

# Effect of Pressure Rate on Rate and State Frictional Slip

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## Key Points:

- Slip instabilities occur during the duration of a representative experiment in a limited range of pressure rate and diffusivity
- Identifies a pressure rate above which slip events are strongly damped by a rapid decrease of effective stress
- Interaction between fluid diffusion and pressure rate affects the type, frequency, and magnitude of slip events

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## Abstract

This paper analyzes the effects of pore pressure rate for a spring - block system that is a simple model of a laboratory experiment. Pore pressure is increased at a constant rate in a remote reservoir and slip is governed by rate and state friction. The frequency of rapid slip events increases with the increase of a nondimensional pressure rate that is the ratio of the time scale of frictional sliding to that for pressure increase. As the pressure rate increases, the more rapid increase of pore pressure on the slip surface quickly stabilizes slip events due to rate and state friction. Rate and state and pressure rate effects interact in a limited range of pressure rate and diffusivity. This range includes pressure rates and diffusivities representative of recent laboratory experiments.

## Plain Language Summary

Recent field observations have identified fluid injection as an important factor in causing the dramatic increase of earthquakes in the central US and recent laboratory experiments have observed effects of fluid pressure rate on frictional sliding. This paper studies a simple model of a laboratory experiment: a block resting on a frictional surface and pulled by a spring. The frictional resistance to sliding depends on the rate and history of sliding. Fluid pressure is increased at a constant rate at a distance remote from the surface. The paper calculates the types and characteristics of rapid slip events and their dependence on the pressure rate and how fast fluid can diffuse from the reservoir to the frictional surface.

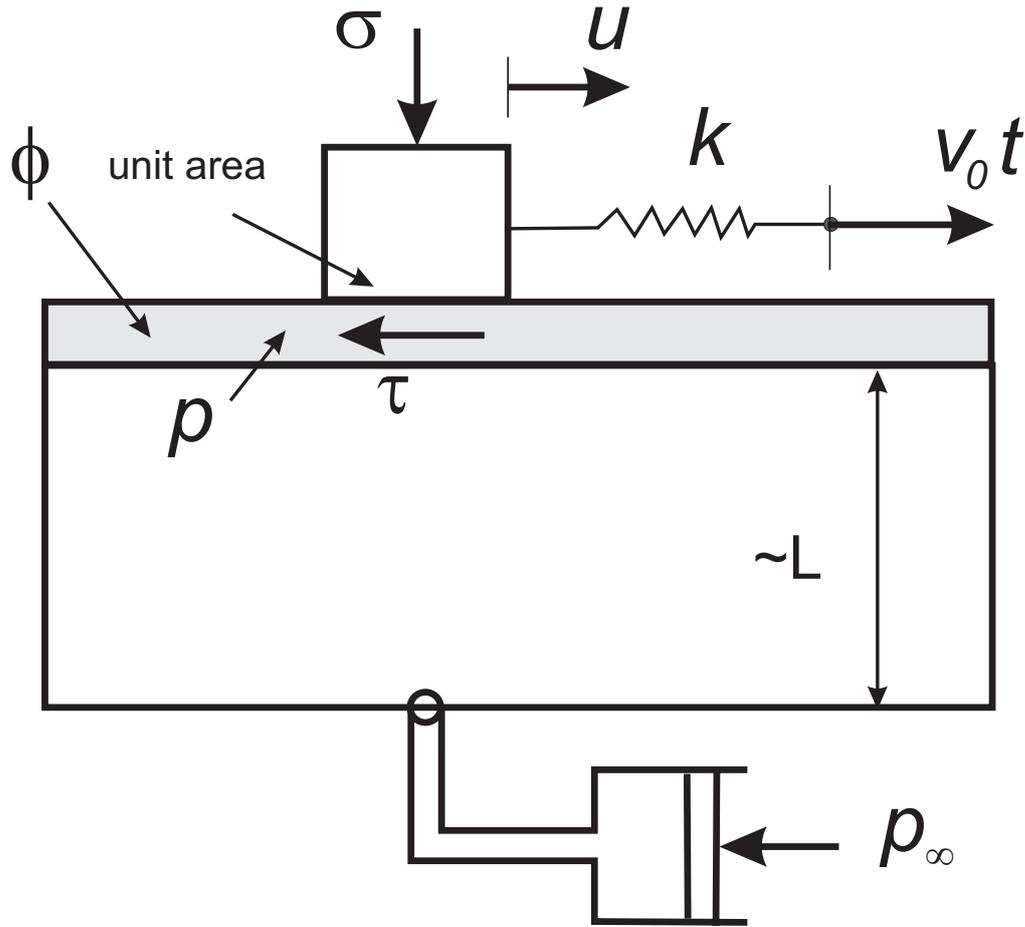
## 1 Introduction

Recent attention on the effects of pore fluid on failure has been stimulated by the dramatic increase of earthquakes in the mid-continental US (Ellsworth, 2013). Most of these events appear to be associated with the injection of waste water from hydraulic fracturing (Horton, 2012; Keranen et al., 2013, 2014; Weingarten et al., 2015; Barbour et al., 2017; Goebel et al., 2017) There is not yet any clear understanding of why these earthquakes occur and whether induced slip will be seismic or aseismic. The nearness of stress on faults to a critical value, the orientation and location of faults relative to injection sites, and availability of permeability channels are certainly factors. Operational factors that affect the incidence of seismicity include the volume of fluids injected or withdrawn and the injection rate (Ellsworth, 2013).

