

1 **The representation of marine surface fluxes is linked to**
2 **intertropical convergence zone biases**

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8 **Key Points:**

- 9 • We compute model flux biases relative to those that would have resulted with the
10 state-of-the-art COARE bulk flux algorithm
- 11 • Compared to COARE fluxes, twelve of fourteen CMIP6 models overestimate fluxes
12 in the Intertropical Convergence Zone (ITCZ) region
- 13 • Replacing the default flux algorithm with the COARE algorithm in two global mod-
14 els reduces the double ITCZ bias

Abstract

Ocean-atmosphere coupled climate models struggle to produce a single northern hemisphere intertropical convergence zone (ITCZ), and instead simulate ITCZ bands in both hemispheres. This “double ITCZ” bias can negatively impact representations of large-scale modes of variability, such as the Madden-Julian oscillation and El Niño–Southern Oscillation. A new method to estimate model fluxes that would have been obtained with the COARE3.0 bulk flux algorithm indicates that twelve of fourteen CMIP6 models overestimate surface fluxes in the ITCZ region, suggesting that biases rooted in model flux algorithms may contribute to ITCZ biases. This finding is supported by atmosphere-only simulations of two models where the original flux algorithms are replaced with the COARE3.0 algorithm. In the experiments, precipitation root mean square errors in the double ITCZ region were reduced by 26% and 15%, respectively. We interpret these findings through the lenses of global energy constraints and convection-boundary layer interactions.

Plain Language Summary

Models used to study Earth’s present and future climates often struggle to reproduce the correct patterns of mean rainfall over the tropical oceans. When averaged over time and across all longitudes, models tend to produce two tropical rainfall peaks on either side of the Equator, whereas only a single peak just north of the Equator is observed in the real world. In this study, we show that the methods used to estimate the transfer of energy in and out of the ocean may be one reason for why models have difficulty with their representations of mean rainfall in the tropics.

1 Introduction

The intertropical convergence zone (ITCZ) is a zonally oriented band of enhanced cloudiness and rainfall observed over most of the globe. The latitude of ITCZ precipitation migrates seasonally, both in a global sense, and within each ocean basin (Donohoe et al., 2013; Koutavas & Lynch-Stieglitz, 2004; Richter et al., 2017; Sikka & Gadgil, 1980; Wei & Bordoni, 2018). The ITCZ acts as a waveguide for tropical convective disturbances (Ferreira & Schubert, 1997; Gonzalez et al., 2017) and helps maintain ocean circulations that sustain biological activity and promote ocean CO₂ uptake (Xie & Philander, 1994; Kessler, 2006).

Global climate models that form the basis of the Intergovernmental Panel on Climate Change (IPCC) assessment reports (on Climate Change (IPCC), 2023) have long struggled to simulate the observed single, northern hemisphere ITCZ, and instead exhibit strong preferences for double ITCZs (Fiedler et al., 2020). The double ITCZ bias has been implicated in the misrepresentation of large-scale modes of tropical climate variability, such as the Madden-Julian oscillation (Jiang et al., 2020) and El Niño–Southern Oscillation (ENSO; Guilyardi et al. (2003); Wittenberg et al. (2006)). Its interference with the simulation of these modes contributes to uncertainty in predicted changes to tropical-extratropical teleconnection patterns with rising CO₂ concentrations (e.g., Henderson et al. (2017); J. Wang et al. (2022)).

Identifying the root causes of the double ITCZ bias has challenged the climate community for decades. Models that simulate a double ITCZ in the eastern Pacific also tend to simulate excessively strong and westward-extended equatorial cold tongues (Lin, 2007; Samanta et al., 2019). These biases may be linked through an excessive Bjerknes feedback (Lin, 2007; Li & Xie, 2014) that intensifies the cold tongue bias, SST gradient-driven boundary layer convergence (Lindzen & Nigam, 1987; Back & Bretherton, 2009) that favors the maintenance of convection on both sides of the Equator, or a too-positive feedback of sea surface temperatures (SSTs) to surface fluxes and convective initiation (C. Zhang, 2001). Other studies indicate that biases in the sensitivity of convection to free tropo-

spheric moisture contribute to weakening of subtropical subsidence on both sides of the Equator, thus favoring the formation of the southern ITCZ (Song & Zhang, 2018).

ITCZ location (i.e., its mean latitude) can also be thought of as a response to interhemispheric imbalances in the atmospheric heating, which drives the vertically integrated and zonally averaged cross-equator atmospheric energy transport (AET) (Neelin & Held, 1987; Hwang & Frierson, 2013). With this paradigm, the ITCZ location is shifted toward the hemisphere with more net heating and the resulting asymmetric Hadley circulation transports heat to the hemisphere with less net heating (Bischoff & Schneider, 2014, 2016), as shown in the upper panel of Figure 1a. The net heating in both southern and northern hemispheres set the interhemispheric imbalance and ITCZ asymmetry. This implies that biases far removed from the tropics, such as the albedo of Southern Ocean clouds (Hwang & Frierson, 2013) or heat uptake by the Atlantic meridional overturning circulation (C. Wang et al., 2014; S. Yu & Pritchard, 2019), can affect the interhemispheric heating contrast, and thus the AET, and contribute to the double ITCZ bias by erroneously shifting the ITCZ to the Southern Hemisphere (Adam, Schneider, et al., 2016).

Recent theoretical work based on the global energy budget suggests that the sensitivity of ITCZ meridional position to interhemispheric heating imbalances is modulated by the meridional structure of the net atmospheric energy input (AEI) about the Equator (Bischoff & Schneider, 2016). AEI, which is sometimes also referred to as “net energy input” (NEI), is defined as $AEI = S - \mathcal{L} - \mathcal{O}$ where S and \mathcal{L} are respectively net atmospheric heating by shortwave and longwave radiation, and \mathcal{O} is the net ocean heat uptake. Bischoff and Schneider (2016) showed that the meridional curvature of zonally averaged AEI about the Equator affects the sensitivity of the ITCZ position to AET, as illustrated in Figure 1a–c. In particular, when AEI is small compared to the interhemispheric heating imbalance-driven AET, the ITCZ shifts farther into the warm hemisphere (Figure 1a). When AEI minimizes near the Equator, as observed on Earth, the curvature effect reduces the sensitivity of ITCZ position to AET, and the ITCZ shifts southward (Figure 1b), or bifurcates into a double ITCZ for negative AEI (Figure 1c).

The above examples illustrate how ITCZ position is sensitive to subtle changes in AEI near the Equator and provides a framework for understanding how AET and equatorial AEI together control ITCZ position on Earth (Adam, Bischoff, & Schneider, 2016) and in models (Adam, Schneider, et al., 2016; Wei & Bordoni, 2018). It can be leveraged to interpret changes to simulated ITCZ states that arise with changes to model settings such as e.g., turbulence and cumulus parameterization (Hagos et al., 2021; Lu et al., 2021; Talib et al., 2018; Song & Zhang, 2018) or ocean coupling (Talib et al., 2020; Lee et al., 2022). Many of these and other studies have identified clouds and their radiative feedbacks (Woelfle et al., 2019; G. J. Zhang et al., 2019) and ocean circulations (Green & Marshall, 2017) as being responsible for biases in both interhemispheric energy imbalances and equatorial AEI that affect ITCZ biases. In contrast, less attention has focused on marine surface latent heat fluxes and their biases as a source of ITCZ bias in climate models.

Zonally averaged mean surface latent heat fluxes, which dominate the ocean-atmosphere energy exchange, differ by as much as 50 W m^{-2} in the tropics (R. Zhang et al., 2018) and up to 18 W m^{-2} in the global mean (Wild et al., 2015; Wild, 2020) for models participating in the IPCC Coupled Model Intercomparison Projects 5 and 6 (CMIP5 and CMIP6; Taylor et al. (2012); Eyring et al. (2016)). In models, fluxes are computed with bulk surface flux algorithms that estimate the flux based on the simulated surface temperature and low-level wind speed, temperature, and humidity (i.e., the “bulk” inputs):

$$LH = \rho C_e L_v U_{10} \Delta q \quad (1)$$

where ρ is the density of water, C_e is the bulk transfer coefficient, L_v is the latent heat of vaporization, U_{10} is wind speed, adjusted to 10 m, and $\Delta q = 0.98q_{SAT}^* - q_{2m}$ where

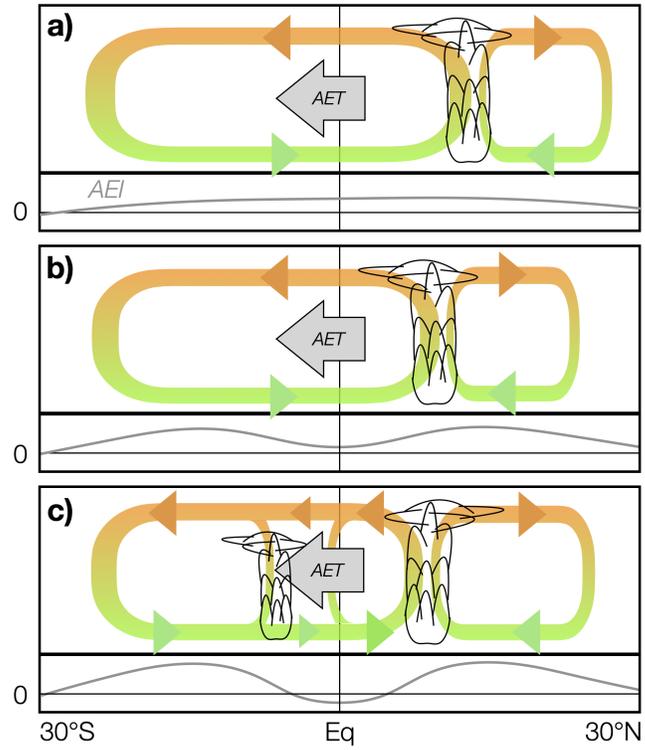


Figure 1. Schematic illustration of the relationship between ITCZ location and atmospheric energy input (AEI; gray lines in each lower panel) for a given cross-equatorial atmospheric energy transport (AET; gray arrows) as described in Bischoff and Schneider (2016). AET is the same in a–c, but ITCZ position, denoted by clouds, varies with the meridional distribution of AEI. The mean meridional moisture and energy transports are shown with green and orange arrows, respectively. Note that AEI curvature about the Equator increases from a–c.

