

1 **A +CG flash caused by a sequence of bidirectional leaders that served to form a**
2 **ground-reaching branch of a pre-existing horizontal channel**

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16
17 **Key Points:**

- 18 • Bidirectional leaders developed below a previously formed horizontal channel and
19 served to form a new positive branch that attached to the ground
- 20 • Connection of the negative (upper) end of each bidirectional leader to the horizontal
21 channel resulted in abrupt elongation of the positive (lower) end
- 22 • Flickering streamer-like filaments (needles) extended sideways from the horizontal
23 channel in response to the injection of negative charge associated with the +CG

24 **Abstract**

25 High-speed video and electric field change data were used to analyze the initiation and
26 propagation of four predominantly vertical bidirectional leaders making connection to a
27 predominantly horizontal channel previously formed aloft. The four bidirectional leaders
28 sequentially developed along the same path and served to form a positive branch of the
29 horizontal in-cloud channel, which became a downward positive leader producing a 135-kA
30 positive cloud-to-ground (+CG) return stroke. The positive (lower) end of each bidirectional
31 leader elongated abruptly at the time of connection of the negative (upper) end to the
32 pre-existing channel aloft. Thirty-six negative streamer-like filaments (resembling recently
33 reported “needles”) extended sideways over ~110 to 740 m from the pre-existing horizontal
34 channel at speeds of ~ 0.5 to 1.9×10^7 m/s, in response to the injection of negative charge
35 associated with the +CG.

36 **Plain Language Summary**

37 This paper presents high-speed video records that show how a sequence of predominantly
38 vertical bidirectional leaders can lead to formation of a positive branch of the previously
39 formed predominantly horizontal channel aloft, with this branch eventually making contact
40 with the ground and initiating a positive cloud-to-ground (+CG) return stroke. Additionally,
41 the recently discovered “needles” have been optically imaged and characterized. Observations
42 were performed at the Tall-Object Lightning Observatory in Guangzhou (TOLOG), China.
43 This study helps to improve our understanding of one of the initiation mechanisms of +CG
44 flashes that involves downward branching of in-cloud lightning channels.

45 **1. Introduction**

46 One of the most challenging issues in the physics of lightning is the interpretation of the
47 initiation mechanisms of positive cloud-to-ground (+CG) discharges. According to Rakov and
48 Uman (2003), +CG can be just a byproduct of a cloud discharge. Recently, Nag and Rakov
49 (2012) described 6 conceptual cloud charge configurations and scenarios that were observed or
50 hypothesized to give rise to positive lightning, with one of the scenarios being a positive branch
51 of an in-cloud discharge channel (see Section 2.6 and Figure 1f in their paper). High-speed

52 video images of such flashes are found in the works of Kong et al. (2008) and Saba et al. (2008,
53 2009). However, as of today there are no documented cases showing the process of such branch
54 formation. Observations show that branches of in-cloud channels can involve a sequence of
55 bidirectional leaders (Warner et al., 2016; Yuan et al., 2019) that, in effect, can facilitate
56 connection of a channel aloft to ground (Tran and Rakov 2016).

57 High-speed video and VHF observations are two widely used methods to analyze the
58 dynamics of leader initiation and propagation. However, the relatively strong negative
59 breakdown VHF signals associated with the negative end of bidirectional leaders, tend to mask
60 the relatively weak positive breakdown VHF signals associated with the simultaneously
61 propagating positive end (Shao et al., 1999). Consequently, it is often difficult for VHF
62 imaging systems to detect the positive end of bidirectional leaders. Bidirectional propagation
63 of leaders can be detected (imaged) with high-speed video cameras that have yielded important
64 results published by Jiang et al. (2014), Montanyà et al. (2015), Takamatsu et al. (2015),
65 Kostinskiy et al. (2015), Warner et al. (2016), Tran and Rakov (2016), Yuan et al. (2019), and
66 Wu et al. (2019a). In particular, Montanyà et al. (2015) reported a recording of bidirectional
67 intracloud lightning (IC) initiation in virgin air at ~11,000 frames per second (fps). Tran and
68 Rakov (2016) observed that the negative end of a bidirectional leader contacted ground and
69 produce a negative cloud-to-ground (-CG) return stroke. However, bidirectional leaders often
70 develop completely inside the cloud, which makes their optical imaging difficult. Only a few
71 quality recordings are presently available. As a result, the details of dynamics of bidirectional
72 leaders resulting in the formation of a ground-reaching leader are still not completely
73 understood.

74 Positive lightning discharges account for about 10% of all CG discharges (e.g., Rakov,
75 2003), so positive lightning discharges are considerably less studied and understood than their
76 negative counterparts. Furthermore, most of the existing optical observations of +CG flashes
77 can only yield some characteristics after the leader emerges from the cloud. To the best of our
78 knowledge, to date, there are no optical records of the complete dynamics of +CG flashes.
79 High-speed video observations reviewed above confirm that in-cloud channels giving rise to

80 CG discharges can be produced by bidirectional leaders. However, details of the formation of a
81 downward positively charged ground-reaching branch have not been reported before.

82 In this paper, we present optical and electric field observations of the formation process
83 of ground-reaching positive branch of an in-cloud channel initiating a +CG return stroke. The
84 observations were performed at the Tall-Object Lightning Observatory in Guangzhou
85 (TOLOG), China. The dynamics of all stages of the +CG flash are examined and discussed in
86 detail. Among other things, our records show flickering streamer-like filaments (resembling
87 recently reported “needles” observed with RF imaging systems by Hare et al., 2019 and Pu and
88 Cummer, 2019 and optically by Saba et al., 2020) extending sideways from the horizontal
89 in-cloud channel energized by the +CG.

90 **2. Instrumentation and Data**

91 The TOLOG (Lu et al., 2012, 2013; Qi et al., 2019; Wu et al., 2019b) is located on the
92 roof of an approximately 100-m-high building of the Guangdong Meteorological Bureau. The
93 optical instrumentation used in this study consisted of a lightning channel imager (LCI) and
94 two high-speed video cameras (HC-1 and HC-2) operating at different framing rates
95 (20,000-fps and 50,000-fps, respectively). The focal lengths of HC-1 and HC-2 were 14 and 20
96 mm, respectively, and the record lengths were 50 and 20 ms, respectively. The corresponding
97 pre-trigger times were 25, and 10 ms and the corresponding spatial resolutions were 1024
98 pixels \times 1024 pixels and 512 pixels \times 272 pixels. The framing rate and focal length of the
99 lightning channel imager (LCI) were 50-fps and 5 mm, respectively. The record length,
100 corresponding pre-trigger time and corresponding spatial resolution of LCI were 2 s, 500 ms,
101 and 780 pixels \times 582 pixels, respectively.

102 Electric field changes were measured using a set of fast and slow antenna systems. The
103 time constants of the fast and slow antennas were 1 ms and 6 s, respectively, and the sampling
104 rate of the two field measuring systems was 10 MHz. The record lengths and pre-trigger times
105 of the fast and slow antenna systems were 1 s and 100 ms, respectively. The measurement
106 ranges of the fast and slow antenna systems were ± 100 kV/m and ± 200 kV/m, respectively, and
107 the vertical resolution of each of them was 12-bit.

108 Signals from one of the eight channels of the Lightning Attachment Process
109 Observation System (LAPOS, Wang et al., 2011) recorded by a digital oscilloscope was used
110 for triggering the cameras and the field measuring systems. Each trigger event was
111 time-stamped using a GPS clock with accuracy of 30 ns. In addition, we obtained information
112 on the location of lightning channel ground termination point, the time of return stroke, and the
113 estimated peak current of the +CG return stroke from the Guangdong-Hong Kong-Macao
114 Lightning Location System (GHMLLS).

115 The +CG flash (denoted F17149) considered here contained a single stroke. The
116 GHMLLS reported that stroke to be located approximately 17 km from the observation station
117 and estimated its peak current to be approximately +135 kA. In this paper, we analyzed the
118 characteristics of bidirectional leaders involved in the initiation and development of the +CG.
119 Additionally, we examined streamer-like filaments extending sideways from the horizontal
120 in-cloud channel energized by the +CG. All the lengths and speeds presented are two-
121 dimensional (2-D) and estimated based on the distance between the +CG ground termination
122 point and TOLOG. All times are relative to the onset of the return stroke of the +CG flash. The
123 atmospheric electricity sign convention was used in this study.

124 **3. Data Presentation and Results**

125 The LCI operated at 50-fps (20-ms interframe interval) with a recording time of 2
126 second and completely recorded the entire +CG lightning flash (see Movie S1 in the supporting
127 information). Figure 1 shows 7 consecutive LCI images, with an exposure time of
128 approximately 20 ms each, with the time stamp on each image being the end of exposure time
129 relative to the return-stroke onset. No luminous channels were seen for about 500 ms in the LCI
130 record prior to the -79-ms frame. A short floating channel appeared in the -79-ms frame (see
131 the smaller rectangular box and its expansion in Figure 1b). The floating channel became
132 visible during the -99 to -79 ms time interval and then clearly exhibited bidirectional
133 development extending horizontally over about 15 km from -79 to -59 ms (see Figure 1c).