Two indications of the importance of the pressure rate come from a field study and a numerical simulation. Weingarten et al. (2015) examined about 20,000 wells in the mid-continent US associated with seismicity and found that among various operational parameters, the injection rate had the best correlation with induced seismicity. Almakari et al. (2019) examined the effect of pore pressure rate on seismicity. They simulated the seismicity rate increase due to a ramp increase in pore pressure on a heterogeneous fault. They find that the seismicity rate increases with both pore pressure and rate, but that the effect of the rate is greater.

Although field observations are the ultimate test of the effects of pore fluid on failure, their interpretation is often complicated by uncertainty about the boundary conditions, state of stress, heterogeneity of hydrologic and mechanical structure, and history. Laboratory experiments, despite their limited size and time scales, offer a more controlled environment that can contribute insight into fundamental processes.

The motivation for this study is recent laboratory studies addressing the role of pressure rate in causing slip (French et al., 2016; Scuderi et al., 2017; Passelégue et al., 2018; Cappa et al., 2019; Noël et al., 2019; Wang et al., 2020). Three of these studies (French



**Figure 1.** The spring - block model of Segall and Rice (1995)

62 et al., 2016; Passelégue et al., 2018; Wang et al., 2020) indicate that the pressure rate  
 63 is more important than the pore pressure itself in failure.

64 This paper extends the spring - block model of Segall and Rice (1995) (Figure 1)  
 65 to examine the effect of pressure rate. This system is an oversimplified model of crustal  
 66 faulting, but it is a reasonable idealization of laboratory experiments in which slip oc-  
 67 curs nearly simultaneously on the frictional surface. Segall and Rice (1995) showed that  
 68 this system exhibits a wide spectrum of behavior that is further enriched by including  
 69 the pressure rate. Despite the limitations of the model for crustal faulting, among their  
 70 results are a constraint on the maximum pore pressure at depth that is consistent with  
 71 the absence of an observed heat flow anomaly and the occurrence of aftershock-like in-  
 72 stabilities.

73 In Segall and Rice (1995) sliding of the block on a porous layer is governed by rate  
 74 and state (hereafter abbreviated RS) friction. In the last 50 years, an enormous amount  
 75 of experimental work (Marone, 1998) has documented that a RS formulation is an ac-  
 76 curate description of rock friction. In this formulation, friction depends on the sliding  
 77 velocity and a variable that characterizes the state of the surface. Simulations using RS  
 78 friction describe many observed features of earthquakes.

79 The goal of this study is to examine the effect of imposed pore pressure rate on RS  
 80 frictional slip in a simple situation that avoids complicating effects. In particular, we ex-  
 81 amine the case of constant pore pressure rate with imposed displacement. We focus on  
 82 the effects of the interaction of the time scales of fluid diffusion, pore pressure rate, and  
 83 RS frictional slip on type, magnitude and frequency of slip events. The results can aid  
 84 in the interpretation of laboratory tests and, to a lesser extent, field studies.

## 85 2 Formulation

86 The model is that of Segall and Rice (1995) shown in Figure 1. A block of unit area  
 87 subjected to a constant normal stress  $\sigma$  slides on a thin porous layer. The block is con-  
 88 nected to a spring with stiffness  $k$ . Slip of the block is  $u$ . The other end of the spring  
 89 is displaced at a constant rate  $v_0$ . Thus, the shear stress due to motion of the block is

$$90 \quad \tau = k(v_0 t - u) \quad (1)$$

91 The layer has porosity  $\phi$  and a pore pressure  $p$ . There is a flux of fluid to the layer from  
 92 a remote reservoir with a pore pressure  $p_\infty$ . The remote reservoir is at some nominal dis-  
 93 tance  $L$  from the layer. Consistent with the discrete spring-mass system, Segall and Rice  
 94 (1995) adopt the approximation of Rudnicki and Chen (1988) that the fluid mass flux  
 95 into the layer is proportional to the difference between the remote pore pressure  $p_\infty$  and  
 96 the pore pressure in the layer. Consequently the equation expressing conservation of fluid  
 97 mass is

$$98 \quad c^*(p_\infty - p) = \dot{p} + \dot{\phi}/\beta \quad (2)$$

99 where  $\phi$  is now the inelastic part of the porosity, the superposed dot denotes the time  
 100 derivative and  $c^*$  is the reciprocal of a time constant for fluid diffusion.  $c^*$  can be expressed  
 101 in terms of a diffusivity  $c$  as  $c^* = c/L^2$ .  $\beta = \phi_0(\beta_f + \beta_\phi)$  is a compressibility where  
 102  $\beta_f$  is the compressibility of the pore fluid,  $\beta_\phi$  is the compressibility of the pore space and  
 103  $\phi_0$  is the initial porosity. In an extension of Segall and Rice (1995) we take the far-field  
 104 pore pressure to increase linearly with time:

$$105 \quad p_\infty = p_\infty^0 + \dot{p}_\infty t \quad (3)$$

106 Slip on the layer is described by RS friction (Dieterich, 1979; Ruina, 1983) of the  
 107 form

$$108 \quad \tau = (\sigma - p) [\mu_0 + a \ln(v/v_0) + b(\theta/\theta_0)] \quad (4)$$

109 where  $\mu_0$  is the nominal friction coefficient,  $v = du/dt$  is the slider velocity, and  $\theta$  is  
 110 a state variable. Reference values of the velocity and state are  $v_0$  and  $\theta_0$  and  $a$  and  $b$   
 111 are constitutive parameters. Two versions of the equation for the evolution of state are typ-  
 112 ically used: the “slip” law and the “aging” or “slowness” law. Bhattacharya et al. (2015)  
 113 have shown that the slip law fits experimental data better, particularly at larger veloc-  
 114 ity steps. Consequently, we use the slip law:

$$115 \quad \dot{\theta} = -(v\theta/d_c) \ln(v\theta/d_c) \quad (5)$$

116 where  $d_c$  is a characteristic sliding distance.

