

1 **Climatology of Clear-Air Turbulence in Upper Troposphere and Lower Stratosphere**  
2 **in the Northern Hemisphere using ERA5 reanalysis data**

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23 **Key Points:**

- 24 • Spatial and temporal distributions of Clear-Air Turbulence (CAT) indices for 41 years in  
25 NH are derived using the highest resolution of reanalysis data
- 26 • CAT potentials are high in three regions: the East Asian, Eastern Pacific, and Northwest  
27 Atlantic regions due to upper-level jets.
- 28 • The strongest increasing trend is in East Asia due to warming in tropics and cooling over  
29 the Eurasian continent, which may have a high impact on transpacific flights.

## 30 Abstract

31 The spatial and temporal distributions of Clear-Air Turbulence (CAT) in the Northern  
32 Hemisphere were investigated using 41 years (1979 – 2019) of the European Centre for Medium-  
33 range Weather Forecast Reanalysis version 5 (ERA5) data. We used two groups of CAT  
34 diagnostics to determine occurrence frequencies: 1) commonly used empirical turbulence indices  
35 (TI1, TI2, and TI3) and their components [vertical wind shear (VWS), deformation, divergence,  
36 and divergence tendency], and 2) theoretical instability indicators Richardson number (Ri),  
37 potential vorticity (PV), and Brunt-Väisälä frequency. The empirical indices showed high  
38 frequencies of MOG-level CAT potential over the East Asian, Eastern Pacific, and Northwest  
39 Atlantic regions in winter. Over East Asia, the entrance region of the strong upper-level jets,  
40 showed the highest frequencies in TI1, TI2, and TI3 mainly due to strong VWS. The Eastern  
41 Pacific and Northwestern Atlantic areas near the exit region of the jet had relatively high  
42 frequencies of these and also Ri. PV frequency was high on the southern side of the jet primarily  
43 due to negative relative vorticity. Long-term increasing trends of MOG-level CAT potential also  
44 appeared in those three regions mainly due to the warming in lower latitudes. The most significant  
45 increasing trend was found over East Asia, due to the strengthening of the East Asian jet and VWS  
46 due to the strong meridional temperature gradients in the mid-troposphere induced by warming in  
47 the tropics and cooling in eastern Eurasia. These trends over East Asia are expected to be of  
48 importance to efficient aviation operations across the northwestern Pacific Ocean.

49

## 50 1 Introduction

51 The jet stream associated vertical wind shears are strongest in middle latitudes in the upper  
52 troposphere ( $z = 8\text{-}12$  km), and their existence is largely explained by the meridional temperature  
53 gradient between tropics and polar regions via the thermal wind relation (e.g., Holton, 1992; Lee  
54 et al., 2019). Jets are closely associated with dynamic weather phenomena such as storm tracks  
55 and cyclogenesis. However, they are also responsible for aviation weather hazards including  
56 upper-level turbulence encountered by cruising aircraft in the upper troposphere and lower  
57 stratosphere (UTLS) (e.g., Kim et al., 2016; Kim & Chun, 2011; Sharman et al., 2012). These  
58 turbulence encounters are commonly referred to as Clear-Air Turbulence (CAT) which often  
59 occurs in the vicinity of the jet stream without visually detectable convective clouds (e.g., Kim et  
60 al., 2011, 2018; Lester, 1994; Sharman et al., 2006). CAT may be generated by a variety of  
61 mechanisms, including but not limited to shear instability, frontogenesis near upper-level  
62 jet/frontal systems (Dutton & Panofsky, 1970; Ellrod & Knapp, 1992), and emissions of inertia  
63 gravity waves via geostrophic adjustments at the exit region of jet streams (e.g., Ellrod & Knox,  
64 2010; Koch et al., 2005; Lane et al., 2004; Zhang, 2004). Inertial instability developed in  
65 anticyclonic shear and curvature flows may also be an important source for CAT (e.g., Kim et al.,  
66 2014; Knox, 1997).

67 Moderate-or-greater (MOG) intensity CAT can cause structural damage to aircraft and  
68 serious physical injuries to crews or passengers. These problems in turn lead to economic loss and  
69 flight delays (Kim et al., 2016, 2018; Sharman et al., 2006; Sharman & Pearson, 2017; Wolff &  
70 Sharman, 2008). Therefore, long-haul flight routes across the oceans and continents need to be  
71 planned to minimize possible CAT encounters for efficient and safe flights. However, CAT  
72 forecasting is challenging due to its isolated nature and small-scale motion (turbulent eddies of

73 ~10-1000 m in horizontal scale) compared to current numerical weather prediction (NWP) model  
74 resolutions (e.g., Lester, 1994; Sharman et al., 2006, 2014; Sharman & Pearson, 2017). However,  
75 CAT can be diagnosed with some reliability from NWP models using empirical turbulence indices  
76 and theoretical instability indicators under the assumption that the turbulence is supposed to be  
77 originate at resolved scales and cascades down to smaller eddies that may affect aircraft (e.g., Cho  
78 & Lindborg, 2001; Kim et al., 2011, 2018; Kim & Chun, 2010; Sharman et al., 2006, 2014).

79 As the meridional temperature gradients have increased in some regions mainly due to  
80 global warming, jet streams have correspondingly modulated over the past decades (e.g., Lee et  
81 al., 2019; Lv et al., 2021). Consequently, the potential of CAT is expected to increase over some  
82 regions. Jaeger and Sprenger (2007) showed spatial distributions and trends of four CAT  
83 diagnostics in the UTLS NH using the European Centre for Medium range Weather Forecast  
84 (ECMWF) Re-Analysis 40 (ERA40) data with T159 (~90 km) horizontal resolution for 44 years  
85 (1958-2001). They identified a 40-90% frequency increase of CAT according to the diagnostics  
86 used over the North Atlantic, United States and European sectors. Kim et al. (2016) also showed  
87 variations in CAT potential along the flight routes during two distinct North Atlantic Oscillation  
88 (NAO) patterns. Lee et al. (2019) analyzed temperature and vertical wind shear (VWS) trends in  
89 the North Atlantic region from 1979 to 2017 using three reanalysis datasets from the ECMWF,  
90 National Centers for Environmental Prediction/National Center for Atmospheric Research, and  
91 Japanese Meteorological Agency. They found an intensification of VWS and therefore CAT  
92 potential of about 11-17% for the study period. Similar trends are found on several future  
93 projections of climate model data, showing that the occurrence frequency of CAT will be stronger  
94 along with the modified jet stream (Storer et al., 2017; Williams, 2017; Williams & Joshi, 2013).  
95 Therefore, it becomes important to understand the details of CAT climatology in the NH during  
96 the era of climate change.

97 This study aims to analyze historical patterns and trends of CAT in the recent four decades  
98 (1979 – 2019) using the highest resolution reanalysis data available and extends the findings of  
99 previous studies in three ways. First, we calculated a total of ten empirical and theoretical  
100 diagnostics to identify various generation mechanisms of CAT near upper-level jets and their  
101 contributions to CAT climatology, whereas previous NH CAT climatology studies used relatively  
102 fewer diagnostics. Second, we examined the long-term trends of CAT diagnostics over the entire  
103 NH, while the previous studies investigated the trends of CAT potential only in specific areas.  
104 Finally, we focus on the East Asian region, because this area has the strongest increasing trend of  
105 CAT in NH in response to climate change. In section 2, the data and methodology used in this  
106 study will be introduced. The result of CAT climatologies and interpretations will be discussed in  
107 section 3, followed by a summary and conclusions in Section 4.

108

## 109 **2 Data and Methodology**

110 In this study, we used the ECMWF Re-Analysis version 5 (ERA5) data, which has  $0.25^\circ$   
111  $\times 0.25^\circ$  horizontal grid spacing over the global domain. At the moment, the ERA5 has the finest  
112 resolution among existing global reanalysis datasets. The higher horizontal resolution facilitates a  
113 clear identification of CAT features in local areas. To examine CAT potential, we used 6-hourly  
114 data (00, 06, 12, and 18 UTC) from 41-year record of ERA5 from January 1979 to December 2019.

115 We calculated the CAT potential in the UTLS using twelve vertical layers between 100 and 500  
 116 hPa levels (100, 125, 175, 200, 225, 250, 300, 350, 400, 450, and 500 hPa), which has  
 117 sufficient vertical resolution to calculate variabilities associated with vertical shear and upper-level  
 118 jet streams near the tropopause and typical cruising levels (e.g. 250 hPa) (Kim et al., 2020).

119 We used two groups (empirical indices and theoretical instability indicators) of CAT  
 120 diagnostics in this study. The empirical diagnostics used are the Turbulence Index versions 1, 2,  
 121 and 3 (TI1, TI2, and TI3) and their components [vertical wind shear (VWS), deformation (DEF),  
 122 -divergence (-DIV), and divergence trend (DVT)]. TI1 is a product of VWS and DEF, which  
 123 indicates CAT caused by shear instability and frontogenesis (Ellrod & Knapp, 1992). Shear  
 124 instability diagnosed by VWS is strong above and below the jet stream (Kim & Chun, 2010).  
 125 Frontogenesis caused by DEF appears on the cyclonic shear side of the jet stream due to strong  
 126 meridional temperature gradients, which increases VWS in accordance with thermal wind  
 127 relationship (Ellrod & Knapp, 1992). Therefore, the TI1 index has been widely used as one of top  
 128 diagnostics for CAT forecasting at several weather prediction centers (e.g., Kim et al., 2018). TI2  
 129 additionally considers convergent flow (-DIV) related to development of upper-level frontal zones,  
 130 which in turn strengthens VWS (Ellrod, 1985). Finally, TI3 replaces the divergence term in TI2  
 131 with divergence tendency (DVT) that may contribute to the generation of inertia gravity waves  
 132 via geostrophic adjustment processes or spontaneous imbalance (e.g., Ellrod & Knox, 2010; Knox  
 133 et al., 2008). TI1, TI2 and TI3 are calculated by the following equations.

$$134 \quad \text{TI1} = \text{VWS} \times \text{DEF} = \left( \left( \frac{\partial u}{\partial z} \right)^2 + \left( \frac{\partial v}{\partial z} \right)^2 \right)^{1/2} \left( \left( \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right)^2 + \left( \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right)^2 \right)^{1/2} \quad \dots(1)$$

$$135 \quad \text{TI2} = \text{VWS} \times (\text{DEF} - \text{DIV})$$

$$136 \quad = \left( \left( \frac{\partial u}{\partial z} \right)^2 + \left( \frac{\partial v}{\partial z} \right)^2 \right)^{1/2} \left[ \left( \left( \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right)^2 + \left( \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right)^2 \right)^{1/2} - \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \right] \quad \dots(2)$$

$$137 \quad \text{TI3} = \text{TI1} + \text{DVT}, \quad \text{DVT} = C \left| \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)_{t_2} - \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)_{t_1} \right| \quad \dots(3)$$

138 where  $u$  is zonal wind speed and  $v$  is meridional wind speed. DVT was calculated by using  
 139 the difference between the present data ( $t_2$ ) and the previous data six hours earlier ( $t_1$ ). The  
 140 empirical constant value  $C$  is a scaling parameter to adjust the magnitude of DVT to be of the same  
 141 magnitude as TI1, which was estimated by probability density functions following the method  
 142 outlined in previous studies (Lee et al., 2020). From the PDFs it was found that the peaks appeared  
 143 around  $1.82 \times 10^{-7}$  and  $2.37 \times 10^{-5}$  for TI1 and DVT, respectively. Therefore, we set the scaling  
 144 parameter of  $C$  to be 0.01, so that the DVT has the similar magnitude as TI1 (e.g., Lee et al., 2020).

145 In addition to the empirical diagnostics TI1, TI2, and TI3, we also considered three  
 146 “theoretical” instability diagnostics: the Brunt-Väisälä frequency ( $N^2$ ), Richardson number (Ri),  
 147 and potential vorticity (PV), which are directly related to convective, Kelvin-Helmholtz, and  
 148 inertial instabilities, respectively. These diagnostics are termed “theoretical” here since they are  
 149 based on various simplified instability theories. Convective instability is generated when  $N^2$   
 150 becomes negative. Statically unstable flows are associated with convective overturning, which can

151 lead to CAT (e.g., Jaeger & Sprenger, 2007). Ri less than some small number indicates Kelvin-  
 152 Helmholtz instability developed when VWS is large and/or  $N^2$  is small. We set the threshold of Ri  
 153 to  $0 < Ri < 1$  in this study to separate Kelvin-Helmholtz instability from convective instability when  
 154  $N^2 < 0$  (e.g., Abarbanel et al., 1984; Jaeger & Sprenger, 2007; Kim & Chun, 2010, 2012). The  
 155 condition  $PV < 0$  is used here primarily to identify regions of inertial instability (e.g., Kim et al.  
 156 2014; Knox & Harvey, 2005; Sato & Dunkerton, 2002; Trier & Sharman, 2016) which may be  
 157 favorable for the generation of CAT. Inertial instability is primarily generated by negative relative  
 158 vorticity in strong anticyclonic shear and curvature flows in the NH (e.g., Holton, 1992; Jaeger &  
 159 Sprenger, 2007; Thompson et al., 2018). However, as with the Ri, PV can be negative when the  
 160 absolute vorticity is positive and  $N^2 < 0$  as well. The theoretical indicator equations are as follows.

$$161 \quad N^2 = \frac{g}{\theta} \frac{\partial \theta}{\partial z} \quad \dots(4)$$

$$162 \quad Ri = \frac{N^2}{VWS^2} \quad \dots(5)$$

$$163 \quad PV = \frac{1}{\rho} (\zeta + f) \frac{\partial \theta}{\partial z} \quad \dots(6)$$

164 where  $\theta$ ,  $\zeta$ ,  $\rho$ , and  $f$  represent the potential temperature, the relative vorticity, the air density,  
 165 and the Coriolis parameter, respectively.

166 To examine the spatial and temporal distributions of MOG-level CAT, we need to establish  
 167 thresholds of each CAT index. While thresholds of theoretical instability indices are established  
 168 according to dynamical considerations, the MOG thresholds for the empirical indices were  
 169 determined by calculating a top percentile of the probability density function (PDF) of each  
 170 diagnostic from the ERA5 data, as was done in Williams (2017). Kim and Chun (2011) and Lee  
 171 and Chun (2015) found that 3-4 % of CAT incidents occurring over South Korea and East Asia  
 172 where the strongest jet streams exists were in the MOG category. Wolff and Sharman (2008) and  
 173 Sharman et al. (2014) estimated climatological upper-level MOG turbulence frequency at 1-2%  
 174 based on pilot reports and in situ turbulence observation data from commercial aircraft in United  
 175 States, respectively. Williams (2017) set the probability of MOG-level CAT to the top 0.4  
 176 percentile of the calculated CAT diagnostics. However, the in situ aircraft-based studies only  
 177 consider turbulence encountered by cruising aircraft and those can be underestimated by avoiding  
 178 already forecasted areas (Colson, 1963; Sharman et al., 2014). Therefore, given that this study  
 179 focused on the frequency of CAT in the UTLS near upper-level jet streams, we choose the 95th  
 180 percentile value as the thresholds of each CAT index for MOG-level CAT (e.g., Lee et al., 2020).