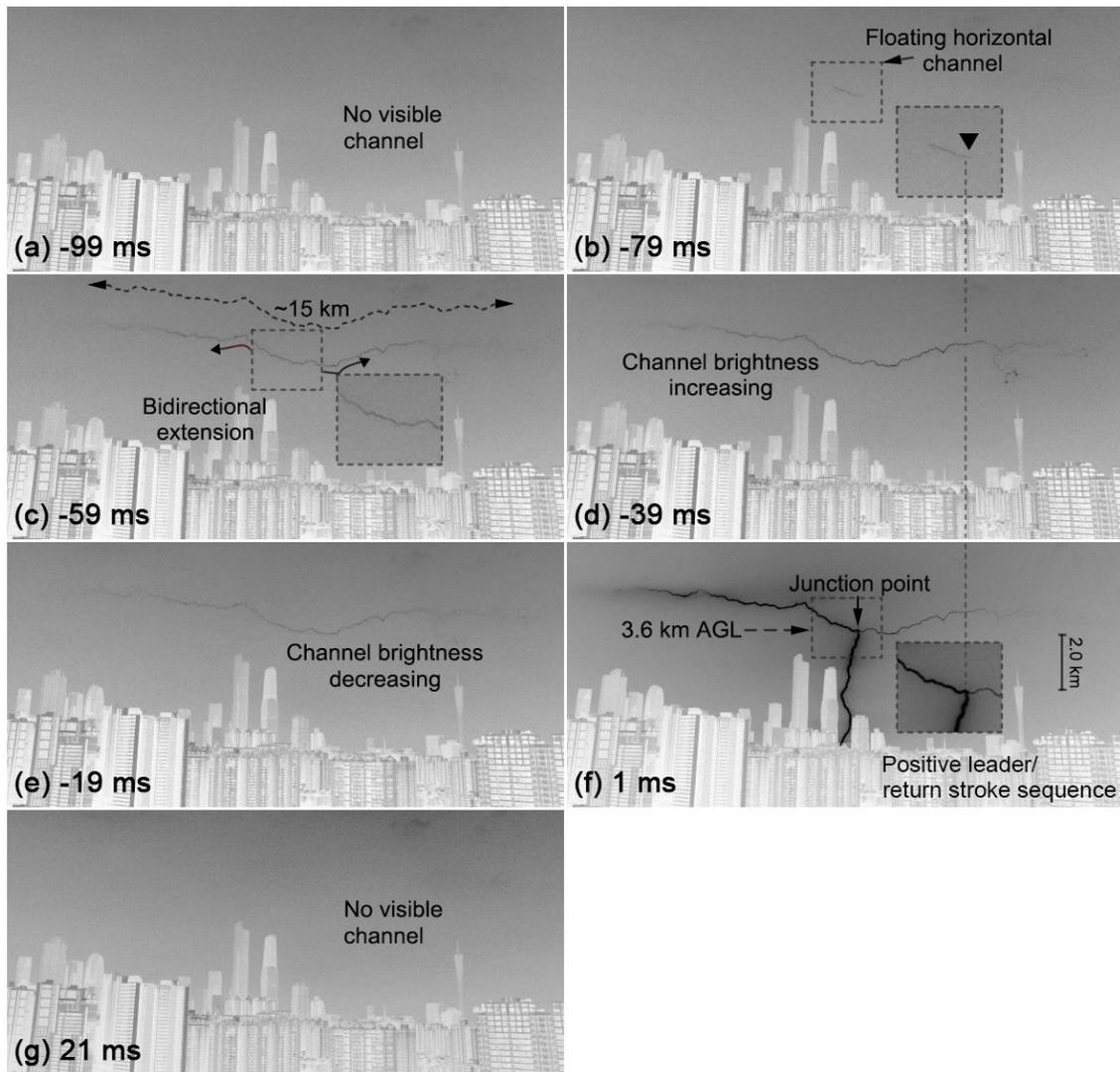
134 In the next two frames (see Figures 1d and 1e), the bidirectional leader channel did not
135 exhibit further extension, but its brightness increased from -59 to -39 ms and then decreased
136 from -39 to -19 ms. The predominantly vertical (positive leader/return stroke sequence)

137 channel connecting the previously formed predominantly horizontal channel with the ground is
138 seen in Figure 1f. However, due to the insufficient temporal resolution of LCI, the dynamics of
139 that connection is not resolved in Figure 1. Note that the junction point between the positive
140 leader/return stroke channel and the previously formed horizontal channel was near the right
141 end of the initial horizontal channel segment seen in Figure 1b (see vertical broken line passing
142 through Figures 1b, 1d, and 1f).

143 We now present our records obtained using the high-speed video camera (HC-1)
144 operating at 20,000-fps (50- μ s interframe interval) with a recording time of 50 ms. These
145 records (see Movie S2 in the supporting information) clearly show that the downward positive
146 leader evolved from a sequence of four bidirectional leaders that developed along the same,
147 predominantly vertical path below the predominantly horizontal channel whose development is
148 seen in Figure 1. Figure 2a shows a composite image of 40 selected HC-1 frames before the
149 onset of the +CG return stroke. These frames were selected to best display the geometry of the
150 horizontal channel aloft and the following four bidirectional leaders, the last of which
151 completed the formation of the downward branch of the horizontal channel, which forged its
152 way to ground and produced the +CG return stroke.

153 Figure 2b shows the image brightness record along with the fast and slow antenna
154 system electric field changes. The bipolar pulses (labeled BPs) in the fast electric field change
155 record (labeled FA in Figure 2b) that occurred about 60 ms prior to the return stroke (labeled
156 +RS) corresponds to the full (about 15 km) extension of the horizontal channel seen in Figure
157 1c (-59-ms, end of exposure time). Between -50 and -40 ms, K change type signatures are seen
158 in the fast electric field change record. Note that between -59 and -39 ms, the brightness of the
159 horizontal channel increased (see Figure 1d). It follows from Figure 1 that no vertical channel
160 was formed before -19 ms. However, at that time the slow electric field change record, labeled
161 SA in Figure 2, shows significant positive (opposite to the +RS) field deflection, which is
162 indicative of the motion of positive charge toward the observer. In fact, the positive field
163 deflection started at about -60 ms, and the slow field change between -60 ms and 0 is
164 characteristic of downward positive leader signature, although the downward extension
165 apparently did not start until -19 ms or so. It is likely that the positive charge motion toward the

166 observation station between -60 and -19 ms is indicative of the entire horizontal channel being
 167 charged positively. Electric field signatures of the beginning and the intensification of this
 168 latter process in the FA record are marked as “BPs” (bipolar pulses) and “K changes”,
 169 respectively, in Figure 2b.

