

# Evaluating Twenty-Year Trends in Earth's Energy Flows from Observations

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## Key Points:

- Regional and global trends in top-of-atmosphere net radiation from atmospheric reanalyses differ markedly from satellite observations
- Indirect and direct methods for determining divergence of atmospheric energy transport and surface flux yield similar trend patterns
- Observing system changes and model bias in atmospheric and oceanic reanalyses remain a challenge for accurate trend determination
- Our results highlight a central role for well-calibrated satellite observations in establishing trend patterns in nature
- Robust trends are observed over the Gulf Stream associated with enhanced surface-to-atmosphere heat flux

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31 **Abstract**

32 Satellite, reanalysis, and ocean in situ data are analyzed to evaluate regional, hemispheric and  
33 global mean trends in Earth’s energy fluxes during the first twenty years of the 21<sup>st</sup> century.  
34 Regional trends in net top-of-atmosphere (TOA) radiation from the Clouds and the Earth’s Radiant  
35 Energy System (CERES), ECMWF Reanalysis 5 (ERA5), and a model similar to ERA5 with  
36 prescribed sea surface temperature (SST) and sea ice differ markedly, particularly over the Eastern  
37 Pacific Ocean, where CERES observes large positive trends. Hemispheric and global mean net  
38 TOA flux trends for the two reanalyses are smaller than CERES, and their climatological means  
39 are half those of CERES in the southern hemisphere (SH) and more than nine times larger in the  
40 northern hemisphere (NH). The regional trend pattern of the divergence of total atmospheric  
41 energy transport (TEDIV) over ocean determined using ERA5 analyzed fields is similar to that  
42 inferred from the difference between TOA and surface fluxes from ERA5 short-term forecasts.  
43 There is also agreement in the trend pattern over ocean for surface fluxes inferred as a residual  
44 between CERES net TOA flux and ERA5 analysis TEDIV and surface fluxes obtained directly  
45 from ERA5 forecasts. Robust trends are observed over the Gulf Stream associated with enhanced  
46 surface-to-atmosphere transfer of heat. Within the ocean, larger trends in ocean heating rate are  
47 found in the NH than the SH after 2005, but the magnitude of the trend varies greatly among  
48 datasets.

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## 52 **1. Introduction**

53 Earth's energy flows encompass the exchange of energy between Earth and space and  
54 between Earth's atmosphere, ocean, lithosphere, and cryosphere. These exchanges occur over a  
55 range of time and space scales and influence weather and climate at any given location and time.  
56 A thorough understanding of Earth's energy flows is thus necessary in order to project how  
57 regional and global climate will change in response to radiative forcing. Observations of Earth's  
58 energy flows are essential for evaluating and improving the climate models used to make these  
59 projections. Ideally, the observations must provide accurate descriptions of the mean state of  
60 Earth's energy flows as well as their variations on seasonal, interannual, and decadal time scales.

61 Efforts aimed at quantifying Earth's mean energy flows date back to the early 20<sup>th</sup> century  
62 (Hunt et al., 1986). So-called "radiation budget diagrams" of global mean values of shortwave and  
63 longwave radiation within the climate system first appeared in 1908 (Abbot and Fowle, 1908 a,  
64 b). These diagrams were later extended to include non-radiative contributions (Dines, 1917;  
65 London, 1957). Energy budget diagrams were further refined following the launch of the first  
66 orbiting satellites, which included instruments designed to observe Earth's radiation budget (ERB;  
67 House et al., 1986). A key advance was made by Keihl and Trenberth (1997), who used adjusted  
68 global mean top-of-atmosphere (TOA) radiative fluxes from the Earth Radiation Budget  
69 Experiment (ERBE), surface radiative fluxes derived from radiative transfer calculations, surface  
70 latent heat flux inferred from estimates of global mean precipitation, and sensible heat flux  
71 determined as a residual ensuring a global energy balance at the surface. Subsequent studies by  
72 Trenberth et al. (2009), Stephens et al. (2012), Wild et al. (2013) and L'Ecuyer et al. (2015) further  
73 refined the global mean energy budget diagram using increasingly more sophisticated datasets and  
74 analysis techniques.

75 Early efforts aimed at quantifying energy transports within the climate system focused  
76 primarily on meridional transports (e.g., Vonder Haar and Oort 1973; Oort and Vonder Haar 1976;  
77 Trenberth 1979) using satellite observations to determine the required total energy transport and  
78 radiosonde data to determine the atmospheric transports. The ocean transport was then computed  
79 as a residual. Alternately, the ocean heat transport was also determined directly using hydrographic  
80 cross sections of temperature and salinity (Bryan, 1982). However, both approaches suffered from  
81 large sampling errors due to lack of data coverage over the oceans. The use of reanalysis combined  
82 with satellite observations of ERB for determining atmospheric and oceanic transports  
83 significantly reduced sampling error (Masuda, 1988; Trenberth and Caron, 2001), leading to more  
84 reliable results compared to the earlier studies. Furthermore, Trenberth and Fasullo (2017) show  
85 that surface fluxes derived as a residual between satellite TOA net downward radiation and  
86 estimates of the divergence of the vertically integrated atmospheric energy from reanalysis  
87 overcome many of the known issues in determining surface flux directly—such as near-surface  
88 meteorological variables and bulk flux parameterizations (Yu et al., 2019).

89 The combination of ERB satellite and atmospheric reanalysis has been used not only to  
90 study the global mean energy budget and mean meridional transports but also their annual cycles  
91 and land-ocean exchanges (Fasullo and Trenberth, 2008a,b), cross-equatorial heat transports  
92 (Trenberth and Fasullo, 2008; Donahoe et al., 2013; Frierson et al., 2013; Marshall et al., 2013;  
93 Loeb et al., 2015; Mayer et al., 2017; Liu et al., 2020), as well as El Niño–Southern Oscillation  
94 (ENSO) and other interannual variability (Loeb et al., 2014; Mayer et al. 2014; Trenberth and  
95 Fasullo, 2017). Recently, refinements have been made to the formulation of the atmospheric  
96 energy budget to include contributions from vertical enthalpy fluxes at the surface associated with  
97 precipitation and evaporation (Mayer et al., 2017; Trenberth and Fasullo, 2018; Kato et al., 2021).

98           It has recently been demonstrated that TOA ERB data from the Clouds and the Earth's  
99 Energy System (CERES) provide robust trends since 2000 (Loeb et al., 2021). At the same time,  
100 there has been tremendous progress made in atmospheric and ocean reanalysis systems, with new  
101 versions seeing improvements over their predecessors as a result of updates to the underlying  
102 model, assimilation system and input data stream (Dee et al. 2014; Buizza et al. 2018; Hersbach  
103 et al. 2020; Gelaro et al., 2017; Storto et al., 2019; Zuo et al., 2019, 2021).

104           A question that has yet to be addressed in detail is to what extent can we trust multi-decadal  
105 time-scale trends in different components of Earth's energy budget and energy flows within the  
106 climate system. Here "trend" refers to the relatively short 20-year period since 2000, which is  
107 likely influenced by both anthropogenic forcing and internal variability (Raghuraman et al., 2021).  
108 We do not expect that these trends are necessarily representative of longer-term trends, though  
109 aspects have been tied to climate change (e.g. Hartmann and Ceppi 2014). Rather our goal is to  
110 investigate the strengths and weaknesses of using observation based data to determine trends in  
111 TOA radiation, atmospheric energy transport, surface flux, and ocean heating rate. The latter is  
112 determined from the tendency in OHCA. While evaluations of atmospheric reanalyses for trends  
113 in atmospheric moisture transport (Trenberth et al., 2011) and latent heat flux (Robertson et al.,  
114 2020) have been conducted, similar analyses for other components of Earth's energy budget are  
115 lacking.

116           We limit our investigation to satellite observations from CERES, atmospheric and oceanic  
117 reanalysis from the European Centre for Medium-Range Weather Forecasts (ECMWF), and ocean  
118 heating rate calculations benefiting from data collected by the revolutionary Argo array of profiling  
119 floats (mapped alone, mapped in combination with sea-surface height data from satellite  
120 altimeters, and assimilated into reanalyses). The limited number of datasets used enables a more

121 focused assessment of the impact data assimilation in reanalysis on trends. In addition, to our  
122 knowledge, ECMWF data are the only source that have been used to calculate the divergence of  
123 lateral atmospheric energy transports using the most recent methodological advances (Mayer et  
124 al., 2021). The datasets used in the analysis are described in Section 2. This is followed by a  
125 description of the methodology applied to the data in Section 3, and results are presented in Section  
126 4. A summary of our main findings are provided in Section 5.

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## 128 **2. Data**

129 We use TOA and surface radiation fields from CERES and ECMWF reanalyses, total  
130 atmospheric energy transport estimates from those same ECMWF reanalyses, as well as ocean  
131 heating rate estimates derived from two different ECMWF ocean reanalyses, an observational  
132 product combining Argo temperature profiles with sea-surface height maps from satellite  
133 altimeters, and an Argo-only observational product.

### 134 **2.1 CERES TOA and Surface Radiation Data**

135 Satellite radiation data are from the CERES Energy Balanced and Filled (EBAF) Ed4.1  
136 product (Loeb et al., 2018a), which provides monthly mean TOA and surface shortwave (SW),  
137 longwave (LW), net (NET) radiative fluxes and solar irradiance measurements on a  $1^\circ \times 1^\circ$  grid  
138 along with imager-derived cloud properties. TOA absorbed solar radiation (ASR) is determined  
139 from the difference between spatially and temporally averaged monthly solar irradiances and  
140 reflected SW fluxes. The solar irradiances are determined from time-varying instantaneous total  
141 solar irradiance measurements from various sources (Loeb et al., 2018). Satellite incoming and  
142 outgoing radiative fluxes are presently not at the level of accuracy required to resolve TOA fluxes  
143 to a few tenths of a  $\text{Wm}^{-2}$  in an absolute sense (Loeb et al., 2018). However, CERES TOA fluxes

144 are highly precise as the instruments are very stable (Loeb et al., 2016; Loeb et al., 2018b; Shankar  
145 et al., 2020; Loeb et al., 2021). The EBAF product uses an objective constraint algorithm  
146 (Loeb et al., 2009) to adjust SW and LW TOA fluxes within their ranges of uncertainty to remove  
147 the inconsistency between average global net TOA flux and heat storage in the earth–atmosphere  
148 system, determined primarily from ocean heat content anomaly (OHCA) data (Johnson et al.,  
149 2016). Use of this approach to anchor the satellite EEI to the in situ EEI does not affect the  
150 variability and trends in the data.

151 We also use TOA fluxes from the Terra and Aqua CERES SSF1deg Ed 4.1 products  
152 (Doelling et al., 2013; Loeb et al., 2018a) in order to compare CERES fluxes from different satellite  
153 platforms. Unlike CERES EBAF, which combines CERES instruments on different satellites,  
154 SSF1deg is determined separately for each satellite CERES instruments fly on. The CERES  
155 SSF1deg product is derived directly from the CERES Single Scanner Footprint TOA/Surface  
156 Fluxes and Clouds (SSF) Level 2 product, which consists of instantaneous footprint-level fluxes.

