface (e.g., Goldstein & Zebker, 1987;  
75 Rosen et al., 2000). InSAR has been successfully applied to study a range of phenom-  
76 ena in permafrost regions that give rise to surface deformation, including seasonal thaw-  
77 ing of the active layer (Liu et al., 2012), wildfire-induced thermokarst (Liu et al., 2014),

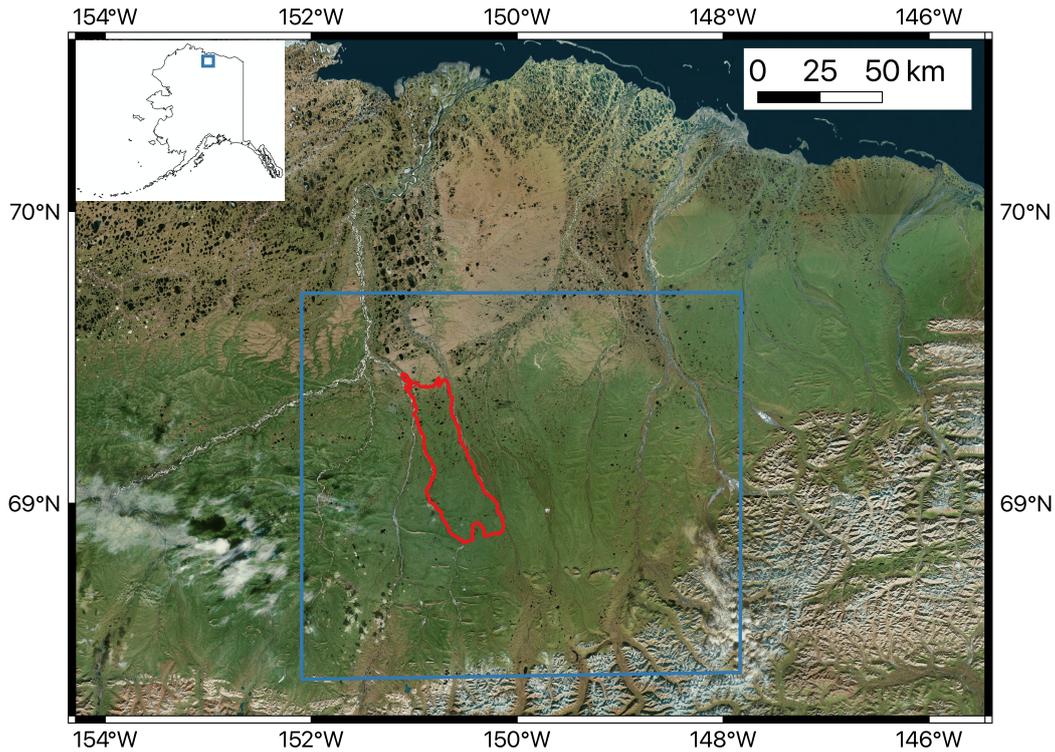
78 initiation of retrogressive thaw slumps (Zwieback et al., 2018), and post-wildfire active  
79 layer thaw and recovery (Michaelides et al., 2019). Although InSAR processing is capa-  
80 ble of resolving deformation over vast spatial extents, precise estimates of deformation  
81 require several repeat observations and interferometric coherence from image to image.  
82 Extensive vegetation cover, changes in surface water cover, extent, and saturation, and  
83 variable snow cover, all of which are ubiquitous phenomena in permafrost regions, can  
84 induce signal decorrelation over temporal baselines as short as several weeks and limit  
85 the precision with which InSAR analysis can determine deformation in permafrost re-  
86 gions.

87 The launch of the Ice, Cloud, and land Elevation Satellite 2 (ICESat-2) mission (Markus  
88 et al., 2017) in September 2018 provides an opportunity to complement InSAR techniques  
89 with spaceborne laser altimetry that extends to  $\pm 88^\circ$  latitude. The small footprint, fine-  
90 scale along-track spacing, and high precision of elevation retrievals (e.g., B. Smith et al.,  
91 2020) suggests that ICESat-2 data products should be of sufficient quality to estimate  
92 surface deformation in complex permafrost terrain. Whereas C-band InSAR decorrelates  
93 across temporal baselines longer than a few weeks, ICESat-2 can yield long-period tem-  
94 poral information without signal degradation. Similarly, InSAR and laser altimetry are  
95 sensitive to different atmospheric characteristics, providing complementary observations  
96 of permafrost evolution and hazards in a challenging atmospheric environment. In this  
97 work, we demonstrate the capability of the ICESat-2 mission to quantify spatial patterns  
98 of active-layer deformation of permafrost in Arctic Alaska on the order of centimeters  
99 to decimeters. We compare our ICESat-2 results to InSAR-derived models of active-layer  
100 subsidence to validate our ICESat-2 retrievals and finally suggest future steps for expand-  
101 ing ICESat-2 data analysis to pan-Arctic estimates of Arctic permafrost change.