181 Figure 1 shows the probability density functions (PDFs) of the empirical CAT diagnostics  
 182 (VWS, DEF, DIV, DVT, TI1, TI2, and TI3) for summer (JJA; red histograms) and winter (DJF;  
 183 blue histograms) during the research period (41 years: 1979 – 2019). To incorporate two different  
 184 seasons with one representative threshold for each diagnostic, the 95% values were computed from  
 185 the sum of summer and winter PDFs for each index, which is indicated by black dashed vertical  
 186 lines in Figure 1. Here, all PDFs were calculated at a typical cruising altitude of 250 hPa for  
 187 commercial aircraft in the midlatitude regions (20-60° N) where the climatological jet stream is  
 188 located. Table 1 shows the determined thresholds of each index.

CAT Index	MOG Threshold	Unit
VWS	$> 1.03 \times 10^{-2}$	$s^{-1}$
DEF	$> 1.29 \times 10^{-4}$	$s^{-1}$
-DIV	$> 5.15 \times 10^{-5}$	$s^{-1}$
DVT	$> 7.18 \times 10^{-5}$	$s^{-1}$
TI1	$> 9.07 \times 10^{-7}$	$s^{-1}$
TI2	$> 1.03 \times 10^{-6}$	$s^{-1}$
TI3	$> 1.39 \times 10^{-6}$	$s^{-1}$
$N^2$	$< 0$	$s^{-2}$
PV	$< 0$	1 PVU
Ri	$0 < Ri < 1$	dimensionless

190 **Table 1.** Thresholds from the calculated 95th percentile values of the PDFs for the empirical CAT  
 191 indices at 250 hPa in the 20-60° N for 41 years (1979 – 2019), and thresholds for theoretical  
 192 instability indicators with their units.

193

194 **3 Results**

## 195 3.1 Case Study

196 A case study was performed to ensure whether the determined threshold of each empirical  
 197 index properly captures the occurrence of MOG-level CAT. At 1010 UTC and 1125 UTC 11  
 198 October 2012, two flights from Incheon, South Korea to San Francisco and Seattle, respectively,  
 199 encountered MOG-level CAT at about 11 km altitude (~225 hPa) when crossing over the  
 200 Northwestern Pacific Ocean (Kim et al., 2022). Figure 2a and 2b indicate the evolution of the  
 201 large-scale flow associated with the turbulence events with the horizontal wind speed and  
 202 geopotential height at 225 hPa at 0600 UTC and 1100 UTC. At 0600 UTC, the jet stream ( $> 50$  m  
 203  $s^{-1}$ ) including turbulence spots was located over the Northwestern Pacific Ocean. After five hours  
 204 at 1100 UTC, the jet was enhanced with the jet core exceeding  $70$  m  $s^{-1}$  on the western side of the  
 205 turbulence regions. This implies that the difference in wind speed from lower levels had increased  
 206 around the incident time and consequently the VWS intensified significantly to have much higher

207 values than the determined threshold ( $1.03 \times 10^{-2} \text{ s}^{-1}$ ) as shown in Fig. 2d and was then main source  
208 of the turbulence events.

209 The turbulence driven by this strong VWS was diagnosed well with both the theoretical  
210 instability indicators and the empirical indices (Fig. 2c). First of all, the value of Ri less than one  
211 (orange solid contour) was locally located on the eastern side of the turbulence events. Though it  
212 did not cover the turbulence spots because of very statically stable conditions in this area ( $N^2 > 0$ ;  
213 not shown), the shear instability inducing the MOG-level CAT did exist over the incident region.  
214 The empirical indices TI1, TI2, and TI3 also appropriately diagnosed the CAT events by showing  
215 high values above the pre-determined thresholds within the incident region. Furthermore, given  
216 that their patterns coincided with that of VWS (Fig. 2d), we suppose that the empirical indices  
217 diagnosed the turbulence events based on the enhanced VWS (Fig. 2d). Therefore, based on this  
218 one case we assume that the determined thresholds from the previous section are reliable enough  
219 to identify the regions of MOG-level CAT for developing a CAT climatology in the NH. The  
220 slightly different patterns of TI1, TI2, and TI3 were due to high values of the other components  
221 (i.e., DEF, -DIV, and DVT; not shown).

222

### 223 3.2 Horizontal Distribution

224 In this section, we provide horizontal distributions of CAT indices to identify spatial  
225 patterns of climatologies of upper-level CAT generation mechanisms in the NH. Figures 3-6 show  
226 the frequency distributions of each index for the 41 years (1979 – 2019) at 250 hPa (~ 34,000 ft or  
227 10.4 km) in the NH for winter (DJF) and summer (JJA) based on the determined thresholds (Table  
228 1). Each was calculated as the ratio (%) of the number of values that exceed the thresholds at each  
229 grid box in the 6-hourly data during the 41 years, as was done in previous studies (e.g., Jaeger and  
230 Sprenger, 2007; Kim and Chun, 2011; Williams, 2017).

231 Focusing first on winter (Figs. 3 and 4), comparing the average zonal wind speed to the  
232 frequency of empirical indices above the 95<sup>th</sup> percentile threshold, the highest frequencies are  
233 generally seen on the northern side of the jet. It is consistent with the results of Jaeger and Sprenger  
234 (2007) in which the indicators based on deformation such as TI1 were found to be more frequent  
235 in cyclonic flows. TI1, TI2, and TI3 showed local maxima over East Asian (80-150°E, 30-45°N),  
236 Eastern Pacific (170°E-130°W, 35-55°N), and Northwest Atlantic (80-20°W, 35-60°N) regions  
237 near the entrance and exit of climatological upper-level jets (Fig. 3a, 3b, and 3c). The highest  
238 frequencies of TI1, TI2, and TI3 appeared over East Asia (maximum value of TI1 = 25.84%; TI2  
239 = 27.10%; TI3 = 22.17%) and are primarily due to VWS (Fig. 4a) associated with the East Asian  
240 jet entrance region. The acceleration of the zonal wind driven by opposite Coriolis torques in the  
241 upper and lower sides of ageostrophic secondary circulation at the jet entrance increases the wind  
242 speed in the UTLS, which strengthens the local VWS.

243 Additional local maxima of TI1, TI2, and TI3 were also found over the Eastern Pacific  
244 (maximum value of TI1 = 14.57%; TI2 = 12.45%; TI3 = 16.84%) and Northwestern Atlantic  
245 (maximum value of TI1 = 11.84%; TI2 = 10.48%; TI3 = 13.52%) located at the exit region of jet  
246 stream. These were induced by large DEF, -DIV, and DVT in the vicinity of the jet exit as well as  
247 VWS. Large-scale deformation at the exit of the jet and convective flows due to storm tracks in

248 these regions manifested high frequencies of DEF at the exit region of the Pacific and Atlantic jets  
249 as shown in Fig. 4b. Large patterns of convergence indicated by -DIV as shown in Fig. 4c are due  
250 to decreasing wind speed within the exit region of the jet stream. Maximum patterns of divergence  
251 tendency at the exit of the jet stream are shown on Fig. 4d, which of course contributes to higher  
252 frequencies of TI3 in these regions compared to TI1 and TI2 by additionally considering CAT  
253 generation probably related to inertia gravity waves. Another interesting feature is the locally high  
254 frequencies over mountain regions such as Himalaya, Rockies, Alps, and Greenland. These are  
255 probably due to mesoscale mountain waves that can be partially resolved by the high resolution  
256 ERA5 data and are most apparent in the DEF, DIV, and DVT terms.

257 On the other hand, the theoretical index Ri correlated with shear instability showed  
258 somewhat different patterns from those of empirical indices. Higher frequencies of lowered Ri  
259 occurred over the Eastern Pacific and Northwest Atlantic regions, while minimum frequencies  
260 appeared over East Asia (Fig. 3e). The results over the Eastern Pacific and North Atlantic are due  
261 to the relatively high frequencies of VWS developed at the exit region of the jets (Fig. 4a).  
262 Moreover, these regions are areas of nominal storm tracks (Fig. 3d) involving relatively low static  
263 stability that contributes to relatively lowered values of positive Ri. (Here, note that magnitude of  
264  $N^2$  frequency is smaller than other indices due to insufficient upper-level vertical layers of ERA5  
265 data. Therefore, we focused on a relative frequency distribution indicating CAT potential by  $N^2$  in  
266 the NH, not on an absolute frequency value.) In contrast, East Asia had the least frequency of  
267 lowered Ri despite the highest frequency of VWS [maximum value of 35.90% (East Asia); 16.72%  
268 (Eastern Pacific); 11.31% (Atlantic)]. The results are probably due to highly stable stratospheric  
269 air intruding into the mid-troposphere below the jet core by deep tropopause folding over East  
270 Asia (e.g., Kim & Chun, 2010), which inhibits lowered Ri due to the high values of  $N^2$ . However,  
271 TI1, TI2, and TI3 diagnosed relatively higher probabilities of CAT potential mainly by strong  
272 VWS even in the highly stratified conditions over East Asia. These results will be further  
273 investigated using higher resolution reanalysis data and numerical simulations for CAT cases  
274 under strong VWS with highly stratified conditions (e.g., Kim & Chun, 2010; Trier et al., 2022).

275 Areas of negative PV (Fig. 3f) were concentrated mainly on the southern side of the  
276 climatological jets where inertial instability is generated by negative values of relative vorticity  
277 and Coriolis force decreases. The maximum frequency of negative PV was on the anticyclonic  
278 shear side of the East Asian jet and is mainly due to the negative values of relative vorticity  
279 generated by the strong jet streak over East Asia rather than convective instability (negative  $N^2$   
280 frequency is very small over East Asia; Fig. 3d). Therefore, East Asia is vulnerable to CAT caused  
281 by inertial/symmetric instability as well. The Eastern Pacific and Atlantic regions had low  
282 frequencies of PV on the anticyclonic shear side of the climatological jets. Over these regions, the  
283 occurrence of CAT by symmetric instability could be from convective instability since frequencies  
284 of  $N^2$  are large due to storm tracks (Fig. 3d) if relative vorticity locally becomes positive.

285 Compared to winter, in summer (Figs. 5 and 6), the TI1, TI2, and TI3 frequencies were  
286 generally lower and shifted northward due to the weaker and northward shift of the mid-latitude  
287 jet stream. Local maxima of TI1, TI2, and TI3 still appear over East Asia, Northwest Atlantic and  
288 additionally over Mediterranean Europe in summer. As shown in Figure 6, the frequencies of the  
289 empirical indices components also decreased along the weakened jets in the NH. However, only  
290 the frequency of DEF (Fig. 6b) increased and displaced further north, which is consistent with the  
291 patterns of TI1, TI2, and TI3. Therefore, apparently DEF (Fig. 6b) has a larger impact than the

292 weakened VWS (Fig. 6a) on the occurrence of CAT at 250 hPa in the summer over the East Asian,  
 293 Northwest Atlantic, and European regions. In addition, despite the weakened jets, higher  
 294 frequencies of -DIV and DVT (Fig. 6c and 6d) than in winter were found over East Asia, which  
 295 contributed to the maximum frequencies of TI1, TI2, and TI3 there. These may also be related to  
 296 increased convective activity in this region than others as shown in Fig. 5d due to the summer  
 297 monsoon system over East Asia (Yihui & Chan, 2005). In contrast, there were no increases in  
 298 frequency patterns of -DIV and DVT in the Eastern Pacific and Atlantic regions unlike in winter  
 299 because of the weakened jets and particularly the weakened storm tracks in the UTLS (Hoskins &  
 300 Hodges, 2019). The summer distribution of CAT coincided well with the distribution of  $N^2$   
 301 showing lower frequencies compared to the winter period over these regions. However, there were  
 302 still relatively high frequencies of -DIV and DVT over North America but lower than in the winter  
 303 period, which probably derived from mesoscale convective systems developing in this area in the  
 304 summertime (Prein et al., 2020; Tian et al., 2005). Consequently, in summer as in winter, East  
 305 Asia still has the highest CAT potential based on the empirical diagnostics.

306 These results were also identified in the theoretical instability diagnostics. In particular, the  
 307 Ri distribution in summer (Fig. 5e) is distinctly different than in winter and is similar to the  
 308 distribution of the empirical indices with local maxima over East Asia regardless of the weakened  
 309 VWS (Fig. 6a). This is due to convectively low stability associated with the presence of the East  
 310 Asian monsoon as mentioned above. Similarly, North America has a relative maximum in the  
 311 frequency pattern of Ri which can be attributed to low stability generated by deep convection  
 312 rather than weakened shear instability. The higher frequencies of negative PV were on the southern  
 313 side of the summer jets in the NH but much lower frequencies than in winter due to decreased  
 314 frequencies of negative absolute vorticity associated with the weaker jets.

315

### 316 3.3 Vertical Distribution

317 To further demonstrate the relationship between the jet system structures and CAT  
 318 potential induced by various mechanisms in the UTLS, we display vertical cross sections of the  
 319 CAT indices and their components in Figures 7-10. Here, we investigated four zonally averaged  
 320 regions manifesting significant patterns of CAT potential in the horizontal distributions during the  
 321 winter and summer periods.

322 In Fig. 7b over East Asia, the strongest jet cores with zonal wind speed exceeding  $50 \text{ m s}^{-1}$   
 323 are located between 100 and 500 hPa in winter. Accordingly, VWS, -DIV, and DVT became  
 324 more frequent (Fig. 8b) with the maximum frequencies of the empirical indices (i.e., TI1, TI2, and  
 325 TI3) above and below the jet core. Especially, VWS showed the highest frequencies at all levels  
 326 among other midlatitude regions due to the strongest East Asian jet (maximum frequency of  
 327 Europe = 20.0%; East Asia = 45.4%; Eastern Pacific = 28.9%; Atlantic = 17.1% from 100 hPa to  
 328 500 hPa in the 20-60°N; not shown). The high frequency of these large shears at all levels indicates  
 329 high turbulence potential not only at the typical aircraft cruising levels of 250 hPa but also at other  
 330 levels where aircraft travel over East Asia. In summer, the TI1, TI2, and TI3 distributions  
 331 decreased and are shifted upward and northward along the weakened jet stream (Fig. 9b). However,  
 332 unlike in the winter season, VWS is decreased by the weakened jet stream and DEF becomes more  
 333 dominant from midlatitudes to polar regions at cruising levels as the jet core shifted upward (Fig.

334 10b). Moreover, -DIV and DVT are broadly distributed at cruising levels at low latitudes of East  
335 Asia because of the East Asia monsoon. Due to the increased frequency of DVT, isolated patterns  
336 of TI3 at upper-levels and low latitudes of East Asia (10-30°N, 160-120 hPa) were also found.  
337 Consequently, DEF, -DIV, and DVT largely contributed to the occurrence of CAT rather than  
338 VWS in the summer.

