

1 **Record-breaking Meiyu rainfall around Yangtze River in 2020 regulated by the**  
2 **subseasonal phase transition of North Atlantic Oscillation**

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10 Key Points:

- 11 • Sequential warm Meiyu front in mid-late-June and cold one in early-mid-July directly  
12 causes the record-breaking Meiyu rainfall in 2020
- 13 • The phase change of NAO leads to the alternation of circulation regime of East Asian  
14 summer monsoon from warm- to cold- front period
- 15 • Prediction skill of ECMWF subseasonal-to-seasonal model on the 2020 Meiyu rainband  
16 is higher in warm-front but lower in cold-front period

17

## 18 **Abstract**

19 In 2020, the long-persisting Meiyu season around the Yangtze River (YR) started in early-June  
20 and ended in mid–late-July. Its accumulated precipitation amount broke the record since 1961.  
21 We showed that the sequential warm and cold Meiyu front regulated by the North Atlantic  
22 Oscillation was responsible for this record-breaking Meiyu rainfall. From 11 to 25 June with the  
23 positive NAO, the interaction between South Asian High (SAH) and western Pacific subtropical  
24 high maintained a warm front to strengthen the rainband north of YR. Afterward, the coupling  
25 between SAH and mid-latitude Mongolian Cyclone induced a cold front, which retreated the  
26 rainband to the south of YR from 30 June to 13 July with the negative NAO. Although the  
27 ECMWF S2S successfully predicted the warm-front-related Meiyu rainband, it failed to forecast  
28 the Meiyu rainband in the cold-front period, suggesting a great challenge of the S2S forecast on  
29 Meiyu rainfall.

## 30 **1. Introduction**

31 Meiyu in China (also called Baiu in Japan and Changma in Korea) is the typical episode of  
32 the East Asian rainy season. It generally starts in early June and ends in mid-July. The zonally-  
33 elongated rainband of Meiyu covers the mid-lower reaches of the Yangtze River (YR), Korea,  
34 and Japan [*Tanaka, 1992; Tao and Chen, 1987*]. The Meiyu provides more than 40% of the total  
35 precipitation during East Asian summer monsoon (EASM) season [*Y H Ding and Chan, 2005;*  
36 *Oh et al., 1997*]. The above-normal Meiyu rainfall has caused severe flooding to induce  
37 enormous loss of life and property in East Asian countries. Therefore, the researchers in East  
38 Asia has widely studied the multi-scale variability of Meiyu rainfall, and its prediction has  
39 become one of the most popular issues in the climate research [*Chen et al., 2017; Y Ding et al.,*

40 2020; *Liu et al.*, 2020].

