

ON MODEL OF PLATE BREAKUP

Tianxi Sun (孙天锡)*

* Suzhou Ecological Environment Bureau, Suzhou 215002, China;

Original:

East China Hydroelectric Power Survey & Design Institute, Hangzhou, China.

E-mail: 18913505350@163.com

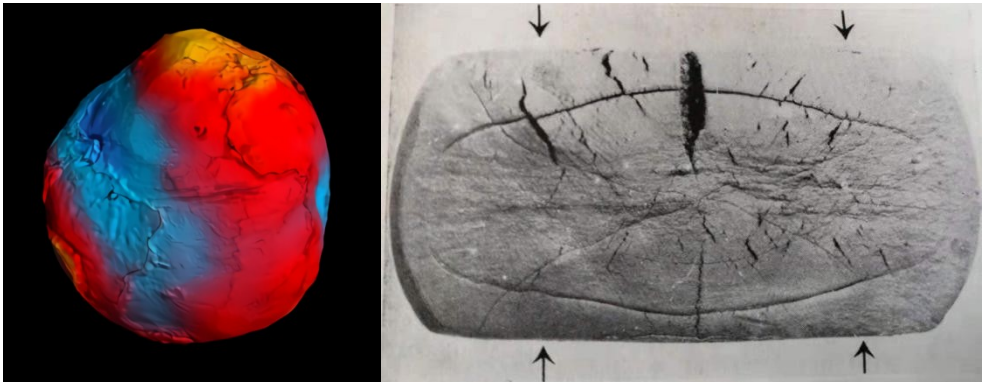
Highlights

- The author want to study the mechanism of plate breakup based on the stress field.
- The plate breakup model can explain the linear characteristics of rift valleys and oceanic ridges.
- This model is supported by experiments.

Abstract

The five-stage model of plate breakup can explain the linear characteristics of rift valleys and oceanic ridges, which other hypotheses in terms of mantle convection, hot-spots and mantle plumes cannot be explained. The course of the plate breakup is as follows: any plate has to undergo 5 stages before breaking up; the 5 stages own each tectonic system; the strikes of these 5 tectonic systems gradually deflect 15° towards the rotation axis of the Earth; and after entering the 5th stage, the plate will normally be broken up. This model is supported by experiments.

22 Graphical Abstract



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25 **Keywords:** Plate Breakup; Model; Linear characteristics; Direction of the resultant force; 5°

26 Deviation; Three-axis ellipsoid.

40 1. Introduction

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42 Since the 1960s, many scientists have felt much interest in plate breakup and tried to
43 explain it with mantle convection, hot-spots, etc.

44 However, as [Armstead](#) once said: these hypotheses can't explain the fact that the
45 spatial arrangements of oceanic ridges and continental rifts are linear([Armstead,](#)
46 [1973](#)).

47 To solve this problem, I propose a five-stage model of plate breakup.

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49 2. Some premises

50 The synopsis of the plate breakup mode is as follows: any plate has to undergo 5
51 stages before breaking up; each stage owns each tectonic system; the strikes of
52 these 5 tectonic systems gradually deflect 15° towards the rotation axis of the Earth;
53 and after entering the 5th stage, the plate will normally be broken up.

54 Several premises must be clarified before discussing this model.

55 2.1. Internal frictional angle of lithospheric plate as a whole

56 Overall, the internal frictional angle of the lithospheric plate should be assumed as:

57 $\varphi_{\text{plate}} = 10^\circ$ (1)

58 Tectonic geologists have been used to conduct tectonic model experiments using
59 mud materials. Most geologists believe that the simulation of large geological bodies
60 with mud cakes conforms to the principle of similarity.

61

62 In effect, the solution of the focal mechanism can be interpreted very satisfactorily
63 by two orthogonal perpendicular shear cracks, which is powerful evidence of $\phi_{\text{-plate}} =$
64 10° .

65 **2.2. Gradually deflecting 15°**

66 The tectonic belts with strikes of $N50^\circ E$, $N35^\circ E$ and $N20^\circ E$ in East Asia were named
67 Old-, Mid- and Neo-Cathaysian respectively, in China([Lee,1929](#)). The strikes were
68 gradually deflected at 15° . Currently, longitudinal tectonic belts of the $N5^\circ E$ strike
69 should be produced in East Asia, because the lithosphere is pressed in a direction
70 near EW by the Pacific Plate's underthrusting towards the west. Oh, the 15° style
71 emerges once more.