339 Over the Eastern Pacific and Northwestern Atlantic regions where jets were widely spread  
340 in the meridional direction, TI1, TI2, and TI3 were also distributed broadly from the south to north  
341 side of the jets (Fig. 7c and 7d). These are roughly equally due to DEF, VWS, -DIV, and DVT at  
342 250 hPa (Fig. 8c and 8d). However, on the northern side of jet at lower levels below 250 hPa, DEF  
343 is expected to have the greatest impact on the occurrence of CAT. In summer, the areas of  
344 frequencies of TI1, TI2, and TI3 were generally smaller and shifted to the north along the  
345 weakened jet (Fig. 9c and 9d). It appears DEF was responsible for the CAT at cruising levels in  
346 summer over these regions because VWS was weakened and DEF was frequent on the northern  
347 side of the jet as was in East Asia (Fig. 10c and 10d). Areas of -DIV and DVT also decreased in  
348 summer due to fewer storm tracks, which is consistent with horizontal distributions in Eastern  
349 Pacific and Northwest Atlantic regions (Fig. 6c and 6d).

350 Over Europe in winter, two maxima of empirical indices were found because of the  
351 separate subtropical (20-30°N) and polar (50-60°N) jets, leading to two maxima in the patterns of  
352 TI1, TI2, and TI3 under each jet core (Fig. 7a). The patterns of TI1, TI2, and TI3 found at lower  
353 levels of the polar jet region (Fig. 7a) are related to DEF on the cyclonic shear side of the  
354 subtropical jet rather than VWS (Fig. 8a). On the other hand, the other maxima of TI1, TI2, and  
355 TI3 in the subtropical region (Fig. 7a) are primarily associated with VWS where the stronger jet  
356 stream developed (Fig. 8a). The two maxima frequencies of TI1, TI2, and TI3 were decreased in  
357 summer (Fig. 9a) due to the weakened and northward shifted subtropical jet that moved up to 30-  
358 40°N. Unlike in winter, maxima patterns of TI1, TI2, and TI3 found at upper levels above the polar  
359 jet (Fig. 9a) were mainly attributed to VWS (Fig. 10a).

360 In terms of the theoretical instability indices, areas of lowered Ri maxima were found over  
361 the Eastern Pacific and Northwest Atlantic regions (Fig. 7c and 7d) consistent with the higher  
362 frequencies of negative  $N^2$  and larger VWS (Fig. 8c and 8d) there. However, despite the presence  
363 of large VWS at all levels (Fig. 8b), lowered Ri occurs only in the troposphere below 250 hPa in  
364 the midlatitudes of East Asia (Fig. 7b), while TI1, TI2, and TI3 were found at higher levels even  
365 above the tropopause indicated by the yellow dashed line. This is because the tropopause folding  
366 penetrated more deeply down to 300 hPa in the 30-40°N over East Asia than in other regions  
367 (vertically erected structure of yellow dashed line), which inhibits lowered Ri due to the extremely  
368 statically stable condition over this area (i.e., tropopause folding; Kim & Chun, 2010, 2011).  
369 Finally, hydrostatically high stability contributes to high values of Ri that is ambiguous to diagnose  
370 CAT induced by strong VWS at upper levels over East Asia in the winter. In contrast, as the  
371 tropopause shifted upward with a smaller jet in the summer, the stability of cruising levels within  
372 the subtropical and midlatitude regions over East Asia became low as shown in the distribution of  
373  $N^2$  (Fig. 9b and 10b), which lowered Ri that may be a more appropriate index in the summer.

374 The subtropical areas of East Asia are also prone to CAT due to inertial/symmetric  
375 instabilities as shown in the maximum frequency of  $PV < 0$  distributed up to 30°N in the winter  
376 period (white line in Fig. 7b) while other regions were not (white lines in Fig. 7a, 7c, and 7d). The

377 inertial/symmetric instability generated in the winter period over East Asia was due to negative  
378 absolute vorticity in the strong jet streak. However, despite the weakened jet stream and smaller  
379 regions of negative absolute vorticity in summer, negative PV regions still occurred near 30°N on  
380 the southern side of the jet (Fig. 9b). This is a consequence of low stability due to the upward shift  
381 of the tropopause and instability associated with the summer monsoon. Additionally, an easterly  
382 wind over subtropical East Asia (not shown) plays a role in generating negative PV at the UTLS.

383

### 384 3.4 Trends

385 After investigating the average CAT climatology, spatial frequencies and their possible  
386 generation mechanisms relative to upper-level jet systems in the NH, we performed trend analyses  
387 to identify possible temporal changes as they may be affected by climate change over the past 41  
388 years. Here, we analyzed winter season trends only when CAT is usually connected to jet streams.  
389 As previously mentioned, upper-level jet systems coincide with the meridional temperature  
390 gradient (MTG) through the thermal-wind balance. Therefore, the location and intensity of jet  
391 stream may both change in a global warming scenario (e.g., Lorenz & DeWeaver, 2007; Williams  
392 & Joshi, 2013). To start then, we first computed the 41 years mean fields of MTG at 300-400 hPa  
393 (Fig. 11a) and horizontal wind speed (SPD) at 250 hPa (Fig. 11b). They present similar patterns  
394 implying that the upper-level jet structure is highly correlated with MTG and consequently larger  
395 VWS according to the thermal wind relation. Therefore, the DJF mean trends ( $\text{K m}^{-1} \text{ year}^{-1}$ ) of  
396 MTG at 300-400 hPa (Fig. 11d) that is modulating the jet stream at 250 hPa for the 41 years was  
397 examined. Here, we computed every 41 years DJF mean of MTG and then calculated the trend  
398 using a linear least-squares regression method (Lee et al., 2019). Statistically significant trend  
399 patterns were estimated at the 95% confidence levels ( $P\text{-value} < 0.05$ ;  $n = 41$ ) with a two-sided  $t$ -  
400 test (Lee et al., 2019) and these are indicated by black stippling as shown in Fig. 11d. Other  
401 variables of DJF mean trends and DJF mean frequency trends were computed in the same way.

402 The largest increase of MTG was found over East Asia (maximum value of  $0.08\text{K m}^{-1} \text{ year}^{-1}$ ;  
403  $80\text{-}150^\circ\text{E}$ ,  $30\text{-}45^\circ\text{N}$ ), which is slightly on the northern side of the East Asian jet entrance region  
404 (Fig. 11d). This is due to cooling in the middle Eurasian continent and warming in southeastern  
405 Asia regions (Fig. 11c) (e.g., He et al., 2020; Kug et al., 2015; Luo et al., 2017; J. Zhang et al.,  
406 2016). Positive increases were also seen over the Eastern Pacific (maximum value of  $0.03\text{K m}^{-1}$   
407  $\text{ year}^{-1}$ ;  $170^\circ\text{E}\text{-}130^\circ\text{W}$ ,  $35\text{-}55^\circ\text{N}$ ) and Atlantic (maximum value of  $0.02\text{K m}^{-1} \text{ year}^{-1}$ ;  $80\text{-}20^\circ\text{W}$ ,  $35\text{-}$   
408  $60^\circ\text{N}$ ) regions (Fig. 11d) where strong warming in the tropics and lower latitude regions have  
409 occurred (Fig. 11c). Thus, as shown in Fig. 11e, the jet stream has strengthened and the wind speed  
410 has increased up to  $0.16 \text{ m s}^{-1}$  per year over East Asia and  $0.13 \text{ m s}^{-1}$ ,  $0.10 \text{ m s}^{-1}$  per year over the  
411 Eastern Pacific and Atlantic due to global warming (e.g., Maloney et al., 2014; Sheffield et al.,  
412 2013). Also, from Fig. 11e, it is apparent that the midlatitude jets have shifted northward as well  
413 as intensified.

414 Time series of DJF means of MTG and SPD and their trends are displayed in Figure 12  
415 over the three regions indicated by the red boxes in Figure 11 where large variations of trends were  
416 detected. The time series of MTG and SPD were calculated by annually (for DJF) averaging each  
417 variable over a designated area and then performing a simple linear regression to assess the trends.  
418 Over East Asia (Fig. 12a) where the strongest jets exist, MTG has increased by 10.87% ( $P =$

419 0.025K m<sup>-1</sup> year<sup>-1</sup>; P is slope of the regression line) and SPD has increased by 8.01% (P = 0.083m  
 420 s<sup>-1</sup> year<sup>-1</sup>) over the 41year period. Over the Eastern Pacific region (Fig. 12b), MTG increased 7.59%  
 421 (P = 0.008K m<sup>-1</sup> year<sup>-1</sup>) and SPD increased 6.86% (P = 0.056m s<sup>-1</sup> year<sup>-1</sup>). Over the Atlantic region  
 422 (Fig. 12c), MTG increased 3.38% (P = 0.004K m<sup>-1</sup> year<sup>-1</sup>) and SPD increased 3.04% (P = 0.026m  
 423 s<sup>-1</sup> year<sup>-1</sup>).

424 The trends in CAT indices are shown in Figure 13. Overall, the trend patterns of CAT  
 425 indices affected by jet systems were demonstrated with SPD trend at 250 hPa, as expected. Figure  
 426 14 shows box plots of increasing values of the turbulence diagnostics with time for three regions  
 427 shown in Fig. 11. The box plots were calculated by regionally averaging the trends of each CAT  
 428 index within designated areas shown in Figure 13. Again, East Asia showed the largest trend  
 429 increases overall with TI1 increasing by 0.053% year<sup>-1</sup>, TI2 by 0.048% year<sup>-1</sup>, and TI3 by 0.054%  
 430 year<sup>-1</sup>, all mainly due to a large increase in VWS trend 0.080% year<sup>-1</sup>, as shown in Fig. 13e and  
 431 14a. The Eastern Pacific had increases in TI1 of 0.034% year<sup>-1</sup>, TI2 of 0.029% year<sup>-1</sup>, and TI3 of  
 432 0.033% year<sup>-1</sup> which largely driven by the trend in DEF (0.039% year<sup>-1</sup>) rather than VWS (0.007%  
 433 year<sup>-1</sup>) (Fig. 13e, 13f, and 14b). Trends in the Atlantic region were similar to those over the Eastern  
 434 Pacific region with increases of TI1 by 0.039% year<sup>-1</sup>, TI2 by 0.034% year<sup>-1</sup>, and TI3 by 0.039%  
 435 year<sup>-1</sup> driven mainly by trends in both VWS (0.032% year<sup>-1</sup>) and DEF (0.036% year<sup>-1</sup>) as shown  
 436 in Fig. 13e, 13f, and 14c.

437 In contrast, the increased trend of Ri was the highest over the Eastern Pacific region (0.048%  
 438 year<sup>-1</sup>) with the lowest increase of VWS (Fig. 14b). We speculate that this may be due to increased  
 439 Kelvin-Helmholtz instabilities by lowered static stability (small but positive trend in N<sup>2</sup> negative  
 440 conditions were found for all regions in Figure 14) associated with the frequent development of  
 441 cyclones along the storm tracks there. East Asia (0.022% year<sup>-1</sup>) and the Atlantic (0.018% year<sup>-1</sup>)  
 442 regions also had Ri increases, which corresponds to strengthened VWS over each area. East Asia  
 443 showed a relatively low increase of Ri even though VWS has intensified the most over the past 41  
 444 years. As previously mentioned, extremely statically stable condition from deep tropopause  
 445 folding could disturb the identification of the shear instability trend diagnosed by Ri in UTLS over  
 446 East Asia. In the case of PV, positive trends appeared along the intensified jet stream that  
 447 intensifies the anticyclonic flows on the southern side of the jet. Additionally, as anticyclonic flow  
 448 regions move northward, the largest reductions of PV occurred in the 20-30°N band over East Asia  
 449 where maxima frequencies exist as shown in Fig. 3f.

450

#### 451 **4 Summary and Conclusions**

452 In this study, climatologies of MOG-level CAT in the UTLS were discussed using high-  
 453 resolution reanalysis ERA5 data for 41 years in the NH. To identify the occurrences of CAT and  
 454 their various mechanisms near upper-level jets, the empirical (TI1, TI2, TI3, VWS, DEF, -DIV,  
 455 and DVT) and theoretical instability (Ri, PV, and N<sup>2</sup>) diagnostics were used. The frequency  
 456 distributions and trends of empirical diagnostics were investigated based on the thresholds

457 determined by calculating the top five percentile PDF value of each index at 250 hPa in the 20-  
458 60°N latitude band where long-haul flights cruise and the climatological jet stream exists.

459 Results showed that high frequencies of empirical indices occurred on the northern side of  
460 the jet in the winter period (DJF) and three maxima patterns were found over East Asia, Eastern  
461 Pacific, and Northwest Atlantic regions. The East Asia maxima coincides with the entrance of the  
462 strongest East Asian jet and has the highest frequencies in TI1, TI2, and TI3 mainly due to VWS.  
463 The Eastern Pacific and Atlantic regions also have high frequencies of TI1, TI2, and TI3 largely  
464 attributed to DEF, -DIV, and DVT at the exit of the jet stream as well as VWS. Over these regions,  
465 the patterns of lowered Ri were consistent with the patterns of  $N^2$  and VWS indicating low static  
466 stability by induced by storm tracks and large shear instability at the exit region of the jet,  
467 respectively. On the other hand, Ri showed a minimum frequency over East Asia despite the  
468 highest frequency of VWS since the highly stable condition associated with stratospheric intrusion  
469 of stable air in the deep tropopause folds makes the value of Ri higher. The frequencies of negative  
470 PV were high on the southern side of the jet by negative relative vorticity and the maximum pattern  
471 occurred over East Asia where the strongest jet streak exists. We additionally found that the high  
472 resolution of the ERA5 data can possibly detect the potential of mountain wave turbulence from  
473 the distribution of DEF, -DIV, and DVT. In summer, the overall occurrence frequencies of MOG-  
474 level CAT were decreased and shifted poleward due to the north shifted and weakened jet stream  
475 in the NH. During this season, the three maxima patterns of TI1, TI2, and TI3 were found over  
476 East Asia, Northwest Atlantic, and Mediterranean Europe mainly impacted by increased DEF.  
477 Particularly East Asia had the highest CAT potential again in summer, which is primarily related  
478 to the summer monsoon system.