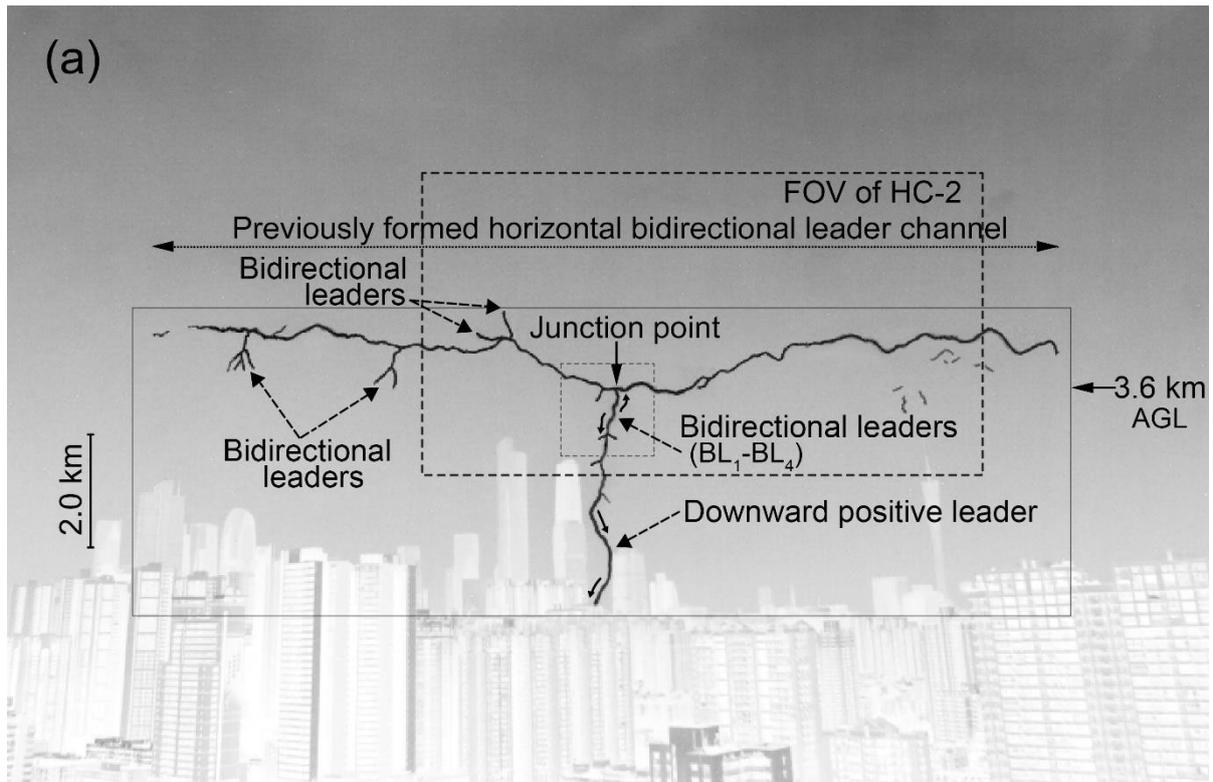


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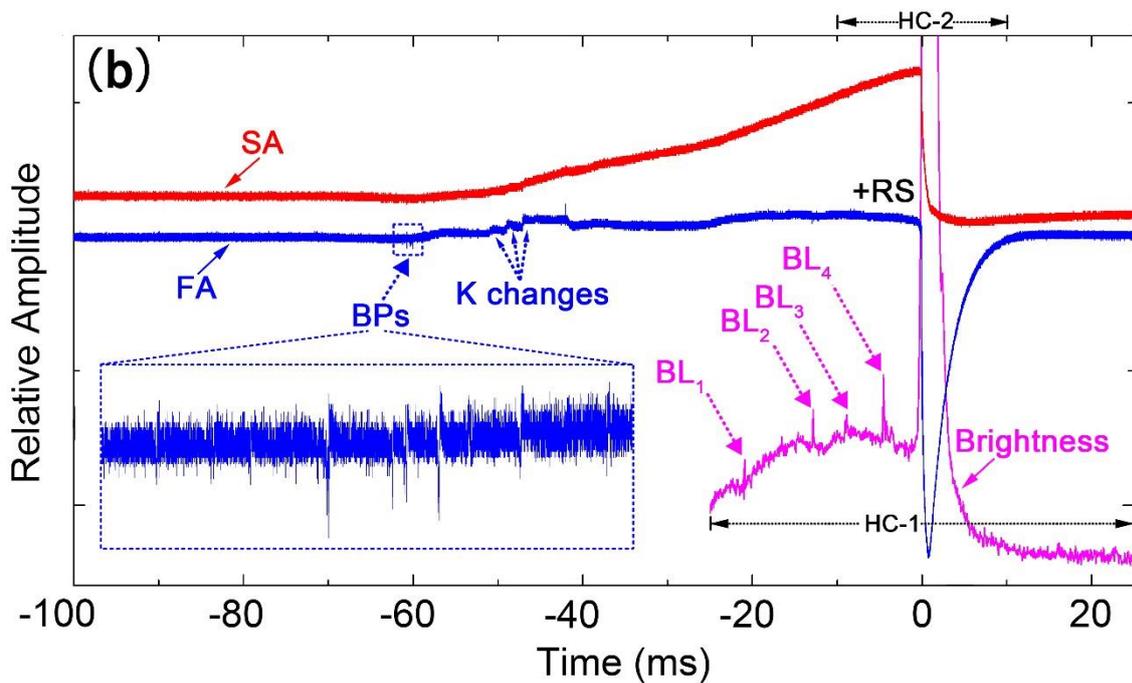
171 **Figure 1.** Seven consecutive images obtained using the lightning channel imager (LCI)
 172 operating at 50 frames per second (20-ms interframe interval). The time stamp on each image
 173 corresponds to the end of exposure time, adjusted based on synchronized images from HC-1.
 174 The images were cropped, inverted, and contrast enhanced. Portions of images in smaller
 175 broken-line boxes are shown enlarged in (b), (c), and (f). Small inverted triangle in (b)
 176 indicates the inferred origination point of the predominantly horizontal channel, whose
 177 development is seen in (b) and (c).

178 Four bidirectional leaders (BL_1 - BL_4) associated with formation of downward positive
179 leader occurred approximately 20, 12, 9, and 4 ms before the onset of the +CG return stroke
180 (see the brightness trace in Figure 2b). BL_1 to BL_4 produced no pronounced electric field
181 changes (see the FA and SA traces in Figure 2b), because they were relatively far
182 (approximately 17 km) from observation station. The upper end of each bidirectional leader
183 extended upward (this extension is imaged for BL_1 , BL_3 , and BL_4 and inferred for BL_2) and
184 contacted the previously formed horizontal channel, forming a downward branch of the
185 horizontal channel that eventually made contact with the ground. The height of the junction
186 point was approximately 3.6 km above ground level (AGL). The polarity of charge transfer to
187 ground was positive, based on the negative electric field change at $t = 0$ (see +RS in Figure 2b).
188 Consequently, the lower end of each bidirectional leader must have been positive, with the
189 upper end being negative and the horizontal channel aloft (at least near the junction point)
190 being positive.

191 During the +CG return stroke stage, the left part of the horizontal channel was much
192 brighter than the right one (see Movies S2 and S3 in the supporting information), which
193 suggests that positive charge was supplied to the vertical channel to ground mostly by the left
194 part of the horizontal channel. However, the brightness of the right part also increased during
195 the return-stroke stage and, hence, it also participated in delivering positive charge to the
196 junction point (in other words, the negative charge injected by the +CG return stroke into the
197 junction point moved both to the left and to the right along the horizontal channel). Further,
198 during BL_1 - BL_4 (approximately -20 ms to 0), the electric field was dominated by the motion of
199 positive charge toward the observation station (see the SA trace in Figure 2b), which indicates
200 that the middle part of the floating horizontal channel (near the prospective junction point) was
201 positive. There were other bidirectional leaders that served to form short branches in the left
202 part of the horizontal channel (see Bidirectional leaders appeared on the left part of the
203 horizontal channel in Figure 2a). Those are not further discussed in this paper.



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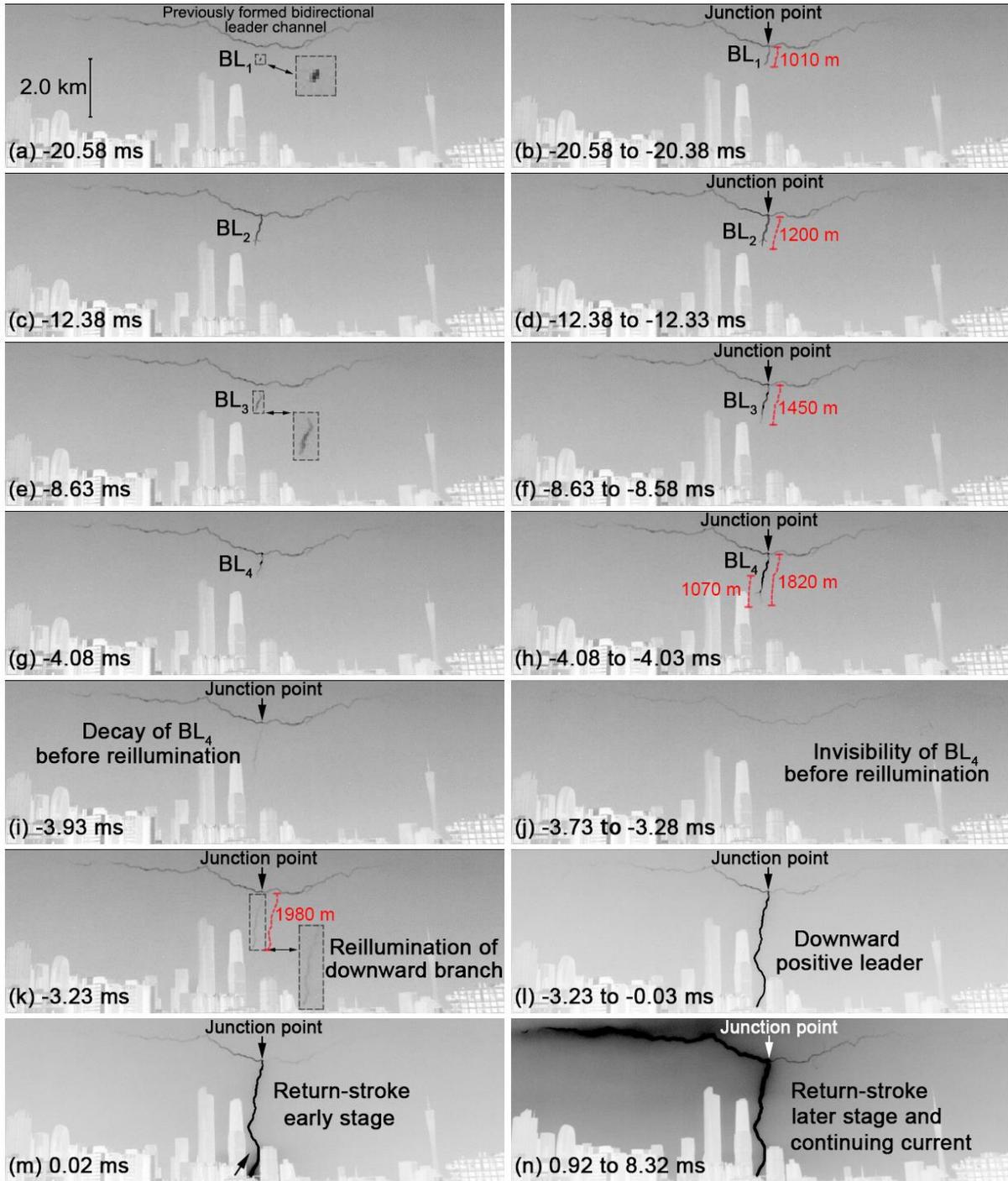


205

206 **Figure 2.** (a) Composite image of 40 selected frames (from -25 to -0.05 ms) obtained using
 207 HC-1 operating at 20,000 frames per second ($50\text{-}\mu\text{s}$ interframe interval), showing the geometry
 208 of downward positive leader channel to ground (formed via a sequence of four bidirectional
 209 leaders, which connected to the previously formed horizontal channel aloft). (b) Synchronized
 210 optical image brightness (the sum of gray values of all pixels in each HC-1 image) within -25 to

211 25 ms time window, and fast (FA) and slow (SA) electric field change records (from -100 to 25
212 ms). The image shown in (a) was cropped, inverted, and contrast enhanced. The curved arrows
213 indicate the observed directions of channel extension. Single-frame and composite HC-1
214 images of the solid-line rectangular area seen in (a) that show the salient features of the
215 discharge development after the formation of the horizontal channel aloft are presented in
216 Figures 3a-3n. The dashed-line rectangular box in (a) shows the field of view (FOV) of HC-2.
217 The time window corresponding to images recorded by HC-2 is from -10 to 10 ms. The larger
218 dashed-line rectangular box in (b) is an expanded view for the smaller dashed-line rectangular
219 box labeled BPs. Labels BL₁–BL₄ are used to mark four bidirectional leaders examined in
220 detail. AGL = above ground level; +RS = positive return stroke; SA = slow antenna; FA = fast
221 antenna; BPs = bipolar pulses.