115 q_{SST}^* and q_{2m} are saturation specific humidity at SST and specific humidity at 2 m, re-
 116 spectively, and the 0.98 factor applied to q_{SST}^* accounts for the reduction in saturation
 117 specific humidity by ocean salinity effects (Zeng et al., 1998). Biases in surface fluxes can
 118 therefore be rooted in biases in any of the bulk inputs, as well as in the algorithm used
 119 to estimate the bulk transfer coefficient, C_e (L. Yu, 2019).

120 It has been shown that different bulk flux algorithms can yield a wide range of fluxes
 121 given the same bulk inputs, and that the majority of flux algorithms appearing in the
 122 literature overestimate marine surface fluxes by 10–20% when compared to fluxes com-
 123 puted from direct covariance measurements (Brunke et al., 2003). These differences, which
 124 are rooted in the assumptions and methods used to empirically relate the bulk inputs
 125 to the flux, are most evident at high and low wind speeds (Brodeau et al., 2017), and
 126 are thus not uniformly distributed across the range of bulk input variables. Of the twelve
 127 bulk flux algorithms analyzed by Brunke et al. (2003), the COARE3.0 algorithm (Fairall,
 128 Bradley, Rogers, et al., 1996; Fairall et al., 2003) was judged to be one of the least prob-
 129 lematic when compared to in situ direct covariance-measured fluxes at several locations
 130 across the globe. The COARE3.0 algorithm (hereafter, simply referred to as “COARE”)
 131 is used to compute surface latent and sensible heat fluxes from in situ surface meteorol-
 132 ogy measured at tropical moorings (McPhaden et al., 2010) and is the basis of all mod-
 133 ern satellite-derived surface flux products (L. Yu, 2019). In contrast, surface fluxes in
 134 climate models are computed using a multitude of bulk flux algorithms (Brodeau et al.,
 135 2017), some of which include adjustments to account for flux dependence on low-level
 136 stability, subgridscale gustiness, or other factors (Zeng et al., 1998; Harrop et al., 2018).

137 In this study, we present evidence to suggest that biases in climate models’ bulk
 138 flux formulae favors tropical AEI distributions that exacerbate the double ITCZ bias in
 139 those models. Replacing the original bulk flux algorithm with the COARE algorithm in
 140 atmosphere-only simulations of two climate models flattens their meridional AEI gra-
 141 dients and reduces their double ITCZ biases in a manner consistent with the results of
 142 Bischoff and Schneider (2016). These changes to mean eastern tropical Pacific precip-
 143 itation are considered through the lens of marine boundary layer-convection interactions.

144 Our study is outlined as follows: Datasets and diagnostic methods are described
 145 in Section 2. Model flux biases are described in Section 3. In Section 4, we test the sen-
 146 sitivity of ITCZ structure to bulk flux algorithm in two global models by replacing the
 147 native flux algorithm with the COARE algorithm. Conclusions are given in Section 5.

148 2 Methods and Data

149 2.1 Data

150 We analyze surface latent heat fluxes in historical simulations of models partici-
 151 pating in the International Panel on Climate Change 6th Assessment Report Coupled
 152 Model Intercomparison Project (CMIP6; Eyring et al. (2016)). Daily mean values of 10 m
 153 wind speed (U_{10} ; m s^{-1}), 2 m specific humidity (q_{2m} ; g kg^{-1}), SST (K) (i.e., temper-
 154 ature of the top-most layer of the ocean model) and LH (W m^{-2}) are used to diagnose
 155 model fluxes as a function of bulk inputs, while daily mean 2 m air temperature (T_{2m} ; K)
 156 is used to assess stability of the marine atmospheric boundary layer. Fourteen model-
 157 ing centers (Table ??) provided these inputs at daily resolution, and we analyzed only
 158 the first available ensemble member (usually *r1i1p1f1*) for simulation years 1995–2014.

159 Model output is compared to in situ observations of U_{10} , q_{2m} , and SST measured
 160 by moorings throughout the global tropics (30°N–30°S) and made available through the
 161 Global Tropical Moored Buoy Array (McPhaden et al., 2010). Sampling period, data qual-
 162 ity, and data continuity vary widely from mooring to mooring. Since our analysis does
 163 not require a continuous data stream, we only analyze “highest quality” observations of
 164 U_{10} , q_{2m} , and SST (i.e., data quality code 1). Simulated precipitation climatologies are

165 compared to the Integrated Multi-satellitE Retrievals for GPM from June 2000–May 2019
 166 (IMERG; Huffman et al. (2020)). All model and observational fields are interpolated to
 167 a 2.5×2.5 latitude-longitude grid prior to diagnosing the flux.

168 2.2 Diagnosis of surface fluxes

169 In this study, we do not compute surface fluxes, but diagnose their daily mean val-
 170 ues as a function of their daily mean bulk inputs. U_{10} is directly reported for both moor-
 171 ings and models, but Δq must be calculated starting from q_{2m} and SST. While SST used
 172 for bulk estimation of the flux refers to the ocean skin temperature (the temperature of
 173 the uppermost few microns of the ocean surface), SST measured by moorings corresponds
 174 to ocean temperatures at depths of ~ 1 m while that reported by models equates to the
 175 mean ocean temperature of the uppermost model layer, which is typically $\mathcal{O}(10$ m).

176 Ocean temperature at depths 1 m or greater may vary substantially from ocean
 177 skin temperature owing to diurnal warming of the surface layer ($\mathcal{O}(1$ m)) and molecu-
 178 lar thermal cooling of the surface skin (Fairall, Bradley, Rogers, et al., 1996; Kawai &
 179 Wada, 2007). When averaged over the course of a day, the diurnal warm layer and “cool
 180 skin” effects partially offset each other, but the cool skin effect, which is not diurnally
 181 dependent, slightly dominates, yielding a daily mean skin temperature approximately
 182 0.2 K cooler than the 1 m daily mean temperature measured by moorings (Donlon et
 183 al., 2007; Minnett & Kaiser-Weiss, 2012)). Hence, daily mean SST from moorings is re-
 184 duced by 0.2 K before computing q_{SST}^* . We apply the same correction to model SST,
 185 although it is not always clear if diurnal warm layer and/or cool skin approximations have
 186 been applied before computing the flux. Fairall, Bradley, Godfrey, et al. (1996) (their
 187 Table 5) indicate that the combined effect of omitting these two SST corrections can in-
 188 flate the mean LH by about 5%, or 5 W m^{-2} . However, as we show in the next section,
 189 this uncertainty is generally less than the flux difference arising from choice of bulk flux
 190 algorithm.

191 3 Comparison of CMIP6 surface fluxes to COARE surface fluxes

192 3.1 Diagnosis of model surface flux biases

193 Fluxes and their bulk inputs from all available mooring observations are aggregated
 194 into a single diagnostic by computing the mean flux as a function of U_{10} and Δq , as shown
 195 in Figure 2a. The relative frequency of U_{10} – Δq pairs is shown with contours, while the
 196 average flux per U_{10} – Δq bin—a function of the bulk transfer coefficient—is shaded. Con-
 197 sistent with Eq. 1, the flux increases with increasing U_{10} and Δq .

