

1 **Imaging of Small-Scale Heterogeneity and Absorption**  
2 **Using Adjoint Envelope Tomography: Results from**  
3 **Laboratory Experiments**

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9 **Key Points:**

- 10 • Adjoint Envelope Tomography is for the first time applied to image the scattering  
11 and absorption structure with the real data.
- 12 • The iterative inversion uses envelopes of ultrasound signals from embedded transduc-  
13 ers in a meter-sized reinforced concrete specimen.
- 14 • We successfully image the distribution of statistical parameters characterizing the  
15 small-scale heterogeneity and attenuation.

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**Abstract**

To complement the information provided by deterministic seismic imaging at length scales above a certain resolution limit we present the first application of Adjoint Envelope Tomography (AET) to experimental data. AET uses the full envelopes of seismic records including scattered coda waves to obtain information about the distribution of absorption and small-scale heterogeneity which provide complementary information about the investigated medium. Being below the resolution limit this small-scale structure cannot be resolved by conventional tomography but still affects wave propagation by attenuating ballistic waves and generating scattered waves. Using ultrasound data from embedded sensors in a meter-sized concrete specimen we image the distribution of absorption and heterogeneity expressed by the intrinsic quality factor  $Q^{-1}$  and the fluctuation strength  $\varepsilon$  that characterizes the strength of the heterogeneity. The forward problem is solved by modelling the 2-D multiple nonisotropic scattering in an acoustic medium with spatially variable heterogeneity and attenuation using the Monte-Carlo method. Gradients for the model updates are obtained by convolution with the back-propagated envelope misfit using the adjoint formalism in analogy to full waveform inversion. We use a late coda time window to invert for absorption and an earlier time window to infer the distribution of heterogeneity. The results successfully locate an area of salt concrete with increased scattering and concentric anomalies of intrinsic attenuation. The resolution test shows that the recovered anomalies constitute reasonable representations of internal structure of the specimen.

**Plain Language Summary**

No matter how small the structures are that a seismic imaging method is able to resolve, there is structure with smaller length scale. On the one hand this small-scale structure causes unwanted signals for conventional imaging approaches. But on the other hand it provides complementary information about the investigated medium. To turn this to our advantage we, for the first time, apply a new imaging method that uses the waves which are caused by the small-scale structure. Using data of an experiment in a concrete block we demonstrate that we can identify areas of anomalous small-scale structure. The results may help in the future to locate minute perturbations in the medium as they occur in the advent of volcanic eruptions or after earthquakes and to obtain new information about the geologic history of subsurface materials. The approach can be transferred to investigate man-made materials and structures, such as deteriorating concrete constructions.

**1 Introduction****1.1 Imaging small-scale heterogeneity**

Imaging methods that infer the internal structure of an object from measurements performed from the exterior are referred to as tomographic methods which are characterized by (A) the material property that is being imaged, (B) the physical observable that is measured and (C) the model for the physical interaction that connects (A) to (B). Examples for tomographic methods in geophysics include muon tomography (Lechmann et al., 2021) that uses the interaction of cosmic ray muons with matter to image the distribution of density or electrical resistivity tomography (Daily et al., 2004) that infers the subsurface resistivity structure from measurements of the electric field.

Seismic methods use the interaction of elastic waves with the subsurface to infer the distribution of elastic parameters. In the simplest case, the wave propagation velocity is reconstructed from observations of direct wave travel times (Aki, 1969). Depending on the interaction of elastic waves with the medium, an analysis of reflected waves is more successful – the approach of seismic reflection imaging (Gray et al., 2001) used to derive the subsurface impedance structure. A requirement for this approach to work is that the reflected arrival are more or less isolated in space and time meaning that it works best for single scattering.

65 Full waveform inversion (Tape et al., 2009, FWI) is a more advanced approach capable  
 66 of handling superimposed phases and multiple interactions with the medium. All seismic  
 67 tomography methods have a resolution limit that is influenced by the method, the number  
 68 of available seismic records and the wavelength. The structure at length scales above the  
 69 resolution limit is the target of the conventional method and is deterministically imaged  
 70 by the location and amplitude of the material property variability. However, the Earth’s  
 71 crust is heterogeneous on all scales (Sato et al., 2012) and while the structure above the  
 72 resolution limit can be resolved by the imaging method, the interaction of the wavefield  
 73 with the structure at a length scale below the resolution limit causes noise in the data. We  
 74 refer to this structure beyond the resolution limit as *heterogeneity*.

75 In this article we apply a new seismic tomography method that was introduced by  
 76 T. Zhang and Sens-Schönfelder (2022) as *Adjoint Envelope Tomography* (AET). AET uses  
 77 the envelopes of seismic records which represent the elastic energy of the seismic wavefield to  
 78 image the distribution of small-scale heterogeneity based on wave scattering that is described  
 79 with Radiative Transfer Theory (RTT).

80 Since deterministic imaging of the heterogeneities’ internal structure is impossible by  
 81 definition, AET resolves the statistical properties of the heterogeneity such as the strength of  
 82 the small scale variability of elastic parameters. It is important to image the distribution of  
 83 heterogeneity because it influences the wavefield by scattering which attenuates direct waves  
 84 and generates coda waves (Aki, 1969; Aki & Chouet, 1975) which arrive at later times. In a  
 85 more complex velocity structure as in the deep Earth, scattering can also cause precursory  
 86 arrivals (Shearer, 2007). Besides its effect on the wavefield, the distribution of heterogeneity  
 87 itself provides complementary information about the target medium which has been widely  
 88 used in imaging of volcanoes (De Siena et al., 2013, 2016) and the deep Earth (Margerin  
 89 & Nolet, 2003; Sens-Schönfelder et al., 2021). Since the value of tomographic imaging lies  
 90 in the geological interpretation, the complementary information about heterogeneity can  
 91 augment the conventional imaging of macroscopically averaged elastic properties.

92 Additional need for a tomographic method to image heterogeneity comes from the  
 93 monitoring of elastic properties. Scattered coda waves have been shown to be highly sensi-  
 94 tive to subtle changes of elastic properties (Poupinet et al., 1984; Snieder et al., 2002).  
 95 Combined with seismic interferometry of ambient noise, this has been used for continuous  
 96 monitoring of subtle changes in volcanoes, fault zones and environmentally stressed areas  
 97 (Sens-Schönfelder & Eulenfeld, 2019). The spatial sensitivity of this coda wave-based moni-  
 98 toring, however, depends on the distribution of the heterogeneity that generates the coda  
 99 waves (T. Zhang et al., 2021; van Dinther et al., 2021).

## 100 1.2 Imaging engineering targets

101 For the first test of AET on real data, we selected an environment that is more controlled  
 102 than a seismological field experiment. We chose a metric-sized concrete specimen with  
 103 embedded ultrasound transducers and known the internal structure. Imaging man-made  
 104 material, like concrete, is normally done with ultrasound which is analog to the seismic  
 105 waves. Ultrasound imaging in concrete mainly utilizes primary reflections but is strongly  
 106 affected by scattering and intrinsic attenuation (Anugonda et al., 2001; Turner & Anugonda,  
 107 2001). Consequently, imaging strong reflection anomalies, like tendon ducts in the concrete,  
 108 is primarily implemented using the ultrasonic pulse-echo method with the synthetic aperture  
 109 focusing technique (SAFT) (Schickert et al., 2003; Schickert, 2005). However, this approach  
 110 requires an array of transducers with a specific geometry of the sensors and high impedance  
 111 contrast of the anomaly, which restricts the ability to locate small-scale or weak contrasts  
 112 in concrete. Recently more advanced imaging methods, such as Reverse Time Migration  
 113 (RTM) have been adopted from oil exploration, improving the image quality, but still with  
 114 significant limitations (Grohmann et al., 2017). The same applies to the monitoring of weak  
 115 changes in the medium (Planès & Larose, 2013) where scattered coda waves have superior

116 sensitivity as it has been mentioned for seismic waves in the Earth. A localized change in  
 117 the velocity causing perturbations in the ultrasound wavefield can be detected using coda  
 118 wave interferometry (Poupinet et al., 1984; Snieder et al., 2002, CWI) and also located  
 119 (Pacheco & Snieder, 2005). With several years development, CWI has been widely applied  
 120 to localize small or weak changes in different media in response to stress (Larose & Hall,  
 121 2009; Stähler et al., 2011), temperature (Larose et al., 2006; Niederleithinger & Wunderlich,  
 122 2013) or damage induced changes (Schurr et al., 2011; Wang et al., 2020). For a detailed  
 123 review refer to Planès and Larose (2013). Recently, the results of several successful large  
 124 scale evaluation experiments (Y. Zhang et al., 2016; Niederleithinger et al., 2018; Zhong et  
 125 al., 2021) as well as an extension towards nonlinear material parameters (e.g. Xue et al.  
 126 (2021)) have been published.

127 Besides, the direct way of simulating the ultrasound wave propagation in concrete, there  
 128 are alternative ways to describe the elastic energy distribution in space and time. In the  
 129 diffusion theory, the diffusion constant and dissipation are used to describe the ultrasonic  
 130 scattering and intrinsic attenuation (Anugonda et al., 2001; Becker et al., 2003). These two  
 131 parameters can be estimated by comparison between the experimental data and theoretical  
 132 predictions using the diffusion model. This allows people to describe the effect of uniformly  
 133 distributed material damage (Ramamoorthy et al., 2004; Deroo et al., 2010). On the other  
 134 hand, the diffusion model has also been used for calculating the sensitivity kernel of CWI  
 135 in velocity changes or decorrelation which allows to image the spatial distribution of the  
 136 changes (Rossetto et al., 2011; Y. Zhang et al., 2016).

137 Although the diffusion model has been successfully implemented to simulate the wave  
 138 scattering and absorption, it is a simplification of the multiple-scattering process and hard to  
 139 extend to more realistic cases, like the early coda, short source-receiver distances, anisotropic  
 140 scattering or spatially variable heterogeneity. Wu (1985) first proposed the multiple scatter-  
 141 ing model and introduced the radiative transfer theory to seismology. To numerically  
 142 solve the radiative transfer equations, the Monte Carlo method was introduced (Gusev &  
 143 Abubakirov, 1987; Hoshiya, 1991), which allows for the ability to simulate wave scattering in  
 144 the spatially variable heterogeneity and intrinsic attenuation media (T. Zhang et al., 2021).  
 145 Instead of the diffusion constant and dissipation used in the diffusion model, the spatial  
 146 distribution of fluctuation strength  $\varepsilon$  in the random medium and the intrinsic quality factor  
 147  $Q^{-1}$  describe the spatial variability of scattering and absorption.

148 The simulation of energy propagation with spatially variable properties using RTT  
 149 allowed us to introduce the adjoint method initially developed in FWI (Tarantola, 1984;  
 150 Tromp et al., 2005; Fichtner et al., 2006, 2010) for the imaging of scattering and absorption  
 151 properties with scattered waves (T. Zhang & Sens-Schönfelder, 2022). In this paper, we  
 152 apply AET method to an ultrasound experiment in a block of reinforced concrete. Sec. 2  
 153 briefly introduces the methodology of AET. The experiment is described in Sec. 3 including  
 154 the data processing and the investigation of the background parameters used as starting  
 155 model in the iterative inversion. The inversion for absorption and scattering properties  
 156 are conducted individually with later and early coda waves, respectively, in Sec. 4. Sec. 5  
 157 contains the interpretation of the inversion results and analysis of the resolution tests.

