

Large-scale Interseismic Strain Mapping of the NE Tibetan Plateau from Sentinel-1 Interferometry

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Key Points:

- We present new methods to mosaic line-of-sight velocity frames, derive Cartesian velocities, and produce high-resolution strain-rate maps.
- We construct 440,000 km² maps of east-west, vertical velocities, and strain rates over NE Tibet from Sentinel-1 interferometry.
- We quantify strain partitioning, measure creep rate, identify unmapped structures and climatic, hydrological and anthropogenic signals.

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Abstract

The launches of the Sentinel-1 synthetic aperture radar satellites in 2014 and 2016 started a new era of high-resolution velocity and strain rate mapping for the continents. However, multiple challenges exist in tying independently processed velocity data sets to a common reference frame and producing high-resolution strain rate fields. We analyse Sentinel-1 data acquired between 2014 and 2019 over the northeast Tibetan Plateau, and develop new methods to derive east and vertical velocities with ~ 100 m resolution and ~ 1 mm/yr accuracy across an area of $440,000$ km². By implementing a new method of combining horizontal gradients of filtered east and interpolated north velocities, we derive the first ~ 1 km resolution strain rate field for this tectonically active region. The strain rate fields show concentrated shear strain along the Haiyuan and East Kunlun Faults, and local contractional strain on fault junctions, within the Qilianshan thrusts, and around the Longyangxia Reservoir. The Laohushan-Jingtai creeping section of the Haiyuan Fault is highlighted in our data set by extremely rapid strain rates. Strain across unknown portions of the Haiyuan Fault system, including shear on the eastern extension of the Dabanshan Fault and contraction at the western flank of the Quwushan, highlight unmapped tectonic structures. In addition to the uplift across most of the lowlands, the vertical velocities also contain climatic, hydrological or anthropogenic-related deformation signals. We demonstrate the enhanced view of large-scale active tectonic processes provided by high-resolution velocities and strain rates derived from Sentinel-1 data and highlight associated wide-ranging research applications.

Plain Language Summary

The new-generation radar satellites permit the derivation of crustal velocities in fine resolution over continental scales. With the technical advancements developed in this study, we reveal unseen details of the crustal deformation over the earthquake-prone Hexi Corridor in the northeast corner of the Tibetan Plateau. In particular, we observe concentrated elastic loading along, and at branch points of, major earthquake-generating faults. The ability to monitor such phenomena is important for understanding future seismic hazard as such geometrical complexities along faults are often associated with earthquake triggering and termination. We also draw attention to previously unknown structures that are rapidly deforming. This new and enhanced view of the local tectonic setting could improve local seismic hazard assessment. Last but not least, we observe climatic, hydrological and anthropogenic signals in the vertical velocity field, which are related to permafrost thawing, blocked river drainage, mining, damming and the extraction of groundwater for farming. Overall, we demonstrate the wealth of information that can be derived from the rapidly growing space-born Earth observation data, which are destined to play an important role in shaping a more resilient world for the future.

1 Introduction

Over recent decades, Interferometric Synthetic Aperture Radar (InSAR) has emerged as a powerful geodetic tool for imaging crustal deformation on the continents (e.g. Burgmann et al., 2000; Elliott et al., 2016). Numerous studies have demonstrated InSAR’s ability to reveal unknown faults (e.g. Wicks et al., 2013; Daout et al., 2019), highlight strain concentration (Weiss et al., 2020), identify creeping sections of faults (Cavalié et al., 2008; Jolivet et al., 2012; Rousset et al., 2016), and constrain slip parameters and frictional properties of faults (Jolivet et al., 2013, 2015; Zhou et al., 2018). This information is fundamental to seismic hazard assessment and understanding crustal dynamics.

The global coverage and the regular and frequent acquisition of SAR measurements provided by the Sentinel-1A and Sentinel-1B satellites, launched by the European Space Agency in April 2014 and April 2016 respectively, opened the prospect of mapping high-resolution crustal velocities and strain rates worldwide. Various processing systems have

been developed to produce interferograms and time series from the continuously growing SAR data sets, such as NASA Jet Propulsion Laboratory’s Advanced Rapid Imaging and Analysis (ARIA) (Bekaert et al., 2020), COMET’s Looking Into Continents from Space with Synthetic Aperture Radar (LiCSAR) and LiCSBAS suites (Lazecký et al., 2020; Morishita et al., 2020) and ForM@Ter Solid Earth data and services center’s ForM@Ter Large-scale multi-Temporal Sentinel-1 InterferoMetry (FLATSIM) (Thollard et al., 2021).

Automatic data processing for large-scale high-resolution velocity mapping projects often requires subdividing the target area into smaller patches for batch processing. In the case of LiCSAR, acquisitions are organised into $\sim 250 \times 250$ km² frame units. Yet, multiple challenges exist in combining the independently-processed velocity frames into large-scale velocity fields and producing high-resolution strain rate fields from the velocities. Mosaiced line-of-sight (LOS) velocities can have large mismatches between frames along and across track (Weiss et al., 2020). The high-resolution velocity data has to be down-sampled for Cartesian velocity decomposition and strain rate calculation (H. Wang & Wright, 2012; Xu et al., 2021). The resultant strain rate fields can appear overly smooth (Song et al., 2019; Weiss et al., 2020). This study aims to tackle these technical challenges in order to derive large-scale high-resolution velocity and strain rate results without compromising the high quality of the Sentinel-1 data.

We investigate the rapidly deforming NE Tibetan Plateau (Fig 1a), at the leading edge of the northward expansion of the Tibetan Plateau driven by the ongoing collision of India with Eurasia (Molnar & Tapponnier, 1975; England & Houseman, 1985; Pichon et al., 1992; England & Molnar, 1997; Tapponnier et al., 2001; Flesch et al., 2001; Yuan et al., 2013). This area hosted 20 $M_W > 6.5$ earthquakes in the past century, including the $M_W 7.9$ 1920 Haiyuan Earthquake (Deng et al., 1986; Institute of Geology, 1990; Ren et al., 2016; Xu et al., 2019; Ou et al., 2020). Extensive research has been carried out to study the kinematics (P. Zhang et al., 1988; W. Zheng et al., 2013), mechanics (Deng et al., 1984; Gaudemer et al., 1995), and seismic hazard (Xiong et al., 2010; Liu-zeng et al., 2015) of the major faults in the region, such as the Haiyuan, Kunlun and West Qinling Faults and the Qilianshan thrusts. However, how strain, the prerequisite for earthquakes, partitions between the major and minor faults, and off-fault areas remains unclear. Geological slip rates have mostly been estimated for the fast-slipping major faults (Kirby et al., 2007; C. Li et al., 2009; J. Li et al., 2016; Yao et al., 2019; Shao et al., 2020). The GNSS network in the area is too sparse to resolve the degree of strain localisation (Gan et al., 2007; Liang et al., 2013; G. Zheng et al., 2017; M. Wang & Shen, 2020). Previous InSAR studies in the area had limited spatial coverage (Cavalié et al., 2008; Jolivet et al., 2012; Daout et al., 2016; Song et al., 2019; Qiao et al., 2021).

We aim to provide the first high-resolution regional overview of present-day deformation of the NE Tibetan Plateau. The large-scale InSAR velocity and strain rate fields resulting from this study provide the fundamental data sets for studying strain partitioning, crustal rheology, and seismic hazard, for testing competing theories about how the continental lithosphere deforms, and for monitoring climatic, hydrological, and anthropogenic processes.

In the following sections, we first describe the processing workflow for generating LOS velocity and uncertainty maps for each frame (Section 2). Then, we introduce new methods for mosaicing and georeferencing the frame maps using GNSS data (Section 3), decomposing the LOS velocity tracks into regional east (V_E) and vertical (V_U) velocity maps (Section 4) and producing low-noise, high-resolution strain rate maps (Section 5). Finally, we highlight the main features observed in the resultant V_E , V_U and strain rate maps, and discuss the implications for regional tectonics, fault mechanics and seismic hazard (Section 6).

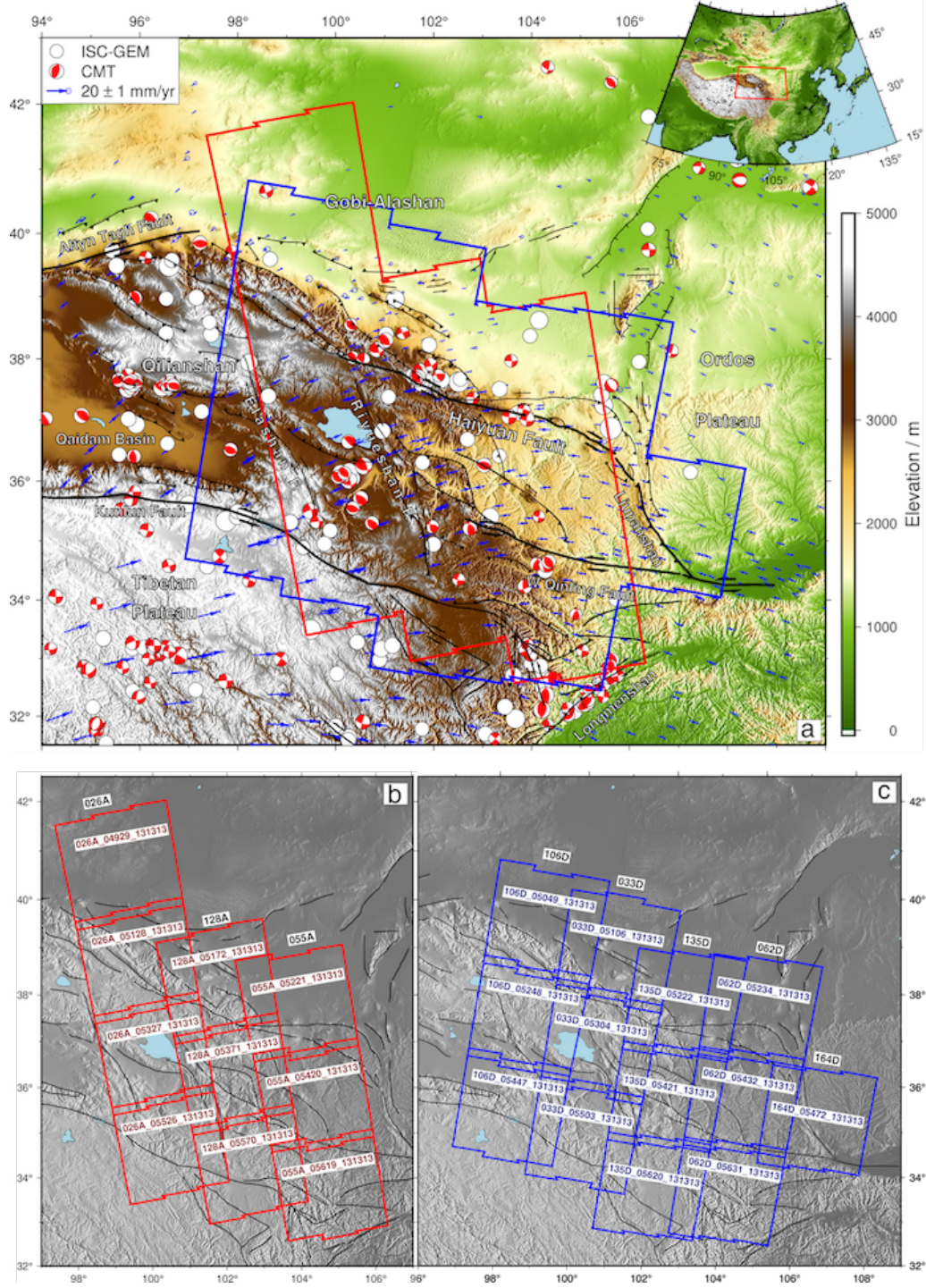


Figure 1. (a) Seismo-tectonic map of the NE Tibetan Plateau with seismicity from ISC-GEM (ISC, 2019) and GCMT (Dziewonski et al., 1981; Ekström et al., 2012) catalogues, GPS velocity vectors from M. Wang and Shen (2020), topography from the 30-arcsecond SRTM digital elevation model (Farr et al., 2007) and faults adapted from Yuan et al. (2013) W. Zheng et al. (2016) and H. Li et al. (2020). Red and blue polygons are the outlines of areas covered by ascending and descending Sentinel-1 InSAR frames, respectively. (b) and (c) show the locations of ascending and descending frames respectively, with COMET-LICSAR frame names labelled.