198 The single “grand flux matrix” in Figure 2a, which pools fluxes from across the global
 199 tropics, might mask changes to the flux that could arise from changes in SST, SST gra-
 200 dients, or low-level stability. To understand how these differences could affect the ob-
 201 served flux diagnostic, we computed a separate flux matrix for each mooring (FIGURE
 202 S1) and used the differences between individual flux matrices and the grand flux matrix
 203 in Figure 2a to compute the root mean square difference (RMSD). Figure 2b reveals that
 204 the magnitude of the bin-sampled flux varies little with geographic location. The largest
 205 absolute RMSDs, which amount to $< 5\%$ differences, are observed infrequently, near the
 206 maximum and minimum values of U_{10} and Δq . We further investigate the dependence
 207 of the flux on low-level stability by computing the flux difference for unstable and sta-
 208 ble boundary layers, as evaluated by the sign of the near-surface vertical temperature
 209 gradient, $\Delta T = SST - T_{2m}$, shown in Figure 2c. These differences are also small for
 210 the majority of U_{10} – Δq bins. These results demonstrate that the differences in surface
 211 fluxes by region or by stability regime are generally small compared to the mean flux.
 212 We therefore use the result shown in Figure 2a as the basis for our assessment of trop-
 213 ical flux biases in climate models.

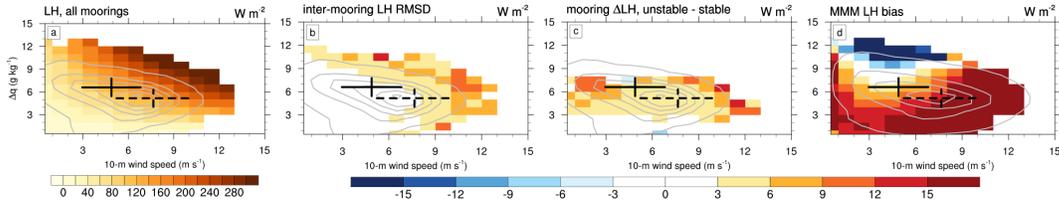


Figure 2. a) Surface fluxes compiled from all 30°S–30°N moorings; b) the flux root mean square difference between fluxes at an individual mooring and the composite result in a); c) mean flux difference for stable minus unstable boundary layer (i.e., where $\Delta T > 0$ or $\Delta T < 0$; $\Delta T = SST - T_{2m}$), d) the multi-model mean surface flux bias for the tropical oceans (ocean points from 30°S–30°N and 0°E–360°E). The frequency of wind speed- Δq input pairs is contoured (interval 1%). Solid and dashed crosses respectively mark the mean ± 1 standard deviation of wind speed and Δq for the equatorial western Pacific and subtropical eastern Pacific regions shown in Figure 4.

214 The multi-model mean (MMM) tropical (30°S–30°N; 0°E–360°E) marine flux bias
 215 for historical simulations of fourteen CMIP6 models is shown in Figure 2d. In contrast
 216 to small observed flux differences that arise from mooring location or low-level stabil-
 217 ity, flux biases arising from model flux algorithms can be quite large. In general, mod-
 218 els tend to overestimate the flux for lower values of Δq and with increasing values of U_{10} .
 219 The mean flux matrix and flux bias for individual models at a point collocated with the
 220 165°E, 0°N mooring can be seen in Figures S1 and S2. Following (BONY 2004), we de-
 221 composed the flux bias into its contributions from input biases and algorithm biases (Fig-
 222 ure S3), which demonstrated that, for most models, biases arising from the choice of bulk
 223 flux algorithm are as significant as those arising from biases in the bulk inputs. Thus,
 224 model surface flux biases arising from the choice of bulk flux algorithm cannot be ignored
 225 when assessing model fluxes.

226 The bin-by-bin ratio of the mooring-derived flux in Figure 2a to individual model
 227 flux can be leveraged to adjust the model flux to the COARE flux (Hsu et al., 2022). This
 228 offline correction yields a hypothetical flux timeseries for each model had the model-simulated
 229 U_{10} and Δq been input to the COARE flux algorithm, rather the model’s native flux al-
 230 gorithm. We use this method to generate COARE-estimated fluxes for each model, and
 231 show a map of the multi-model mean flux difference in Figure 3.

232 Consistent with the findings of Brunke et al. (2003) and the result shown in Fig-
 233 ure 2d, the flux correction reduces the flux throughout the tropics (Figure 3a). Flux cor-
 234 rections are larger in the subtropics than the tropics, with the largest corrections located
 235 upstream of precipitation biases, as inferred from low-level wind vectors in Figure 3a.
 236 The relative magnitude of the flux correction can be seen more clearly in Figure 3b which
 237 shows the same result after subtracting the MMM domain average correction. The large-
 238 scale pattern of the flux adjustment is robust across models, as indicated by stippling
 239 that denotes where the sign of the relative flux correction for each individual model agrees
 240 with that of the multi-model mean for at least twelve of the fourteen models (i.e., $\geq 86\%$).
 241 These results suggest that the COARE algorithm has the potential to reduce subtrop-
 242 ical surface fluxes, and perhaps precipitation, in a region of persistent double ITCZ bias.

243 4 Simulated ITCZ with the COARE algorithm

244 The above analysis suggests that differences in model surface flux algorithms and
 245 the COARE flux algorithm may contribute to AEI and precipitation biases associated

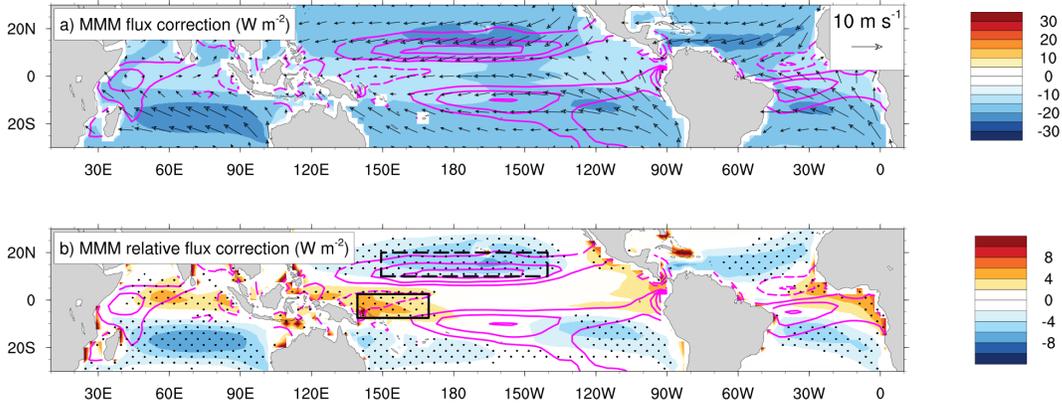


Figure 3. a) Multi-model mean (MMM) surface latent flux correction (W m^{-2} ; shading) when adjusting model fluxes to COARE fluxes (see text and Hsu et al. (2022) for description of method), MMM 1000 hPa mean winds (vectors), and MMM precipitation bias relative to IMERG June 2000–May 2019 climatology (contours; interval 1 mm day^{-1}). b) MMM relative flux correction (shading) obtained by subtracting the domain-mean flux correction shown in a). Stippling indicates regions where the sign of the relative correction calculated for each model agrees with the sign of the MMM correction. Magenta contours as in a), and black dashed and solid rectangles indicate regions used to calculate standard deviations of U_{10} and Δq from moorings shown in Figure 2.

246 with the double ITCZ bias in climate models. To test this idea, we analyzed changes to
 247 surface fluxes, AEI, and precipitation in two atmosphere-only climate model simulations
 248 where the default flux algorithm in each model was replaced with the COARE flux al-
 249 gorithm. Atmosphere-only simulations were chosen to avoid changes to the flux and AEI
 250 driven by SST and ocean heat uptake differences. For the first model, the Department
 251 of Energy (DOE) Energy Exascale Earth System Model (E3SM; Golaz et al. (2019)), we
 252 analyze results provided by Eyre et al. (2021), who integrated the model for six years
 253 using a repeating cycle of SSTs from the year 2000. The same COARE flux code from
 254 the E3SM simulation was ported into the National Center for Atmospheric Research (NCAR)
 255 Community Earth System Model, version 2 (CESM2; Danabasoglu et al. (2020)) to per-
 256 form a 36 year simulation forced with observed SSTs from 1979–2014. The E3SM and
 257 CESM2 share a common lineage, and both compute surface flux bulk transfer coefficients
 258 according to Large and Yeager (2004) (hereafter LY) in their default configurations. Com-
 259 pared to the LY algorithm, the COARE algorithm uses three stability classes rather than
 260 two, computes roughness length as a continuously varying function of wind speed rather
 261 than as a constant value for each stability class, and includes a gustiness factor to bet-
 262 ter represent fluxes in low wind conditions.

263 The zonally averaged AEI over oceans for both models and their differences for each
 264 flux experiment are shown in Figure 4a–c. Compared to the LY flux algorithm, the COARE
 265 algorithm in both models changes the sign of equatorial AEI from weakly negative to
 266 weakly positive in better agreement with observations (Bischoff & Schneider, 2016), and
 267 decreases subtropical AEI (Figures 4b, c). For both models, the meridional structure of
 268 AEI changes bears a strong resemblance to the meridional structure of LH changes (Fig-
 269 ure 4b), suggesting a strong connection between the two.