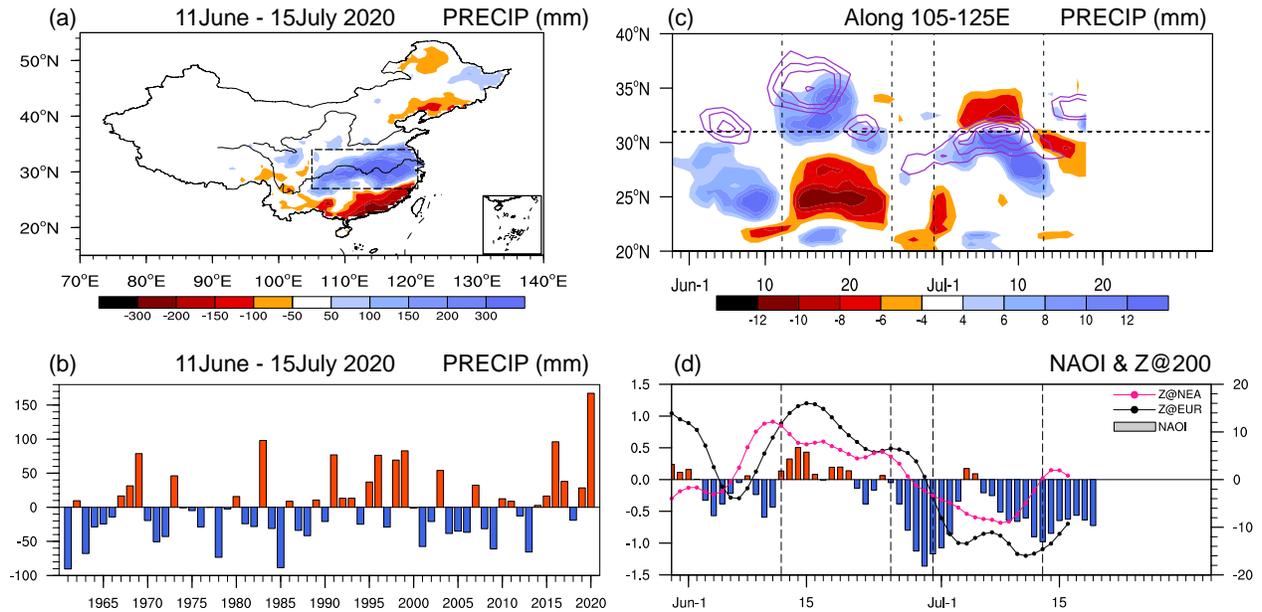
41 The Meiyu front is a quasi-stationary front with strong convective instability and  
42 rainstorm. It represents the interaction between warm-wet air mass from the tropics and cold-dry  
43 air mass from mid-high latitude. Its intensity and persistence directly determine the position and  
44 intensity of the Meiyu rainband [*Y Ding*, 1992; 2007; *Ninomiya*, 1984; 2000]. In the lower  
45 troposphere, the warm and wet air is transported by the lower-level southwesterly wind on the  
46 west of the western Pacific subtropical high (WPSH) [*Ha and Lee*, 2007; *Zhou and Yu*, 2005],  
47 whereas the cold and dry air embeds in the northerly wind on the west of the Mongolian Cyclone  
48 (MC, also termed cold vortex in Northeast China) [*He et al.*, 2007]. In the upper troposphere, the  
49 westerly jet and the South Asian High (SAH) upstream of the front could modulate either onset  
50 time or intensity of the Meiyu rainfall [*H Li et al.*, 2019; *Sampe and Xie*, 2010]. The year-by-  
51 year variation of the Meiyu rainfall not only depends on the El Nino–Southern Oscillation  
52 (ENSO) and its resultant SST anomaly in the Indian Ocean [*Kosaka et al.*, 2011; *B Wang et al.*,  
53 2013], but also on the mid–high latitude wave trains over Eurasian continent [*H-H Hsu and Lin*,  
54 2007; *Y Liu et al.*, 2019; *Z Wang et al.*, 2018]. On subseasonal timescale, the intraseasonal  
55 oscillation (ISO) of the EASM is the most crucial factor of the Meiyu activity [*Y Ding et al.*,  
56 2020; *Huang et al.*, 2019; *Lau et al.*, 1988; *J Li et al.*, 2014; *Song et al.*, 2016; *B Wang and Xu*,  
57 1997; *C Zhu et al.*, 2003]. Besides, the subseasonal variation of Meiyu rainfall can be modulated  
58 by the Madden-Julian Oscillation (MJO) [*X Li et al.*, 2018] or the summer North Atlantic  
59 Oscillation (NAO) [*Bollasina and Messori*, 2018].

60 In 2020, an extreme Meiyu rainfall attacked the mid-lower reaches of YR in China (Figure  
61 1a). The accumulated precipitation from 11 June to 15 July reached 167.2mm to break its  
62 historical record since 1961 (Figure 1b), resulting in severe disasters in this area. Based on the

63 position of the anomalous Meiyu rainband, we can divide this long-persisting Meiyu season  
64 into two subsections. The first period was from 12 to 25 June when the rainband got enhanced  
65 north of YR. Afterward, the anomalous rainband moved to the south of YR in the second period  
66 from 30 June to 13 July (Figure 1c). In particular, a record-breaking heavy rain, named “Heavy  
67 rain of July, Reiwa 2” by the Japan Meteorological Agency (JMA), hit the prefectures of  
68 Kumamoto and Kagoshima in the southern Japanese island of Kyushu on 4 July 2020 in the  
69 second period.

70 In history, the other two intense Meiyu rainfall around the YR took place in 1998 and 2016  
71 following the super El Niño event, along with the significant MJO activity [*Shao et al.*, 2018; *C*  
72 *Zhu et al.*, 2003]. However, neither a super El Niño event nor an active MJO occurred in 2020.  
73 This situation brings substantial difficulties in the subseasonal-to-seasonal (S2S) prediction of  
74 the Meiyu rainfall this year. Thus, we are urgent to answer the following questions: (1) what  
75 caused this record-breaking Meiyu without either significant ENSO or active MJO? (2) Can the  
76 state-of-the-art S2S operational model predict the Meiyu rainfall in 2020? The present study used  
77 the 2479 in-situ rainfall observations provided by the National Meteorological Information  
78 Center in China. We described the circulation and thermal fields in the troposphere using the  
79 JRA-55 reanalysis dataset developed by the JMA, with a horizontal resolution of  $1.25 \times 1.25^\circ$  and  
80 37 standard isobaric surfaces from 1000 to 1 hPa [*Harada et al.*, 2016; *Kobayashi et al.*, 2015].  
81 The real-time S2S production released by the ECMWF model was applied to examine the  
82 prediction skill of Meiyu rainfall this year (Please referred to the details in  
83 <https://confluence.ecmwf.int/display/S2S/ECMWF+Model+Description+CY45R1>). The  
84 climatological status was the arithmetic mean of each variable from 1981–2010. The region with  
85 the meridional gradient of the 700-hPa equivalent temperature higher than  $2.0 \times 10^{-5} \text{ K m}^{-1}$  over

