

1 Instabilities in the bottom boundary layer reduce boundary layer arrest, allowing
2 cross-isobath spread of downwave flows and ventilating the boundary layer.

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10 **Key points:**

- 11 1. Instabilities in the bottom boundary layer prevent the arrest of the bottom boundary layer for
12 flows in the Kelvin wave direction.
- 13 2. The prevention of bottom boundary layer arrest allows along-isobath flows to cross-isobaths, and
14 allows slope flows to more effectively drive shelf flows.
- 15 3. Instabilities in the bottom boundary layer transport water from the bottom boundary layer into the
16 stratified interior along isopycnals for flows in the Kelvin wave direction.

22 **Abstract:** An along-isobath current in stratified waters leads to a bottom boundary layer. In models with
23 no alongshore variation, cross-isobath density transport in this bottom boundary layer reduce the velocity
24 in the bottom boundary layer via thermal wind, and thus the bottom friction experienced by the current
25 above the boundary layer – this is bottom-boundary-layer arrest.

26 If, however, alongshore variation of the flow is allowed, the bottom boundary layer is baroclinically
27 unstable. We show with high resolution numerical models that these instabilities reduce this arrest and
28 allow bottom friction to decelerate the flow above the bottom boundary layer when the flow is in the
29 Kelvin wave direction (so that the bottom Ekman transport is downwelling). Both the arrest of the bottom
30 boundary layer and the release from this arrest are asymmetric; the friction experienced by flows in the
31 direction of Kelvin-wave propagation (downwave) is much greater than flows in the opposite direction.

32 The strength of the near bottom currents, and thus the magnitude of bottom friction, is found to be
33 governed by the destruction of potential vorticity near the bottom balanced by the offshore along-
34 isopycnal transport of this anomalous potential vorticity. A simple model of this process is created and
35 used to quantify the magnitude of this effect and the resulting reduction of arrest of the bottom boundary
36 layer. It is shown that the instabilities allow along-isobath flows to spread across isobaths and move
37 boluses of weakly stratified bottom water into the stratified interior.

38 **Plain Language Summary:** It has long been thought that alongshore shore flows over the shelf and slope
39 will, over time, change the cross-shelf distribution of salt and temperature near the bottom such that the
40 flow at the bottom is small. This reduction in near-bottom flow reduces the friction between the
41 alongshore flows and the bottom, in theory allowing the water to flow forever along the coast. This work
42 shows, instead, that this cessation of flow near the bottom does not happen. Building on existing
43 understanding of how the near bottom flow can break up into eddies, this work quantifies how much
44 friction the alongshore flow feels, and finds it is greater than had been understood when the flow has the
45 coast on its right in the Northern Hemisphere, and on its left in the southern Hemisphere. The greater
46 friction, and the eddies created near the bottom, allow the deep ocean flows near the coastal ocean to
47 drive alongshore flow on the shallow coastal ocean, and also take fluid from near the bottom and inject it
48 into the deep ocean. Both of these effects couple the deep ocean to the shelf.

49 **Index Terms:**4568, 4562,4512

50 **Keywords:** Bottom boundary layer, Baroclinic Instabilities, Boundary Layer Arrest

51

52

53 **1 Introduction**

54 Garrett et al. (1993) and the references therein describe how an alongshore uniform along-isobath flow
55 over a sloping bottom in a rotating stratified fluid leads to cross-isobath density transport. This transport
56 would set up a cross-isobath buoyancy gradient which would lead to vanishing flows at the bottom –
57 bottom boundary layer (BBL) arrest. The arrest of the near-bottom flow would eliminate the effect of
58 bottom friction on flows higher in the water column, and Chapman (2002) found that the deceleration of
59 an unforced along-isobath flow would be halted by the boundary layer arrest. Chapman and Lentz (1997)
60 found that the bottom-boundary arrest would prevent an along-slope current flowing in the direction of
61 Kelvin wave propagation (hereafter downwave) from spreading indefinitely across the shelf. Brink (2012)
62 found that the effects of stratification and BBL arrest on limiting cross-isobath spread of alongshore flows
63 became important as the slope Burger number exceeds roughly 0.2. BBL arrest prevents slope flows from
64 driving shelf flows by preventing the frictional dissipation of relative vorticity in the overlying flows, a
65 mechanism which allows flows to cross isobaths (e.g. Csanady, 1978).

66 These prior results did not focus on instabilities of the sloping isopycnals in the BBL, though the presence
67 of isopycnal slope indicates the presence of available potential energy which could be released by an
68 instability (Stone, 1970). Sloping isopycnals which intersect the bottom can be baroclinically unstable
69 (Blumsack & Gierasch, 1972), and this instability persists in the presence of strong frictional effects (K.
70 Brink & Cherian, 2013), and even be driven by them (McWilliams, 2016). Recent work has explicitly
71 examined the linear stability of an arrested BBL, and found that it is unstable, with instability fast
72 compared to the arrest scale for currents downwave directions, and slower growing instabilities for flows
73 in the upwave sense (Wenegrat et al., 2018; Wenegrat & Thomas, 2020). Flows in the downwave sense
74 lead to downwelling in the BBL, upwave flows to upwelling.

75 A pair of models is used to understand how the development of finite amplitude instabilities in the BBL
76 can greatly reduce the arrest of the BBL. In particular, the magnitude of the near bottom velocity (and
77 thus bottom friction) that persists despite the arrest mechanisms is estimated as a function of slope Burger
78 number bottom, friction, stratification and alongshore flow strength. The results of this work is used to
79 estimate how finite amplitude instability in the BBL can increase coupling between slope and shelf
80 currents, at least for downwave slope currents (Gula et al. (2016) discusses upwave). The focus is on BBL
81 arrest and instability within and closely above the BBL; sub-mesoscale motions caused by the separation
82 of the BBL from the bottom at topographic variation is not examined (e.g. Gula et al., 2014; Molemaker
83 et al., 2014). The same BBL instability mechanism that reduces arrest also ventilates the BBL, pushing
84 boluses of weakly stratified bottom water into the stratified interior.

85 **2 Methods: The primitive equation numerical model**

86 To understand the role of stratification, friction and instability processes in decelerating an along-isobath
 87 flow over a sloping bottom, the deceleration of an initially barotropic current in a stratified, alongshore
 88 periodic ocean is examined. The fundamental approach is similar to Chapman (2002), but with a model
 89 domain that is both much more highly resolved and allows alongshore variation in the flow.

90 The deceleration of the flow by the bottom friction is caused by bottom stress. Because the prior literature
 91 uses both linear and quadratic drag laws, and because depending on the system being modeled, results for
 92 both a quadratic drag law

$$93 \quad \vec{\tau}_{bot} = C_d \rho_0 \vec{u}_{bot} \cdot |\vec{u}_{bot}| \quad (1)$$

94 and a linear drag law

$$95 \quad \vec{\tau}_{bot} = r \rho_0 \vec{u}_{bot} \quad (2)$$

96 will be given. \vec{u}_{bot} is the velocity in the numerical model grid-point nearest to the bottom.

97 The deceleration of the alongshore current is examined with the Regional Ocean Modeling System
 98 (ROMS, Moore et al., 2011). The domain is 200 km across the shelf and 400 km along the shelf, with
 99 (except where specified) an alongshore resolution of 500 m. Some parameter sets are re-run with no
 100 alongshore variation.

101 In the development below, it is found that the bottom slope is an important parameter; to make scaling
 102 easier it is kept constant in the middle portion of the domain. The decay timescale of the alongshore flow
 103 is proportional to 1/depth, and to reduce the effect of the cross-isobath divergence of the cross-isobath
 104 flux of momentum we wish to have a relatively weak cross-shelf gradient in the alongshelf flow. To do
 105 this, and to roughly model the continental slope, the depth of the ocean is given by a hyperbolic tangent
 106 (for a nearly constant slope over the middle part of the domain) and is offset by a large constant (to reduce
 107 the fractional depth change):

$$108 \quad H(x) = H_0 + \alpha W \tanh\left(\frac{x - x_{pivot}}{W}\right) \quad (3)$$

109 where H_0 is the depth offset, α is the bottom slope, W is the width of sloping region, and x_{pivot} is the
 110 location where the depth is always equal to H_0 (Figure 1). For model runs presented in this paper, $H_0=600$
 111 m, $W=30$ km and $x_{pivot}=100$ km. The bottom slope is varied by run, and an example of the geometry is
 112 shown in figure 1. There are walls at $x=0$ and 200 km.

113 At the shallow ($x=0$ km) and offshore ($x=200$ km) boundaries, there is a 20km wide region in which the
 114 density structure is relaxed back to the initial structure; this damps the effect of Ekman pumping at the
 115 boundaries, preventing the formation of jets which, when they become unstable, can contaminate the

116 interior portion of the model domain which are of interest. The timescale of decay is 0.25 day at the
117 boundary and increases to infinity 20km from the boundary.

118 The initial state of the model is a uniform stratification N_0 and a spatially uniform alongshore geostrophic
119 velocity in balance with an alongshore surface pressure gradient. There is no surface wind or buoyancy
120 forcing, so the model spins down from this initial condition. 45 vertical levels are used, and to preserve
121 resolution in the BBL, the following vertical coordinate parameters are chosen: $V_{\text{transform}}=2$, $V_{\text{stretching}}=4$,
122 $\theta_s = 2.0$, $\theta_b = 4.0$ and $T_{\text{cline}}=300$ m (Shchepetkin & McWilliams, 2005). The base case bottom friction is
123 $r=5 \times 10^{-4} \text{ m s}^{-1}$ for linear friction and $C_d = 1.0 \times 10^{-3}$ for a quadratic drag law. The horizontal
124 friction is biharmonic along s-coordinate surfaces with a magnitude of $2.38 \times 10^5 \text{ m}^4 \text{ s}^{-1}$. Halving the
125 horizontal friction has no effects on the results presented here. When the horizontal resolution is changed,
126 the horizontal friction is also changed to keep the damping timescale for $2\Delta x$ features constant. Vertical
127 mixing is parameterized by the $k - \epsilon$ Generic Length Scale Mixing scheme (Warner et al., 2005) with the
128 default ROMS parameters except with the background turbulent kinetic energy changed to match Warner
129 et al. (2005) ($K_{\text{MIN}}=10^{-10} \text{ kg m}^{-1} \text{ s}^{-2}$ in the model) to provide reasonable background diffusivity at large
130 Richardson number and to prevent rapid dissipation of background stratification.

131 **3 Results: The model spindown**

132 The spindown of the alongshore currents is examined in a series of model runs with varying parameters;
133 guided by the existing literature on BBL arrest (K.H. Brink, 2012; D. C. Chapman, 2002), the spindown
134 at the center of the slope of an initially spatially uniform velocity of 30 cm s^{-1} is shown (Figure 2) for
135 flows in the upwave and downwave direction for several values of the Slope Burger number

$$136 \quad S = \frac{N_0 \partial H}{f \partial x} \quad (4)$$

137 In these runs, the initial stratification $N_0 = 5 \times 10^{-3} \text{ s}^{-1}$ and $f=10^{-4} \text{ s}^{-1}$ and S is varied by altering the
138 maximum bottom slope $\partial H/\partial x$. In the two-dimensional runs (the dashed lines of Figure 2) the
139 deceleration ceases for larger values of S , and the deceleration is noticeably less for the upwave than
140 downwave flows. These results are consistent with the boundary layer arrest literature cited above.

141 In the model runs with alongshore variation (the solid lines in the figure), the deceleration does not come
142 to a halt in the downwave case. With alongshore variation, the dependence on S is complex. Deceleration
143 is less with greater S as would be expected, but the difference between the alongshore varying and
144 alongshore uniform runs also increases with greater S . In all cases the downwave flows decelerate faster
145 than the upwave flows. Because these results indicate that the effect of allowing alongshore variation is
146 more pronounced on the deceleration of the downwave flow, the focus below is on downwave flows.