222 Figure 3 shows key processes seen in the HC-1 records inside the solid-line rectangular
223 box in Figure 2a after the formation of horizontal channel aloft. Four bidirectional leaders
224 (BL₁-BL₄) sequentially initiated and developed along the same predominantly vertical path
225 below the previously formed horizontal channel. The positive (lower) end of each following
226 bidirectional leader was closer to the ground than that of the preceding one (see Figures 3b, 3d,
227 3f, and 3h). The lengths of four bidirectional leaders (BL₁-BL₄) progressively increased by
228 approximately 190 m from BL₁ to BL₂, 250 m from BL₂ to BL₃, and 370 m from BL₃ to BL₄.
229 The sequence of four bidirectional leaders (BL₁-BL₄) served to form a new downward positive
230 branch of the horizontal channel. The newly formed downward branch gradually decayed
231 within ~0.3 ms (see Figures 3h and 3i) and became undetectable (see Figure 3j). Then this
232 branch reilluminated (see Figure 3k) and continued extending toward ground. Comparing the
233 positions of its tip in Figures 3h and 3k, we found that the downward branch elongated by ~160
234 m, while its luminosity was undetectable for ~0.45 ms (see Figure 3j).



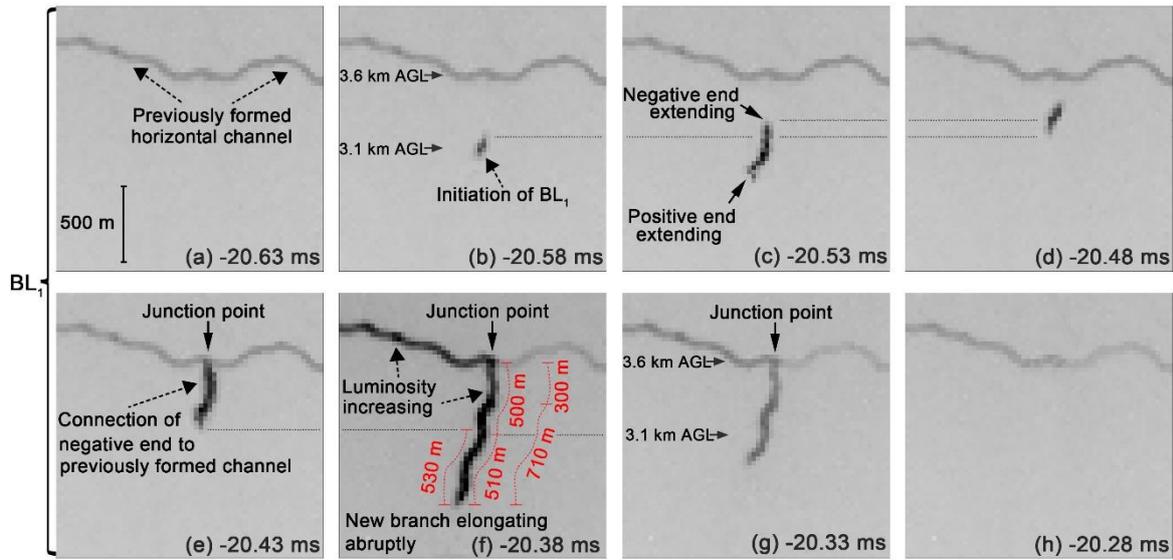
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236 **Figure 3.** Key processes seen in HC-1 (50- μ s interframe interval) records inside the solid-line
 237 rectangular box shown in Figure 2a after the formation of horizontal channel aloft: (a) first
 238 image of the first bidirectional leader (BL₁) channel, (b) composite image showing maximum
 239 extent of the BL₁ channel, (c) first image of the second bidirectional leader (BL₂) channel, (d)
 240 composite image showing maximum extent of the BL₂ channel, (e) first image of the third
 241 bidirectional leader (BL₃) channel, (f) composite image showing maximum extent of the BL₃

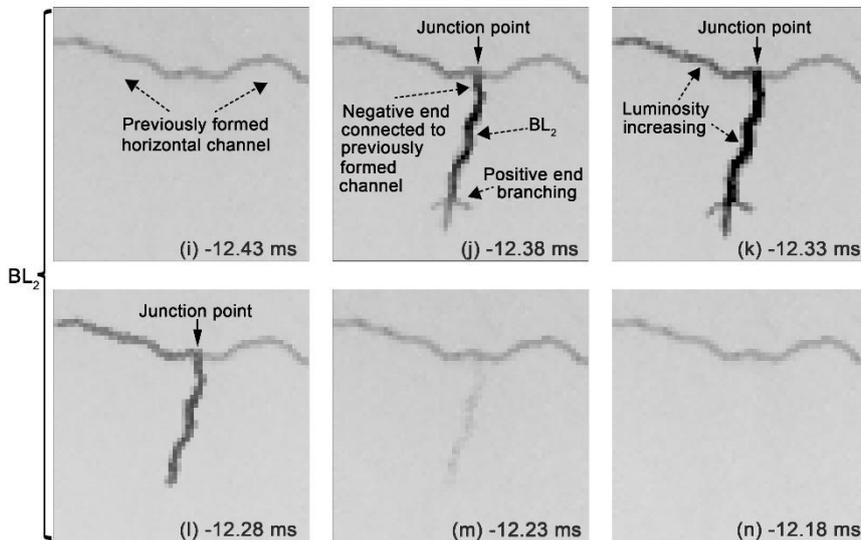
242 channel, (g) first image of the fourth bidirectional leader (BL_4) channel, (h) composite image
243 showing maximum extent of the BL_4 channel, (i) image of decaying fourth bidirectional leader
244 (BL_4) channel (downward branch), (j) image in which the downward branch is undetectable,
245 (k) first image showing reillumination of the downward branch formed via the sequence of BL_1
246 to BL_4 , (l) composite image showing the fully formed downward positive leader, (m) image of
247 the return-stroke early stage, (n) composite image showing the return-stroke later stage and
248 continuing current. Time labels correspond to the end of frame exposure times measured with
249 respect to the return stroke onset. Red dashed lines are used to show the lengths of channel
250 segments of BL_1 - BL_4 and of the resultant downward branch. Images from 0.02 to 0.92 ms are
251 overexposed.

252 Then the re-illuminated downward branch evolved into a fully developed downward
253 positive leader (see Figure 3l), attached to the ground, and initiated a +CG return stroke (see
254 Figure 3m). Based on (not shown here) consecutive frames of HC-1 and HC-2 (obtained from
255 Movies S2 and S3 in the supporting information), the entire discharge channel (including the
256 vertical channel to ground and both left and right parts of the horizontal channel) exhibited
257 light blooming during the +CG return stroke, but the brightness increase of the left part of the
258 horizontal channel was significantly larger than that of the right part. During the later stage of
259 return stroke and continuing current, the left part maintained elevated brightness, while the
260 right part just returned to the brightness level seen before the return stroke (see Figure 3n).
261 Therefore, as noted earlier, it appears that mostly the left part was active during the positive
262 leader/return stroke sequence, although the right part also contributed some current,
263 particularly during the continuing current stage, as seen in Figure 7.

264 Figures 4a-4h show 8 consecutive images of BL_1 , and 6 consecutive images of BL_2 are
265 shown in Figures 4i-4n. BL_1 initiated at a height of 3.1 km AGL and at a distance of ~500 m
266 from the horizontal channel above it. Its bidirectional extension is clearly seen in Figures 4b
267 and 4c, although its lower end became undetectable in Figure 4d. By overlaying the BL_1
268 channels in Figures 4c and 4d, we found that the two channels had a common part. It is possible
269 that the lower part of the BL_1 channel in Figures 4d decayed, while its upper part survived and
270 eventually made connection to the horizontal channel as seen in Figure 4e.



271



272

273 **Figure 4.** (a-h) Eight consecutive HC-1 (50- μ s interframe interval) images of the evolution of
 274 first bidirectional leader (BL_1) and (i-n) six consecutive HC-1 images of the second
 275 bidirectional leader (BL_2) within the small dotted-line rectangular box shown in Figure 2a. The
 276 solid-line rectangular box labeled 3 in panel (d) is a superposition of boxes labeled 1 and 2 in
 277 panels (c) and (d), respectively. Each image was inverted and contrast enhanced. The time
 278 stamp given on each image is the end of the exposure time. Red dashed lines are used to show
 279 the lengths of negative and positive ends of BL_1 . For BL_2 , no upward extension was detected,
 280 probably due to insufficient time resolution of the camera or low (undetectable) brightness of
 281 BL_2 channel in (i). BL = bidirectional leader; AGL = above ground level.