## 158 **2 Adjoint envelope tomography for scattering and absorption**

### 159 **2.1 Radiative transfer theory**

160 Adjoint envelope tomography is based on Radiative Transfer Theory (RTT) that de-  
 161 scribes the propagation of energy in scattering media. RTT was originally developed to  
 162 investigate the propagation of light through atmosphere (Chandrasekhar, 1960; Apresyan  
 163 et al., 1996) and was later introduced in seismology by Wu (1985). Assuming that the  
 164 phase of interfering scattered waves is randomized, RTT uses the additivity of wave energy  
 165 rather than wave amplitudes which allows to use a statistical description of the medium

166 heterogeneity instead of a deterministic one that is required for the wave equation. For  
 167 the goal of imaging the heterogeneity, it is necessary to model energy propagation in the  
 168 presence of spatial variability in heterogeneity and intrinsic attenuation. The 2-D acoustic  
 169 radiative transfer equation with spatially variable heterogeneity and absorption is written  
 170 as (T. Zhang & Sens-Schönfelder, 2022):

$$\begin{aligned} \left( \frac{\partial}{\partial t} + \mathbf{n}\alpha_0 \cdot \nabla \right) E(\mathbf{r}, \mathbf{n}, t) &= - \left( \alpha_0 g_0(\varepsilon^2(\mathbf{r})) + \frac{\omega}{Q(\mathbf{r})} \right) E(\mathbf{r}, \mathbf{n}, t) \\ &+ \int_{2\pi} \alpha_0 g(\mathbf{n}, \mathbf{n}', \varepsilon^2(\mathbf{r})) E(\mathbf{r}, \mathbf{n}', t) d\mathbf{n}' \end{aligned} \quad (1)$$

171 where  $E(\mathbf{r}, \mathbf{n}, t)$  is the specific energy density propagating in direction  $\mathbf{n}$  which is part of  
 172 the total energy density  $E(\mathbf{r}, t)$  at the position  $\mathbf{r}$  with lapse time  $t$ .  $E(\mathbf{r}, t)$  can be compared  
 173 to seismogram envelopes and constitutes the observable in AET.  $\alpha_0$  indicates the velocity  
 174 of the acoustic wave and  $\omega$  is the angular frequency.  $Q^{-1}(\mathbf{r})$  is the inverse intrinsic quality  
 175 factor.  $g_0(\varepsilon^2(\mathbf{r}))$  is the total scattering coefficient that is defined as the angular integral of  
 176 the scattering coefficient  $g(\mathbf{n}, \mathbf{n}', \varepsilon^2(\mathbf{r}))$  as is given by (Wegler et al., 2006):

$$g(\theta, \varepsilon^2(\mathbf{r})) = k_0^3 \Phi \left( 2k_0 \sin \frac{\theta}{2}, \varepsilon^2(\mathbf{r}) \right) \quad (2)$$

177 where  $k_0$  is the wavenumber and  $\theta$  is the scattering angle between the incident direction  $\mathbf{n}$   
 178 and the direction of the scattered wave  $\mathbf{n}'$ .  $\Phi$  is the local power spectral density function  
 179 (PSDF) of the spatial parameter fluctuations in the heterogeneous medium. The PSDF is  
 180 the statistical characterization of the small-scale medium heterogeneity which influences the  
 181 energy propagation through its effect on the scattering coefficient. In the present case an  
 182 exponential type PSDF is assumed:

$$\Phi(\mathbf{k}, \varepsilon^2(\mathbf{r})) = \frac{2\pi a^2 \varepsilon^2(\mathbf{r})}{(1 + a^2 \mathbf{k}^2)^{3/2}}, \quad (3)$$

183 with the wave vector  $\mathbf{k}$ . As shown in Eq. 3, the RTT uses two parameters to describe the  
 184 heterogeneity of the propagation medium – the correlation length  $a$  and the strength of the  
 185 parameter fluctuations  $\varepsilon$ . We assume here that the correlation length  $a$  is constant through-  
 186 out space and that the spatial variability of scattering and attenuation is fully described  
 187 by the distribution of  $\varepsilon^2(\mathbf{r})$  and  $Q^{-1}(\mathbf{r})$ . This representation allows us to model the energy  
 188 propagation in any spatially variable model  $\mathbf{m} = \{\varepsilon^2(\mathbf{r}), Q^{-1}(\mathbf{r})\}$ .

## 189 2.2 Adjoint method and iterative inversion

190 The concept of AET is in full analogy to the adjoint method in waveform tomography  
 191 (Tarantola, 1984; Tromp et al., 2005; Fichtner et al., 2006). The inversion of seismogram  
 192 envelopes for heterogeneity and absorption models starts with the definition of the misfit  
 193 function that quantifies how well the model predictions match the observed data. In the  
 194 present case the misfit function measures the match between the observed envelope of ul-  
 195 trasonic waves  $D(\mathbf{r}_j, t; \mathbf{r}_i)$  and the synthetic energy density  $E(\mathbf{r}_j, t; \mathbf{r}_i, \mathbf{m})$  simulated in the  
 196 current model  $\mathbf{m}$ .  $\mathbf{r}_i$  and  $\mathbf{r}_j$  represent the positions of the  $i$ -th source and the  $j$ -th receiver,  
 197 respectively. Note that the envelope defined here is the the squared velocity envelope. The  
 198 least-squares misfit function is defined as:

$$\chi(\mathbf{m}) = \sum_i \sum_j \frac{1}{2} \int_{T_1}^{T_2} \|E(\mathbf{r}_j, t; \mathbf{r}_i, \mathbf{m}) - D(\mathbf{r}_j, t; \mathbf{r}_i)\|^2 dt. \quad (4)$$

199 The integration time window  $[T_1, T_2]$  can contain the ballistic waves or coda waves or it can  
 200 comprise the full envelope including both ballistic and coda waves. But for simplification of  
 201 derivation, we redefine the integration bound in Eq. 4 as  $[0, T]$  where  $T = T_2 - T_1$ . The goal  
 202 of the inversion is to minimize  $\chi(\mathbf{m})$  by adapting the model parameters  $\mathbf{m}$ . This process  
 203 requires knowledge of the Fréchet derivative that we denote  $\delta\chi(\mathbf{m})$  and which describes the

204 derivative of the misfit function  $\chi$  with respect to changes in the model  $\mathbf{m}$ .  $\delta\chi(\mathbf{m})$  could  
 205 be calculated explicitly using finite differences on each parameter in the model vector  $\mathbf{m}$   
 206 separately, which, however, is very ineffective since the dimension of  $\mathbf{m}$  usually is large.  
 207 Instead we use the adjoint method that greatly simplifies the calculation of the Fréchet  
 208 derivative. We first write the Fréchet derivative as an integral over all model parameters,  
 209 i.e. and integral over space  $V$  since we face an imaging problem:

$$\delta\chi(\mathbf{m}) = \int_V {}^\varepsilon K^\chi(\mathbf{r}') \delta\varepsilon^2(\mathbf{r}') dV(\mathbf{r}') + \int_V {}^Q K^\chi(\mathbf{r}') \delta Q^{-1}(\mathbf{r}') dV(\mathbf{r}') . \quad (5)$$

210 Here  ${}^\varepsilon K^\chi(\mathbf{r}')$  and  ${}^Q K^\chi(\mathbf{r}')$  are the scattering and absorption misfit kernels, respectively,  
 211 with respect to the changes in scattering and absorption properties  $\delta\varepsilon^2(\mathbf{r}')$  and  $\delta Q^{-1}(\mathbf{r}')$ . In  
 212 T. Zhang and Sens-Schönfelder (2022), we used the adjoint formalism to derive expressions  
 213 for the misfit kernels:

$$\begin{aligned} {}^\varepsilon K^\chi(\mathbf{r}') &= 2\pi \sum_i \sum_j \alpha_0 \frac{g_0(\varepsilon_0^2)}{\varepsilon_0^2} \int_0^T \int_{2\pi} E^\dagger(\mathbf{r}', T - t', -\mathbf{n}; \mathbf{r}_j) \\ &\quad \times \left[ \int_{2\pi} f(\mathbf{n}, \mathbf{n}') E(\mathbf{r}', t', \mathbf{n}'; \mathbf{r}_i) d\mathbf{n}' - E(\mathbf{r}', t', \mathbf{n}; \mathbf{r}_i) \right] d\mathbf{n} dt' \end{aligned} \quad (6)$$

214 and

$${}^Q K^\chi(\mathbf{r}') = -2\pi \sum_i \sum_j \omega \int_0^T \int_{2\pi} E^\dagger(\mathbf{r}', T - t', -\mathbf{n}; \mathbf{r}_j) E(\mathbf{r}', t', \mathbf{n}; \mathbf{r}_i) d\mathbf{n} dt' . \quad (7)$$

215  $g_0(\varepsilon_0^2)$  is the total scattering coefficient under the condition that  $\varepsilon = \varepsilon_0$ , which involves  
 216 normalization by  $\varepsilon_0^2$  referring to Eq. 2 and 3.  $f(\mathbf{n}, \mathbf{n}')$  indicates the normalized differential  
 217 scattering cross section.  $E^\dagger(\mathbf{r}', t', \mathbf{n}; \mathbf{r}_j)$  is the adjoint energy field generated by the adjoint  
 218 source  $F^\dagger(t, \mathbf{r}'')$  which contains the information about misfit.  $E^\dagger$  is obtained as:

$$E^\dagger(\mathbf{r}', t', \mathbf{n}; \mathbf{r}_j) = \int_V \int_0^{t'} G^\dagger(\mathbf{r}', t' - t, \mathbf{n}; \mathbf{r}_j) F^\dagger(t, \mathbf{r}'') dt dV(\mathbf{r}'') \quad (8)$$

219 Normally,  $G^\dagger(\mathbf{r}', t' - t, \mathbf{n}; \mathbf{r}_j)$  should be the adjoint Green's function with the differing scatter-  
 220 ing coefficients at the source position  $\mathbf{r}_j$  (T. Zhang & Sens-Schönfelder, 2022). But in this  
 221 acoustic case,  $G^\dagger(\mathbf{r}', t' - t, \mathbf{n}; \mathbf{r}_j)$  is the same as the Green's function  $G(\mathbf{r}', t' - t, \mathbf{n}; \mathbf{r}_j)$  since  
 222 there is no energy pattern changed (Margerin et al., 2016). The adjoint source  $F^\dagger(t, \mathbf{r}'')$  is  
 223 derived from the misfit function as:

$$F^\dagger(t, \mathbf{r}'') = [E(\mathbf{r}_j, T - t; \mathbf{r}_i, \mathbf{m}) - D(\mathbf{r}_j, T - t; \mathbf{r}_i)] \delta(\mathbf{r}'' - \mathbf{r}_j) \quad (9)$$

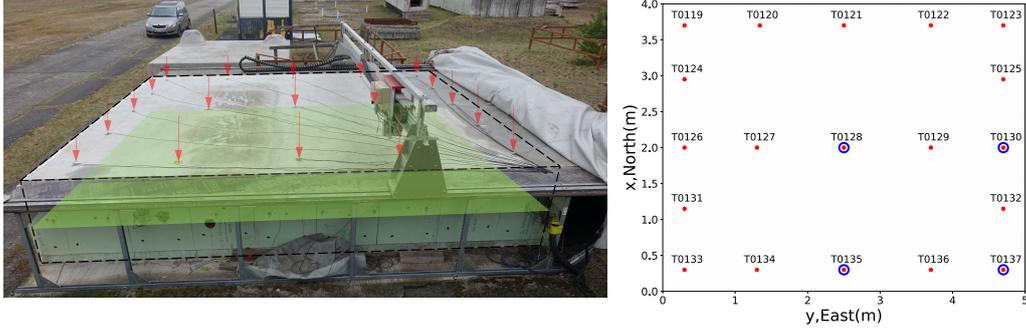
224 where  $\delta(\mathbf{r}' - \mathbf{r}_j)$  is the Dirac function. Expression 9 shows how the match between model  
 225 prediction and observed data enters the Fréchet derivative.