479 Next, to understand the location and intensity change of the jet stream, which leads to  
480 alteration of CAT occurrence in the UTLS, we identified a relation between SPD at 250 hPa and  
481 MTG at 300-400 hPa. As a consequence, there was an agreement between mean fields of MTG at  
482 300-400 hPa and SPD at 250 hPa for 41 years suggesting upper-level jet structure is highly  
483 correlated with MTG by strengthening VWS according to thermal wind relationship. Therefore,  
484 increasing and decreasing trend patterns of SPD at 250 hPa over the past 41 years were correlated  
485 with those of the MTG trend at 300-400 hPa. The most significant increasing trend of the jet stream  
486 was found over East Asia primarily due to the enhanced VWS. It is associated with the largest  
487 increase trend of MTG over this region mainly due to cooling in the middle of the eastern Eurasian  
488 continent and warming in the southeastern Asia region. Finally, the potential of MOG-level CAT  
489 has increased the most significantly over East Asia along the intensified jet and associated increase  
490 in VWS. Relatively large increase trends of CAT potential also appeared over the Eastern Pacific  
491 and Northwest Atlantic regions enhanced by DEF and by both VWS and DEF respectively, which  
492 are likely due to global warming trends in these regions (e.g., Lee et al. 2019). Based on the trend  
493 patterns of CAT indices over the past 41 years, it is expected that MOG-level CAT encounters at  
494 cruising altitudes will be more common over East Asia with climate change. This trend will have  
495 a significant impact on a safe transpacific flight since a dense area of air traffic across the Pacific  
496 between East Asia and the US is highly collocated with the increasing trend of VWS causing  
497 MOG-level CAT as shown in Figure 15. An EDR density map was computed by counting the  
498 number of EDR values greater than zero based on Aircraft Meteorological DATA Relay (AMDAR)  
499 observation data covering from January 2016 to September 2021 (Fig. 15). Therefore, it is

500 necessary to understand the generation mechanisms of MOG-level CAT events with these trends,  
501 especially over East Asia for efficient and safe aviation operations.

502 We expect that this study would help to understand the response of frequency distributions  
503 and trends of CAT that occur from various mechanisms in the NH to climate change. Given that  
504 the number of flights has increased consistently over the past years, and is expected to continue  
505 increasing, realizing a linkage between climate change and aviation turbulence becomes more  
506 important. Furthermore, we suggest that further study of climatology in cloud-induced turbulence  
507 and mountain wave turbulence which are also major sources of aviation turbulence (Doyle et al.,  
508 2005; J.-H. Kim et al., 2014; J.-H. Kim & Chun, 2011) is needed. Though they were not covered  
509 in this study, they also might be highly sensitive to climate change. Finally, the climatology of  
510 CAT based on observational data will be proceeded to examine whether it is consistent with the  
511 results analyzed by reanalysis data (e.g., Tenenbaum et al., 2022).

512

### 513 **Acknowledgments, Samples, and Data**

- 514 • The fifth generation ECMWF reanalysis hourly data (ERA5) on pressure levels can be  
515 downloaded at  
516 <https://cds.climate.copernicus.eu/cdsapp#!/dataset/10.24381/cds.bd0915c6?tab=overview>  
517 .
- 518 • Aircraft Meteorological Data Reports (AMDAR) data can be accessed from  
519 <https://data.eol.ucar.edu/dataset/100.016>.
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710 **Figure 1.** PDFs of each CAT index calculated at 250 hPa in the 20-60°N latitude band for 41 years  
 711 (1979 – 2019). Red and blue histograms indicate summer and winter seasons, respectively, and  
 712 orange shows the overlap region between the two seasons. The 95th percentile value is depicted  
 713 by black dashed vertical line.

714 **Figure 2.** (a), (b) Horizontal wind speed (shading) with geopotential height (60gpm interval; gray  
 715 contour) on 225 hPa at 0600 UTC and 1100 UTC Oct 2012 respectively. CAT indices distributions  
 716 (c) TI1 =  $9.07 \times 10^{-7}$  (green dashed contour), TI2 =  $1.03 \times 10^{-6}$  (navy dashed-dotted contour), TI3  
 717 =  $1.39 \times 10^{-6}$  (purple dotted contour), PV = 0 (black solid bold contour), and Ri = 1 (orange solid  
 718 contour), (d) VWS (shading) with zonal wind speed at 1100 UTC 11 October 2012 at 225hPa over  
 719 eastern Japan. Zonal wind speed is shown by shading and gray contours in (c) and (d) respectively  
 720 from 10 to 70 m s<sup>-1</sup> with a 10 m s<sup>-1</sup> interval. Black dashed contour in (d) represents the threshold  
 721 of VWS ( $1.03 \times 10^{-2}$ ). Flight routes and locations of MOG turbulence at 1010 UTC and 1125 UTC  
 722 11 Oct 2012 are depicted by black solid thin lines and red [(a), (b), and (c)] and black [(d)] asterisks.

723 **Figure 3.** Frequency (%) distributions of CAT indices with shading (a) TI1, (b) TI2, (c) TI3, (d)  
 724 N<sup>2</sup>, (e) Ri, and (f) PV at 250 hPa in the 20-80°N for 41 years (1979 – 2019) for the winter period  
 725 (DJF). Averaged zonal wind speeds are also shown as black contours from 30 to 80 m s<sup>-1</sup> at 10 m  
 726 s<sup>-1</sup> intervals.

727 **Figure 4.** Frequency (%) distributions of CAT indices with shading (a) VWS, (b) DEF, (d) -DIV,  
 728 and (d) DVT at 250 hPa in the 20-80°N for 41 years (1979 – 2019) for the winter period (DJF).  
 729 Averaged zonal wind speeds are also shown as black contour from 30 to 80 m s<sup>-1</sup> at 10 m s<sup>-1</sup>  
 730 intervals.

731 **Figure 5.** Same as Figure 3, but for the summer period (JJA). Distributions of averaged zonal  
 732 wind speed are shown as black contours from 25 to 65 m s<sup>-1</sup> at 10 m s<sup>-1</sup> intervals.

733 **Figure 6.** Same as Figure 4, but for the summer period (JJA). Distributions of averaged zonal  
 734 wind speed are shown as black contour from 25 to 65 m s<sup>-1</sup> at 10 m s<sup>-1</sup> intervals.

735 **Figure 7.** Vertical frequency distributions of zonally averaged CAT indices during the winter  
 736 period (DJF) for 41 years (1979 – 2019) [TI1 = 5% (red dashed contour), TI2 = 5% (black dashed-  
 737 dotted contour), TI3 = 5% (blue dotted contour), PV = 10% (white solid contour), and Ri = 5%  
 738 (pink solid contour)] and zonal wind speed (shading from 0 to 50 m s<sup>-1</sup> at 5 m s<sup>-1</sup> interval) for 4  
 739 regions: Europe [10-50°E; (a)], East Asia [120-160°E; (b)], Eastern Pacific [180°E-140°W; (c)]  
 740 and Atlantic [80-40°W; (d)]. Isentropes and 2 PVU tropopause are indicated by thin grey line and  
 741 yellow dashed line respectively. White thin double dotted line represents altitude of 250 hPa.

742 **Figure 8.** Same as Figure7, but for [VWS = 6% (orange solid contour), DEF = 7.5% (green solid  
 743 contour), -DIV = 3% (blue-green dashed-dotted contour), DVT = 5.5% (brown solid contour), and  
 744 N<sup>2</sup> = 0.02% (white solid contour)] and zonal wind speed (shading from 0 to 50 m s<sup>-1</sup> at 5 m s<sup>-1</sup>  
 745 interval)

746 **Figure 9.** Same as Figure 7, but for the summer period (JJA).

747 **Figure 10.** Same as Figure 8, but for the summer period (JJA).

748 **Figure 11.** (a) and (b) show averaged meridional temperature gradient (MTG) and horizontal wind  
 749 speed (SPD) at 300-400 hPa and 250 hPa for 41 years (1979 – 2019) respectively with shading.  
 750 DJF mean trends (shading) of (c) Temperature at 300-400 hPa, (d) Meridional temperature  
 751 gradient at 300-400 hPa, and (e) Horizontal wind speed at 250 hPa. Black contour and stippling  
 752 depict zonal wind speed at 250 hPa (from 30 to 80 m s<sup>-1</sup> with 10 m s<sup>-1</sup> interval) and significant  
 753 trends (P-value < 0.05, n = 41). Red boxes in (c)-(e) indicate East Asia (80-150°E, 30-45°N),  
 754 Eastern Pacific (170°E-130°W, 35-55°N), and Atlantic (80-20°W, 35-60°N) regions from the left.

755 **Figure 12.** Time series of DJF mean meridional temperature gradient MTG (pink solid line), linear  
 756 trends of MTG (pink dashed line), DJF mean horizontal wind speed SPD (light blue solid line),  
 757 and linear trends of SPD (light blue dashed line) over 3 regions (indicated by the red boxes in Fig.  
 758 11) (a) East Asia (80-150°E, 30-45°N), (b) Eastern Pacific (170°E-130°W, 35-55°N), and (c)  
 759 Atlantic (80-20°W, 35-60°N) over 41 years (1979 – 2019).

760 **Figure 13.** DJF frequency trends at 250 hPa of (a) TI1, (b) TI2, (c) TI3, (d) Ri, (e) VWS, (f) DEF,  
 761 (g) -DIV, (h) DVT, and (i) PV (shaded) for 41 years (1979 – 2019). Black contours and stippling  
 762 depict zonal wind speed at 250 hPa (30 to 80 m s<sup>-1</sup> at 10 m s<sup>-1</sup> interval) and significant trends (P-  
 763 value < 0.05, n = 41) respectively. Red boxes indicate regions same as Figure 11.

764 **Figure 14.** Box plots of 10 CAT indices over 3 regions (indicated by the red boxes in Figure 13)  
 765 (a) East Asia (80-150°E, 30-45°N), (b) Eastern Pacific (170°E-130°W, 35-55°N), and (c) Atlantic  
 766 (80-20°W, 35-60°N). The top and bottom of black line show the maximum and minimum  
 767 frequency trend value of each index within each red box area. Red bars and black dot represent  
 768 averaged frequency trend and median value of each index within each red box area respectively.

769 **Figure 15.** EDR (> 0m<sup>2/3</sup>s<sup>-1</sup>) distribution (shading) from AMDAR data with winter VWS trend  
 770 (contour; negative values are indicated by dash contours) (from January 2016 to September  
 771 2021).

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Figure1.

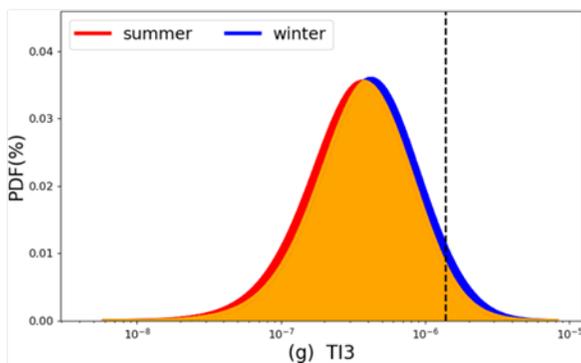
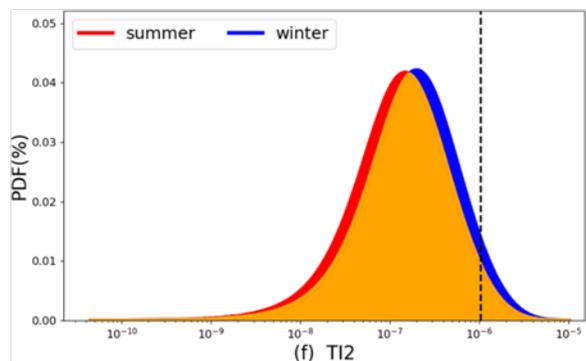
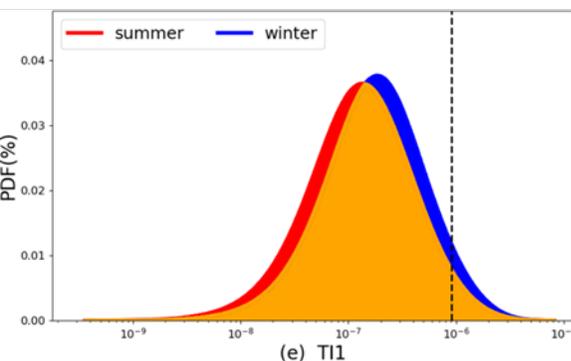
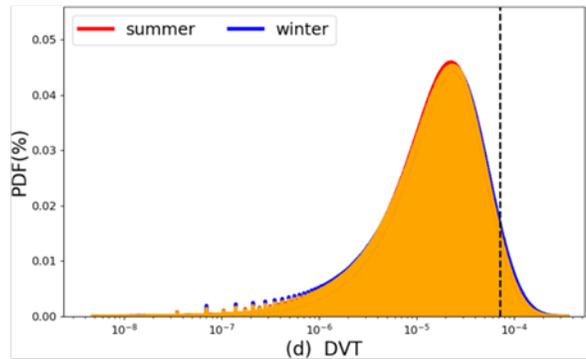
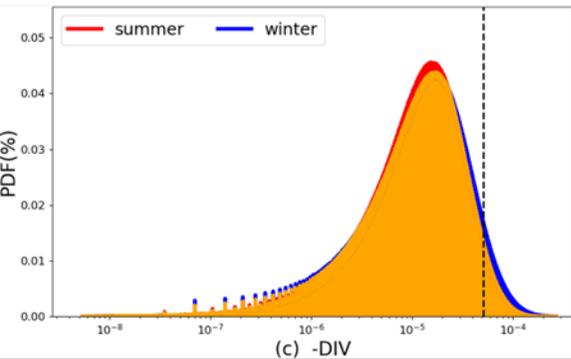
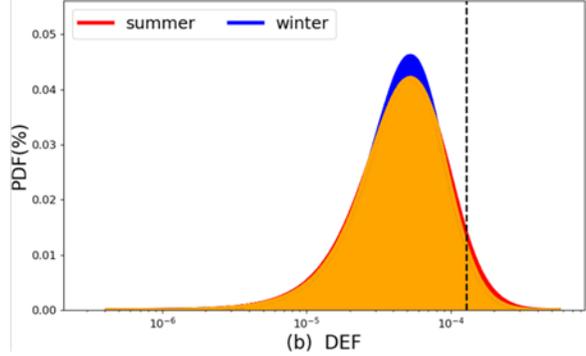
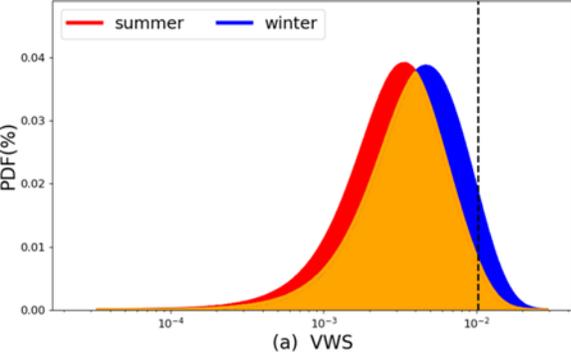


Figure2.