86 East Asia indicated the position of Meiyu front [*Fu and Qian, 2011*]. To reveal the subseasonal  
 87 processes of Meiyu rainfall, we used a non-filtered method to calculate the subseasonal anomaly  
 88 with a period of 10–60-day [*P-C Hsu et al., 2015*].



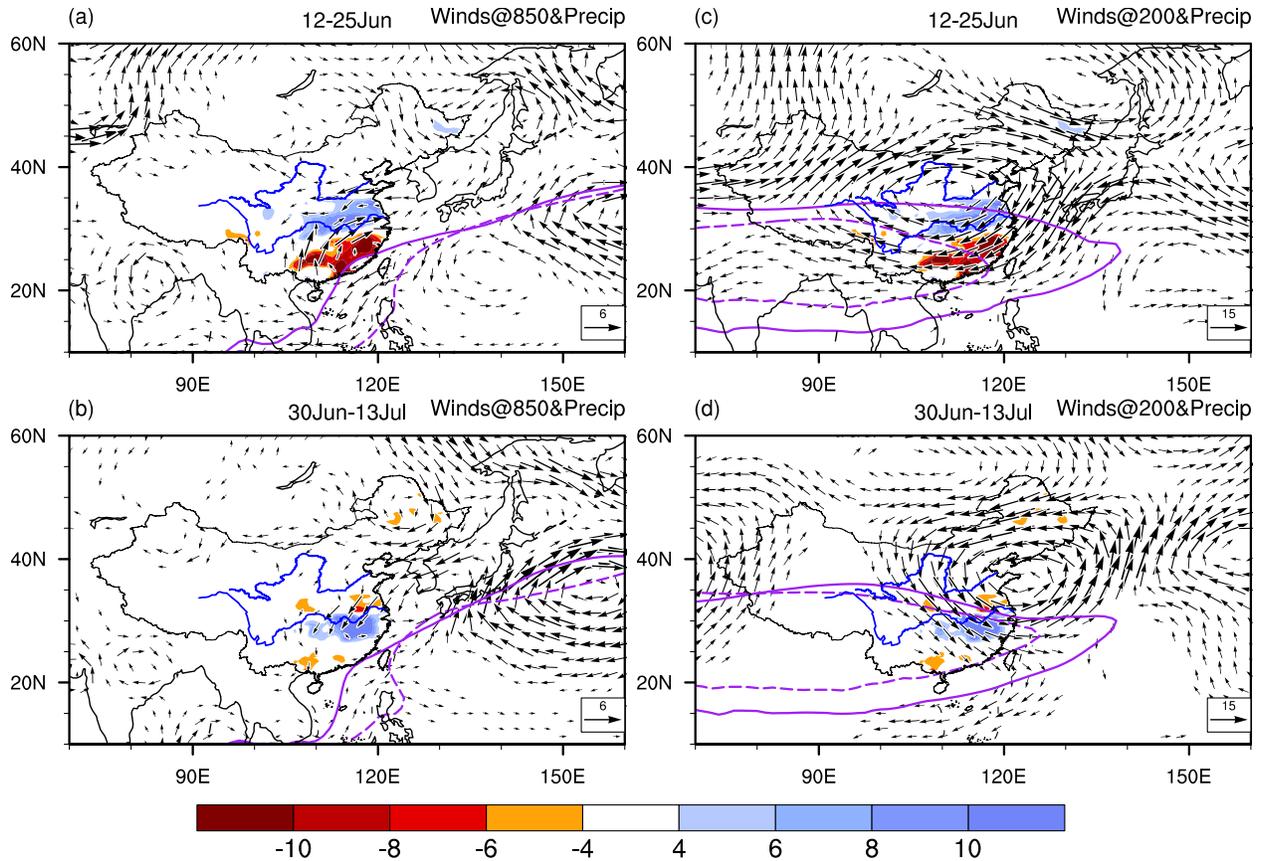
89  
 90 Figure 1. (a) Horizontal distribution of accumulated precipitation anomaly (mm) during the  
 91 Meiyu season (11 June–15 July) in 2020. (b) Year-by-year variation of the accumulated  
 92 precipitation anomaly during the Meiyu season averaged over [27°–34°N, 105°–121°E]  
 93 indicated by the dashed box in (a). (c) Latitude-temporal cross-section of subseasonal  
 94 anomalies of the daily-accumulated rainfall (shading, mm) and the Meiyu front (purple  
 95 contours, starting from 1.0 with an interval of  $1.0 \times 10^{-5} \text{ K m}^{-1}$ ) averaged along 105°–  
 96 125°E over East Asia. The Horizontal dashed line in (c) indicates the YR position. (d)  
 97 Time series of subseasonal anomaly of the 200-hPa geopotential height averaged over  
 98 Europe (EUR; 50°–70°N, 0°–40°E) (black line, gpm) and Northeast Asia (NEA; 30°–50°N,  
 99 110°–130°E) (pink line, gpm) and the normalized daily NAOI (bars).