226 The iterative inversion starts with an initial model  $\mathbf{m}_0 = \{\mathbf{m}_0^\varepsilon = \varepsilon_0^2(\mathbf{r}), \mathbf{m}_0^Q = Q_0^{-1}(\mathbf{r})\}$ .  
 227 The forward and adjoint fields are simulated with this initial model to calculate the scatter-  
 228 ing and absorption misfit kernels  ${}^\varepsilon K_0^\chi(\mathbf{r}')$  and  ${}^Q K_0^\chi(\mathbf{r}')$  based on Eq. 6 and 7 which represent  
 229 the gradients of the misfit function. To minimize the misfit function, the search direction  
 230  $\{\mathbf{h}_k^\varepsilon, \mathbf{h}_k^Q\}$  of the model is calculated from the gradients  $\{{}^\varepsilon \mathbf{K}_k^\chi, {}^Q \mathbf{K}_k^\chi\}$  using L-BFGS method.  
 231 Note when  $k = 0$ , the steepest decent method is used instead of L-BFGS method. With the  
 232 appropriate step length, the model  $\mathbf{m}_k$  of iteration  $k$  will be updated  $\mathbf{m}_{k+1}$  as:

$$\mathbf{m}_{k+1}^\varepsilon = \mathbf{m}_k^\varepsilon + \eta_k^\varepsilon \mathbf{h}_k^\varepsilon \quad (10)$$

$$\mathbf{m}_{k+1}^Q = \mathbf{m}_k^Q + \eta_k^Q \mathbf{h}_k^Q . \quad (11)$$

233 where  $\eta$  is the step length. More details about L-BFGS and the choice of step length refer  
 234 to T. Zhang and Sens-Schönfelder (2022). The final model is obtained by repeating the  
 235 workflow described above until the misfit converges.



**Figure 1.** Left panel: the photo of the concrete specimen. The red arrows indicate the location of ultrasonic transducers and the green shading indicates the plane where transducers were embedded. Right panel: the illustration of the transducer locations in the concrete specimen. The red points indicate nineteen ultrasonic transducers that serve as the energy sources as well as receivers. The blue circles are four temperature sensors that will be discussed in Section. 5.2. The orientation defined here is not the natural geographic coordinate.

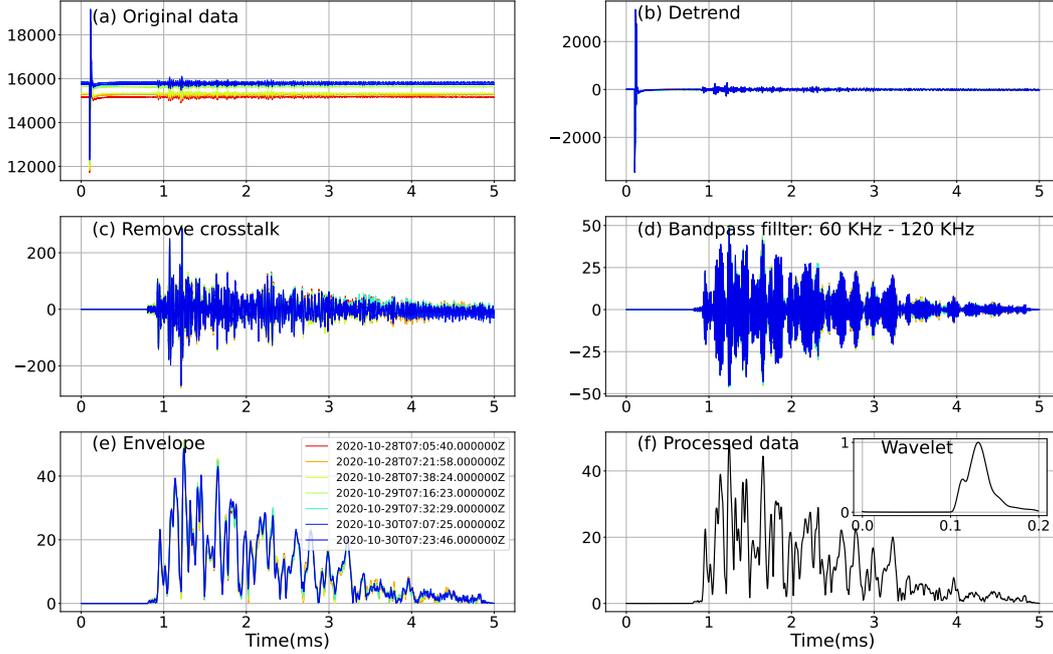
### 3 Experiments

To test the adjoint envelope tomography against real data we choose an acoustic experiment conducted in a reinforced concrete specimen at the German Federal Institute for Material Science and Testing (BAM). The sample has a size of  $4\text{ m} \times 5\text{ m}$  with a height of  $0.8\text{ m}$  as shown in Fig. 1 (Epple et al., 2020). In this paper, the orientation defined as shown in Fig. 1 is for convenience to discuss and not the natural geographic coordinate. All directions in the following discussion refer to this definition of orientation. 19 ultrasonic transducers are embedded in the central layer of the specimen at  $0.4\text{ m}$  height. The transducers serve as the energy sources of ultrasound with a center frequency of  $60\text{ kHz}$  and as receivers. Both emission and recording of acoustic waves is laterally isotropic. This setup provides for  $19 \times 18$  source-receiver combinations. The experiment has the following advantages for the present purpose: (A) due to the rather flat shape of the specimen and the placement of the transducers in its central plane we can restrict the energy propagation to the lateral directions and simplify the problem to 2D. (B) The boundary conditions of the lateral edges of the specimen can easily modeled using mirror sources. (C) The embedded sensors that are located  $30\text{ cm}$  away from the free surfaces reduces the excitation of surface waves which are not treated in our approach.

#### 3.1 Data processing

The ultrasound signals were recorded with sampling interval of  $0.5\ \mu\text{s}$  for a lapse time of  $5\text{ ms}$ . Seven identical experiments were performed on three consecutive days in October between 7:00 and 8:00 am. An illustration of original data excited at source T0120 and recorded by receiver T0135 is shown in Fig. 2(a). The first 200 samples, i.e.  $0 - 0.1\text{ ms}$  precede the signal transmission and are recorded to control the noise level (Niederleithinger et al., 2018). The impulse at  $1\text{ ms}$  lapse time is visible on all sensors and is caused by cross-talk between the high voltage source signal and the recording sensors. Data is detrended by subtracting its mean (Fig. 2(b)). The cross-talk is used to extract the envelope of the source signal and is then removed from the record (Fig. 2(c)) which band-pass filtered between  $60$  and  $120\text{ kHz}$  to remove the high-frequency noise (Fig. 2(d)). The envelope of the filtered signal is extracted using the Hilbert transform (Fig. 2(e)). Envelopes of the repeated experiments are averaged to obtain the final envelope (Fig. 2(f)) for the inversion.

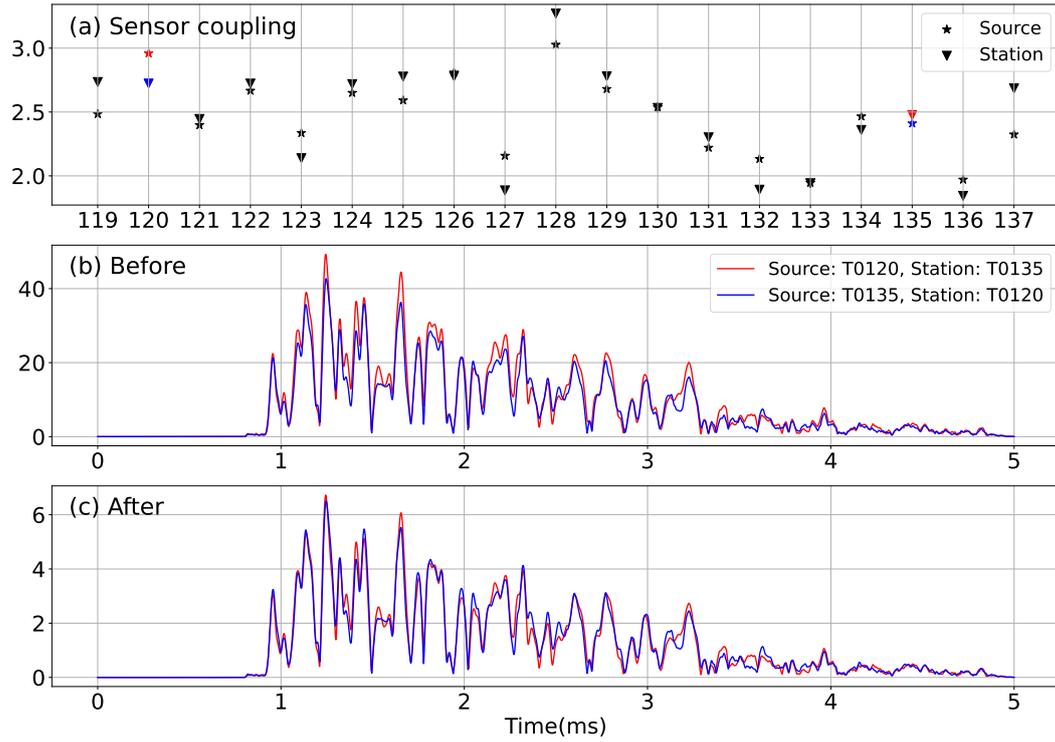
Source: T0120 Station: T0135



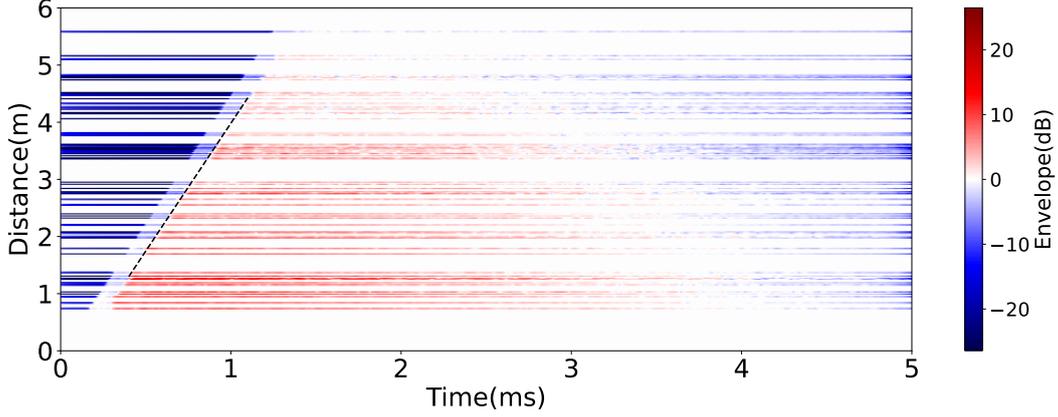
**Figure 2.** The illustration of data processing for one source-receiver combination (Source T0120 and Receiver T0135): (a) the original data recorded in seven identical experiments; (b) the detrended data by subtracting its mean; (c) the cross-talk removed from the record; (d) the filtered data with band-pass filtered between 60 and 120  $kHz$ ; (e) the envelope of the filtered signal using the Hilbert transform; (f) the averaged envelope of the repeated experiments and the inset is the final envelope of the source signal.