157 Two sources of surface radiation are considered. The first is from the CERES EBAF Ed4.1  
158 product (Kato et al., 2018) and the second is Aqua-only SYN1deg-Month. EBAF Ed4.1 surface  
159 fluxes are derived by making adjustments to the inputs used to compute all-sky and clear-sky  
160 surface fluxes in SYN1deg Ed4.1 (Rutan et al., 2015). The adjustments ensure that computed and  
161 EBAF-observed TOA radiative fluxes agree to within observational uncertainty. The modified  
162 inputs are then used to derive surface radiative fluxes that are self-consistent with the observed  
163 EBAF TOA fluxes. The SYN1deg surface radiative fluxes are determined from radiative transfer  
164 model calculations initialized using cloud inputs from the Moderate Resolution Imaging  
165 Spectroradiometer (MODIS) instruments aboard the Terra and Aqua satellite platforms and hourly  
166 geostationary (GEO) imager data between 60°S-60°N, atmospheric state inputs from the Goddard

167 Earth Observing System (GEOS), version 5.4.1, reanalysis (Rienecker et al. 2008), surface albedo  
168 inputs from Rutan et al. (2009), and aerosol inputs based upon an updated version of the  
169 assimilation system described in Collins et al. (2001).

170 Because trends in surface radiative fluxes derived using cloud information from GEO  
171 imagers are impacted by changes in the design and quality of the GEO instruments over the CERES  
172 period (Doelling et al., 2013; Kato et al., 2018), we also determine surface fluxes using a modified  
173 version of SYN1deg, which we refer to as Aqua-only SYN1deg-Month. This version uses the same  
174 atmospheric state and surface property inputs as Terra+Aqua+GEO SYN1deg-Month, but replaces  
175 the GEO cloud properties with those derived from MODIS-Aqua only (Minnis et al., 2020).  
176 Instantaneous MODIS cloud retrievals are averaged into  $1^{\circ} \times 1^{\circ}$  grid boxes. MODIS-Aqua provides  
177 cloud properties twice a day for most of non-polar grid boxes. Hourly daytime cloud properties for  
178 a grid box are derived by interpolating daytime cloud properties from MODIS across days within  
179 a month for the grid box (Doelling et al, 2013). Hourly nighttime properties for a grid box are  
180 derived in a similar manner using nighttime MODIS cloud properties. In addition, daytime or  
181 nighttime monthly mean cloud properties are used for all hours before the first MODIS  
182 observations in the month and after the last MODIS observations in the month. That is, there is no  
183 interpolation of cloud properties across different months. In addition, the MATCH aerosol  
184 transport model used in Aqua-only SYN1deg-Month only assimilates aerosol optical thickness  
185 derived from MODIS Aqua as opposed to both Terra and Aqua for Terra+Aqua+Geo SYN1deg-  
186 Month. Aqua-only surface net shortwave and longwave flux trend plots are determined using  
187 anomalies for August 2002-February 2020 since that is the period for which Aqua-only SYN is  
188 available.

## 189 **2.2 ERA5 and Integrated Forecasting System (IFS) AMIP**

190 ERA5 is the most recent atmospheric reanalysis effort by ECMWF (Hersbach et al., 2020).  
191 It provides global data on an N320 Gaussian grid (equivalent to  $0.288^\circ$  spatial resolution) at 1-  
192 hourly temporal resolution in 137 atmospheric levels up to a pressure of 0.01 hPa. ERA5 is  
193 currently available from 1979 onward and consists of analyses and shortrange forecasts. The  
194 analyses are a physically consistent blend of observations and a short-range forecast based upon  
195 the previous analysis. Shortrange forecasts are initialized from the analyzed fields daily at 0600  
196 and 1800 UTC. ERA5 uses forcing files from CMIP5 through 2005 and Representative  
197 Concentration Pathways 2.6 (RCP2.6) for 2006-2020 (Hersbach et al., 2015, 2020).

198 Here we use profiles of hourly ERA5 analyses of atmospheric wind, temperature, and  
199 humidity to calculate vertically integrated divergence of total atmospheric energy transport  
200 (TEDIV; Section 3.1). We also consider ERA5 short-term forecasts of TOA and surface radiative  
201 fluxes as well as surface turbulent heat fluxes for a check on model fidelity.

202 The IFS AMIP is a continuous atmospheric model integration with a similar setup as  
203 ERA5, but uses a slightly newer model cycle. It is initialized in March 2000 and integrated until  
204 the end of February 2020 without data assimilation, but with prescribed SSTs and sea ice.

## 205 **2.3 Ocean Data**

206 The Ocean and sea-ice ReAnalyses System 5 (ORAS5) (Zuo et al., 2019) is a reconstruction  
207 of ocean and sea-ice states derived from an ocean-sea-ice coupled model driven by atmospheric  
208 surface forcing and constrained by ocean observations using data assimilation (Balmaseda et al.,  
209 2015). It consists of a behind-real-time component of the OCEAN5 ocean reanalysis-analysis  
210 system at ECMWF. The ocean model and data assimilation method are kept frozen during the  
211 production of the reanalysis. The Ocean ReAnalysis Pilot system-6 (ORAP6) is a new ocean and

212 sea-ice reanalysis system that has been developed based on the ECMWF operational OCEAN5  
213 system (Zuo et al., 2021). Despite sharing the same model configurations as OCEAN5, ORAP6  
214 uses updated atmospheric forcing (based on ERA5) and is produced with the most up-to-date  
215 reprocessed observation datasets. The ORAP6 data assimilation system has been updated to  
216 include a new flow-dependent SST nudging scheme, and to assimilate L3 sea-ice concentration  
217 data, among others. ORAP6 uses 3DVar to assimilate in-situ temperature and salinity profiles from  
218 Argo, Moorings, XBTs, shipboard CTDs, gliders, and marine mammals, satellite sea-level  
219 anomaly and sea-ice concentration data, as well as SST and sea-surface salinity (SSS) nudging in  
220 the surface (Zuo et al., 2021). Two sets of ocean data from ORAP6 system have been used in this  
221 study. ORAP6.1 is the first version of ORAP6 reanalysis that includes assimilation of all  
222 observations. We also consider a control version of ORAP6.1 called “ORAP6-ctrl”, which uses  
223 the same model setup and atmospheric forcing (from ERA5) as ORAP6.1, but only uses SST and  
224 SSS nudging at the surface. The difference between ORAP6.1 and ORAP6-ctrl thus indicates the  
225 impact of data assimilation on ocean heating rates.

226 In addition to the above ocean reanalyses, we determine ocean heating rates from two Argo-  
227 based datasets. The first is an Argo-only time series obtained from the combination of a 10/2021  
228 update of the Roemmich and Gilson (2009) climatology and the Asia-Pacific Data-Research  
229 Center’s Argo gridded 3°x3° monthly product on standard depth levels, documented online (at  
230 <http://apdrc.soest.hawaii.edu/projects/Argo/index.php>). The second Argo-based dataset is an  
231 updated version of the 0–2,000 m ocean heat uptake estimate used in Loeb et al. (2021), which is  
232 based upon Argo in-situ and satellite altimetry data. It uses local correlations between sea-surface  
233 height and ocean heat content anomalies to employ satellite altimetry data as a first guess at ocean  
234 heat content where (or when) in situ temperature data are sparse (Willis et al., 2003).

## 235 3.0 Methodology

### 236 3.1 Inferred Surface Total and Turbulent Heat Fluxes

237 The surface energy flux ( $F_S$ ) defined here as positive downwards is inferred using the residual  
238 method from the atmospheric energy budget (Trenberth and Fasullo, 2017; Mayer et al., 2017; Liu  
239 et al., 2020) as follows:

$$240 \quad F_S = F_{TOA} - \nabla \cdot F_A - AET \quad (1)$$

241 where  $F_{TOA}$  is the net downward radiation at the TOA,  $\nabla \cdot F_A$  is the divergence of lateral  
242 atmospheric energy transports (TEDIV), and  $AET$  is the vertically integrated atmospheric energy  
243 tendency. We use CERES EBAF Ed4.1 to determine  $F_{TOA}$ . The  $\nabla \cdot F_A$  term is computed from  
244 hourly ERA5 analyses of atmospheric wind, temperature, and humidity profiles using an improved  
245 budget formulation that treats lateral and vertical moisture enthalpy fluxes in a consistent manner  
246 (Mayer et al., 2017) and ensures mass consistency following J. Mayer et al. (2021). Maps of  $\nabla \cdot F_A$   
247 are smoothed using a tapered filter truncating at T42. The  $AET$  term is calculated from differences  
248 of analyses of the total atmospheric energy at the first of each month, but  $AET$  is small on the time  
249 scales considered.

250 In addition to the estimates described above, availability of ERA5 short-term forecasts and  
251 IFS AMIP data provides two additional estimates of  $F_{TOA}$  and  $F_S$  and two alternative estimates of  
252  $\nabla \cdot F_A$ . For ERA5, we use short term forecasts and subtract  $F_{TOA}$  and  $F_S$  (Eq 1). Neglecting the  
253 effect of assimilation increments in this estimate will lead to differences with the divergence  
254 estimate based on analysed state quantities (J. Mayer et al 2021). The short-term ERA5 forecasts  
255 are initialized from analyses that are constrained by observations and in that sense are still  
256 influenced by observations. The divergence estimate from short-term forecasts can thus be viewed  
257 as falling somewhere between an analysis-based estimate and an estimate from a free-running

258 model. The difference between divergence trends estimated from analyses and short-term forecasts  
 259 provides insight into the degree to which the model can represent observed changes in the  
 260 atmosphere. It may also reveal areas where the model damps out potential spurious jumps from  
 261 changes in the observing system. The third divergence estimate is similar to the one based on short-  
 262 term forecasts but uses IFS AMIP data. Trends in that estimate reflect changes the model captures  
 263 due to changes in the boundary conditions like SSTs and sea ice.

264 We determine “inferred” surface turbulent heat fluxes from:

$$265 \quad Q_S = H_L + H_S = F_S - R_S \quad (2)$$

266 where  $Q_S$  is the sum of surface latent ( $H_L$ ) and sensible ( $H_S$ ) heat flux and  $R_S$  is net downward  
 267 radiation at the surface. We determine  $R_S$  from CERES.