72 A clear pattern of gradually deflecting 15° is shown in [Table 1\(Sun, 1983\)](#).

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Table 1. Five Stages in Plate Breakup on Our Earth (in shape of three-axis ellipsoid; take example by plates in East Asia)

Stage	1	2	3	4	5
Direction of principal compressive stress	N5°E - S5°W	NW - SE → EW Gradually deflecting 15°			
Corresponding tectonic system	Latitudinal	Old-Cathaysian	Mid-Cathaysian	Neo-Cathaysian	Longitudinal
Strike of main shear plane		N45°E	N30°E	N15°E	0° Shear rupture
Strike of main tectonic line	EW	N50°E	N35°E	N20°E	N5°E

Based on Tianxi Sun (1983)

Deflecting 15° has proved the inheritance and causality of Earth's tectonic movements.

The following pattern may exist: regional tectonic lines within the eastern parts of the plates in the Northern Hemisphere gradually deflect 15° counter-clockwise and the lines within the western parts gradually deflect 15° clockwise, just opposite to the Southern Hemisphere. That is, it turns toward the rotation axis of the Earth.

90 2.3. Resultant force by which lithospheric plates would be subjected

91 When the Earth rotates, lithospheric plates are squeezed mainly by a south-
92 north(SN) horizontal component of the resultant force of the longitudinal force and
93 gravity(Van Bemmelen, 1975). Considering the fact that the shape of our Earth is a
94 three-axis ellipsoid similar to a pear(Combined Diagram 1-A)(ESA, 2011), it might be
95 assumed that the resultant force of the lithospheric plate within eastern Asia might
96 turn deflect slightly, with a direction of N5°E-S5°W(Table 1).

97

98 3. The five-stage model of plate breakup

99 In this force field, the plate breakup model for East Asia is as follows:

100 3.1. The 1st stage

101 Because the plate was squeezed in the direction of N5°E-S5°W (Section 2.3),
102 latitudinal compressed zones were first formed.

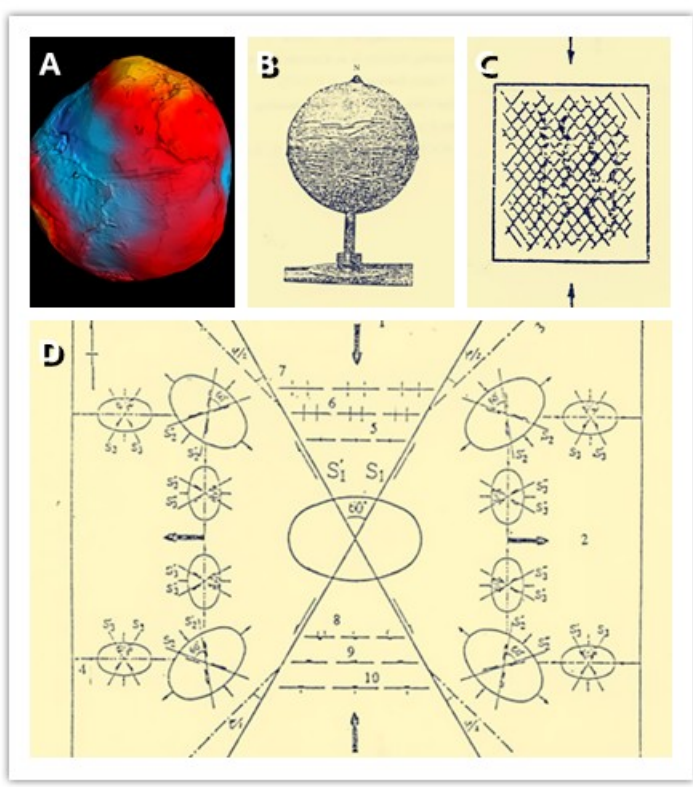
103 EW folds were first formed after rotating a globe that was coated evenly with mud
104 test materials(Combined Diagram 1-B)(Sun and Zhang, 1980).

105 3.2. The 2nd stage

106 Two sets of principal shear fracture zones then appeared within the plate; the

107 bisectors of their acute angles were parallel to the longitudinal force (Combined
108 Diagram 1-C) (Zhang and Zhong, 1977).

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111 Combined Diagram 1

112 A. New GOCE Geoid of Our Earth (Image: European Space
113 Agency, 2011)

114 B. Latitudinal Structures Formed by Model Experiment on Rotating Globe

115 C. Two Sets of Shear Planes on Mud Sample

116 D. Relation between Primary and Secondary Stress Fields during Tectonic
117 Movement

118 where φ = internal frictional angle; S_1, S_1' = shear ruptures; S_2, S_2' = shear ruptures under the 2nd stress field;

119 S3, S3'= shear ruptures under the 3rd stress field

120 1: maximum principal stress (compressive stress) , 2: minimum principal stress (tensile stress), 3:
121 secondary fold axis, 4: the 3rd fold axis, 5: erect rock stratum, 6: synclinal axis, 7:
122 anticlinal axis, 8: reversed fold axis, 9: thrust fault, 10: overthrust fault.