270 Mean rainfall and its changes with the COARE algorithm are shown in Figure 4d–
 271 f. The models differ from IMERG climatology in two notable ways. First, they produce
 272 less rainfall on the Equator and, second, the meridional widths of their northern and south-

273 ern precipitation maxima are too broad (Figure 4d and dashed lines in Figure 4e). Re-
 274 placing the LY flux algorithm with the COARE algorithm reduces both of these biases
 275 in each model (solid lines in Figure 4d and Figure 4e).

276 The changes to AEI and precipitation with COARE fluxes are partly consistent
 277 with theoretically determined constraints on ITCZ position arising from hemispheric asym-
 278 metries in AEI (Bischoff & Schneider, 2016). In particular, a change in sign of AEI at
 279 the Equator from negative to positive has been associated with a shift away from a dou-
 280 ble ITCZ toward a single ITCZ in the Northern Hemisphere (e.g., Figure 1b, c). Although
 281 the COARE algorithm increases AEI and rainfall on the Equator, a weak double ITCZ
 282 structure remains. That the weakly double ITCZ structure is seen in both IMERG ob-
 283 servations and in experiments with the COARE flux algorithm may reflect influences of
 284 other processes, including local effects, in determining ITCZ structure.

285 We interpret changes to ITCZ width with the COARE algorithm through the lens
 286 of boundary layer buoyancy-convection interactions. Marine boundary layer buoyancy
 287 is regulated by fluxes of heat and moisture from the ocean surface, inputs of free tropo-
 288 spheric air across the boundary layer top by entrainment and convectively driven down-
 289 drafts, and horizontal advection of temperature and moisture (de Szoeke et al., 2017),
 290 as well as mass convergence driven by cold pools and SST gradients (Zuidema et al., 2012;
 291 Back & Bretherton, 2009). Wolding et al. (2022) have shown that the initiation and de-
 292 velopment of tropical convection is jointly regulated by the buildup of buoyancy in the
 293 boundary layer and the relative dilution of buoyancy in the lower free troposphere. A
 294 reduction in boundary layer buoyancy, or a delay in its increase following an air mass
 295 trajectory would therefore delay the onset of convection. Hence, we attribute COARE
 296 algorithm-induced precipitation reductions on the poleward flank of each ITCZ band (Fig-
 297 ure 4e) to the large subtropical surface flux reductions that occur upstream of precip-
 298 itation biases in each basin (Figure 3a).

299 This line of reasoning is consistent with theoretically determined controls of ITCZ
 300 width based on energetic constraints by Byrne and Schneider (2016), who argued that
 301 ITCZ width should be sensitive to the tropical AEI, the advection of moist static energy
 302 by the Hadley circulation and by transient eddies, and the gross moist stability. The re-
 303 lationship of surface flux changes to the first two of these controls (AEI and advection
 304 of moist static energy) has been shown or inferred herein, while understanding the ef-
 305 fects of bulk flux algorithm on the latter two (transient eddies and gross moist stabil-
 306 ity) will require further analysis. Our findings are also consistent the ITCZ energetics
 307 analysis of CMIP5 models by Adam, Schneider, et al. (2016) ADAMS ET AL 2016, who
 308 found that tropical surface energy fluxes were not related to the spread in the asymmet-
 309 ric aspects of the double-ITCZ bias in climate models, but were instead related to bi-
 310 ases in tropical AEI, particularly the negative bias near the Equator.

311 5 Conclusions

312 Marine surface fluxes are an essential component of the Earth’s water and energy
 313 cycles, yet they remain poorly constrained owing to a relative lack of in situ observa-
 314 tions (Clayson et al., 2023). In climate models, a lack of consistent methods for estimat-
 315 ing surface fluxes contributes to uncertainties in their role in shaping mean cloudiness
 316 patterns that influence the mean state climate.

317 This study leverages surface flux diagnostics, theoretical advances in understand-
 318 ing mean ITCZ structure, and model experiments to demonstrate that the choice of bulk
 319 flux algorithm in climate models can lead to surface flux biases which contribute to per-
 320 vasive ITCZ biases in climate models (e.g., Fiedler et al. (2020)). In atmosphere-ocean
 321 coupled models, these biases are often attributed to the excessive cold tongue bias in the
 322 equatorial eastern Pacific. Our experiments, which utilize atmosphere-only simulations

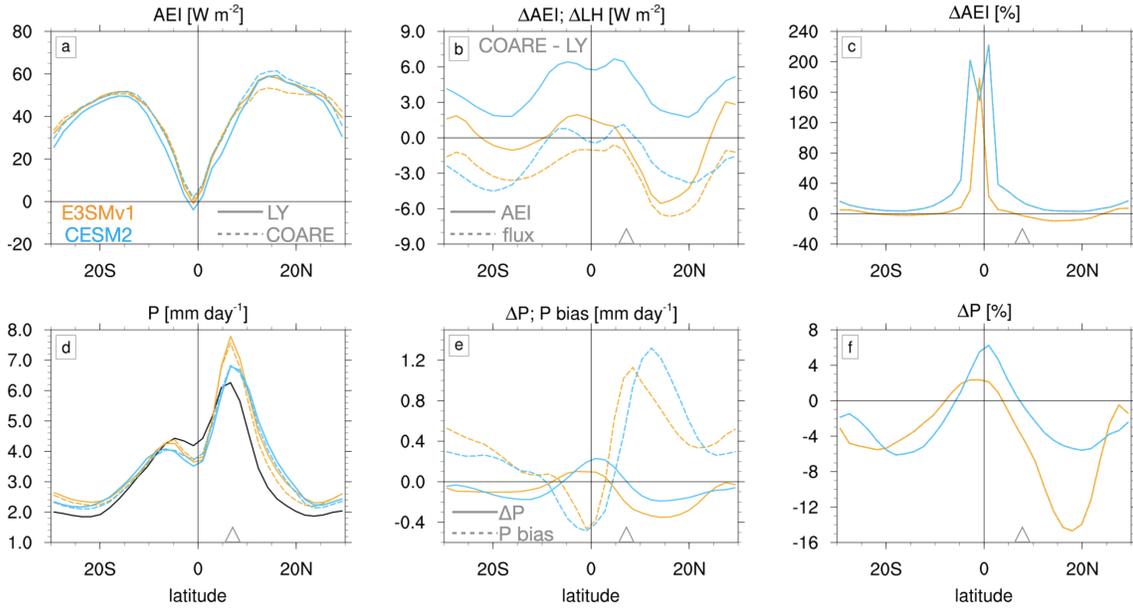


Figure 4. 30°N–30°S zonally averaged atmospheric energy input (AEI; top row) and precipitation (bottom row) for E3SMv1 (orange) and CESM2 (cyan) atmosphere-only simulations. a) AEI by latitude for LY (solid) and COARE (dashed) fluxes; b) COARE minus LY difference (Δ) in AEI (solid) and LH (dashed); c) AEI percent change ($\Delta\text{AEI} / |\text{AEI}_{\text{LY}}|$); d) mean precipitation for IMERG (black) and for LY and COARE simulations; e) precipitation change (solid) and precipitation bias (dashed) for LY simulations; f) precipitation percent change. Triangles at 7°N in b–f mark location of maximum mean precipitation for regrided IMERG observations and simulations.

323 forced with observed SSTs, reveal that ITCZ biases can also be rooted in methods used
324 to estimate ocean-to-atmosphere surface fluxes, even in the absence of SST biases.

325 In our study, surface fluxes estimated using the COARE bluk flux algorithm are
326 treated as “truth.” This algorithm, which is one of the least biased when compared to
327 in situ surface flux observations (Brunke et al., 2003) is nevertheless subject to uncer-
328 tainties common to many algorithms. To reduce these uncertainties, more observations
329 are needed to better characterize surface fluxes in high wind conditions, across atmospheric
330 stability regimes, in the vicinity of sharp SST gradients, and under a variety of sea states
331 (i.e., wave conditions). As climate models incorporate these advances in their surface flux
332 parameterizations, reductions in long-standing biases such as the double ITCZ and the
333 eastern Pacific cold tongue may be reduced, thus building confidence in models’ abili-
334 ties to simulate the current and future climate.

335 6 Open Research

336 Tropical mooring data are available from the Global Tropical Moored Buoy Array
337 at <https://www.pmel.noaa.gov/tao/drupal/disdel/>.

338 CMIP6 multi-model ensemble data analyzed as part of this project were downloaded
339 from the Earth System Grid Federation at <https://esgf-node.llnl.gov/projects/cmip6/>. Monthly mean IMERG rainfall data (HUFFMAN ET AL 2023) are available
340 from https://disc.gsfc.nasa.gov/datacollection/GPM_3IMERGM_07.html.

342 Python code used to compute the surface latent heat flux diagnostics shown in Fig-
343 ure 2 is available through the NOAA Model Diagnostics Task Force Diagnostics Pack-
344 age at <https://www.gfdl.noaa.gov/mdtf-diagnostics/>.

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Figure 1.