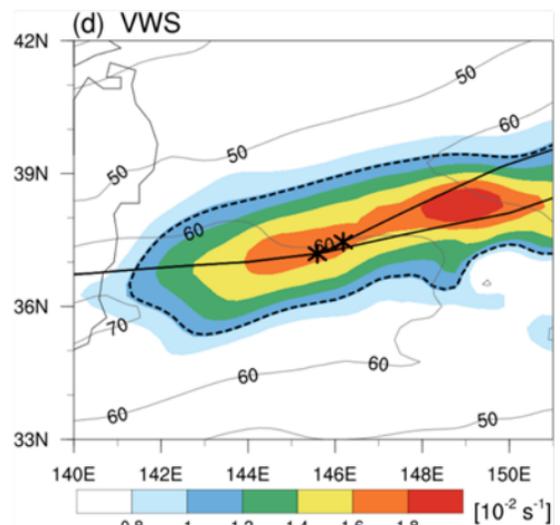
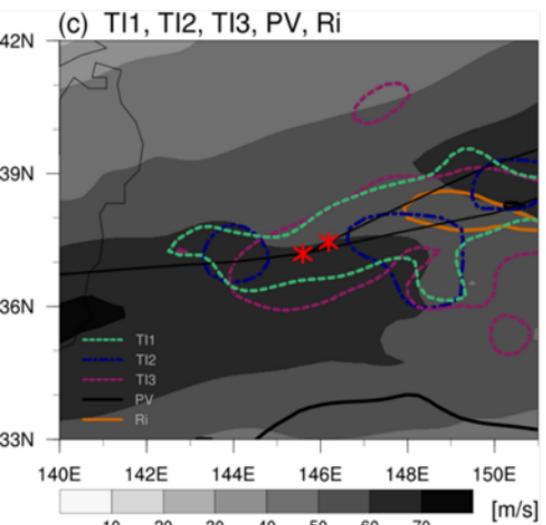
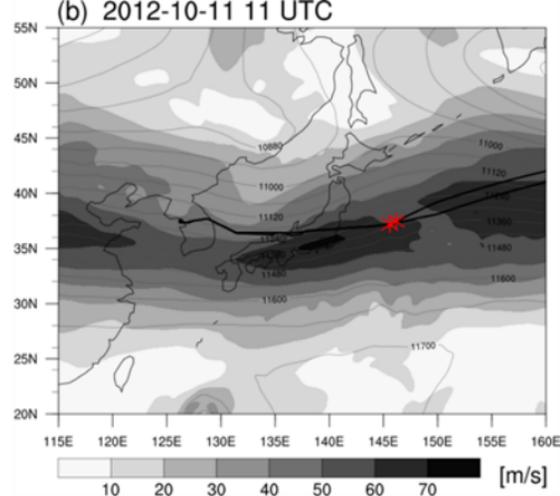
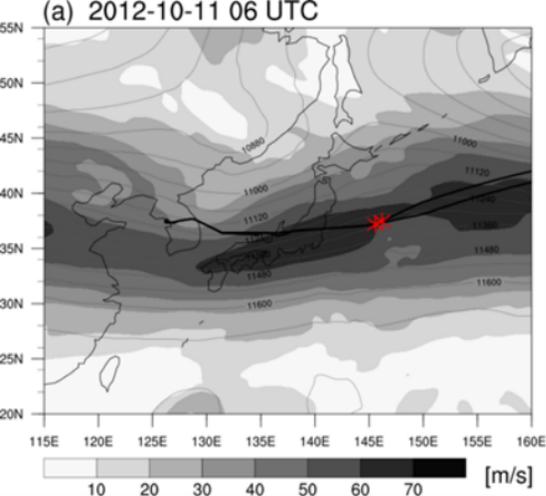
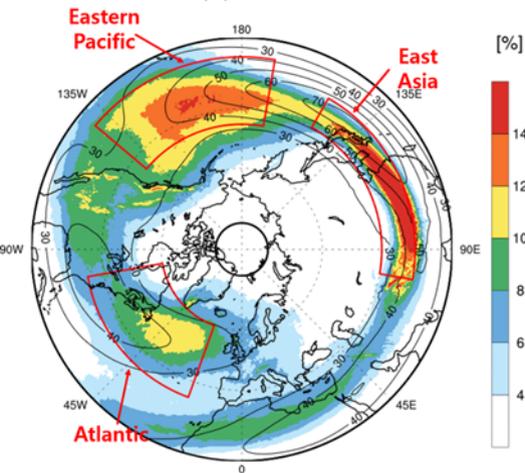
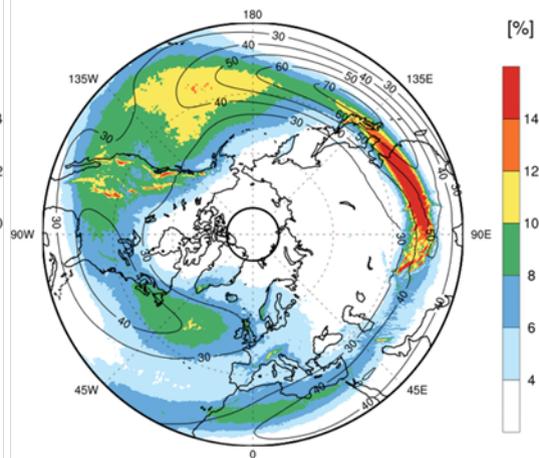


Figure3.

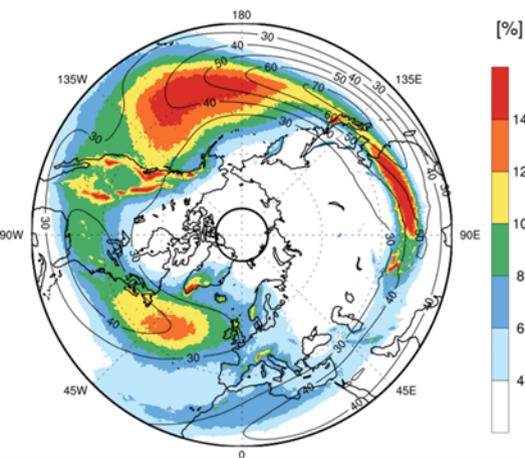
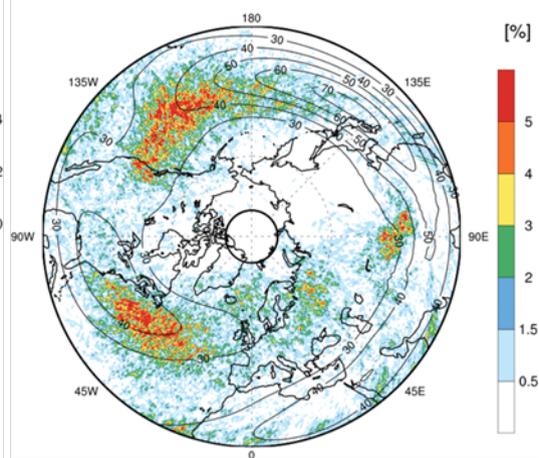
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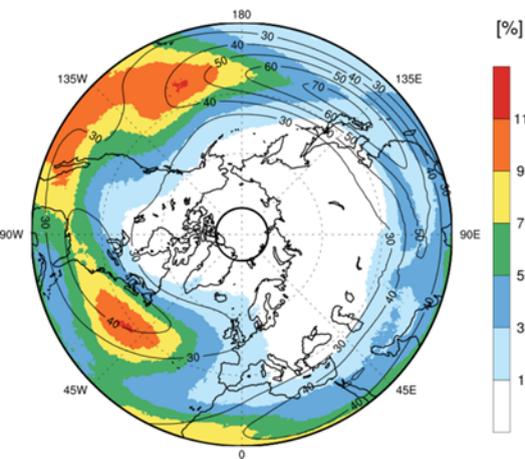
(b) TI2



(c) TI3

(d)  $N^2$ 

(e) Ri



(f) PV

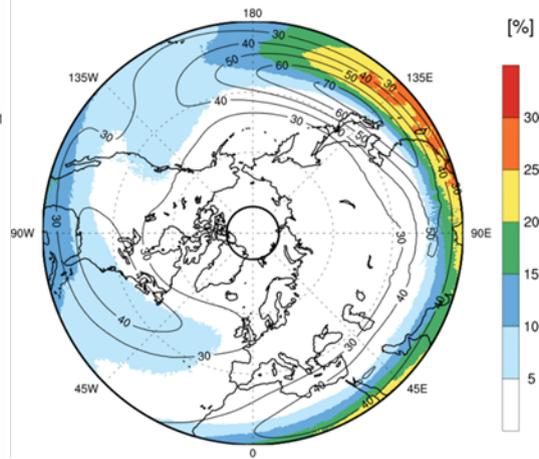
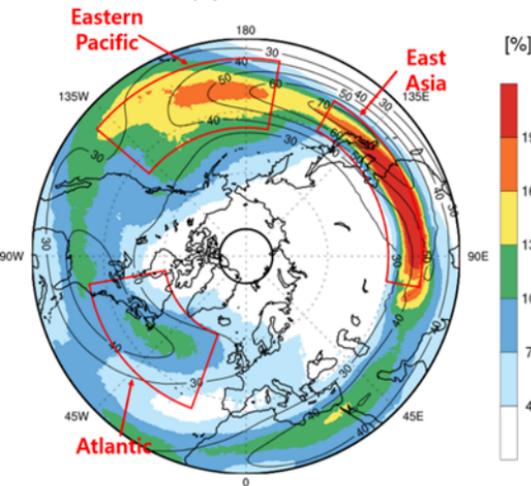
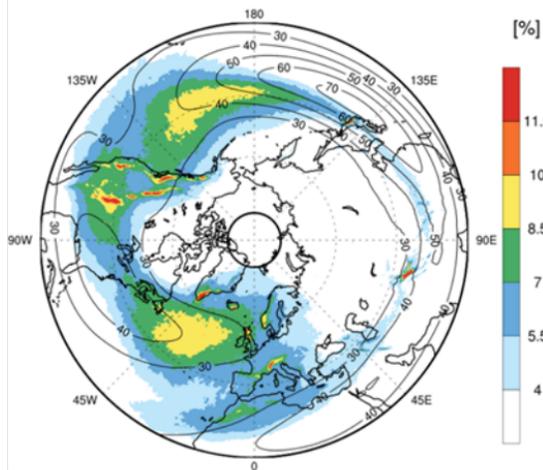


Figure4.

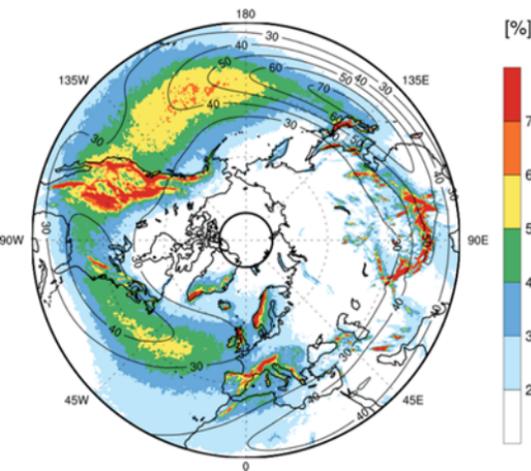
(a) VWS



(b) DEF



(c) -DIV



(d) DVT

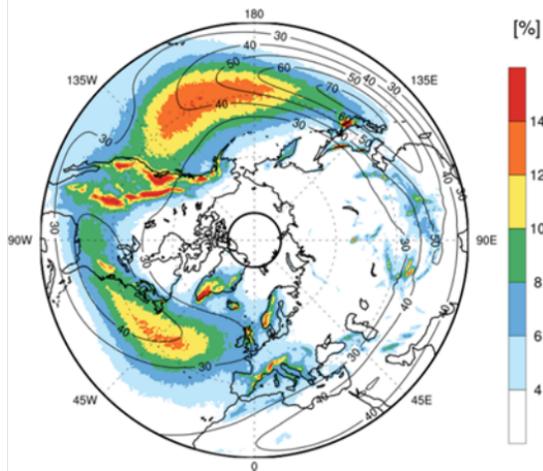
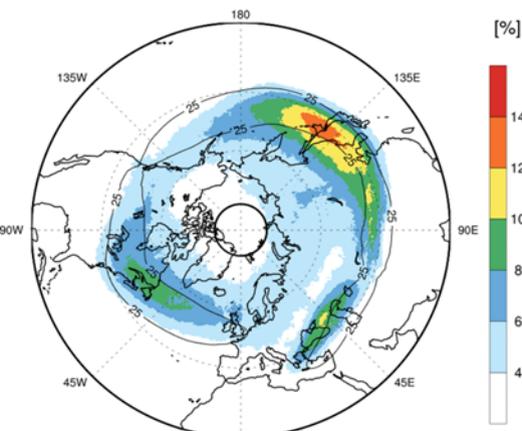
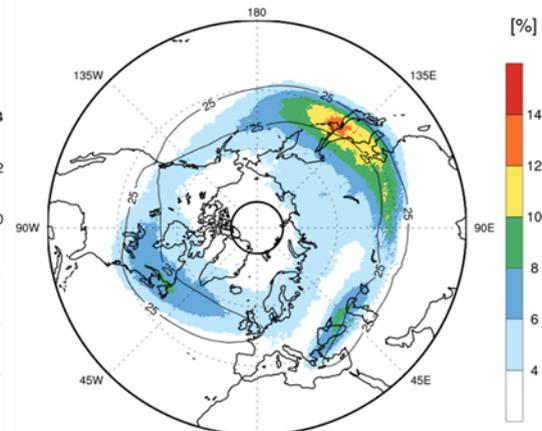


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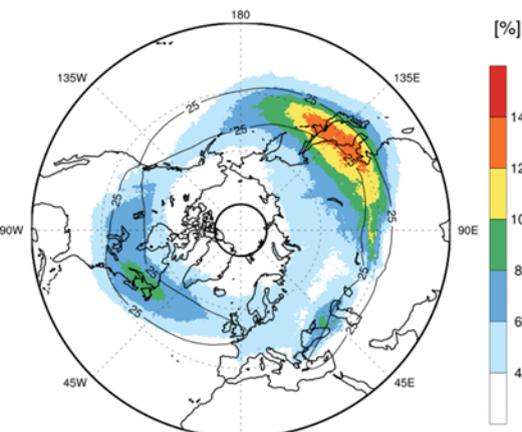
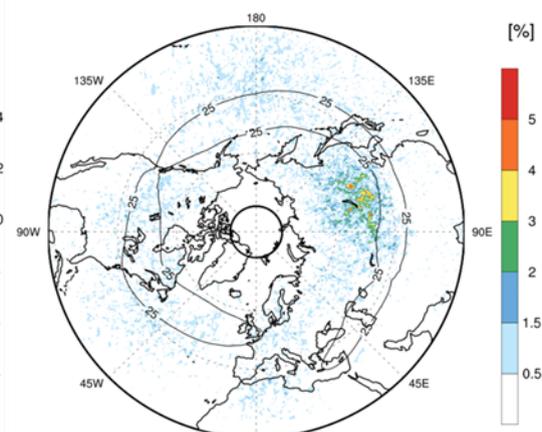
(a) TI1