### 268 3.2 Trends

269 Trends are determined from deseasonalized monthly anomalies using least squares linear  
 270 regression. To determine trend uncertainties, we first calculate residuals of the linear regression fit  
 271 to a monthly anomaly time series. Next, we calculate the autocorrelation function (ACF) of the  
 272 residuals and assess whether or not the ACF at any lag is significant by comparing it with  
 273 confidence intervals given by:

$$274 \quad CI_k = \pm t_\alpha \sigma_k \quad (3)$$

275 where  $t_\alpha$  is the student-t statistic at significance level  $\alpha$  and  $\sigma_k$  is the standard deviation at lag  $k$   
 276 derived using the formulation in M elard and Roy (1987):

$$277 \quad \sigma_k^2 = \frac{1}{N} (1 + 2 \sum_{i=1}^{k-1} \rho_i^2) \quad (4)$$

278 where  $N$  is the number of samples and  $\rho_i$  is the ACF at lag  $i$ . If  $\rho_i$  at any lag lies outside the  
 279 confidence intervals in Eq. (3), we account for autocorrelation in determining the trend uncertainty  
 280 by calculating the effective sample size following Gelman et al. (2013):

281 
$$N_e = \frac{N}{1+2\sum_{i=1}^m \rho_i} \quad (5)$$

282 We determine  $m$  as the first lag satisfying both  $\rho_{m+1} < 0$  and  $\rho_{m+1} + \rho_{m+2} < 0$ . This criterion  
283 minimizes uncertainty associated with sampling noise in the ACF. If none of the  $\rho_i$  fall outside the  
284 confidence intervals, we assume the effective sample size ( $N_e$ ) is equal to  $N$ . Once  $N_e$  is known,  
285 Eqs. 3-5 in Santer et al. (2000) are used to calculate the trend uncertainty. While trend uncertainties  
286 are evaluated using 2.5–97.5% confidence intervals, we set  $\alpha=0.8$  in Eq. (3) (corresponding to 10-  
287 90% confidence intervals) in order to use a less stringent test for autocorrelation in the data. In  
288 practice, we generally find statistically significant autocorrelation for monthly data but that is not  
289 always the case when using annual mean data with a short 20-year record.

## 290 **4.0 Results**

### 291 **4.1 Top-of-Atmosphere**

292 Regional trends in TOA net radiation for 03/2000-02/2020 show marked differences  
293 between CERES, ERA5 and IFS AMIP (Figs. 1a-c). As noted in prior studies (Myers et al., 2018;  
294 Loeb et al., 2018b, 2020), CERES shows pronounced positive trends in net TOA flux over the  
295 Eastern Pacific Ocean off of North America. This increase is driven mainly by an ASR increase  
296 associated with a reduction in low cloud cover, which in turn is due to increasing SSTs (Myers et  
297 al., 2018; Loeb et al., 2018b; Mayer et al., 2018). In contrast, ERA5 shows negative net TOA flux  
298 trends throughout most of the Eastern Pacific Ocean region (Fig. 1b), while IFS AMIP shows  
299 weaker positive trends and stronger positive trends along the equator (Fig. 1c). That neither ERA5  
300 nor IFS AMIP capture the large positive trend off the west coast of North America observed by  
301 CERES may suggest that the low cloud response to SST change is too weak in ERA5 and IFS  
302 AMIP. In a similar comparison between CERES and seven CMIP6 models run in AMIP mode  
303 (Loeb et al., 2020), most of the models showed increases in net TOA flux in this region but the

304 magnitude of the change varied amongst the models. Over the Arctic, CERES shows weak trends  
305 in net TOA flux—the result of a cancellation between larger trends in ASR and outgoing longwave  
306 radiation (not shown). Net TOA flux trends over the Arctic from IFS AMIP are closer to CERES  
307 than ERA5, which shows strong negative trends. All three products show positive net TOA flux  
308 trends along the climatological Arctic sea ice edge, where the net radiative effect of the retreating  
309 sea ice is visible as noted in Hartmann and Ceppi (2014). ERA5 and IFS AMIP show better  
310 agreement with CERES over the Atlantic off the coast of North America, to the southwest of Spain  
311 and in the west-east trend dipole in the Indian Ocean around 20°-30°S. There is also very good  
312 agreement over the sea ice regions off the coast of Antarctica.

313 Average southern hemisphere (SH) and northern hemisphere (NH) TOA fluxes from  
314 CERES for 03/2000-02/2020 show hemispheric symmetry in ASR, stronger LW cooling in the  
315 NH, and a larger net heat uptake in the SH (Table 1). The hemispheric asymmetry in net TOA flux  
316 requires a 0.17 PW SH-to-NH cross-equatorial heat transport by the ocean-atmosphere system for  
317 energy budget closure (Donohoe et al., 2013; Frierson et al., 20013; Marshall et al., 2013; Loeb et  
318 al., 2016; Liu et al., 2020). With the exception of NH ASR, the ERA5 ASR and –OLR values in  
319 Table 1 fall within the 95% uncertainty of CERES (Loeb et al., 2018a). However, ERA5 mean net  
320 fluxes are about half as large as CERES in the SH and more than nine times larger in the NH, while  
321 the global mean difference is only 0.05 Wm<sup>-2</sup>. The ERA5 hemispheric asymmetry in mean net  
322 TOA flux implies a –0.006 PW SH-to-NH cross-equatorial heat transport by the ocean-atmosphere  
323 system, which is in marked contrast to CERES. For IFS AMIP, the discrepancy with CERES is  
324 even greater as the hemispheric contrast in net TOA flux implies a –0.22 PW SH-to-NH cross-  
325 equatorial heat transport, and the global mean net flux is negative, both of which are unrealistic.  
326 The latter is related to model inconsistencies in the version of the IFS used (see Roberts et al.

327 2018). A possible reason for the inconsistent hemispheric values could be due to an unrealistic  
328 representation of how clouds are distributed between the hemispheres in ERA5 and IFS AMIP.  
329 Stephens et al. (2015) showed that increased reflection by clouds in the SH offsets greater  
330 reflection by the larger land mass in the NH, resulting in hemispheric symmetry in albedo.

331 Anomaly standard deviations and trends in ASR,  $-OLR$  and NET are fairly symmetric  
332 between the hemispheres for CERES (Table 1). The hemispheric trends in CERES between SH  
333 and NH differ by only  $0.07 \text{ Wm}^{-2}$  per decade for ASR,  $0.01 \text{ Wm}^{-2}$  per decade for  $-OLR$ , and  $0.08$   
334  $\text{Wm}^{-2}$  per decade for NET, implying an insignificant  $0.02 \pm 0.1 \text{ PW}$  change to the combined ocean-  
335 atmosphere cross-equatorial heat transport over the past 20 years. We note that this does not  
336 preclude the possibility of strong but opposing trends in atmospheric and oceanic transport. In  
337 contrast to CERES, none of the ERA5 hemispheric and global mean trends in TOA radiation are  
338 significant at the 95% level (Table 1). Monthly anomaly standard deviations from ERA5 differ by  
339  $-22\%$  to  $-7\%$  relative to CERES. Accordingly, ERA5 monthly anomalies track CERES (Fig. S1),  
340 but systematic differences are apparent in ASR and  $-OLR$  prior to 2003, and in ASR and NET  
341 after 2012. Systematic differences between ERA5 and IFS AMIP for ASR and NET are also  
342 apparent after 2012 (Fig. S2). This is likely due to changes in the input data stream in ERA5. After  
343 removing the trends in the hemispheric and global monthly mean anomaly time series, the  
344 correlation coefficient between ERA5 and CERES is 0.80 for ASR and 0.9 for  $-OLR$  and NET.  
345 Anomaly standard deviations for IFS AMIP are weaker than ERA5 Forecasts and CERES, but  
346 trends are in better agreement with CERES, albeit much smaller in magnitude.

347 To examine the robustness of the CERES trends, we compare SH, NH and global trends  
348 between CERES instruments flying aboard the Terra and Aqua satellites (Fig. 2). The CERES data  
349 products in this comparison are the SSF1deg-Terra and SSF1deg-Aqua products, which are used

350 as input to CERES EBAF (Loeb et al., 2018a). Importantly, in-orbit calibration adjustments with  
351 time for CERES instruments on Terra and Aqua are entirely independent of one another. The  
352 CERES Terra net TOA flux trends as a function of record length from 03/2000 onwards for SH,  
353 NH and global (Figs. 2a-c) show large fluctuations for record lengths shorter than ten years due to  
354 internal variability, but patterns of change remain fairly stable for longer record lengths. Global  
355 and NH trends exceed the 95% significance level for record lengths greater than 12 years, while it  
356 takes 17 years in the SH. Trend differences between Terra and Aqua are smaller than  $0.05 \text{ Wm}^{-2}$   
357 per decade and fall within the 95% significance level for 07/2002-02/2020 (Figs. 2d-f). The Terra  
358 ASR trends (Figs. S3a-c) decrease rapidly with record length early in the record but begin to  
359 increase after the record length reaches 14 years, which corresponds to when the Pacific Decadal  
360 Oscillation shifted from negative to positive (Loeb et al., 2021). ASR trends from Terra and Aqua  
361 are within  $0.04 \text{ Wm}^{-2}$  per decade of one another for the full period, and remain below the 95%  
362 significance level for most shorter record lengths (Figs. S3d-f). Similarly,  $-OLR$  trends from Terra  
363 and Aqua differ by  $0.06 \text{ Wm}^{-2}$  per decade of one another, which is also within the 95% significance  
364 level (Figs. S4d-f).

365 Further validation of the CERES record is provided in Loeb et al. (2021), who compared  
366 CERES EBAF variations in global mean net TOA flux with estimates of planetary heat uptake  
367 from in situ data for mid-2005 to mid-2019. The in situ data used is derived by an inventory of the  
368 rates of changes of energy stored in all components of the climate system, with the primary  
369 contribution from differences of overlapping annual 0–2,000 m ocean heat content anomalies from  
370 Argo float profiles. As shown in Loeb et al. (2021), the trend in the difference between the CERES  
371 and in situ data is  $0.068 \pm 0.29 \text{ W m}^{-2} \text{ decade}^{-1}$ , which is similar in magnitude to the comparison  
372 between CERES Terra and Aqua. Independent analyses of the CERES data by Stephens et al.

373 (2022) and Datseris and Stevens (2021) confirm our findings. Additionally, Hakuba et al. (2021)  
374 use a combination of altimetric and gravimetric observations from GRACE to find a similar trend  
375 in EEI. These results stand in marked contrast with Matthews (2021), who claim that there are  
376 “spurious calibration drifts” in the CERES record based upon an analysis of lunar reflectance  
377 measured by CERES. A direct comparison between the adjusted CERES Terra reflected SW  
378 values proposed by Matthews (2021) and the official CERES SSF1deg Ed4.1 product reveals that  
379 Matthews (2021) made the largest “corrections” to the CERES record (reaching  $-0.8 \text{ Wm}^{-2}$ ) prior  
380 to when CERES Terra even started making lunar observations in 10/2002 (Fig. S5a). If we restrict  
381 the comparison only to dates when CERES scans of the moon exist (Fig. S5b), there is virtually  
382 no trend difference between the two records (trend difference of  $-0.012 \text{ Wm}^{-2}$  per decade). The  
383 CERES lunar data thus confirms that CERES onboard calibration sources are performing  
384 nominally.