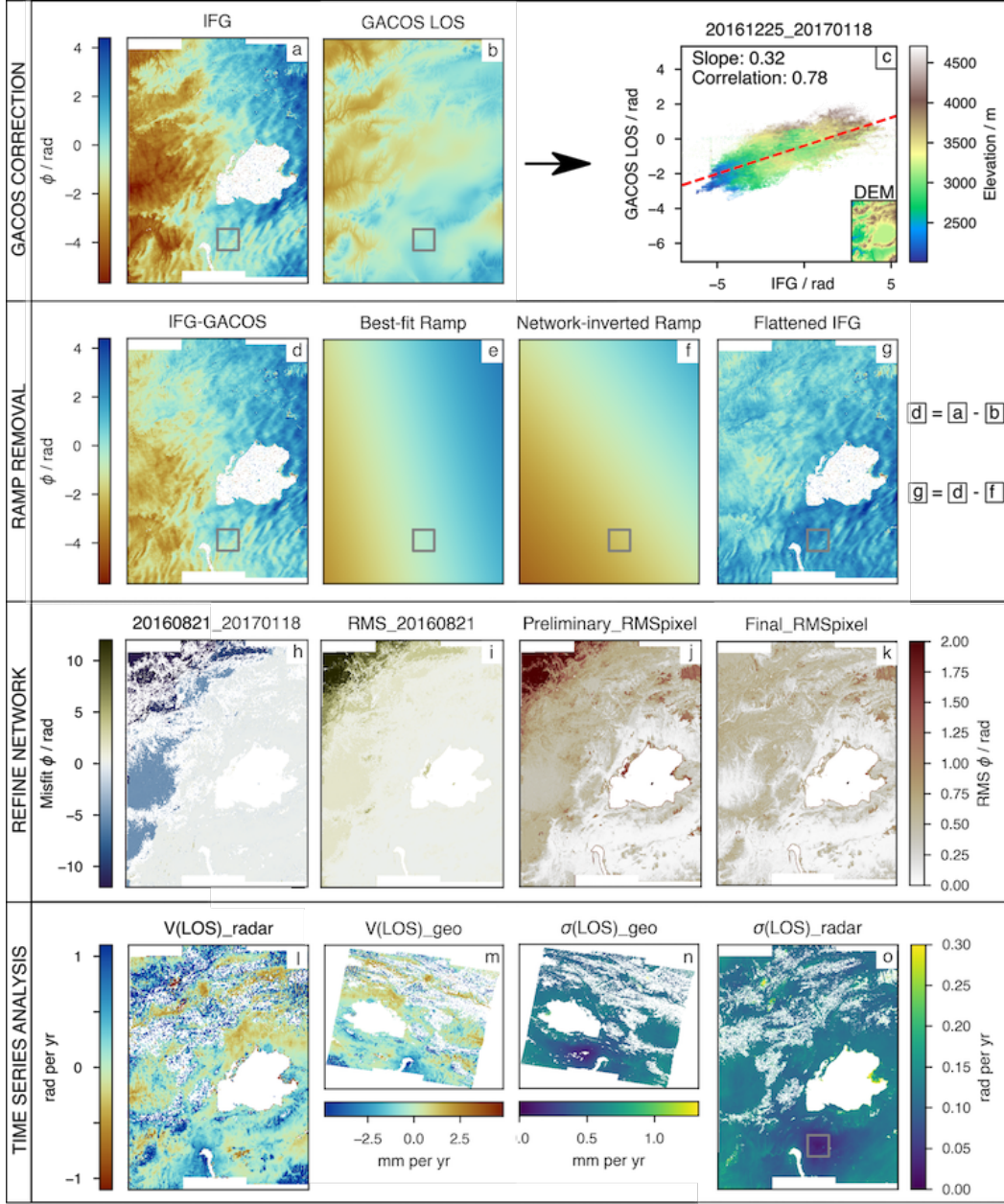


Figure 2. (a-g) Atmospheric delay and ramp removal for an example of an unwrapped interferogram generated by LiCSAR between epochs 20161225 and 20170118 from the frame 033D.05304.131313. The grey boxes in panels (a-g) outline the reference window manually chosen in a stable non-deforming region. The mean value in the reference windows are subtracted from both (a) the unwrapped interferogram and (b) the Generic Atmospheric Correction On-line Service (GACOS) atmosphere model back-projected to the line-of-sight (LOS) direction. (c) Scatter plot between corresponding pixel values in (a) and (b) to calculate the slope of the best-fit red dashed line and the correlation of the interferogram and GACOS model for statistical analysis (Fig S3-S4). (e) The best-fit ramp, estimated from (d) the residual after GACOS correction, can be different from (f) the final ramp subtracted from (d) to give (g) the flattened interferogram because the ramp in (f) is obtained through a network inversion to ensure phase closure around interferogram loops. (h,i) Unwrapping mistakes revealed by (h) the error map generated between input and reconstructed interferograms 20160821.20170118, (i) the root-mean-squared (RMS) errors averaged across all interferograms associated with epoch 20160821 and (j) the RMS errors averaged across the preliminary network. All interferograms associated with epoch 20160821 were found to contain unwrapping mistakes and were removed from the network. (k) Improved global error map after network refinement. (l,m) LOS velocities and (o,n) uncertainties in radar and geographical coordinates, respectively, with grey box in (o) highlighting low uncertainties around the reference window.

2 InSAR Data Processing and Time Series Analysis

2.1 Data

Sentinel-1 images over the NE Tibetan plateau were acquired on ascending and descending passes every 24 days from late 2014, and every 12 days from January 2017. We processed 10 ascending frames and 13 descending frames (as defined by LiCSAR, Fig 1b,c) of Sentinel-1A and 1B level-1 Single Look Complex (SLC) products acquired between October 2014 and December 2019. The frames have 65-110 (average 95) acquisition epochs over time spans of 3.2-5.2 (average 4.6) years (Fig S1-S2). Based on statistical reduction of noise, the uncertainty of the LOS velocities derived from time series data, σ_v , is expected to be given by:

$$\sigma_v \simeq \frac{2\sqrt{3}\sigma_e}{\sqrt{NT}} \quad (1)$$

where σ_e is the uncertainty of cumulative displacement per epoch, N is the number of epochs and T is the time span in years (J. Zhang et al., 1997; Morishita et al., 2020). Assuming $\sigma_e \sim 10$ mm as in Morishita et al. (2020) obtained from a comparison of InSAR and GNSS time series, the number of epochs and lengths of the time span of data we process would theoretically give an approximate lower bound on uncertainty, σ_v , of 0.77 mm/yr in the final velocity maps.

2.2 Interferogram Generation

The interferograms were generated with the LiCSAR automatic processing package (Lazecký et al., 2020). By default, LiCSAR generates interferograms between each epoch and three consecutive epochs both forwards and backwards in time. We changed the limit to six consecutive epochs to create greater redundancy, and added interferograms with six-month and nine-month temporal baselines to provide further connections and hence reduce the impact of the potential biases from the short temporal baseline interferograms (Ansari et al., 2020; Daout et al., 2020). This gave an average of 540 interferograms for each frame (total of 12,480). Interferograms were filtered and unwrapped using version 2 of the statistical-cost, network-flow phase-unwrapping (SNAPHU) algorithm (C. W. Chen & Zebker, 2002), as described in Lazecký et al. (2020). The 23 frames have on average 86% of pixels unwrapped (Fig S1-S2), as expected from the high coherence of the data aided by the stable orbital control of the Sentinel satellites and short temporal baselines. Subsequent processing was carried out with the interferograms in radar coordinates.

2.3 Atmospheric Correction

We used the Generic Atmospheric Correction Online Service (GACOS) models to correct for tropospheric delays in the unwrapped interferograms (Yu et al., 2018). The GACOS models per epoch were converted to radar coordinates, back-projected to the line-of-sight (LOS) direction, and differenced in the same order as the interferometric pairs, before being subtracted from the unwrapped interferograms (Fig 2a,b,d).

The reference area was chosen manually for each frame in an area that is expected to be temporally stable and away from active faults, vegetation, agriculture and desert. The reference window size was set to be 400×400 pixels², which is $\sim 30 \times 30$ km². This size is small enough to avoid tectonic signals and large enough to average over high-frequency turbulent atmospheric noise. The average pixel value in the reference window is subtracted from the interferograms and their corresponding GACOS models, so that both data sets have the same local reference.

We evaluated the effectiveness of the GACOS correction using both the best-fit slope and Pearson correlation coefficient of the scatter plots between GACOS and interferogram phases (Fig 2c). The 23 frames have correlations between 0.50-0.78 and slopes be-

tween 0.37-0.71 (Fig S3-S4), suggesting that GACOS is better at predicting the spatial pattern of the tropospheric effect than predicting its amplitude (Shen et al., 2019). Interferograms with larger amplitude ranges tend to have slopes and correlations closer to 1 (Fig S3-S4), suggesting the most significant atmospheric delays in the large-amplitude interferograms have been the most effectively corrected.

2.4 Ramp Removal

A planar ramp was then removed from each interferogram by solving for a correction term for each epoch using a network approach following Biggs et al. (2007) and Maubant et al. (2020) (Fig 2e-g, Text S1). This step reduces any remaining long-wavelength signals that might be associated with unmodelled tropospheric delays, ionospheric phases (Gomba et al., 2017), orbital inaccuracies (Fattahi & Amelung, 2014), solid earth tide (Xu & Sandwell, 2020) and any long wavelength tectonics.

2.5 Network Refinement

Time series analysis was performed using the NSBAS program developed by López-Quiroz et al. (2009) and Doin et al. (2015). We used the misfit maps per interferogram (Fig 2h), per epoch (Fig 2i) and their root-mean-squared (RMS) values, $\phi_{RMS_{IFG}}$ and $\phi_{RMS_{epoch}}$ to identify interferograms with unwrapping mistakes. Regions of high values in the $\phi_{RMS_{pixel}}$ map for the entire network (Fig 2j) also indicate the presence of interferograms with unwrapping mistakes. These erroneous interferograms were discarded during the preliminary inversion, which was performed at low resolution and without temporal smoothing or automatic corrections. If the resulting network becomes poorly connected, we made additional interferograms with longer temporal baselines using LiCSAR to build up the network for another preliminary inversion. This process was repeated until all the interferograms in a well-connected network (with at least 3 interferograms linking every epoch) have $\phi_{RMS_{IFG}}$ lower than ~ 2 rad, and no more clear unwrapping mistakes appear in the overall $\phi_{RMS_{pixel}}$ map.

Then, we remove all 12-day interferograms from the networks for the final time series inversion to mitigate the potential bias from interferograms with short temporal baselines (Ansari et al., 2020). As the 12-day interferograms are only present in the post-2017 time series, removing them means the entire network from 2014 to 2019 is now made of interferograms with temporal baseline of 24 days and above. Removing them only before the final inversion allows us to capitalise on the coherent pixels in those 12-day interferograms for better ramp removal and error identification in the preliminary inversions. The final networks remain connected and have an average of 430 interferograms per frame (Fig S1-S2). Similar to Daout et al. (2020) and Weiss et al. (2020), we find the bias caused by the short-period (12 and 24 days) interferograms negligible when the network is well-connected and contains many long-period (3 and 6 months) interferograms.

2.6 Time Series Analysis

The final network was then inverted in full resolution with temporal smoothing and automatic correction (López-Quiroz et al., 2009) (Text S2). The resultant $\phi_{RMS_{pixel}}$ map was visually checked to confirm there are no remaining unwrapping mistakes (Fig 2k). We inverted a linear velocity, $V(LOS)$, from the time series using weights derived from $\phi_{RMS_{epoch}}$, deviation of each cumulative displacement map from a plane, and deviation of the time series of each pixel from a linear velocity model (Daout et al., 2017, 2018) (Fig 2l,o, Text S3). The uncertainty, $\sigma(LOS)$, takes into account the misfit, the model variance (Tarantola, 2005) and the degree of freedom of the velocity inversion (Daout et al., 2017). The resultant linear velocity and uncertainty maps were then cleaned with the $\phi_{RMS_{pixel}}$ map with a threshold of 0.5 radian to only retain the highest quality pix-

els. Fig 2m and Fig 2n show the geocoded $V(LOS)$ (positive towards the satellite) and $\sigma(LOS)$ maps with their units converted to mm/yr.

3 Frame Mosaicing

The resultant 23 LOS velocity frames are locally referenced. As such, when they are placed in the same regional map, frame and track boundaries are discernible in many places (Fig S5). Following the method of Hussain et al. (2018), Weiss et al. (2020) applied a second-order polynomial to fit the InSAR LOS to the interpolated GNSS LOS. The resultant offsets between frame overlaps along- and across-track had standard deviations between 3.08-3.72 mm/yr (Weiss et al., 2020). These values are significantly larger than the ~ 1 mm/yr level ($0.77 \times \sqrt{2}$; see Section 2.1) expected from a 5-year time series. For frame offsets along track, the standard deviation should be even smaller given the largely shared atmospheric noise at the frame overlaps (zero if the networks are identical).

3.1 Joint Inversion of InSAR Frame Overlaps and GNSS Data Points

We aim to develop a method that minimises the LOS differences between frame overlaps along track without using interpolated GNSS velocities for frame tying. As our preliminary tests deramping the frame offsets did not show compelling evidence for the need of higher order adjustments, and considering that higher-order ramps could potentially remove tectonic signals, we invert for a planar ramp per frame to minimise alteration of the InSAR data.