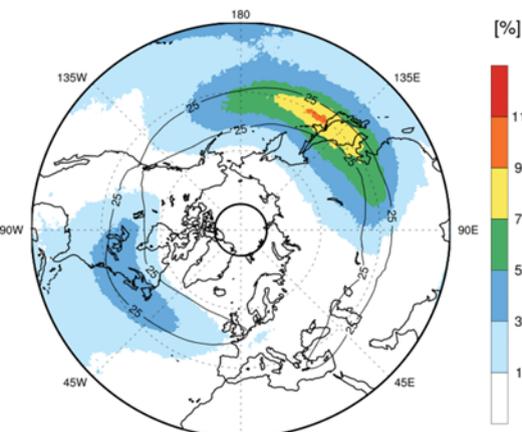
(b) TI2



(c) TI3

(d)  $N^2$ 

(e) Ri



(f) PV

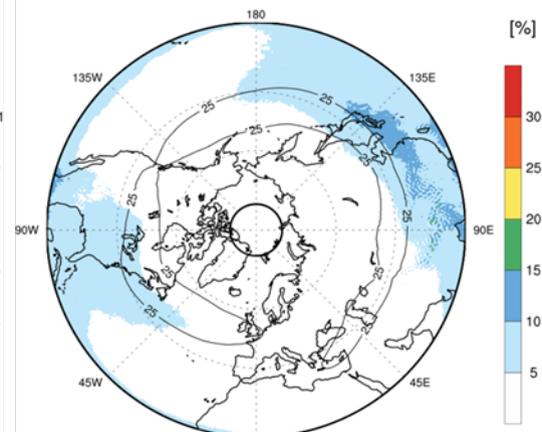
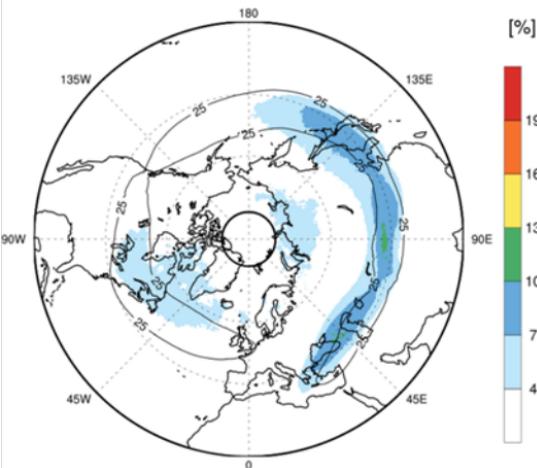
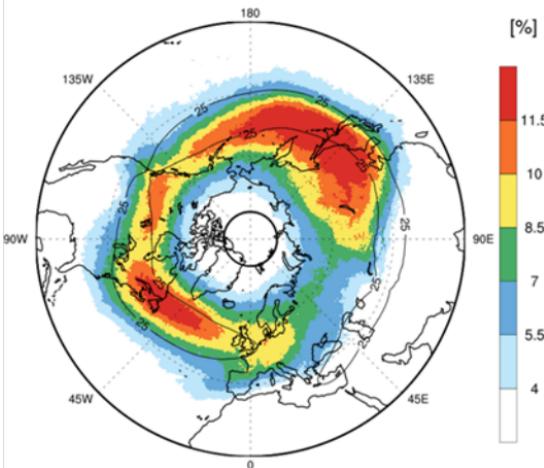


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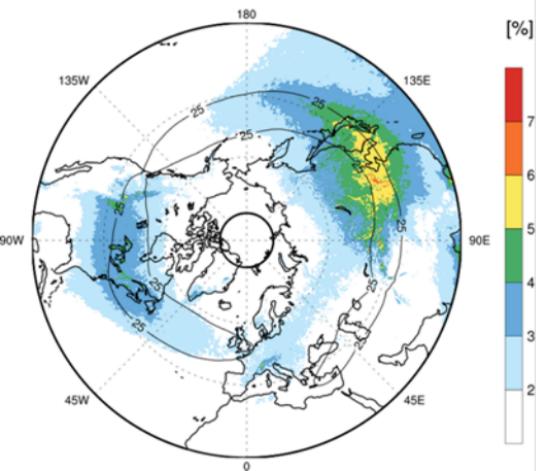
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(b) DEF



(c) -DIV



(d) DVT

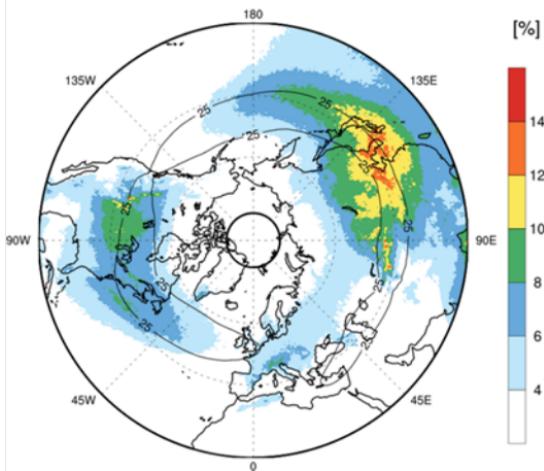


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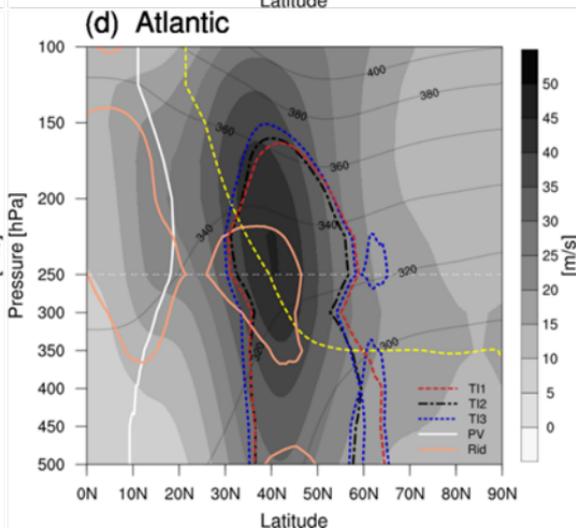
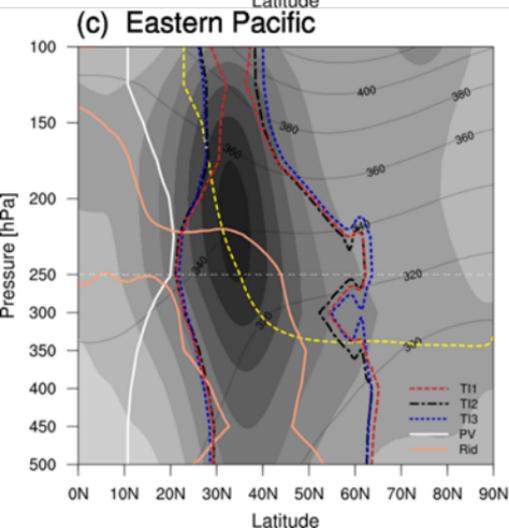
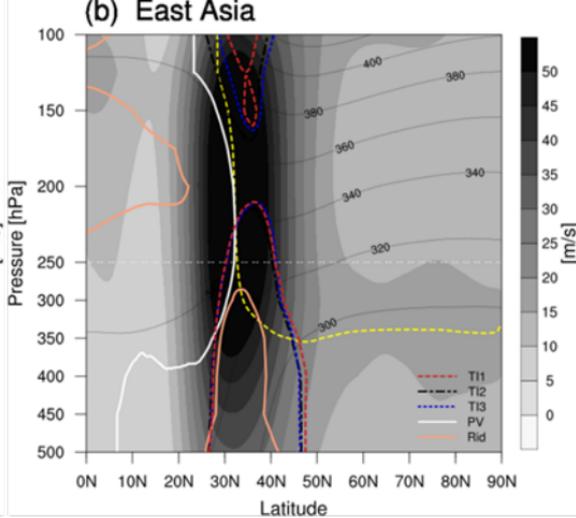
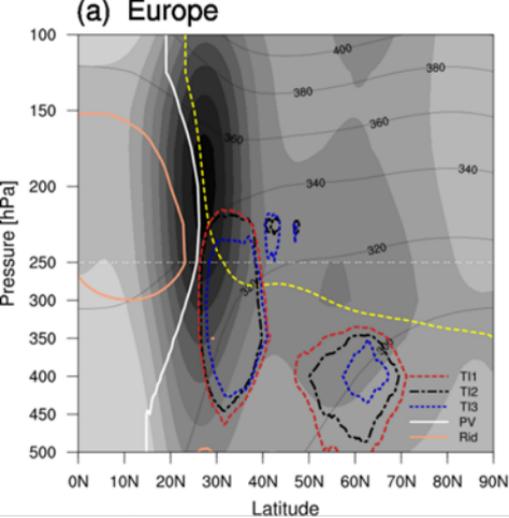


Figure8.

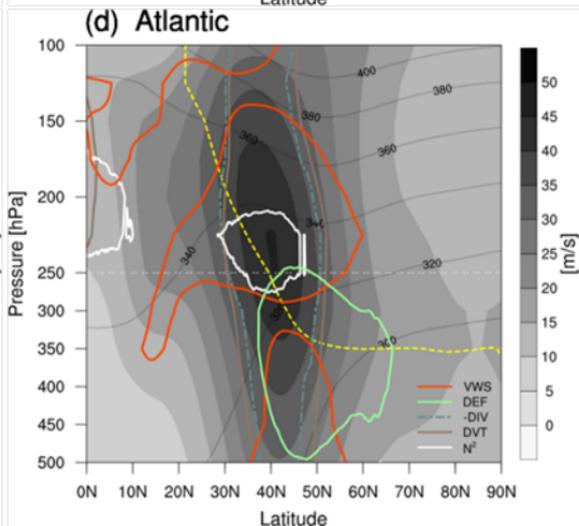
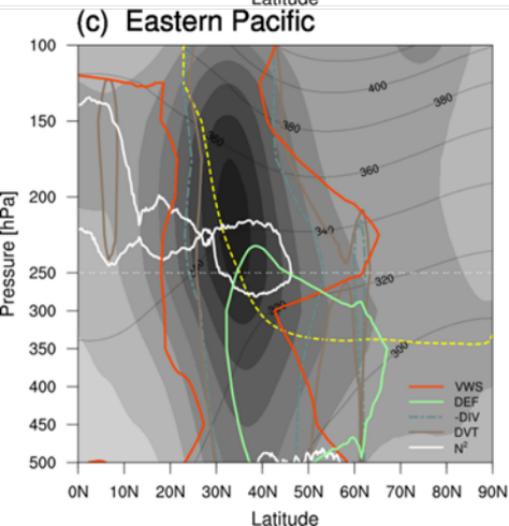
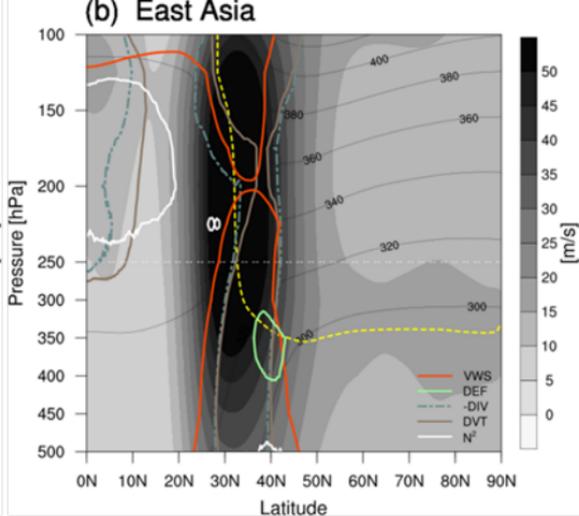
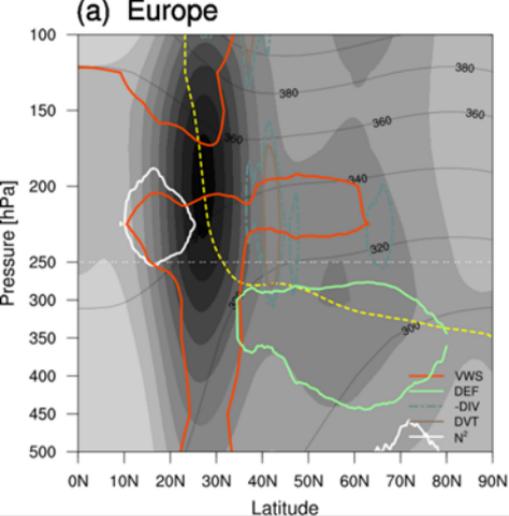


Figure9.

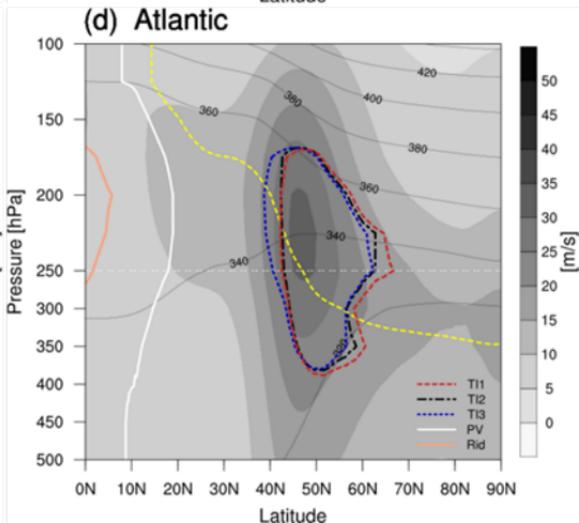
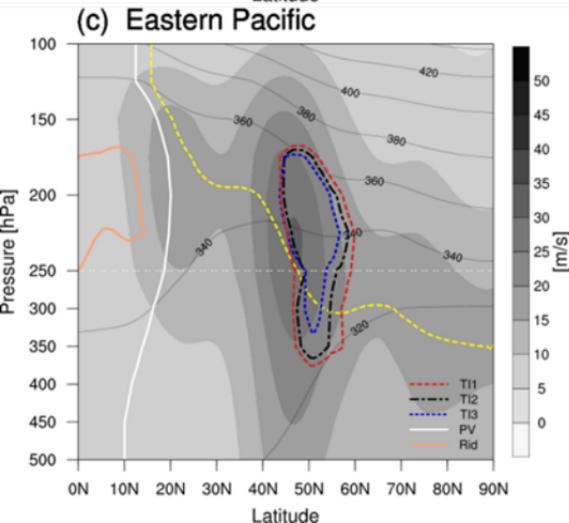
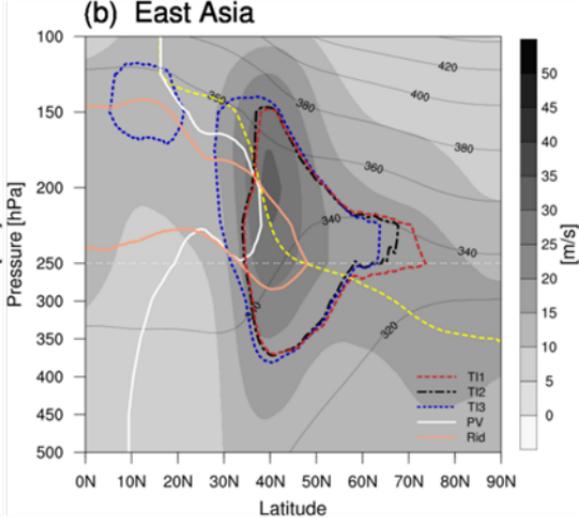
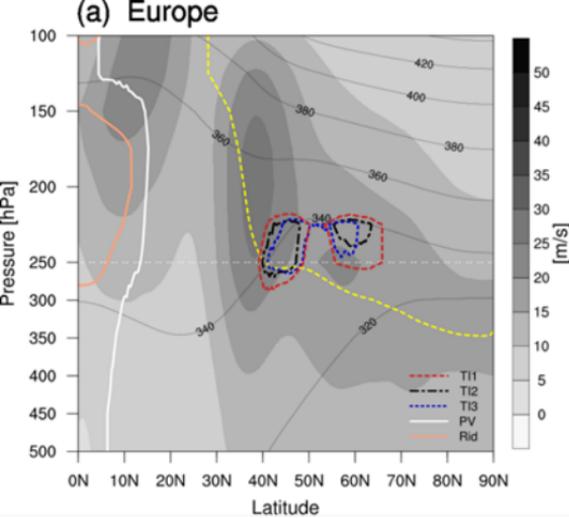