## 100 **2. Stepwise swing of Meiyu front and circulation regimes**

101       The long-persisting Meiyu rainfall in 2020 features the stepwise swing of the Meiyu front  
102 and the circulation regimes on subseasonal timescale. In the first period, the Meiyu front gets  
103 enhanced near 35°N, where the rainfall increases considerably north of YR (Figures 1c and 2a).  
104 In the lower troposphere, the WPSH extents westward evidently to control South China and  
105 suppresses the local rainfall. The low-level southwesterly wind accelerates to bring more warm  
106 and wet air into the YR. In contrast, the anomalous northerly wind is relatively weak to the north  
107 of the front, indicating a warm front dominant in this period (Figure 2a). In the upper troposphere,  
108 a vast anomalous anticyclone generates over East Asia, corresponding to the anomalous  
109 northward shift and eastward extension of the SAH (Figure 2c). Therefore, the WPSH meets the  
110 SAH halfway to form a circulation pattern facilitating the above-normal Meiyu rainfall north of  
111 YR.

112       In the second period, the intensified Meiyu front and rainband retreats to the south of YR  
113 around 31°N (Figure 1c). An anomalous cyclone with vertically quasi-barotropic structure  
114 maintains over Northeast Asia (NEA), suggesting an enhancement of the mid-latitude MC  
115 (Figures 2b and 2d). On its west, the low-level northerly wind strengthens remarkably to bring  
116 more cold and dry air into the YR. On its east, the southerly wind intensifies dramatically to  
117 transport mass of moisture into the Kyushu island to support the “Heavy rain of July, Reiwa 2”  
118 (Figure 2b). Meanwhile, the anomaly center of WPSH settles to the south of Japan, in contrast to  
119 much weaker anomalies of the anticyclone and southerly wind over South China. It suggests a  
120 cold front determining the Meiyu rainband. In the upper troposphere, the more energetic MC  
121 with cold air mass is gearing with the southward extension of the SAH (Figure 2d), which

122 retreats the Meiyu rainband to the south of YR. Thus, we can identify the above two periods as  
 123 the warm- and cold- front period, respectively.



124

125 Figure 2. Subseasonal anomalies of atmospheric circulation (vectors,  $\text{m s}^{-1}$ ) and rainfall (shading,

126  $\text{mm day}^{-1}$ ) over East Asia in the two continuous rainfall stages of the 2020 Meiyu season.

127 (a, c) warm-front period. (b, d) cold-front period. Left column: circulation at 850 hPa

128 (wind speed higher than  $1.0 \text{ m s}^{-1}$  are plotted). Right column: circulation at 200 hPa (wind

129 speed higher than  $4.0 \text{ m s}^{-1}$  are plotted). Purple curves in the left and right column

130 respectively indicate the 152- and 1276- gpm contour of the 850- and 200- hPa

131 geopotential height. Dashed and solid lines represent the climatological and the 2020 case,

132 respectively.