Our method stems from a more advanced method developed by Shen (2020) to mosaic 12 ascending and descending LOS velocity tracks with limited GNSS data. We have more (23) velocities frames to mosaic, thus more (69) ramp parameters to invert for. However, we benefit from a better distribution of GNSS velocities, which allow us to mosaic frames track by track. Our joint inversion is constructed as follows to invert for a planar ramp per frame that fits the LOS differences both between overlapping InSAR frames and between InSAR and GNSS points (Fig S6):

$$\begin{bmatrix} \frac{1}{\sqrt{\sigma_{i1}^2 + \sigma_{i2}^2}}(i1 - i2) \\ \vdots \\ \frac{1}{\sqrt{\sigma_{i2}^2 + \sigma_{i3}^2}}(i2 - i3) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I1}^2 + \sigma_{G1}^2}}(I1 - G1) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I2}^2 + \sigma_{G2}^2}}(I2 - G2) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I3}^2 + \sigma_{G3}^2}}(I3 - G3) \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{\sigma_{i1}^2 + \sigma_{i2}^2}}(x_{12} & y_{12} & 1 & -x_{12} & -y_{12} & -1 & 0 & 0 & 0) \\ \vdots \\ \frac{1}{\sqrt{\sigma_{i2}^2 + \sigma_{i3}^2}}(0 & 0 & 0 & x_{23} & y_{23} & 1 & -x_{23} & -y_{23} & -1) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I1}^2 + \sigma_{G1}^2}}(x_1 & y_1 & 1 & 0 & 0 & 0 & 0 & 0 & 0) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I2}^2 + \sigma_{G2}^2}}(0 & 0 & 0 & x_2 & y_2 & 1 & 0 & 0 & 0) \\ \vdots \\ \frac{\sqrt{g/h}}{\sqrt{\sigma_{I3}^2 + \sigma_{G3}^2}}(0 & 0 & 0 & 0 & 0 & 0 & x_3 & y_3 & 1) \end{bmatrix} \begin{bmatrix} a_1 \\ b_1 \\ c_1 \\ a_2 \\ b_2 \\ c_2 \\ a_3 \\ b_3 \\ c_3 \end{bmatrix} \quad (2)$$

where l in 1, 2 and 3 represents successive InSAR frames along track, i_l are InSAR LOS from the l th frame in the frame overlap with uncertainties σ_{il} , I_l are InSAR LOS in the l th frame at GNSS locations with uncertainties σ_{Il} , G_l are GNSS velocities projected into the line of sight in the l th frame with uncertainties σ_{Gl} ; a_l, b_l, c_l are the ramp parameters to be inverted for each frame; x and y are the coordinates of the corresponding pixels in the track, with subscripts 12 and 23 indicating overlaps between frames 1

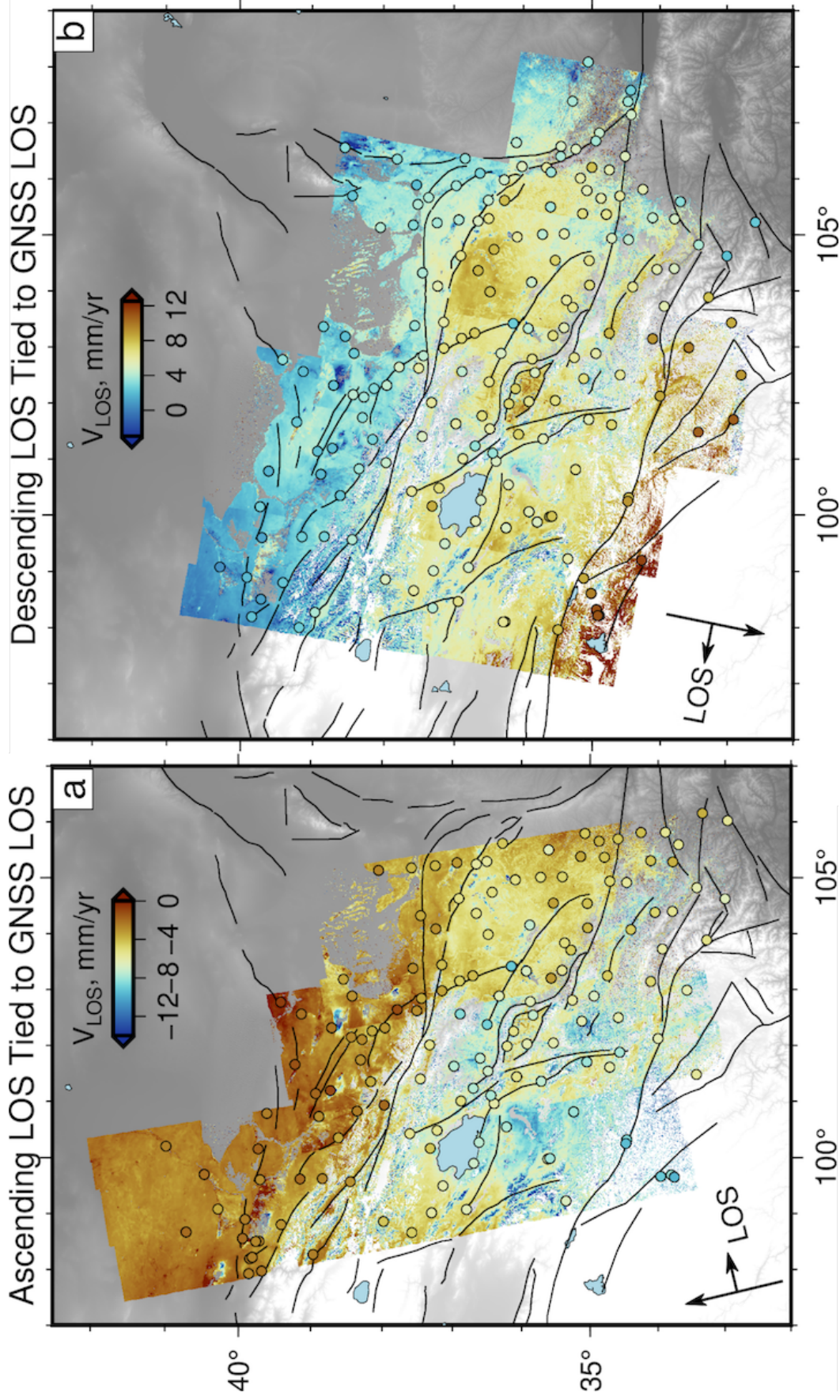


Figure 3. (a) Ascending and (b) descending LOS velocity tracks in the reference frame provided by the GNSS velocities (Liang et al., 2013; M. Wang & Shen, 2020). GNSS LOS are shown in coloured dots

and 2 and between frames 2 and 3, respectively, x_l and y_l indicate coordinates of the GNSS points in the l th frame; g is the total number of InSAR-InSAR offsets from all frame overlaps, and h is the total number of InSAR-GNSS overlaps from all frames.

For the top two rows representing InSAR frame overlap differences, the InSAR LOS values (il) are taken from the $V(LOS)$ maps (Fig 2m) and the σ_{il} are taken from the $\sigma(LOS)$ maps (Fig 2n). For the bottom three rows representing InSAR-GNSS offsets, the InSAR LOS velocities (Il) are chosen to be the weighted average InSAR LOS velocity in a window surrounding the GNSS location, using $\sigma(LOS)$ as weights. This is designed to handle local InSAR variability and avoid wasting GNSS points at empty InSAR pixels. We initialised the size of the window as a 5×5 grid, and let it grow in steps of 2 until it finds at least 10 non-empty pixels from InSAR. All stations found enough InSAR pixels within a window size of 61×61 , which is equivalent to an area of $\sim 4.3 \times 4.3 \text{ km}^2$, smaller in dimension than that over which short wavelength tectonic motions like creep would typically occur. The uncertainty associated with this weighted mean is set to be the standard deviation of InSAR LOS pixels in the window.

The GNSS LOS velocities, Gl , and their associated uncertainties, σ_{Gl} , are calculated as:

$$Gl = -V_E \cos(\phi) \sin(\theta) + V_N \sin(\phi) \sin(\theta) + V_U \cos(\theta) \quad (3)$$

$$\sigma_{Gl} = \sqrt{(\sigma_E \cos(\phi) \sin(\theta))^2 + (\sigma_N \sin(\phi) \sin(\theta))^2 + (\sigma_U \cos(\theta))^2} \quad (4)$$

where V_E , V_N and V_U are the GNSS velocity components in the east, north and vertical directions, and σ_E , σ_N and σ_U are their associated uncertainties. The horizontal GNSS velocities are in a fixed-Eurasia reference frame (M. Wang & Shen, 2020) and the vertical GNSS velocities are in a stable northern neighbours reference frame (Liang et al., 2013), both transformed from velocities in the IRTF2008 reference frame (Text S4). For both ascending and descending tracks, the heading angle, ϕ , is defined as positive clockwise from north, and the incidence angle, θ , is defined as the angle between the LOS and the vertical (Fig S7).

To strike a balance between quality and abundance of GNSS data points, we used only 3D stations (with east, north and vertical components, Fig S5) when there are more than ten of them in a frame. For three frames with fewer 3D stations, we included 2D stations (with only east and north components, Fig S5), assuming zero vertical velocities ($V_U=0$) at these stations. Given the near zero mean of the contribution to LOS from V_U estimated at the 3D sites and their almost equivalent-in-magnitude uncertainties, this is a reasonable assumption. To reflect the lack of information on V_U at the 2D stations, we set the uncertainty in the vertical component, σ_U , to be the largest absolute value of the available V_U in the frame. As such, the magnitude of uncertainties associated with the GNSS LOS are comparable to that of the InSAR LOS, both at the $\sim 1 \text{ mm/yr}$ level. This means the combined uncertainties of InSAR-InSAR offsets and that of InSAR-GNSS offsets are also of similar magnitudes. Therefore, we only needed to prevent the joint inversion from being dominated by the much greater number of InSAR-InSAR offsets relative to the InSAR-GNSS offsets ($g \gg h$). To do so, we weight the bottom three lines (i.e., lines involving GNSS) in Equation 2 by $\sqrt{g/h}$, so that the sum of the mean-squared misfits from these two data sets is minimised.

3.2 Effectiveness of Joint Inversion

After mosaicing the InSAR LOS frames using the method described above, the stitched InSAR LOS tracks no longer show along-track frame boundaries (Fig 3). Instead, the track boundaries become more obvious, reflecting the across-track change in incidence angle. From north to south, the ascending LOS velocities become increasingly negative and the descending LOS velocities become increasingly positive, as expected from the increasing east-component velocities (Fig 1a). The remaining LOS changes are well-aligned

with mapped faults, especially along the single-strand portions of the Haiyuan and East Kunlun Faults.

Statistically, the resultant along-track frame overlap residuals have standard deviations that range between 0.3–2.1 mm/yr and average to 0.87 mm/yr (Fig S8). Overlaps with larger residuals are associated with frames impacted by desert in the north-east, and vegetation and loess in the southeast. The histograms of these frame overlap residuals also follow Gaussian distributions centered near zero (average mode of -0.02 mm/yr), characteristic of random noise. The InSAR-GNSS LOS residuals, with on average 45 GNSS points per track, have an average mode of 0.04 mm/yr and an average standard deviation of 1.27 mm/yr (Fig S9). If equally split between the InSAR and GNSS, this InSAR-GNSS LOS residual suggests less than 1 mm/yr error in each data set.

4 Velocity decomposition

The ascending and descending LOS velocity maps provide measurements of velocity in two directions. In order to decompose the two LOS velocities into standard 3-component velocities (east, north and vertical), a third constraint must be provided. Hussain et al. (2018) and Weiss et al. (2020) used the interpolated north velocities from GNSS data and the two LOS velocities to simultaneously solve for the east (V_E) and vertical (V_U) velocity components. Tymofyeyeva and Fialko (2018) and Xu et al. (2021) decomposed the LOS velocities into a vertical component and a horizontal component in the local direction determined by interpolated east and north GNSS velocity fields. Both methods require a good distribution of GNSS velocities, which is not available everywhere on the continents.

Shen (2020) and Shen et al. (2021) developed an alternative method to obtain V_E straight away from InSAR LOS by decomposing the ascending and descending LOS into V_E and V_{UN} , where V_{UN} is the combined LOS contributions from the V_N and V_U components projected onto the north-up plane. This method is a simple way of getting high resolution decomposed fields without using an interpolated GNSS V_N . However, it also introduces a different source of error as the V_{UN} vector direction changes slightly with the line-of-sight, the decomposed V_{UN} becoming an approximation of the true values on different tracks. The error from the approximation of V_{UN} can also spill into the V_E obtained. We implement this method and show that the error introduced by the V_{UN} approximation is negligible in comparison to the noise level of the data and build upon this method to extract the V_U from V_{UN} using interpolated V_N .

4.1 Stage 1: Decomposition into V_E and V_{UN}

Following Shen (2020) and Shen et al. (2021), we first decomposed the two LOS velocities into V_E and V_{UN} via:

$$V(LOS) = -V_E \cos(\phi) \sin(\theta) + V_{UN} \sqrt{1 - \sin^2(\theta) \cos^2(\phi)} \quad (5)$$

based on the mathematical derivation provided in Fig S7. This partitioning is performed independently for each pixel covered by at least one ascending track and one descending track. The resultant V_E and V_{UN} maps (Fig S10a,c) have the shapes of the overlapping area of the ascending and descending data coverage (Fig 1a). The general form of the inversion is as follows:

$$\begin{bmatrix} \frac{1}{\sigma(a_0)} LOS_{a_0} \\ \frac{1}{\sigma(a_1)} LOS_{a_1} \\ \frac{1}{\sigma(d_0)} LOS_{d_0} \\ \frac{1}{\sigma(d_1)} LOS_{d_1} \end{bmatrix} = \begin{bmatrix} \frac{1}{\sigma(a_0)} (-\cos(\phi_{a_0}) \sin(\theta_{a_0}) & \sqrt{1 - \sin^2(\theta_{a_0}) \cos^2(\phi_{a_0})}) \\ \frac{1}{\sigma(a_1)} (-\cos(\phi_{a_1}) \sin(\theta_{a_1}) & \sqrt{1 - \sin^2(\theta_{a_1}) \cos^2(\phi_{a_1})}) \\ \frac{1}{\sigma(d_0)} (-\cos(\phi_{d_0}) \sin(\theta_{d_0}) & \sqrt{1 - \sin^2(\theta_{d_0}) \cos^2(\phi_{d_0})}) \\ \frac{1}{\sigma(d_1)} (-\cos(\phi_{d_1}) \sin(\theta_{d_1}) & \sqrt{1 - \sin^2(\theta_{d_1}) \cos^2(\phi_{d_1})}) \end{bmatrix} \begin{bmatrix} V_E \\ V_{UN} \end{bmatrix} \quad (6)$$

where a_0 , a_1 , b_0 and b_1 are notations for the up-to-two possible overlapping ascending tracks and up-to-two possible overlapping descending tracks covering a pixel. σ is $\sigma(LOS)$

scaled on a spherical model fit to the $\sigma(LOS)$ profile away from the reference center (Text S5, Fig S11), such that the decomposition will not be overly influenced by data that happen to be in or near the reference window. The number of rows in Equation 6 per pixel depends on the number of tracks covering the pixel with valid data.

We propagate the uncertainties $\sigma(LOS)$ to $\sigma(V_E)$ and $\sigma(V_{UN})$ (Fig S10b,d) through a data covariance matrix, $cov(LOS)$, with only squares of the scaled $\sigma(LOS)$ (Text S5, Fig S11) in the diagonal terms, based on the assumption that all LOS measurements (from different tracks) are independent. $\sigma(V_E)$ and $\sigma(V_{UN})$ are obtained from the square-root of the diagonal terms of the covariance matrix of V_E and V_{UN} , $cov(V_E, V_{UN}) = [A^T cov(LOS)^{-1} A]^{-1}$, with A the transformation matrix from Equation 6.