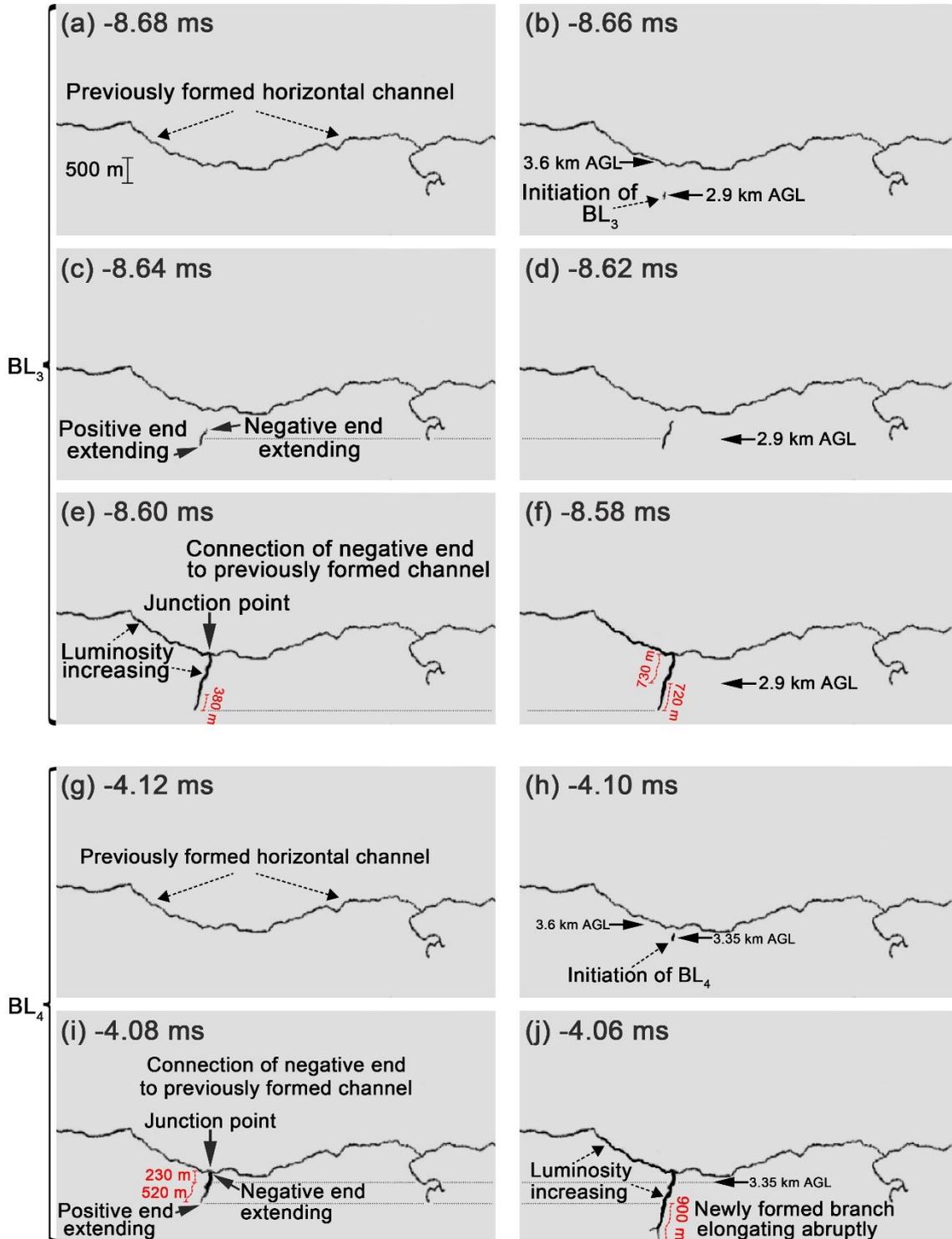
282 After the connection, the luminosity of BL₁ and the left part of the horizontal channel
283 increased significantly, while the luminosity of its right part diminished (see Figure 4f). The
284 connection was also associated with elongation of BL₁ channel by approximately 530 m (see
285 Figure 4f), retracing the previously formed but decayed BL₁ channel seen in Figure 4c. The
286 effective 2-D elongation speed was approximately 1.1×10^7 m/s. Then the new positive branch
287 formed by BL₁ gradually decayed (see Figure 4g) and became undetectable in Figure 4h.

288 It is unknown whether the polarity reversal (neutral) point of BL₁ was stationary at 3.1
289 km AGL (see Figure 4b) or moved up as its lower part decayed (see Figure 4d). In the former
290 case, the extension of the negative end was approximately 500 m (see Figure 4f), which is
291 about the same as the extension of the positive end (approximately 510 m). In the latter case,
292 the extension of the negative end was approximately 300 m (see Figure 4f), with the positive
293 end extension being approximately 710 m.

294 Figure 4i shows the frame just preceding the occurrence of BL₂ (7.85 ms after the decay
295 of BL₁). In its first image (Figure 2j), BL₂ appears to be already connected to the horizontal
296 channel aloft, which can be due to insufficient temporal resolution of the camera or low
297 (undetectable) brightness of BL₂ channel in Figure 4i. BL₂ followed the decayed channel of
298 BL₁ (compare Figures 4j and 4f). Further, the brightness of the new branch formed by BL₂ and
299 the left part of the horizontal channel in Figure 4k increased, similar to Figure 4f. This
300 similarity makes us believe that event BL₂ was indeed a bidirectional leader. Note branching at
301 the lower (positive) end of the BL₂ channel (see Figures 4j and 4k), which is usually considered
302 as evidence of leader extension in virgin air (e.g., Tran and Rakov 2016).

303 Unlike BL₁ and BL₂, BL₃ and BL₄ were recorded, with a better time resolution (20- μ s
304 interframe interval), by HC-2 (see Movie S3 in the supporting information), within -10 to 10
305 ms time windows shown in Figure 2b. The dynamics of BL₃ and BL₄ are shown in Figure 5.
306 Figures 5a-5f show 6 consecutive images of BL₃, which occurred approximately 3.5 ms after
307 BL₂. The initiation height of BL₃ (2.9 km AGL and 700 m below the horizontal channel) was
308 approximately 200 m lower than that of BL₁. BL₃ initiated and extended bidirectionally in the
309 remnants of the decayed channel of BL₂. Similar to BL₁, brightness of the left part of the
310 horizontal channel and that of BL₃ itself increased after the connection of the negative (upper)

311 end of BL₃ to the horizontal channel (see Figures 5e and 4f). However, in contrast to BL₁, BL₃
 312 was extending without interruption until its connection to the horizontal channel (see Figures
 313 5c-5e).



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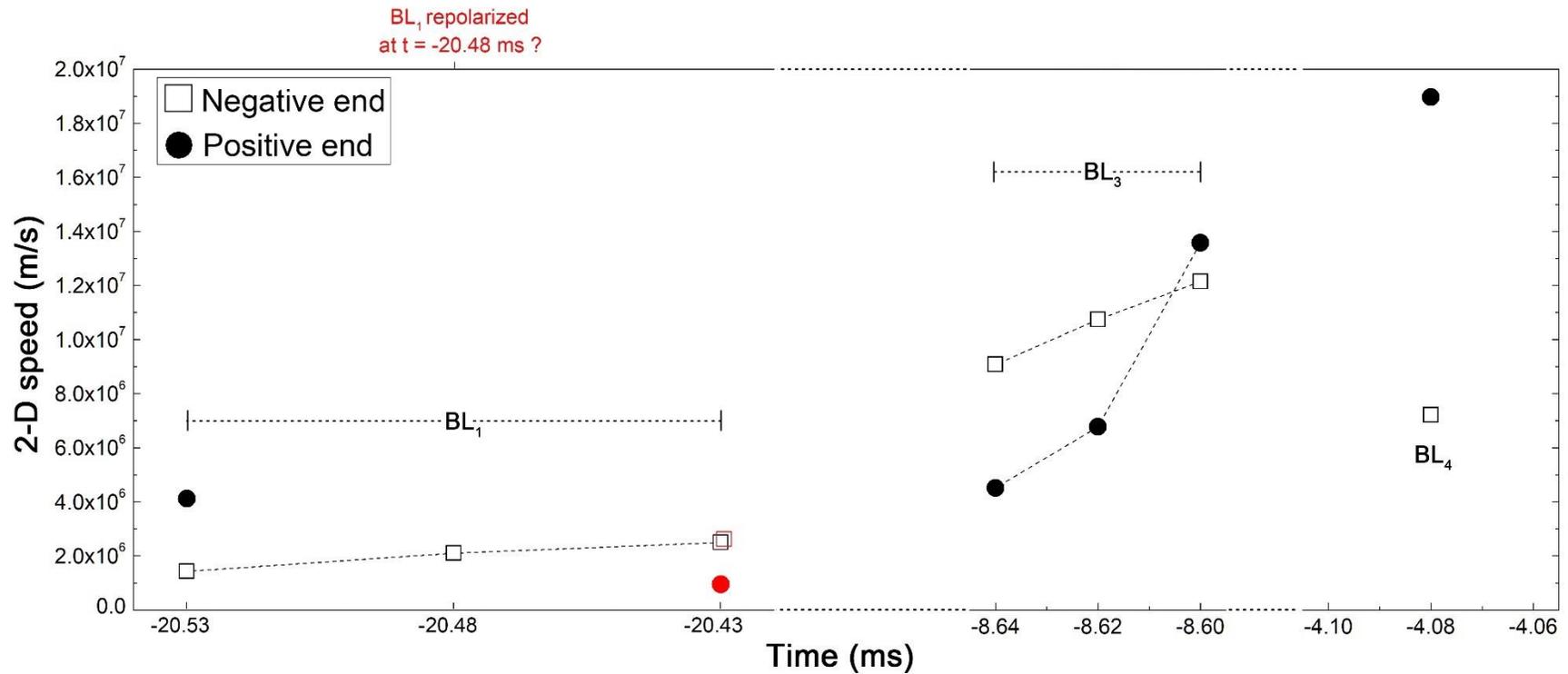
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316 **Figure 5.** (a-f) Six consecutive HC-2 (20- μ s interframe interval) images of BL₃ and (g-j) four
317 consecutive HC-2 images of BL₄. The images were background removed, inverted, and
318 contrast enhanced. The time stamp given on each image is the end of the exposure time. The
319 dashed-line rectangular box in Figure 2a shows the FOV of HC-2. Red dashed lines are used to
320 show the lengths of channel segments of BL₃ and BL₄. BL = bidirectional leader; AGL = above
321 ground level.

