

1 **Sensitivity of Arctic clouds to ice microphysical processes in the NorESM2 climate**  
2 **model**

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15

16 **Keywords:** ice formation, secondary ice production, ice multiplication, Arctic clouds, climate  
17 models, Arctic radiation budget

18

19 **Abstract**

20 Ice formation remains one of the most poorly represented microphysical processes in climate  
21 models. While primary ice production (PIP) parameterizations are known to have a large  
22 influence on the modeled cloud properties, the representation of secondary ice production  
23 (SIP) is incomplete and its corresponding impact is therefore largely unquantified.  
24 Furthermore, ice aggregation is another important process for the total cloud ice budget,  
25 which also remains largely unconstrained. In this study we examine the impact of PIP, SIP  
26 and ice aggregation on Arctic clouds, using the Norwegian Earth System model version 2  
27 (NorESM2). Simulations with both prognostic and diagnostic PIP show that heterogeneous  
28 freezing alone cannot reproduce the observed cloud ice and liquid content. The  
29 implementation of missing SIP mechanisms (collisional break-up, drop-shattering and  
30 sublimation break-up) in NorESM2 improves the modeled ice properties, however results are  
31 sensitive to the implementation method. Using an emulated-bin framework, instead of a bulk  
32 approach, increases the efficiency of the collisional break-up and drop-shattering processes.  
33 Moreover, collisional break-up, which is the dominant SIP mechanism in the examined

34 conditions, is very sensitive to the treatment of the sublimation correction factor, a poorly-  
35 constrained parameter that is included in the utilized parameterization. Finally, ice  
36 aggregation is also found to be a critical process; reducing its efficiency (in line with radar  
37 observations of shallow Arctic clouds) substantially enhances SIP and further improves the  
38 agreement with remote-sensing cloud retrievals. The simulations with enhanced SIP and  
39 reduced ice aggregation result in decreased surface downward longwave biases compared to  
40 satellite measurements during the cold months.

41

## 42 **Significance**

43 Arctic clouds remain a large source of uncertainty in projections of the future climate due to  
44 the poor representation of the microphysical processes that govern their life cycle. Ice  
45 formation is among the least understood processes. While it is widely recognized that better  
46 constraints on primary ice production (PIP) are needed to improve existing parameterizations,  
47 we show that secondary ice production (SIP) and ice aggregation can have a more significant  
48 impact than PIP on the ice number concentrations. Constraining ice formation through the  
49 addition of missing SIP mechanisms and reducing ice aggregation results in improved  
50 representation of the cloud macrophysical properties and enhanced total cloud cover in the  
51 Arctic region, which in turn contributes to decreased surface downward longwave radiation  
52 biases in the cold months.

53

## 54 **1. Introduction**

55 Clouds and cloud feedbacks remain the largest source of uncertainty in predictions of the  
56 future climate (Boucher et al. 2013). In the most recent Climate Model Intercomparison  
57 Project (phase 6 – CMIP6) many general circulation models (GCMs) exhibited larger  
58 sensitivity to changes in carbon dioxide concentrations, a metric known as Equilibrium Climate  
59 Sensitivity (ECS), compared to CMIP5 models (Zelinka et al. 2020). Murray et al. (2021)  
60 showed that ECS values in CMIP6 correlate with mid-to-high latitude low-level cloud  
61 feedbacks. Moreover, CMIP6 models suffer from biases in high-latitude cloud cover (Vignesh  
62 et al. 2020), cloud radiative impacts (Sledd and L'ecuyer 2020) and snowfall patterns  
63 (Thomas et al. 2019).

64 Mixed-phase clouds, consisting of both supercooled liquid and ice, are the most  
65 abundant Arctic cloud type at temperatures between  $-25^{\circ}\text{C}$  and  $0^{\circ}\text{C}$  (Shupe et al. 2006; 2011).  
66 These clouds are thermodynamically unstable and can easily glaciate through the Wegener-

67 Bergeron-Findeisen (WBF) mechanism. Moreover, as ice crystals grow through vapor  
68 deposition, they can start forming aggregates through collisions with other ice particles or  
69 they can gain mass through the collection of liquid droplets (i.e. riming), until they eventually  
70 fall out in the form of snow or graupel. Yet, Arctic mixed-phase clouds have been observed to  
71 persist for days to weeks (Morrison et al. 2012). Modeling the life-cycle of these clouds is  
72 challenging, since errors in the representation of the complex processes that maintain them  
73 can lead to rapid glaciation. Predictions of Arctic warming are particularly sensitive to cloud  
74 ice formation (Tan et al. 2019). While ice formation processes are likely an important  
75 contributor to the CMIP6 spread in predicted mid- and high-latitude cloud feedbacks (Murray  
76 et al. 2021), they remain among the most poorly understood microphysical processes in  
77 mixed-phase clouds (Seinfeld et al. 2016; Storelvmo 2017).