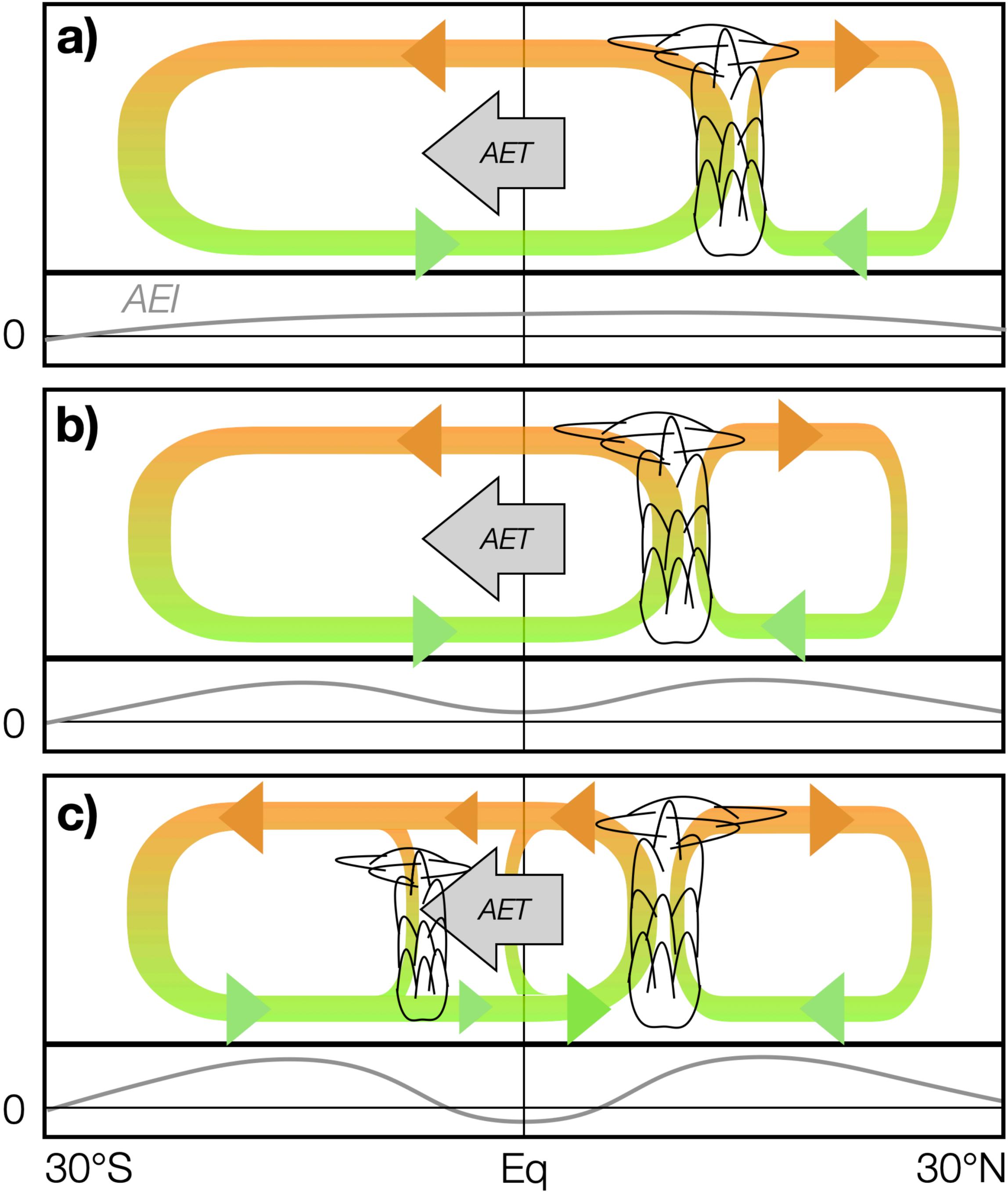


Figure 2.

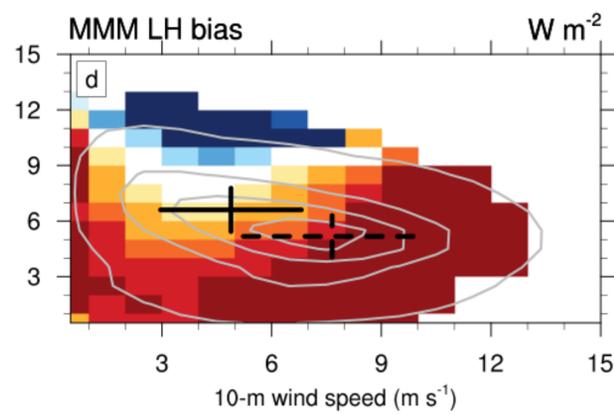
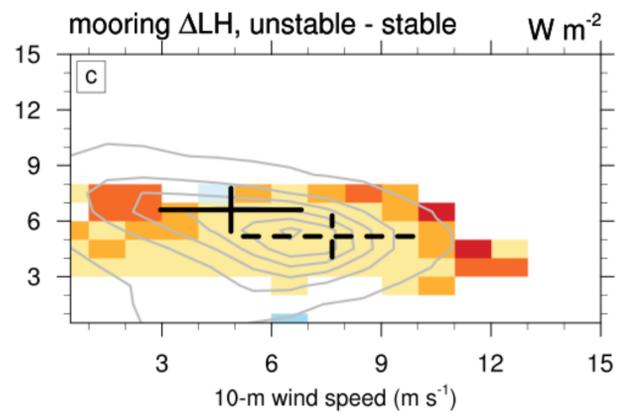
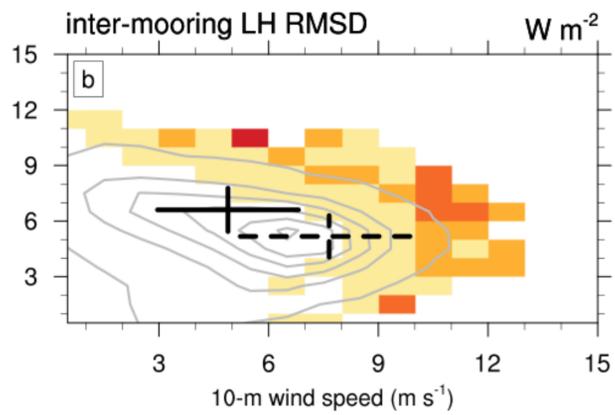
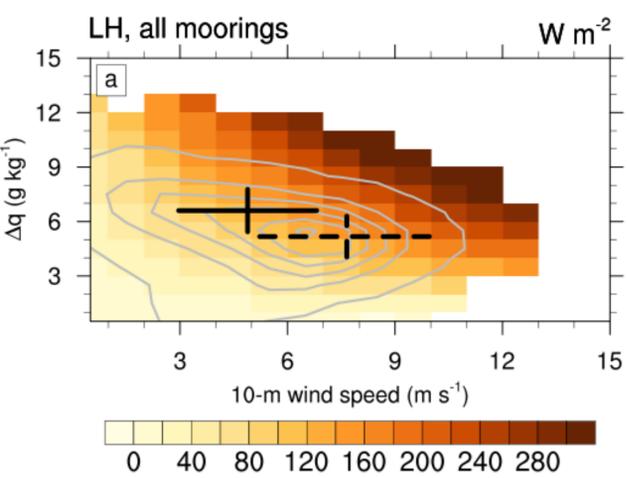


Figure 3.

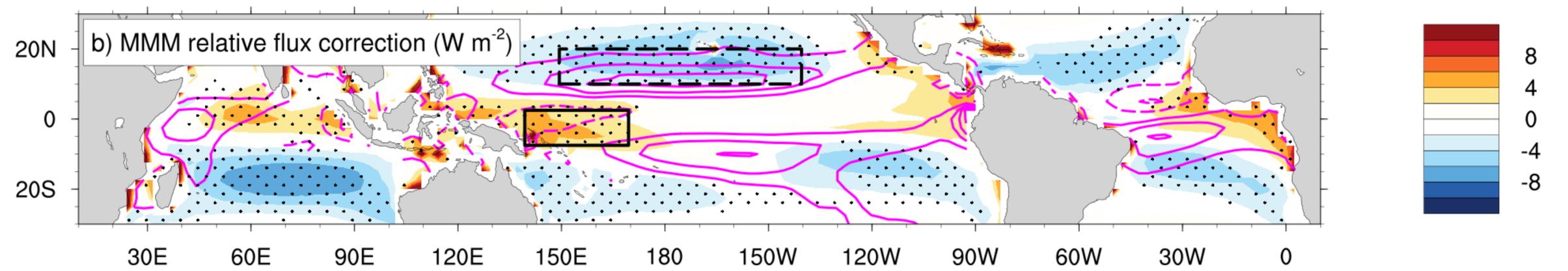
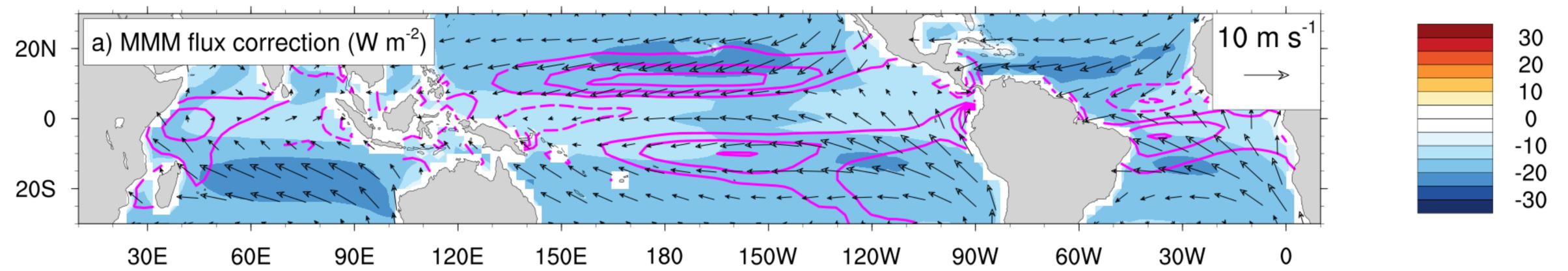


Figure 4.