This method is suitable for areas away from the poles where the heading direction of the track is near north-south, hence the variation of the angle, γ , between the V_{UN} vector and the vertical axis is small (Fig S7). In our study area, γ varies between $\sim 6-9^\circ$ which translates into errors of V_{UN} as the vector sum of $\sim 2\%$ of V_N and $\sim 0.5\%$ of V_U , as $V_{UN} = -V_N \sin(\gamma) + V_U \cos(\gamma)$. Therefore, the majority of the error actually comes from the north-south motion. For LOS data of 1 mm/yr uncertainty, this method is robust up to $V_N=50$ mm/yr.

We further compare our V_E (Fig 4a) with that obtained through the conventional way where the interpolated GNSS V_N is used to remove the contribution of V_N from the two LOS velocities, which are then used to estimate V_E and V_U (Hussain et al., 2018; Weiss et al., 2020). The differences in the two V_E maps are small (0.01 ± 0.03 mm/yr) (Text S6, Fig S12), but the advantages of the $V_E - V_{UN}$ decomposition are that the results are not biased from, and the resolution not reduced because of, the GNSS interpolation.

As the uncertainty level continues to decrease, with longer time series enabled by Sentinel-1's 20 year mission, the errors introduced by the V_E and V_{UN} decomposition will become more significant. One way to mitigate this effect is to choose a reference frame in which V_N is small. This can be done by transforming the GNSS velocities to a reference at the center of the study region (Shen, 2020; Shen et al., 2021) before using the GNSS to mosaic the InSAR LOS (Section 3).

4.2 Stage 2: Decomposition of V_{UN} into V_U and V_N

By this stage, we have isolated V_E (Fig 4a) and are left with the subvertical component V_{UN} (Fig S10c) which is related to the two as yet undetermined velocity components V_U and V_N . It is now necessary to introduce external constraints on the velocities, i.e., GNSS, to separate these two components. As InSAR is more sensitive to V_U than to V_N and GNSS have higher quality measurements and more data points in V_N than in V_U , we interpolated V_N from GNSS velocities to obtain the V_U field.

We interpolate the north component of the GNSS velocities from (M. Wang & Shen, 2020) using the universal kriging algorithm of the Pykrige (v1.6.0) Python module (Murphy et al., 2021). We first cleaned the GNSS data by removing data points with north uncertainties greater than 0.7 mm/yr, which reduced the majority of cases where nearby points had contradictory measurements. The universal kriging algorithm allows a polynomial surface to be removed before and added back after interpolation. We chose to remove a best-fit third order polynomial surface that best describes the distribution of GNSS V_N values. To determine the distance-dependent weighting of data points for interpolation, we fitted a spherical model to the semivariogram calculated from the detrended V_N values:

$$\begin{cases} n + p \times (\frac{3d}{2r} - \frac{d^3}{2r^3}) & d \leq r \\ n + p & d > r \end{cases} \quad (7)$$

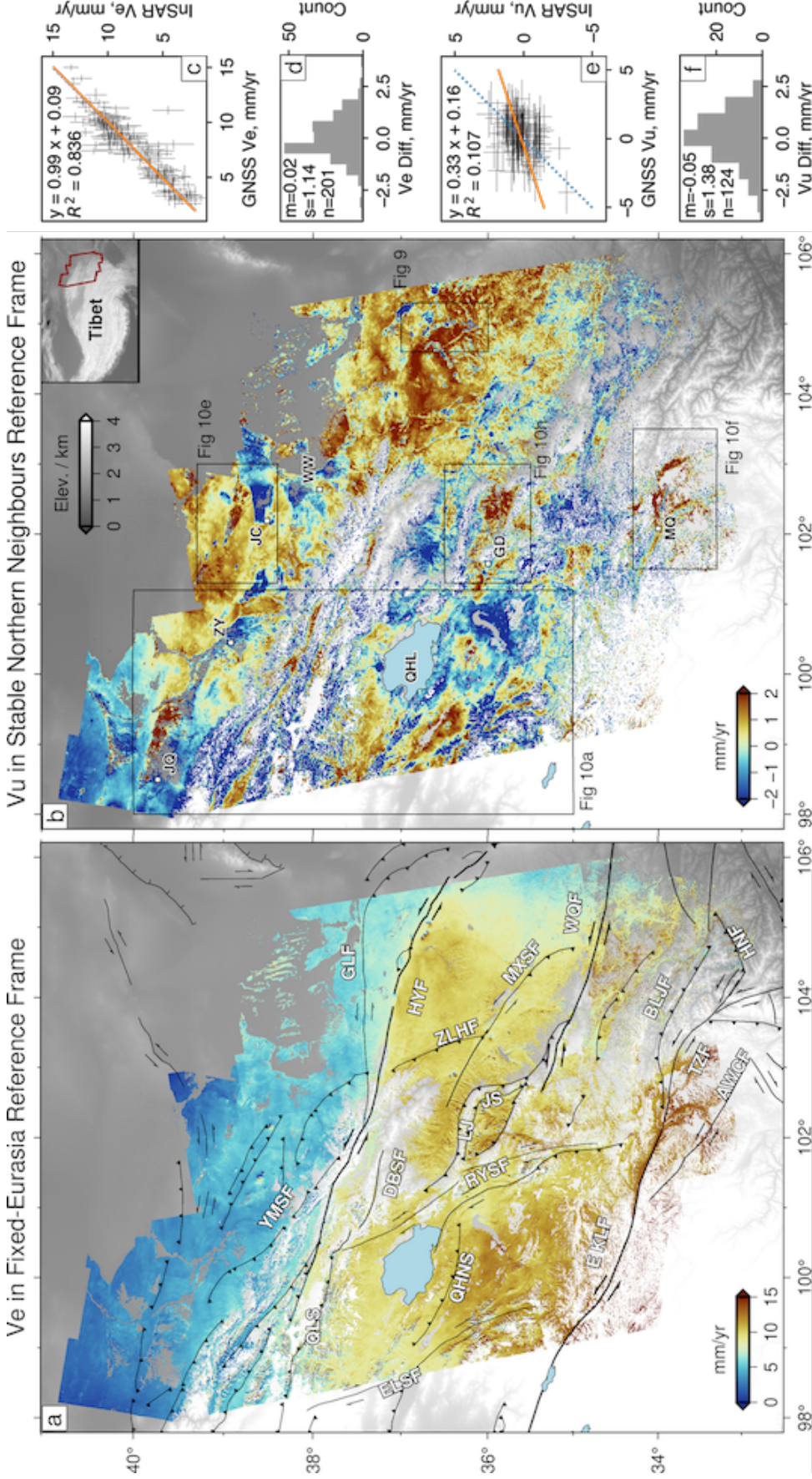


Figure 4. (a) East velocity, V_E , map from direct decomposition of ascending and descending LOS velocity tracks. (b) Vertical velocity, V_U , map decomposed from InSAR V_{LN} (Fig S10b) using interpolated GNSS V_N (Fig S13a). See uncertainty maps in Fig S10 and Fig S13. Elevation is from Shuttle Radar Topography Mission 3-arcsecond Digital Elevation Model (Farr et al., 2007). Faults: HYF=Haiyuan, QLS=Qilianshan, EKLf=East Kunlun, WQF=West Qinling, GLF=Gulang, ZLHF=Zhuanglanghe, MXSF=Maxianshan, JS=Jishishan, LJ=Lajishan, RYSF=Riyueshan, DBSF=Dabanshan, QHNS=Qinghai Nan Shan, ELSF=Elashan, BLJF=Bailongjiang, TZF=Tazang, AWCFF=Awancang, HNF=Hanan, YMSF=Yumushan. Cities: JQ=Jiuquan, ZY=Zhangye, JC=Jinchang, WW=Wuwei, GD=Guide, MQ=Maqu, QHL=Qinghai Lake. (c-f) Regressions and histograms of differences between InSAR and GNSS V_E and V_U velocities. (c,e) Crosses = InSAR and GNSS velocities with uncertainties. Orange line = weighted best-fit linear model. Blue dotted line = one-to-one line for reference. (d,f) Differences are calculated as GNSS-InSAR. m =mean, s =standard deviation, n =number of GNSS component velocities in InSAR coverage.

where n is the nugget, s is the sill, $p = s - n$ is the partial sill, r is the range, and d is the distance between any pair of GNSS points. A spherical model was chosen instead of a Gaussian or an exponential model to strike a balance between interpolation smoothness and feature retention. This model also determines how uncertainties increase with distance from the data points (Fig S13a,b).

For each track that covers a pixel, a velocity V_U and its uncertainty $\sigma(V_U)$ can be calculated as:

$$V_U = V_{UN} \frac{\sqrt{1 - \sin^2(\theta)\cos^2(\phi)}}{\cos(\theta)} - V_N \sin(\phi)\tan(\theta) \quad (8)$$

$$\sigma(V_U) = \sqrt{\sigma^2(V_{UN}) \frac{1 - \sin^2(\theta)\cos^2(\phi)}{\cos^2(\theta)} + \sigma^2(V_N) \frac{\sin^2(\phi)\sin^2(\theta)}{\cos^2(\theta)}} \quad (9)$$

Hence, pixels in the track overlaps can have up to four realisations of V_U and $\sigma(V_U)$. For such pixels, a weighted mean of the V_U , $\bar{V}_U = \frac{\sum V_{U,i}/\sigma(V_{U,i})}{\sum 1/\sigma(V_{U,i})^2}$, and the uncertainty of the weighted mean, $\sigma(\bar{V}_U) = 1/\sqrt{\sum 1/\sigma(V_{U,i})^2}$, are evaluated for the final result (Fig 4b and Fig S13c,d).

4.3 Comparing InSAR and GNSS Velocities and Uncertainties

As only the LOS components from 3D GNSS stations were used in the frame stitching, except for three frames on the edges with fewer than ten 3D stations (Fig S5), and only the V_N GNSS velocities were used in the second step of velocity decomposition, as an additional quality check, it is possible to compare directly the resultant V_E , V_U and their associated uncertainties derived from InSAR with those of the GNSS. The one-to-one fit between InSAR and all 201 stations of GNSS V_E has a gradient of 0.99 and a R^2 of 0.84 (Fig 4c) (or a gradient of 0.93 and a R^2 of 0.76 if only comparing with 62 2D GNSS stations that were not used for tying InSAR LOS). This agreement is remarkable given the high precision of GNSS velocities in the east component (Fig S10a-b). In contrast, the V_U components from InSAR and GNSS only exhibit a weak positive correlation with a gradient of 0.33 and R^2 of 0.11 (Fig 4e). This fit is not surprising given that the uncertainties associated with the GNSS V_U are almost as large as their absolute values. Nevertheless, it is worth noting that the InSAR σV_U is only one third of GNSS σV_U (Fig S13d), thanks to the steep line-of-sight of the satellites. The InSAR V_U map is also characterised by a high degree of spatial variability, which would not have been captured by the sparse GNSS points alone, highlighting the advantages of using InSAR for observing vertical motions. The average differences between InSAR and GNSS V_E and V_U are 0.02 mm/yr and -0.05 mm/y, respectively (Fig 4d,f), confirming that our referencing procedure does not add any biases. The standard deviations of the V_E and V_U differences are 1.14 mm/yr and 1.38 mm/yr, respectively, similar to the 1.00 ± 0.26 mm/yr and 1.67 ± 0.23 mm/yr expected from the combined uncertainties from InSAR and GNSS, suggesting the uncertainties estimated for InSAR V_E and V_U are reasonable.

5 Strain Rate Calculation

Most existing methods for strain rate calculation were designed to derive continuous strain rate fields from sparse and irregularly-spaced levelling or GNSS velocity points. This problem is typically treated either as a velocity interpolation problem with a user choice of smoothing, coupling or tension factor (e.g. Smith & Wessel, 1990; Sandwell & Wessel, 2016), or an inversion problem where strain rates or stresses are directly inverted from velocity point data (e.g. Haines & Holt, 1993; Kreemer et al., 2014; Haines et al., 2015; Haines & Wallace, 2020). The former tends to suffer from poorly constrained uncertainties whereas the latter can be limited by computational power. Kinematic block modeling (e.g. Meade & Hager, 2005; Loveless & Meade, 2010; McCaffrey et al., 2013)

is yet another approach that is often used to focus strain on predefined faults although the number of blocks tends to grow with the number of velocity measurements incorporated into the model. Pagani et al. (2021) developed a Bayesian approach to extract strain rate from an ensemble of interpolated velocities derived from variable triangular meshes. However, none of these approaches have incorporated the dense velocities measured by InSAR.

Hitherto, strain rate calculations from InSAR velocities have relied on downsampling the InSAR data because of the computational limit of the N data by N data matrix inversion and the need to reduce short-wavelength noise in the InSAR velocities (Song et al., 2019; H. Wang et al., 2019; Weiss et al., 2020; Xu et al., 2021). Xu et al. (2021) subsampled the decomposed horizontal InSAR velocities to 2.5 km resolution before interpolating with the *gpsgridded* algorithm of Sandwell and Wessel (2016). For studies using the VELMAP method (H. Wang & Wright, 2012), strain rate is obtained from velocities inverted at the vertices of a triangular mesh using spherical approximation equations (Savage et al., 2001). As the inversion is underdetermined, it needs to be regularised through a smoothing criteria. Although the strength of smoothing is typically chosen by examining the trade-off between misfit and solution roughness, the exact choice of smoothing strength remains somewhat subjective. The data downsampling, smoothing, and low-resolution mesh act to dampen the true strain rate magnitudes and mask large velocities discontinuities that might be associated with creeping faults. Here, we present a new method for strain calculation that preserves the resolution of the InSAR velocities and the sharpness of the strain patterns as much as possible and guides noise suppression using synthetic tests performed on 1D velocity profiles.