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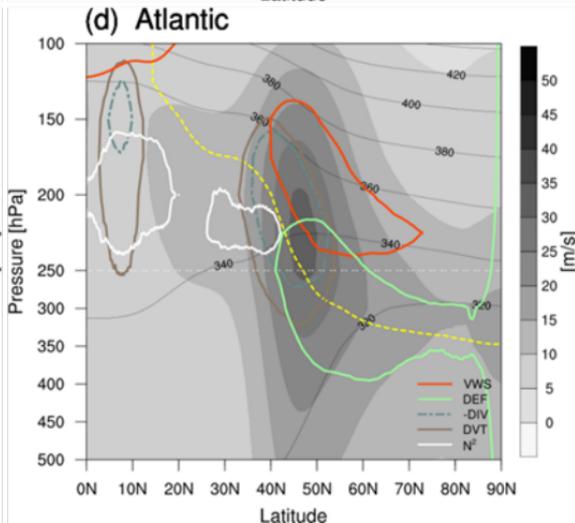
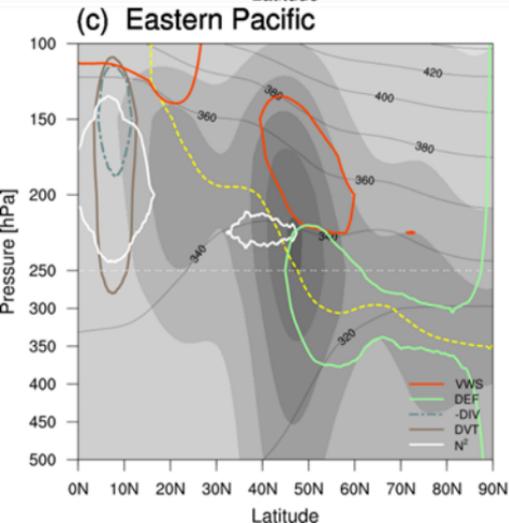
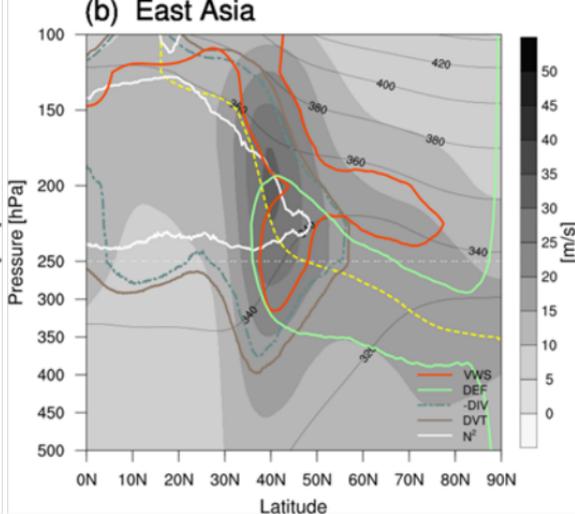
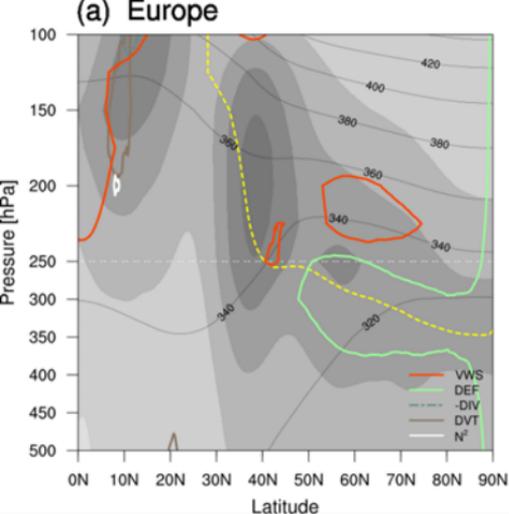


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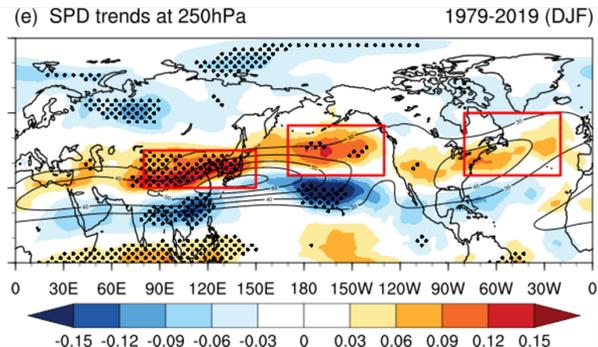
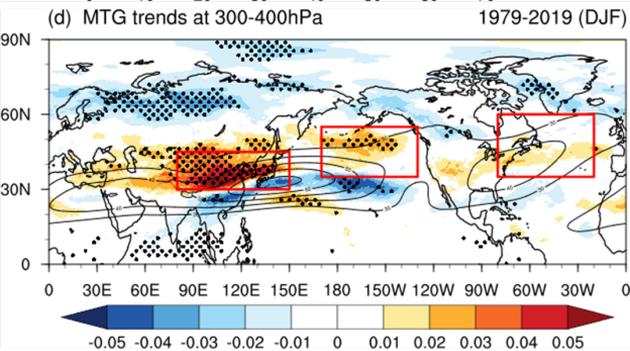
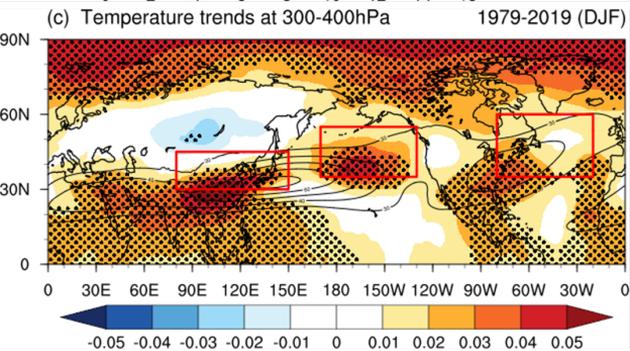
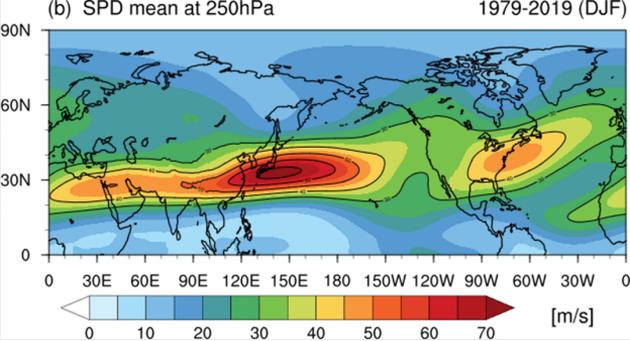
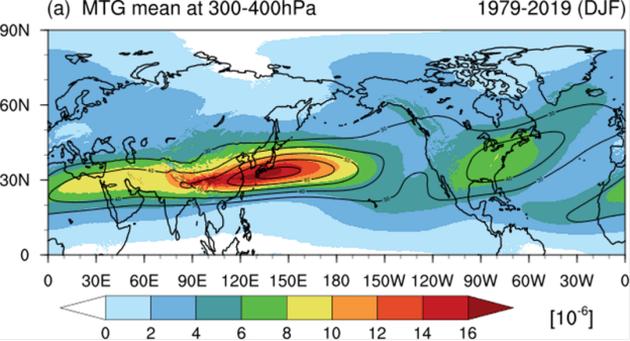


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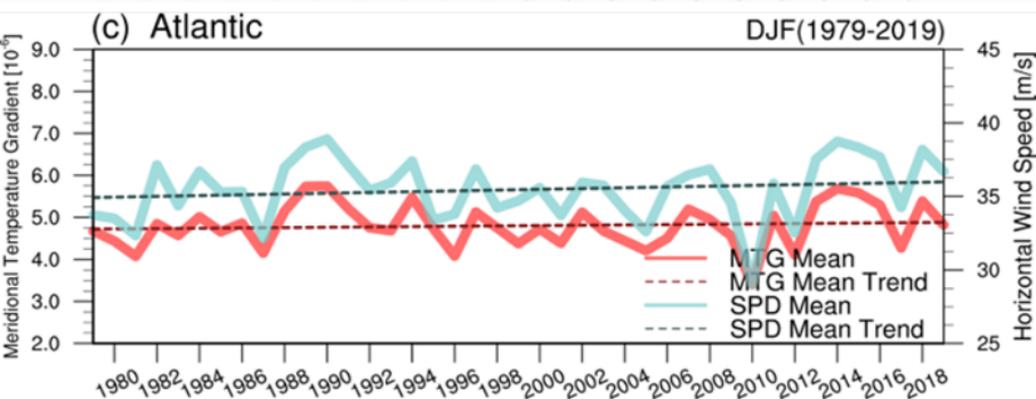
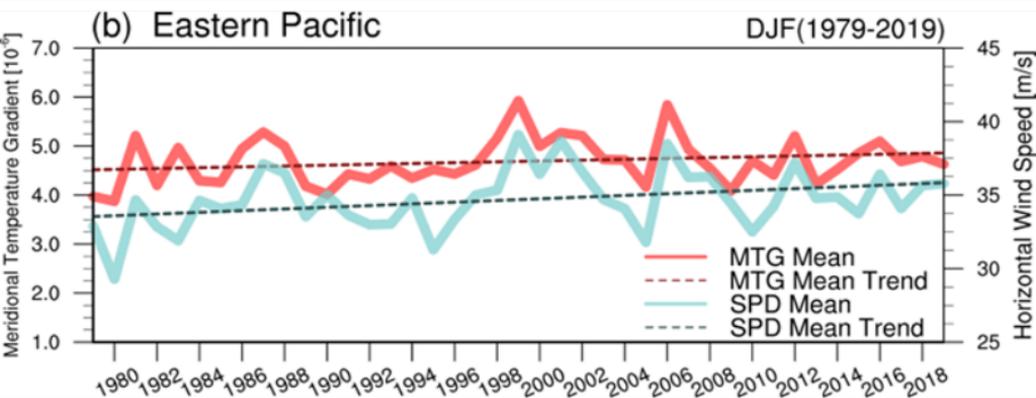
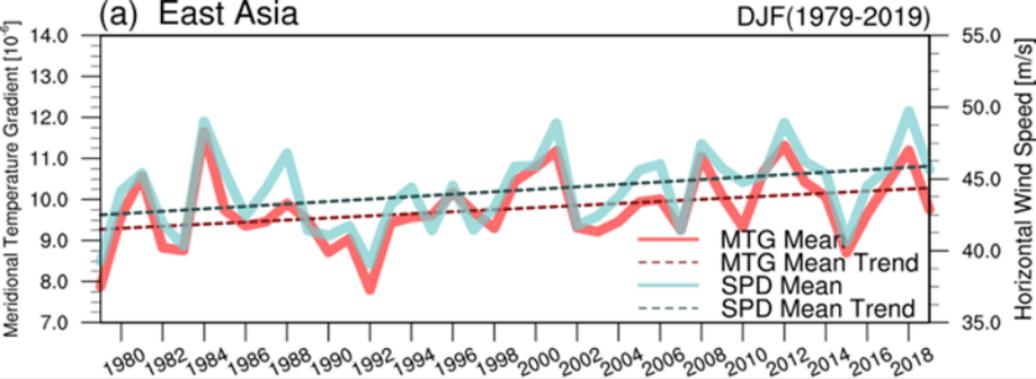


Figure13.