## 102 **2 Methods**

### 103 **2.1 Field Site**

104 We compare Sentinel-1 InSAR deformation and ICESat-2 height change in a 3220  
105  $km^3$  region of the North Slope of Alaska that encompasses the foothills of the Brooks  
106 Range to the south and the Arctic coastal plain to the north (Figure 1). Although the  
107 southern reaches of the study region exhibit considerable topographic relief, the tundra  
108 to the North of the foothills is flat and characterized by heath vegetation, tussock tun-



**Figure 1.** Study site within the Alaskan North Slope, with relative position within Alaska (inset). The bounding box of the comparison between Sentinel InSAR and ICESat-2 data is shown in blue and the 2007 Anaktuvuk River fire scar is highlighted in red.

109 dra, and wet sedge tundra along well-drained hilltops, hillslopes, and saturated lowland  
 110 valleys, respectively (J. Chen et al., 2020). The entirety of the Alaskan North Slope is  
 111 underlain by continuous permafrost, with reported active layers ranging from 40 cm to  
 112 100 cm in depth (Brown et al., 2000).

113 Ignited by a lightning strike on 16 July 2007, the Anaktuvuk River fire burned  $\sim 1039$   
 114  $\text{km}^2$  of tundra in our study region, resulting in a doubling of the cumulative burned area  
 115 of the Alaskan North Slope over the last 50 years (Jones et al., 2009) and a release of  
 116  $\sim 2.1$  Tg of carbon into the atmosphere—equivalent to the net annual carbon sink of the  
 117 circumpolar Arctic tundra (Mack et al., 2011). Both field measurements and InSAR mea-  
 118 surements have indicated post-fire increases in active layer thickness and seasonal sub-  
 119 sidence of the tundra burned by the Anaktuvuk River fire (Rocha & Shaver, 2011; Liu  
 120 et al., 2014).

## 2.2 InSAR

We use SAR data acquired between 7 Jan. 2019 and 21 Dec. 2019 by the Sentinel-1A satellite, which operates at C-band ( $\sim 5.65$  cm wavelength) and with a 12-day temporal repeat in the high Arctic. We processed raw data (L1.0) collected in the interferometric wide swath (IWS) mode using the ‘geocoded single-look complex’ (SLC) back-projection method (H. A. Zebker & Zheng, 2016; Zheng & Zebker, 2017). All SLC radar images were coregistered to a digital elevation model (DEM) spanning the region of interest and produced from the photogrammetric ArcticDEM dataset (Porter et al., 2018). The DEM was downsampled to a resolution of  $\sim 5$  m by  $\sim 15$  m, to match the native 5 m by 15 m spatial resolution of the Sentinel-1A satellite in range and azimuth, respectively.

We generated a network of interferograms from all coregistered SLCs using a temporal baseline of 48 days and a perpendicular baseline of 150 m. We took 18 looks in range and 6 looks in azimuth during interferogram formation to increase the signal-to-noise ratio (SNR) of the phase estimation, resulting in interferograms with a spatial resolution of  $\sim 100$  m in both range and azimuth. We then unwrapped all interferograms using the SNAPHU algorithm (C. W. Chen & Zebker, 2001). We used the correlation files of each interferogram to aid in the unwrapping scheme and tiled each interferogram to speed up computational time. We then applied a unimodal correction to all unwrapped interferograms to correct for any phase unwrapping errors in the unwrapped interferograms. All interferograms exhibiting severe decorrelation or turbulent atmospheric noise were removed from the set of interferograms used for analysis. The topography-correlated component of atmospheric noise was empirically removed from all interferograms using the DEM following Doin et al. (2009). Due to the paucity of reliable GNSS stations in the study region, all interferograms were phase-referenced using a selection of several pixels exhibiting high coherence in regions of no assumed deformation (i.e., mountain ridges) following Liu et al. (2012).

After applying the above calibrations to the InSAR data, we generated a pixel-wise time-series across the comparison region using the small baseline subset (SBAS) method (Berardino et al., 2002). The SBAS method is an inversion that solves for the pixel-by-pixel instantaneous velocity at the time of each SAR image acquisition. The estimated velocities were then integrated through time to form a time-series of surface displacements for each pixel over the temporal range of the network of input interferograms.