147 In alongshore averaged fields (Figure 1) for the $S=0.5$ runs, the differences between the runs with and
148 without alongshore variation are clear. Five days into the model run, a thick and well mixed BBL
149 develops where downwelling bottom Ekman flows moves less-dense water under more dense water; the
150 scale of the BBL is consistent with the existing literature (Garrett et al., 1993; Trowbridge & Lentz,
151 1991). There is a cross-shelf instability in the BBL for the first few days consistent with the symmetric
152 instability discussed by Allen and Newberger (1998); but it quickly disappears when instabilities with
153 alongshore variation grow. The suppression of symmetric instability by subsequent baroclinic instability
154 has been seen in similar systems (e.g. K. H. Brink, 2015; Haine & Marshall, 1998). Within a few days
155 there is alongshore variation in the alongshore velocity field in the BBL (Figure 1 bottom panels). By day
156 20, the deceleration of the along-isobath flows is noticeably different between the two- and three-
157 dimensional cases, and the BBL has restratified in the latter case. By day 55 the instabilities are still
158 bottom trapped but have extended horizontally offshore. The eddy processes in the downwave case are
159 notably similar to the downwelling relaxation described in Brink (2015), and the restratification of the
160 BBL is essentially similar to that described in Callies (2018).

161 To illustrate the evolution of the BBL instabilities, and their offshore progress with time, Figure 3 shows
162 the evolution of a passive tracer in the $S=0.5$ case. The passive tracer is initialized so that its value is
163 equal to the isobath depth it is over – so a tracer with a value of 630 started over the 630m isobath. The
164 instability starts with small amplitude and a relatively small alongshore lengthscale. Over time, the
165 alongshore length scale and offshore extent of the instability grows. In the bottom panels of Figure 1 the
166 alongshore variability is seen to be largely trapped to the BBL.

167 **3.1 Bottom boundary layer instabilities and the stratified interior**

168 The instabilities restratify the BBL (e.g. Wenegrat et al., 2018). This restratification fundamentally alters
169 the penetration of water near the bottom into the interior by reducing the small-scale turbulent diapycnal
170 mixing of water (e.g. Perlin et al., 2018). At the same time, the eddies driving the restratification transport
171 water horizontally away from the bottom, allowing communication of water properties from the near
172 bottom into the geostrophic interior. In Figure 3, BBL eddies can be seen moving the tracer away from
173 the boundary. As one would expect from work with dense water overflows (Yankovsky & Legg, 2018),
174 localized surface cooling (David C. Chapman & Gawarkiewicz, 1997; Visbeck et al., 1996) and work on
175 parameterization of mesoscale eddy fluxes (Gent & McWilliams, 1990 and the vast literature which grew
176 from it), this eddy mixing away from the BBL tends to mix water more along isopycnal surfaces than
177 across them. This is significantly different than the mixing that would be expected in a weakly stratified
178 turbulent BBL, which mixes water across density classes in the turbulent BBL but also keeps the BBL

179 isolated from the stratified waters above by the lack of turbulent mixing across the stratification above the
180 BBL.

181 To examine the processes that mix water from the BBL into the stratified interior, a simulation of the
182 linear friction base case, $S=0.5$, is conducted starting from day 15 of the model integration, after eddies
183 have developed. Tracer is inserted into the model within 50m of the bottom between the 4.75 and 5.75°C
184 isotherms (in this constant salinity model, isotherms are isopycnals). In Figure 4, the evolution of the
185 tracer is shown over 45 days. As seen in other studies, the large majority of the mixing of tracer away
186 from the BBL is along isopycnals, with little diapycnal mixing. The eddies pull the tracer 40km into the
187 interior in 45 days.

188 When the near bottom flow is downwave, dissipation and mixing near the bottom produces water with
189 greatly reduced Ertel Potential Vorticity (hereafter PV), and when the flow is upwave, upslope advection
190 of density and diapycnal processes generates stratified water with larger PV (Benthuisen & Thomas,
191 2012). The eddies generated by the BBL instabilities then move the anomalous PV into the interior – in
192 Figure 5A the value of the PV on the 5.25°C isotherm is shown for the same time as the tracer in Figure
193 4A; the tracer contours are overlain on the PV. Most of the anomalous PV has an anomalously low
194 magnitude because the bottom flow is largely downwave. The contours of the tracer inserted at the
195 bottom match the low-PV anomaly well, indicating that the weakly stratified low-PV water was produced
196 near the bottom and then carried into the interior.

197 The low PV anomaly can be seen to be limited to the region near the slope where the isopycnals have bent
198 downward to intersect the slope, reducing the stratification (Figure 5B). The eddies have a Rossby
199 number less than 1 and the low-PV is associated with lower stratification. To lower the stratification, the
200 isopycnals which are horizontal in the interior must be tilted downward to intersect the slope, increasing
201 the vertical spacing between the isopycnals. This downward tilt of the isopycnals sets up horizontal
202 density gradients which, through thermal wind, reduce the near bottom alongshore velocity and reduces
203 (though does not eliminate) the bottom drag on the overlying alongshore flow. In the development below,
204 the BBL is defined as the region of low PV near the bottom.

205 **3.2 A reduced-physics model of the extent of BBL arrest for downwave flows**

206 Given the results above, it is hypothesized that the along-isopycnal mixing of PV by geostrophic eddies
207 away from the bottom, and the subsequent restratification and reduction of horizontal density gradients in
208 the BBL, is the mechanism reducing the BBL arrest. To test this, a “reduced physics” model is created
209 which in which the evolution of PV near the sloping bottom is governed by the anomalous PV generated
210 at the bottom and the transport of that PV anomaly by geostrophic eddies along isopycnals into the

211 interior. If the reduced-physics model compares well to the solutions in the full primitive-equation model,
 212 it will support the hypothesis.

213 In the following derivation, it is assumed that all isopycnals that exist in the low-PV region above where
 214 the modeled isopycnal intersects the bottom also intersect the bottom where conditions (e.g. bottom slope,
 215 alongshore currents) are similar. This allows the further assumption that the cross-slope gradients in
 216 stratification, boundary layer thickness, horizontal density gradients and velocity are weak. This is
 217 defensible in the systems shown above, where the bottom slopes in the region of interest are nearly
 218 constant, the initial stratification is uniform, and the fractional depth changes are limited by the relatively
 219 deep water modeled. It limits the applicability of this model in cases where the region of high
 220 stratification is relatively thin, or where the bottom slope changes quickly across the shelf. In particular,
 221 the model cannot be directly applied to the shelf-break front intersecting the bottom near the shelf-break.

222 In this model, the along-isopycnal transport of PV is caused by eddies created by BBL instabilities. The
 223 flux of the PV q has been successfully modeled as a diffusive process with a diffusivity A_h that scales as
 224 the product of the length scale L_{eddy} of the eddy, a velocity scale V_{eddy} and a constant efficiency factor C_e
 225 (e.g. David C. Chapman & Gawarkiewicz, 1997; Spall, 2011; Visbeck et al., 1997):

$$226 \quad A_h = C_e L_{eddy} V_{eddy} \quad (5)$$

227 and

$$228 \quad \frac{\partial q}{\partial t} = A_h \frac{\partial^2 q}{\partial x^2} \quad (6)$$

229 The model equation is written in terms of the cross-shelf distance x and q is evaluated on an isopycnal;
 230 because the vertical excursion of the isopycnals is small relative to the horizontal length scales, there is no
 231 important difference between the along-isopycnal distance in the two-dimensional model and the cross-
 232 shelf coordinate x . The initial condition of our model system is uniform alongshore flow and stratification
 233 N_0^2 , so the initial PV (and the PV in the interior) is

$$234 \quad q = \omega_a \cdot \nabla b = (f \hat{\mathbf{z}} + \nabla \times \mathbf{u}) \cdot (\nabla b) = f N_0^2 \quad (7)$$

235 where b is the buoyancy anomaly $b = -g(\rho - \rho_0)/\rho_0$.

236 The lengthscale of the eddies doing the mixing is assumed to scale as the internal radius of deformation in
 237 the BBL (e.g. Spall, 2011; Wenegrat et al., 2018):

$$238 \quad L_{eddy} = \frac{N_{LPV} \delta_{LPV}}{f} \quad (8)$$

239 where N_{LPV} is the buoyancy frequency in the low-PV region near the bottom, and δ_{LPV} is the vertical
 240 extent of that region. The eddy velocity V_{eddy} is assumed to scale with the average geostrophic alongshore
 241 velocity of the BBL. The geostrophic alongshore velocity is in the BBL, V_{LPV} , is the overlying current V
 242 reduced by thermal-wind shear in the BBL:

$$243 \quad V_{LPV} = V + (z + H - \delta_{LPV}) \frac{1}{f} \frac{\partial b}{\partial x} \quad (9)$$

244 Both L_{eddy} and V_{LPV} depend on the horizontal and vertical buoyancy gradients in the BBL. The horizontal
 245 density gradient can be estimated from the vertical buoyancy gradient in the low-PV region N_{LPV}^2 . Above
 246 the low-PV region, the PV remains the initial PV, and the stratification remains the initial stratification
 247 N_0^2 . The buoyancy in both these regions is then (assuming $b=0$ at $z=0$ and a water depth H)

$$248 \quad b = N_0^2 z \quad \text{for } z \geq -H + \delta_{LPV} \quad (10)$$

$$249 \quad b = N_{LPV}^2 z + C \quad \text{for } z \leq -H + \delta_{LPV} \quad (11)$$

250 Setting the constant C so that the buoyancy b is continuous at the top of the low-PV region and taking the
 251 cross-shelf derivative of b gives the cross-shelf gradient in buoyancy in the bottom low-PV region:

$$252 \quad \frac{\partial b}{\partial x} = (N_0^2 - N_{LPV}^2) \left(-\frac{\partial H}{\partial x} - \frac{\partial \delta_{LPV}}{\partial x} \right) \quad (12)$$

253 Because of the assumption that the dynamics of the low-PV region is locally uniform in the cross-shelf
 254 direction, $\frac{\partial \delta_{LPV}}{\partial x}$ is assumed to be zero.

255 To estimate N_{LPV}^2 , q_{LPV} is written assuming balanced flow (e.g. Holmes et al., 2014) so that:

$$256 \quad q_{LPV} \approx f N_{LPV}^2 \left\{ 1 + \frac{1}{f} \frac{\partial V_{LPV}}{\partial x} - \frac{1}{N^2} \left(\frac{\partial V_{LPV}}{\partial z} \right)^2 \right\} \quad (13)$$

257 Equations (9), (12) and (13), along with the assumption that cross-shelf conditions are roughly constant (
 258 $\frac{\partial^2 b}{\partial x^2} = 0$ and $\frac{\partial \delta_{LPV}}{\partial x} = 0$) can be used to find

$$259 \quad N_{LPV}^2 = \left(\frac{\frac{q_{LPV}}{f} + \frac{N_0^4}{f^2} \left(\frac{\partial H}{\partial x} \right)^2}{1 + \frac{N_0^2}{f^2} \left(\frac{\partial H}{\partial x} \right)^2} \right) = \left(\frac{\frac{q_{LPV}}{f} + N_0^2 S^2}{1 + S^2} \right) \quad (14)$$

260 Where S is the slope Burger number given the initial stratification from (4). From these, the average
 261 velocity in the BBL, V_{eddy} , and the geostrophic velocity at the bottom from which drag is calculated V_{bot}
 262 can be written:

263
$$V_{bot} = V + \frac{\delta_{LPV}}{f^2} (fN_0^2 - q_{LPV}) \frac{\partial H}{\partial x} \quad (15)$$

264
$$V_{eddy} = V + \frac{\delta_{LPV}}{2f^2} (fN_0^2 - q_{LPV}) \frac{\partial H}{\partial x} \quad (16)$$

265 Note that V is negative for downwave flows for this bathymetry. V , the current above the BBL, can be
 266 estimated by assuming that the depth averaged velocity $\langle V \rangle$ is decelerated by the bottom drag

267
$$\frac{\partial \langle V \rangle}{\partial t} = -\frac{1}{H} \frac{\tau_{bot}^y}{\rho_0} \quad (17)$$

268 and correcting for the difference between the depth averaged velocity and the velocity above the BBL
 269 caused by the velocity deficit in the BBL:

270
$$V = \langle V \rangle + \frac{\delta_{LPV}}{2f^2 H} (fN_0^2 - q_{LPV}) \frac{\partial H}{\partial x} \quad (18)$$

271 This treatment of V neglects the cross-shelf advection of alongshelf momentum; this is discussed in the
 272 supplementary information.