5.1 Combining Gradients of Filtered V_E and Interpolated V_N

The gradients of the unfiltered velocities are very noisy due to the ~ 1 mm/yr uncertainty level and the close spacing between velocity observations, thus various filtering strategies were tested. To investigate how filtering affects the signal-to-noise ratio and the strain localisation on faults, we performed synthetic tests on a velocity profile generated using a 1D elastic dislocation model (i.e., a screw-dislocation; Savage, J. C., Burford, 1973) at the same resolution, and with a similar noise level, as the InSAR V_E estimates (Fig S14-S15). Results show that a sliding median filter is better at preserving the shapes of the velocity and gradient profiles than a mean filter (Fig S14a). In addition, applying the filter to the velocity profile before taking the gradients produces more stable results than applying the filter to the unfiltered velocity gradients (Fig S14b). Sub-sampling the ~ 100 m resolution velocity profile to ~ 1 km resolution does not alter profile shape or affect inverted parameters but effectively reduces computational time. We also find that the filter window required to suppress noise is a function of the velocity step, fault locking depth and noise level of the data. A larger filter window is needed for a profile with a larger velocity step, a shallower fault locking and a higher noise level. We experimented with different window sizes and found that a 60 km window is the most suitable for smoothing velocity profiles with noise between 0.5–1 mm/yr, velocity steps between 3–15 mm/yr, typical of slip rates across the Haiyuan and East Kunlun Faults (Kirby et al., 2007; C. Li et al., 2009; J. Li et al., 2016; Yao et al., 2019; Shao et al., 2020), and locking depths between 3–20, typical of locking depths for continental crust (Wright et al., 2013) (Fig S15-S16).

Therefore, we applied a sliding median filter with a 60×60 km window size to the InSAR V_E (Fig S17) before calculating the gradients $\partial V_E / \partial x$ and $\partial V_E / \partial y$. Because the interpolated GNSS V_N is already smooth due to the sparse distribution of control points (Fig S13a,b), we directly evaluate $\partial V_N / \partial x$ and $\partial V_N / \partial y$ without applying additional filtering. The horizontal strain-rate tensor, $\dot{\epsilon}_h$, is the symmetrical component of the velocity gradient tensor composed of the four velocity gradients, so that the horizontal dilatation rate ($\dot{\epsilon}_{dil}$, Fig 5e), the maximum shear rate ($\dot{\epsilon}_{shear}$, Fig 5f), and the second in-

variant of the horizontal strain-rate tensor ($\dot{\epsilon}_{II_h}$, Fig 5g), are defined as follows (Turcotte & Schubert, 2014; Sandwell & Wessel, 2016; M. Wang & Shen, 2020):

$$\begin{aligned}\dot{\epsilon}_h &= \begin{bmatrix} \dot{\epsilon}_{xx} & \dot{\epsilon}_{xy} \\ \dot{\epsilon}_{yx} & \dot{\epsilon}_{yy} \end{bmatrix} = \begin{bmatrix} \frac{\partial V_E}{\partial x} & \frac{1}{2}(\frac{\partial V_E}{\partial y} + \frac{\partial V_N}{\partial x}) \\ \frac{1}{2}(\frac{\partial V_N}{\partial x} + \frac{\partial V_E}{\partial y}) & \frac{\partial V_N}{\partial y} \end{bmatrix} \\ \dot{\epsilon}_{dil} &= \dot{\epsilon}_{xx} + \dot{\epsilon}_{yy} \\ \dot{\epsilon}_{shear} &= \sqrt{\dot{\epsilon}_{xy}^2 + (\dot{\epsilon}_{xx} - \dot{\epsilon}_{yy})^2/4} \\ \dot{\epsilon}_{II_h} &= \sqrt{\dot{\epsilon}_{xx}^2 + 2\dot{\epsilon}_{xy}^2 + \dot{\epsilon}_{yy}^2}\end{aligned}\tag{10}$$

5.2 Uncertainties in the Strain Rates

5.2.1 Quantitative Estimates of Strain Rate Uncertainties

The uncertainties in the strain rates should come from that of the component velocity gradients, which in turn depend on the uncertainties of the median filtered InSAR V_E and interpolated GNSS V_N fields. Analytically deriving the uncertainties of V_E gradients taking into account the spatial covariance between pixels is challenging because of the median filtering step. Therefore, we empirically estimated V_E gradient uncertainties at an area overlapped by two ascending and two descending tracks. We first created four versions of V_E , via V_E - V_{UN} decomposition (Section 4.1), from four possible combinations of one of the ascending tracks and one of the descending tracks. Then, we median-filtered them and compared their horizontal gradients (Fig S18). The four versions of V_E gradients give standard deviations of 7.8 nst/yr for $\partial V_E/\partial x$ and 4.3 nst/yr for $\partial V_E/\partial y$, which represent 17% and 10% uncertainty levels relative to the gradient signals (46.4 nst/yr for $\partial V_E/\partial x$ and 45.9 nst/yr for $\partial V_E/\partial y$) (Fig S18). These uncertainties are upper bounds for two reasons. First, the error introduced by the approximation of the V_{UN} vector is the largest on the edges, as in the track overlaps, as compared to in the central parts of a track. Second, our V_E (Fig 4a) in track overlaps is evaluated from all available (three or four) tracks instead of just two tracks used in this experiment; the addition of neighbouring track(s) would reduce this error with both near-range and far-range LOS velocities involved in the inversion (Section 4.1, Fig S12).

To estimate the uncertainties of V_N gradients, we used the Monte Carlo method to create 100 interpolated GNSS V_N fields, each time varying each GNSS V_N velocity by an amount randomly sampled from a Gaussian distribution with associated $\sigma(V_N)$, assuming the $\sigma(V_N)$ are independent. From the 100 derived $\partial V_N/\partial x$ and $\partial V_N/\partial y$ fields, we find 7.2 nst/yr and 5.7 nst/yr of uncertainties relative to 10.6 nst/yr and 10.8 nst/yr of the gradient signals, respectively (Fig S19). These statistics reflect the high noise-to-signal ratios (68% for $\partial V_N/\partial x$ and 53% for $\partial V_N/\partial y$) of the gradients of a spatially smooth V_N field. Taking 7.8 nst/yr for $\sigma(\partial V_E/\partial x)$, 4.3 nst/yr for $\sigma(\partial V_E/\partial y)$, 7.2 nst/yr for $\sigma(\partial V_N/\partial x)$ and 5.7 nst/yr for $\sigma(\partial V_N/\partial y)$, we have uncertainties of 6.3 nst/yr on the maximum shear rate, 9.7 nst/yr on the dilatation rate and 7.0 nst/yr on the second invariant.

5.2.2 Overestimated Strain in Areas of Large $\sigma(V_E)$

As the velocity gradients are squared in the evaluation of maximum shear and second invariant, any noise remaining in the filtered V_E will lead to oscillating gradients of opposite signs (Fig S15a) and an overestimation of the strain rates. This is most likely the case around the West Qinling Faults and near the eastern termination of the East Kunlun Fault (Fig 5), where $\sigma(V_E)$ is up to 2 mm/yr (Fig S10b). We estimate the degree of this overestimation by filtering 1000 simulations of arctangent velocity profiles and analysing the absolute V_E gradients summed over the filtered profile relative to that of the model. For a profile with 2 mm/yr Gaussian noise, 20 km locking depth and 5 mm/yr of velocity step, using a 60 km filter window can lead to overestimates in the absolute

gradients by $23 \pm 8\%$. This percentage overestimation will increase with smaller velocity steps and shallower locking depths as the signal-to-noise ratio decreases.

5.2.3 Underestimated Strain from Low-Resolution GNSS V_N Gradients

The interpolated GNSS V_N field is smoother than the V_E field due to the lower density of the GNSS measurements. As a result, the GNSS velocity gradients are also less sharp and have lower amplitudes than the V_E gradients (Fig 5a-d). This difference has an impact on the spatial patterns in the strain-rate maps, with contributions from the V_N gradients being comparatively weaker. Therefore, we should be mindful of potentially underestimated shear strain rates over N-S oriented strike-slip faults (e.g., Riyueshan Fault) and contractional strain rates over E-W oriented reverse faults (e.g., Qilianshan thrusts).

6 Main Features in the Velocity and Strain-Rate Fields

Our analysis results in two regional velocity maps (Fig 4a,b) covering an area of 440,000 km² with ~ 100 m resolution, as well as three strain rate maps at ~ 1 km resolution with better spatial coverage because of the filtering (Fig 5e-g). These maps provide a new, extensive view of the crustal motion of the NE Tibetan Plateau at unprecedented resolution.

6.1 Strain Localisation along Haiyuan and East Kunlun Faults

We observe concentrated strain along the major Haiyuan and East Kunlun Faults (Fig 5g). We also see more distributed strain across the Qilianshan and West Qinling Fault, as well as some finite strain away from the main faults. If we mask out pixels with second invariant strain rate above 40 nst/yr, as highlighted in Fig 5g, the remaining pixels together sum up to half of the total strain rate in the study region and average to 21 nst/yr. The major faults accommodate on average 76 nst/yr of strain rate across 20% of the area.

The spatial extent of the strike-slip motion on the Haiyuan Fault is most clearly defined in the maximum shear rate map (Fig 5f), with high shear extending from Haiyuan County in the east, to Qilian County in the west, where the strike-slip fault terminates and transitions into the Changma and North Qilianshan thrusts. This is consistent with the change of strain patterns to the west of Qilian County where the maximum shear diminishes and transitions to contraction in the form of strongly negative dilatation rates (Fig 5e). In comparison to the Haiyuan Fault, elevated strain on the East Kunlun Fault is less tightly localised on the main fault strand, with shear observed to the south of the fault near Maqing and to the north of the fault near Maqu, where the strain appears distributed across multiple strands.

6.2 Contractional Strain at Fault Junctions

We also observe focused strain at fault junctions where the Haiyuan Fault branches into the Qilianshan Thrusts and where it interacts with the Gulang and Lianhuashan Faults, as well as where the East Kunlun Fault meets the Awancang Fault. These transition areas are characterized by contractional strain rates (i.e., negative dilatation; Fig 5e), suggesting volumetric changes and stress anomalies at the triple junctions, likely induced by relative motion of neighbouring crustal material at the branching points. This observation is in agreement with the model of King and Nábelek (1985) where off-fault strain is expected at a process zone around a three-fault junction to permit zero displacement at the fault intersection. We cannot tell the difference between elastic strain accumulation and plastic strain that does not end up in earthquakes. Further modelling could assess the degree to which off fault deformation is required to release the strain observed.

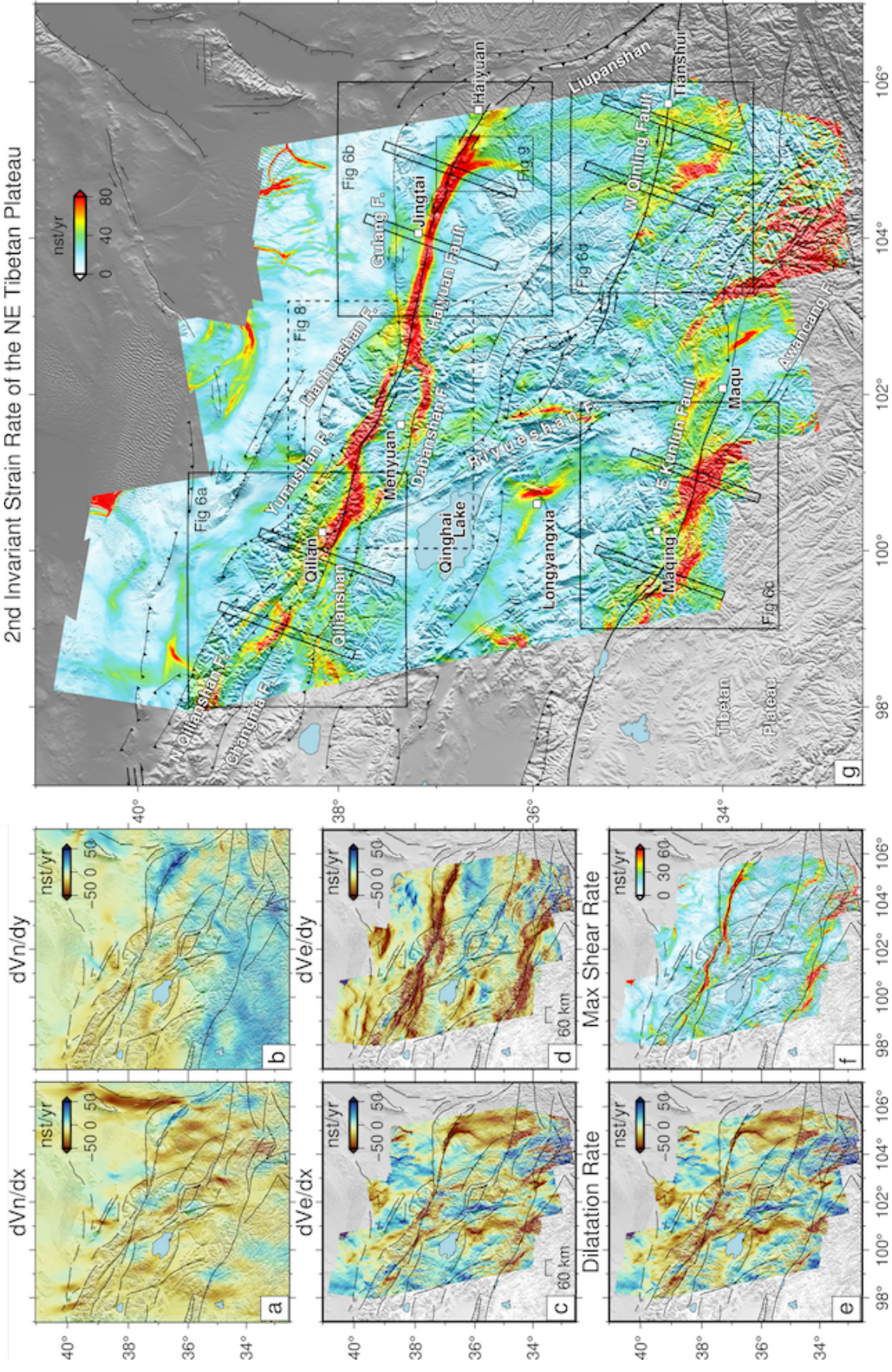


Figure 5. (a) $\partial V_N / \partial x$ and (b) $\partial V_N / \partial y$ and (c) $\partial V_E / \partial x$ and (d) $\partial V_E / \partial y$ calculated from the V_E map median-filtered with a $60 \text{ km} \times 60 \text{ km}$ window size. Maps of (e) dilatation rate, (f) maximum shear and (g) second invariant of the horizontal strain-rate tensor, calculated from the velocity gradients (a-d) using Equations 10. The colour scales are chosen to highlight strain concentration along faults.