### 133 **3. Regulation by the phase transition of NAO**

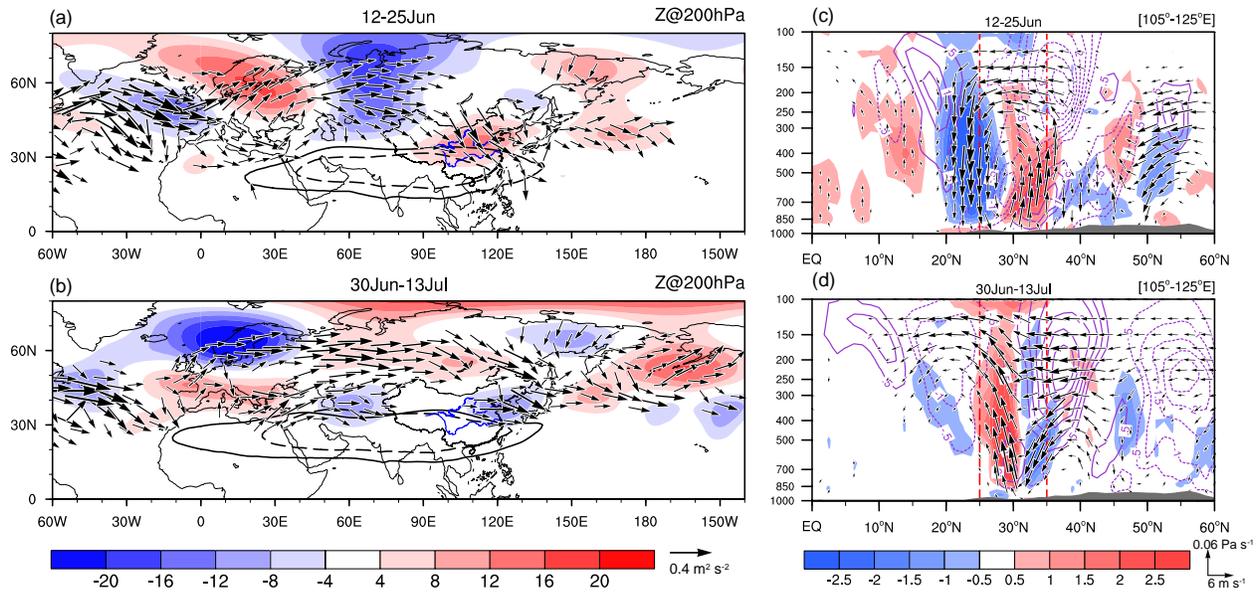
#### 134 3.1 Linkage with NAO

135         The alternation of Meiyu warm and cold front with a distinct circulation regime follows the  
136 phase transition of NAO. The NAO firstly shows a positive phase in mid-June, it then enters an  
137 intense negative phase in late June and persists till late July (Figure 1d). In the warm-front  
138 period with positive NAO, an anomalous upper-level ridge exists over Europe, whose wave  
139 energy is emanated downstream along with the polar-front jet. It deepens the trough west of Lake  
140 Baikal and strengthens the NEA anticyclone in the upper troposphere (Figure 3a). The SAH thus  
141 tends to extent eastwards onto north of YR, presenting a negative anomaly of 200-hPa potential  
142 vorticity (PV) and anticyclone to the north of the anomalous Meiyu rainband (Figure 4a).  
143 Though partly compensated by the negative PV advection due to the anomalous PV, the positive  
144 PV advection induced by the anomalous northerly wind on the east of the SAH is prevailing  
145 during the warm-front period (Figures 4b and 4c). Firstly, this upper-level positive PV advection  
146 strengthens the ascending over the anomalous Meiyu rainband. The outflow then sinks over  
147 South China, where the low-level WPSH gets enhanced with a prominent westward extension,  
148 followed by more moisture supply to north of YR. The anomalies of Meiyu rainband and  
149 ascending further intensifies to establish a baroclinic structure of circulation, presenting the  
150 stronger low-level warm front and upper-level anticyclone north of YR (Figure 3c). Such  
151 positive feedback finally maintains a closed meridional circulation over East China to persist the  
152 anomalous Meiyu rainfall in the positive NAO phase.

153         When the negative NAO is prevailing in the cold-front period, Europe is beneath a striking  
154 deeper trough in the upper troposphere. It acts as a wave source to enhance the upper-level ridge

155 over Northwest Asia and the cyclone over NEA with deep barotropic structure via a wave train  
156 between 40° and 60°N (Figure 3b). The NEA cyclone (i.e., stronger MC), represented by a  
157 remarkable positive PV anomaly at 200 hPa, brings more positive PV southward not only by the  
158 anomalous northerly wind but via the mean flow transport on the PV anomaly (Figures 4a–c). As  
159 a result, the high PV intrudes to the south of YR to develop the ascending over the anomalous  
160 Meiyu rainfall in this period (Figures 4b and 4c). The descending develops upstream of the NEA  
161 cyclone. It then diverges southward near the surface and merges into the ascending south of YR  
162 in early–mid-July (Figure 3d).

163         The physical linkage between NAO and anomalies of upper-level circulation over Europe  
164 and NEA can build a significant statistical relationship. The daily NAO index is significantly  
165 positively correlated with both the anomalous geopotential height over Europe (black line in  
166 Figure 1d) and NEA (pink line in Figure 1d), showing the temporal correlation coefficient (TCC)  
167 of +0.56 and +0.48, respectively. Both of them exceed the 95% confidence level in a two-tailed  
168 student t-test. However, the close relationship between NAO and NEA cyclone would vanish if  
169 we exclude the Europe ridge in a partial correlation analysis. Such a statistical relationship also  
170 holds in the July-mean fields on interannual timescale [*B Liu et al.*, 2019].