266 The same processing is applied to the cross-talk to obtain the final envelope of the source  
 267 signal (Fig. 2(f) inset).

268 From the processed envelopes we noticed that certain sensors systematically recorded  
 269 smaller amplitudes than others, or excited less energetic waves. We attribute this to variable  
 270 sensor coupling including the conversion between electrical and mechanical signals as well  
 271 as the mechanical coupling between the transducer and the concrete. We estimate the  
 272 coupling using the coda normalization method (Sato et al., 2012) which states that the signal  
 273 envelopes in the late coda should be independent of location due to the equal distribution of  
 274 elastic energy. We estimate one coupling coefficient for each transducer acting as source and  
 275 receiver, separately by averaging the late coda envelope (3.5 - 4.7 ms) from the respective  
 276 source or recorded at the respective station (Fig. 3(a)). Since the transducers act both  
 277 as source and receiver, the coupling should have similar effects on both the emission and  
 278 recording. This is consistent with the observations in Fig. 3(a). The influence on the  
 279 envelope data from the  $i$ -th source to the  $j$ -th station is eliminated by dividing by the  
 280 corresponding values in Fig. 3(a). An illustration of the coupling effect is shown in Fig. 3(b).  
 281 The blue and red curves indicate two combinations exchanging the source and the station,  
 282 which should be identical due to reciprocity. However, the sensor coupling introduces a  
 283 difference between two curves shown in Fig. 3(b) but can be corrected using the coupling  
 284 corrections (Fig. 3(c)).



**Figure 3.** The illustration of removing the effect from sensor coupling: (a) the coupling coefficients for each transducer acting as source (stars) and receiver (inverted triangles); (b) the blue and red curves indicate two combinations exchanging the source and the station, which should be identical due to reciprocity but not because of sensor coupling; (c) the corrected traces after using the coupling corrections (coupling coefficients used for the blue and red curves shown in (a) with the same color).



**Figure 4.** The estimation for the velocity of the wave in the concrete specimen. All traces are displayed by the logarithmic scale with the exact distance between the source and the receiver. The dashed line indicates the boundary between the noise level (blue) and the wave signal (red), whose slope is  $4.475 \text{ m} \cdot \text{ms}^{-1}$ .

### 3.2 Diffusion model

As introduced in Section. 2.2, the iterative inversion starts with an initial model. The density of the concrete is provided by Niederleithinger (2017) as  $2.4 \text{ g} \cdot \text{cm}^{-3}$  and the velocity of the wave is estimated from the arrival time of the ballistic waves as shown in Fig. 4. The transition from the noise level (blue) to wave signal (red) indicates the arrival of the ballistic wave (dashed line) with a velocity of  $4.475 \text{ m} \cdot \text{ms}^{-1}$ .

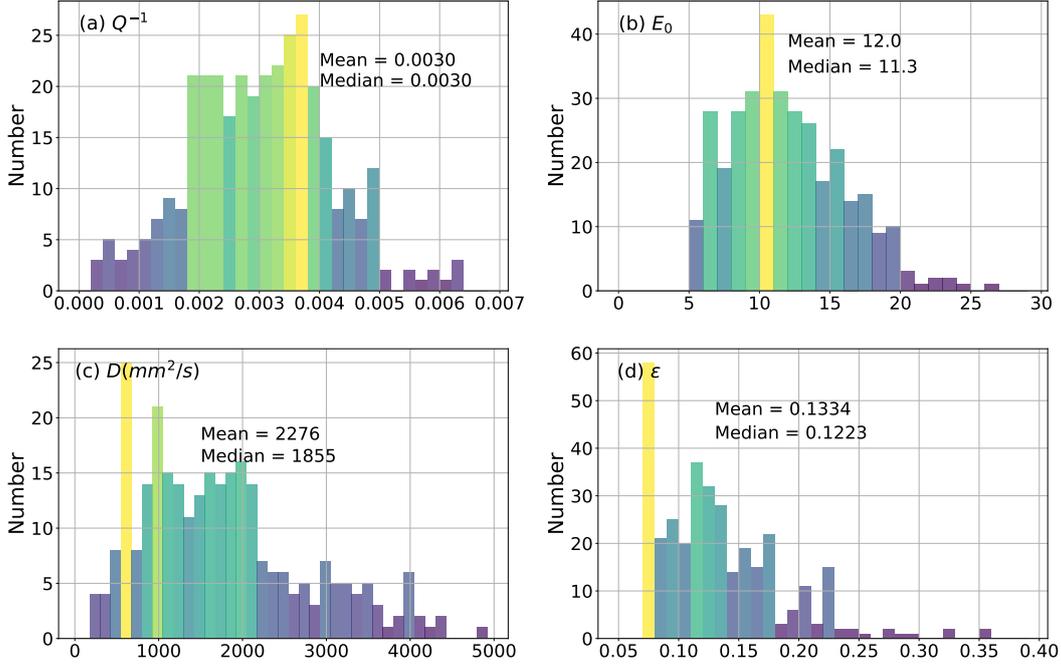
We have no prior information about the scattering and absorption properties, for the concrete in the present experiment. A simple description of multiple-scattering and intrinsic attenuation of ultrasound in concrete is provided by the diffusion model (Anugonda et al., 2001; Ramamoorthy et al., 2004). The 2D diffusion equation describes the energy radiating isotropically from a source (Wegler et al., 2006):

$$E_D(\mathbf{r}, t; \mathbf{r}_i) = E_0 \frac{1}{4\pi Dt} e^{-\frac{r^2}{4Dt}} e^{-\frac{\omega}{Q}t}. \quad (12)$$

The diffusion energy  $E_D(\mathbf{r}, t; \mathbf{r}_i)$  at position  $\mathbf{r}$  with the lapse time  $t$  is determined by the source energy  $E_0$ , diffusion constant  $D$  and intrinsic factor  $Q^{-1}$  at the specific angular frequency  $\omega$ .  $\mathbf{r}_i$  is the position of the source while the distance between the source and receiver is  $r = |\mathbf{r} - \mathbf{r}_i|$ . To account for the existence of boundaries that reflect the acoustic energy,  $E_D(\mathbf{r}, t; \mathbf{r}_i)$  is summed for all mirror sources  $\mathbf{r}_i^{mirr}$  corresponding to  $\mathbf{r}_i$  (Y. Zhang et al., 2018). Benefiting from the analytic solution of the diffusion equation, we can estimate the parameters of the model by an interval search. Eq. 12 is rewritten as:

$$\ln [E_D(\mathbf{r}, t; \mathbf{r}_i)] = \ln E_0 - \left[ \ln(4\pi Dt) + \frac{r^2}{4Dt} \right] - \frac{\omega}{Q}t. \quad (13)$$

Expression 13 consists of three terms in which  $\ln E_0$  is constant. To speed up the process, we separately estimate  $Q^{-1}$  from the later coda wave (3.5 - 4.7 ms) since the later coda wave is more sensitive to the intrinsic attenuation (T. Zhang & Sens-Schönfelder, 2022).  $[\ln(4\pi Dt) + r^2/4Dt]$  varies slowly in the late coda. Therefore,  $-\omega/Q$  is easily estimated from slope of the logarithmic envelope in the late coda. Fig. 5(a) shows the distribution of the estimated  $Q^{-1}$  values from all source-sensor combinations. The mean and median value of this distribution are both 0.003 that will be used to estimate  $D$  in the diffusion modeling and as initial model for inversion.



**Figure 5.** The statistics histograms of the parameters: (a)  $Q^{-1}$ , (b)  $E_0$  and (c)  $D$  estimated from all traces in the diffusion model and (d)  $\epsilon$  calculated according to (c).

311 With the fixed value of  $Q^{-1}$ , the source energy  $E_0$  can be extracted as the offset  
 312 from the envelopes for each assumed diffusion constant  $D$ . An interval of  $[50, 5000] \text{ mm}^2/\text{s}$   
 313 with step-length  $10 \text{ mm}^2/\text{s}$  is searched for the diffusion constant  $D$ . The diffusion model  
 314 generated by Eq. 12 is convoluted with the wavelet shown in Fig. 2(f) to compare with the  
 315 observable. For all source-receiver combinations, the distributions of  $D$  and  $E_0$  are shown  
 316 in Fig. 5(b,c). According to this distribution, we fix the source energy  $E_0$  in this study  
 317 to 12. The diffusion constant  $D$  does not directly correspond to the parameters used for  
 318 the non-isotropic scattering in RTT. It corresponds to the transport scattering coefficient  
 319  $g^*$  which is a version of  $g$  that is weighted by the cosine of the scattering angle  $\theta$ . The  
 320 relationship between  $D$  and  $g^*$  is given as (Wegler et al., 2006):

$$g_0^* = \frac{\alpha_0}{2D} \quad (14)$$

321 where  $g_0^*$  is the average transport scattering coefficient that is defined as:

$$g_0^* = \frac{1}{2\pi} \int_{2\pi} g(\theta) [1 - \cos(\theta)] d\theta. \quad (15)$$

322  $g(\theta)$  has been introduced as a function of scatter strength  $\epsilon$  and correlation length  $a$  in  
 323 Eq. 2. Assuming that the correlation length  $a$  is uniform with  $a = 0.011 \text{ m}$  (Anugonda  
 324 et al., 2001) we calculate the values of  $\epsilon$  corresponding to the estimated values of  $D$  using  
 325 expressions 2, 14 and 15. The distribution of  $\epsilon$  is shown in Fig. 5(d). We fix  $\epsilon = 0.13$  as  
 326 background parameter describing the small scale heterogeneity in the concrete specimen.  
 327 Tab. 1 summarizes all background parameters estimated for the use with Eq. 1.

### 3.3 Monte Carlo simulation

328  
 329 The radiative transfer equation is solved using the Monte-Carlo method to simulate  
 330 the energy propagating (T. Zhang et al., 2021). To account for the free surface boundary

**Table 1.** All background parameters estimated for the radiative transfer equation.

$\omega$	$\rho$	$\alpha_0$	$Q^{-1}$	$E_0$	$\varepsilon$	$a$
$2\pi \cdot 60 \text{ kHz}$	$2.4 \text{ g} \cdot \text{cm}^{-3}$	$4.475 \text{ m} \cdot \text{ms}^{-1}$	0.003	12	0.13	0.011m

331 conditions in the Monte-Carlo simulations the particles are reflected at the four sides of  
 332 the model. In this study, 100 million particles are used for each simulation. The field  
 333 generated by source T0120 in the initial model is illustrated in Fig. 6(a-f). Although the  
 334 algorithm allows us to simulate in models with spatially variable  $\varepsilon^2(\mathbf{r})$  and  $Q^{-1}(\mathbf{r})$ , here we  
 335 only illustrate propagation in an uniform model with the background parameters given in  
 336 Tab. 1. Note Fig. 6 only shows the energy density  $E(\mathbf{r}, t)$ , while we actually simulate the  
 337 specific energy density  $E(\mathbf{r}, \mathbf{n}, t)$  with information about the propagation direction.