322 In Figure 5e, the positive (lower) end of BL₃ extended by approximately 380 m relative
323 to its position in Figure 5d. It is unknown whether this extension occurred before or after the
324 negative (upper) end of BL₃ connected to the horizontal channel aloft. No further extension is
325 seen in Figure 5f. The overall length of the negative end of BL₃ was approximately 730 m (see
326 Figure 5f), which is almost the same as that of the positive end (approximately 720 m).

327 Figures 5g-5j show 4 consecutive images of BL₄, which occurred approximately 4.5 ms
328 after BL₃. The initial height of BL₄ (3.35 km AGL and 250 m below the horizontal channel)
329 was higher than those of BL₁ and BL₃. BL₄ extended bidirectionally along the decayed channel
330 of BL₃ (see Figures 5h and 5i), and within approximately 20 μ s the negative end of BL₄
331 connected to the horizontal channel (see Figure 5i). The brightness of BL₄ and the left part of
332 the horizontal channel (to the left of the junction point) increased. Due to the positive end of
333 BL₄ extending beyond the lower edge of Figure 5j, we couldn't obtain the total length of the
334 positive end of BL₄ from Figure 5j. According to Figure 3h, the overall length of BL₄ channel
335 was approximately 1820 m. The total length of the negative (upper) end of BL₄ was
336 approximately 230 m (see Figure 5i), which is considerably shorter than that of its positive
337 (lower) end (approximately 1590 m).

338 Like BL₁, BL₄ elongated abruptly (beyond the lower edge of Figure 5i) at the time of its
339 connection to the horizontal channel (see Figures 5i and 5j). The abrupt elongation was by
340 approximately 1070 m, as seen in Figure 3h, with a 2-D speed of approximately 5.4×10^7 m/s.
341 Note that, similar to BL₂, the lower (positive) end of BL₄ channel was branched (see Figure 5j),
342 which indicates that it extended into virgin air.



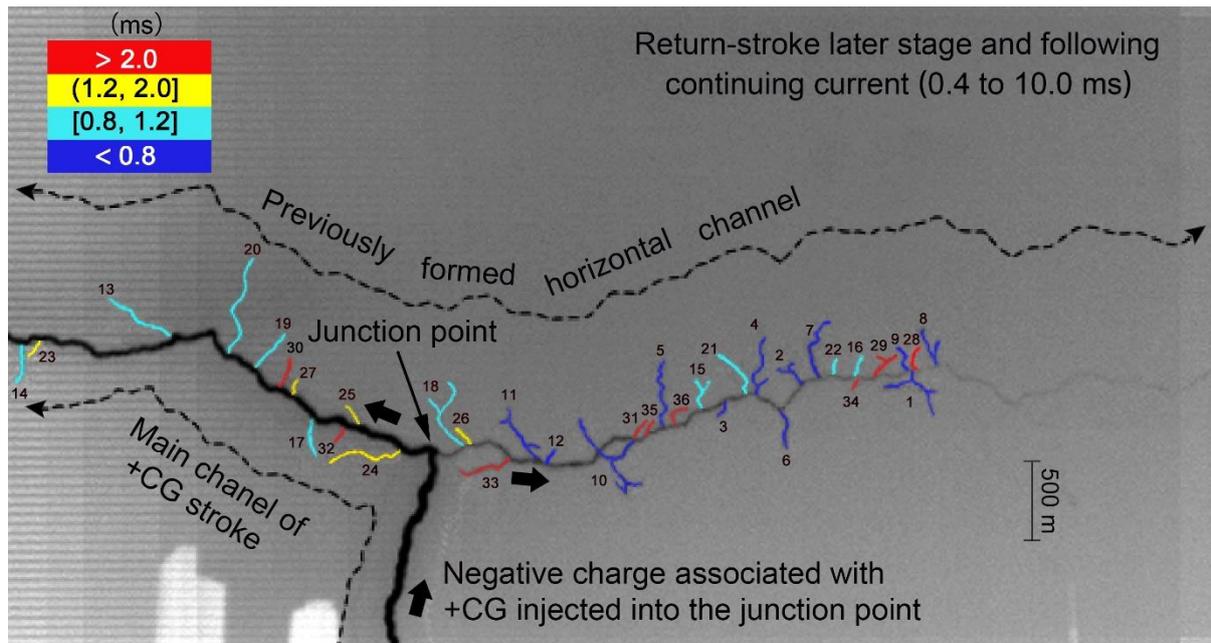
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344 **Figure 6.** The 2-D speeds of negative and positive ends of the first bidirectional leader (BL₁), the third bidirectional leader (BL₃), and the
 345 fourth bidirectional leader (BL₄), based on the consecutive frames shown in Figure 4 (BL₁, HC-1) and Figure 5 (BL₃ and BL₄, HC-2).
 346 The red box and red circle at t = -20.43 ms represent the speeds of negative and positive ends, respectively, for the case if BL₁ was
 347 repolarized.

348 We estimated 2-D speeds of the positive and negative ends of BL₁, BL₃ and BL₄, based
349 on the consecutive frames of HC-1 and HC-2 (Figures 4a-4h, 5a-5f, and 5g-5j). Speed profiles
350 for the three bidirectional leaders (BL₁, BL₃, and BL₄) are shown in Figure 6. The 2-D nonzero
351 speeds of the negative and positive ends ranged from 1.4×10^6 to 1.2×10^7 m/s and from 9.5×10^5
352 to 1.9×10^7 m/s, respectively. The average (mean) speeds of the negative and positive ends were
353 approximately 6.5×10^6 m/s and 8.2×10^6 m/s, respectively. Note that the speeds for the first
354 leader (BL₁) are considerably lower than those for the subsequent leaders (BL₃ and BL₄). The
355 average (mean) extension speed of BL-formed downward branches after the negative BL end
356 connected to the horizontal channel was approximately 3.2×10^7 m/s.

357 We now present transient streamer-like discharges from the lateral surface of the
358 horizontal channel during the return-stroke later stage and the following continuing current.
359 Figure 7 shows a composite image of 480 selected HC-2 (20- μ s interframe interval) frames for
360 the time interval of 0.4 to 10 ms (all after the +CG return-stroke onset). One can see 36
361 branches, labeled 1 through 36, extending primarily up and down (radially) from the horizontal
362 channel when the negative charge associated with the return-stroke later stage and the
363 following continuing current was injected into the horizontal channel core, probably
364 surrounded by a positive space charge sheath. These branches are numbered in the order of
365 their occurrence relative to the return stroke onset. Their time of occurrence is color coded.
366 Because these transient events were relatively far from the observation station, they produced
367 no detectable electric field changes (see FA and SA traces in Figure 2b). These branches appear
368 to be streamer filaments originating from the lateral surface of the horizontal channel, since
369 they are noticeably fainter and thinner than their parent channel.

370 These side branches were transient; that is, they decayed soon after extending from the
371 horizontal channel. Then some of the decayed (non-luminous) side branches were
372 reilluminated by one or more recoil leader or streamer type events. At the same time, some new
373 side branches were extending from other parts of the horizontal channel. Therefore, the
374 branches seen in Figure 7 are the cumulative effect of their formation process and
375 reillumination by the recoil type processes. Note that the recoil events caused the flickering of
376 side branches.



377

378 **Figure 7.** Composite image of 480 selected frames (from 0.4 to 10.0 ms) obtained using HC-2
 379 (20- μ s interframe interval) showing side branches (different colors indicate their occurrence
 380 time relative to the RS onset) originating from the horizontal channel during the return-stroke
 381 later stage and continuing current. The composite image was inverted and contrast enhanced.
 382 Thick arrows indicate the direction of motion of negative charge associated with the +CG
 383 return stroke and continuing current along the vertical and horizontal channels. Discernible
 384 side branches (streamer-like filaments) are numbered 1 to 36 in the order of their occurrence
 385 relative to the RS onset.