### 2.3 ICESat-2

ICESat-2 is in a polar orbit with a  $92^\circ$  inclination, collecting observations from  $88^\circ\text{N}$  to  $88^\circ\text{S}$  with a 91-day exact repeat (Markus et al., 2017). ICESat-2’s laser instrument emits a single pulse, which is split into 3 pairs of beams, where each pair has one strong beam that is four times stronger than the corresponding weak beam. The three pairs are spaced at  $\sim 3.3$  km across-track and beams within a pair are spaced at  $\sim 90$  m, with the exact geometry controlled by spacecraft attitude (Neumann et al., 2019).

Each beam illuminates a surface spot of 12–15 m diameter (Klotz et al., 2020) every 0.7 m along track. During the period covered by this study, ICESat-2 operated in “mapping mode” away from polar regions, resulting in a higher density of tracks but no repeat measurements (Neumann et al., 2019). However, since the North Slope of Alaska is “target of opportunity” for the ICESat-2 mission, every fifth descending track was repeated. This resulted in a small number of repeated tracks during the 2019 thaw season that is the focus of our study. We note that on 9 Sep. 2019, the satellite performed a yaw flip in which the orientation of the altimeter instrument, and thus the relative ordering of weak and strong beams, was reversed.

We used surface height estimates from the Land Ice Height Product, ATL06 (B. E. Smith et al., 2019). ATL06 processing filters and provides a linear fit to the geolocated surface photons along 50%-overlapping 40 m segments to estimate the centroid height and surface slope in the along-track and across-track directions (B. Smith et al., 2019). We only used ATL06 data points flagged as high quality and that had a height within 2 m of adjacent segments. In addition, we removed segments with surface height uncertainty  $>1$  m, along-track slope  $>5$  degrees, and a signal-to-noise ratio significance level  $<0.02$ .

We estimated surface height changes from both repeated tracks and profile crossover points. To identify crossing locations, we divided the study area into 10 km latitudinal bands. Within each band, we fit lines to the longitude and latitude coordinates of ATL06 segments on individual tracks and calculated all intersections. Using this method, we compared 291 profiles and identified 9839 potential crossovers. For each crossover, we then constrained the data from the crossing tracks to segments lying within a specified radius of the crossing location. We considered the crossover valid if the track had a density of at least 1 point every 40 m, then recalculated the precise crossover location using these local segments. We estimated the profile heights at the crossover location using a line

185 fit to ATL06 segment heights as a function of along-track distance. Finally, we estimated  
186 the crossover height difference (dH) as the difference of the profile elevations at the crossover  
187 location, subtracting the later observation in time from the earlier observation. We prop-  
188 agated uncertainties on individual ATL06 elevations through to the final dH estimates.

189 To examine the sensitivity of our crossover estimation to our choice of input data,  
190 we tested interpolation distances ranging from 20 m to 100 m for fitting lines to ATL06  
191 segments on either side of the crossover location. For this analysis, we used crossovers  
192 with a time interval of 14 days or less, a period over which we assume surface height change  
193 is negligible.

194 We identified potential repeat tracks by flagging tracks from the same RGT with  
195 different collection dates and corresponding beams had an across-track distance differ-  
196 ence of  $<45$  m. For each point from the earlier profile, we identified the closest point on  
197 the later profile and calculated the distance between observations. In order to ensure suf-  
198 ficiently overlapping segments, we only kept pairs that were within 5 m of each other across-  
199 track. We then calculated the height difference between each pair. In order to reduce  
200 the noise in our final results, we applied a boxcar filter over 2 km. Through this process  
201 we identified four RGTs with repeat profiles and consistent collection across the region  
202 of interest, including three 182-repeats and one 91-day repeat. Seven additional tracks  
203 had sparse coverage, likely due to cloud cover. We selected the 91-day repeat track (RGT  
204 1280) and one of the 182-day repeats tracks (RGT 335) for direct comparison with the  
205 InSAR results.