273 PV is conserved in the interior; the anomalous PV near the bottom is caused by the flux of low-PV from
 274 the boundary where the isopycnal being modeled intersects the bottom. This flux is created by the viscous
 275 dissipation of alongshore momentum, and is well described by Benthuisen and Thomas (2012) and
 276 Wenegrat and Thomas (2020). A derivation closely following their work is given in the supplementary
 277 information. The flux of PV normal to the boundary is (where θ is the angle of the bottom from
 278 horizontal and τ_{bot}^y is the alongshore bottom stress calculated from V_{bot} and a drag law):

279
$$J^n = \hat{k} \cdot \nabla b \times \mathbf{F} \approx N_{LPV}^2 \theta \frac{\partial}{\partial z} (\rho_0^{-1} \tau^y) \approx N_{LPV}^2 \theta \frac{\tau_{bot}^y}{\delta \rho_0} = N_{LPV}^2 \theta \frac{\tau_{bot}^y}{\delta_{LPV} \rho_0}. \quad (19)$$

280 The vertical length scale δ has in other work (e.g. Wenegrat & Thomas, 2020) been set to the vertical
 281 scale of the low-PV layer, which is called δ_{LPV} . The justification for this is unclear, both in the prior
 282 literature and to this author. This assumption would appear inconsistent with the assumption that the PV
 283 dynamics are primarily along-isopycnal, unless there is divergence of the flux of momentum carried by
 284 the eddies along isopycnals across the low-PV region. The justification for using δ_{LPV} remains an open
 285 question; however, it is seen below that (19) correctly predicts the flux of PV from the bottom.

286 The PV flux in (19) is normal to the bottom, but the model in (6) is written in the cross-shelf coordinate.
 287 To correct for this rotation, the flux into (6) must be divided by the bottom slope. At small slope angles, θ
 288 is approximately equal to the bottom slope, and the boundary condition on (6) at the slope is

289
$$A_h \frac{\partial q}{\partial x} = \frac{J^n}{\frac{\partial H}{\partial x}} \approx N^2 \frac{\tau_{bot}^y}{\delta \rho_0} \quad (20)$$

290 To close the model, the thickness of the low-PV region δ_{LPV} and the average PV in this region, q_{LPV} are
 291 needed. The height of the low-PV layer near the bottom, δ_{LPV} is the along-isopycnal lengthscale of the
 292 low-PV anomaly L_{LPV} multiplied by the bottom slope

293
$$\delta_{LPV} = L_{LPV} \frac{\partial H}{\partial x} \quad (21)$$

294 because the top-most isopycnal in the low-PV layer at a point x_0 has, by assumption, the same L_{LPV} and
 295 the same cross-slope change in depth as the isopycnal originating at x_0 , since it depends on the PV flux
 296 along that isopycnal where it intercepts the bottom. By assumption, that flux is the same as at x_0 . The size
 297 of the near-bottom low-PV region L_{LPV} is found from the solution to the eddy-flux model (6). It is the
 298 extent of the near-bottom region where the PV is less than $1 - \epsilon$ of the interior PV fN_0^2 . The model
 299 results are not sensitive to the exact value of ϵ . The results below are for $\epsilon = 0.1$, but minimal changes
 300 are seen for 0.05. The mean potential vorticity of the low-PV region q_{LPV} is the average PV from the slope
 301 boundary of the model to L_{LPV} offshore. These definitions of L_{LPV} and δ_{LPV} are computationally robust
 302 and efficient, but their non-linearity and non-algebraic form are the chief impediment to an analytic
 303 treatment of this model.

304 This completes the simplified model for the evolution of the low-PV layer. This model is solved with a
 305 small Python code included in the supplementary information, and can be run on a laptop.

306 **3.3 Evaluating the reduced-physics model of BBL arrest for downwave flows**

307 The reduced physics model described above is compared to the full solution of the ROMS numerical
 308 circulation model over the 600m isobath (the “full” model), which is always at the center of the slope.
 309 This ensures that the assumption that conditions do not vary locally across-isobaths is met. Both models
 310 are run for S from 0.1 to 1.8, initial currents of 0.15 m/s to 0.6 m/s, linear friction of 5 and 2.5×10^{-4} m/s or
 311 quadratic drag of 0.5, 1.0 and 2.0×10^{-3} , and initial values of N of 5 and $10 \times 10^{-3} \text{ s}^{-1}$; the details are given in
 312 table 1. In the simple model, there is a single free parameter, the eddy mixing efficiency C_e . This is set to
 313 0.06, which is the value that gives the minimum sum of squared differences between the ratio of bottom
 314 velocity to surface velocity in the two models in the quadratic drag case. This value is about 4 times
 315 greater than the value found for high Richardson number eddies in the surface ocean (Visbeck et al.,
 316 1997).

317 The results from the full numerical circulation model and the simple model for the quadratic drag law are
 318 compared after a 30 day model integration (figure 6). They compare well (in the supplementary

319 information an animation is given showing the time evolution of this figure over 60 days; the results are
320 very similar over all these times). Panels A and B show the surface and bottom velocities scaled by the
321 initial velocity. In both more positive values indicate either greater BBL shutdown or reduced drag
322 coefficient, and there is good agreement between the models. Panel C compares the estimate of the
323 thickness of the low-PV layer, and the comparison is similarly good.

324 Panel D of figure 6 is the most dynamically important of the results shown; it is the ratio of the bottom
325 velocity to the surface velocity, γ , and is a measure of the BBL arrest. Smaller values indicate greater
326 arrest, with 0 being full arrest and 1 being no arrest. The agreement of the two models is good, with a
327 correlation of 0.9 and a clustering around the 1:1 line. There is a systematic error where smaller initial
328 alongshore currents lead the simple model to under-predict the extent of arrest when compared to the full
329 circulation-model (e.g. for the $S=0.5$ (red) points, follow the sequences ♠, ♣ and ♥ or ▼, ▲ and ◀ for
330 initial alongshore velocities of 0.15, 0.3 and 0.6 m/s, respectively, at different drag values). One reason
331 for this is that the BBL eddy mixing is assumed to be instantly fully developed; but in the primitive-
332 equation model it takes time for the instability to develop, with weaker flows taking more time to develop
333 eddies. But overall, the agreement is good.

334 The results for the linear bottom friction are similar, though with a tendency for the simple model to
335 overpredict the amount of BBL arrest when the bottom velocity is weak (Figure 7B and C). In part this is
336 because there is greater frictional decay of the alongshore flow for the linear drag, since the spin down
337 timescale does not increase as the currents weaken with linear friction, as it does for the quadratic drag
338 law (note that the effective friction coefficient r in the quadratic drag case is $Cd|\vec{v}|$). This effect is
339 especially pronounced over the flatter portions of the model domain on either side of the slope (figure 1),
340 leading to stronger currents over the slope with much weaker flows on either side. This leads to a weak
341 instability and a meandering in the current over the slope which extends throughout the water column,
342 leading to near bottom alongshore flow and bottom drag. This meander is seen as a weak deviation of the
343 alongshore flow from the mean alongshore flow even above the low-PV region in the bottom row of
344 figure 1. This is a mechanism distinct from that discussed here.

345 The close correspondence of the results between the reduced-physics model and the primitive equation
346 numerical circulation model confirms the relevance of the reduced-physics model; the dynamics of partial
347 BBL arrest and evolution can, for downwave flows, be understood as the creation of anomalous PV at the
348 bottom and its fluxing into the interior by eddies that arise in the unstable BBL.

349 **3.4 The parameter dependence of the reduced physics model**

350 The reduced physics model can now be used to examine the dynamics of partial BBL arrest of downwave
351 flows. The focus is on a BBL arrest parameter γ , and on the quadratic drag law, as this drag law makes the
352 results more directly comparable to recent work on BBL shutdown (e.g. K. H. Brink & Lentz, 2009).

353 Begin by assuming that the water depth above the BBL is very great, so that the alongshore flow is not
354 decelerated by the bottom drag; this is an assumption commonly made in studying BBL dynamics
355 (Benthuisen & Thomas, 2012; e.g. K. H. Brink & Lentz, 2009; MacCready & Rhines, 1993). This
356 assumption removes a timescale from the analysis, as it makes the timescale of the decay of the
357 alongshore flow infinite. The simple model then depends on a small number of quantities: the drag
358 coefficient C_d ; the un-varying alongshore velocity above the BBL, V ; the near-bottom geostrophic
359 velocity V_{bot} ; the initial stratification N_0 ; the Coriolis parameter f ; the bottom slope $\frac{\partial H}{\partial x}$; and the time since
360 the model has started with depth-uniform velocity and depth-uniform stratification, t . These seven
361 quantities in two units, length and time, would suggest from Buckingham-P theory that this system is
362 governed by 5 non-dimensional parameters. However, earlier results (e.g. K. H. Brink & Lentz, 2009 and
363 citations therein) suggest that some of these non-dimensional parameters can be combined to reduce the
364 dimensionality of the system. C_d and $\frac{\partial H}{\partial x}$ are combined with the other parameters to make the scaled drag
365 $C_d N_0 / f$ and the slope Burger number $S = \frac{N}{f} \frac{\partial H}{\partial x}$. The remaining non-dimensional parameters are N/f , the
366 BBL arrest parameter $\gamma = V_{bot} / V$, and the nondimensional time ft .

367 I have not been able write the reduced-physics model analytically, because δ_{LPV} is calculated numerically
368 from the solution to (6), and so it cannot yet be rigorously shown that γ can be written as a function of S ,
369 N/f , tf , and $C_d N_0 / f$. However, numerical solutions to the reduced-physics model find that for infinite water
370 depth, γ is constant for any oceanographically realistic set of S , N/f , tf , and $C_d N_0 / f$ even as the individual
371 dimensional parameters (e.g. N and f) are varied. This is shown in figure 8, where in the infinite depth
372 case γ is shown for $S=1.0$, $N/f=50.0$, $tf=42.3$, and $C_d N_0 / f=0.15$ as the quantities f , N , and C_d are varied by
373 an order of magnitude; γ is constant even as the dimensional parameters vary. Even in the finite depth
374 case, where $H=600\text{m}$, γ varies by 10% or less as the dimensional parameters are varied by an order of
375 magnitude. The infinite depth solution remains close to the finite depth solution when the timescale of the
376 current spindown $H/(\gamma C_d V)$ is long compared to the timescale of evolution of the reduced-physics model.

377 These results strongly suggest that the non-dimensional parameters S , N/f , tf , and $C_d N_0 / f$ are sufficient to
378 understand the BBL arrest in the limit of a steady overlying flow, and are a good guide to understanding
379 the magnitude of BBL arrest in finite depth cases. γ is shown as a function S , tf , and $C_d N_0 / f$ in panels B-E
380 of figure 8, with greater γ indicating less arrest.

381 The least arrest (γ close to 1) is seen where S or the non-dimensional drag $C_d N_0 / f$ are small. This is
382 consistent with the timescale needed for arrest in a downwelling-favorable (downwave) flow, which
383 becomes infinite as either of these parameters becomes small. Figure 8 panels B) to E) include a line
384 marking where the non-dimensional time tf is equal to the equivalent time scale for BBL arrest given in
385 Brink and Lentz (2009) for a system with no alongshore variation. Above and to the right of this line the
386 Brink and Lentz timescale is less than the time the model has been run (though it should be noted that
387 instabilities modify the solution significantly well before the Brink and Lentz timescale). The most arrest
388 occurs when both S and the non-dimensional drag $C_d N_0 / f$ become larger, with bottom currents less than
389 20% of the overlying currents as S exceeds ≈ 1.5 and $C_d N_0 / f$ exceeds ≈ 0.15 . In all cases, increased non-
390 dimensional bottom slope and stratification (S) or increased non-dimensional bottom drag decrease γ and
391 thus reduce the effect of bottom drag on the overlying flow. At the same time, the instabilities prevent
392 BBL arrest and allow bottom drag long after Brink and Lentz would predict complete BBL arrest. The
393 code of the reduced-physics model used to produce figure 8 are provided in the supplementary materials.

394 **4 Discussion**

395 A reduced-physics model of the evolution of the bottom boundary layer was created, based on a simple
396 eddy mixing parameterization of the effect of the eddies created in the BBL and the observation that
397 eddies flux PV anomalies created at the bottom boundary along isopycnals away from the boundary. This
398 model compares well with a fully primitive-equation numerical model of a downwave flow over a
399 sloping bottom, strongly supporting the ideas that these limited dynamics are sufficient to capture effects
400 of stratification on the bottom drag of a downwave flow in a strongly stratified ocean over a sloping
401 bottom. These specific results are limited to the case where the stratification at the bottom does not vary
402 greatly in the cross-shelf direction.