338 The Monte Carlo method simulates a point-source in space and time. The simulation  
 339 result is therefore convolved with the source wavelet and multiplied with the same source  
 340 energy  $E_0$  as diffusion model. Fig. 6(g) shows a comparison of one observed envelope  
 341 with the diffusion model and the MC simulation in the background model. The blue and  
 342 red curves represent the energy simulated with the diffusion model and radiative transfer  
 343 equation, respectively.

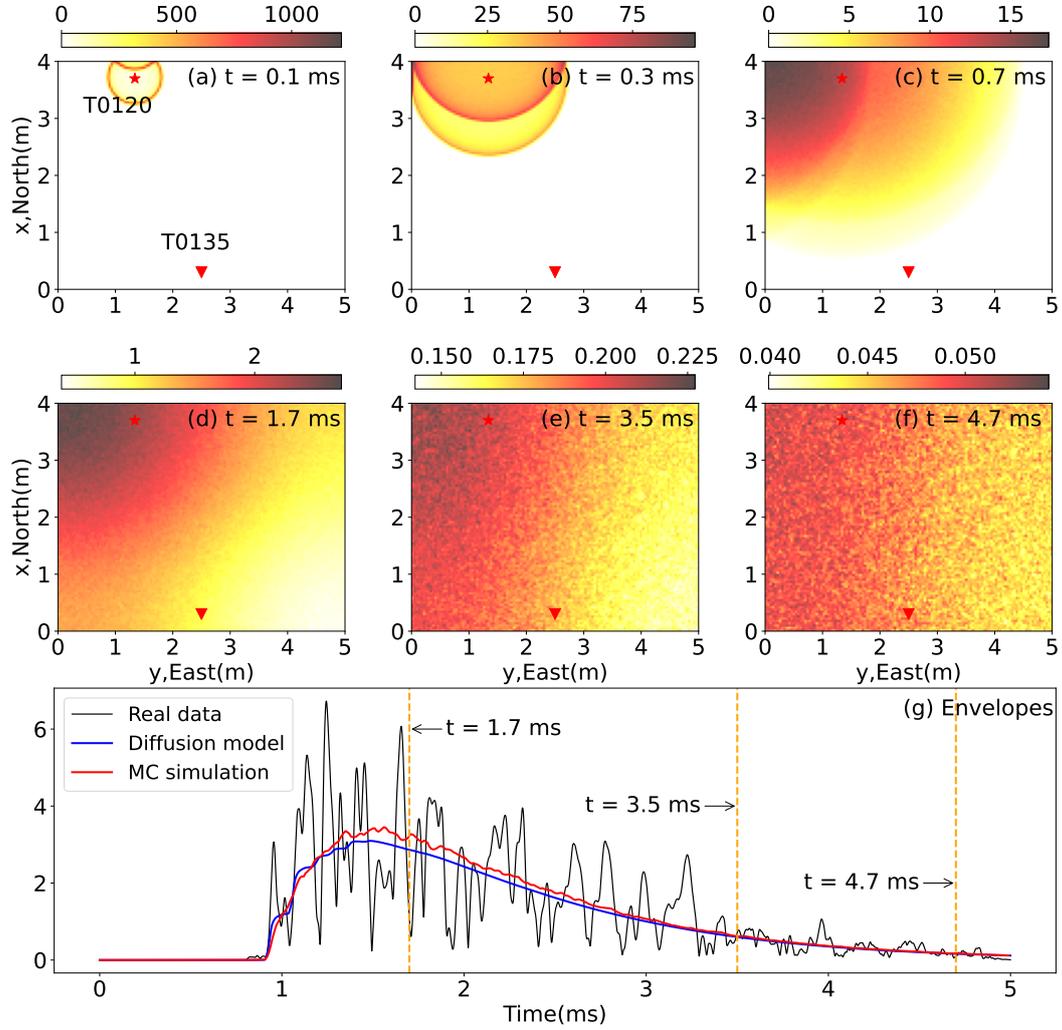
## 344 4 Imaging

345 Starting from the initial model with uniform parameters estimated with the diffusion  
 346 approximation, we use AET to infer the spatial distribution of the strength of heterogeneity  
 347 and attenuation. Both material properties influence the energy propagation causing a the  
 348 trade-off between changes in the scattering and absorption properties in a simultaneous  
 349 inversion for both parameters as discussed in T. Zhang and Sens-Schönfelder (2022). For  
 350 the ballistic wavefield, i.e. the energy that propagates without being scattered, the effect  
 351 of scattering and attenuation is identical - leading to the impossibility of discerning both  
 352 effects with direct waves. But the trade-off also exists for arbitrary sub-segments of the  
 353 propagation path of coda waves. Only the combination between the energy that propagates  
 354 directly between two points in the medium and the energy that is scattered between these  
 355 points allows us to resolve the trade-off since heterogeneity increases the scattered part of the  
 356 wavefield at the cost of the direct part. This trade-off means that strong spatial differences  
 357 of one parameter unavoidably map into the other parameter to some extent (Cormier &  
 358 Sanborn, 2019; T. Zhang & Sens-Schönfelder, 2022).

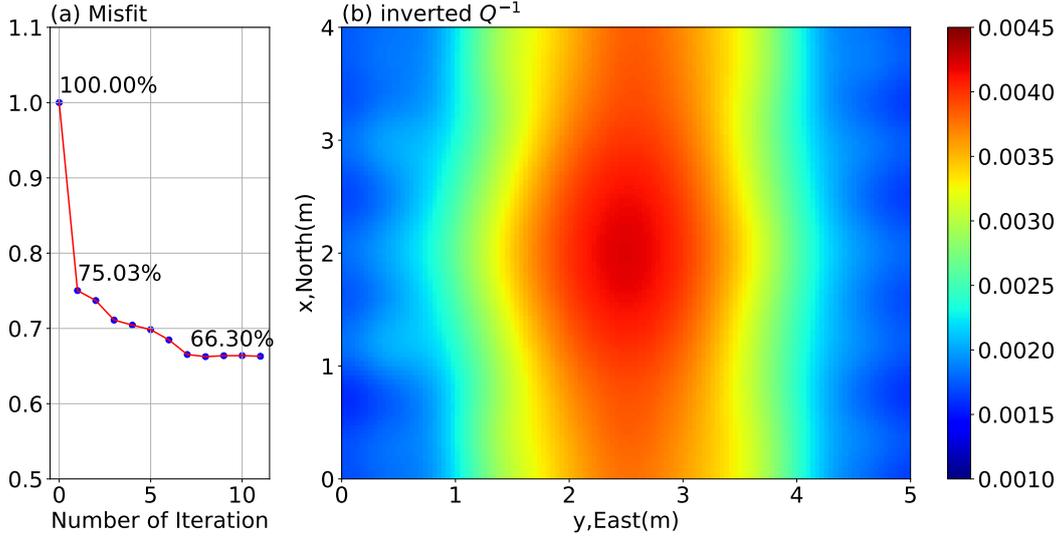
359 However, the fact that the early coda is important to image the heterogeneity while the  
 360 later coda is more sensitive to intrinsic attenuation (Calvet et al., 2013; T. Zhang & Sens-  
 361 Schönfelder, 2022) helps us to separately invert  $\varepsilon^2(\mathbf{r})$  and  $Q^{-1}(\mathbf{r})$  using the early and later  
 362 coda, respectively. In this experiment, we simply define the early and later coda intervals by  
 363 1.7 - 3.5 ms and 3.5 - 4.7 ms respectively as shown in Fig. 6(g) and use these time windows  
 364 to image the absorption and scattering structures successively.

### 365 4.1 Intrinsic Attenuation Inversion

366 We first focus on the intrinsic attenuation inversion with  $Q^{-1}(\mathbf{r})$  since the absorption  
 367 influences the whole envelope. The later coda wave (3.5 - 4.7 ms) is chosen as the time  
 368 window to evaluate the misfit function and the initial model  $Q_0^{-1}(\mathbf{r})$  is uniform with  $Q_0^{-1} =$   
 369 0.003. The other parameters and the model of  $\varepsilon^2(\mathbf{r})$  are all uniform based on Tab. 1 and  
 370 remain constant during the inversion, meaning that only  $Q_k^{-1}(\mathbf{r})$  is updated.



**Figure 6.** (a-f) The snapshots of the simulated energy density field from T0120 (red star) at different lapse times. The scattering mean free path is 0.36 m and the mean free time is 0.08 ms. (g) the comparison among the envelopes recorded at T0135 (red inverted triangle) from the Monte Carlo simulation (red curve), the diffusion model (blue curve), and the real data from the concrete experiment (black curve). Note that the color scale range of each time in (a-f) is different and the energy density field has been multiplied with  $E_0$  but not convoluted with the source so that the values are not the same as the envelopes shown in (g).



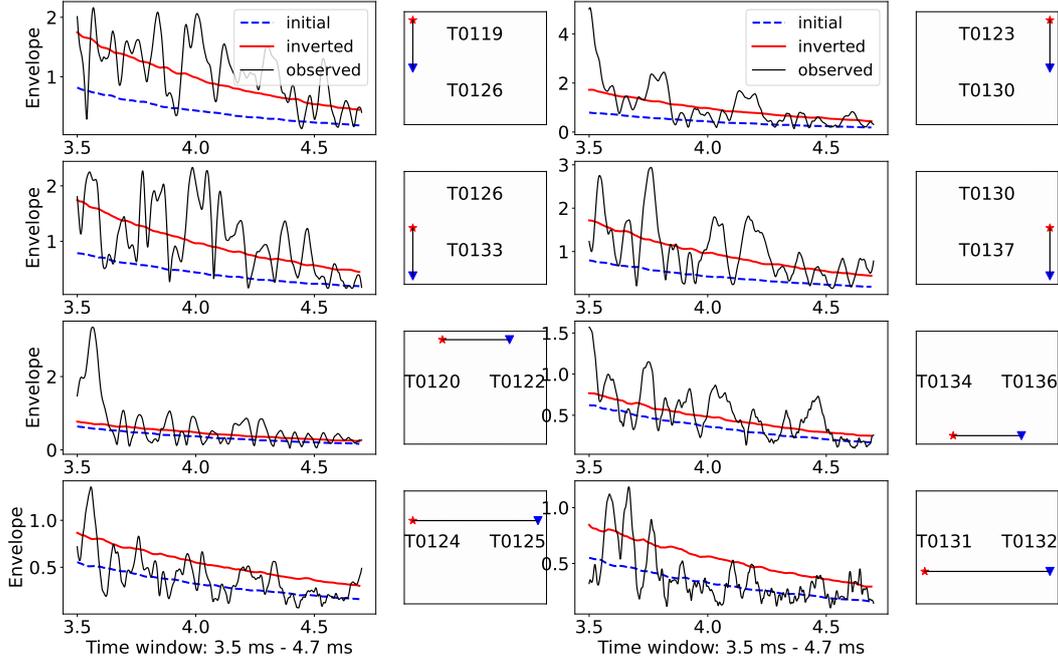
**Figure 7.** (a) The misfits of the later coda time window varied with iterations for the absorption inversion. (b) The inversion result of  $Q^{-1}(\mathbf{r})$  after 11 iterations.

371 After 11 iterations of AET, the normalized misfit between the observed envelopes and  
 372 synthetic data converges to 66% as shown in Fig. 7(a). The decrease of the misfit is very fast  
 373 in the beginning since the initial model is uniform, slows down and stagnates from iteration  
 374 7. The benefit of iterative inversion as compared to a linear kernel-based inversion (Ogiso,  
 375 2019) is that the model is further improved after the first iteration based on the results of  
 376 earlier iterations. The final inversion result is shown in Fig. 7(b). The distribution of  $Q^{-1}(\mathbf{r})$   
 377 shows a dominant first order structure with a maximum in the center and a symmetry in  
 378 the west-east and north-south directions. The decrease towards the sides is not isotropic  
 379 with the east-west direction showing faster decrease than the north-south direction. We will  
 380 discuss the interpretation of this result in the next section.