### 206 **3 Results and Discussion**

#### 207 **3.1 InSAR Deformation**

208 We applied the SBAS algorithm to 14 interferograms spanning the 2019 thaw sea-  
209 son. The SBAS algorithm solves for a time series of instantaneous velocity estimates for  
210 each epoch at which a SAR image was acquired. Integrating this velocity time series yields  
211 a time series of surface deformation, which can be directly compared to all deformation  
212 estimates derived from ICESat-2. The SBAS method resolves increased subsidence over  
213 the 2007 Anaktuvuk River fire scar (red outline, Figure 1). We observe a  $\sim 1.5$  cm dif-  
214 ference in subsidence between the burned tundra and unburned tundra, which is con-

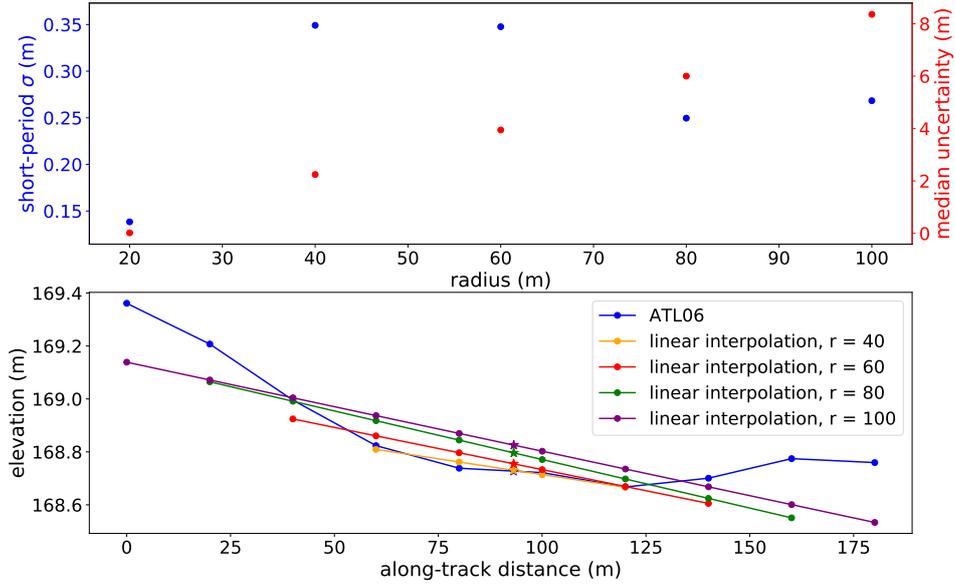
215 sistent with estimates of 12 year post-fire active layer recovery from the Yukon-Kuskokwim  
 216 delta (Michaelides et al., 2019).

### 217 **3.2 ICESat-2 Height Change**

218 We compared 291 individual ICESat-2 beams on ascending and descending tracks  
 219 spanning the 2019 thaw season, yielding between 785 and 975 crossovers with the required  
 220 point density, depending on the interpolation radius. The crossovers spanned time pe-  
 221 riods ranging from 3 to 218 days, with 120–129 “short-period” crossovers spanning 14  
 222 days or less. Figure 2 shows the standard deviation of short-period crossovers and me-  
 223 dian propagated uncertainty ( $1\sigma$ ) as a function of radius. The median standard devi-  
 224 ation increases sharply with interpolation distance. Although we would expect interpo-  
 225 lations over longer length scales to reduce the uncertainty for flat areas, the topography  
 226 in this region is complex, leading to high residuals when interpolating over several ATL06  
 227 segments. Both the uncertainty and short-period standard deviation are minimized for  
 228 the 20 m interpolation, with a median uncertainty of 1.9 cm across all estimates and a  
 229 standard deviation of 14 cm for the 120 short-period crossovers. Therefore, we conclude  
 230 that interpolation using only the nearest two points is the optimal solution given the ter-  
 231 rain.

232 Crossovers height changes ( $dH$ ) from the entire thaw season indicate net subsidence  
 233 across the region, with elevation changes ranging from -156 to 83 cm, a median of -19  
 234 cm, and uncertainties ranging up to 94 cm. The subset of short-period crossovers indi-  
 235 cate large crossover variability. While the median bias of short-period crossovers is a small  
 236 -0.15 cm, individual estimates vary from -47 cm to 36 cm.