403 **4.1 Implications for numerical modeling of slope systems**

404 The instability processes involved can be simulated by a standard hydrostatic numerical ocean model,
405 such as the ROMS model used above. However, to do this, the resolution of the model must be sufficient
406 to resolve these instabilities, and this resolution is finer than typical in most large-scale or regional models
407 of coastal systems.

408 Figure 9 shows the decay of along-isobath surface currents for $S=0.5$ and linear bottom friction for
409 horizontal model resolutions of 250m to 2km, along with the solutions for flows without alongshore
410 variation. For the coarse 2km resolution, the runs with alongshore variation and instability are similar to
411 those with no alongshore variation and experience significant BBL arrest; it should be noted that even this
412 2km resolution exceeds or matches that of most existing regional models. It is only as resolution becomes

413 finer that the model solution converges, and the solution has both BBL instability and enhanced drag on
414 the alongshore flow.

415 The failure of the relatively coarse resolution models to accurately simulate the deceleration of the
416 alongslope currents in models that do not resolve the instability processes in the BBL, and fact that the
417 resolution of these failing models is comparable or finer than many models of the shelf/slope sea,
418 suggests that efforts to parameterize the effects of BBL instabilities are necessary.

419 **4.2 Cross-slope coupling of currents**

420 In the linear, low Rossby number limit, for a flow along the slope to cross-isobaths and drive flows on the
421 shelf something must cause the depth-averaged potential vorticity of flow, f/H , to change. In the arrested
422 topographic wave (ATW) limit, an along-isobath jet can spread across isobaths because of the frictional
423 dissipation of relative vorticity (Csanady, 1978). In the ATW limit, slope flows drive shelf flows, and
424 these shelf flows increase in the downwave direction as the current spreads across the shelf. Chapman and
425 Lentz (1997), hereafter CL97, included stratification and bottom boundary layer arrest into a similar
426 analysis on an f -plane. CL97, like ATW, assumes alongshore lengthscales are greater than cross-shelf
427 scales and does not allow instabilities. CL97 found that boundary layer arrest, by shutting down the flow
428 at the bottom and thus bottom friction, prevented the jet from widening or dissipating. This left it to flow
429 unchanged along isobaths. In their limit, a slope jet in stratified slope waters remains mostly confined to
430 the slope, and does not drive large shelf flows. The contrast between CL97 and ATW leads to the
431 hypothesis that when instabilities reduce BBL arrest, they will allow a downwave slope jet to spread
432 across isobaths as it progresses downwave.

433 To test this hypothesis, an idealized numerical model run was created with ROMS, with 100km wide
434 shelf with a bathymetric-slope of 10^{-3} and a 200km wide slope with a bathymetric slope of 10^{-2} . The
435 stratification was such that $S=0.5$ over the slope, and the system was driven by a jet flowing in from the
436 northern boundary between the shelfbreak and 75km farther offshore with a velocity of 0.60 m s^{-1} (Figure
437 10). The domain has an alongshore extent of 1200km, or roughly the along-coast distance from the
438 Laurentian Channel to Cape Cod or from the northern part of the Gulf of Maine to Cape Hatteras.
439 Because of this latitudinal extent, the model is run on a β -plane, with $f=10^{-4} \text{ s}^{-1}$ and $\beta=1.6 \times 10^{-11} \text{ s}^{-1} \text{ m}^{-1}$.
440 With the same domain and forcing, the model of CL97 was implemented, along with the ATW model. To
441 match CL97, the linear bottom friction was $5.0 \times 10^{-4} \text{ m s}^{-1}$.

442 The results of these models are shown 1000km downwave of the inflow in Figure 10. A significant
443 fraction of the jet has moved both offshore to deeper isobaths and onto the shelf in all cases. In all but the
444 ATW model, along-slope flow increases downwave because the flow remains somewhat along lines of

445 constant f/H , and as the flow moves equatorward, these lines converge shoreward because of β ,
446 accelerating the flow. In the CL97 solution, there is much less broadening of the jet; because of BBL
447 arrest, the flow ceases to spread across lines of constant f/H . The effects of the reduced BBL arrest in the
448 ROMS model which allows BBL instabilities is evident on the shelf, where the flow is 50% to 100%
449 greater than would be expected from CL97. The model with BBL instabilities is significantly closer to the
450 ATW solution because the instabilities allow bottom drag on the along-isobath flow.

451 These results are consistent with the changes in jet dynamics expected with stronger bottom friction. This
452 suggests that the instability-driven reduction of BBL arrest and associated bottom friction seen in Figure
453 10 are important to include in efforts to understand the dynamics of downwave jets along continental
454 slope, such as the Labrador current and its equatorward extension and the Oyashio. In a recent study of
455 the effect of gyre scale circulation on shelf flows, the strength of the bottom friction was found to be a
456 key control on the ability of gyre-scale circulation to change coastal sea level (Wise et al., 2018). This
457 suggests that BBL instability increase the influence of the gyre scale circulation on coastal sea level when
458 the western boundary current is downwave, as is true in the sub-polar gyres and the equatorward
459 extension of their western boundary currents.

460 **4.3 Observing BBL non-arrest**

461 Observations of boundary layer arrest on the shelf are sparse; of BBL instabilities more so. As seen in
462 Figure 1, once the BBL becomes unstable, it becomes restratified even in the presence of along-isobath
463 downwave flows which would be expected to continuously destroy the stratification. While the along-
464 isobath current is flowing downwave, instabilities would be continuously fueled by the downslope Ekman
465 transport's injection of potential energy into the density field – and would create enhanced variability in
466 the along-isobath flow in the low-PV region relative to the more stratified waters above (e.g. Figure 1,
467 bottom panels). Attempting to estimate bottom boundary layer dissipation of energy in the ocean Sen et
468 al. (2008) and Wright et al. (2012) examined their current data for evidence of BBL shutdown and failed
469 to find any evidence for it. Wright et al. (2012) found greater currents near the bottom than above. These
470 are consistent with the predictions above – but neither effort controlled for the slope Burger number S .
471 Callies (2018) studied the restratification of abyssal BBL by instabilities, compared his predictions to
472 observed BBL stratification in the South Atlantic Ridge, and found good agreement.

473 A more easily observed indicator of BBL instabilities and arrest escape would be an increase in the
474 frequency of boluses low PV, weakly stratified water in the otherwise stratified waters near the slope
475 when the near-bottom flows are downwave, even while the BBL does not create a thick (e.g. comparable
476 to the predictions of Chapman and Lentz (1997)) bottom mixed layer (e.g. figures 1 and 5; see also
477 Wenegrat et al. (2018)). For example, the slope Burger number of coastal Peru at about 15°S during the

478 late summer of 1977 was roughly 1.6. As part of the Coastal Ecosystem Upwelling Analysis program in
479 coastal Peru from March to mid-May of 1977 (Kenneth H. Brink et al., 1978, 1979) two moorings were
480 placed in the water column at a depth 121m (12km from the coast) and in 86m (4km from the coast) at
481 about 15°S. The near bottom flows showed 7 to 12 day periods of downwave (negative/poleward) flow
482 alternating with periods of upwave flows of shorter duration (2-5 days). The data are low-pass filtered
483 with the pl33 filter (Beardsley et al., 1985) with a half-power point of 46 hours (Figure 11).

484 In CTD surveys taken along the mooring line, regions of well mixed water can be found away from the
485 bottom or surface boundary layers; an example from the 19th of March 1977 is given in Figure 11, where
486 a regions is marked as well mixed if there is a less than 0.01°C change in the vertical. These well mixed
487 patches were smaller than the horizontal resolution of the CTD sections (roughly 6km), most were less
488 than 10m thick, and nearly all less than 20m thick. Most of the well mixed patches were in the lower half
489 of the water column. Unfortunately, the temporal resolution of the CTD data was insufficient to compare
490 to the currents.

491 The temperature sensors on the moorings were widely spaced; for the mooring at 121m depth, the sensors
492 were at 115, 100, 80, 59m and shallower (Figure 11). In an analysis (not shown) of stratification
493 estimated between the bottom two instruments of each mooring, a strong association was found between
494 downwave flows and relatively “well-mixed” bottom boundary layers as estimated by the relatively
495 widely spaced current meters (between 115 and 100m in 121m depth, and 78 and 67m in 86m depth; a
496 “mixed layer” is defined as a temperature difference <0.02°C; this is the strictest criterion which makes
497 sense given the accuracy of the Anderaa current meters (K.H. Brink et al., 1978)). This is as would be
498 expected for downwelling flows. However, interestingly, the “well-mixed” periods were intermittent even
499 near the bottom during strong downwelling favorable flows. Over a two-day period the maximum
500 frequency of time the water was well-mixed was less than 30% of the time at the shallow mooring, and
501 likewise at the deeper mooring. This intermittency might be caused by the large vertical spacing of the
502 sensors and their distance from the bottom. Or they might be due, as suggested by the modeling above, to
503 the eddy-restratification of the bottom boundary layer.

504 Away from the bottom, in the next higher pairs of temperature sensors on both moorings, there is
505 evidence of boluses of “well-mixed” water passing between the sensors. 1 percent of the time at the 86m
506 depth mooring, between 46 and 67m, the water is “well-mixed.” 6 percent of the time the water is “well-
507 mixed” between the 80 and 100m sensors at the 121m mooring (Figure 11). These “well-mixed” periods
508 were intermittent. Even over a one-day window, the peak frequencies of “well-mixed” water was about
509 30-50%. To compare the frequency of the mixed layer to the alongshore current, the alongshore velocity
510 (filtered with a two-day average) at the second sensor above the bottom is correlated to the daily mixed

511 layer frequency. Because the distribution of mixed layer frequency is very non-Gaussian, Kendall's τ , a
512 non-parametric correlation coefficient, is used and the confidence calculation is adjusted for the large
513 number of ties in the data (Daniel, 2000). The correlation between the alongshore velocity and the
514 frequency of well-mixed water between 80 and 100m is -0.27, and -0.38 between 100m and 115m, both
515 significant at the 95% level. The sign of the correlation is consistent with downwave flows leading to
516 boluses of well mixed waters leaving the bottom boundary layer. The relatively low correlations and
517 intermittency of mixed layers is consistent with kilometer scale filaments and boluses of relatively well
518 mixed (low PV) waters of kilometer-scale horizontal extent surrounded by stratified waters being
519 advected through the moorings by an alongshore velocity of $O(0.1 \text{ to } 0.2) \text{ m s}^{-1}$ when the flow (averaged
520 over eddies) is downwave.

521 **Conclusions:**

522 The BBL arrest suggested by Garret et al. (1993), Trowbridge and Lentz (1991), Chapman and Lentz
523 (1997) and others is found to be reduced by baroclinic instabilities in the BBL when the flow is
524 downwave. The downwave flow drives diabatic mixing which destroys PV in the bottom boundary layer
525 (Benthuisen & Thomas, 2012), creating horizontal density gradients which become baroclinically
526 unstable. The resulting eddy mixing stirs the low-PV water offshore, restoring stratification in the BBL
527 and reducing the BBL arrest. Where boundary layer arrest is broken down by instabilities in the boundary
528 layer, the overlying geostrophic flow remains frictionally coupled to the bottom. The resulting Ekman
529 pumping can both slow the alongshore flows and allow them to spread across isobaths. This mechanism
530 can enhance the coupling between downwave slope flows and the shelf, and lead to stronger flows on the
531 shelf in the same direction as the slope flows and coupling shelves to the adjacent gyre scale circulation
532 (Wise et al., 2018). The eddies created by the BBL instabilities mix water from the BBL nearly
533 horizontally into the stratified interior, a potentially important mechanism for coupling the sediment/water
534 interface into the stratified interior. Given that large scale ocean models currently have resolutions that
535 fail to capture the effects of BBL instabilities (e.g. Figure 9), some parameterization of their dynamics,
536 based on theory and validated by observations, is essential to understanding their broader impact.

537 A simple model has been created, and is provided in the supplementary information, which captures these
538 processes and accurately predicts the extent of BBL layer arrest and the spread of low-PV water into the
539 stratified interior.