Understanding such focused strain at the fault bends and branches is crucial as such geometric complexities might promote the initiation and termination of future earthquakes (Schwartz & Sibson, 1989; Bhat et al., 2007; Wesnousky, 2008; Walters et al., 2018; Quigley et al., 2019; Sathiakumar & Barbot, 2021).

6.3 Strain-rate and V_E Profiles Across Faults

We further compare strain rates and V_E across the Qilianshan and Haiyuan, East Kunlun and West Qinling Faults using a suite of fault-perpendicular profiles (Fig 6). The magnitude and shapes of the strain peaks are largely related to the step size and gradients of the V_E profiles. A typical arctangent-shaped profile over the East Kunlun Fault (Fig 6c, profile 2-2') corresponds with a Gaussian-shaped strain-rate profile. The 7.3 mm/yr velocity step is likely a combined contribution from both East Kunlun and Awangcang Faults. Despite having a larger velocity step, this long wavelength V_E profile gives rise to a broad strain profile with a lower peak strain value than that of the profile 2 over the Haiyuan Fault (Fig 6b, profile 2-2'), where strain is more localised. A sharp velocity step in V_E in profile 1 across the Haiyuan Fault corresponds to a spike in the strain-rate profile, with a secondary step over the Gulang Fault indicated by a smaller peak in the strain-rate profile (Fig 6b, profile 1-1'). Multiple strain peaks are observed across both profiles over the Qilianshan (Fig 6a), as expected from strain partitioning across the parallel thrusts. The West Qinling Fault is characterised by nearly linear velocity profiles which correspond to near flat strain rate profiles without any prominent peaks associated with the surface fault traces. This suggests that either this area is characterised by distributed deformation, or any localised strain is hidden in the noise caused by vegetation and loess cover in this area, or we could be seeing a seismic cycle effect with rapid postseismic strain rate after a M 8 events that occurred here in 1654 (CEA-PBED, 1995) and slow interseismic strain rate observed now (Elliott et al., 2016; Hussain et al., 2018; Zhu et al., 2020).

6.4 Creep Detection and Creep Rate Measurement over the Laohushan Section

In Fig 7a, we plot the second invariant of strain rate map (Fig 5g) using an adjusted colour map in order to highlight the 30-km-long Laohushan section where creep has been documented over the past three decades (Cavalié et al., 2008; Jolivet et al., 2013, 2015). The V_E profile in Fig 7c shows that the creep has continued between the years 2014 and 2020 with a V_E step of 2.8 ± 0.3 mm/yr, based on the weighted means of the velocities within 5 km on each side of the fault. The equivalent fault parallel creep rate is 2.9 ± 0.3 mm/yr, assuming no vertical or fault perpendicular motions, consistent with the 2.5 ± 0.4 mm/yr obtained by Y. Li et al. (2021) using GNSS, Evisat and levelling data. This is slower than the 5 ± 1 mm/yr measured by Evisat InSAR data between 2004–2009 (Jolivet et al., 2012), and the 6.3 ± 2 mm/yr measured by ERS InSAR data between 1993–1998 (Cavalié et al., 2008), suggesting recent decrease in the creep velocity. The V_E and V_U decomposition also helps separate horizontal creep from the subsidence signal in the Jingtai Basin. The Jingtai Basin is located at a releasing jog between the Laohushan segment to the west and the 1920 Haiyuan Earthquake surface rupture to the east (Jolivet et al., 2012; Han et al., 2021). The subsidence could be caused by extension across the basin induced by the 1920 Haiyuan Earthquake, which would also have lowered the normal stress and hence promoted creep. The continuous decrease of the creep rate over the past three decades suggests that this creep is transient, possibly in relation with the rupture termination of 1920 Haiyuan Earthquake.

We also identify a ~ 66 km long high strain segment further west on the Haiyuan Fault. This could be related to a M_W 5.9 Menyuan Earthquake that occurred on January 21, 2016 (Fig 7a, Fig 8d). However, considering that the fault patch that slipped during the earthquake was only 20 km long (Y. Li, Shan, et al., 2016; H. Wang et al.,

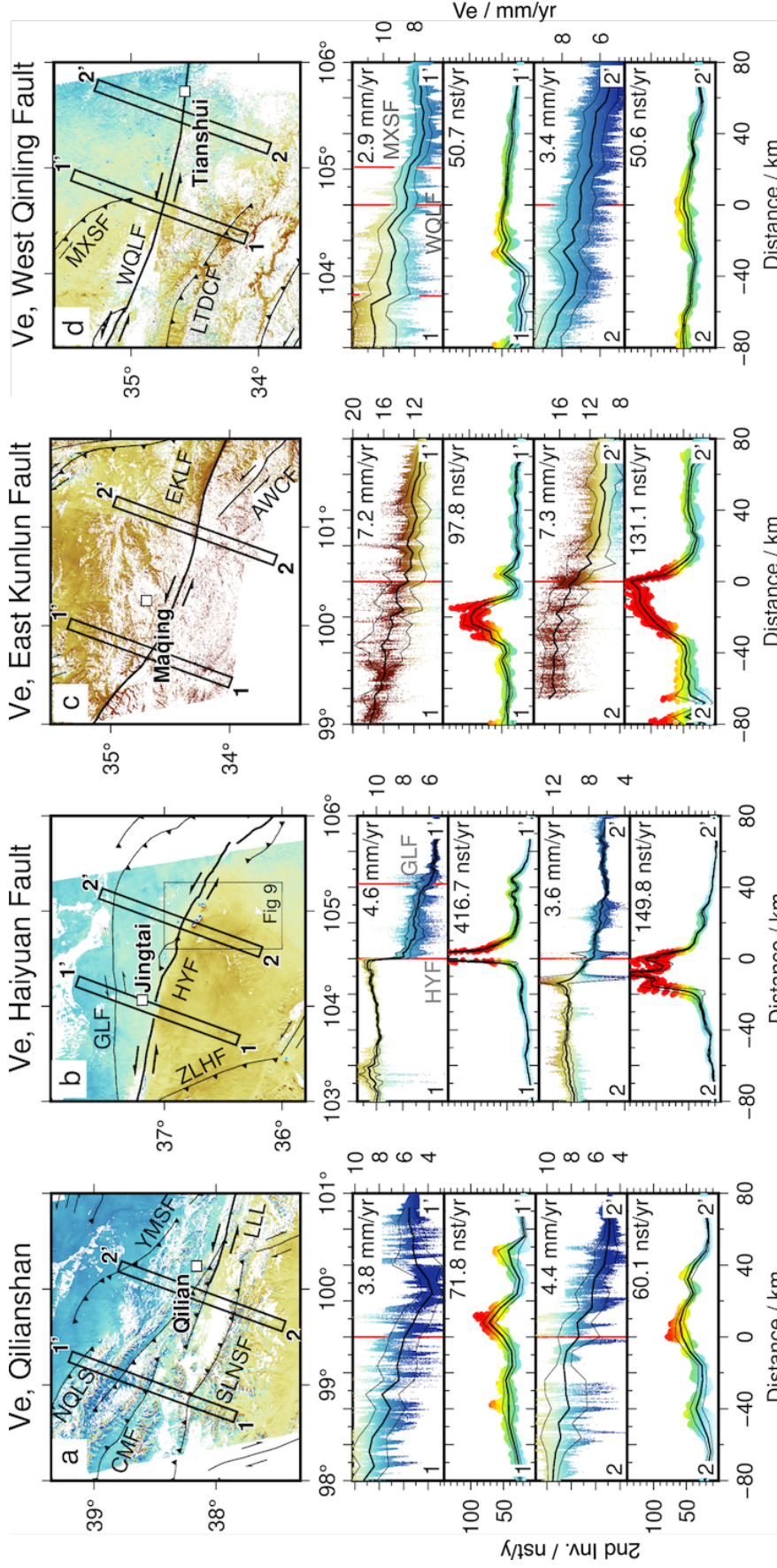


Figure 6. Comparison between full-resolution V_E and second invariant of horizontal strain rate profiles across (a) the Qilianshan thrusts, (b) the Haiyuan Fault (HYF) and the Gulang Fault (GLF), (c) the East Kunlun Fault (EKLf), and (d) the West Qinling Fault (WQLF). Peak strain and the V_E velocity differences between the mean velocity at the ends of the profiles are labelled in each profile panel. Thick and thin black lines on the profiles show median and standard deviations calculated for each 1 km bin. NQSLF = North Qilianshan Fault; YMSF = Yumushan Fault; CMF = Changma Fault; SLNSF = Shulianshan Fault; LLL = Lenglongling Fault; ZLHF = Zhuanglanghe Fault; AWCF = Awancang Fault; MXSF = Maxianshan Fault; LTDCF = Lintan-Dangchang Fault.

2017), yet the high-strain segment is three times longer indicates that the earthquake might have induced postseismic creep. The Menyuan Earthquake was a thrust earthquake that occurred on a fault splay attached to the Haiyuan Fault at a depth of 10 km (Y. Li, Jiang, et al., 2016; H. Wang et al., 2017; Y. Zhang et al., 2020). The motion of the pop-up structure might have released normal stress on the Lengling Fault thus making stress conditions conducive to creep on the Haiyuan Fault. This postseismic creep might have, in turn, induced a coulomb stress change that promoted the occurrence of the strike-slip M_W 6.6 Jan 8 2022 Menyuan Earthquake on the western end of the creeping section. Similarly, the high-strain signal in the southeast corner of Fig 7a is associated with the 2017 M_S 7.0 Jiuzhaigou Earthquake and associated post-earthquake deformation.

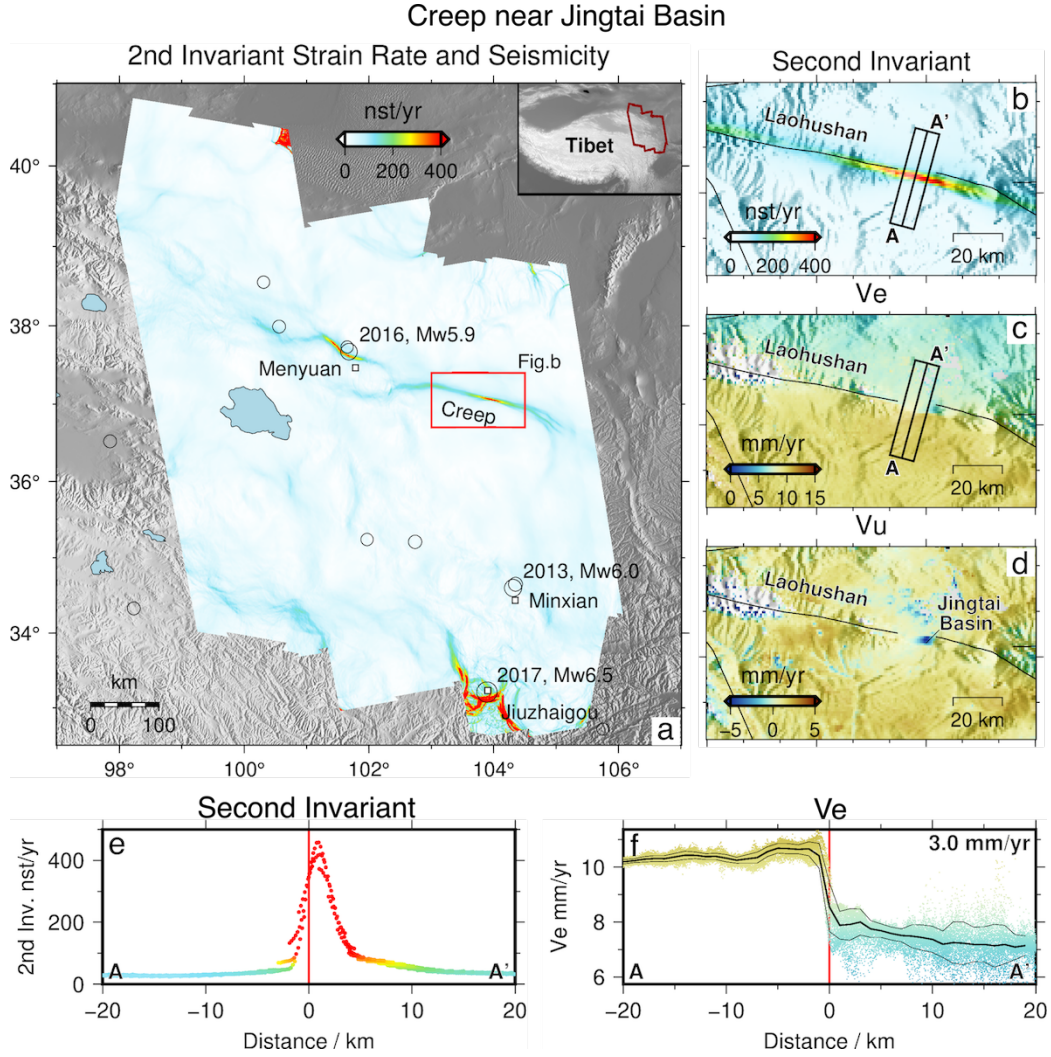


Figure 7. (a) Second invariant of horizontal strain rate. Circles show $M_W > 5$ earthquakes that occurred between 2012 and 2020 from the Global Centroid Moment Tensor Catalogue (Dziewonski et al., 1981), with sizes scaled by magnitude. Event year and magnitude with $M_W > 5.5$ are labeled. (b) Zoomed-in view of the strain rate second invariant for the region indicated with the red box in (a), showing the Laohushan creeping section. Panels (c) and (d) are V_E and V_U maps for the same region. (e) shows the A-A' profile from (b). (f) shows the A-A' profile from (c).