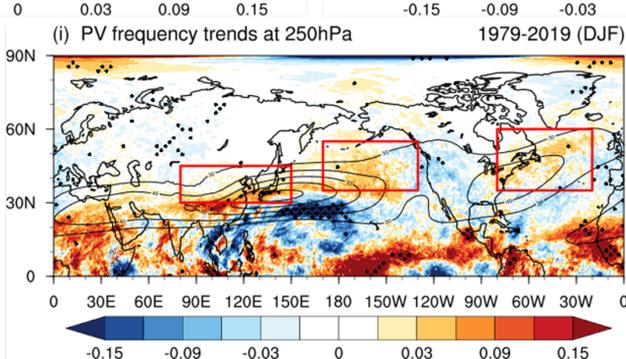
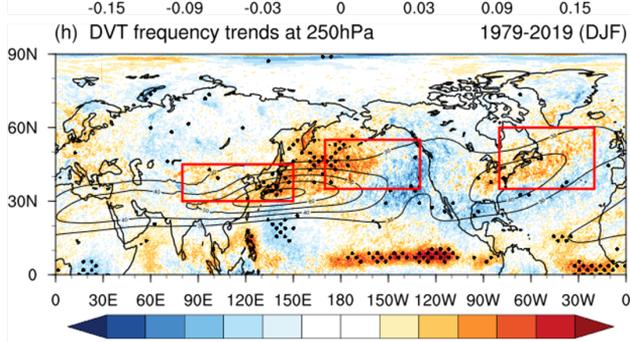
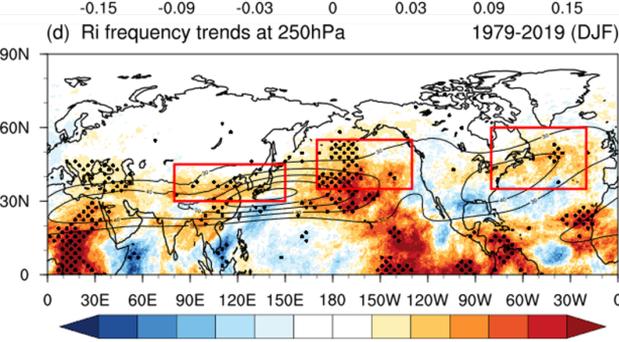
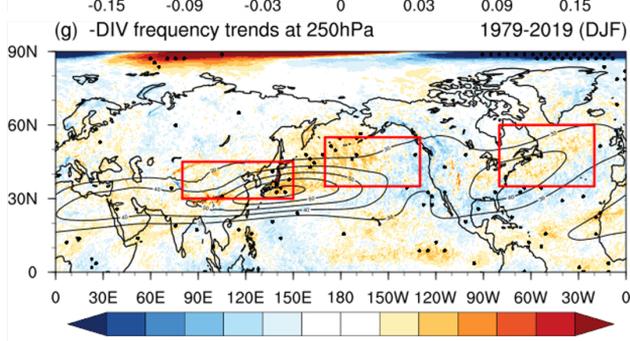
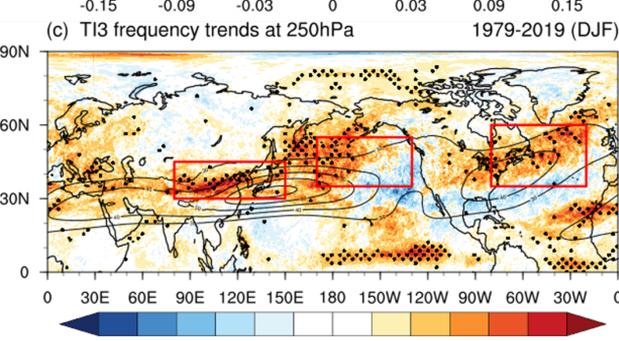
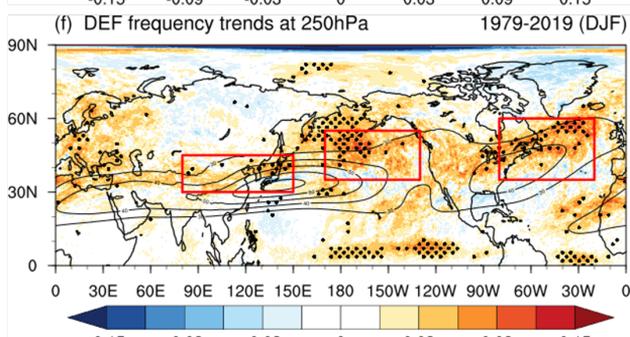
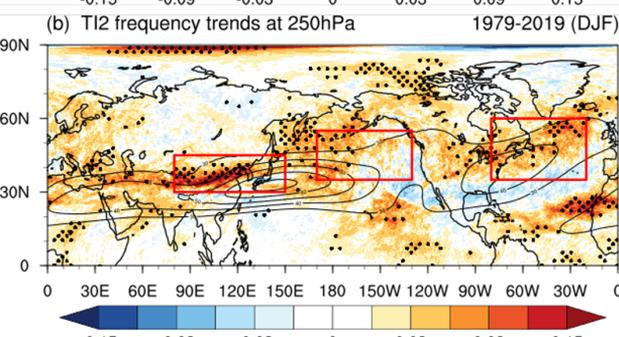
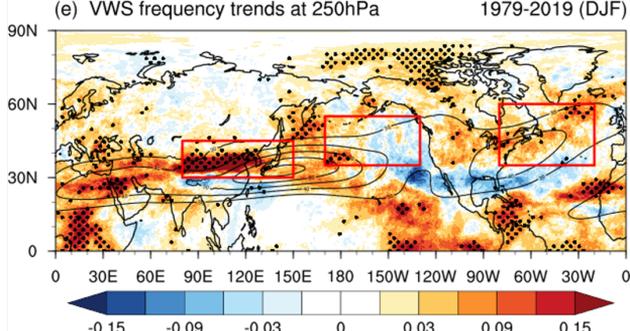
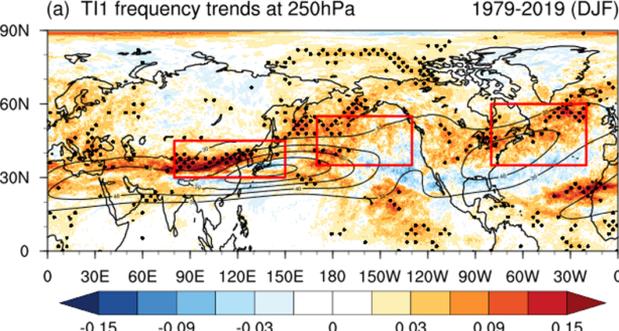


Figure14.

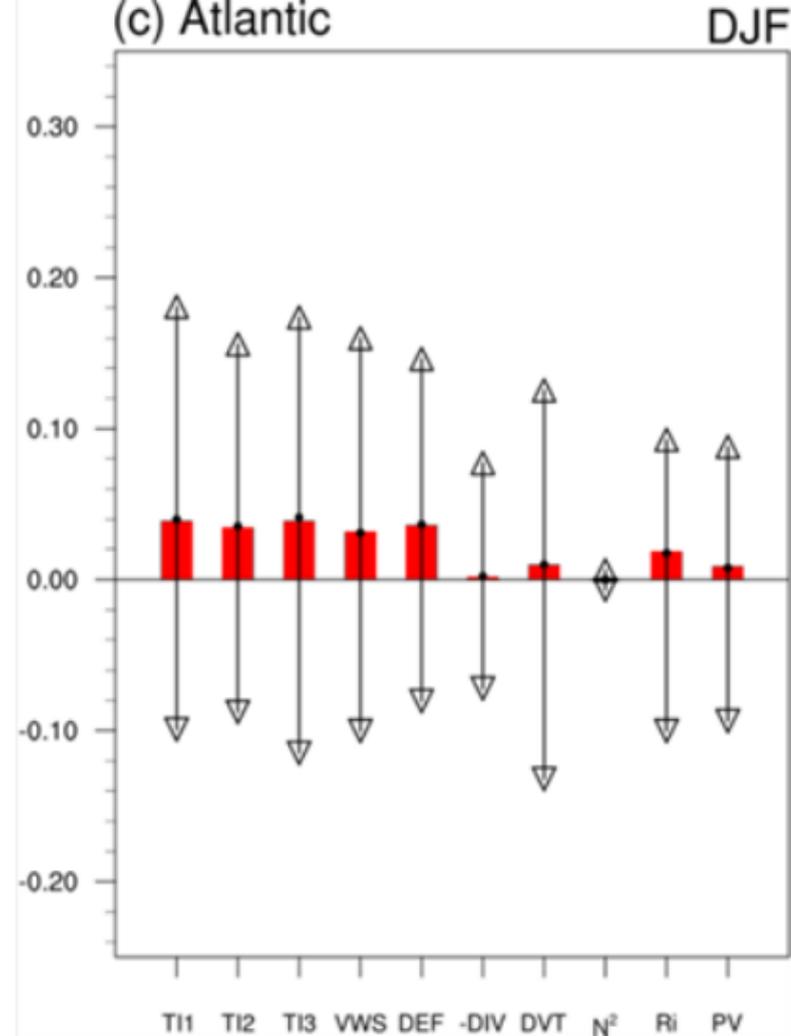
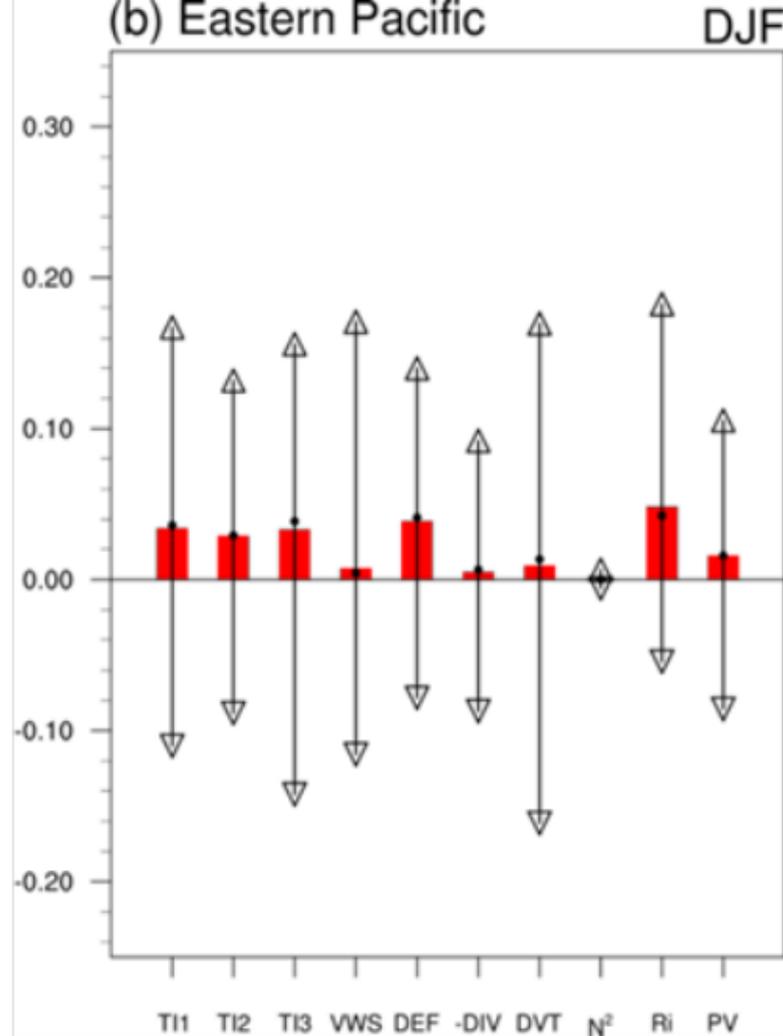
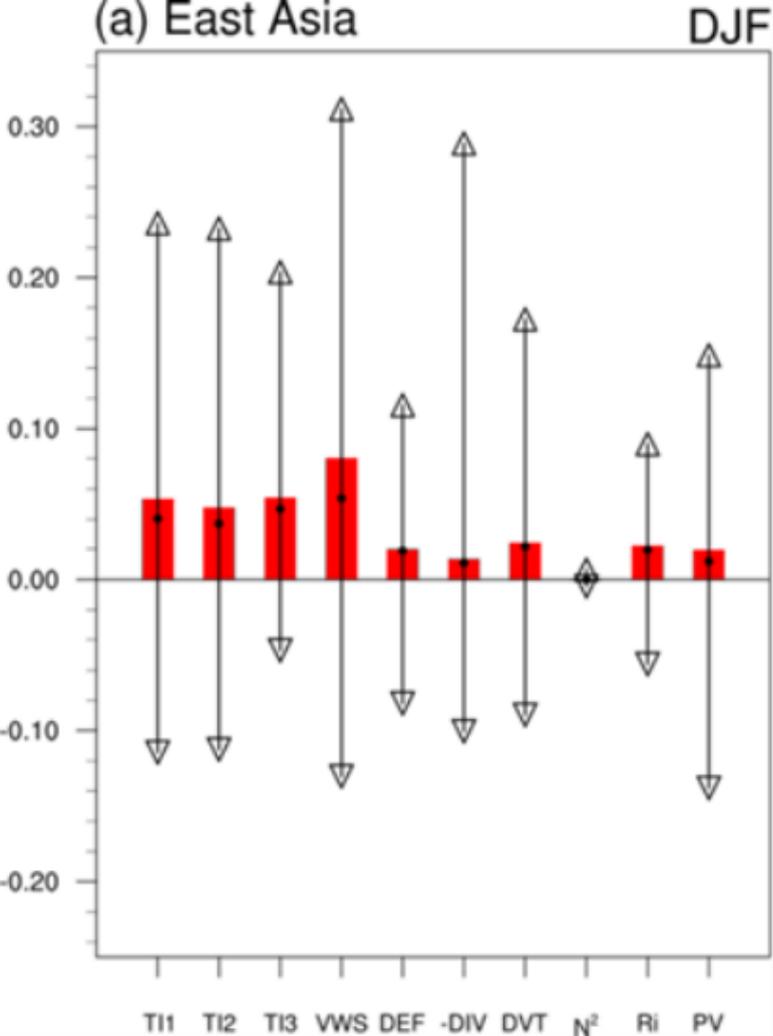
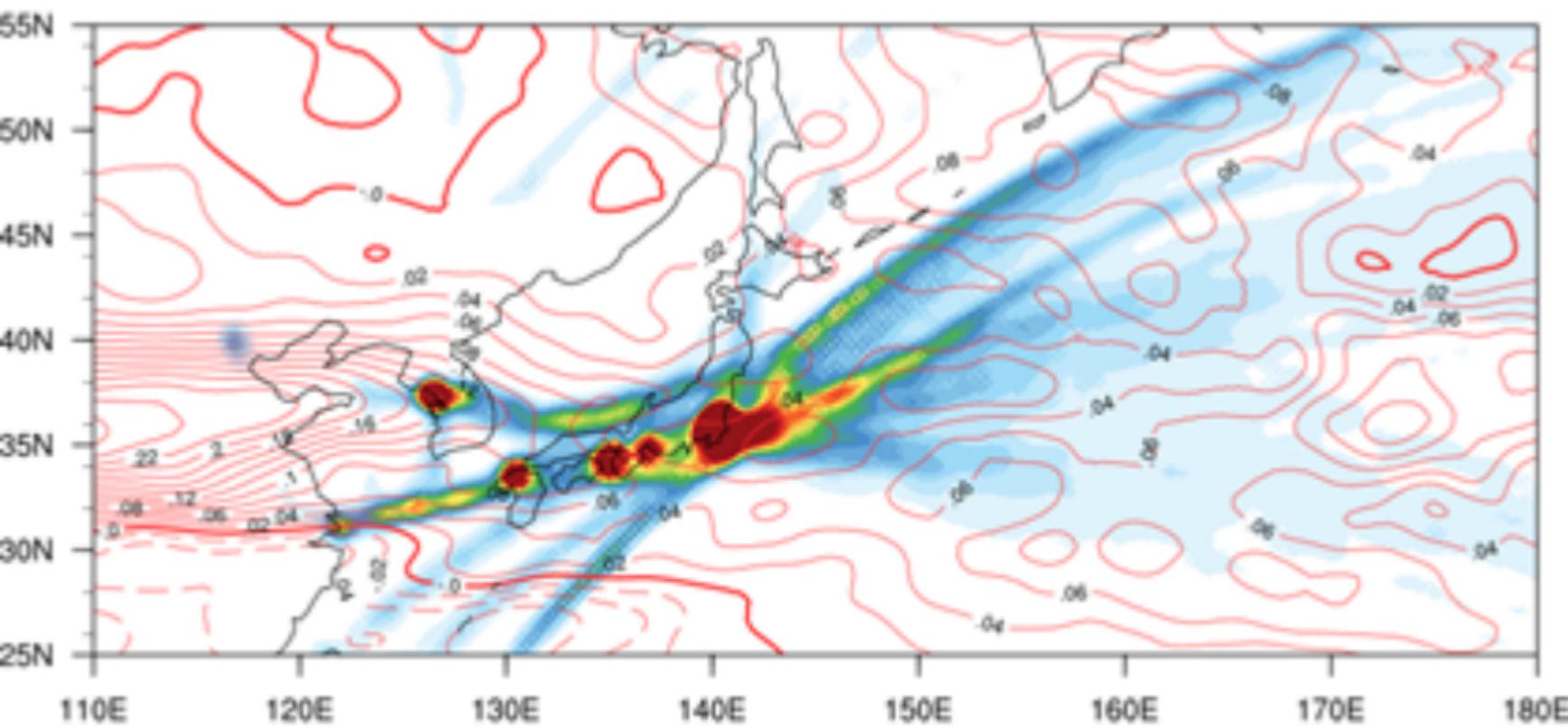


Figure15.

# EDR density (2016.01-2021.09)



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