540 The strength of the near bottom flows relative to overlying flow, and thus the strength of the drag on the
541 overlying flows, is a function of the non-dimensional parameters $S = \frac{N}{f} \frac{\partial H}{\partial x}$, N/f , tf , and $C_d N_0 / f$ in the limit of
542 an infinitely deep ocean or an overlying flow of fixed strength. In the limit of finite depth and a flow

543 which decelerates due to drag, these results are still approximately true. The near bottom flow is greater
544 (the arrest in the BBL is less) when the slope Burger number S is less or the non-dimensional drag $C_d N_0 / f$
545 is less; the sensitivity to the other parameters is much less, for reasonable oceanographic values. These
546 results suggest that BBL instability and reduced BBL arrest should be broadly important – for instance on
547 the slope adjacent to the Mid-Atlantic Bight where the shelf and slope flows are downwave (Robinson &
548 Brink, 2006), and the slope Burger numbers are moderate (Figure 12).

549 The direct observation of these relatively small scale and near bottom instabilities is challenging – but the
550 predictions of near bottom instabilities, boluses of weakly stratified water horizontally adjacent to the
551 BBL and stratified BBL's during downwave flow are consistent with observations (e.g. Stahr & Sanford,
552 1999 and citations within and above).

553 The description above of the impact of BBL instability on the stratification and flow near the bottom is
554 incomplete; much remains to be done. Most importantly will be to express the simple model presented
555 above in a more analytic formulation in order to more mechanistically understand the relation between the
556 governing parameters and the velocity in the BBL. In particular, the reason the vertical length scale of
557 stress-divergence in (19) can be expressed as the thickness of the low-PV region remains unclear. The
558 simple model created above also assumes that the stratification and bottom slope are slowly changing in
559 the cross-shelf direction, so that over a horizontal length scale $\delta_{LPV} \left(\frac{\partial H}{\partial x}\right)^{-1}$ the alongshore flow and
560 stratification at the bottom remain relatively constant. This is not applicable where the thermocline
561 intersects the bottom, leading to a thin region of enhanced S (e.g. the shelf/slope fronts seen in Figure 12.)

562 Nonetheless, the instabilities of the BBL have been shown to have impacts well outside of the bottom
563 boundary layer, and are important in the coupling of the overlying stratified waters to the BBL, both for
564 momentum and the injection of BBL water into the stratified interior. These small scale dynamics are
565 usually missing in our larger scale models, be they conceptual, idealized or numerical.

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697

698 Table 1: Key to model run symbols.

Color	Slope Burger #	f
●	S=0.1	10^{-4}
●	S=0.3	10^{-4}
●	S=0.5	10^{-4}
●	S=0.7	10^{-4}
●	S=0.9	10^{-4}
●	S=1.2	0.5×10^{-4}
●	S=1.5	0.5×10^{-4}
●	S=1.8	0.5×10^{-4}

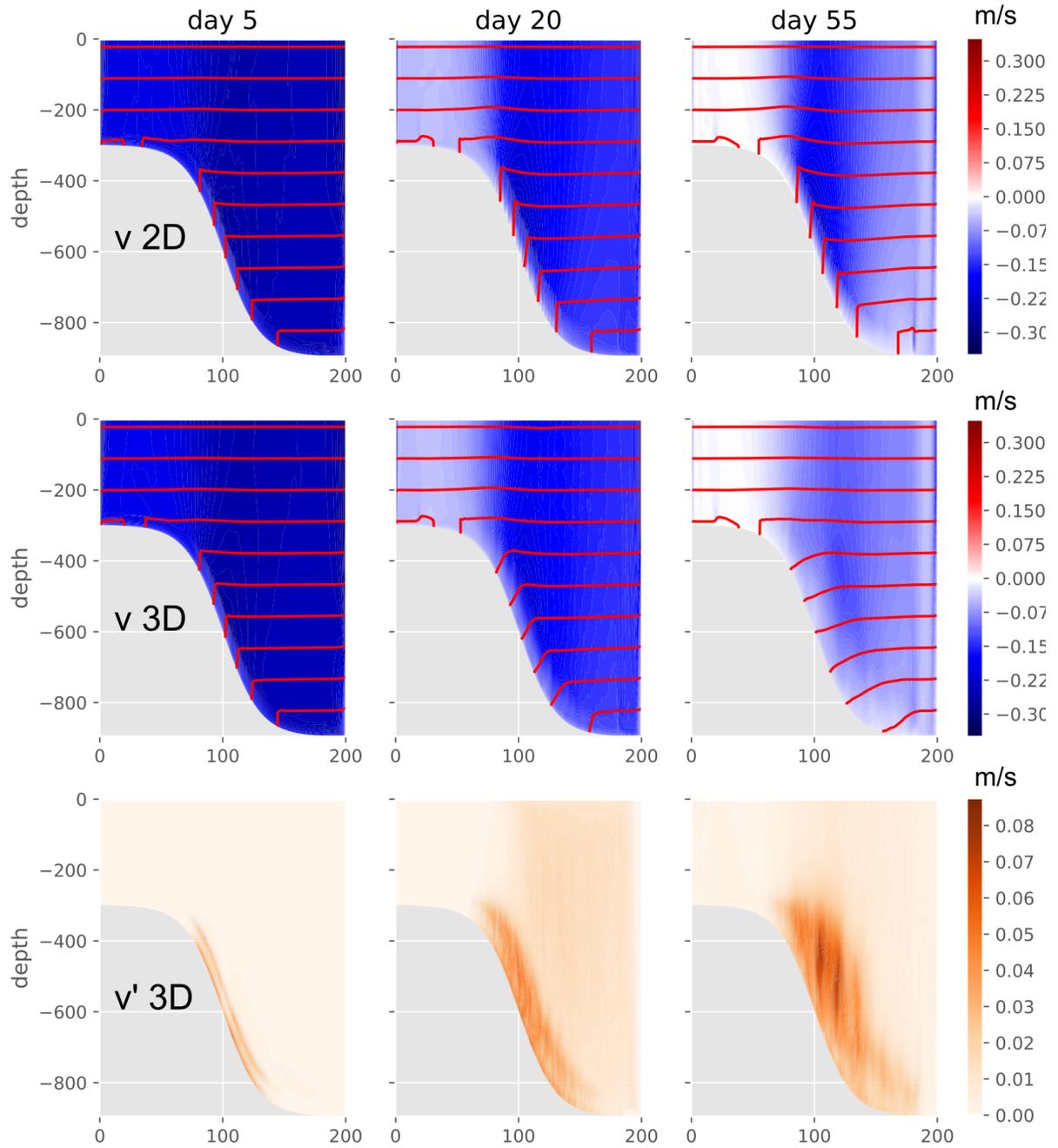
Marker	Initial Velocity	Drag Coefficient	Initial Buoyancy Frequency
▼	0.15 ms^{-1}	5e-04	$5e-03 \text{ s}^{-1}$
▲	0.30 ms^{-1}	5e-04	$5e-03 \text{ s}^{-1}$
◄	0.60 ms^{-1}	5e-04	$5e-03 \text{ s}^{-1}$
♣	0.15 ms^{-1}	1e-03	$5e-03 \text{ s}^{-1}$
♠	0.30 ms^{-1}	1e-03	$5e-03 \text{ s}^{-1}$
♥	0.60 ms^{-1}	1e-03	$5e-03 \text{ s}^{-1}$
★	0.15 ms^{-1}	2e-03	$5e-03 \text{ s}^{-1}$
×	0.30 ms^{-1}	2e-03	$5e-03 \text{ s}^{-1}$
*	0.60 ms^{-1}	2e-03	$5e-03 \text{ s}^{-1}$

Marker	Initial Velocity	Bottom Friction r	Initial Buoyancy Frequency
▼	0.15 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
★	0.15 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$
▲	0.30 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
×	0.30 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$
▼	0.60 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
*	0.60 ms^{-1}	$2.5e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$
♣	0.15 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
♠	0.15 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$
♣	0.30 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
♠	0.30 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$
♥	0.60 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$5e-03 \text{ s}^{-1}$
♦	0.60 ms^{-1}	$5.0e-04 \text{ ms}^{-1}$	$1e-02 \text{ s}^{-1}$

699

700 The parameters used in the full numerical model runs, both with linear and quadratic bottom drag, along
 701 with a key to the symbols and colors used in the figures to represent these model runs.

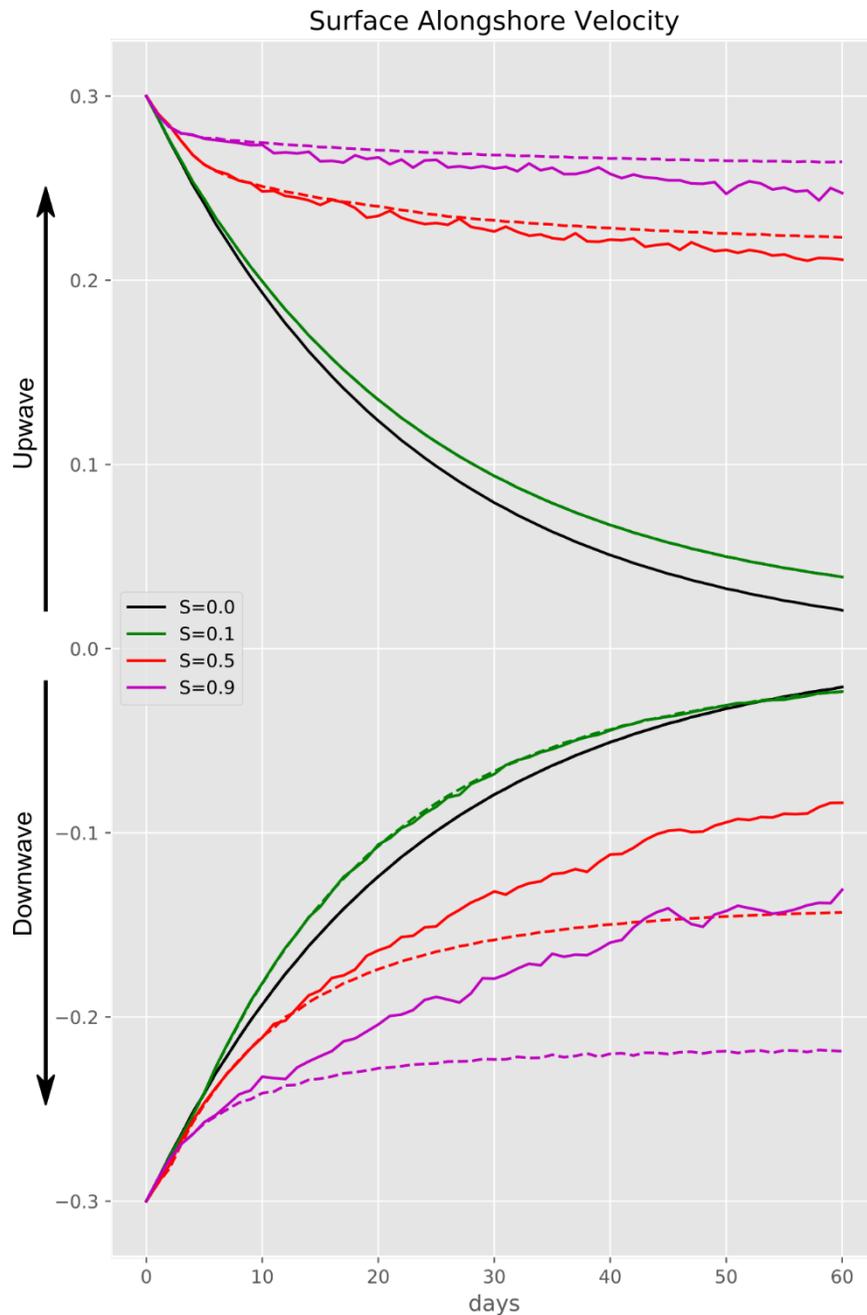
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704 Figure 1: Top row: the alongshore velocity (colors) and isopycnals (red) for days 5, 20 and 55 of the case
 705 with no alongshore variation and an initial downwave-ward flow. Middle row: the alongshore flow and
 706 isopycnals for the same days in the case with alongshore variation. Bottom row: the standard deviation
 707 from the alongshore mean of the alongshore velocity (v') for the case with alongshore variation.