78 Primary ice production (PIP) at temperatures above  $-38^{\circ}\text{C}$  can only happen  
79 heterogeneously in the atmosphere, which means that the assistance of insoluble aerosols that  
80 act as Ice Nucleating Particles (INPs) is required (Hoose and Möhler 2012). However,  
81 primary ice crystal concentrations can further be enhanced through multiplication processes  
82 (Field et al. 2017; Korolev and Leisner 2021), known as secondary ice production (SIP). SIP  
83 has received substantially less attention than PIP in the past decades, which is the reason  
84 behind its poor (or absent) representation in atmospheric models. Several observational  
85 (Gayet et al. 2009; Lloyd et al. 2015; Luke et al. 2021; Pasquier et al. 2022) and modeling  
86 (Sotiropoulou et al. 2020; 2021b; Zhao et al. 2021; Zhao and Liu 2021; 2022) studies have  
87 indicated that SIP might be particularly important for Arctic clouds, as INP concentrations in  
88 the Arctic region are generally low (Wex et al. 2020) to account for the high ice crystal  
89 number concentrations (ICNCs) observed.

90 Several mechanisms that can trigger ice multiplication have been identified in  
91 laboratory experiments (Field et al. 2017; Korolev and Leisner 2020), however only one SIP  
92 mechanism has until now been considered in GCMs: the Hallett-Mossop (HM) process  
93 (Hallett and Mossop, 1974). This is also the case for the Norwegian Earth System model  
94 version 2 (NorESM2), which allows HM to occur after cloud drop-snow collisions. However,  
95 observational (Rangno and Hobbs 2001; Schwarzenboeck et al. 2009; Luke et al. 2021) and  
96 modeling studies (Sotiropoulou et al. 2020; 2021b; Zhao et al. 2021; Zhao and Liu 2021;  
97 2022) suggest that other SIP processes, like collisional break-up (Vardiman 1978; Takahashi  
98 et al. 1995) and drop-shattering (Lauber et al. 2018; Keinert et al. 2020), also have a  
99 significant influence on Arctic cloud microphysical structure.

100 In this study we implement descriptions for drop-shattering (DSH) and collisional  
101 break-up (BR) in NorESM2, using parameterizations from the recent literature (Phillips et al.  
102 2017a,b; 2018). We further test the efficiency of sublimation break-up (SUBBR) (Oraltay and  
103 Hallett 1989; Bacon et al. 1998), a process whose efficiency remains unknown in real  
104 atmospheric conditions, using the parameterization developed by Deshmukh et al. (2022). In  
105 addition, we modify the existing HM description to further account for rain-snow collisions.  
106 Sensitivity simulations with varying PIP, SIP and ice aggregation treatment are conducted to  
107 quantify the ice-related processes that are most impactful on ice particle number. Results are  
108 initially evaluated against two-year surface-based observations from Ny-Ålesund for the  
109 period June 2016 - May 2018 to assess the most realistic simulation set-up. Satellite radiation  
110 and cloud measurements are further used to quantify the impact of the examined processes on  
111 the current climate state over the whole Arctic region.