117 For  $b - a > 0$  the response is velocity weakening. For  $b - a < 0$  the response is  
 118 velocity strengthening. Ruina (1983) showed that for velocity weakening the response  
 119 can be unstable, in the sense that small perturbations grow exponentially in time, when  
 120 the spring stiffness is less than a critical value  $k_{crit}$ . For drained response (constant pore  
 121 pressure corresponding to rapid fluid diffusion),

$$122 \quad k_{crit} = (\sigma - p)(b - a)/d_c \quad (6)$$

123 Note that an increase in pore pressure reduces  $k_{crit}$  and, thus, stabilizes response.

124 Segall and Rice (1995) proposed the following evolution equation for the porosity:

$$125 \quad \dot{\phi} = -(\phi - \phi_{ss})v/d_c \quad (7)$$

126 where the steady state value is given by  $\phi_{ss} = \phi_0 + \varepsilon \ln(v/v_0)$ . The initial value of the  
 127 porosity is  $\phi_0$  and  $\varepsilon$  is a parameter that gives the magnitude of the effect. They show  
 128 that this formulation describes well the data of Marone et al. (1990) on porosity changes  
 129 with shear of simulated fault gouge and find that  $\varepsilon = 1.7 \times 10^{-4}$ .

130 The final ingredient is the equation of motion:

$$131 \quad \dot{\tau} = k(v_0 - v) - \eta\dot{v} \quad (8)$$

132 The second term on the right employs the radiation damping approximation to inertia,  
 133 i.e.  $mdv/dt$  is replaced by  $\eta v$  where  $\eta = G/2v_s$ .  $G$  is the shear modulus and  $v_s$  is the  
 134 shear wave velocity (Rice & Tse, 1986; Rice, 1993).

135 Differentiating (4) and setting equal to (8) along with (2), (5), and (7) yield a sys-  
 136 tem of four ordinary differential equations for  $V$ ,  $p$ ,  $\theta$ , and  $\phi$ . It is advantageous to rewrite  
 137 these equations in the non-dimensional variables  $V = v/v_0$ ,  $T = v_0 t/d_c$ ,  $\Sigma = \mu_0(1 - p/\sigma)$ ,  
 138  $P = p/\sigma$ ,  $\hat{\eta} = \eta v_0/\sigma$ ,  $\hat{c} = c^* d_c/v_0$ ,  $\hat{\beta} = \sigma\beta$ ,  $\hat{\theta} = \theta v_0/d_c$ ,  $\hat{\phi} = \phi - \phi_0$  and  $\hat{k} = k/k_c$   
 139 where  $k_c$  is the critical stiffness (6) based on the initial value of the far-field pore pres-  
 140 sure  $p_\infty^0$ . With these non-dimensionalizations  $\hat{P}_\infty = \dot{p}_\infty d_c/v_0\sigma$ .

### 141 3 Parameter Values

142 Although the model is simple, there are a quite a few parameters. Some of these  
 143 are uncertain and others vary widely. In the simulations, we will vary two non-dimensional  
 144 parameters,  $\hat{P}_\infty$  and  $\hat{c}$ . We choose values representative of the experiments of French et  
 145 al. (2016) for Berea and Darley Dale sandstones. These are similar to those for the Fontainebleau  
 146 sandstone used by Noël et al. (2019). In Table 1, French et al. (2016) give imposed slip  
 147 rates ranging from  $1.6 \times 10^{-7}$  to  $6.5 \times 10^{-7}$  m/s. We take  $v_0 = 3.0 \times 10^{-7}$  m/s as rep-  
 148 representative. Lateral confining stresses range from 42 to 62 MPa and we take  $\sigma = 50$   
 149 MPa. The initial value of the pore pressure is about 10 MPa. This gives  $P_\infty^0 = 0.2$ . Us-  
 150 ing  $v_s = 2.5 \times 10^3$  m/s (Green & Wang, 1994) and  $G = 10^4$  MPa gives  $\hat{\eta} \approx 10^{-8}$ .  
 151 Pore pressure rates vary from 0.3 to 1.0 MPa/min.

152 French et al. (2016) give  $10^{-14}$  m<sup>2</sup> and  $10^{-13}$  m<sup>2</sup> for the permeabilities of the two  
 153 sandstones. The diffusivity is given by  $c = k\gamma/\nu S$  where  $k$  is the permeability,  $\gamma$  is the  
 154 weight density of water ( $9.81 \times 10^4$  Pa),  $\nu$  is the dynamic viscosity of water ( $10^{-3}$  Pa  
 155 s) and  $S$  is a storage coefficient, equal to  $1.5 \times 10^{-6}$  m<sup>-1</sup> (Green & Wang, 1994). These  
 156 values give  $c = 0.065$  m<sup>2</sup>/s for Berea. Dividing by the square of the specimen length  
 157 (50.8 mm) gives  $c^* = 25.2$  s<sup>-1</sup>.

158 Although French et al. (2016) discuss their results in terms of RS friction, they do  
 159 not measure the parameters in their experiment. From their experiments on simulated  
 160 fault gouge, Marone et al. (1990) find  $d_c = 0.02$  mm. For this value of  $d_c$  and  $v_0$ , the  
 161 duration of the experiment (approximately 4000 s) corresponds to  $T = 60$ . For values  
 162 used by Segall and Rice (1995) as representative of crustal faulting,  $d_c = 0.01$  m and  
 163  $v_0 = 0.03$  m/year,  $T = 100$  corresponds to 33.3 years.