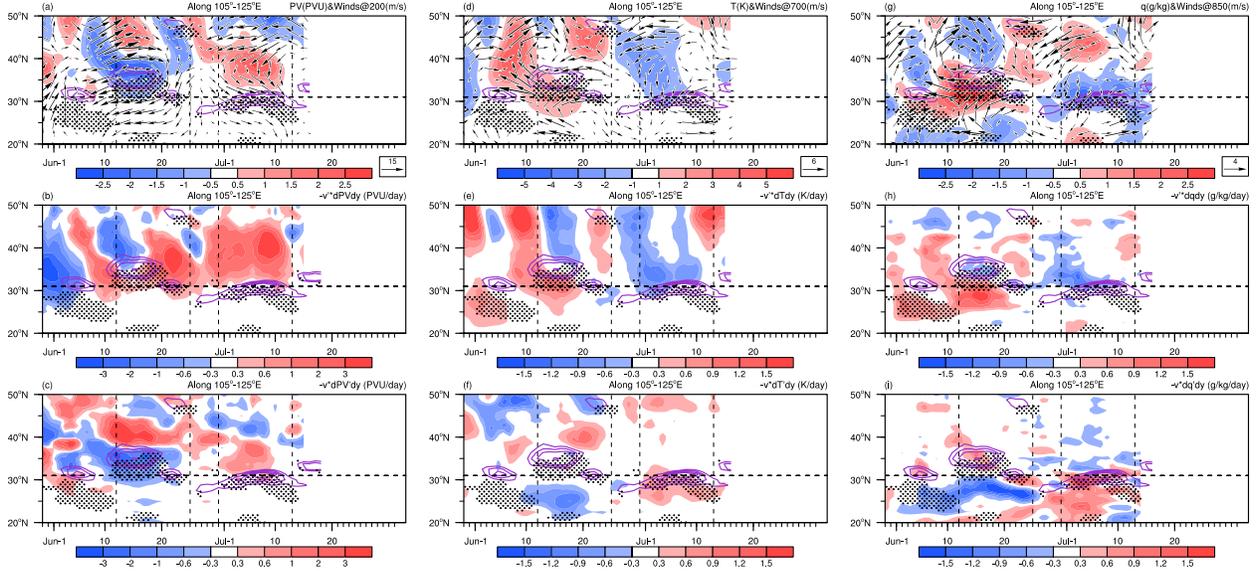


171  
 172 Figure 3. (left column) Horizontal distribution of anomalous geopotential height (shading, gpm)  
 173 and wave activity flux (WAF) at 200 hPa (vectors,  $\text{m}^2 \text{s}^{-2}$ ) and (right column)  $105^\circ\text{--}125^\circ\text{E}$   
 174 averaged pressure-latitude cross-section of subseasonal anomalies of the diabatic heating  
 175 (shading,  $\text{K day}^{-1}$ ), relative vorticity (purple contours,  $10^{-5} \text{s}^{-1}$ ) and meridional circulation  
 176 (vectors, see scales in the bottom right corner) in each rainfall stage of the Meiyu season in  
 177 2020. (a, c) warm-front period. (b, d) cold-front stage. Dashed and solid black lines in the  
 178 left column indicate the 1276-gpm contour of the 200-hPa geopotential height in  
 179 climatology and 2020, respectively. Gray shading in the right column denotes the  
 180 topography. The WAF calculation follows *Takaya and Nakamura [2001]*'s formula.

181 Since the anomalous meridional advection contributes more to the temporal variation of  
 182 the PV, air temperature and specific humidity than the anomaly of zonal advection (Figure not  
 183 shown), we diagnose each component of the former to show how the variation of the upper-level  
 184 circulation modulate the Meiyu front property and rainband position in 2020. In the warm-front  
 185 period, the stronger WPSH extends westward under the influences of the eastward extension of

186 the SAH and the positive PV advection in the upper troposphere. As a result of the warm-wet  
187 advection due to the stronger southwesterly wind over South China, the lower-tropospheric air  
188 becomes warmer and wetter to support the warm-front near the Meiyu rainband (Figures 4e and  
189 4h). In contrast, the effect of the meridional advection anomaly due to the anomalous thermal  
190 and moisture fields is minimal (Figures 4f and 4i). In this way, the anomalous WPSH modulated  
191 by the anomaly of SAH determines the warm front and strengthens Meiyu rainfall north of YR in  
192 mid–late-June.