112

## 113 **2. Methods**

114

### 115 *a. Observations*

116 Remote-sensing observations collected at Ny-Ålesund between June 2016–May 2018 are  
117 utilized to evaluate the model. Macro- and micro- physical cloud properties are derived from a  
118 combination of instruments that includes 94 GHz cloud radars, a ceilometer and a HATPRO  
119 radiometer (Nomokonova et al. 2019d). The Liquid water path (LWP) is derived from a  
120 HATPRO microwave radiometer (Nomokonova et al. 2019a,b,c), with typical uncertainty  
121 around +/- 20–25 g m<sup>-2</sup>. Once the measured particles have been categorized as liquid droplets,  
122 ice, melting ice, and drizzle/rain using the Cloudnet retrieval algorithm (Illingworth et al.  
123 2007), ice water content (IWC) is derived from radar reflectivity and temperature  
124 measurements following the methodology of Hogan et al. (2006). The uncertainties in this  
125 IWC retrieval range from -33% to +50% for temperatures above -20°C and from -50% to  
126 +100% for temperatures below -40°C. The effective radius of ice particles ( $r_{ieff}$ ) is calculated  
127 following Delanoë and Hogan (2010), using IWC and visible extinction coefficient estimates  
128 (Ebell et al. 2020); the latter is also derived following Hogan et al. (2006). The uncertainty in  
129  $r_{ieff}$  retrieval described by Delanoë and Hogan (2010) is about 30%, while the uncertainty for  
130 the radar-derived visible extinction coefficient that is used in the ice effective radii retrieval is  
131 62% to 160% (Hogan et al. 2006). Thermodynamic variables such as temperature  
132 (Nomokovova et al. 2019d,e,f) and integrated water vapor (IWV; Nomokovova et al.

133 2019g,h,i) are also derived from HATPRO.

134 Surface in-situ cloud measurements were collected at the Zeppelin station with the  
135 Zeppelin Observatory counterflow virtual impactor (CVI) inlet (Karlsson et al. 2021a,b) for a  
136 similar period (until February 2018) as the remote sensing observations. However, this  
137 instrument samples only small cloud particles with diameters below 50  $\mu\text{m}$ , thus it cannot be  
138 used for the evaluation of the whole modeled cloud particle spectrum. Finally, local  
139 measurements are complemented with satellite datasets to evaluate the modeled radiation and  
140 cloud properties over the whole Arctic region. These include the Clouds and Earth's Radiant  
141 Energy Systems (CERES; Wielicki et al. 1996) Energy Balanced and Filled (EBAF) product,  
142 edition 4.1 (Kato et al. 2018) and the GCM-Oriented CALIPSO Cloud Product (GOCCP)  
143 Version 3 (Chepfer et al. 2010).

144

#### 145 ***b. Model description***

146 For our investigations we use the NorESM2-MM version (Seland et al. 2020) with 1°  
147 horizontal resolution. Wind and pressure fields are nudged every six hours towards ERA-  
148 Interim data (Dee et al. 2011) to limit the influence of meteorological errors on microphysical  
149 fields. Simulations are run for 29 months, from 1 January 2016 to 31 May 2018, with fixed  
150 sea-surface temperatures (SSTs). The Shared Socioeconomic Pathways 2 (SSP2) scenario is  
151 used which assumes emissions similar to the historical patterns. The first five months are  
152 considered as spin-up, while the rest of the output is used for comparison with surface-based  
153 observations from Ny-Ålesund. A description of the modeled ice microphysics, which is the  
154 main focus of this study, and the implemented modifications follows below.

155 The atmospheric component of NorESM2 is CAM6-Oslo, which consists of the  
156 Community Atmosphere Model version 6 (CAM6) and the OsloAero5.3 (Kirkevåg  
157 et al. 2018) aerosol scheme. CAM6-Oslo employs the Morrison and Gettelman (2015)  
158 microphysics scheme (MG2), which accounts for four hydrometeor types: cloud droplet,  
159 raindrop, cloud ice and snow. Heterogeneous PIP parameterizations follow the Classical  
160 Nucleation Theory (CNT; Hoose et al. 2010; Wang et al. 2014) which accounts for  
161 immersion, contact and deposition freezing of two INP types, dust and soot. Immersion  
162 freezing is only allowed to occur below  $-10^{\circ}\text{C}$  in this scheme for both INP species, while only  
163 10% of the soot concentrations are considered efficient INPs. While CNT is the default  
164 nucleation scheme used for the CMIP6 simulations, the model employs an alternative option  
165 for PIP: CNT can be replaced by diagnostic parameterizations that are functions of basic

166 thermodynamic variables and do not account for explicit cloud-aerosol interactions. These  
167 include the Bigg (1953), Young (1974) and Meyers et al. (1992) parameterizations for  
168 immersion, contact and deposition freezing, respectively. The Bigg (1953) and Young (1974)  
169 parameterizations are activated at temperatures below  $-4^{\circ}\text{C}$ , while Meyers et al. (1992) is  
170 active within the  $-37^{\circ}\text{C}$ – $0^{\circ}\text{C}$  temperature range.