6.5 Shear Strain on the Eastern Extension of the Dabanshan Fault

Fig 8 shows a close-up view of the region around the central portion of the Haiyuan Fault that highlights elevated maximum shear strain on a fault branch to the south of and parallel to the Lenglongling Fault. The associated velocity step that is clearly visible in both map and profile views indicate relative motion of ~ 2 mm/yr on the eastern extension of the Dabanshan Fault (Fig 8c). The surface trace of this fault is from China's Seismic Active Fault Survey Data Center and is labeled as a Holocene fault, possibly because of the 1929 M5.5 historical earthquake that occurred just to the south (<https://activefault-datacenter.cn/>) (Xu et al., 2016). How and whether this segment connects with the rest of the fault system is not well constrained due to the sparse InSAR data coverage across the mountains (Fig 8f). However, if the Dabanshan and the Haiyuan Faults are connected and capable of rupturing simultaneously, they pose a previously unrecognized and elevated seismic hazard to the region.

6.6 Strain Concentration near the Eastern Termination of the Haiyuan Fault

Additional intriguing features include three high shear strain rate fingers and an arcuate-shaped concentration of contractional strain on the west flank of the Quwu Shan near the eastern termination of the Haiyuan fault (Fig 9a). This feature starts from the east flank of the Hasishan on the Haiyuan Fault and extends from a southern branch (Fig 9b) of the main fault which is commonly believed to be an active fault that did not rupture during the 1920 Haiyuan Earthquake (Cavalié et al., 2008; C. Li et al., 2009; Ren et al., 2016; Matrau et al., 2019; Yao et al., 2019; Ou et al., 2020). Deng et al. (1986) and Gaudemer et al. (1995) suggested the branch extends southeastwards and connects with an oblique reverse fault on the southwestern flank of the Quwu Shan (mapped in Fig 9b) to form a subordinate fault strand in the Haiyuan Fault Zone. However, we do not observe a velocity gradient or elevated strain across the southwestern flank of Quwu Shan. Instead, a V_E gradient and a strong contraction signal exist along the near north-south trending valley between the Yellow River and the Quwu Shan (Fig 9a,d,f). The V_U map also shows a velocity step across the two sides of the valley, with the west uplifting relative to the east, ignoring the subsidence signals along the valley which correspond to the farmlands near villages and are likely caused by water extraction for irrigation (Fig 9e,f, see similar subsidence patterns discussed in Section 6.7.3). This motion might have created the topographic step visible on the hill shade map along the contractional feature (Fig 9c). This is most noticeable near Gaowanxiang, where the westernmost 1 km of an otherwise west-dipping coalesced alluvial fan surface (bajada) is tilted to the east (Fig 9f), diverting the local drainage (Fig 9c). We interpret the signal as a growing fold or a young blind west-dipping thrust fault that, together with the series of thrusts and folds oriented sub-parallel to the Liupanshan, helps absorb the termination of the left-lateral motion on the Haiyuan Fault (Duvall & Clark, 2010). The localisation of the contractional strain here also suggests that the Longzhong basin block, which is bounded by the Haiyuan, Liupanshan, West Qinling, Maxianshan and Zhuanglanghe Faults (Fig 4a) (e.g. X. Li et al., 2021), might not be as rigid as previously thought (Y. Wang et al., 2017; W. Wang et al., 2017).

Lenglongling Section of Haiyuan Fault

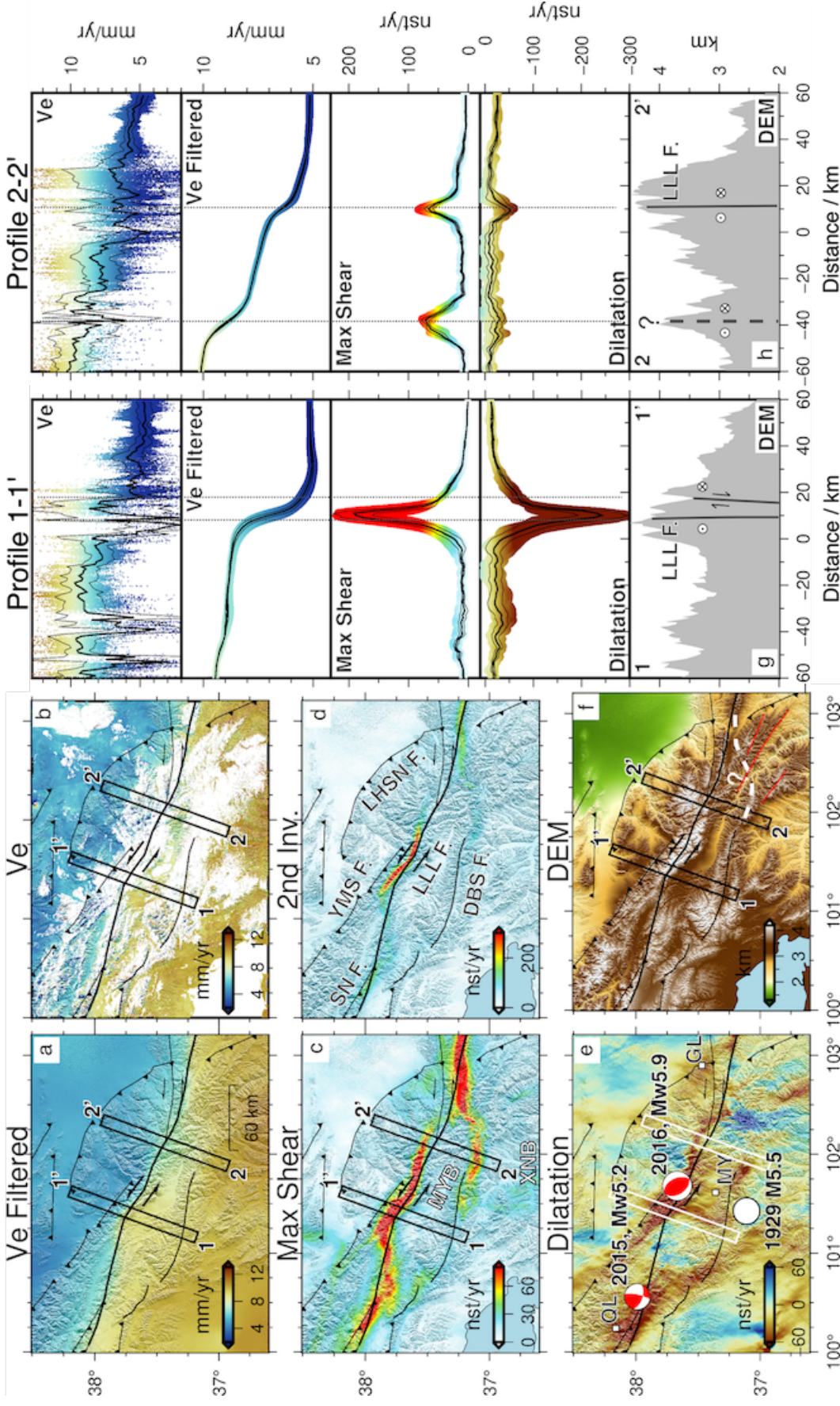


Figure 8. Maps over and profiles across the Lenglongling Section of the Haiyuan Fault. (a) V_E median filtered with a window size of 60 km. (b) full-resolution V_E , (c) Maximum shear strain rate showing shear on a parallel branch to the south of Lenglongling Fault, (d) Second invariant of strain rate, plotted with a different colour scale, showing signal that possibly represents post-seismic creep. (e) Dilatation rate showing contraction signals at fault junctions and focal mechanisms of a 2015 Nov 22 Mw 5.2 oblique strike-slip earthquake and the 2016 Jan 21 Menyuan Earthquake. (f) Topography over the fault branches around the Lenglongling segment of the Haiyuan Fault. (g) Profile 1-1' and (h) profile 2-2' with full-resolution V_E , filtered V_E , maximum shear, dilatation and topography data extracted from (b), (a), (c), (e), and (f). County names: GL=Gulang, QL=Qilian, MY=Menyuan. Fault names: LHSN=Lianhuashan, YMS=Yumushan, SH=Sunan, LLL=Lenglongling, DBS=Dabanshan, RYS=Riyueshan. Basin names: MYB=Menyuan Basin, XNB=Xining Basin. Dashed lines in (f) and (h) mark a potential fault suggested by the shear strain, though its location in (f) is only weakly constrained by the sparse V_E pixels around this feature. Alternative fault geometry from (J. Zhang et al., 2009) is plotted in (f) in red for comparison.

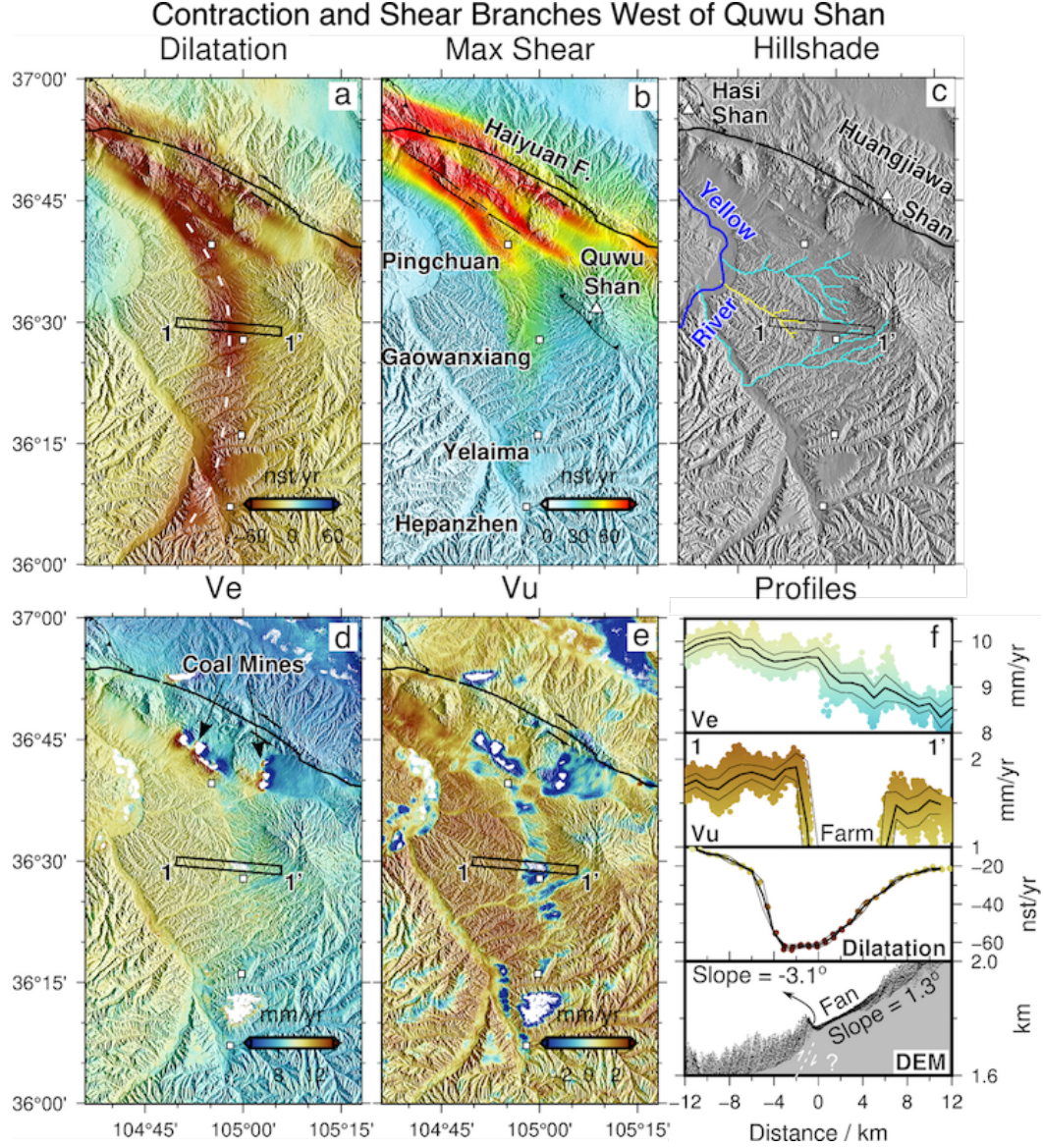


Figure 9. Maps and profiles showing contraction and shear branches that stem from the Haiyuan Fault west of the Quwu Shan. (a) Dilatation, (b) maximum shear strain rate, (c) hillshade of 3-arcsecond digital elevation model from Shuttle Radar Topography Mission (Farr et al., 2007) with diverted streams highlighted in cyan, (d) V_E , (e) V_U where “Farm” indicates farmland and (f) profiles showing a tilted alluvial fan surface collocated with contractional strain and V_E and V_U gradients.