164 Segall and Rice (1995) infer  $\varepsilon = 1.7 \times 10^{-4}$  from the experiments of Marone et  
 165 al. (1990) and  $\beta = 1.4 \times 10^{-4}$  MPa<sup>-1</sup> from experiments of Zoback and Byerlee (1976).  
 166 We use these. Using the larger of the pressure rates (1 MPa/min),  $v_0 = 3.0 \times 10^{-7}$  m/s,  
 167 and  $d_c = 0.02$  mm gives  $\hat{P}_\infty = 0.022$ .

168 In addition, we adopt the representative RS frictional parameters used by Segall  
 169 and Rice (1995),  $a = 0.010$  and  $b = 0.015$ , and take the nominal friction coefficient

170 as  $\mu_0 = 0.64$  (French et al., 2016). Because  $a < b$ , the behavior is velocity weakening  
 171 and a critical value of the stiffness for drained deformation is given by (6). In their ex-  
 172 periments, French et al. (2016) induce instability (resulting in rapid slip events) by re-  
 173 ducing the lateral confining stress leading to a reduction of normal stress on the slip sur-  
 174 face. For simplicity and in order to focus on the role of the pressure rate, we keep the  
 175 normal stress  $\sigma$  constant and choose a value for the stiffness much less than the critical  
 176 value for drained deformation (6). In particular, we arbitrarily take  $\hat{k} = 0.1$ . (Results  
 177 for  $\hat{k} = 0.5$  are shown in the Supporting Information).

178 Segall and Rice (1995) derive an expression for the critical stiffness as a function  
 179 of the non-dimensional diffusivity  $\hat{c}$ . The ratio of the critical stiffness to that for drained  
 180 deformation (6) is

$$181 \quad K(\hat{c}) = 1 - \frac{\epsilon\mu_0}{\beta(\sigma - p)(b - a)} F(\hat{c}) \quad (9)$$

182 where  $F(\hat{c}) \rightarrow 0$  as  $\hat{c} \rightarrow \infty$ , corresponding to very rapid diffusion and drained con-  
 183 ditions (pore pressure equal to that in the reservoir), and  $F(\hat{c}) \rightarrow 1$  as  $\hat{c} \rightarrow 0$ , corre-  
 184 sponding to very slow diffusion and undrained conditions (no change in fluid mass).

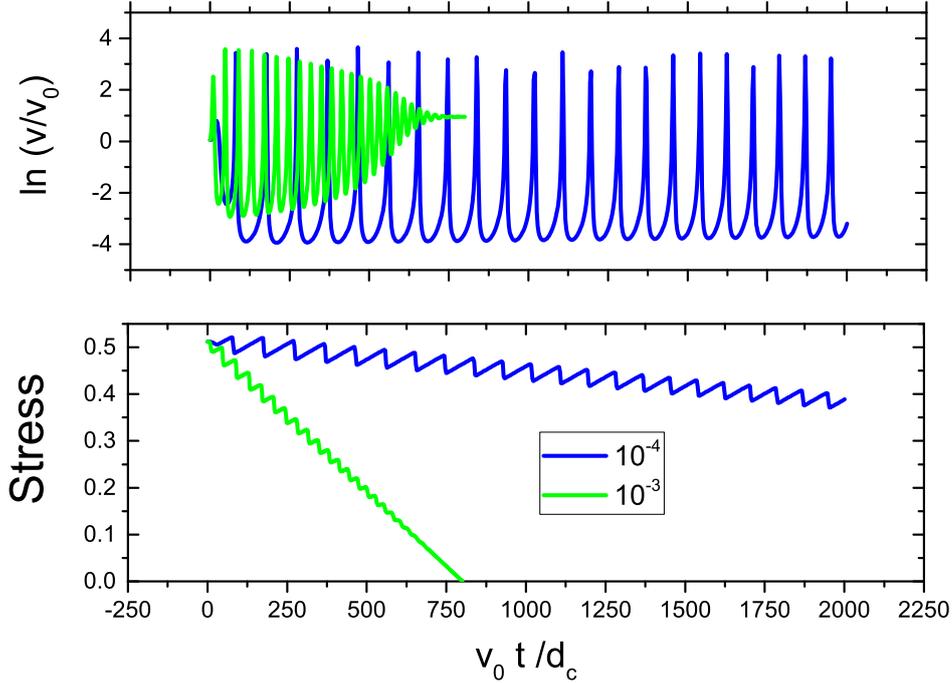
185 For the values of parameters of the experiment,  $c = 0.065 \text{ m}^2/\text{s}$ ,  $v_0 = 3.0 \times 10^{-7}$   
 186 m/s and  $d_c = 0.02 \text{ mm}$ ,  $\hat{c} = 1.68 \times 10^3$  and from (9)  $K \approx 1$ , indicating that deformation  
 187 is essentially drained. However, French et al. (2016) cite Zhang and Tullis (1998)  
 188 in arguing that permeabilities could be as small as  $10^{-17} \text{ m}^2$  for gouge layers formed by  
 189 frictional shearing of surfaces and Wibberley and Shimamoto (2003) have found perme-  
 190 abilities as low as  $10^{-19} \text{ m}^2$  in samples from the fault core of the Median Tectonic Line.  
 191 These give values of  $\hat{c}$  three to five orders of magnitude smaller.