381 The misfit is the integral of the differences between the observed and modeled results in  
 382 the specified time window. However, we can also directly check the data fit of the envelopes.  
 383 Fig. 8 shows the data fit for some source-receiver combinations. The simulated envelopes in  
 384 the final inverted model (red solid curves) are compared with the initial model (blue dashed  
 385 curves) and the observed data (black solid curves). The locations of source and receiver in  
 386 each combination are shown on the right side. For the north-south oriented combinations  
 387 T0119 -126, T0123 -130, T0126 -133 and T0130 -137 which are located in the west and east,  
 388 the envelopes of the inverted model become more similar to the observation compared with  
 389 the initial model, as expected for a successful inversion that minimizes the misfit. This is  
 390 caused by the decrease of  $Q^{-1}$  along the western and eastern sides of the model. There are  
 391 no significant improvements for station combinations T0120 -122 and T0134 -136 because  
 392 already the initial model fits the observations reasonable well in these areas and the model  
 393 update during the inversion is marginal. Envelope fits of the combinations T0124 -125 and  
 394 T0131 -132 that transect through the whole specimen do not improve. In fact the fit of these  
 395 long distance east-west combinations slightly degrades in favor of significant improvements  
 396 of other pairs.

## 397 4.2 Scattering Inversion

398 Although the early coda wave is more sensitive to scattering, scattering inversion can  
 399 benefit from using a more reasonable model of  $Q^{-1}$  to suppress the influence of the absorp-

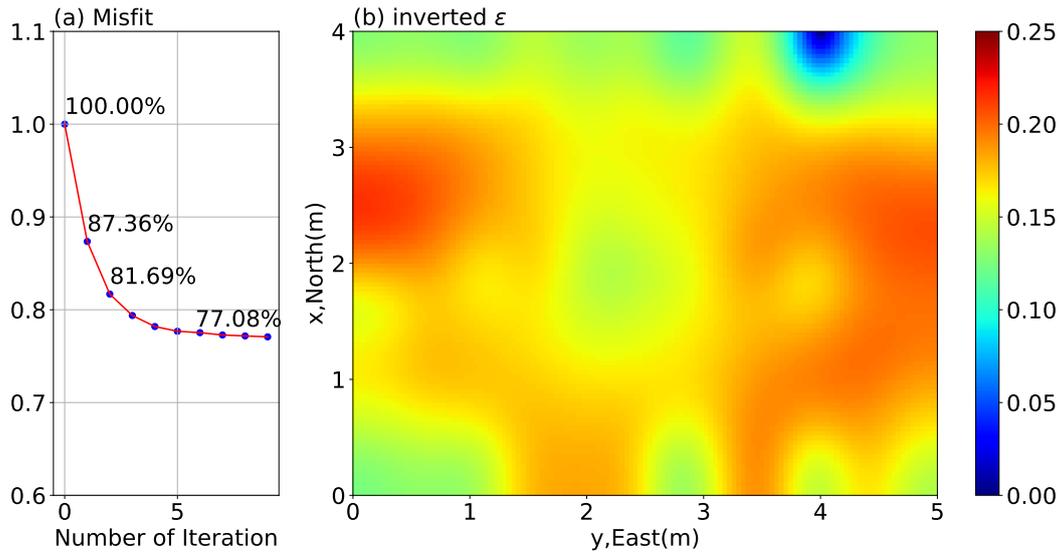


**Figure 8.** The data fitting of different combinations (illustrated on the right side, red star and blue inverted triangle are source and receiver respectively) in the later coda wave (3.5 ms - 4.7 ms). The blue dashed and red solid curves indicate the envelopes simulated in the initial model and the inverted model shown in Fig. 7(b), respectively. The black curve is the real data from the concrete experiment.

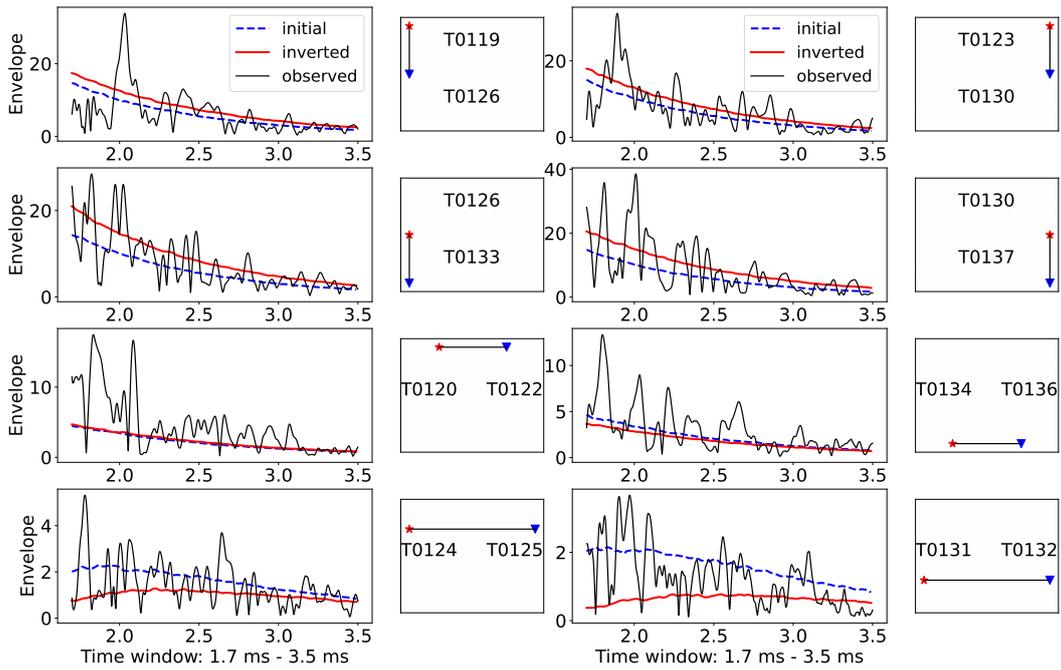
400 tion. In this step, we employ the inversion result shown in Fig. 7(b) as the model of  $Q^{-1}(\mathbf{r})$   
 401 and keep it constant throughout the inversions for  $\varepsilon(\mathbf{r})$ . The initial model of  $\varepsilon(\mathbf{r})$  is uniform  
 402 and we use the earlier time window with lapse times 1.7 - 3.5 ms (c.f. Fig. 6).

403 9 iterations were conducted until the normalized misfit converged to 77% which is shown  
 404 in Fig. 9(a). Note that although the simulation in the initial model in Fig. 9(a) is the same  
 405 as the last one in Fig. 7(a), the absolute value of misfit is not since the time windows are  
 406 different. Fig. 9(b) shows the inversion result of  $\varepsilon(\mathbf{r})$ . The dominant value of it is about  
 407 0.14 which is a little higher than the initial uniform model 0.13. The inferred distribution  
 408 of heterogeneity has a more complex structure than the attenuation structure. Stronger  
 409 scattering is inferred in two areas at the western and eastern boundaries and also in one  
 410 anomaly of higher value in the south at about  $y = 2$  m. An elongated features extends  
 411 from the northern to the southern edge at about  $y = 3.4$  m. A very low-value anomaly  
 412 that indicates reduced heterogeneity is located in the north-east corner. Interpretations are  
 413 discussed in the next section.

414 The data fits are shown in Fig. 10. Similar to what we discussed in Fig. 8, the different-  
 415 distance combinations are compared in the early coda waves time window. The inversion  
 416 result is dominated by the short-distance combinations which achieve a significantly im-  
 417 proved data fit during the inversion. The medium- and long-distance combinations do not  
 418 improve clearly. Note that the y-scale of the graphs in Fig. 10 is variable and combinations  
 419 T0124-125 and T0131-132 have far smaller amplitudes.



**Figure 9.** (a) The misfits of the early coda time window varied with iterations for the scattering inversion. (b) The inversion result of  $\epsilon(\mathbf{r})$  after 9 iterations.



**Figure 10.** The data fitting of different combinations (illustrated on the right side, red star and blue inverted triangle are source and receiver respectively) in the early coda wave (1.7 ms - 3.5 ms). The blue dashed and red solid curves indicate the envelopes simulated in the initial model and the inverted model shown in Fig. 9(b), respectively. The black curve is the real data from the concrete experiment.

## 5 Discussions

### 5.1 Misfit evolution

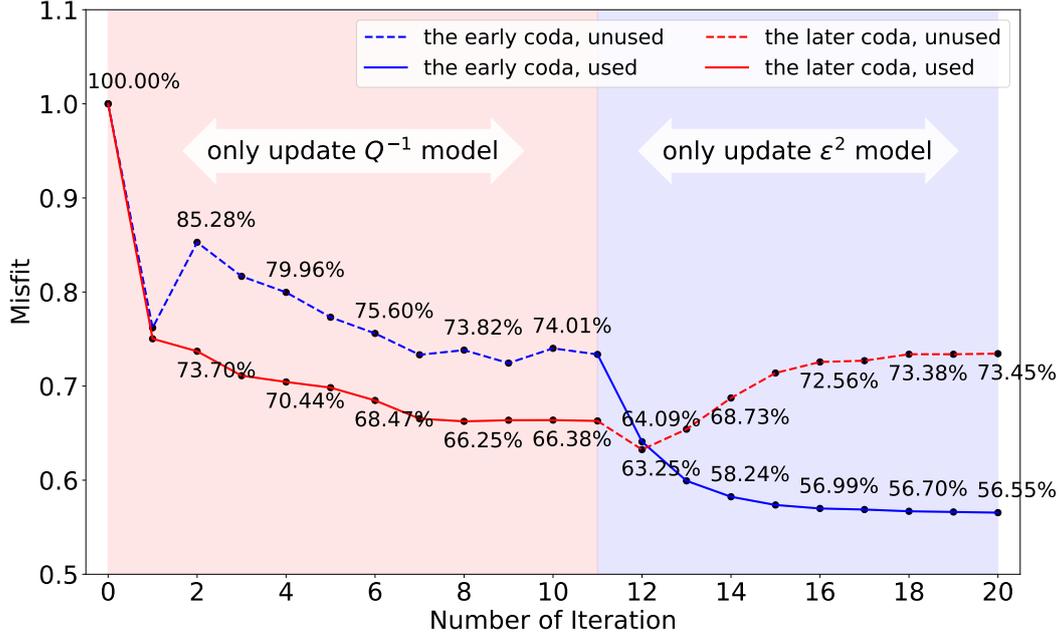
In Section. 4, we have described two successive inversion runs for  $\varepsilon^2(\mathbf{r})$  and  $Q^{-1}(\mathbf{r})$  using the early and later coda, respectively. We start with uniform models of both parameters, firstly update the model of  $Q^{-1}(\mathbf{r})$  only, and then fix the  $Q^{-1}(\mathbf{r})$ -model and continue to update  $\varepsilon^2(\mathbf{r})$ . The time windows of the misfit are chosen to use only the later coda for intrinsic attenuation inversion and only the early coda for scattering inversion because of their sensitivities. Of course, the misfits of both time windows varied in both inversions. Fig. 11 shows the evolution of the misfits of both time windows for the whole inversion process. The red and blue curves indicate the misfits of the later and early coda, respectively. The solid parts of the curves show the misfits that are optimized for during the inversion (they have been shown in Fig. 7(a) and Fig. 9(a)) while the dashed lines indicate the misfit during the optimization of the other time window.