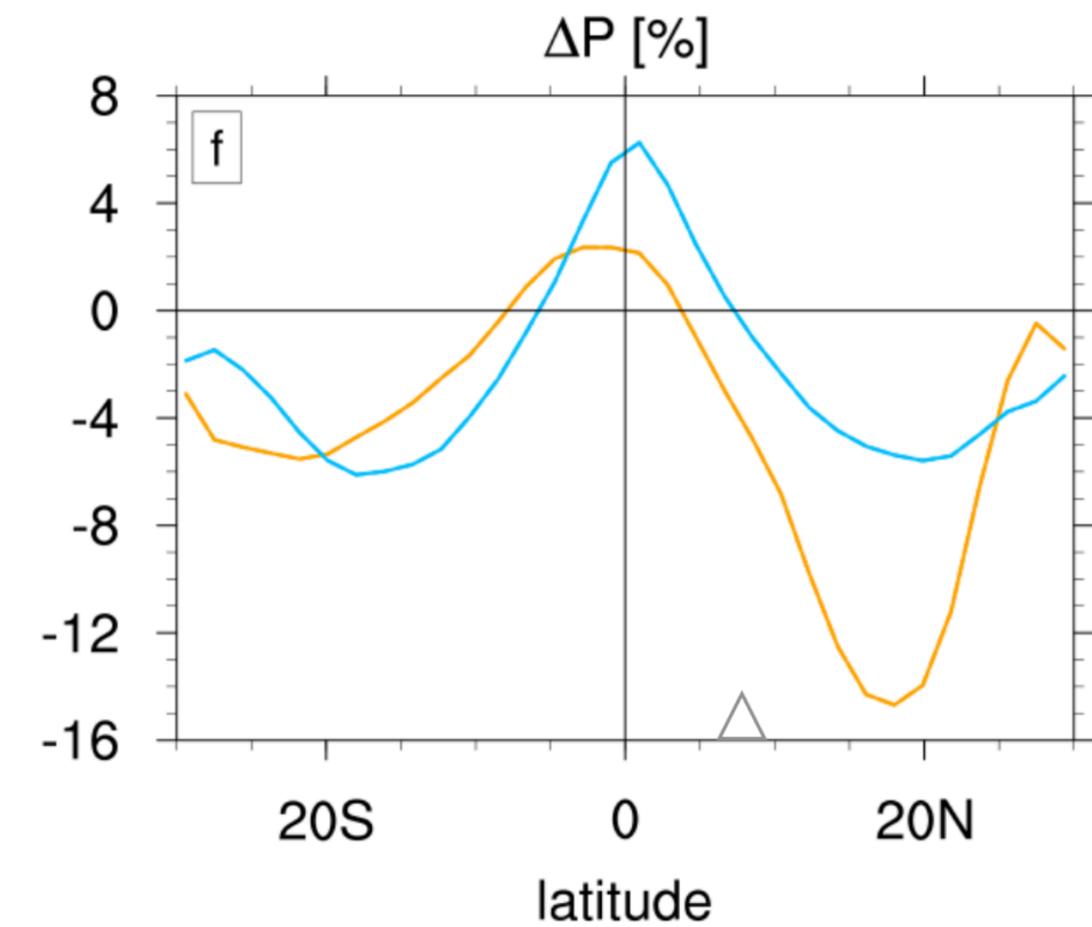
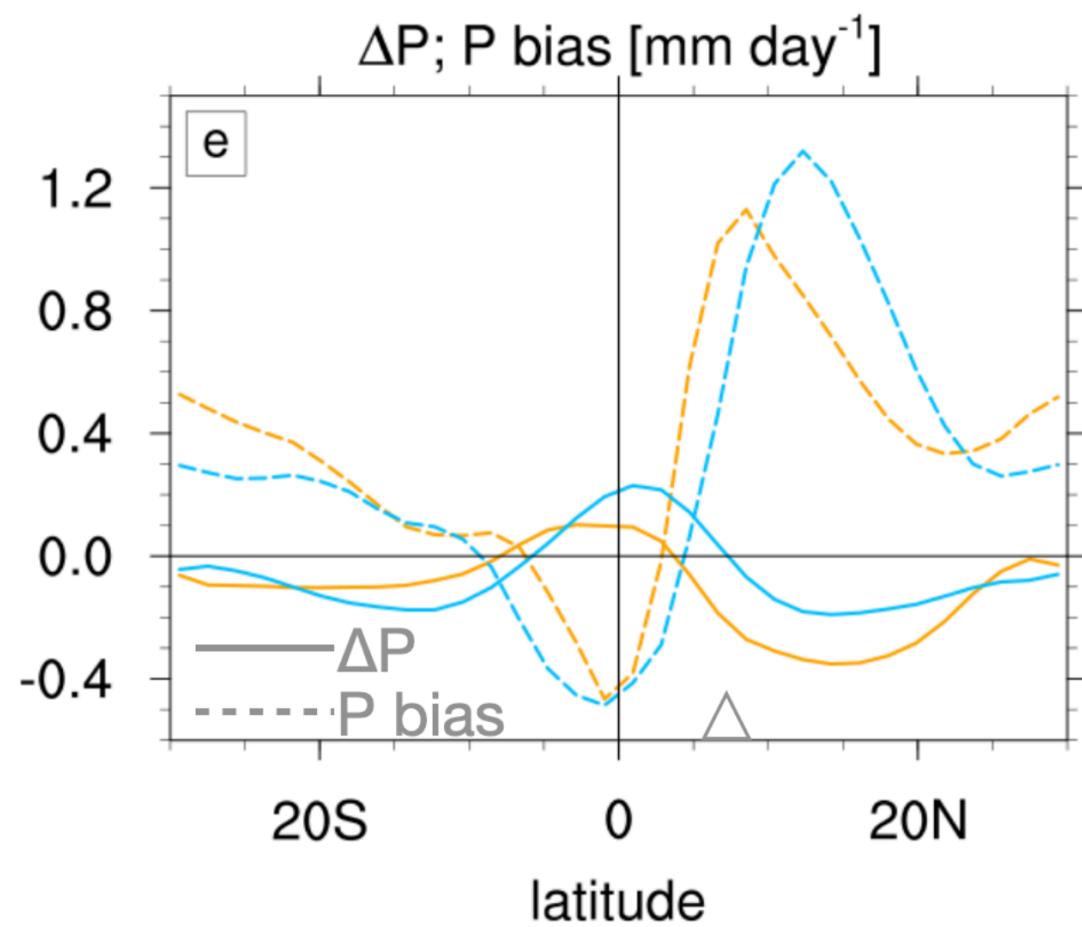
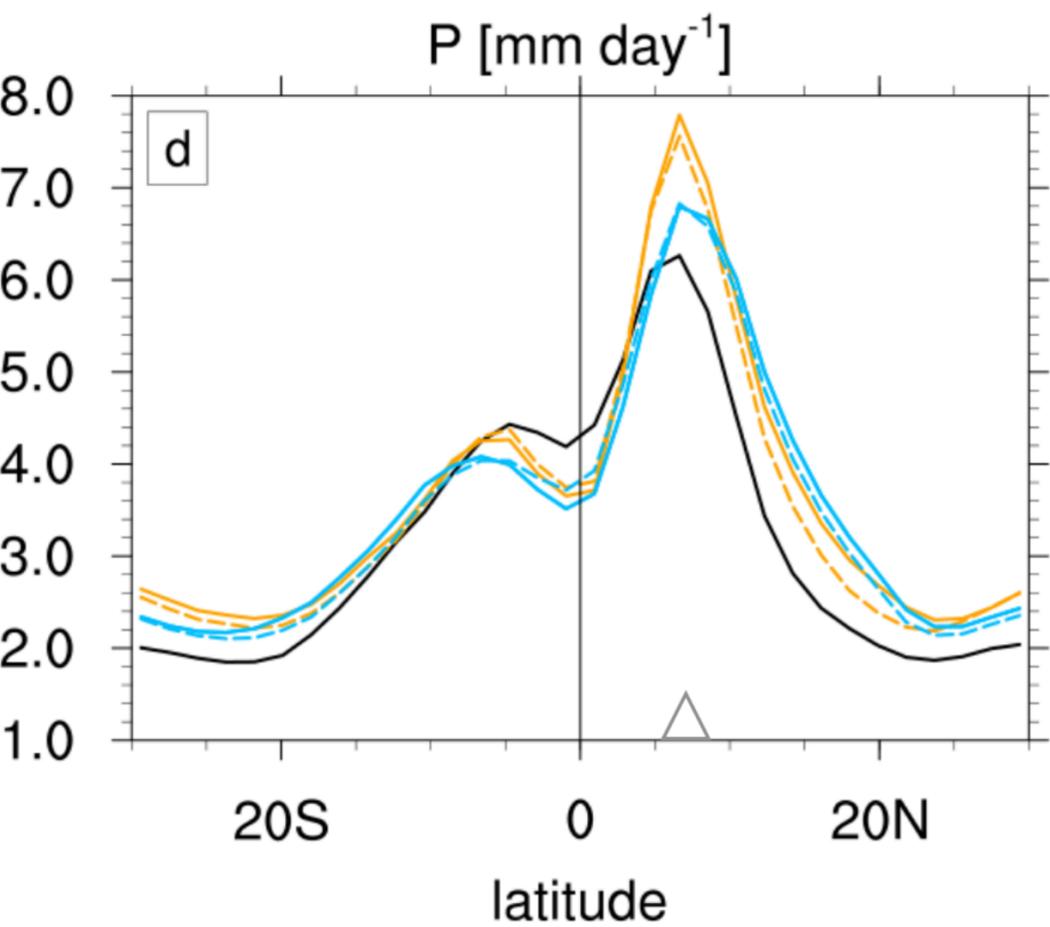