#### 385 **4.2 Within-Atmosphere Transport**

386 Trends in TEDIV for 2000/03-2020/02 from ERA5 Analysis, ERA5 Forecasts and IFS  
387 AMIP are provided in Figs. 3a-c. Regions with positive TEDIV trends correspond to increasingly  
388 divergent lateral energy fluxes, and negative trends correspond to convergent fluxes. The trends  
389 based on ERA5 forecasts and IFS-AMIP are similar to those from ERA5 Analysis over ocean,  
390 suggesting that the ERA5 Analysis patterns are not a spurious signal from changes in the observing  
391 system. All three results show that the magnitudes of TEDIV trends generally exceed those for net  
392 TOA flux (Figs. 1a-c). Large positive trends in TEDIV are observed over the eastern Pacific Ocean  
393 to the north and south of the Intertropical Convergence Zone (ITCZ), where trends are weakly  
394 negative but intensify towards the west over the Maritime Continent. Trends over the Indian Ocean  
395 and North Atlantic are generally negative, except over the Gulf Stream, where a strong positive

396 trend is apparent in all three results. Except for the area of positive TEDIV trends stretching from  
397 the Barents and Kara Seas, trends over the Arctic Ocean are generally negative, but the magnitude  
398 of the trends differs between these three results, with ERA5 forecasts showing the strongest  
399 negative trends. Over the Barents and Kara Seas, the increase in divergence is likely due to sea ice  
400 loss, which leads to enhanced surface-to-atmosphere heat flux and divergence of energy.

401 Over land, trends for ERA5 analysis are notably greater in magnitude compared to both  
402 ERA5 forecast and IFS-AMIP. This points to a greater uncertainty associated with TEDIV derived  
403 directly from atmospheric profiles over land. Before computing TEDIV, we perform a vertically  
404 uniform correction to the winds to achieve mass conservation. As such, there is no correction for  
405 errors in the vertical structure of the wind divergence (as the vertical error structure is hard to  
406 estimate), which over topography are likely larger (also arising from numerical noise). TEDIV  
407 also contains vertical covariances between atmospheric energy and wind divergence (i.e., the  
408 vertical error structure of the wind divergence will project on TEDIV). Since the wind divergence  
409 errors likely have trends as well (e.g. from changes in the observing system), we see noisy trend  
410 patterns in TEDIV over land. While a substantial fraction of the noisy patterns seen from the ERA5  
411 fields is related to numerical noise over topography, some of the non-zero trends over land are  
412 similar in ERA5 analysis and ERA5 forecasts (e.g. over central Africa), which suggests spurious  
413 jumps in the observing system in that area affecting both analyses and short-term forecasts. Some  
414 of the features of TEDIV trends over land may also be realistic and balance observed trends in net  
415 TOA flux, such as the negative trends over northern China (compare Figs 3b and 1a).

416 Despite large regional trends in TEDIV, hemispheric average trends are near zero for  
417 ERA5 Analysis (Table 2). Global TEDIV trends based on analyses should be exactly zero. This is  
418 not the case for ERA5 forecasts since the effect of assimilation increments are not included. Global

419 trends in IFS-AMIP TEDIV should also be close to zero since the model conserves energy (to a  
420 relatively high degree).

### 421 **4.3 Surface**

422 Trend maps of  $F_S$  using the Inferred method (Eq. 1), ERA5 forecasts and IFS AMIP are  
423 provided in Figs. 4a-c. In the latter two cases,  $F_S$  is determined from the sum of  $R_S$ ,  $H_L$ , and  $H_S$ .  
424 Comparing Figs. 3a and 4a, it is evident that regional trend patterns and magnitudes in  $F_S$  are  
425 mainly determined by those in TEDIV. This is consistent with what previous studies have shown  
426 for spatial patterns in climatological mean  $F_S$  (Liu et al. 2020; Mayer et al. 2017; Trenberth and  
427 Fasullo 2017). As a consequence, trends for the Inferred method over land are largely spurious  
428 (see Section 4.2).

429 Over ocean, large-scale patterns of surface flux trends from the three methods are similar  
430 over the Southern Ocean, Southern Indian Ocean, Barents Sea, and the Kuroshio Current and Gulf  
431 stream. Trends over the Gulf Stream are particularly noteworthy, as all three results show large  
432 negative trends, implying increased surface-to-atmosphere heat flux. In this region, the  
433 climatological mean  $F_S$  is also strongly negative since the atmosphere is supplied energy from  
434 warm water masses transporting energy poleward (Trenberth and Fasullo, 2017; Mayer et al.,  
435 2021). We also find large negative trends for Inferred (Fig. 4a) and ERA5 forecasts (Fig. 4b) over  
436 the East Pacific off of North and South America and a line of positive trends along the equatorial  
437 Pacific stretching from the Maritime Continent to Central America. All three products show  
438 significant positive trends in the Atlantic between the equator and  $\sim 40^\circ\text{N}$ . The trends are generally  
439 more pronounced for Inferred than for ERA5 Forecasts. In contrast, this trend pattern is less  
440 evident for IFS AMIP (Fig. 4c), which entirely misses the positive trends along the equator.

441 In order to further decompose trends in  $F_S$  in terms of radiative and non-radiative  
442 components, we compute trends in net total radiative flux at the surface ( $R_S$ ) from CERES (Fig.  
443 5a) and determine the “inferred” surface turbulent flux ( $Q_S$ ) trends based upon Eq. (2) in Fig. 6a.  
444 These are compared with regional trends in  $R_S$  and  $Q_S$  for ERA5 Forecasts (Figs. 5b and 6b). In  
445 Fig. 6b,  $Q_S$  is obtained directly from the sum of  $H_L$  and  $H_S$ . While the trend patterns in  $R_S$  are  
446 similar between CERES and ERA5 Forecasts, their magnitudes are quite different. Large  
447 differences are evident over the west tropical Pacific Ocean, where ERA5 Forecasts shows large  
448 positive trends that are absent in CERES. Regional trend patterns in  $Q_S$  are similar over ocean, but  
449 the inferred method produces generally larger values. There is good agreement over the eastern  
450 Pacific off the west coast of the Americas, where trends are predominantly negative. Trends in  $Q_S$   
451 are generally much larger than those in  $R_S$ , suggesting a dominant role for surface turbulent heat  
452 flux over net surface radiation at regional scales.

453 Trends in surface turbulent flux from the SeaFlux V3 (Roberts et al., 2020) and OAFflux  
454 V3 (Yu and Weller, 2007) products for 08/2002-07/2018 (Figs. S6a-b) are generally in poor  
455 agreement everywhere. The lack of agreement is surprising since SeaFlux and OAFflux are  
456 dedicated surface turbulent heat products. According to Robertson et al. (2020), trends from these  
457 products are less reliable due to problems with wind speed retrievals from Special Sensor  
458 Microwave Imager/Sounder satellite sensors and excessive upward trends in Optimal Interpolation  
459 Sea Surface Temperature (OISST) data.

#### 460 **4.4 Within Ocean**

461 A benefit of ocean reanalysis is that it provides continuous coverage of the global oceans  
462 and therefore can resolve higher frequency variability of ocean heating rate than methods that rely  
463 primarily on in situ data like Argo. We compare ORAS5, ORAS6.1 and ORAS6-ctrl global

464 monthly anomalies in full-depth global ocean heating rate for 03/2000-02/2020 (Figs. 7a-c) and  
465 the hemispheric and global averages, anomaly standard deviations and trends (Table 3). Anomalies  
466 for ORAS5 and ORAP6.1 are similar and show a correlation of 0.5. In contrast, ORAP6-ctrl shows  
467 much weaker variability than the other two reanalyses, with a monthly standard deviation that is  
468 38% smaller than ORAP6.1, and a correlation with ORAP6.1 of only 0.31. This implies that  
469 assimilating more data significantly increases higher-frequency variability. ORAP6.1 shows a  
470 sudden decrease around 2005 (Fig. 7b) that is not apparent in ORAS5 or ORAP6.1-ctrl. This dip  
471 causes the trend in ORAP6.1 for 07/2005-12/2019 (Table 4) to be much larger than for 03/2000-  
472 02/2020 (Table 3). The dip in ORAP6.1 is likely caused by the model bias correction method.  
473 Prior to 2005, ORAP6.1 heating rates are similar to ORAS5 but ocean temperatures are much  
474 warmer than ORAS5 in the Southern Ocean. When Argo data are assimilated, the ORAP6.1 data  
475 assimilation increment cools the ocean, causing a sudden decrease in ocean heating rate around  
476 2005. This problem illustrates one of the greatest challenges in ocean reanalyses: how to balance  
477 the temporal consistency of the model simulation with the increased accuracy of the state  
478 estimation in the data rich periods. This underscores the need for improved treatments of model  
479 error in reanalyses.

480 Agreement among global annual variations in CERES net TOA flux and ocean heating rate  
481 for the three ocean reanalyses, Argo-only, and combined Argo and satellite altimetry data  
482 (Argo+SA) is mixed (Figs. 8a-e). Of the three reanalyses (Figs. 8a-c), ORAP6-ctrl provides the  
483 best agreement with CERES prior to 2013. After 2013, ORAP6-ctrl ocean heating rates are smaller  
484 than those for ORAS5 and ORAP6.1, which show better agreement with CERES for that period.  
485 This suggests that surface forcing and SST information alone are sufficient to estimate ocean  
486 heating rate variability during some periods, but in other periods subsurface information may be

487 necessary. When only Argo data are considered, annual variations are very noisy (Fig. 8d). The  
488 variability is much greater for 0-2000 m than 0-700 m, a finding also noted in Trenberth et al.  
489 (2016). The noise is significantly reduced when Argo and satellite altimetry data are combined  
490 (Fig. 8e). Nevertheless, the Argo-only and Argo+SA global trends are similar to CERES while  
491 ORAP5 and ORAP6-ctrl show weaker trends (Tables 1 and 4). As noted earlier, ORAP6.1 trends  
492 are much larger due to the discontinuity around 2005.

493 With the exception of ORAP6.1, all of the datasets show larger hemispheric mean ocean  
494 heating rates for the SH than the NH for 07/2005-12/2019 (Table 4). Overall, ORAS6-ctrl shows  
495 the best agreement with Argo and Argo+SA. For ORAP6.1, the SH heating rate is a factor of 2.5  
496 smaller than the NH value, and a factor of 3 smaller compared to the SH values from the other  
497 datasets in Table 4.

498 A general consensus amongst all of the ocean datasets is a tendency for larger trends in  
499 ocean heating rate in the NH than the SH after 2005 (Table 4), but there is poor agreement on the  
500 magnitude of the trends. This makes determination of trends in ocean heat transport derived as a  
501 residual between net surface flux and ocean heating rate highly uncertain.