## 192 4 Simulations

193 The simulations are started with a small perturbation from steady sliding:  $v(0) =$   
 194  $1.05 v_0$ . Other initial conditions are as follows:  $\tau(0) = \mu_0 (\sigma - p_\infty^0)$ ,  $p = p_\infty^0$ ,  $\hat{\phi} = 0$ ,  
 195 and  $\hat{\theta} = v_0/v(0)$ . Results are shown for  $\hat{k} = 0.1$ , two values of  $\dot{P}_\infty$ ,  $10^{-3}$  and  $10^{-4}$ ,  
 196 and two values of the diffusivity,  $\hat{c}$ : 1.0 (Figure 2) and 10 (Figure 3). Figure 4 shows re-  
 197 sults for  $\dot{P}_\infty = 10^{-2}$  and two values of the diffusivity,  $\hat{c} = 1.0$  and  $\hat{c} = 10$ .

198 If the first peak in Figure 2 is ignored (because it appears to be affected by the ini-  
 199 tial conditions), the maximum slip velocity for both pressure rates is about  $30 (e^{3.4}) v_0$   
 200 times the imposed velocity. For  $\dot{P}_\infty = 10^{-3}$ , the first event occurs at about  $T \approx 50$   
 201 which is slightly before the end of the experiment of French et al. (2016),  $T = 60$ . There-  
 202 after, the velocity peaks decay to  $\approx 2.5 v_0$  (slightly greater than  $v_0$  because of the pres-  
 203 sure rate). The initial period is  $T \approx 37$  which decreases with time. The decay occurs  
 204 because the increasing pressure reduces the effective stress (bottom panel) and, conse-  
 205 quently, the value of  $k_{crit}$  (6), to zero at  $T \approx 800$ . For  $\dot{P}_\infty = 10^{-4}$ , the first event (again  
 206 ignoring the initial peak) occurs at about 80. Thereafter, peaks of roughly similar mag-  
 207 nitude occur with a period of about 93. There is no discernible decay in the magnitude  
 208 of the peaks in slip but, because of the increasing pressure, the slip rate eventually de-  
 209 cays to near  $v_0$  but not until about at about  $T \approx 8000$ . The bottom panel shows the  
 210 (non-dimensional) effective stress multiplied by  $\mu_0$ . Because the total normal stress is  
 211 constant, changes in stress reflect pore pressure changes of the opposite sign. Drops oc-  
 212 cur simultaneously with the slip events. For  $\dot{P}_\infty = 10^{-3}$  the maximum stress drop is  
 213 about 0.04 (a dimensional stress drop of  $0.04 \times \sigma/\mu_0 = 3.1 \text{ MPa}$ ). For  $\dot{P}_\infty = 10^{-4}$  the  
 214 stress drop is about the same. For values of  $\dot{P}_\infty$  less than  $10^{-4}$  the effect of the pore pres-  
 215 sure change in the reservoir is minimal and the response is nearly entirely due to RS ef-  
 216 fects.

217 Figure 3 shows results for  $\hat{c} = 10$ . For  $\dot{P}_\infty = 10^{-3}$  the maximum peak velocities  
 218 ( $e^{5.7} = 300$ ) is much greater than for  $\hat{c} = 1$ , the maximum stress drop is about the  
 219 same (0.04) and the time between events is smaller (44). Again ignoring the first peak,

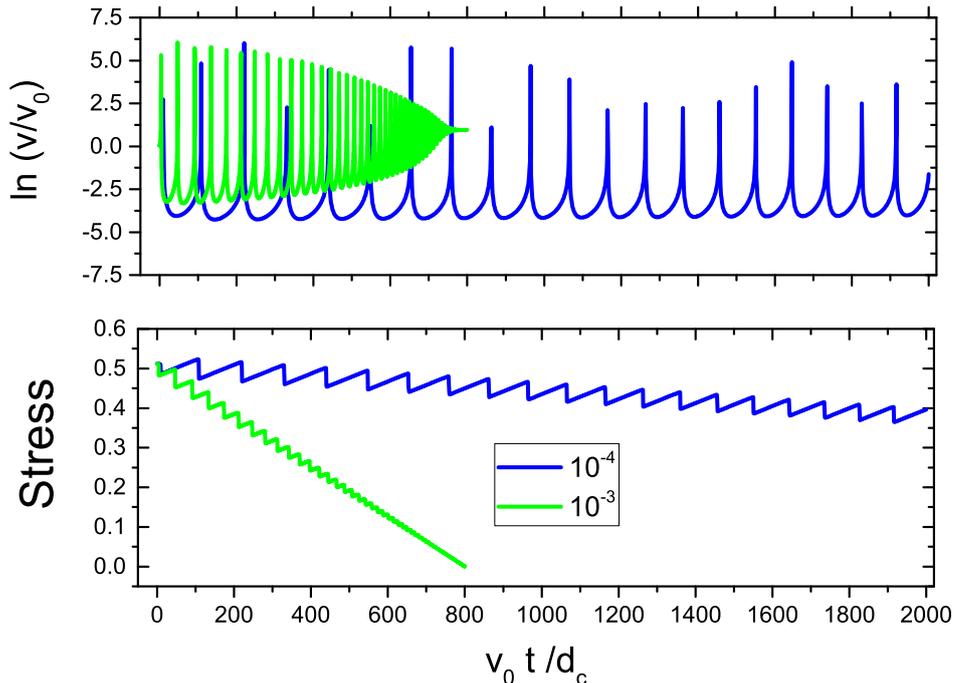


**Figure 2.** Upper panel shows logarithm of velocity (divided by  $v_0$ ) and lower panel shows stress (divided by  $\sigma$ ),  $\Sigma = \mu_0(1 - p/\sigma)$ , for two values of  $\dot{P}_\infty$ :  $10^{-4}$ , and  $10^{-3}$ . The abscissa is  $T = v_0 t / d_c$  and  $\hat{c} = 1$ .