386 Characteristics of the 36 streamer-like filaments including time intervals relative to the
 387 preceding one, 2D lengths, and 2-D extension speeds, are given in Table 1. We calculated the
 388 time interval between consecutive side branches as the interval between the time
 389 corresponding to the first image of a given side branch and that of the preceding one. It is
 390 unknown whether the channel seen in the first frame (0.40 ms) of #1 is true first image or one
 391 of its subsequent flickerings, due to the overexposure of the images caused by the +CG return
 392 stroke. For this reason, we do not give the initiation time and 2D extension speed of #1 in
 393 Table 1. The side (more or less radial) branches were observed within 0.4 to 10 ms of the onset
 394 of +CG return stroke and extended away from the horizontal channel over approximately 110

395 to 740 m (mean = 290 m) at speeds of approximately 5.0 to 19×10^6 m/s (mean = 9.6×10^6 m/s).
396 The time intervals between the side branches ranged from 0 to 2.32 ms (mean = 250 μ s).

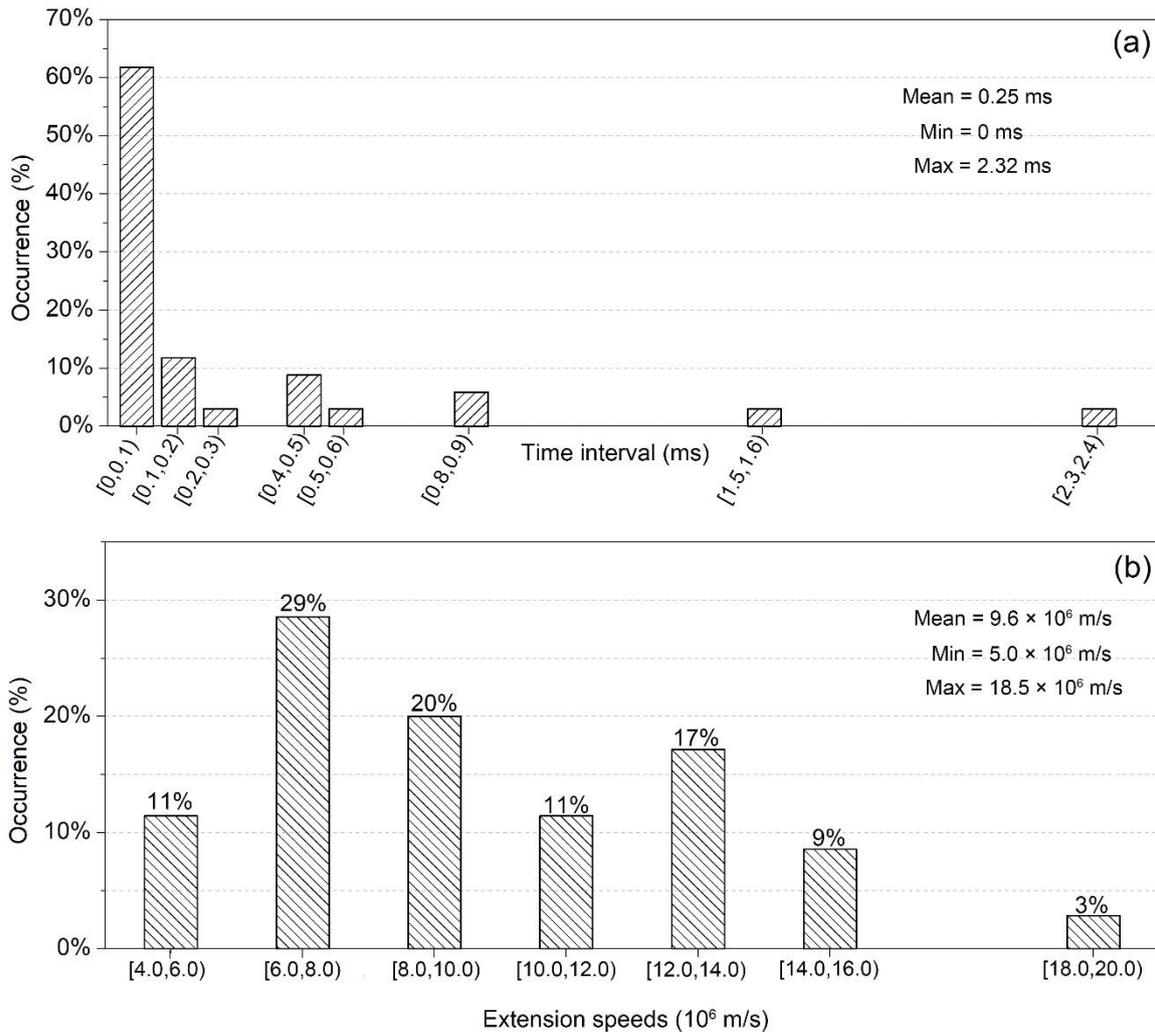
397 It is unknown whether similar streamer-like filaments occurred before 0.4 ms due to
398 overexposure of the images caused by the +CG return stroke. Before 0.8 ms, streamer-like
399 filaments appeared only on the right part of the horizontal channel. From 0.8 to 1.2 ms,
400 streamer-like filaments appeared on both the left and right parts of the horizontal channel.
401 From 1.2 to 2.0 ms, streamer-like filaments, except for #26 appeared only on the left part of
402 the horizontal channel. After 2.0 ms, streamer-like filaments, except for #30 and #32
403 appeared only on the right part of the horizontal channel. No new streamer-like filaments
404 were identified after 9.0 ms (see the second column in Table 1).

405 Note that both the left and the right parts of the horizontal channel increased in
406 brightness at the return stroke onset, even though the left part was always brighter. This
407 suggests that both the left and the right parts of the horizontal channel contributed to
408 supplying positive charge to the junction point (or, equivalently, the negative charge injected
409 by +CG into the junction point moved both to the left and to the right along the horizontal
410 channel). The hot (luminous) core of the horizontal channel was probably surrounded by a
411 non-luminous positive space charge sheath, formed during the +CG leader stage. Negative
412 charges pumped into the horizontal channel by the +CG return stroke and continuing current
413 were probably the cause of side branches (negative breakdowns) developing into and beyond
414 the positive space charge sheath.

415 Distributions of time intervals between the 36 side branches and their 2-D extension
416 speeds are shown in Figure 8. Minimum and maximum time intervals are 0 and 2.32 ms,
417 respectively, with a mean value of 250 μ s. Most of the intervals (~62%) are shorter than 100
418 μ s and ~85% are shorter than 300 μ s. In many cases multiple events occurred simultaneously
419 or almost simultaneously (see the second column in Table 1). The minimum and maximum
420 extension speeds are 5×10^6 and 18.5×10^6 m/s, respectively, with a mean value of 9.6×10^6
421 m/s. In most (60%) of cases, the speed is lower than 10^7 m/s.

Table 1. Characteristics of 36 flickering side branches shown in Figure 7

Side branch ID	Initiation time relative to RS onset (ms)	Initiation time relative to the preceding side branch (μ s)	2D length (m)	2D extension speeds (10^6 m/s)
1		-	270	-
2	0.5	-	230	11.5
3	0.52	20	140	7.0
4	0.52	0	420	10.5
5	0.54	20	530	13.3
6	0.56	20	300	15.0
7	0.58	20	500	12.5
8	0.58	0	280	7.0
9	0.62	40	200	10.0
10	0.64	20	580	14.5
11	0.68	40	390	9.8
12	0.7	20	120	6.0
13	0.8	100	530	13.3
14	0.84	40	270	13.5
15	0.84	0	230	11.5
16	0.84	0	150	7.5
17	0.86	20	270	13.5
18	0.9	40	560	14.0
19	0.9	0	320	8.0
20	0.92	20	740	18.5
21	0.96	40	370	9.3
22	1.2	240	120	6.0
23	1.24	40	130	6.5
24	1.34	100	550	13.8
25	1.88	540	170	8.5
26	2	120	150	7.5
27	2	0	110	5.5
28	2.4	400	200	5.0
29	4.72	2320	220	5.5
30	5.56	840	220	5.5
31	5.98	420	160	8.0
32	6.1	120	130	6.5
33	6.54	440	390	9.8
34	6.6	60	120	6.0
35	7.44	840	140	7.0
36	9	1560	190	9.5
Mean	-	250	290	9.6



423

424 **Figure 8.** (a) Distribution of time intervals and (b) 2D extension speeds of 36 side branches (see also
 425 Table 1).

426 **4. Discussion and Summary**

427 A sequence of four bidirectional leaders (BL₁-BL₄) served to form a new positive
 428 branch originating from the previously formed horizontal channel aloft and eventually attached
 429 to the ground and initiated a 135-kA +CG return stroke. To the best of our knowledge, this is
 430 the most detailed study of the process of formation of in-cloud channel branch that extended
 431 toward ground and caused +CG to date. Additionally, we observed negative streamer-like
 432 filaments extending sideways from the positively charged horizontal channel in response to the
 433 injection of negative charge associated with the +CG.