237 The 11 total repeat tracks in this study yielded height changes ranging from -550  
 238 to 350 cm, with uncertainties between 0.8 and 97 cm. Overall, repeat-track comparisons  
 239 show net subsidence over the study region, with a mean of -29 cm. As expected, the mag-  
 240 nitude of height change from 181-day repeat tracks are higher ( $-550 \text{ cm} < dH < 350$   
 241  $\text{cm}$ ;  $1.5 \text{ cm} < \sigma < 97 \text{ cm}$ ) than that for the 91-day repeats ( $-270 \text{ cm} < dH < 230 \text{ cm}$ ;  
 242  $0.81 \text{ cm} < \sigma_i < 95 \text{ cm}$ ). We selected one 91-day repeat (RGT 1280) and one 182-day  
 243 repeat (RGT 0335) for comparison to the InSAR results. Applying the 2 km boxcar fil-  
 244 ter reduces both the spread and the uncertainty in the data. For RGT 0335 spot 2l, this  
 245 reduces the standard deviation and median uncertainty from 43 cm and 6.4 cm to 30 cm

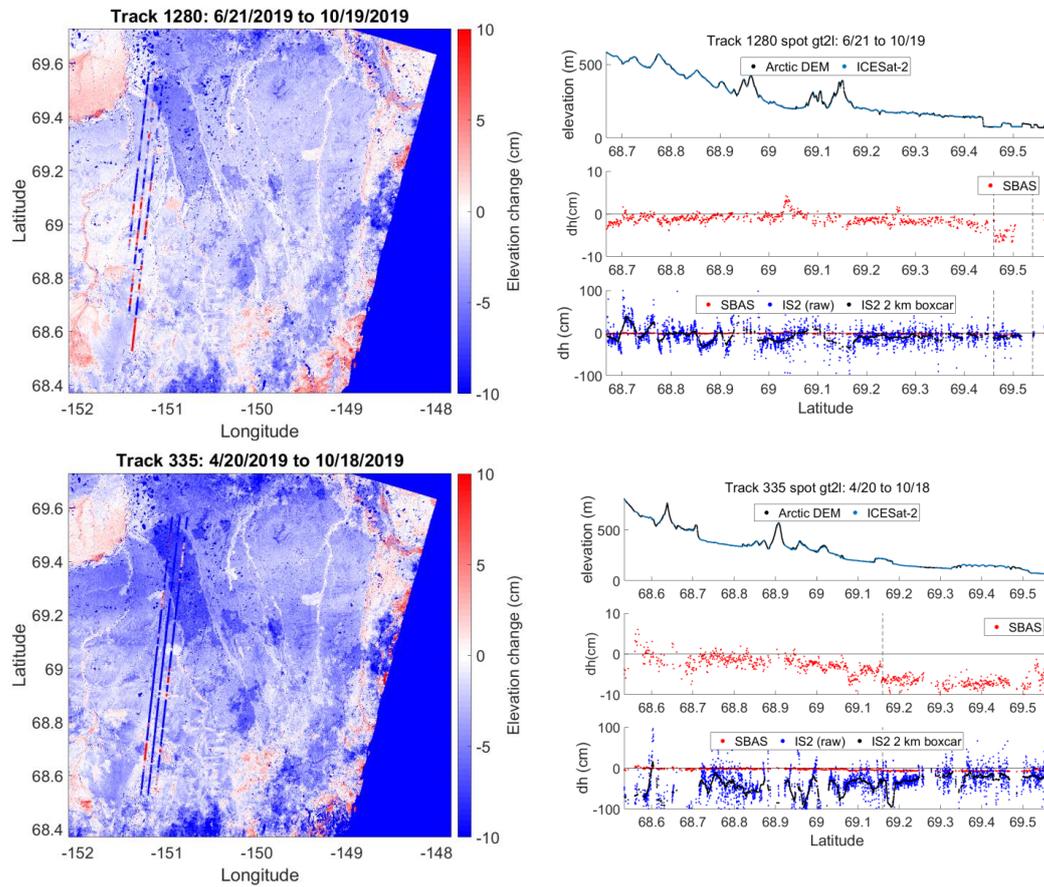


**Figure 2.** Top: standard deviation of short-period (<14 days) crossovers (left axis) and median propagated uncertainty (right) as a function of interpolation radius. Bottom: an example of linear fitting of an ATL06 profile in this region. As the interpolation radius increases, the interpolation does a poorer job of fitting the surface, and the crossover height estimate deviates further from the true surface.