## 502 **5. Summary**

503 This study uses satellite and atmospheric and oceanic reanalysis datasets to address the  
504 following question: *To what extent can we trust observed 20-year trends in different components*  
505 *of Earth's energy budget and energy flows within the climate system*". We focus on trends after  
506 2000 in TOA radiation, TEDIV, surface flux, and within-ocean heating rate using satellite  
507 observations from CERES and different versions of atmospheric and oceanic reanalysis datasets  
508 from ECMWF. As the trends are likely influenced by both anthropogenic forcing and internal  
509 variability, there is no expectation that these are solely representative of longer term trends.

510 Regional trends in TOA net downward radiation from CERES, ERA5 and IFS AMIP are  
511 markedly different over the Eastern Pacific Ocean off of North America, where large increases in  
512 SST have been observed during the CERES period. Whereas CERES observes large positive  
513 trends associated with a reduction in low cloud cover, ERA5 shows negative net TOA flux trends  
514 throughout most of the Eastern Pacific Ocean region and IFS AMIP shows weaker positive trends.  
515 These results suggest that the low cloud response to SST change may be too weak in ERA5 and  
516 IFS AMIP. ERA5 and IFS AMIP show better agreement with CERES over the Atlantic off of  
517 North America and Europe, the Indian Ocean between 20°-30°S, and over sea ice regions off the  
518 coast of Antarctica. Trends are generally inconsistent over the Arctic Ocean, except in areas near  
519 the sea ice edge that are associated with steep declines in sea ice concentration. We find that  
520 CERES global mean trends appear to be robust based upon multiple lines of evidence, including  
521 direct comparisons between CERES instruments on Terra and Aqua (consistent to  $< 0.1 \text{ W m}^{-2}$   
522  $\text{decade}^{-1}$ ), comparisons with in-situ measurements from Argo and results that use a combination  
523 of altimetric and gravimetric observations from GRACE.

524 CERES trends in net TOA flux between the SH and NH are very close to one another,  
525 implying an insignificant  $0.02 \pm 0.1 \text{ PW}$  change to the combined ocean-atmosphere cross-equatorial  
526 heat transport over the first 20 years of the 21<sup>st</sup> century. ERA5 and IFS AMIP also show  
527 insignificant hemispheric trend differences, but their SH, NH and global mean trends are smaller  
528 than CERES. Surprisingly, ERA5 climatological average net TOA fluxes are approximately half  
529 as large as CERES in the SH and more than nine times larger in the NH, while the global mean  
530 difference is only  $0.05 \text{ Wm}^{-2}$ . The ERA5 and IFS AMIP hemispheric asymmetries in mean net  
531 TOA flux imply a NH-to-SH cross-equatorial heat transport by the ocean-atmosphere system. That  
532 is in marked contrast to CERES, which shows a  $0.17 \text{ PW}$  SH-to-NH cross-equatorial heat

533 transport, consistent with expectation (Frierson et al., 2013). A possible reason for the inconsistent  
534 hemispheric values in ERA5 and IFS AMIP could be due to an unrealistic representation of how  
535 clouds are distributed between the hemispheres.

536 We compare TEDIV calculated directly from ERA5 analysed profiles of temperature,  
537 humidity and winds (ERA5 Analysis) with TEDIV obtained as a residual between TOA and  
538 surface fluxes from ERA5 short-term forecasts and IFS AMIP. Trends based on ERA5 forecasts  
539 and IFS AMIP are similar to those from ERA5 Analysis over ocean, suggesting that the ERA5  
540 Analysis patterns are not a spurious signal from changes in the observing system. Regional trends  
541 in  $F_S$  are determined mainly by those in TEDIV, and therefore exhibit similar features. We find  
542 consistent negative trends over the Gulf Stream, implying increased surface-to-atmosphere heat  
543 flux. Increases surface-to-atmosphere heat flux are also observed over large portions of the eastern  
544 Pacific Ocean off the coasts of North and South America. While trend patterns in net surface  
545 radiation are similar between CERES and ERA5 Forecasts, large differences are evident over the  
546 west tropical Pacific Ocean, where ERA5 Forecasts show large positive trends not observed by  
547 CERES. Regional trends in surface turbulent heat flux from an inferred method that uses an energy  
548 budget constraint involving CERES and ERA5 analysis data show a similar pattern over ocean to  
549 that obtained from the direct sum of sensible and latent heat from ERA5 Forecasts. In contrast,  
550 trends from SeaFlux V3 and OAFflux V3 show poor agreement likely because of an excessive trend  
551 in OISST input data.

552 Comparisons of monthly ocean heating rates amongst ORAS5, ORAP6.1 and ORAP6-ctrl  
553 illustrate some of the challenges associated with ocean reanalysis. The ORAP6-ctrl is a control  
554 version of ORAP6.1 that uses the same model setup and atmospheric forcing as ORAP6.1, but  
555 only uses SST and SSS nudging at the surface. The difference between ORAP6.1 and ORAP6-ctrl

556 thus indicates the impact of data assimilation on ocean heating rates. While anomalies for ORAS5  
557 and ORAP6.1 are similar, variability for ORAP6-ctrl is 38% weaker than ORAP6.1. This implies  
558 that assimilating more data significantly increases higher-frequency variability. ORAP6.1 also  
559 shows a sudden decrease around 2005 that is not apparent in ORAS5 or ORAP6.1-ctrl, which  
560 causes a spurious trend in ORAP6.1. This dip is likely associated with a warm bias in the model  
561 that gets corrected after the introduction of Argo data in 2005 by the data assimilation increment,  
562 leading to a steep decline in ocean heating rate. Balancing the temporal consistency between the  
563 model simulation and introduction of new data in a time series remains a challenge in both ocean  
564 and atmosphere reanalysis systems. The impact on trends can be especially large, depending on  
565 the magnitude of the model bias and the location within the time series new data are  
566 introduced/removed.

567         Global annual variations in CERES net TOA flux and ocean heating rate for the three ocean  
568 reanalyses, Argo-only, and combined Argo and satellite altimetry data (Argo+SA) are also  
569 compared. Of the three reanalyses, ORAP6-ctrl provides the best agreement with CERES up to  
570 2013, while ORAS5 and ORAP6.1 are in better agreement with CERES after 2013. From this we  
571 conclude that surface forcing and SST information may be sufficient to estimate ocean heating rate  
572 variability for some periods, but other periods may also require subsurface information.

573         All of the ocean datasets except ORAP6.1 show larger hemispheric mean ocean heating  
574 rates for the SH than the NH. ORAS6-ctrl shows the best overall agreement with Argo and  
575 Argo+SA. For ORAP6.1, the SH heating rate is a factor of 2.5 smaller than the NH value, and a  
576 factor of 3 smaller compared to the SH values from the other datasets. All of the ocean datasets  
577 show larger trends in ocean heating rate in the NH than the SH after 2005, but there is poor

578 agreement on the magnitude of the trends. Consequently, determination of trends in ocean heat  
579 transport derived as a residual between net surface flux and ocean heating rate is highly uncertain.

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589 **6. Figure Captions**

590

591 **Figure 1.** Trends in TOA Net Radiation for 2000/03-2020/02. (a) CERES-EBAF, (b) ERA5  
592 forecasts and (c) IFS AMIP.

593

594 **Figure 2.** CERES net TOA flux trends against record length for CERES SSF1deg Terra (top)  
595 and Terra – Aqua (bottom) for (a, d) SH, (b, e) NH, (c, f) Global. Start date is 03/2000 for Terra  
596 and 07/2002 for Terra – Aqua. Gray shading corresponds to 95% confidence interval.

597

598 **Figure 3.** Trends in TEDIV for 2000/03-2020/02. (a) ERA5 Analysis (directly from wind, T, q  
599 etc), (b) ERA5 forecasts (net TOA – FS) and (c) IFS AMIP (net TOA – FS).

600

601 **Figure 4.** Trends in surface flux (positive downward) for 2000/03-2020/02. (a) Inferred (CERES  
602 TOA Net – ERA5 TEDIV), (b) ERA5 forecasts and (c) IFS AMIP.

603

604 **Figure 5.** Trend for 200208-202002 in net total radiative flux at the surface (positive down) from  
605 (a) CERES and (b) ERA5 forecasts.

606

607 **Figure 6.** Trends in surface turbulent heat flux (positive downward) for 2002/08-2020/02. (a)  
608 Inferred (CERES TOA Net – ERA5 TEDIV – CERES Surface Net) and (b) ERA5 forecasts.

609

610 **Figure 7.** Monthly global anomalies in ocean heating rate for (a) ORAS5, (b) ORAP6.1, (c)  
611 ORAP6-ctrl.

612

613 **Figure 8.** Global annual mean variation in CERES net TOA flux and ocean heating rate for 0-  
614 700 m and full-depth or 0-2000 m for: (a) ORAS5; (b) ORAP6.1; (c) ORAP6-ctrl; (d) Argo; (e)  
615 Argo+SLA.

616

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**Tables**

Table 1 CERES and ERA5 Southern Hemisphere (SH), Northern Hemisphere (NH) and Global ASR, -OLR and NET TOA flux average, monthly anomaly standard deviation (Stdev) and trend for 03/2000-02/2020. Numbers in parentheses correspond to uncertainty at 95% significance level. Bold indicates trend above 95% significance.

	<b>CERES</b>								
	ASR			-OLR			Net		
	SH	NH	Global	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	241.0	240.9	241.0	-239.6	-240.9	-240.2	1.39	0.076	0.73
Stdev (Wm <sup>-2</sup> )	0.98	0.94	0.67	0.74	0.83	0.51	0.89	0.88	0.69
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	<b>0.65</b> (0.29)	<b>0.72</b> (0.28)	<b>0.68</b> (0.24)	-0.27 (0.33)	<b>-0.26</b> (0.26)	<b>-0.26</b> (0.24)	<b>0.38</b> (0.32)	<b>0.46</b> (0.27)	<b>0.42</b> (0.23)
	<b>ERA5 Forecasts</b>								
	ASR			-OLR			Net		
	SH	NH	Global	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	242.2	243.4	242.8	-241.6	-242.7	-242.1	0.66	0.71	0.68
Stdev (Wm <sup>-2</sup> )	0.89	0.76	0.52	0.69	0.72	0.41	0.82	0.79	0.61
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	0.10 (0.29)	0.19 (0.24)	0.15 (0.24)	-0.11 (0.28)	-0.13 (0.22)	-0.12 (0.21)	-0.01 (0.29)	0.06 (0.26)	0.026 (0.25)
	<b>IFS AMIP</b>								
	ASR			-OLR			Net		
	SH	NH	Global	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	239.6	242.0	240.1	-241.0	-241.7	-241.2	-1.4	0.34	-1.1
Stdev (Wm <sup>-2</sup> )	0.62	0.64	0.39	0.49	0.56	0.25	0.60	0.68	0.49
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	0.24 (0.25)	<b>0.28</b> (0.26)	<b>0.26</b> (0.19)	-0.019 (0.27)	-0.046 (0.24)	-0.034 (0.17)	0.22 (0.24)	0.24 (0.29)	0.23 (0.23)

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906 Table 2 Average, standard deviation and trend for 03/2000-02/2020 in TEDIV and  $F_S$  for the SH, NH and global.  
 907 Numbers in parentheses correspond to uncertainty at 95% significance level. Bold indicates trend above 95%  
 908 significance.