434 **On a possible background discharge prior to BL₁.** Our optical records (including
 435 LCI images and high-speed video camera images) show that there were no positive leader or

436 streamer-like branches emanating from the predominantly horizontal channel before BL₁,
437 probably due to (1) not long enough recording time (-25 to 25 ms) of high-speed cameras
438 operating at 20, 000 frames per second, (2) insufficient temporal resolution (~20 ms) of LCI
439 having a longer recording time (2 s), or (3) very low brightness of positive leader or streamer
440 branches within the visible wavelength range (380 to 780 nm) of our cameras.

441 The 2D extension speeds of negative and positive ends of BL₁ ranged from 9.5×10^5 to
442 4×10^6 m/s (see Figure 6), which is near the upper bound or higher than the average extension
443 speed of leaders in virgin air ($\sim 10^4$ to 10^6 m/s). Such relatively higher extension speeds at the
444 positive and negative ends of BL₁ seem to suggest that BL₁ may have initiated and developed
445 in the previously created but optically undetectable channel.

446 At the same time, we cannot rule out a possibility that there were no positive
447 leader/streamer branches emanating from the predominantly horizontal channel or
448 bidirectional leaders before BL₁, because (a) leaders in virgin air are known (e.g., Berger and
449 Vogelsanger, (1969); Orville and Idone, (1982); Chen et al., 1999; Lu et al., (2008)) to be able
450 to propagate at speeds of the order of 10^6 m/s and (b) extension speeds for BL₃ and BL₄ in our
451 study are considerably higher than those for BL₁ (see Figure 6).

452 **Initiation of vertical bidirectional leaders.** It is known (e.g., Maslowski and
453 Rakov, 2006) that the bulk of leader charge is contained in the corona (space charge) sheath
454 formed around the narrow hot core. Therefore, the horizontal channel seen in our high-speed
455 video camera images is a narrow hot core surrounded by optically undetectable corona
456 sheath, whose outer radius should be up to tens of meters (e.g., for leader line charge density
457 of 1 mC/m, the corona-sheath radius should be about 20 m, according to Gauss Law). The
458 horizontal channel was positive at the time of BL₁-BL₄. The shielding effect of the positive
459 corona sheath around the positive hot core should suppress the development of branches
460 directly from the core. For this reason, such branches are more likely to be formed via
461 bidirectional leaders excited outside the positive corona sheath. BL₁ to BL₄ initiated at
462 distances of approximately 250 to 700 m from the luminous horizontal channel, from which
463 we infer that the radius of the positive corona sheath was less than 250 m. It is worth noting
464 that the radius of corona sheath for positive polarity is expected to be greater than that for

465 negative polarity (because of the lower propagation field threshold for positive streamers) and
466 that the initiation point of later BLs should have been influenced by the presence of remnants
467 of preceding BLs.

468 **Dynamics of bidirectional leaders.** We start with a brief overview of the literature
469 on bidirectional leaders making connection to positively charged channels. Most of the time,
470 bidirectional leaders are completely or in part hidden inside the cloud, which makes their
471 optical imaging impossible. Therefore, as of today, there are only a few optical observations
472 of bidirectional leaders found in the literature. Montanya et al. (2015) and Warner et al.
473 (2016) reported on bidirectional leaders making connection to pre-existing positively charged
474 channels of cloud and ground lightning discharges, respectively. Further, Pilkey (2014,
475 Figures. A-1 and A-2) reported on a floating channel segment that initiated about 84 m from
476 the positive in-cloud leader channel near 3-km altitude and 364 μ s later connected to the
477 lateral surface of that channel. Tran and Rakov (2016), using a high-speed video camera,
478 observed a natural lightning discharge that started with a bidirectional leader. The negative
479 end of the bidirectional leader extended toward the ground (and eventually produced a return
480 stroke), while its positive end, developing primarily horizontally, exhibited an abrupt
481 extension that was relatively straight and had a 2-D length of about 1 km. Tran and Rakov
482 (2016) tentatively interpreted this event as a gigantic, kilometer-scale positive-leader step that
483 developed from a space stem/leader and connected to the lateral surface of the existing
484 positive channel, creating a major positive branch. This newly-created branch faded and then
485 was re-illuminated four times with a remarkably constant time interval of 1.2 ms. The rate at
486 which the new positive branch was formed was at least 1.6×10^6 m/s if it originated from the
487 mid-point of the newly-formed branch. Additionally, Pu and Cummer (2019), who used a
488 100-200 MHz broadband interferometer, observed a bidirectional leader that initiated
489 approximately 500 m from a positive in-cloud channel and whose negative end connected to
490 the positive channel.

491 In this study, the formation of a new downward positive branch was facilitated by
492 four bidirectional leaders sequentially retracing the same path. The resultant downward
493 branch elongated abruptly at the time of negative end of BL₁ and BL₄ connected to the

494 floating horizontal channel (see Figures 4f and 5j). Some elongation of the positive ends of
495 BLs prior to connection could have occurred in the same frame. The lengths of abrupt
496 elongations of new branches were obtained by comparing the tip positions in the connection
497 frame and in the frame immediately after connection (see Figures 4e and 4f; Figures 5i, 5j,
498 and 3h). The abrupt extension events exhibited some similarities with the so-called restrike
499 phenomenon reported by Les Renardieres Group (1972, 1977) from long positive laboratory
500 spark experiments. However, the 2-D speeds of the abrupt extensions (BL₁, approximately
501 1.1×10^7 m/s in virgin air; BL₄, approximately 5.4×10^7 m/s along the remnants of BL₃ and
502 extended into virgin air, unless there was an optically undetectable channel prior to BL₁)
503 were much higher than the long-spark restrike speeds (0.5 to 2.0×10^5 m/s) based on the
504 observations of Chen et al. (2016). The abrupt extension lengths (approximately 530 and
505 1070 m) were much larger than the abrupt extensions of the positive end of a subsequent
506 leader (approximately 91 to 160 m) reported by Wu et al. (2019a), but were comparable to
507 that of the 1-km-long extension occurring at the positive end of the bidirectional leader
508 observed by Tran and Rakov (2016).

509 **Side branches from the lateral surface of the horizontal channel in response to**
510 **+CG.** Before the +CG return stroke, the vertical and horizontal channels each consisted of
511 a narrow hot core surrounded by an optically undetectable radial corona sheath containing the
512 positive space charge deposited there by the leader. The 135-kA +CG return stroke
513 effectively transported negative charge toward the junction point and into the horizontal
514 channel, to neutralize the positive leader charge. The negative charge (some tens of coulombs
515 expected for the 135-kA peak current) rapidly injected into the horizontal-channel hot core
516 caused negative breakdown, in the form of side branches, into the positive corona sheath
517 surrounding the hot core. The side branches extended over roughly 110 to 740 m with a mean
518 of 290 m (probably beyond the positive corona sheath, which is expected to have radial
519 dimension up to tens of meters) at speeds ranging from ~ 0.5 to 1.9×10^7 m/s with a mean of
520 9.6×10^6 m/s and exhibited flickering. It is likely that the side branches are the most intense
521 (thermalized) streamers of the so-called reverse corona discussed in detail by Maslowski and
522 Rakov (2006, 2009).

523 In some respects the side branches are similar to the recently discovered "needles"
524 observed via RF channel imaging by Hare et al. (2019) and Pu and Cummer (2019) and
525 optically by Saba et al. (2020). The similarities include (1) the extension from the lateral
526 surface of positively charged channels, (2) lengths of the order of 10 to 100 m (from 30 to
527 100 m in Hare et al. (2019), 60 m in Pu and Cummer (2019), and from 2.3 to 73 m (mean =
528 14.3 m) in Saba et al. (2020); in our case a little longer), and (3) flickering. On the other hand,
529 extension speeds are different: 10^5 to 10^6 m/s for "needles" (3×10^5 m/s in Hare et al., (2019),
530 $1-10 \times 10^5$ m/s in Pu and Cummer (2019), and 2.7×10^5 m/s (on average, 2D) in Saba et al.
531 (2020)) vs. 10^6 to 10^7 m/s for our side branches. Also, "needles" flicker at time intervals of
532 some milliseconds (from 3 to 7 ms in Hare et al. (2019), from 6 to 7 ms in Pu and Cummer
533 (2019), and from 0.3 to 34 ms (mean = 2.6 ms) in Saba et al. (2020)), while our side branches
534 flicker at intervals of a factor of 10 to 100 shorter (mean = 0.1 ms). Thus, our side branches
535 extend faster and flicker at a higher rate. The reason for the difference in the extension speed
536 and flickering rate between our side branches and the "needles" may be that the
537 135-kA-return-stroke later stage and the following continuing current of our +CG flash
538 injected a considerably large negative charge (tens of coulombs are expected) into the positive
539 horizontal channel than charges associated with in-cloud positive leaders studied by Hare et al.
540 (2019) and Pu and Cummer (2019) or upward positive leaders developing from grounded
541 objects reported by Saba et al. (2020). Positive leader currents in upward and rocket-and-wire
542 triggered lightning are of the order of 100 A (Miki et al., (2005)), about 2 orders of
543 magnitude lower than continuing currents following return strokes in +CG flashes (Rakov
544 and Uman, 2003, p.222). Of course, the two phenomena occur in different contexts (leader
545 vs. return stroke), but their physics should be similar. In our future work, we will quantify the
546 characteristics of side branches in more detail and compare more parameters of streamer-like
547 filaments observed in this study (see also Wu et al., (2020) published in ESSOAR) and
548 needle-like structures reported by Hare et al., (2019), Pu and Cummer, (2019), and Saba et
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