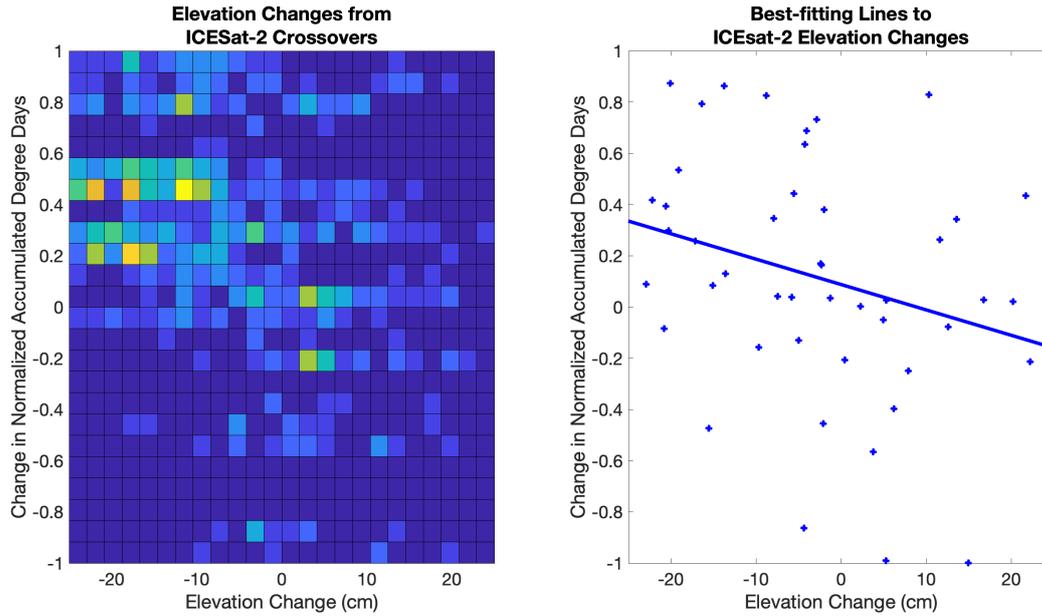
246 and 0.8 cm respectively. For track 1280 spot 2l, this reduces the standard deviation and  
 247 median uncertainty from 21 cm and 6.4 cm to 12 cm and 1.3 cm. The spatial distribu-  
 248 tion of the averaged dH values for each of the two RGTs is shown in figure 3.

249 **3.3 Comparison Between Techniques**

250 Due to the large amount of noise in the crossover estimates (as indicated by the  
 251 short-period crossovers), a direct comparison between crossovers and InSAR estimates  
 252 is challenging. However, by comparing crossover-derived height changes to their asso-  
 253 ciated changes in normalized accumulated degree days (NADD)—which were calculated  
 254 from NASA’s Daily Surface Weather and Climatological Summaries (DAYMET) reanal-  
 255 ysis temperature dataset—a clear temporal correlation emerges. The magnitude (sign)  
 256 of ICESat-2-derived vertical surface deformation is positively (negatively) correlated with



**Figure 3.** Left: comparison of the elevation changes from 91-day (RGT 1280) and 182-day (RGT 0335) repeat tracks, as well as the SBAS deformation estimate over a similar time period. Right: along-track profiles of the ICESat-2 raw (yellow) and boxcar-filtered (purple) elevation changes for spot 2l of each track, and the SBAS-derived estimates (red) of the deformation over that time interval. The vertical dashed line indicates the latitudinal bounds of the burn area.



**Figure 4.** Left: Two-dimensional histogram of ICESat-2 crossover height-change estimates and the associated change in normalized accumulated degree days (NADD). Right: The linear best fit to the histogram to the data in the left panel.

257 the magnitude (sign) of NADD change (Figure 4). This relationship is physically con-  
 258 sistent with active layer thawing (subsidence) during time spans where degree days accumulate—  
 259 warming summer months— and freeze-up (uplift) during active layer freezing, when changes  
 260 in degree days are negative.

261 To validate ICESat-2 permafrost deformation estimates from repeat tracks, we com-  
 262 pare our ICESat-2 height-change estimates to SBAS-derived deformation over approx-  
 263 imately the same temporal baseline. Figure 3) displays the SBAS-derived deformation  
 264 observed between dates 23 Jun. 2019 and 16 Sep. 2019, with ICESat-2-derived 91-day  
 265 height change from RGT 1280, which spanned 06 Jun 2019 and 19 Oct 2019 overlain.  
 266 We also show the comparison between SBAS deformation between 20 Apr 2019 and 23  
 267 Oct 2019 and 182-day height change on RGT 0335, which spanned 04 Apr 2019 and 18  
 268 Oct 2019. The difference in acquisition date between the first ICESat-2 and Sentinel im-  
 269 ages and second ICESat-2 and Sentinel images is 3 days for RGT 1280 and 5-6 days for  
 270 RGT 0335, such that the expected deformation of the surface between the inter-instrument  
 271 image acquisition can be assumed small. As such, the surface deformation observed by  
 272 InSAR and ICESat-2 are expected to be roughly equivalent.