220 the first event occurs at  $T \approx 50$ . For  $\dot{P}_\infty = 10^{-4}$ , the magnitude of the peak veloci-  
 221 ties vary but with no obvious pattern. They do, however, eventually decay to near  $v_0$   
 222 but, again, not until about  $T \approx 8000$ . The stress drops are slightly larger (0.46). If, again,  
 223 the first slip event is ignored, the first peak occurs at  $T = 108$ .

224 According to (9), for  $\hat{c} = 10$ , the ratio of the critical stiffness to the critical stiff-  
 225 ness for drained deformation (both based on the pore pressure  $p_\infty^0$ )  $K = 0.938$ . There-  
 226 fore,  $\hat{c} = 10$  is close to drained conditions and there will be little difference in the re-  
 227 sponse for larger values of  $\hat{c}$ . For  $\hat{c} = 1$ ,  $K = 0.51$ , which is much closer to undrained  
 228 response and, according to Figure 4 of Segall and Rice (1995), is in a range where  $K(\hat{c})$   
 229 decreases rapidly with  $\ln(\hat{c})$ . For the parameters here undrained deformation is stable  
 230 and the response is increasingly damped for smaller values of  $\hat{c}$ . Thus, the smaller peak  
 231 velocities and stress drops in Figure 2,  $\hat{c} = 1$ , compared with Figure 3,  $\hat{c} = 10$ , reflect  
 232 the stabilizing effects of dilatant hardening for conditions closer to undrained deforma-  
 233 tion.

234 For  $\hat{c} = 0.1$ , (see Supporting Information)  $K = 0.09$ , very close to undrained condi-  
 235 tions. For  $\dot{P}_\infty = 10^{-4}$ , there are only a few small (maximum  $1.3 v_0$ ), slow (duration  
 236  $\Delta T \approx 100$ ) slip events that decay quickly. For  $\dot{P}_\infty = 10^{-3}$ , there is one slow slip event  
 237 with a peak velocity of about  $3.7 v_0$  which then decreases and levels off to a velocity  
 238 of about 2.5 times the background rate. There are no discernible stress drops on the scale  
 239 of the graph. For  $\dot{P}_\infty = 10^{-3}$ , there is still a significant downward trend to the stress  
 240 that again reaches zero at  $T = 800$ . Responses for smaller values of  $\hat{c}$  will be more strongly  
 241 damped.



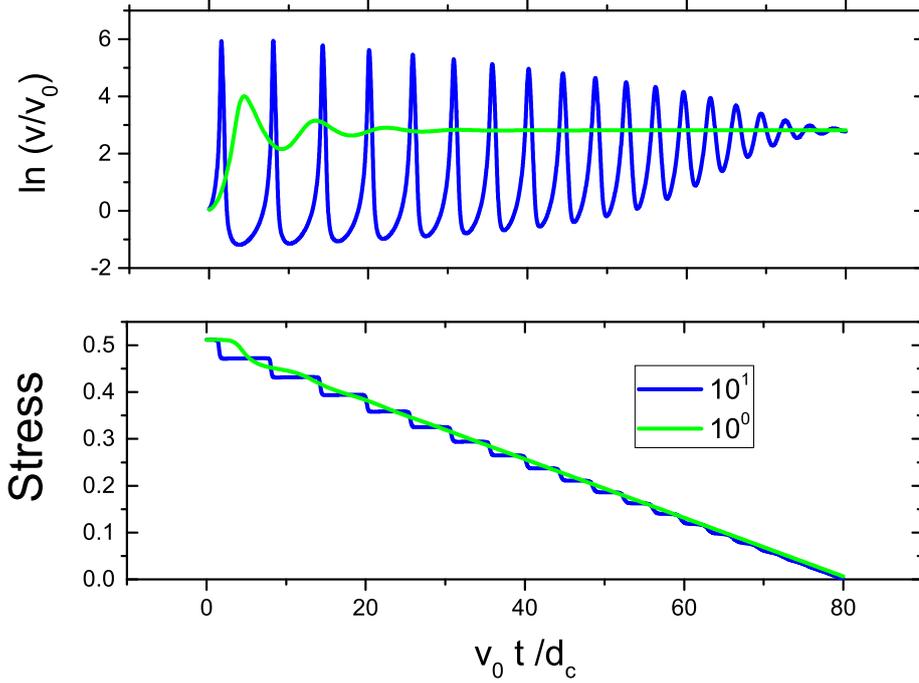
**Figure 3.** Same as Figure 2 for  $\hat{c} = 10$ .

242 Figure 4 shows the response for  $\dot{P}_\infty = 10^{-2}$ , representative of the laboratory value,  
 243 for two values of  $\hat{c}$ : 1 and 10. The bottom panel shows that the frictional resistance de-  
 244 creases to zero at  $T = 80$ . For  $\hat{c} = 10$ , there are 12 slip events with slightly de-  
 245 creasing maximum slip rates before the end of the experiment ( $T = 60$ ). The maximum slip  
 246 rate is about  $300 v_0$ , the maximum stress drop is about 3.1 MPa and the period is  $\Delta T \approx$   
 247 6. For  $\hat{c} = 1$ , there is a single slow event followed by oscillations that are strongly damped  
 248 because the response is closer to undrained deformation. For smaller diffusivities, the  
 249 response is even more strongly damped.