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124 In rock mechanics, there is a formula([China Wuhan Geology Institute, 1979](#)) as:

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$$\alpha = 45^\circ - \varphi/2 \quad (2)$$

126 where α is an included angle between the shear fracture zone and the maximum principal
127 stress axis; $\varphi_{plate} = 10^\circ$ (please see [Equation 1](#)).

128 We determined that α was 40° , so the strike of shear zones in the eastern part of
129 the plates within East Asia in that stage should all have been $N40^\circ E$, if our Earth were
130 in the shape of a standard sphere.

131 However, the direction of the resultant force subjected to the lithospheric plate
132 within eastern Asia might turn deflect slightly, becoming $N5^\circ E-S5^\circ W$ ([Section 2.3](#)),
133 that is, it might be deflected by approximately 5° . Thus, the strike of the shear zones
134 in the eastern part of the plates within East Asia in that stage became $N45^\circ E$.

135 Regional compressive belts can be derived from shear zones. The included angle β
136 between the compressive belt and the shear zone is shown in [Combined Diagram 1-](#)
137 [D](#).

138 According to a law as shown in [Equation 3](#) ([National Institute of Geology,](#)
139 [Academia Sinica, 1972](#)), β can be given as:

140
$$\beta = \varphi/2 = \varphi_{plate}/2 = 10^\circ/2 = 5^\circ \quad (3)$$

141 Therefore, the strike of the regional compressive belts in that stage should be
142 N50°E, which, is the mechanical cause of Old-Cathaysian([Table 1](#)).

143 Many experiments mentioned above and those by [Sih \(1973\)](#) testified the above
144 expression.

145 **3.3. The 3rd stage**

146 N30°E new shear zones then appeared in the eastern part of the plate with a
147 deflection angle of 15° from the N45°E old shear zones that had been formed during
148 the 2nd stage. Therefore, the new shear zones could also derive some N35°E new
149 regional compressive belts with an included angle of 5°([Equation 3](#)). This was the
150 mechanical cause of Mid-Cathaysian([Table 1](#)).

151 [Doerner\(1948\)](#) pointed out that new sliding planes must deflect gradually toward
152 the compressive stress axis under a single compression([Combined Diagram 2-E](#)).

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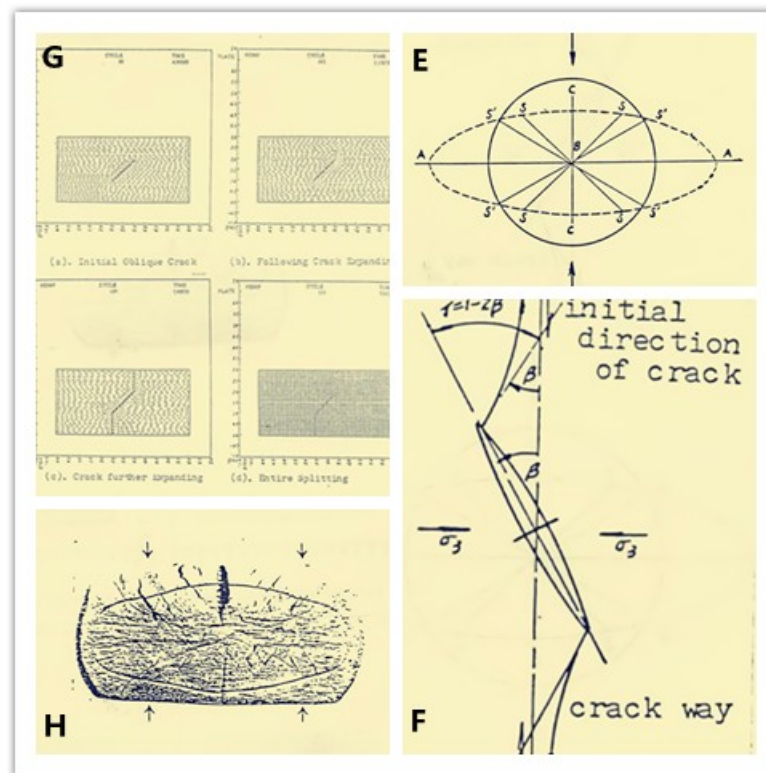
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Combined Diagram 2

162 E. New Sliding Planes under Simple Compression (where S'S' are
163 sliding planes of the earlier period; SS are new ones.)