273           Whereas ICESat-2 observes systematically larger elevation changes than InSAR,  
274 both the spatial pattern and sign of observed elevation change (i.e., uplift or subsidence)  
275 is consistent with InSAR observations. Notably, both Sentinel-1 and ICESat-2 observed  
276 increased subsidence over the 2007 Anaktuvuk River fire scar compared to nearby un-  
277 burned tundra. Additionally, both methods observe an inverse correlation between to-  
278 pography and subsidence, with well-saturated lowlands exhibiting larger subsidence than  
279 well-drained hill slopes and ridge crests, consistent with past studies (J. Chen et al., 2020).  
280 Anomalously large uplift values are inferred from ICESat-2 crossovers over topograph-  
281 ically rough surfaces (such as exposed rock ridge tops) and several rivers and river flood-  
282 plains. These large-magnitude values are likely related to errors in our interpolation over  
283 rough terrain or failure of the ATL06 assumption that over 40 m length scales, the sur-  
284 face topography can be estimated as a plane, suggesting a higher level surface-height data  
285 product that considers the unique topographic and roughness characteristics of permafrost  
286 regions would improve ICESat-2's utility for long-term thaw monitoring. Deformation  
287 estimates from the 182-day repeat are systematically higher than those from the 91-day  
288 repeat. This discrepancy is likely predominantly due to the fact that the 182-day repeat  
289 spans the entire thaw season, while the 91-day repeat does not. However, height changes  
290 due to late spring snow melt may also be contained within the 182-day deformation mea-  
291 surement. If ICESat-2 is indeed sensitive to changes in snow height, it may prove com-  
292 plementary to InSAR-based studies of late spring thaw, which can be severely impacted  
293 by signal decorrelation.

294           Sentinel-1 InSAR, ICESat-2 crossovers, and ICESat-2 repeat-track methods cap-  
295 ture spatially consistent patterns of surface deformation associated with subsidence of  
296 the thawing active layer. However, ICESat-2 measurements are systematically larger and  
297 noisier than InSAR measurements, and require along-track filtering with a boxcar im-  
298 pulse response on the order of 100 segments (2 km) to derive comparable deformation  
299 estimates to the InSAR results. This discrepancy may be partially due to both the op-  
300 erational nature of the two imaging techniques, as well as their respective post-processing  
301 methods. Although synthetic aperture radar interferometry and laser altimetry are both  
302 coherent source imaging techniques, the physical nature of each instrument's backscat-  
303 tered signal is different. SAR backscatter represents a convolution of the output radar  
304 signal with the distribution of scattering elements contained within each ground reso-  
305 lution element (resel). The distribution of scattering elements within any one individ-

306 ual resel may result in a noisy phase estimate, but considerable spatial averaging (“mul-  
307 tilooking”) in both the along-track and across-track of the radar image results in a larger  
308 signal-to-noise ratio (SNR) and more precise phase estimate (Goldstein et al., 1988; Li  
309 & Goldstein, 1990; H. Zebker & Villasenor, 1992). Starting from SAR images with a na-  
310 tive resolution of  $\sim 5$  m by  $\sim 15$  m across-track and along-track, respectively, a total of  
311 18 looks across-track and 6 looks along-track were taken during interferogram image for-  
312 mation to generate images with a 100 m spatial resolution in both along-track and cross-  
313 track. As such, each individual phase estimate represents a statistical average of 108 in-  
314 dependent measurements. In contrast, the ICESat-2 ATL06 dataset has a native along-  
315 track resolution of 40 m. The altimetric return from the ICESat-2 laser is dictated by  
316 the count density of backscattered photons, which is typically  $<10$  signal photons out  
317 of 200 trillion transmitted photons (Neumann et al., 2019). Although the deformation  
318 uncertainty of a native resolution InSAR pixel is on the order of 1 cm, ATL06 height es-  
319 timates have a precision of 9 cm for best-case targets (high reflectivity; low roughness)  
320 (Brunt et al., 2019), and any inferred deformation (i.e., change in height) will be even  
321 larger. Therefore, an even greater number of statistical averages is likely necessary to  
322 achieve height-change estimates from ICESat-2 with the precision of InSAR methods.