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	<b>TEDIV</b>								
	ERA5 Analysis			ERA5 Forecasts			IFS AMIP		
	SH	NH	Global	SH	NH	Global	SH	NH	Global
Mean ( $Wm^{-2}$ )	-1.6	1.6	0.0	-5.8	-1.1	-3.4	-3.9	-0.13	-2.1
Stdev ( $Wm^{-2}$ )	0.69	0.69	0.0	1.5	1.2	0.93	0.79	0.75	0.47
Trend ( $Wm^{-2} dec^{-1}$ )	0.092 (0.15)	-0.092 (0.15)	0.0	0.32 (1.7)	<b>-0.87</b> <b>(0.70)</b>	-0.27 (1.4)	0.003 (0.26)	0.039 (0.17)	0.022 (0.11)
	<b><math>F_S</math></b>								
	Inferred			ERA5 Forecasts			IFS AMIP		
	SH	NH	Global	SH	NH	Global	SH	NH	Global
Mean ( $Wm^{-2}$ )	3.0	-1.5	0.71	6.4	1.8	4.1	2.5	0.47	1.0
Stdev ( $Wm^{-2}$ )	1.6	1.5	1.2	1.7	1.4	1.2	0.84	1.0	0.64
Trend ( $Wm^{-2} dec^{-1}$ )	0.24 (0.35)	<b>0.55</b> <b>(0.31)</b>	<b>0.40</b> <b>(0.25)</b>	-0.38 (1.5)	<b>0.92</b> <b>(0.69)</b>	0.27 (1.1)	0.22 (0.26)	0.20 (0.36)	<b>0.21</b> <b>(0.21)</b>

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911 Table 3 Mean, anomaly standard deviation and trend in monthly ocean heating rate during 03/2000-02/2020 for the  
 912 SH, NH and global (total area). Numbers in parentheses correspond to uncertainty at 95% significance level.  
 913 Bold indicates trend above 95% significance.

	<b>ORAS5</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.65	0.50	0.57	1.2	0.87	1.0
Stdev (Wm <sup>-2</sup> )	2.4	2.5	1.0	2.6	2.8	1.1
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	-0.11 (1.0)	-0.062 (0.98)	-0.086 (0.31)	-0.24 (1.1)	-0.13 (1.1)	-0.19 (0.47)
	<b>ORAS6.1</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.43	0.39	0.41	0.38	0.64	0.51
Stdev (Wm <sup>-2</sup> )	2.6	2.5	1.2	2.9	2.8	1.3
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	-0.11 (1.0)	0.13 (0.98)	0.009 (0.36)	0.013 (1.2)	0.31 (1.1)	0.16 (0.60)
	<b>ORAS6-ctrl</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.48	0.29	0.38	0.77	0.30	0.54
Stdev (Wm <sup>-2</sup> )	2.2	2.0	0.82	2.5	2.2	0.81
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	-0.10 (1.0)	0.022 (0.87)	-0.038 (0.38)	0.037 (1.1)	-0.026 (0.95)	0.006 (0.38)

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Table 4 Mean, standard deviation and trend in annual ocean heating rate during 07/2005-12/2019 for the SH, NH and global (total area). Numbers in parentheses correspond to uncertainty at 95% significance level. Bold indicates trend above 95% significance.

	<b>ORAS5</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.54	0.38	0.46	1.1	0.65	0.88
Stdev (Wm <sup>-2</sup> )	1.3	1.3	0.40	1.4	1.4	0.45
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	0.15 (1.2)	0.56 (1.2)	0.36 (0.55)	-0.37 (1.3)	0.84 (1.3)	0.24 (0.70)
	<b>ORAS6.1</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.30	0.34	0.32	0.26	0.67	0.46
Stdev (Wm <sup>-2</sup> )	1.2	1.3	0.45	1.4	1.4	0.59
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	0.42 (1.2)	0.85 (1.2)	<b>0.63</b> <b>(0.53)</b>	0.87 (1.4)	1.1 (1.3)	<b>0.98</b> <b>(0.67)</b>
	<b>ORAS6-ctrl</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.43	0.33	0.38	0.78	0.33	0.55
Stdev (Wm <sup>-2</sup> )	1.3	1.1	0.45	1.4	1.2	0.44
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	0.31 (1.3)	0.35 (1.1)	0.33 (0.64)	0.23 (1.4)	0.34 (1.2)	0.28 (0.67)
	<b>Argo</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	0.45	0.23	0.34	0.77	0.40	0.60
Stdev (Wm <sup>-2</sup> )	2.2	2.1	0.76	3.0	2.3	1.2
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	-0.049 (2.1)	1.2 (2.0)	0.49 (0.72)	-0.22 (3.0)	1.4 (2.2)	0.46 (1.2)
	<b>Argo+SA</b>					
	0-700 m			Full Depth		
	SH	NH	Global	SH	NH	Global
Mean (Wm <sup>-2</sup> )	-	-	-	0.76	0.41	0.59
Stdev (Wm <sup>-2</sup> )	-	-	-	1.0	1.0	0.37
Trend (Wm <sup>-2</sup> dec <sup>-1</sup> )	-	-	-	0.11 (1.6)	0.74 (1.6)	0.42 (0.44)

920



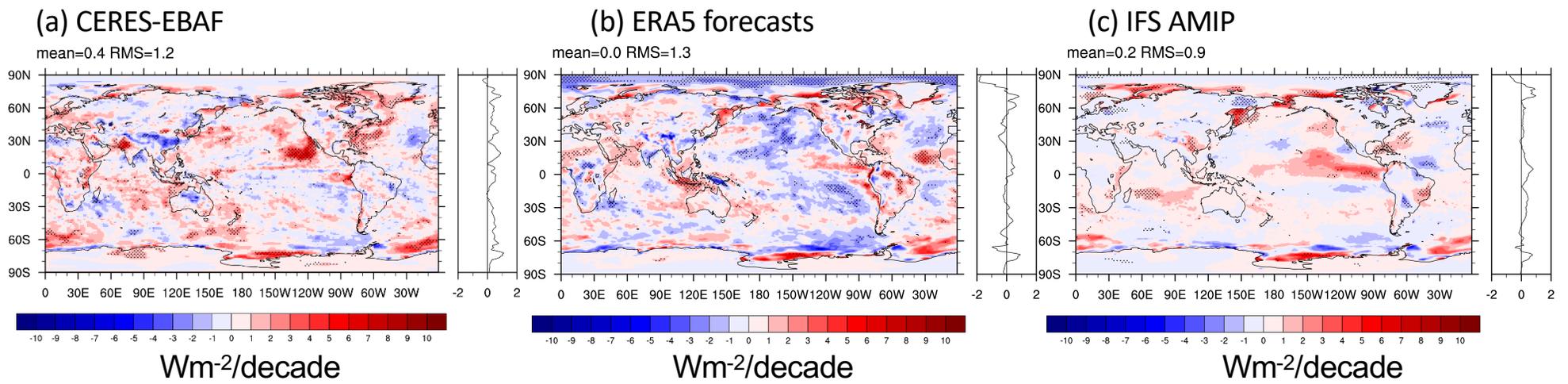


Figure 1 Trends in TOA Net Radiation for 2000/03-2020/02. (a) CERES-EBAF, (b) ERA5 forecasts and (c) IFS AMIP.

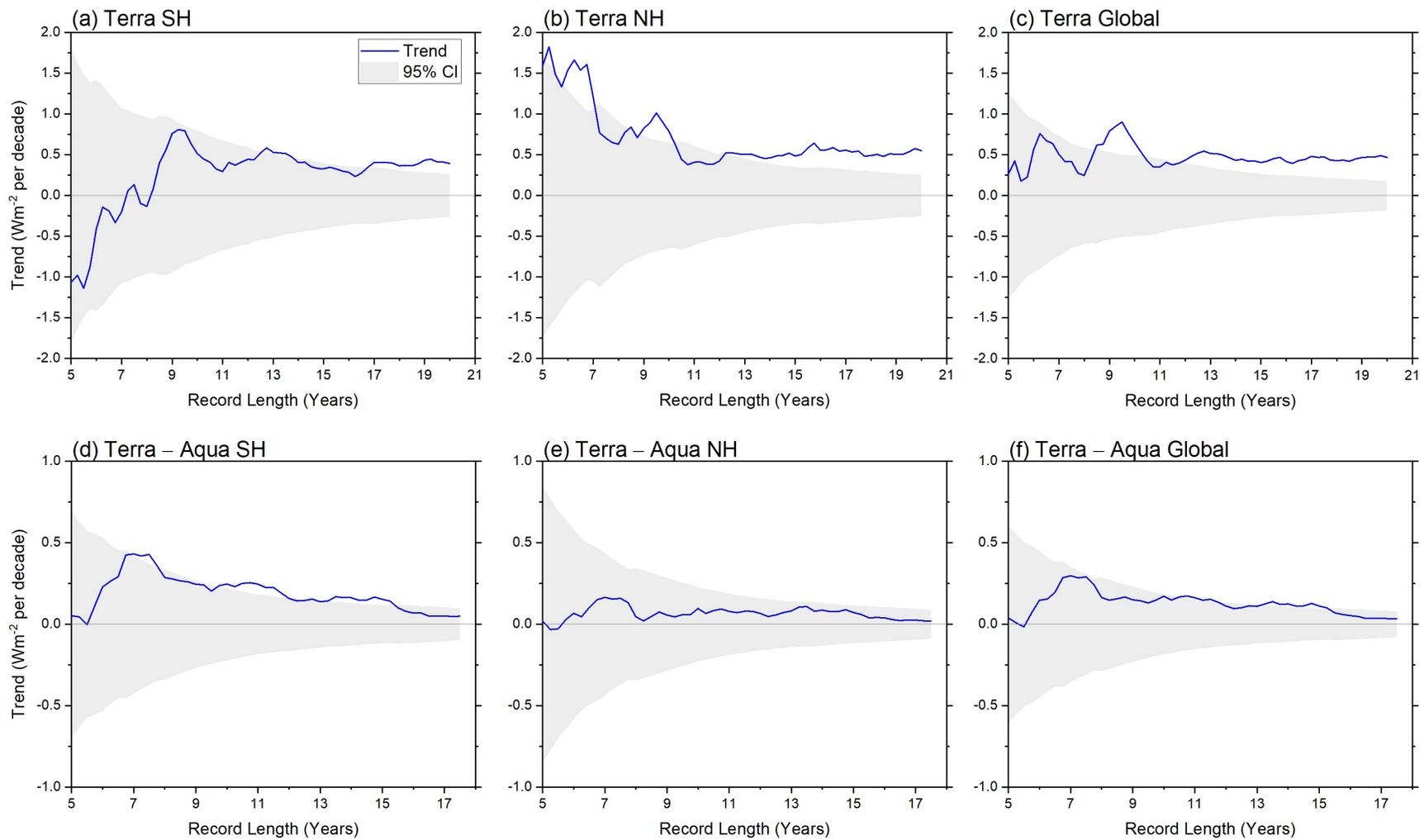
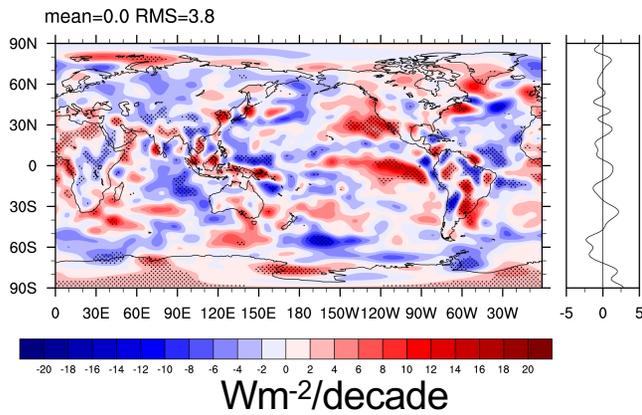
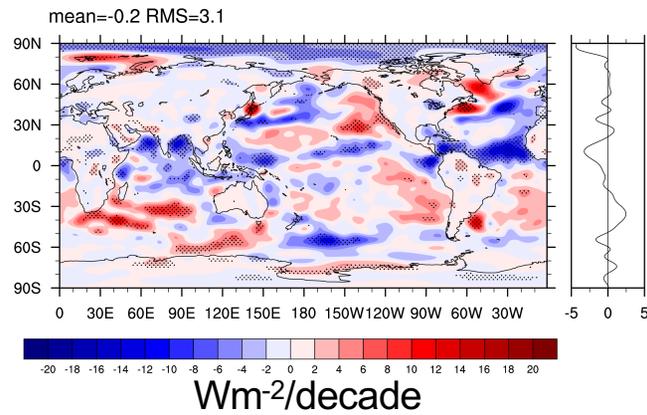