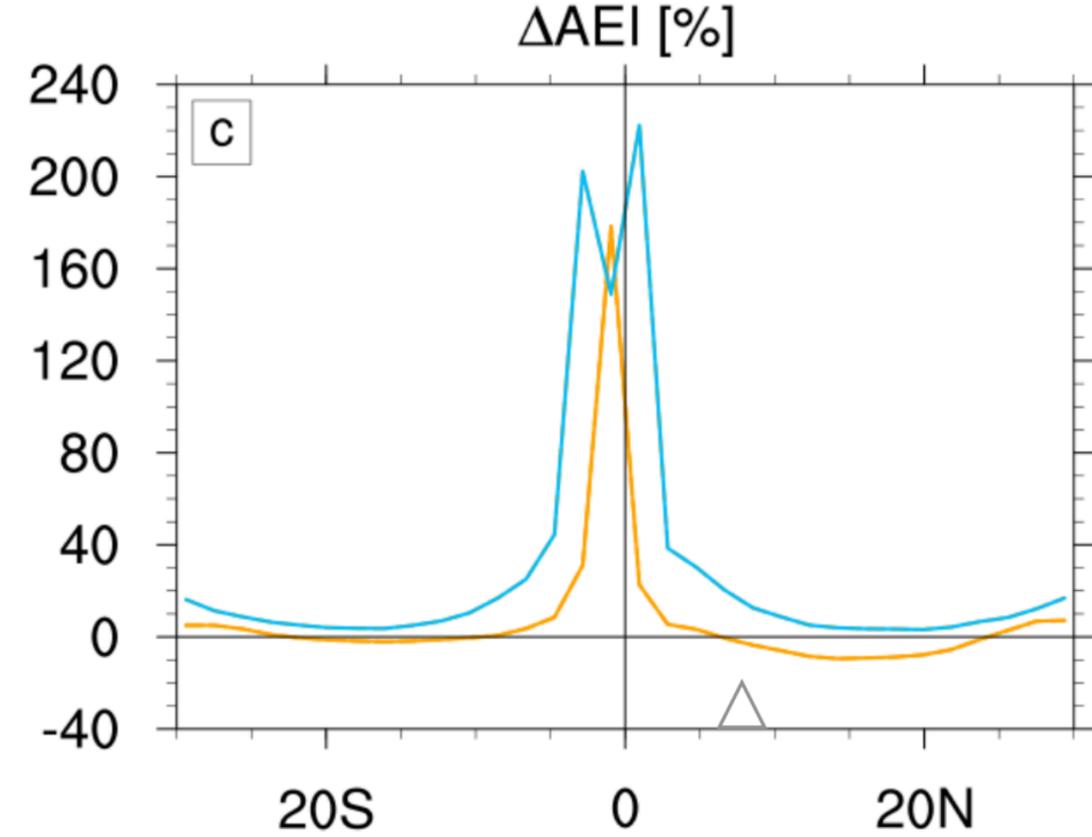
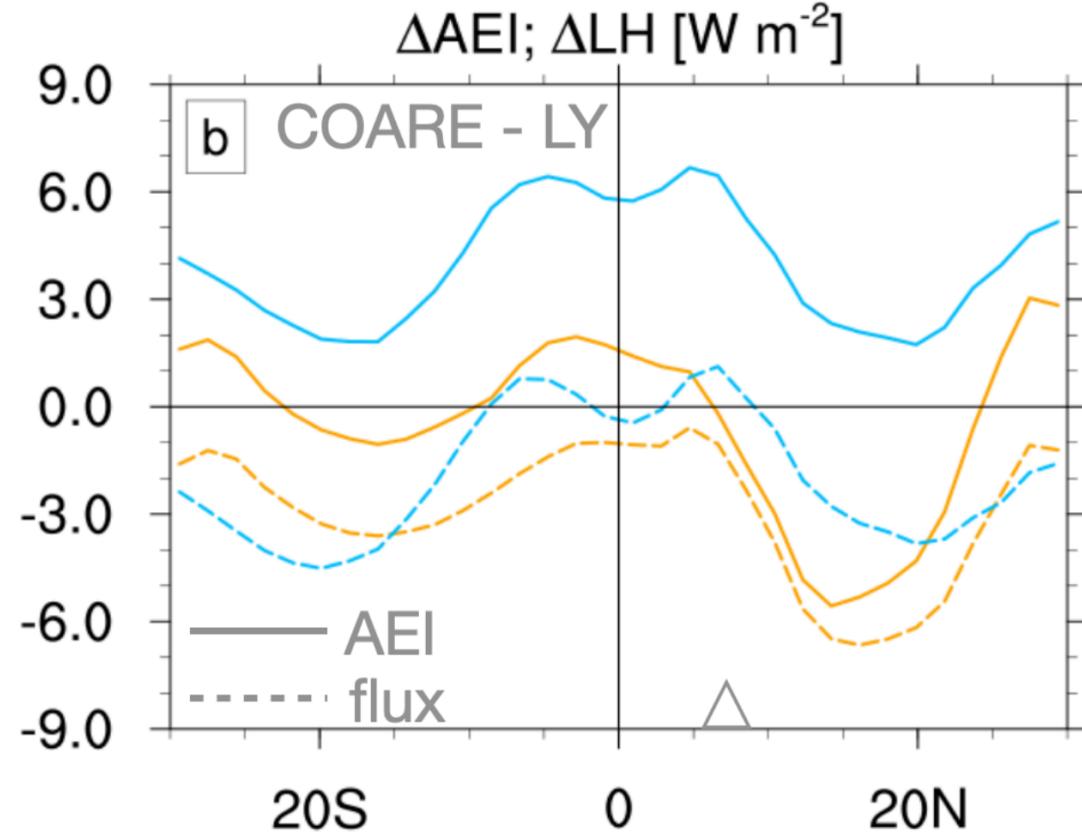
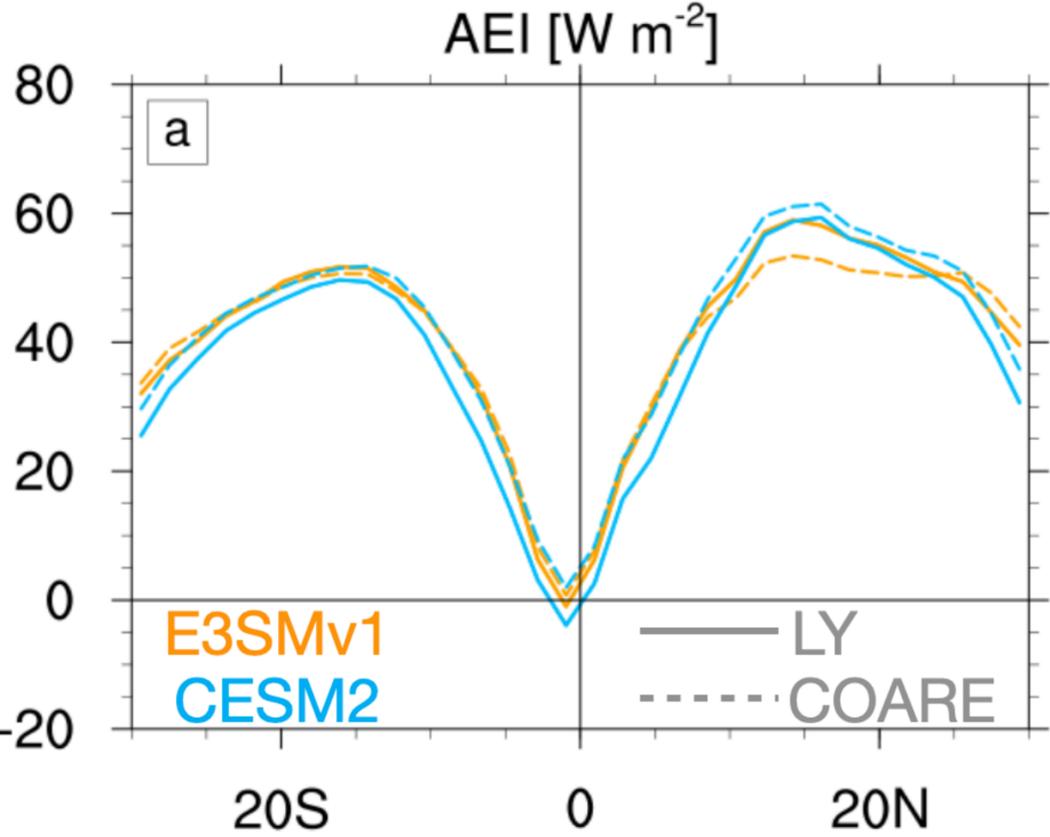