6.7 Vertical Motions from Climatic, Hydrological and Anthropogenic Processes

Unlike the V_E map, which undoubtedly primarily reflects active tectonics, we do not see much correspondence between vertical deformation patterns and the surface fault geometry (Fig 4a-b). Instead, we observe a first order correlation between vertical motion and topography. In the high plateau, the V_U maps is dominated by subsidence. In the lowland, the V_U signal is dominated by uplift. The short-wavelength variations in

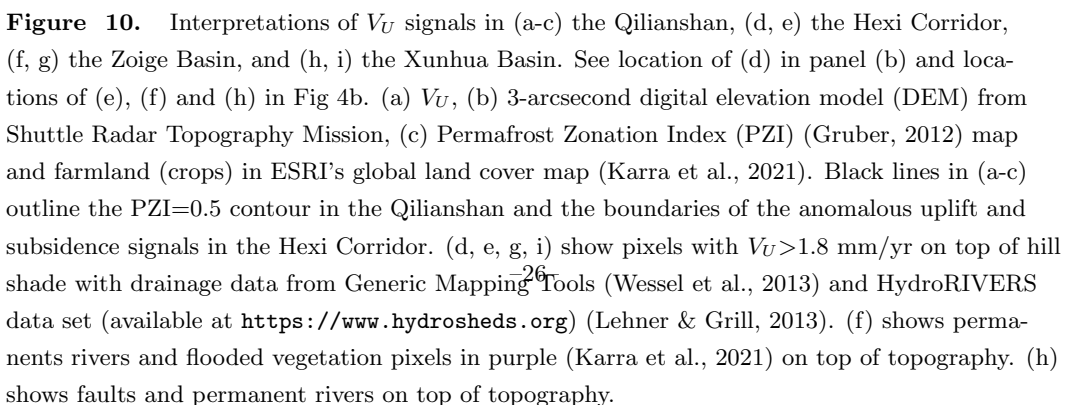
vertical motion compared to V_E suggests the source of the vertical motions are likely shallow and mostly driven by surface or near-surface processes. By comparing V_U with Esri's 2020 land cover map (Fig S20)(Karra et al., 2021), we find strong correlations between V_U and three hydrology-related land cover classes, despite the low percentage of these pixels compared to the overall map. Water (mostly along river courses) and flooded vegetation generally correspond to uplift ($V_U = 1.3 \pm 2.2$ mm/yr), and snow/ice corresponds to subsidence ($V_U = -2.5 \pm 2.9$ mm/yr).

6.7.1 Subsidence of Permafrost-Rich Qilianshan

Pixels classified as snow/ice are mostly in the peaks of Qilianshan (Fig S20), east of where Daout et al. (2020) observed active-layer freeze and thaw cycles and widespread subsidence interpreted as ice-loss. We compare our V_U map with the permafrost zonation index (PZI) map from Gruber (2012), which is an estimate of the degree to which permafrost exists in a region. High PZI means permafrost is expected nearly everywhere, whereas low PZI means permafrost likely exists only in the most favorable conditions (Gruber, 2012). We find that the area of strong subsidence in the Qilianshan, where $V_U < -2$ mm/yr, matches the area where $PZI > 0.5$ (Fig 10a,c), suggesting that isotropic thaw subsidence might also span this region of the Tibetan Plateau (Zou et al., 2017; Bibi et al., 2018; Cao et al., 2019; Gao et al., 2021; J. Wang et al., 2021; J. Chen et al., 2022).

6.7.2 Uplift from Blocked Drainage

Significant local uplift is detected to the east of Jiuquan, to the north of Jinchang, to the east of Maqu and to the east of Guide cities (Fig 4b). If we compare regions where $V_U > 1.8$ mm/yr to the topography, we find that the rapidly uplifting regions correspond to locations where drainage is blocked by topographic barriers (Fig 10d,e,g,i). The patch near Jiuquan is drained by the Beida and Black Rivers as well as the numerous alluvial fans on the northeast slope of the Qilianshan (Fig 10d). The outlet is blocked by the Jintanan Shan and Heli Shan in the north such that the water is ponding in front of the linear ridge. Similarly, the triangular patch to the north of Jinchang sits in the Chaoshui Basin, where an endorheic river drained from the northwest terminates. This region is sandwiched between the alluvial fans stemming from the Beida Shan in the north and Longshou Shan in the south, collecting water from two almost opposite azimuths (Fig 10e). The same is true for the fast uplift rates in the Gonghe Basin (Fig 10a-c), where the melt water from the permafrost-rich peaks in the west fails to reach the Yellow River and stalls in a local topographic minimum. Further south, high V_U values are observed in the Zoige Basin to the east of Maqu where the White and Black Rivers join the Yellow River and the Yellow River makes a U-turn in front of the Min and East Kunlun Mountains. This is also where pixels classified as flooded vegetation are clustered (Fig 10f), consistent with the wetland environment of the Zoige Basin (B. Li et al., 2014). As the Yellow River enters the Xunhua Basin (Fig 10h,i), the outflow is again blocked by the N-S trending Jishi Shan such that the entire eastern half of the Xunhua Basin shows rapid uplift rates. The locations of rapid uplift relative to drainage and topography suggests a clear hydrological origin of the uplifting signals. Further work is required to determine whether this is a manifestation of poroelastic expansion of the soil or the cumulative effect of phase bias due to systematic changes in soil properties. One way or another, this phenomena requires a water mass increase likely linked to increases in surface air temperature and precipitation, melting of glaciers and thawing of ice-rich permafrost (e.g. Bibi et al., 2018).



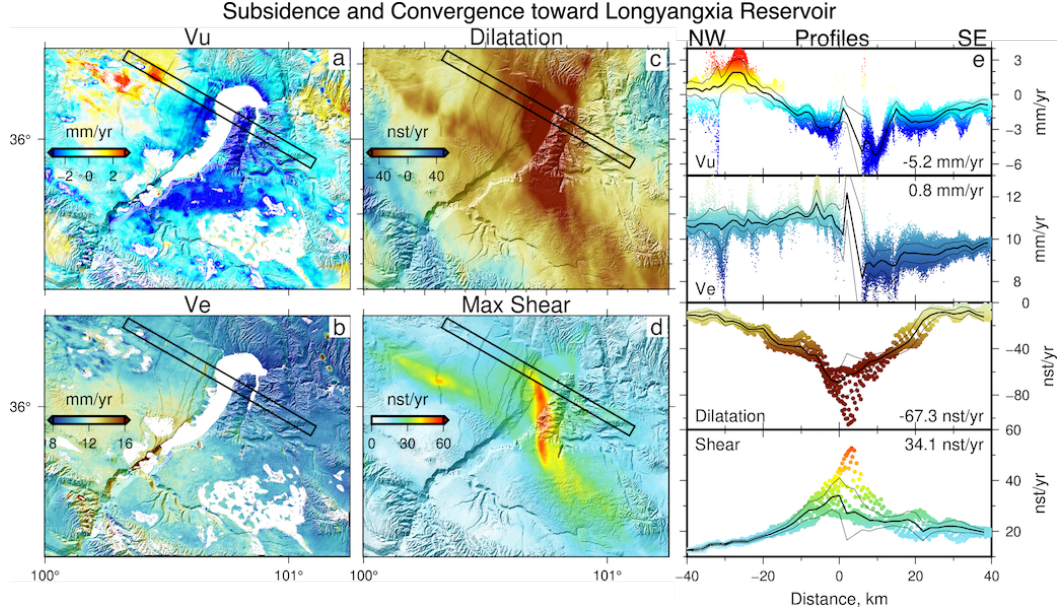


Figure 11. (a) V_U , (b) V_E , (c) dilatation rate and (d) maximum shear rate maps of the Longyangxia Reservoir. (e) Profiles from (a-d) showing subsidence around and convergence towards the center of the reservoir causing dilatation and shear in the area. Thick and thin lines mark binned mean and standard deviations along profiles. Labels on each profile show lowest mean V_U , difference in mean V_E on the edges of the profile, lowest mean dilatation and highest mean maximum shear.

6.7.3 Subsidence of farmlands in the Hexi Corridor

Patches of subsidence are observed along the Hexi Corridor to the north of the permafrost zone (Fig 10a,c). The spatial coverage of the subsidence signals corresponds to farmland near the major cities of Jiuquan, Zhangye, Jinchang and Wuwei (Fig 4b, Fig 10c). We attribute the subsidence to rapid groundwater extraction for irrigation which is common practice in the arid northwest of China, where the loss of groundwater storage has long been a known issue (He et al., 2012; X. Zhang et al., 2018; Wu et al., 2019). The fastest subsiding crop areas are those to the east of Jinchang and to the north of Wuwei (Fig 4), where $V_U < -10$ mm/yr are observed.

6.7.4 Subsidence around the Longyangxia Reservoir

The moon-shaped empty patch to the south of the Qinghai Lake is the Longyangxia Reservoir (Fig 10a, Fig 11), and is at the center of a subsiding region. The subsidence signal is strongest at the thin strip of land in the head of the hook-shaped water body, with a subsidence rate of ~ 5.2 mm/yr (Fig 11e). The subsidence rate decreases away from the reservoir over a wavelength of >20 km on each side, typical of the length scale of elastic deformation of the upper crust (Doin et al., 2015). The V_E profile shows a step that is greater just adjacent to the reservoir and smaller further away, suggesting material is being pulled towards the center of subsidence. Therefore, we interpret subsidence as a result of the gravitational loading from the water column, in response to a ~ 20 m overall increase in water level detected between 2017 and 2020 (Zhao et al., 2022). This water load might have been established prior to 2017 and caused convergence of the surrounding crust and hence the contraction observed (Fig 11c). The source of the shear strain is unclear (Fig 11c,d). One possible explanation is that the interpolated GNSS V_N

field is not of high-enough resolution to capture this short-wavelength variation, hence the V_N gradients cannot balance the V_E gradients in the evaluation of the maximum shear rate.

6.7.5 Large-scale Regional Uplift

Focusing only on regions where the absolute value of V_U is less than 2 mm/yr (i.e., ignoring non-tectonic deformation) highlights large-scale, regional uplift northward of the West Qinling Fault, across the Haiyuan Fault, along most of the Hexi Corridor, as well as in the lens-shaped valleys between the Qilian ranges and the low-relief plains to the west of Qinghai Lake (Fig 4b). Whilst the bedrock vertical motions in the high plateaus are still mixed and uncertain, we can see a broad-scale uplifting signal across the low-lying northeast half of the study region (compare Fig 1a and Fig 4b). The uplift is relative to the average V_U velocities of three reference GNSS stations in Ordos Plateau, Gobi-Alashan and Mongolia chosen by Liang et al. (2013) in the stable northern neighbours reference frame. Therefore, the observation is consistent with a picture of northward growth of topography as inferred by the new-found activity on the thrusts in Heli Shan to the north of the Hexi Corridor measured by W. J. Zheng et al. (2013).

7 Conclusions

In this study, we have derived ~ 100 m resolution V_E and V_U maps at ~ 1 mm/yr uncertainty levels and three ~ 1 km resolution strain rate maps covering 440,000 km² of the NE Tibetan Plateau. We developed new methods for mosaicing frame-based LOS velocity maps into regional velocity maps, deriving Cartesian (i.e., north-south, east-west, and vertical) velocities, and deriving high-resolution strain rate maps from the velocity fields that preserve the rich and detailed information contained in the dense geodetic data sets. The resulting V_E and V_U maps highlight for the first time at a large scale tightly focused left-lateral, strike-slip deformation across the major Haiyuan and East Kunlun fault system, and an outward growth of topography to the north-east of the Tibetan plateau. The second-invariant of the horizontal strain-rate tensor shows that half of the total horizontal strain rate is accumulating on those major structures. Shear strain rate helps delineate the extent of the major strike-slip faults, with a mix of both localised and distributed deformation as a result of fault geometry and slip partitioning. Dilatational and compressional strains are observed between Qilianshan thrusts and on fault junctions, such as where the Haiyuan Fault branches into neighboring strike-slip and thrust faults and where the Awancang strike-slip fault merges into the East Kunlun Fault, highlighting the local volumetric changes within zones of complex fault geometry. The high-resolution strain rate maps also aid in identifying fault segments that are creeping at the surface and previously unknown active faults. The Laohushan creeping section of the Haiyuan Fault is mapped to be 30 km long across both the Laohushan with an average creep rate of ~ 3 mm/yr, which is $>20\%$ lower than the creep rate reported in previous studies. High shear-strain rates are also observed along the Lenglongling section of the Haiyuan Fault, potentially induced by postseismic creep following the 2016 Menyuan Earthquake. The eastward motion of the eastern half of the Menyuan Basin also appears to be decoupled from that in the Xining Basin, causing shear strain to accumulate on the eastern extension of Dabanshan Fault. Strong north-south-trending contraction is identified on the western flank of the Quwushan, in agreement with a back-tilted alluvial fan surface. The V_U map reveals rapid subsidence across the permafrost-rich Qilianshan due to large-scale ice loss, at the oases along the Hexi Corridor due to the extraction of groundwater for irrigation, and around the Longyangxia Reservoir due to gravitational loading from the increasing water level. Small-scale uplifts are also observed at the Jiuquan, Chaoshui, Zoige and Xunhua Basins where river drainage hits topographic barriers. With the ongoing expansion of global InSAR data processing and coverage, high-resolution velocity and strain rate maps like those shown here will play an increasingly important role

in measuring active fault deformation, detecting unknown and creeping faults, advancing our understanding of the regional and global seismic hazard caused by active faults and characterization of climatic, hydrologic and anthropogenic-related processes alike.

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We thank Jérôme Lavé for helpful discussions on the geomorphologic observations that corroborated our interpretation of strain features. Figures were plotted using the Generic Mapping Tools Version 6 (Wessel et al., 2019) and Python.

Data Availability Statement

The original interferograms are available on COMET-LiCS portal (<https://comet.nerc.ac.uk/comet-lics-portal/>). Archiving of our derived line-of-sight and decomposed velocities is underway. These data will be made available at CEDA archive and can temporarily be accessed from Supporting Information for review purposes.

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