Figure 2 CERES net TOA flux trends against record length for CERES SSF1deg Terra (top) and Terra – Aqua (bottom) for (a, d) SH, (b, e) NH, (c, f) Global. Start date is 03/2000 for Terra and 07/2002 for Terra – Aqua. Gray shading corresponds to 95% confidence interval.

(a) ERA5 Analysis



(b) ERA5 forecasts (net TOA – FS)



(c) IFS AMIP (net TOA – FS)

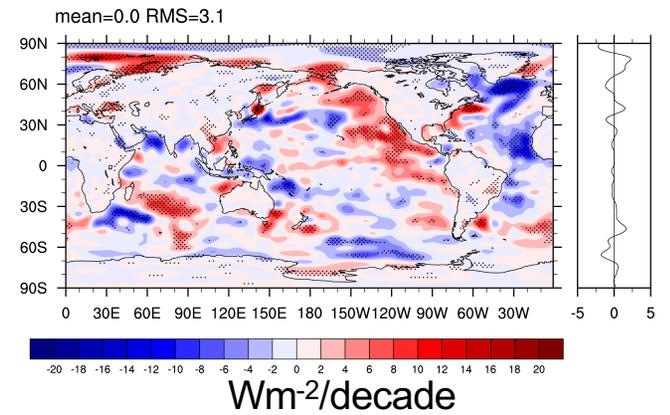


Figure 3 Trends in vertically integrated divergence of total atmospheric energy transport (TEDIV) for 2000/03-2020/02. (a) ERA5 Analysis (directly from wind, T, q etc), (b) ERA5 forecasts (net TOA – FS) and (c) IFS AMIP (net TOA – FS).

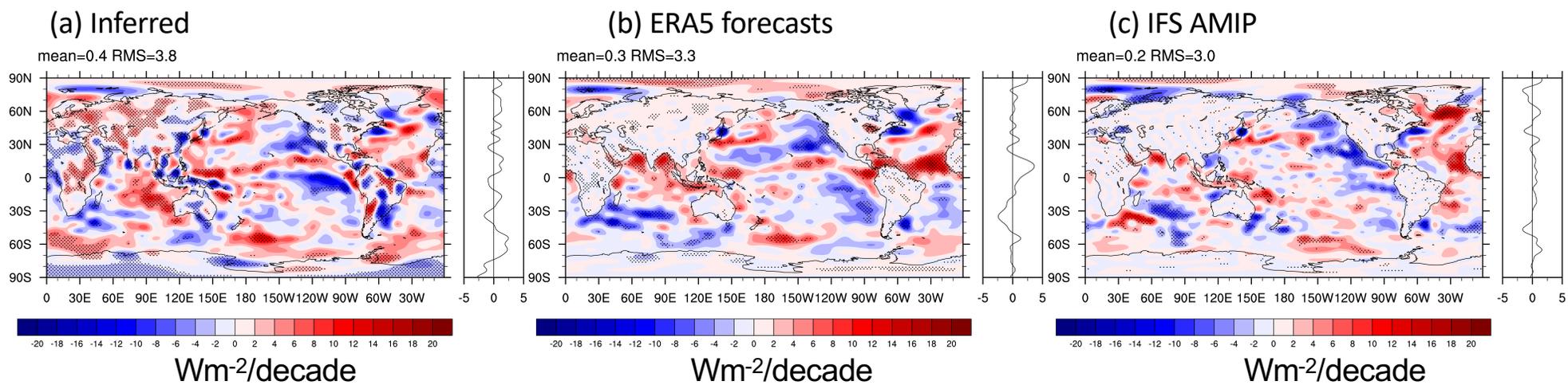


Figure 4 Trends in surface flux (positive downward) for 2000/03-2020/02. (a) Inferred (CERES TOA Net – ERA5 TEDIV), (b) ERA5 forecasts and (c) IFS AMIP.

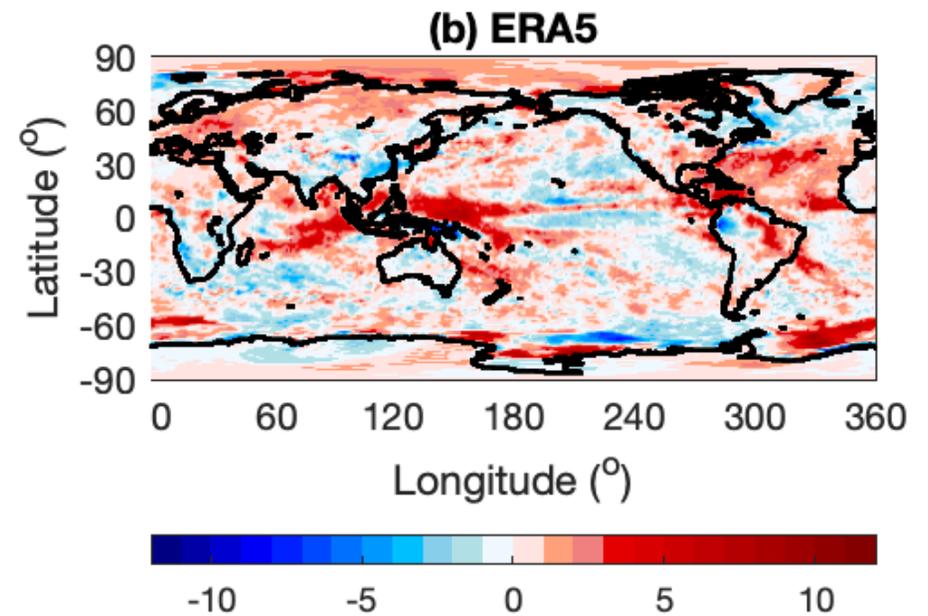
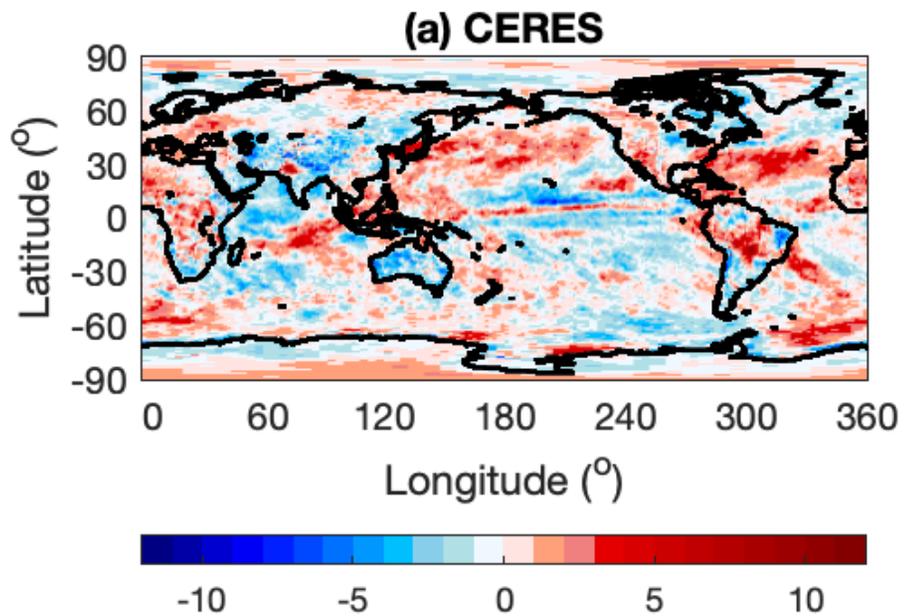


Figure 5 Trend for 200208-202002 in net total radiative flux at the surface (positive down) from (a) CERES and (b) ERA5 forecasts.