164 F. Crack Expanding Direction from Ends of Non N - S Elliptic Crack under Compression

165 G. Crack Expanding Prediction with Oblique Crack under EW Tension

166 (a: Initial oblique crack; b: Following crack expansion; c: Crack further expanding; d: Entire splitting)

167 H. Splitting of Mud Cake

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169 3.4. The 4th stage

170 Subsequently, N15°E newer shear zones appeared with a deflection angle of 15°

171 from the shear zones that had been formed during the 3rd stage. These zones could
172 also derive the N20°E newer regional compressive belts with an included angle of 5°.
173 This was the mechanical cause of Neo-Cathaysian([Table 1](#)).

174 [Stagg\(1978\)](#) indicated that the direction of crack expansion must be toward the
175 load under a single compression([Combined Diagram 2-F](#)).

176 **3.5. The 5th stage**

177 Finally, the two sets of shear zones that had formed during the 4th stage, one of
178 strike N15°E in the eastern part and the other of strike N15°W in the western part of
179 the plate, again deflected 15° towards the rotation axis of the Earth, producing an
180 extremely strong 0° (south-north strike) shear rupture, which was parallel to the
181 maximum principal stress axis, by way of the two sets of shear planes combined into
182 one shear plane, thus creating a south-north direction's whole breakup in the
183 plate(because the shear cracks during the 2nd stage to the 4th stage were produced by
184 a simple shear, thereby preventing splitting of the entire thickness of the plate).
185 Hence, the plate was entirely split, ending the entire breaking course. Of course, this
186 0° shear rupture could also derive its compressive regional belts of N5°E and N5°W
187 strikes, that is, longitudinal belts([Table 1](#)).

188 * [Sih\(1977\)](#) considered that under EW tension(i.e., under SN compression), a non
189 SN oblique crack could still be split in the SN direction, based on his
190 experiments([Combined Diagram 2-G](#)).

191 * An experiment by [Zhang\(1985\)](#) showed an axial splitting of a mud cake under
192 simple compression([Combined Diagram 2-H](#)).

193 [Combined Diagram 2-H](#) seems to be an excellent epitome for the entire course of
194 plate breakup. Why can we not look upon this result as strong evidence to
195 supporting the model of plate breakup? Interestingly, the experiment shows that
196 plate breakup often occurs in the middle of the plate. [Bonnin, J. and Dietz, R.S.](#) also
197 once said that oceanic ridges often remained in the middle of two plates([Bonnin and](#)
198 [Dietz, 1977](#)).

199 There could be several phases during the plate breaking occurred:

200 A. 0° shear rupture (initial splitting);

201 B. Hot mantle arched upward along the 0° (SN) linear split, leading a linear plate
202 breaking with a SN direction (final breakup).

203 C. As the movement of plates dredged up rock from the depths and brought it
204 back down again, it could have transported both water and carbon dioxide. The
205 recycled carbon dioxide may have generated, or at least helped sustain, a dense,
206 carbon-rich atmosphere. This blanket of greenhouse gas could have warmed our
207 Earth.

208 Strikes of oceanic ridges/rises and continental rifts on Earth are mostly SN. For
209 example, the Atlantic Mid-Ridge, the East Africa Rift, etc.

210 However, the non SN strikes, perhaps because they were secondary

211 structures(please see [Combined Diagram 1-D](#)) such as the oceanic ridges/rises with
212 EW strike. Their basements are always sialic(Li, 1983).

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215 **4. Conclusions**

216 The following hypothesis has been proposed: the splitting of plates with SN strikes
217 was just attributed to the maximum principle stress field accumulated by rotation of
218 the Earth.

219 The course of the plate breakup would be as follows: any plate has to undergo 5
220 stages before breaking up; the 5 stages own each tectonic system; these 5 tectonic
221 systems gradually deflect 15°towards the rotation axis of the Earth. After entering
222 the 5th stage, the plate will normally be broken up; that is, one plate will be split into
223 two plates.

224 Theoretically, the significance of this paper might be its filling in the gaps in the
225 field of plate tectonics. The author has considered that plate tectonics would consist
226 of three parts: continental drift, sea floor spreading and plate breakup. That is
227 because without sea floor spreading there would be no continental drift; and also
228 without plate breakup there would be no sea floor spreading. Therefore, it is of great
229 significance to research the mechanism of plate breakup.

230

231 **Declaration of Conflicts of Interest**

232 I declare no conflict of interest.

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234

235 **Acknowledgement**

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238 Geology Institute and National Institute of Geology, Academia Sinica for their
239 valuable experiments objectively supporting my model.

240 **Data Availability Statement:** For theoretical papers, or most review papers: Data
241 were not used, nor created for this research.

242

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