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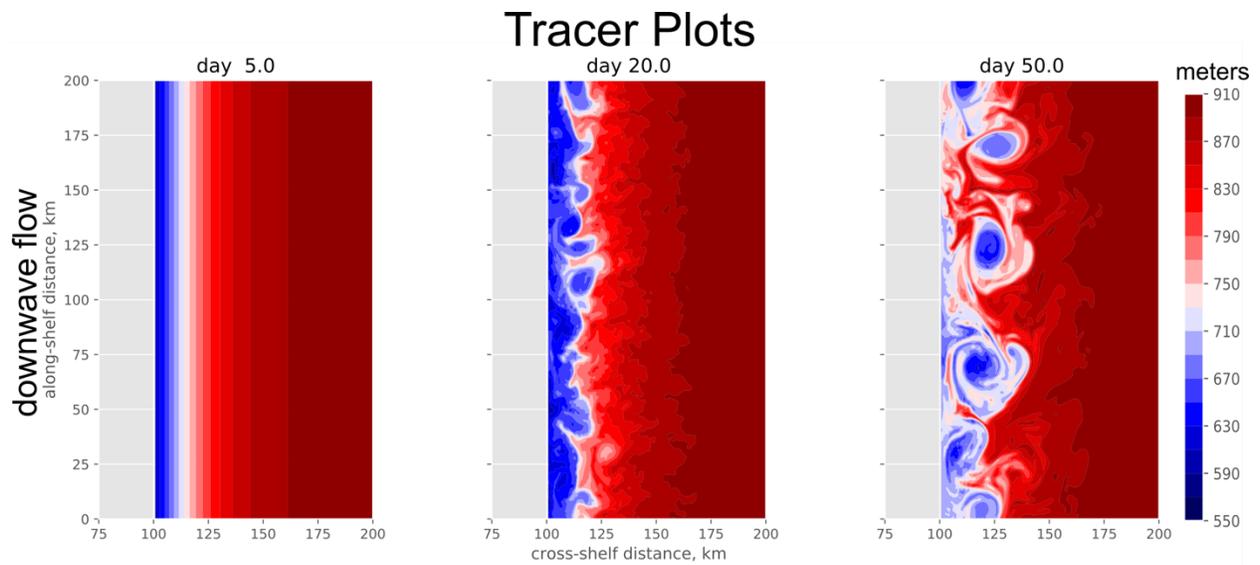


709

710 Figure 2: The surface velocity 100km offshore over sixty days for 12 model runs which all start with an
 711 alongshore velocity of 0.3 m/s. The dashed lines are for a model with no alongshore variation. The solid
 712 lines are for model runs which allow alongshore variation. There are models for a Slope Burger number
 713 of 0.0, 0.1, 0.5 and 0.9, and the initial velocity is oriented in either the upwave (positive, poleward) or
 714 downwave (negative, equatorward). For these runs, $N=5 \times 10^{-3} \text{ s}^{-1}$, $r= 5 \times 10^{-4} \text{ m s}^{-1}$ and $f =$
 715 $1 \times 10^{-4} \text{ s}^{-1}$, and S is altered by altering the bathymetry.

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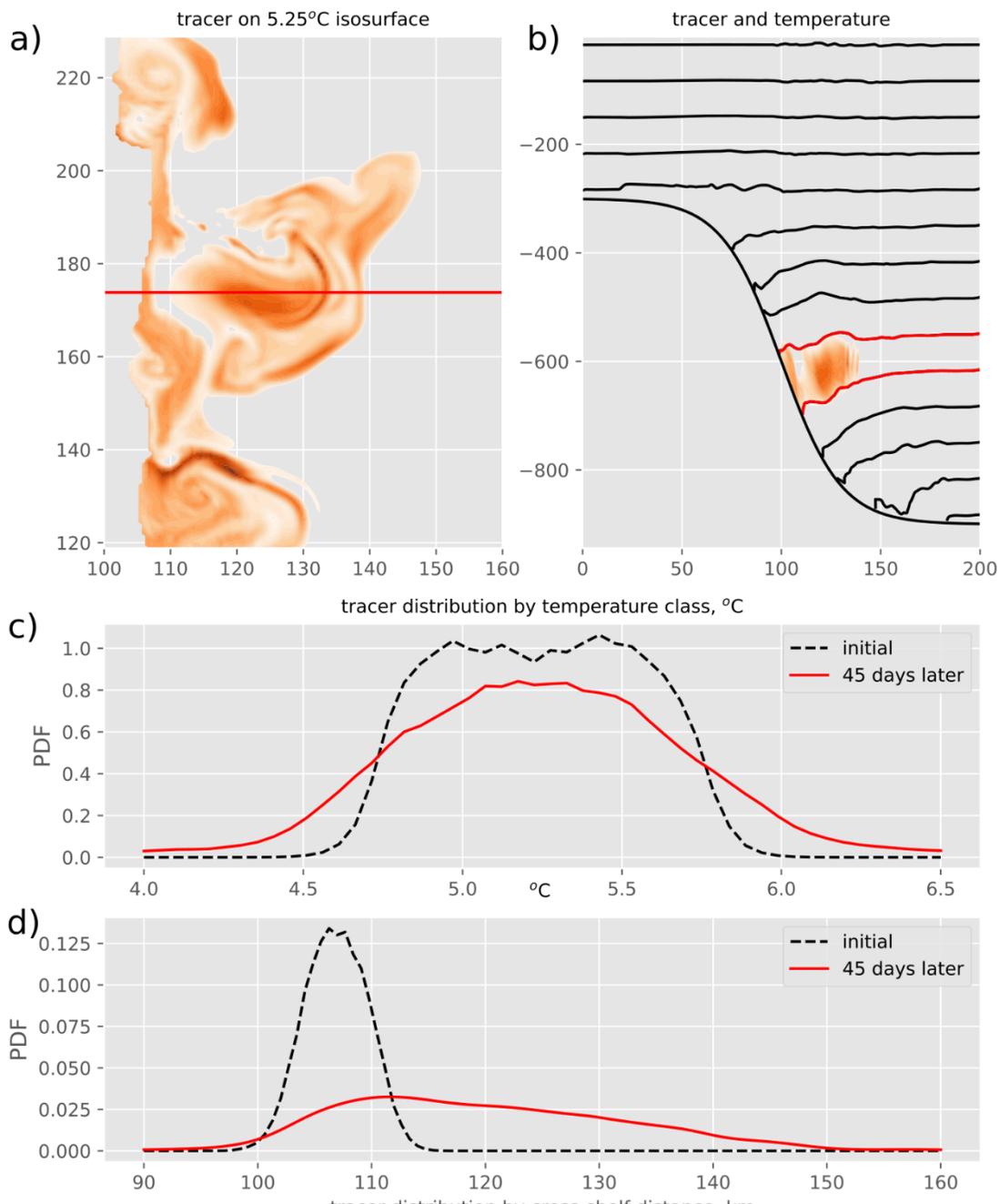


718

719 Figure 3: **figTracer**: The model run is initialized with a passive tracer whose value is equal to the depth
 720 of the isobath over which it starts; its initial value does not vary with either depth or along-shelf position.
 721 The tracer is shown at 600m depth on day 5, 20, and 50 for initial flows of 30 cm/s in the downwave
 722 direction. $N=0.005 \text{ s}^{-1}$, $f=10^{-4} \text{ s}^{-1}$, $r=5 \times 10^{-4} \text{ m s}^{-1}$ and $S=0.5$ in both cases.

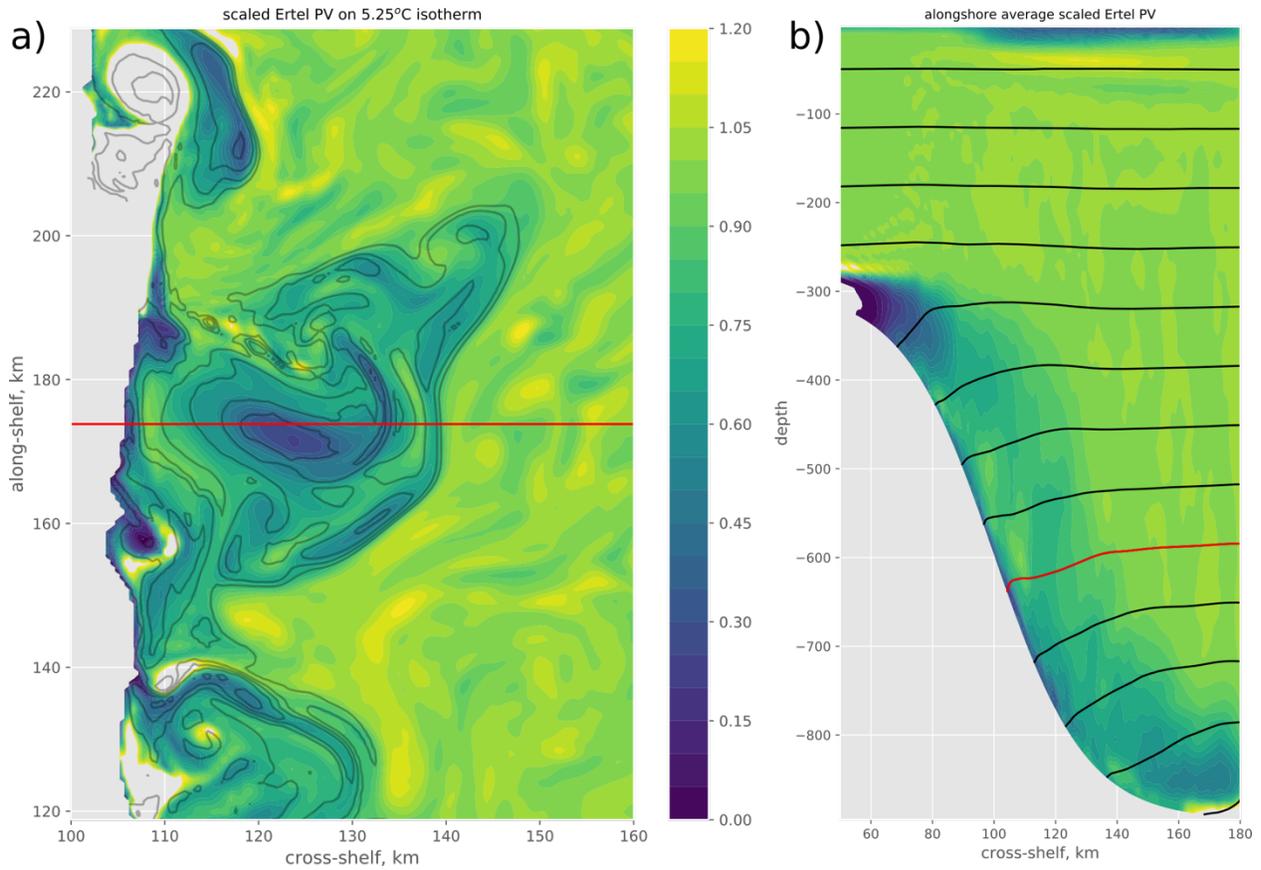
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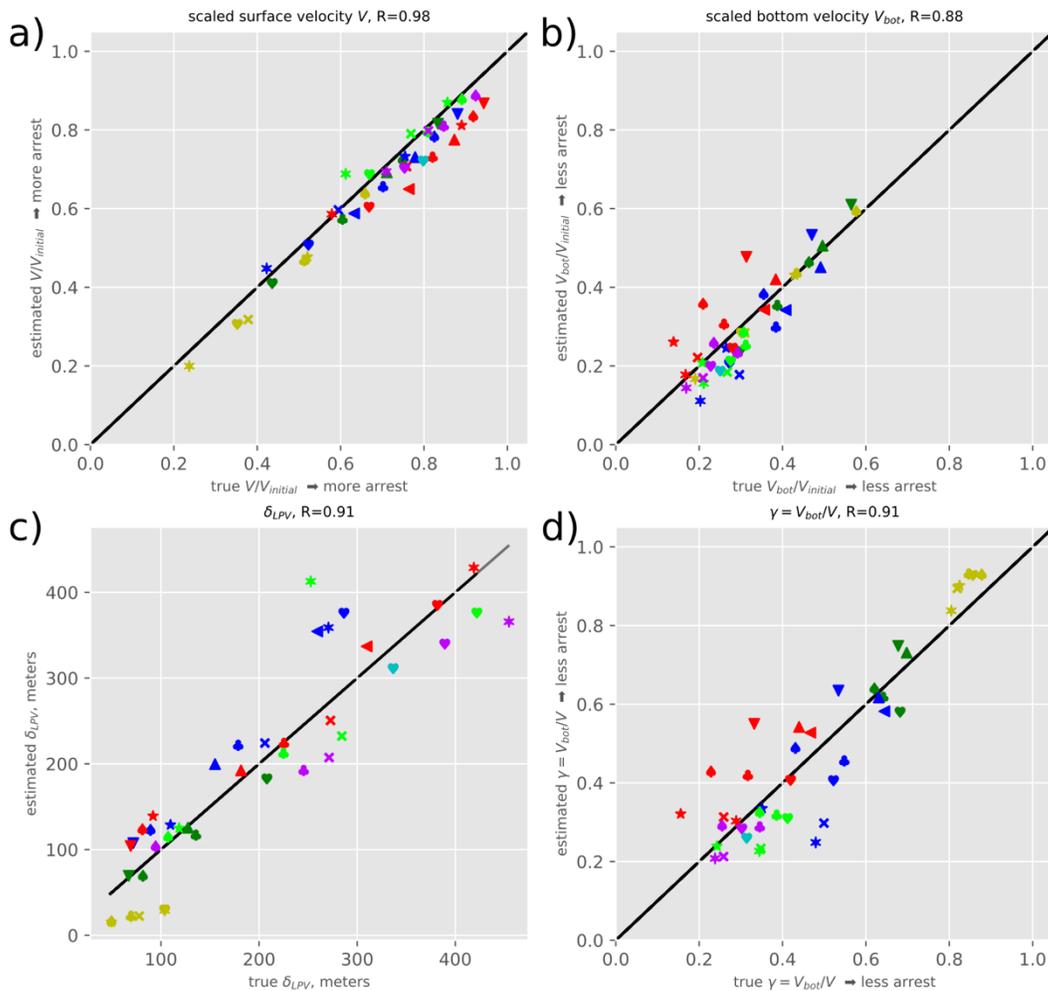
726 **Figure 4: FigTracer:** The evolution over 45 days of a tracer introduced into the base model, $S=0.5$,
 727 between the 4.75 and 5.75°C isotherms within 50m of the bottom. A) tracer distribution on the 5.25°C
 728 isotherm. B) cross-shelf distribution of tracer (color) and isotherms at 175km alongshore (the red line in
 729 A). The red isotherms are the 4.75 and 5.75°C isotherms, all isotherms are separated by 1°C. C) the
 730 distribution of the tracer in the entire model domain by temperature class at introduction and 45 days
 731 later. D) the distribution of the tracer by cross-shelf distance over entire model domain.