193 In the cold-front period, the positive PV advection induced by the more energetic MC  
194 becomes more remarkable. In the lower troposphere, the air tends to be colder and drier along  
195 with the YR because of the meridional cold and dry advection produced by the anomalous  
196 northerly wind (Figures 4e and 4h). The southward intrusion of the colder and drier air mass  
197 further increases the warm and wet advection over the anomalous Meiyu rainband by enlarging  
198 the anomaly of meridional temperature and moisture gradient, respectively (Figures 4f and 4i).  
199 Therefore, the cold-front and above-normal Meiyu rainfall persist south of YR in early–mid-July  
200 because of the extratropical MC anomaly in the mid-upper troposphere.



201

202 Figure 4. Left column:  $105^{\circ}$ – $125^{\circ}$ E-averaged latitude-temporal cross-section of the subseasonal

203 anomalies of (a) the 200-hPa potential vorticity (shading, PVU) and horizontal winds

204 (vectors,  $\text{m s}^{-1}$ ), (b, c) the meridional PV advection (shading,  $\text{PVU day}^{-1}$ ) due to

205 anomalous meridional flow ( $-v' \frac{\partial \bar{P}V}{\partial y}$ ) and PV ( $-\bar{v} \frac{\partial P V'}{\partial y}$ ), respectively. Middle column:

206 similar to the left column, but the shading and vectors in (d) are for the 700-hPa

207 subseasonal anomalies of air temperature (K) and winds ( $\text{m s}^{-1}$ ). and the shading in (e) and

208 (f) denote the meridional temperature advection ( $\text{K day}^{-1}$ ) induced by anomalous

209 meridional flow ( $-v' \frac{\partial \bar{T}}{\partial y}$ ) and temperature ( $-\bar{v} \frac{\partial T'}{\partial y}$ ), respectively. Right column: similar as

210 the left column, but the shading and vectors in (g) are for the 850-hPa subseasonal

211 anomalies of specific humidity ( $\text{g kg}^{-1}$ ) and winds ( $\text{m s}^{-1}$ ), and the shading in (h) and (i)

212 denote the meridional moisture advection ( $\text{g kg}^{-1} \text{ day}^{-1}$ ) induced by anomalous meridional

213 flow ( $-v' \frac{\partial \bar{q}}{\partial y}$ ) and specific humidity ( $-\bar{v} \frac{\partial q'}{\partial y}$ ), respectively. Variables with bar and

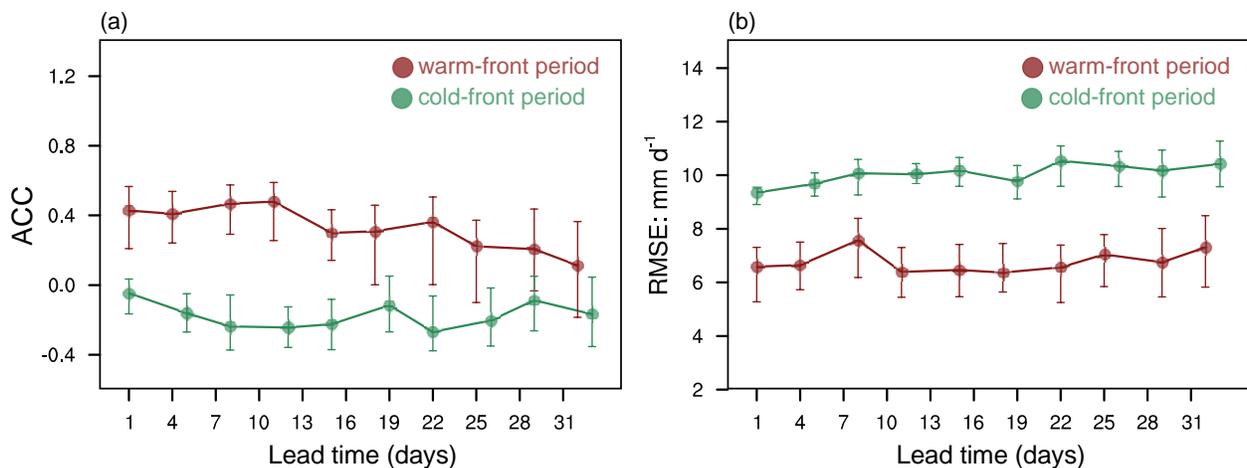
214 superscript indicate the climate-mean value and subseasonal anomaly, respectively. Purple

215 contours and dots indicate the position of Meiyu front and large rainfall anomaly higher

216 than  $4.0 \text{ mm day}^{-1}$ , respectively.