Figure S1.

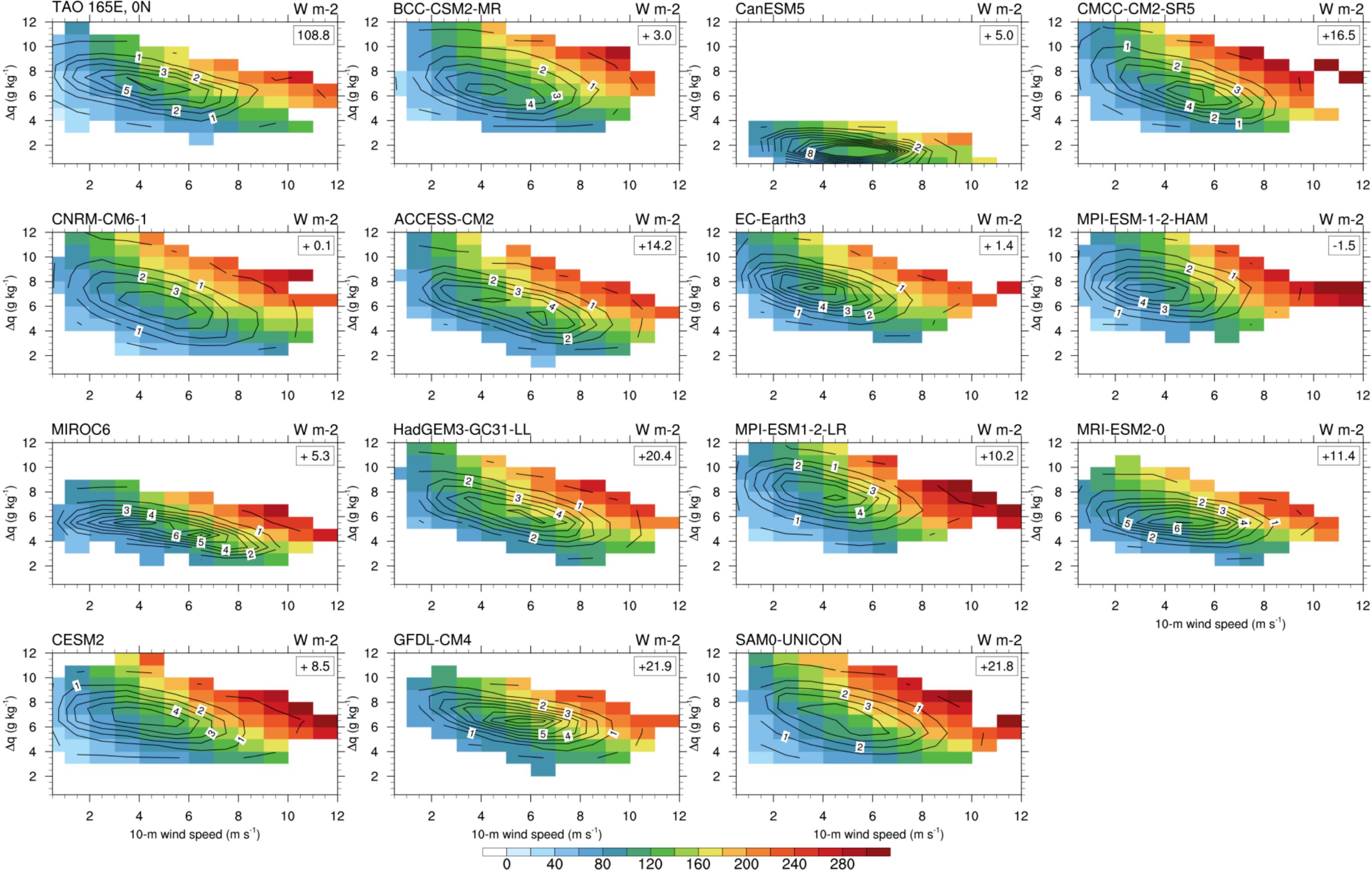


Figure S2.

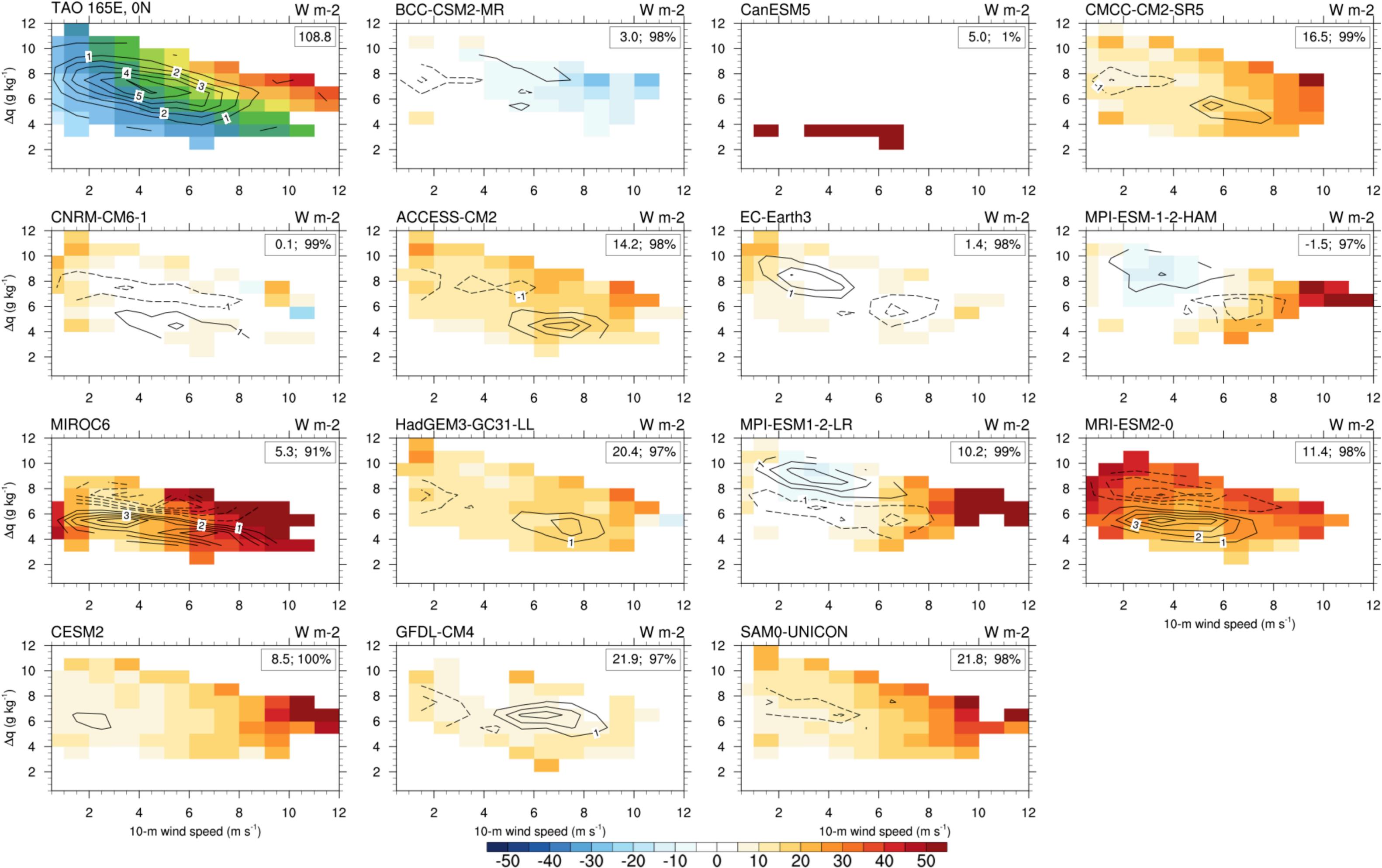


Figure S3.

LH flux bias terms (165E 0N)

$W m^{-2}$