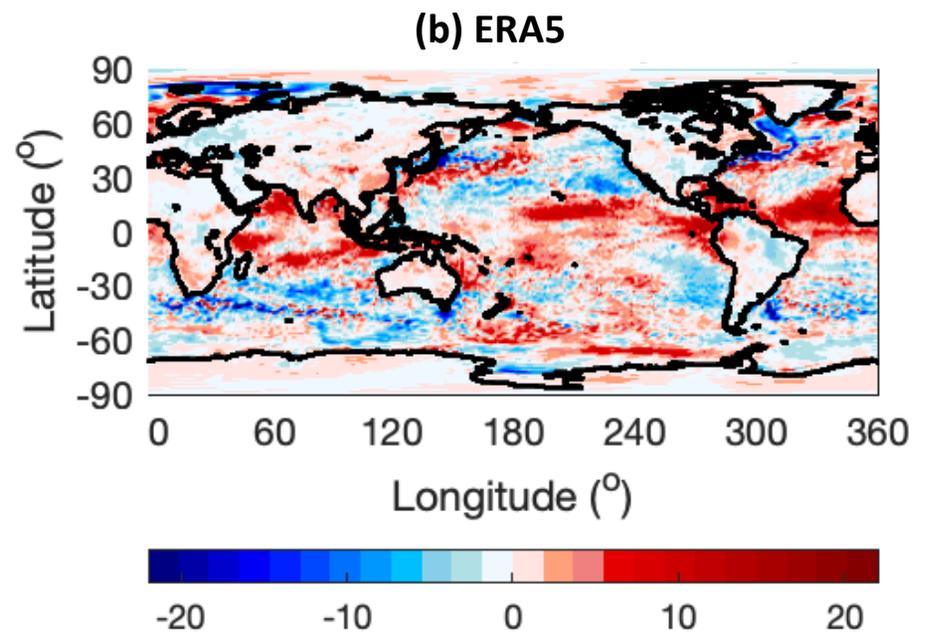
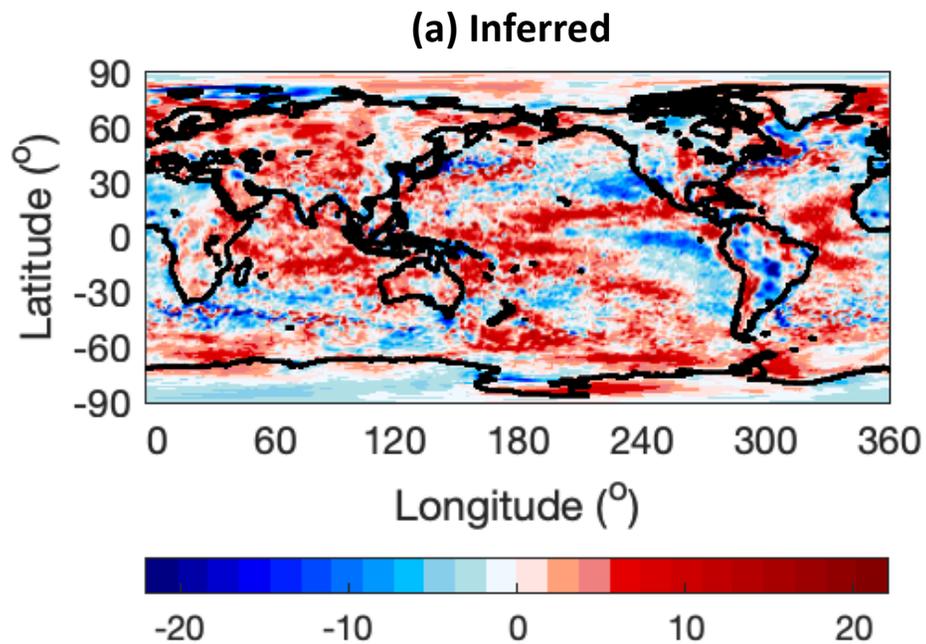


Figure 6 Trends in surface turbulent heat flux (positive downward) for 2002/08-2020/02. (a) Inferred (CERES TOA Net - ERA5 TEDIV - CERES Surface Net) and (b) ERA5 forecasts.

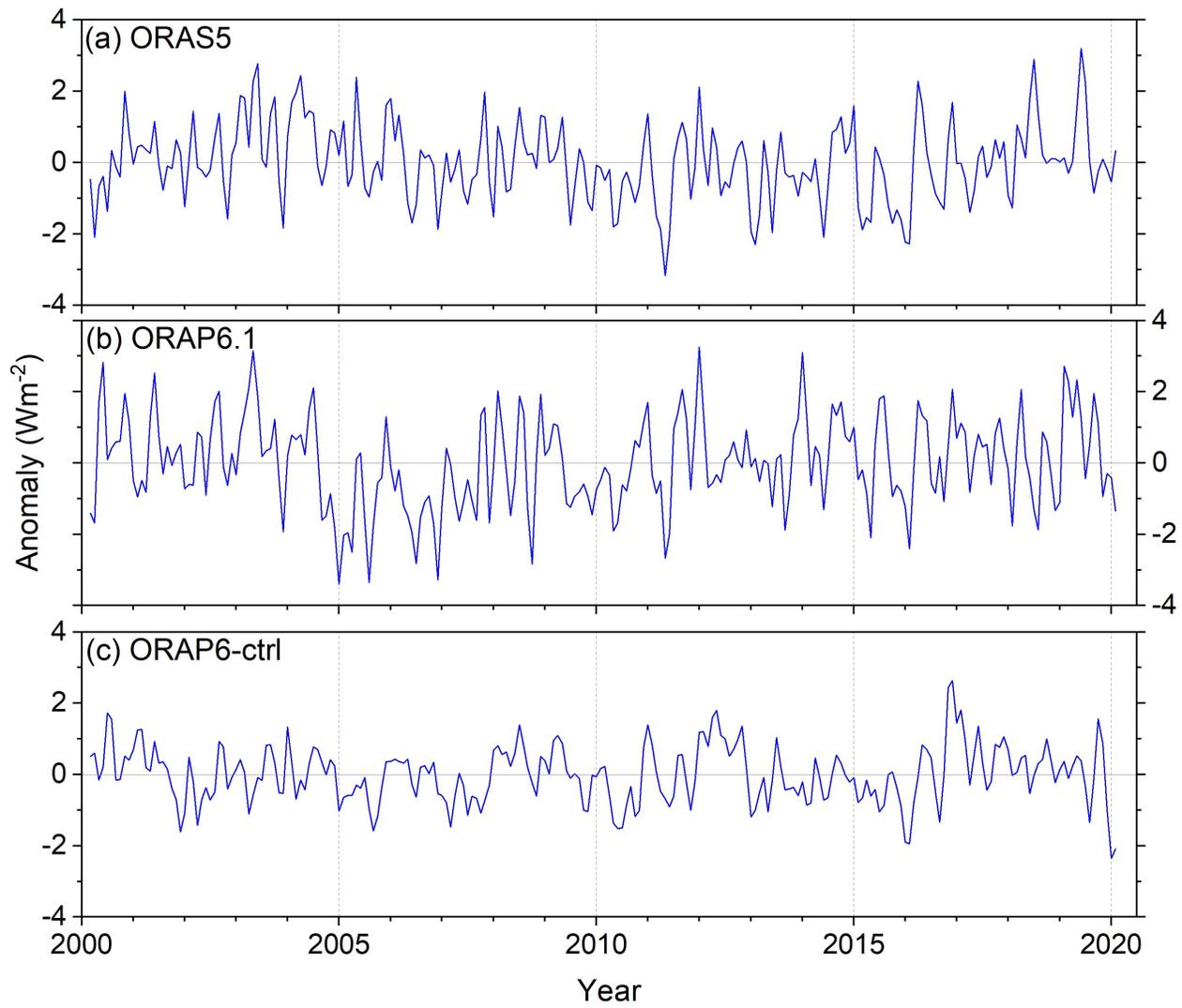


Figure 7 Monthly anomalies in ocean heating rate for (a) ORAS5, (b) ORAP6.1, (c) ORAP6-ctrl.

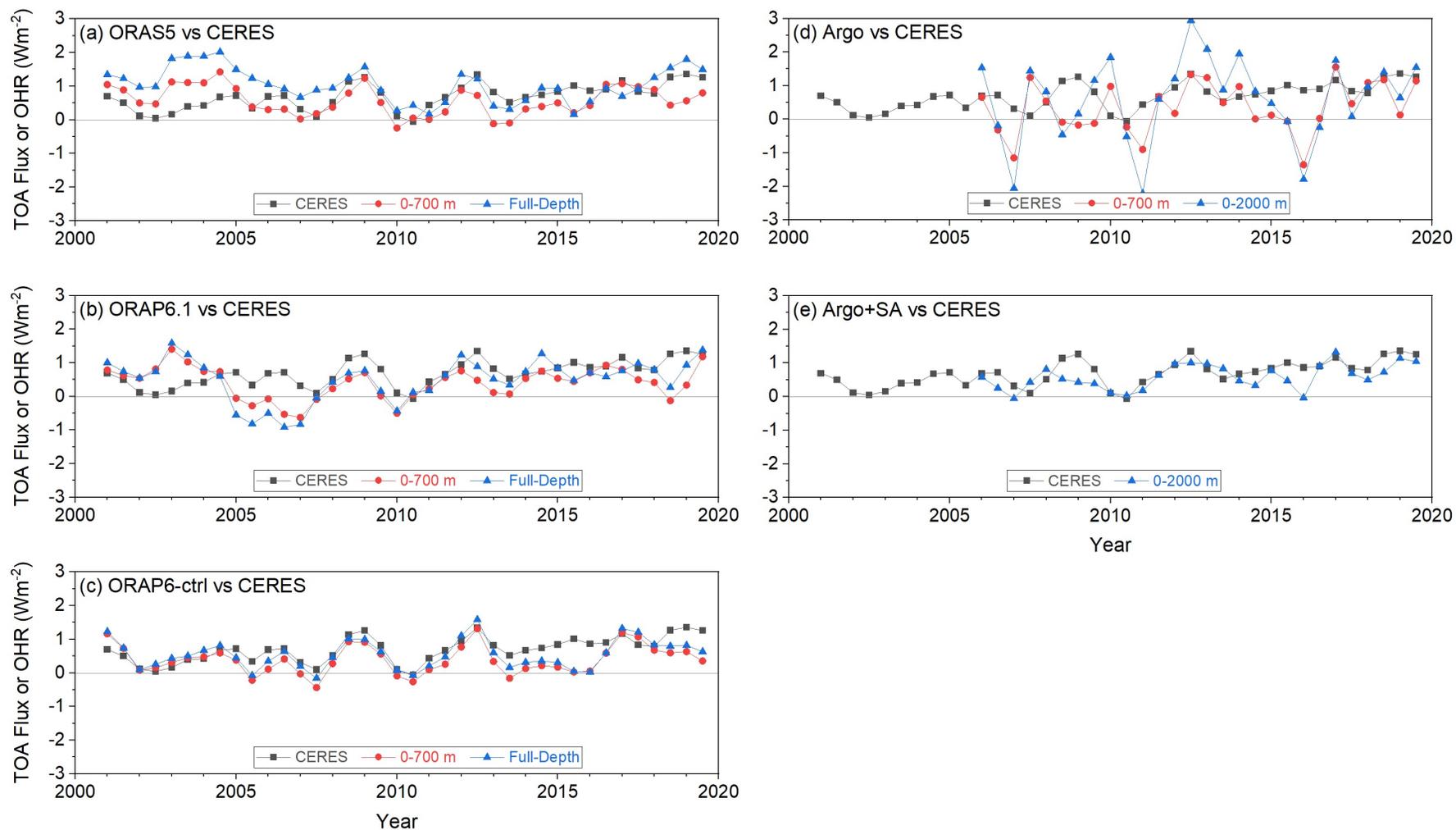


Figure 8 Global annual mean variation in CERES net TOA flux and ocean heating rate for 0-700 m and full-depth or 0-2000 m for: (a) ORAS5; (b) ORAP6.1; (c) ORAP6-ctrl; (d) Argo; (e) Argo+SLA.