## 250 5 Discussion

251 The simulations illustrate the effects of  $\dot{P}_\infty$ , the ratio of the characteristic time of  
 252 the imposed rate of frictional slip to that of pressurization. For all the values of  $\hat{c}$  and  
 253  $\hat{k}$  considered, the frequency of events increases with  $\dot{P}_\infty$ . As the pore pressure in the  
 254 reservoir increases, the effective stress decreases, reducing the value of  $k_{crit}$  (6) and sta-  
 255 bilizing the response. Eventually, the effective stress goes to zero and the response is com-  
 256 pletely stabilized: the slip velocity returns to about the imposed rate. This limit is at-  
 257 tained more quickly for larger  $\dot{P}_\infty$ . For  $\dot{P}_\infty = 10^{-2}$ , representative of the experiment  
 258 of French et al. (2016) and similar to that of Wang et al. (2020) and the simulation of  
 259 Almakari et al. (2019), it occurs about 30% beyond the end of the experiment. For  $\dot{P}_\infty$   
 260 within the range of  $10^{-4}$  to  $10^{-3}$  the interaction of RS effects and the increase of pore  
 261 pressure are most significant. For values smaller than this the pressure rate has little ef-  
 262 fect until very long times and the occurrence of slip events is dominated by RS effects.

263 The response also depends on  $\hat{c}$ , the ratio of the characteristic time of the imposed  
 264 rate of frictional slip to that of fluid diffusion. The magnitude of the stress drop and peak  
 265 velocities decrease with decreasing  $\hat{c}$ . The decrease is most dramatic for  $\hat{c} = 0.1$ , reflect-



**Figure 4.** Same as Figure 2 for  $\dot{P}_\infty = 10^{-2}$  and  $\hat{c} = 1$  and 10.

266 ing the stabilizing effect of dilatant hardening as undrained conditions are approached.  
 267 This stabilizing effect begins to dominate for  $\hat{c}$  less than about 1. For  $\hat{c}$  greater than about  
 268 10 conditions are effectively drained and largely independent of  $\hat{c}$ .

269 The analysis gives an indication of the possibility of slip instabilities in represen-  
 270 tative experiments. If we assume instabilities occur when the slip velocity is more than  
 271 an order of magnitude greater than the background rate and must occur before the end  
 272 of a representative experiment,  $T = 60$ , then they can occur only in a limited range of  
 273 values of  $\hat{k}$ ,  $\hat{c}$  and  $\dot{P}_\infty$ . For  $\hat{k} = 0.5$  (see Supporting Information) none occur because  
 274 the peak slip velocities are too small. For  $\hat{k} = 0.1$  none occur for  $\hat{c} = 0.1$  because of  
 275 the strong dilatant hardening when deformation is relatively undrained. For  $\hat{c} = 10$  and  
 276  $\hat{c} = 1$ , instabilities occur only for  $\dot{P}_\infty = 10^{-3}$  and  $10^{-2}$ . These are in the range of the  
 277 experiments of French et al. (2016), at least if the lower values of the permeability that  
 278 they cite are appropriate.

279 Two other experiments that increase pressure in stepwise fashion at rates similar  
 280 to those of French et al. (2016) are those of Wang et al. (2020) and Scuderi et al. (2017).  
 281 The former use pressure rates of 2.0 MPa/min and 0.5 MPa/min. The latter use a smaller  
 282 rate of 0.017 MPa/min. For  $d_c = 0.02$  mm,  $v_0 = 3.0 \times 10^{-7}$  m/s and  $\sigma = 50$ , the cor-  
 283 responding values of  $\dot{P}_\infty$  are 0.044, 0.011 and  $3.8 \times 10^{-4}$ .

284 Another experiment imposing a pore pressure rate is that of Noël et al. (2019). They  
 285 impose a sinusoidal pressure variation. Using the maximum pressure rate and other par-  
 286 ameters from their experiment gives  $\dot{P}_\infty$  in the range 0.015 to 0.120 for a displacement  
 287 rate of  $10^{-3}$  mm/s and an order of magnitude smaller for  $10^{-4}$  mm/s. The range of  $\dot{P}_\infty$   
 288 is where the rapid decrease of effective stress quickly stabilizes any instabilities due to  
 289 RS effects. These estimates are consistent with their inference that the onset of slip cor-

290 responds to the reduction of the effective stress and that larger amplitudes induce the  
 291 onset earlier.

292 The spring mass system is a primitive model of faulting. Nevertheless, we can make  
 293 some connection with the study of Almakari et al. (2019). They simulate slip on a het-  
 294 erogeneous fault governed by RS friction and examine the seismicity rate increase due  
 295 to a ramp increase in pore pressure at an injection site. The rates range from 0.01 to 10  
 296 MPa/day.  $\sigma = 100$  MPa and  $v_0 = 10^{-9}$  m/s. Their values of  $d_c$  vary along the fault  
 297 and range from 0.01 to 0.37 mm. Using a value of  $d_c = 0.1$  mm, in the middle of this  
 298 range, a pressure rate 10 MPa/d and the values of  $\sigma$  and  $v_\infty$  yield  $\dot{P}_\infty = 0.012$ . This  
 299 is about the same as for the French et al. (2016) experiment and at the upper range of  
 300 where there is a competition between slip events due to RS friction and the rapid de-  
 301 crease of effective stress.