The whole inversion is separated into two periods shown in Fig. 11. In the first period when we only update  $Q^{-1}(\mathbf{r})$  (red domain), the misfit of the later coda (the red solid curve) decreases since the misfit kernel is based on this time window. Reasonably, with the improvement of the attenuation model the misfit of the early coda (the blue dashed curve) decreases as well although it is not used to guide the inversion. During the subsequent updating of  $\varepsilon^2(\mathbf{r})$  (blue domain) the misfit of early coda time window continues to decrease since it is used to calculate the adjoint source. On the contrary the misfit of the late time window which is not used in this step re-increases slightly which is not surprising since this time window was already optimized for in the  $Q^{-1}(\mathbf{r})$ -inversion and does not inform the  $\varepsilon^2(\mathbf{r})$ -inversion. However, the misfit change in the second run is dominated by the decrease of the misfit in the early time window. Using both time windows together to guide the second part of the inversion run would possibly have damped the misfit increase in the late time window, at the expense of smaller improvements in the early time window.

### 5.2 Interpretation

We begin the discussion with an interpretation of the inferred attenuation. The attenuation anomaly (Fig. 7b) is symmetric with respect to west-east and north-south axis in the center of the specimen and appears to be affected by some large scale influence on the specimen rather than internal small scale differences. Three processes could globally affect the specimen and result in a perturbation with the symmetry observed in the attenuation structure: (A) diffusion of humidity, (B) temperature changes and (C) stress distribution.

To investigate this hypothesis we make use of supplemental instrumentation. Additional to the 19 ultrasonic sensors, there were four temperature sensors embedded in the concrete specimen (shown in Fig. 1) which measured the internal temperatures on three consecutive days in the morning between 6 a.m and 8 a.m as shown in Fig. 12(a). This experiment was conducted during a phase of decreasing temperatures in autumn. The temperature at each sensor decreased during the three successive days but the sensors maintained rather constant offsets from one another. The central sensor T0128 shows highest temperatures compared to the sensors closer to the rim. Smallest temperatures are observed in the corner of the specimen at sensor T0137 while intermediate temperatures are observed along the sides. We use the temperature measured on Oct. 28th at these four sensors to obtain an idea of the temperature distribution within the specimen. We therefore use the geometric symmetry of the sensor locations to interpolate the observations throughout the whole concrete in 2D using adjustable tension continuous curvature splines by Generic Mapping Tools (GMT) (Wessel et al., 2013; Smith & Wessel, 1990). The resulting temperature distribution within the concrete is shown in Fig. 12(b). This is clearly a rough estimate of the internal temperature distribution, but it shares clear similarity with the inversion result of  $Q^{-1}(\mathbf{r})$  shown in Fig. 7(b).



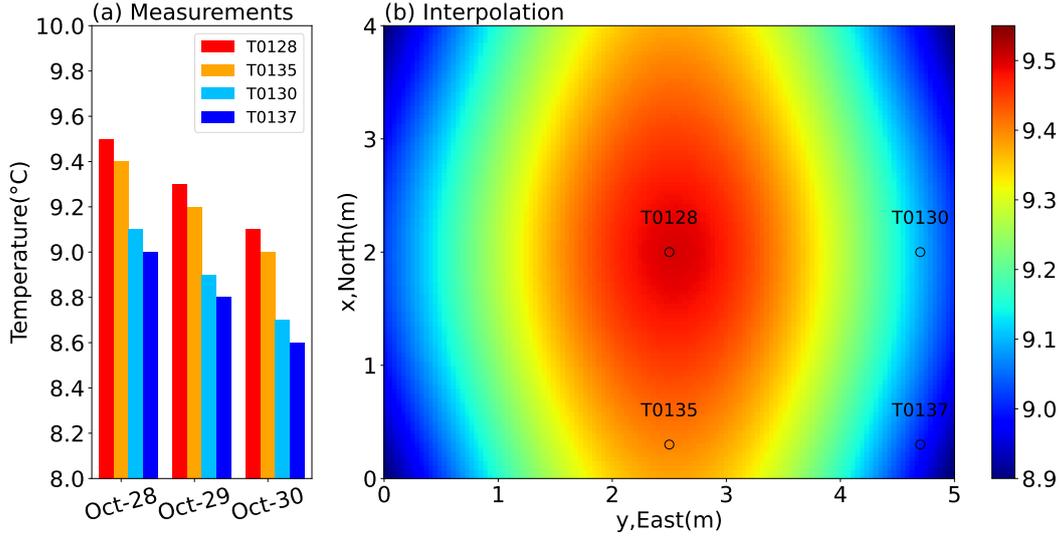
**Figure 11.** The misfits of the later (the red curve) and early (the blue curve) coda waves as the time window varied with the whole inversion. The red and blue domains respectively indicate the absorption and scattering inversion conducted in Section. 4. The dashed parts of the curves are not used in the inversion.

470 It has been demonstrated that the temperature changes of the concrete can result  
 471 in the velocity perturbation but the sensitivity is only about  $0.05 \%K^{-1}$ –  $0.15 \%K^{-1}$   
 472 (Niederleithinger & Wunderlich, 2013; Epple et al., 2020; Larose et al., 2006). Since the  
 473 maximum temperature change during the experiment is only  $0.5 K(^{\circ}C)$  the observed temperature  
 474 changes will thus have a negligible influence on the propagation velocity and thus  
 475 leave the envelopes unaffected which warrants the assumption of uniform and constant velocity  
 476 in this experiment. We did not find conclusive evidence in the literature for the  
 477 influence of temperature on attenuation in concrete or similar aggregates (at the present  
 478 temperature (Zong et al., 2020)).

479 The influence of humidity on attenuation has been clearly documented by a number  
 480 of authors (Clark et al., 1980; Green et al., 1993; Tisato & Quintal, 2014). Unfortunately  
 481 in-situ observations of humidity are not available to us and the specimen is insulated from  
 482 the sides and covered for protection against rain so that the humidity might be more or less  
 483 uniform in the volume.

484 A distribution of absorption with a very similar symmetry pattern in a sample of  
 485 comparable size was found by Liu and Guo (2005). These authors imaged the attenuation  
 486 in a reinforced concrete block under the highway bridge pier cap which had a size of  $6 m$   
 487  $\times 8 m$  with a height of  $1.5 m$ . Using direct waves Liu and Guo (2005) inferred an inverse  
 488 intrinsic quality factor of  $0.0063$  in the center of the block. This value is close to our result  
 489  $0.0045$ . Towards the sides of their block, attenuation increases 7 times while it decreases 4  
 490 times in our results.

491 Different from absorption, the heterogeneity of the medium appears to be governed by  
 492 internal structure rather than an external influence since the inferred distribution is much  
 493 more structured. Fig. 13 shows the construction drawing of this concrete specimen. The



**Figure 12.** (a) The temperature measured on three consecutive days morning between 6 a.m and 8 a.m from four embedded temperature sensors. (b) The temperature distribution of the whole concrete interpolated with four temperature sensors (the black circles) based on the geometric symmetry.

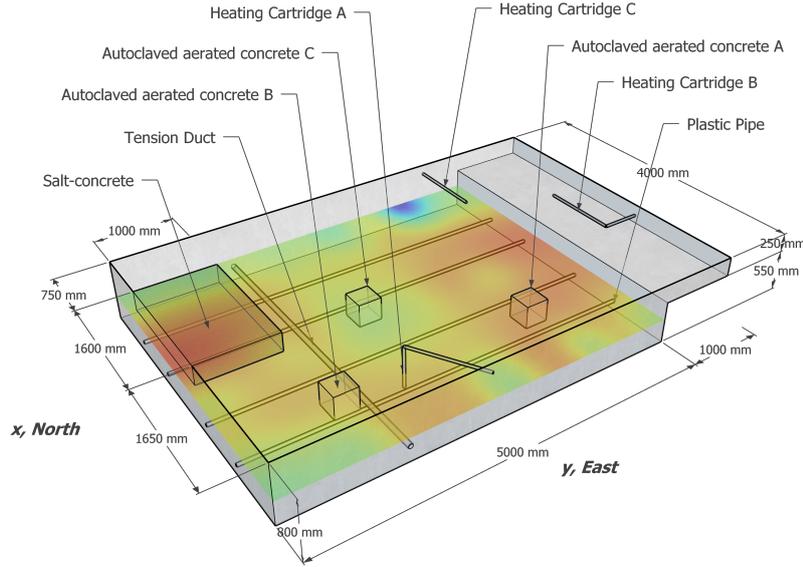
494 strongest anomaly of increased heterogeneity is found at the western edge of the specimen.  
 495 This area corresponds to a volume of the specimen that was cast with a different kind of  
 496 concrete (salt concrete:  $1600 \times 1000 \times 250$  mm). Here, salt was added to the concrete mix to  
 497 be able to provoke rapid corrosion of rebar at a later stage. As the concrete was poured  
 498 separately by a different team and cured under different conditions, a different density and  
 499 porosity can be expected. We interpret the increased scattering inferred in this region to be  
 500 caused by the different properties of the salt-concrete.

501 The second prominent area of increased heterogeneity located in the west does not  
 502 directly correspond to model features from the construction plans. During the installation  
 503 of the embedded sensors an anomaly was detected in this area. While the calculated quantity  
 504 of grout was sufficient to completely fill the boreholes in all other locations, almost three  
 505 times the amount was required for refilling the borehole of sensor T0132. It can therefore  
 506 be assumed that cavities were unintentionally created in this area during concreting, which  
 507 now contribute to the increased scattering.

508 Before this experiment, there were three heating cartridges inserted in the east, south  
 509 and northwest (Heating Cartridge A, Band C respectively in Fig. 13). Heating Cartridge A  
 510 had been used to heat the concrete to  $510$  °C (Niederleithinger, 2017) while the other two  
 511 had not been activated. The concrete after high-temperature heating generated thermal  
 512 cracking and stress changes (Hager, 2013) that increase scattering.

513 Three autoclaved aerated cube concretes with size of 0.3 m, four horizontal plastic pipes  
 514 and one vertical clamping channel are also embedded in the concrete. Structures of these  
 515 size are out of the inversion resolution but can also affect the scattering to some degree.

516 A very prominent anomaly that is left to be discussed is the low- $\delta\epsilon$  anomaly close to  
 517 sensor T0122. This anomaly is located right at the boundary of the inversion domain and  
 518 converges towards extremely low values of heterogeneity, i.e. locally homogeneous material.  
 519 Its location directly on the boundary close to a corner of the model leads us to the interpretation  
 520 as an artifact. Fitting envelopes of waveforms always requires significant averaging.