### 217 3.2 Forecast skill of ECMWF S2S model

218 The prediction skill of the ECMWF S2S model on the Meiyu rainfall is distinct between  
 219 the warm- and cold- front period in 2020. In the real-time forecast, the ECMWF S2S forecast can  
 220 capture the features of Meiyu rainband in the warm-front period even 30 days in advance  
 221 (Figures 5a and 5b). The median performance of the anomaly correlation coefficient (ACC)  
 222 increases from 0.1 to above 0.4. The ensemble spread range gradually narrows with shortening  
 223 of lead time, along with a stable range of root-mean-square-error (RMSE) between 6.0- and 8.0-  
 224  $\text{mm day}^{-1}$ . On the other hand, the prediction skill falls in the cold-front period (Figures 5a and  
 225 5b). Both the spatial structure and intensity of the Meiyu rainband are consistently poor  
 226 performed among the individual ensemble members and ensemble mean, even at lead times  
 227 within one week. These results indicate that the ECMWF S2S model has higher prediction skills  
 228 on the Meiyu rainband when it is modulated by the WPSH and warm-wet air mass. However, the  
 229 skill decreases dramatically when the mid-latitude circulation and cold-dry air mass maintains  
 230 the Meiyu rainband.



231

232 Figure 5. Box chart of (a) anomaly correlation coefficient (ACC) and (b) root-mean-square-error  
233 (RMSE,  $\text{mm d}^{-1}$ ) between the ECMWF S2S forecast and observed inland rainfall anomaly  
234 in different lead times (up to 33 days) in the warm- and cold- front period over East China  
235 [ $20^{\circ}$ – $35^{\circ}$ N,  $105^{\circ}$ – $125^{\circ}$ E]. The lead days are measured from the initialization time to 12  
236 (30) June in the warm-front (cold-front) period. The metrics are calculated from 51  
237 ensemble members (solid line) individually. The top, bottom, and junction points of the  
238 bars represent the 5th, 95th percentiles, and the median values, respectively.

#### 239 **4. Summary and discussion**

240 A record-breaking Meiyu rainfall attacked East Asia in 2020. It has caused severe flooding  
241 to kill many residents in China and Japan. The present study has identified the warm- and cold-  
242 front subsection of this Meiyu season and ascribed the alternation of the circulation regime to  
243 the phase transition of the NAO. In mid–late-June, the positive NAO could induce the eastward  
244 extension of SAH and the westward extension of WPSH, leading to the stronger southerly wind  
245 over South China. The warm front thus gets enhanced and results in the anomalous Meiyu  
246 rainband north of YR. Afterward, the NAO enters its intense negative phase in early July. A  
247 wave train along the polar-front jet emerges to strengthen the mid-latitude MC, which not only  
248 enhances the ascending near the YR by dynamical procedure but maintains a stronger cold front  
249 along with the YR by the anomalous meridional temperature and moisture advection. Finally,  
250 the Meiyu rainband retreats south of YR in early–mid-July. The ECMWF S2S model shows a  
251 higher prediction skill on the warm-front-related rainfall, but it fails to predict the cold front-  
252 caused rainfall during the Meiyu season in this year. It suggests a great challenge still exists in  
253 the S2S dynamical prediction on the Meiyu rainfall, especially in the period when the mid–

254 high-latitude impact is dominant. The predictability of the extratropical circulation is much  
255 lower than either the MJO or the BSISO in the S2S forecast [*Hung et al.*, 2013]. It also limits  
256 the seasonal rainfall predictability of the EASM [*Kosaka et al.*, 2012].

257 One provoking question is why such a record-breaking event occurs in a weak ENSO  
258 environment comparing with 1998 and 2016. It is probably attributed to the global warming,  
259 which could increase the heavy rainfall near the YR in the Meiyu season [*C Zhu et al.*, 2012; *J*  
260 *Zhu et al.*, 2016]. Also, it may be associated with Arctic warming in June and July 2020. In  
261 particular, the strong negative NAO in late June 2020 is accompanied by the fast warming over  
262 North America in the Arctic cycle and the positive-to-negative transition of Arctic Oscillation.  
263 Further investigation is necessary for a comprehensive understanding of this record-breaking  
264 Meiyu flood over East Asia in 2020 on multiple timescales.

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272 Computational and Information Systems Laboratory (<https://doi.org/10.5065/D6HH6H41>). The  
273 NAOI index was provided by NOAA/CPC from the website  
274 (<https://www.cpc.ncep.noaa.gov/products/precip/CWlink/pna/nao.shtml>). The ECMWF S2S  
275 production was downloaded from <http://s2s.cma.cn/index>.

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