302 An important limitation of the simulations is that we have taken the normal stress  
 303 as constant. In the standard axisymmetric compression tests changes of normal and shear  
 304 stress are coupled by the geometry and in their experiments French et al. (2016) also al-  
 305 ter the lateral stress which changes the normal stress on the slip surface. Rudnicki and  
 306 Chen (1988) have used a slip-weakening model to examine the interaction of pore pres-  
 307 sure effects with normal stress changes in experiments by Brace and Martin (1968) and  
 308 Chambon and Rudnicki (2001) extended Segall and Rice (1995) to include normal stress  
 309 changes. Neither of these studies included pore pressure rate changes. Another of changes  
 310 in the normal stress neglected here is on state as identified by Linker and Dieterich (1992).  
 311 This effect has been included in the simulations of Andrés et al. (2019) (although they  
 312 did not look at the effect of pressure rate.

313 French et al. (2016) give some interpretation of their results in terms of RS effects  
 314 but they do not measure values of the parameters  $a$ ,  $b$  and  $d_c$  and the appropriate val-  
 315 ues are uncertain. Marone et al. (1990) found  $d_c = 0.02$  mm from velocity stepping ex-  
 316 periments on gouge layers of Ottawa sand and this value is probably reasonable for a sand-  
 317 stone. For  $a$  and  $b$  we have simply used representative magnitudes with  $b > a$  in or-  
 318 der to have velocity weakening and instability. Furthermore, there are indications that  
 319 the values of  $a$ ,  $b$  and  $d_c$  change with pore pressure and imposed slip rate (Scuderi & Col-  
 320 lettini, 2016; Noël et al., 2019; Cappa et al., 2019).

321 In spite of the differences between the model and the experiment of French et al.  
 322 (2016) the calculated stress drops and maximum slip rates are consistent with those ob-  
 323 served in the experiments. For  $\hat{c} = 10$  and  $\dot{P}_\infty = 10^{-3}$  maximum slip rates are about  
 324 two orders of magnitude greater than  $v_0$ , in rough agreement with the experiment (Fig-  
 325 ure 3d of French et al. (2016)). Similarly, stress drops from the calculations are similar  
 326 to those in the experiments. Stress drops from Figure 4c of French et al. (2016) are 0.5  
 327 to 2.0 MPa. In the calculations they are slightly larger, about 3.0 to 4.0 MPa (0.04 to  
 328  $0.05 \times 50/\mu_0$  MPa). Admittedly, this agreement is based on the arbitrary choice of  $\hat{k} =$   
 329 0.1. Maximum slip rates and stress drops for  $\hat{k} = 0.5$  are much smaller. (See Support-  
 330 ing Information.)

331 There are, however, some clear discrepancies between the experiment and the sim-  
 332 ulations. French et al. (2016) observe a pore pressure increase, indicating compaction,  
 333 accompanies slip instability. The magnitude of the increase is about 55 % of the shear  
 334 stress drop and the increase is permanent. The simulations show a decrease of pressure  
 335 with instability and then an increase with magnitude much smaller than observed in the  
 336 experiment. One possible explanation is that the (nondimensional) pressure rate in the  
 337 experiment is about  $10^{-2}$  at which the rapid downward trend of the effective stress strongly  
 338 stabilizes RS effects. Compaction and dilation in the formulation here, and in Segall and  
 339 Rice (1995), are entirely associated with RS effects. (Segall and Rice (1995) remove a  
 340 linear trend from the observations of Marone et al. (1990) to estimate RS parameters.)

341 The compaction observed by French et al. (2016) may be due to the neglect of normal  
 342 stress changes in the simulations.

## 343 6 Conclusion

344 We have investigated the system of a spring and a mass sliding on a surface gov-  
 345 erned by RS friction. The pore pressure on the surface is coupled to the value in a re-  
 346 mote reservoir. As Segall and Rice (1995) have shown, the model, although very sim-  
 347 ple, has a rich range of responses. The effects of increasing pore pressure in the reser-  
 348 voir further enrich this range. The analysis is motivated by observations that induced  
 349 seismicity depends on injection rate and by experiments that examine the effect of pres-  
 350 sure rate. The simulations illustrate the effects of pressure rate and diffusivity on the  
 351 type, magnitude, frequency, and stress drop of slip events. Using parameters from the  
 352 experiments of French et al. (2016) and Marone et al. (1990), we find that interaction  
 353 of effects due to the pressure rate and RS friction are significant within a relatively nar-  
 354 row (a few orders of magnitude) range of pressure rates and diffusivity. Within this range,  
 355 the frequency of slip events increases with increases in the pressure rate and maximum  
 356 slip rates do not appear to be significantly affected by the pressure rate. More impor-  
 357 tantly, we find that RS instabilities are predicted to occur during the duration of an ex-  
 358 periment only for a limited range of (non-dimensional) diffusivity and pressure rate. This  
 359 range is similar to the pressure rates and diffusivities in the experiments of French et al.  
 360 (2016), Noël et al. (2019), and Wang et al. (2020) and the field simulations of Almakari  
 361 et al. (2019). Although the spring block configuration is simple, these simulations can  
 362 aid in the interpretation of experiments and provide guidance for field studies.

## 363 Acknowledgments

364 No new data was used in this manuscript. Y.Z. thanks the University of Science and Tech-  
 365 nology Beijing for support and Northwestern University for hosting him during his visit  
 366 from July 1, 2018 to January 1, 2019. JWR thanks Ghassan Shahin for assistance with  
 367 the typesetting. We are grateful to reviewers Chris Marone and Paul Segall for their in-  
 368 sightful comments that significantly improved the manuscript.

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