732

733 Figure 5: **A)** The potential vorticity computed on the 5.25°C isotherm scaled by the initial potential
 734 vorticity fN_0^2 for the same time and model run as the tracer field shown in figure 4. The grey contours are
 735 the tracer field shown in figure 4A; the red line is the position of the slice shown in figure 4B. **B)** The
 736 alongshore averaged potential vorticity scaled by the initial potential vorticity (colors) overlain by the
 737 isotherms (black, with 1°C interval). The red isotherm is the 5.25°C isotherm.

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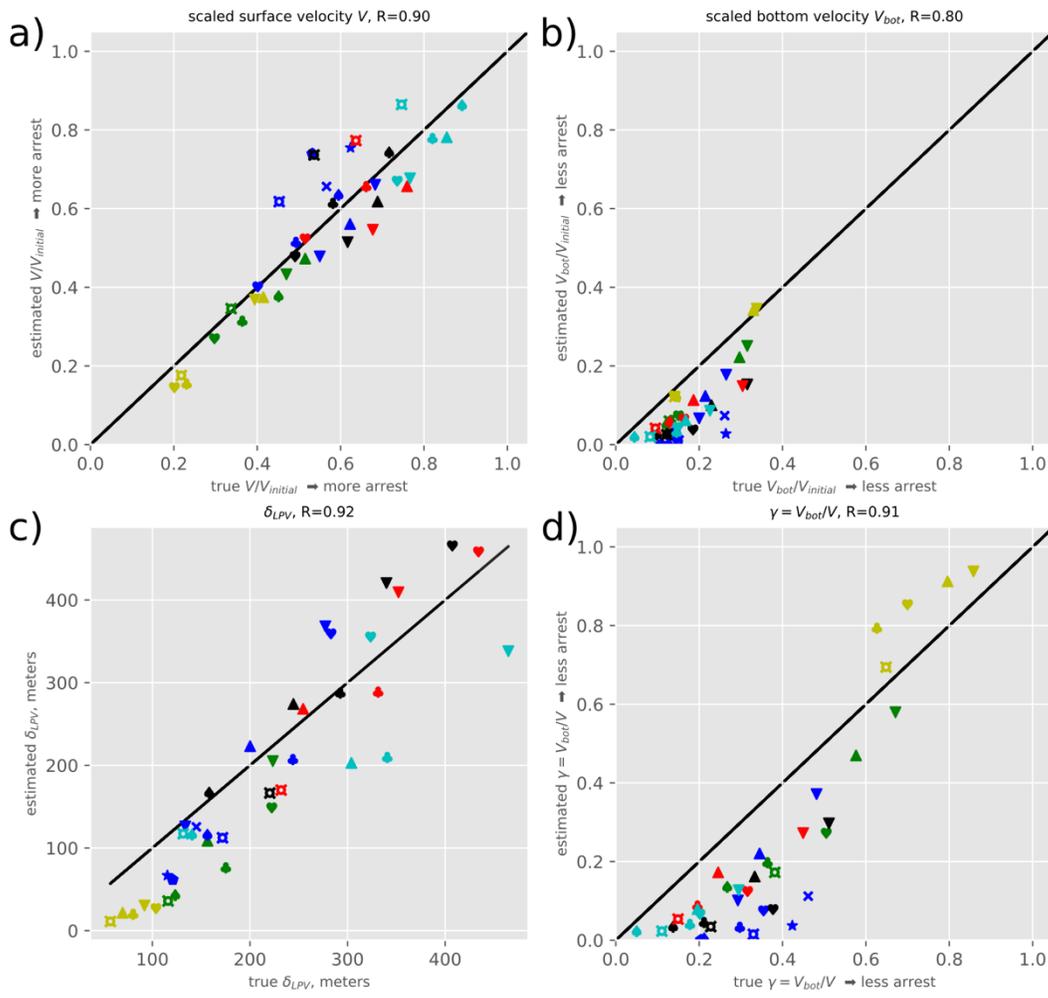


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740 Figure 6: A) The surface velocity scaled by the initial velocity after 30 days for the simple model (the
 741 “estimate” on the vertical axis) versus the complete numerical model (the “truth”, on the horizontal axis)
 742 in day 30 of runs made with quadratic bottom friction. B) A comparison of the velocity at the bottom
 743 scaled by the initial velocity; otherwise as (A). C) A comparison of the thickness of the low-PV layer. D)
 744 A comparison of γ , the ratio of the bottom velocity to the surface velocity. A smaller gamma indicates a
 745 greater bottom boundary layer arrest. The correlations in the title are Pearson’s R, and all are significant
 746 ($P > 0.05$). A key to the symbols and colors is given in Table 1.

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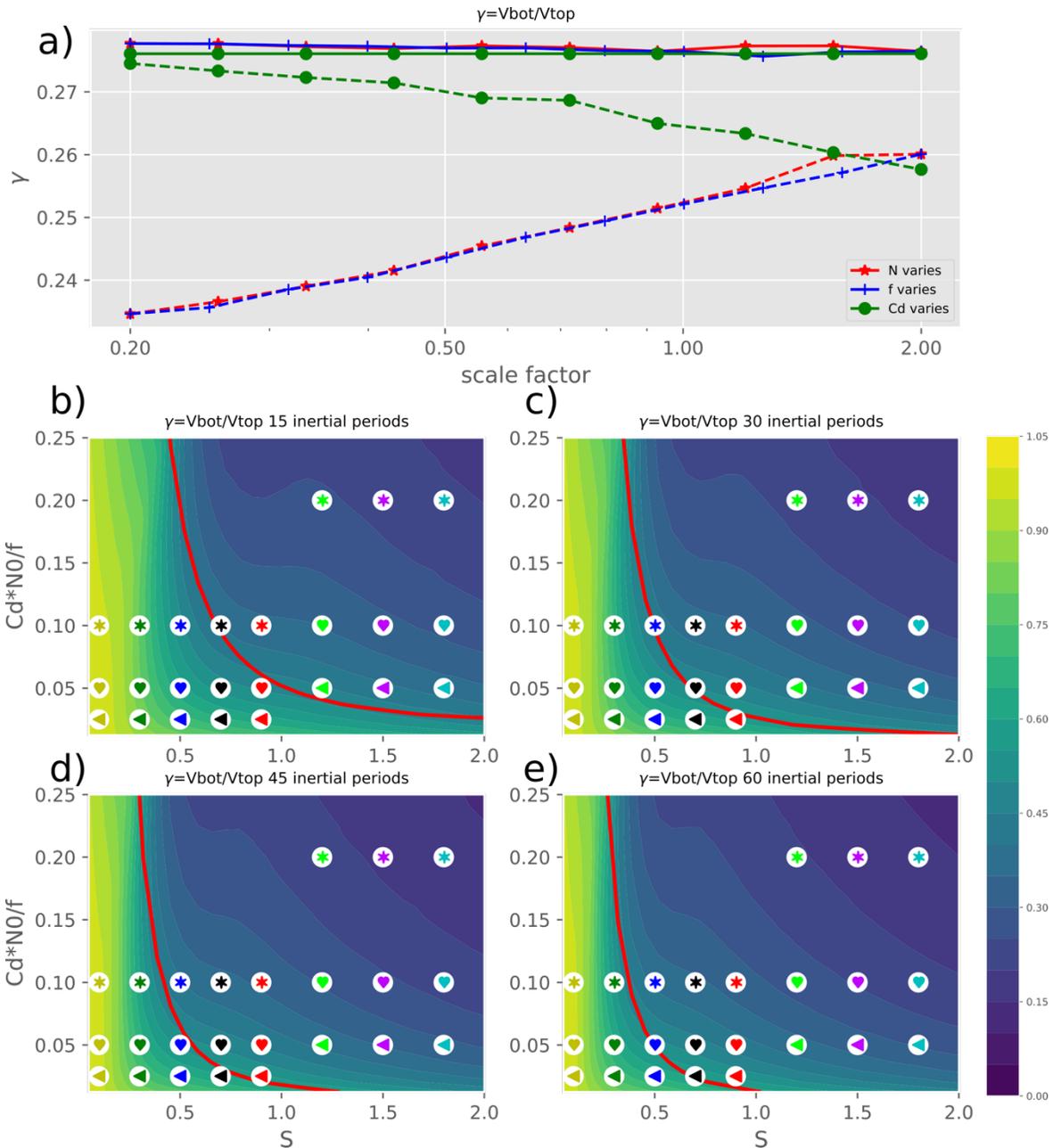


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750 Figure 7: Same as figure 6, but for model runs with linear bottom friction.

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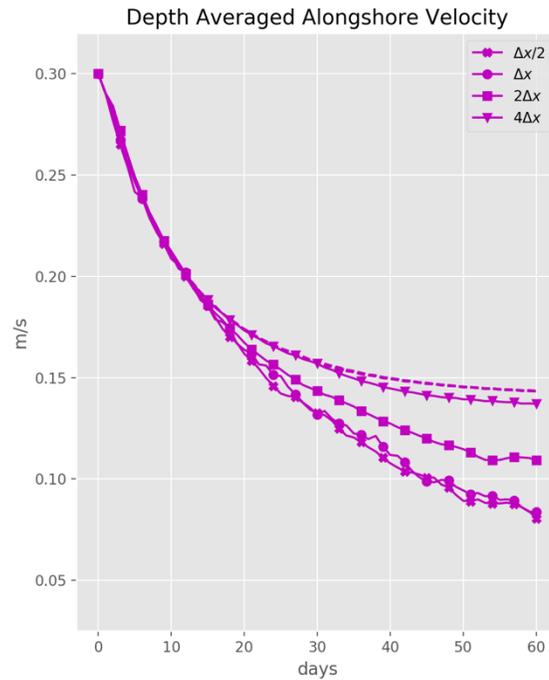
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754 Figure 8: A) The ratio of surface to bottom velocity γ at 30 days for the non-dimensional parameters
 755 $S=1.0$, $N/f=50.0$, $C_d N_0/f=0.15$ and a model run 41.3 inertial periods. Each line represents either N , f , or C_d
 756 varying by a factor 0.2 to 2.0 while keeping the non-dimensional parameters constant; the dashed line is
 757 for a model run with a depth of 600m, the solid for a model run of effectively infinite depth. Panels B)
 758 through E) are γ as a function of S and $C_d N_0/f$ for 15, 30, 45 and 60 inertial periods. In all, $N/f=50.0$. The
 759 red line indicates where the bottom arrest timescale of Brink and Lenz (2009) matches the modeled time;
 760 the arrest time scale is smaller than the modeled time above and to the right of the line. The symbols on
 761 the plots correspond to the parameters of the full numerical model runs made with a quadratic drag law,
 762 and match the symbols in table 1 and figures 7 and 6.