323 Moreover, because ICESat-2 provides a one-dimensional, along-track measurement  
324 rather than a two-dimensional image like SAR, achieving a comparable number of sta-  
325 tistical samples as a 100 m InSAR pixel necessitates boxcar-filtering ICESat-2 data in  
326 the along-track direction with a spatial resolution of  $\sim 2$  km. As such, InSAR and ICESat-  
327 2 estimates of deformation will agree better in flatter regions such as the northern Arc-  
328 tic coastal plain, where topographically rough areas like the Brooks Range foothills ex-  
329 hibit larger differences in inferred deformation. Therefore, a large amount of along-track  
330 filtering over complicated topography will break assumptions of signal ergodicity, and  
331 may result in biased estimates of deformation with large uncertainties. The ATL06 data  
332 product was designed primarily for surface slopes of  $1^\circ$  or less (B. Smith et al., 2019),  
333 whereas slopes in this region are often a few degrees or more. We only included height  
334 changes for areas with surface slopes less than  $5^\circ$ , however stricter surface slope restric-  
335 tions may be needed. Alternatively, uncertainties in ICESat-2 derived deformations might  
336 be lowered by adaptively varying the crossover interpolation and along-track smooth-  
337 ing based upon local topography.

338 ICESat-2 can retrieve estimates of surface deformation that are qualitatively in agreement–  
339 particularly in terms of spatial structure—with independent InSAR estimates, but achiev-  
340 ing this result requires appropriate statistical averaging and is expected to work better  
341 in regions exhibiting more uniform surface topography and permafrost distribution at  
342 the km spatial scale. In regions that exhibit a large degree of spatial heterogeneity, the  
343 assumptions of signal ergodicity inherent to any statistical averaging techniques break  
344 down, and biases in estimated deformation can manifest. Additionally, the complex rough-  
345 ness characteristics of tundra terrains—particularly tussock tundra—can introduce uncer-  
346 tainties in the ICESat-2 height retrieval itself, as photons may backscatter from vege-  
347 tation scattering elements distributed over several decimeters. The smaller native pre-  
348 cision of interferometric measurements, as well as the two-dimensional nature of InSAR  
349 images, makes InSAR measurements more robust to spatial variability than ICESat-2.  
350 Nonetheless, we have demonstrated a noticeable sensitivity of ICESat-2 to local-scale de-  
351 formation associated with seasonal permafrost thawing, which necessitates future inves-  
352 tigation into the full potential of ICESat-2 observations for characterization of permafrost  
353 surface dynamics. Coherent ICESat-2 repeat and crossover estimates at 91 and 182 day  
354 intervals complement the ubiquitous problem of interferometric temporal decorrelation  
355 that plagues InSAR-based studies in permafrost regions. Furthermore, these two meth-  
356 ods can also look at complementary targets: whereas the sidelooking viewing geometry  
357 of conventional SAR imaging systems makes them insensitive to surface water bodies,  
358 the nadir geometry of ICESat-2 might allow for precise estimates of surface water height  
359 levels and changes. Such measurements, combined with InSAR-based measurements of  
360 surface subsidence and active layer thickness, could allow for novel investigations of the  
361 spatiotemporal relationships between permafrost thaw, water table and lake/river level  
362 heights, as well as potentially the horizontal flow of groundwater through the permeable  
363 active layer.

#### 364 **4 Summary**

365 This study provides a preliminary investigation into the effectiveness of using ICESat-  
366 2 height changes to study permafrost thaw subsidence. We compared ICESat-2-derived  
367 surface deformation over a 91-day and a 182-day interval across a region of the North  
368 Slope, Alaska, to InSAR-derived subsidence. We found that, although the magnitudes  
369 of deformation differ between ICESat-2 and InSAR retrievals, the longer-wavelength spa-

370 tial structures of deformation are similar, indicating that the two instruments are sen-  
371 sitive to large-scale subsidence patterns. Furthermore, both crossovers and repeat tracks  
372 are capable of detecting large-scale subsidence patterns over the thaw season, although  
373 additional repeat-track data collection is necessary to better assess the short-scale noise  
374 characteristics of ICESat-2 altimetry over permafrost. The uncertainty in ICESat-2-based  
375 deformation estimates seems to be primarily due to the complicated topography and scat-  
376 tering physics of vegetated tundra. Thus, it may be possible to refine ICESat-2 estimates  
377 of surface deformation by limiting analysis to topographically smooth areas, or by de-  
378 veloping adaptive algorithms that account for more local topography variations during  
379 statistical averaging. Further investigation into the fundamental nature of the scatter-  
380 ing physics which gives rise to radar backscatter and photon backscatter over tundra ter-  
381 rain is also warranted. Given the importance of permafrost dynamics to the global car-  
382 bon cycle, we advocate for investigation into the full potential of using ICESat-2 data  
383 products to quantify surface dynamics in permafrost and periglacial environments.

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