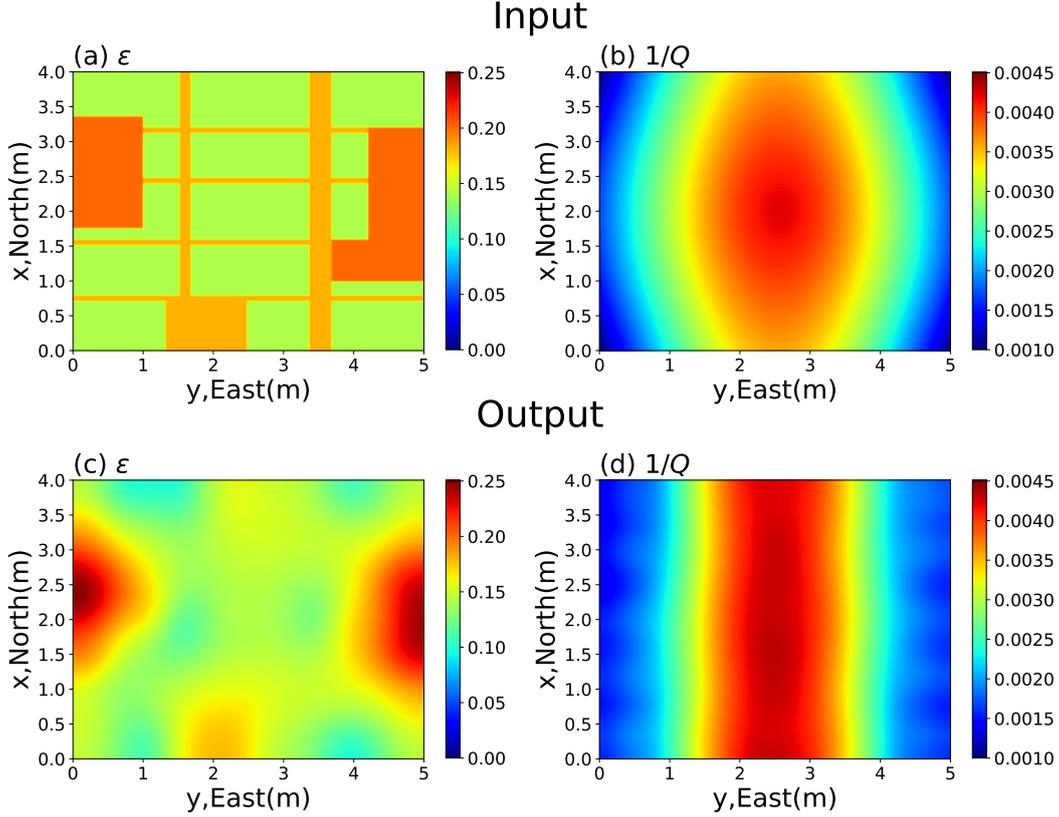
**Figure 13.** The construction drawing of the concrete specimen. The western anomaly block is the salt concrete that is different from the background material with the size of  $1600 \times 1000 \times 250$  mm. Four horizontal plastic pipes, one vertical clamping channel, three autoclaved aerated cube concretes and three heating cartridges are embedded.

521 In theory this averaging should be achieved by repeated observations in statistically identical  
 522 realizations of the experiment. In reality there is only a single specimen and the averaging is  
 523 realized on the one hand based on ergodicity by using long time windows for the comparison  
 524 between observations and synthetics and on the other hand by using multiple source and  
 525 receiver combinations. While the effect of long time windows is the same everywhere in  
 526 the sample the averaging by different sensor combinations is not. The reflecting boundary  
 527 conditions reduce the effective averaging by a factor of two along the edges and by a factor  
 528 of four in the corners. A prominent wiggle in the waveform that can coincidentally origi-  
 529 nate from the constructive interference of scattered waves results in a strong pulse in the  
 530 envelope (cf. Figs. 6, 8 and 10). Such a pulse can push the inversion into a certain direction  
 531 and cannot effectively be compensated by other sensor combinations with sensitivity to the  
 532 same location since the mirror sources have identical waveforms.

### 533 5.3 Resolution test

534 Different tools exist to study the capabilities of the combination of a measurement setup  
 535 and an inversion method. Checkerboard tests (Lévêque et al., 1993) use a periodic pattern  
 536 of variable wavelengths to infer the minimum size of a feature to be resolved in different  
 537 parts of the domain. Analytical approaches use the sensitivity of the misfit function to  
 538 changing perturbations (the Hessian) at the different locations in the domain to estimate  
 539 the resolution capabilities (Fichtner & Trampert, 2011).

540 We take a different approach for the following reasons. Since we use reflecting boundary  
 541 conditions in a domain with an regular distribution of sensors we can assume that also the  
 542 resolution capabilities are rather uniform which would limit the value of a checkerboard test.  
 543 The analytic approach using the Hessian is either computationally very expensive or requires  
 544 further development, that is beyond the present scope. Here we ask the question: What  
 545 would the inversion obtain if the structures were as we interpret it from the actual imaging.



**Figure 14.** The resolution test: (a) the input model of  $\epsilon(\mathbf{r})$  based on the construction plans of the concrete specimen; (b) the input model of  $Q^{-1}(\mathbf{r})$  based on the temperature distribution shown in Fig. 12(a). (c) and (d) the inversion results of two parameters following the same workflow as the inversion for the laboratory experiment.

546 Technically this question is answered by inverting a simplified version of the obtained result  
 547 that contains all structures which are regarded as relevant and interpreted. This approach is  
 548 often used in tomography to confirm that the interpreted structure could indeed be resolved  
 549 by the imaging (Koulakov et al., 2009; Jiang et al., 2014). For nonlinear problems such  
 550 statements are more useful than theoretical values of resolution length in a homogeneous  
 551 background model.

552 The resolution test is conducted with the exact same procedure as used in Sec. 4 for the  
 553 inversion, including locations of sources and receivers, the parameters of the initial model  
 554 and time window choices. The input and output models are both shown in Fig. 14.

555 The test model of  $\epsilon(\mathbf{r})$  is based on the construction plans of the concrete specimen and  
 556 the inversion result. The background value of  $\epsilon(\mathbf{r})$  is designed not to be the same as the  
 557 initial model but taken from the inversion result as 0.14. Fig. 14(a) shows the input model  
 558 for the resolution test that contains the structures obtained in the inversion and some small  
 559 elongated anomalies along the locations of channels and reinforcement bars in the specimen.  
 560 The input model of  $Q^{-1}(\mathbf{r})$  (Fig. 14(b)) is based on the temperature distribution shown in  
 561 Fig. 12(a).

562 Panels (c) and (d) of Fig. 14 show the resulting outputs of the synthetic inversion  
 563 test. The output of  $\epsilon(\mathbf{r})$  shows that the background value is recovered well although it  
 564 was different from the the initial model. The three larger anomalies are localized well, but

565 their shapes are not recovered in detail due to limitations imposed by the by the number  
 566 and the setup of sources and receivers and the intrinsic smoothing of imaging with the  
 567 envelope information, only. Likewise the thin elongated anomalies are not resolved as could  
 568 be expected from the locations of the 19 sensors of which only three are not arranged along  
 569 the rim of the specimen. The incorrectly inferred shape of the anomalies is connected to  
 570 their peak amplitudes which are partially overestimated during the inversion. Since the  
 571 scattered energy depends to first order on an integral scattering strength of the anomaly  
 572 higher values in the centers of the larger anomalies compensate for the lower strength along  
 573 the edges of these anomalies. The inversion result of  $Q^{-1}(\mathbf{r})$  recovered the input structure  
 574 well. However, decay in the north-south direction is underestimated and the peak anomaly  
 575 is overestimated.

576 From this test we conclude that the first order features interpreted from the imaged  
 577 attenuation and scattering structures would indeed show up as observed in the results. Due  
 578 to ambiguity and limited resolution we cannot exclude that smaller anomalies are present  
 579 in the specimen.

## 580 6 Conclusions

581 This research presents the analysis of an acoustic experiment conducted in a 4 m by 5  
 582 m large concrete specimen equipped with embedded acoustic sensors. We applied adjoint  
 583 envelope tomography to image the distribution of small-scale heterogeneity and intrinsic  
 584 attenuation inside the specimen. To interrogate the structure below the resolution limit  
 585 of conventional tomography, AET was proposed to invert for the statistical properties of  
 586 the small scale heterogeneity as complementary information to the deterministic structures  
 587 that can only be imaged at larger scales. Although AET had been successfully tested in  
 588 numerical experiments, the application to experimental data in the present paper increases  
 589 confidence in the methodology in view of further applications to seismic imaging of the  
 590 Earth.

591 We performed this experiment with ultrasonic transmission from embedded transducers  
 592 in reinforced concrete in analogy to seismic wave propagation in the Earth. The data  
 593 recorded by 19 transducers are compared with simulations of energy propagation based  
 594 on the Radiative Transfer Equation. This forward problem is solved by modelling the 2-D  
 595 multiple nonisotropic scattering in an acoustic medium with spatially variable heterogeneity  
 596 and attenuation using the Monte-Carlo method. The misfit between the observed and  
 597 modeled envelopes is minimized by iteratively updating the model with the adjoint method.  
 598 The whole workflow of AET for the real data is introduced including the processing of the  
 599 data and the investigation of background values with the diffusion model. The fluctuation  
 600 strength  $\varepsilon$  and intrinsic quality factor  $Q^{-1}$  respectively representing the spatial variability  
 601 of scattering and absorption are separately inverted from different time windows. On the  
 602 one side, the absorption inversion result shows a strong point-symmetric geometry which  
 603 we interpret as some large-scale spatially variable in the specimen, but without a direct  
 604 evidences for the causative process, e.g. temperature, humidity or stress.

605 The inverted distribution of scattering properties shows a more complex structure that  
 606 can – to some extent – be interpreted in terms of the known internal structure of the  
 607 test specimen. The largest anomaly of increased heterogeneity corresponds to a volume  
 608 containing salt-concrete. Other anomalies are not as clearly linked to the known features  
 609 of the concrete and a strong anomaly of decreased heterogeneity exists at the edge of the  
 610 specimen that is interpreted as an artifact from envelope fluctuation that are insufficiently  
 611 averaged at the reflecting boundaries of the model domain.

612 Despite obvious room for improvement in terms of spatial resolution and power to  
 613 resolve the trade-off between scattering and attenuation the present results are encouraging.  
 614 The spatial variability of attenuation and scattering strength improved the data fit by about

615 35% when averaged over both time windows. This number appears small but cannot directly  
 616 be compared to improvements known from waveform inversion. Two effects contribute to the  
 617 limitation of the data fit. Firstly the observed envelopes are obtained in a real experiment  
 618 and cannot be averaged over an ensemble of test specimens and thus show fluctuations  
 619 introduced by the interference of scattered waves that cannot be fit. Secondly also the  
 620 simulated envelopes contain additional fluctuations from the Monte-Carlo type simulation.

621 Future investigations to test the performance of the AET on real data will have to  
 622 include dedicated test specimens with known scattering and attenuation properties. Even  
 623 though the present concrete block with the embedded sensors was well suited for an appli-  
 624 cation of AET is was already cast and the different types of concrete could not be analyzed  
 625 separately to obtain ground truth. An important field of application for the presented ap-  
 626 proach is the monitoring of medium perturbations with coda waves (Sens-Schönfelder &  
 627 Brenguier, 2019). The spatial sensitivity of coda wave based monitoring depends on the  
 628 distribution of heterogeneity (Kanu & Snieder, 2015) and can thus be improved with the  
 629 presented method. We hope that AET will contribute to non-destructive testing of civil  
 630 engineering structures and investigations of wave propagation in the Earth.

## 631 Open Research

632 The code for Monte Carlo simulation, scripts used to calculate the misfit kernels and  
 633 the processed data of the laboratory experiment in the paper can be found at [https://](https://github.com/TuoZhang-seism/AET_concrete)  
 634 [github.com/TuoZhang-seism/AET\\_concrete](https://github.com/TuoZhang-seism/AET_concrete).

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