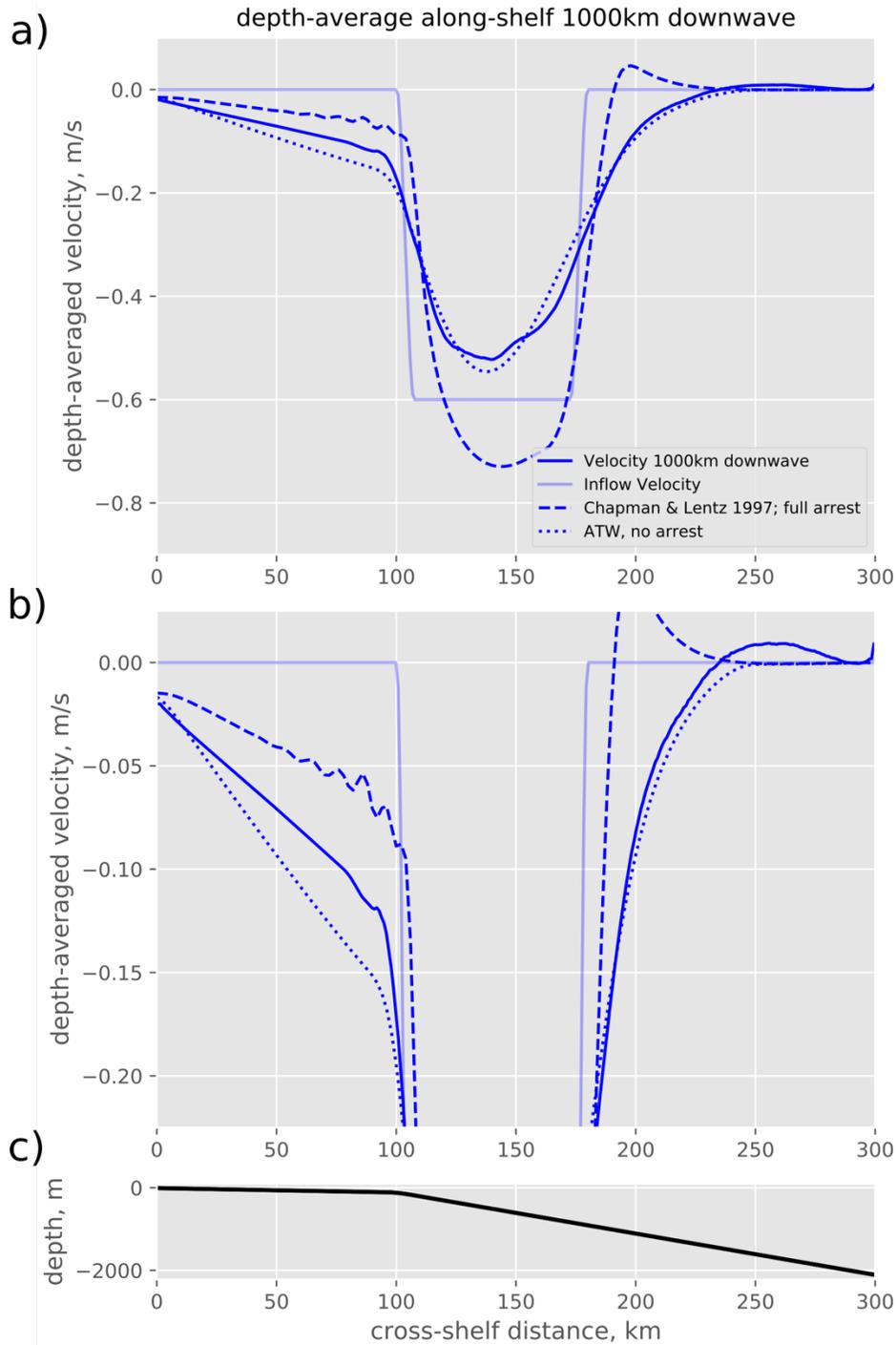
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765 Figure 9: The vertical mean alongshore current 100km offshore over the 600m isobath for models with
 766 different resolutions, $S=0.5$ and initial currents of 0.3 m/s with a linear friction of $5 \times 10^{-4} \text{ m s}^{-1}$. The model
 767 resolution is indicated as Δx for 500m, $\Delta x/2$ for 250m, $2\Delta x$ for 1km, and $4\Delta x$ for 2km resolution. The
 768 dashed line indicate the solutions with no alongshore variation; these have no resolution dependence.

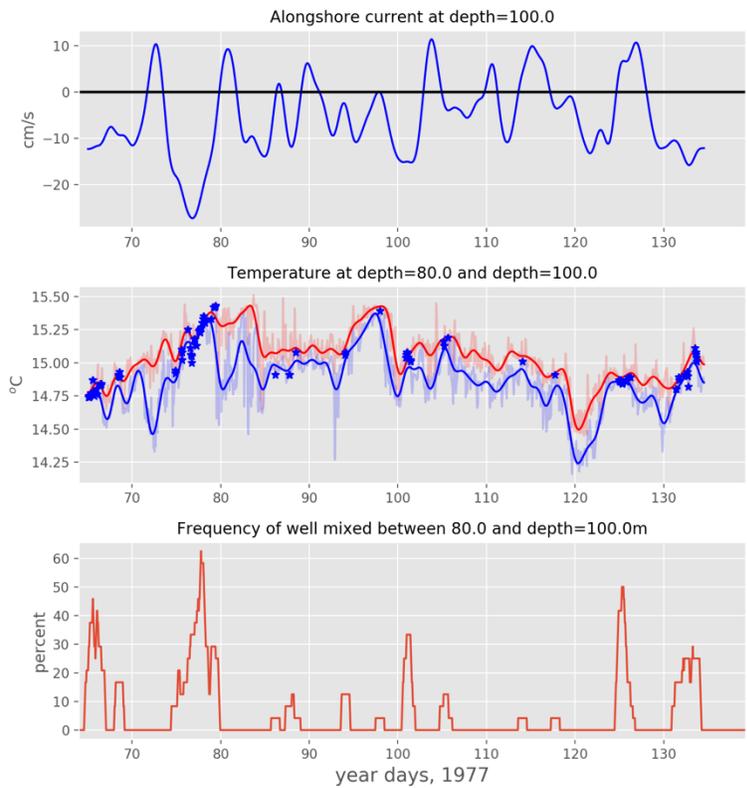
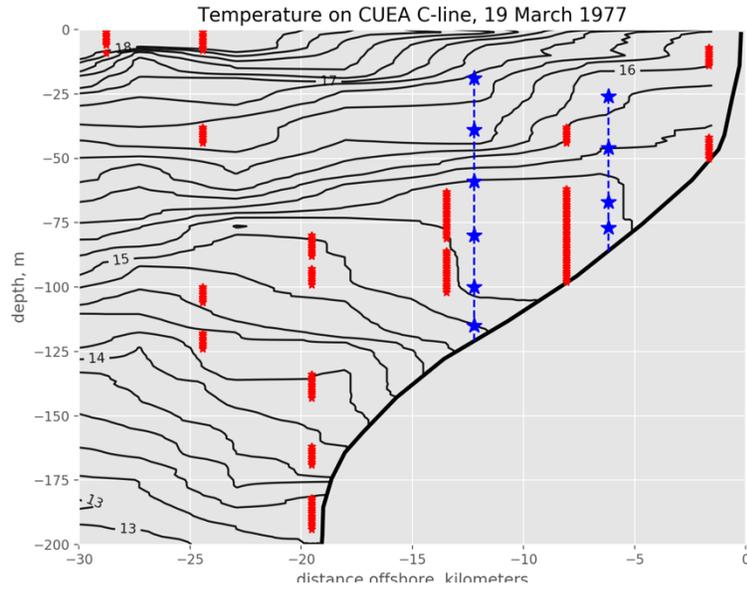
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772 Figure 10: A) The alongshore velocity at the northern boundary (inflow velocity) and 1000km downwave
 773 for an inflow of 60 cm/s over the upper slope. Shown are the depth averaged velocities for the numerical
 774 model, the Chapman & Lentz (1997) solution with boundary layer arrest, and the unstratified Arrested
 775 Topographic wave solution (ATW). B) Same as A, but with the vertical axis enlarged to show the slope
 776 flows. C) The bathymetry.

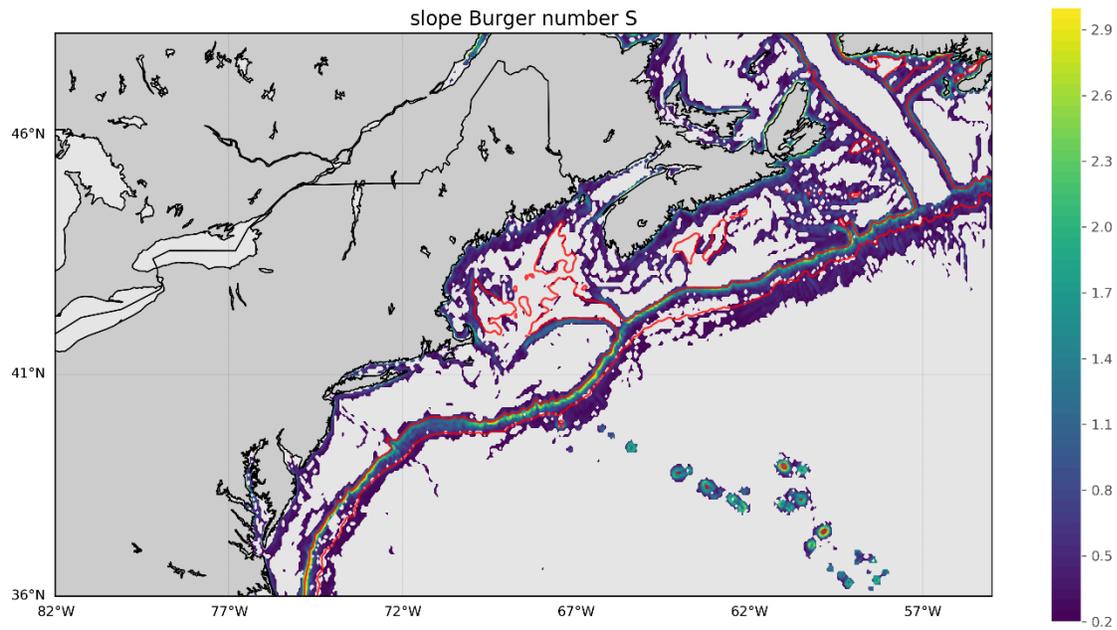
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779 Figure 11: A) A cross-shelf temperature section from the Coastal Upwelling Ecosystem Analysis Program
780 at 15°S in Peru on March 19, 1977. Red stars indicate “well-mixed” (as defined in the text) portions of the
781 water column. Blue lines are stars are moorings. B) the lowpass filtered alongshore current at 100m depth
782 on the 121m isobath (roughly 10km offshore). C) The temperature at that mooring at 80 and 100m depth.
783 The darker lines are low passed, the faded lines are the raw hourly data. Blue stars indicate where the
784 water is “well-mixed.” D) The frequency within a day of weakly stratified water detected between the 80
785 and 100m temperature sensors.

786



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788

789 Figure 12: **figBurgerNumber**: The slope Burger number calculated from the Northern Hemisphere
790 World Ocean Atlas hydrography for summer and bottom bathymetry from the STRM15 data set,
791 smoothed by a 7km filter for the Mid-Atlantic Bight shelf/slope systems. The red lines indicate the 200
792 and 2000m isobaths.

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