171 Secondary ice production is accounted in MG2 scheme only through the HM  
172 mechanism, which is parameterized following Cotton et al. (1998). This formulation  
173 considers a maximum splinter production of 350 splinters per milligram of rime at  $-5^{\circ}\text{C}$ , while  
174 the process efficiency decreases to zero at temperatures below (above)  $-8^{\circ}\text{C}$  ( $-3^{\circ}\text{C}$ ). However,  
175 HM is only activated after cloud droplets collide with snow; in our modified code, we further  
176 account for the contribution from raindrop-snow collisions, using the same parameterization  
177 (Cotton et al. 1998) for the prediction of the generated fragments. Estimations of mass and  
178 number collision tendencies for raindrop-snow collisions are available in the standard MG2  
179 scheme.

180 To represent the BR mechanism, we implement the parameterization of Phillips et al.  
181 (2017a). The process is initiated after snow particles collide with each other or with cloud ice.  
182 We assume that the collisions that do not instantaneously result in sticking (aggregation) are  
183 those that allow for particle bouncing and subsequent break-up. Phillips et al. (2017a) is a  
184 physically-based parameterization that predicts the number of generated fragments as a  
185 function of collisional kinetic energy (CKE), while the effect of the colliding particles' size,  
186 rimed fraction and ice habit is further accounted for. MG2 however does not predict the rimed  
187 fraction and ice habit. For this reason, we assume planar ice particles with a 0.4 rimed fraction  
188 in our simulations; planar shape encompasses a larger range of shapes and is valid for a wide  
189 temperature range, and a high rimed fraction has been shown to give the most optimal results  
190 in simulations of polar clouds (Sotiropoulou et al. 2020; 2021a). Furthermore, we limit BR  
191 activation at temperatures above  $-25^{\circ}\text{C}$ ; this upper temperature limit is based on the recent  
192 findings of Pasquier et al. (2022), who found evidence of the BR process in Arctic  
193 observations collected at temperatures down to  $-24^{\circ}\text{C}$ . All generated fragments from this  
194 mechanism are added to the cloud ice category.

195 The DSH description follows Phillips et al. (2018) and is initiated after raindrop-INP  
196 (immersion freezing), raindrop-snow and raindrop-ice collisions. For ice multiplication due to  
197 raindrop-INP and raindrop-cloud ice collisions we utilize the formulation referred to as 'mode  
198 1' in Phillips et al. (2018), which concerns the accretion of small particles by more massive

199 raindrops, while for snow-raindrop the 'mode 2' formulation is applied. Mode 1 can generate  
200 both tiny and big fragments; the former are added to the cloud ice category, while the latter  
201 are considered to be snow. The new tiny fragments are assumed to have a fixed diameter of  
202  $10^{-5}$  m (Phillips et al. 2018) and a constant ice density of  $500 \text{ kg m}^{-3}$  (which is the default  
203 cloud ice density in the MG2 scheme), while the rest of the colliding rain mass is transferred  
204 to snow. Freezing probability in this mode is set to unity and zero, at temperatures below  $-6^\circ\text{C}$   
205 and above  $-3^\circ\text{C}$ , respectively, while it takes intermediate values at temperatures between  $-6^\circ\text{C}$   
206 and  $-3^\circ$ . Similarly, shattering probability is a function of raindrop size, set to 0 and 1 at sizes  
207 smaller than  $50 \mu\text{m}$  and larger than  $60 \mu\text{m}$ , respectively. Mode 2 can only generate tiny  
208 fragments. Tiny fragments are added to the cloud ice category, while big fragments are treated  
209 as snow.

210 Note that the MG2 scheme does not account for the accretion of cloud ice on raindrops.  
211 To estimate the number and mass collision tendencies for these interactions, we further  
212 implement the formulation proposed by Reisner et al. (1998), which is also utilized in the  
213 Morrison et al. (2005) microphysics scheme. Furthermore, to account for underestimations in  
214 CKE when the terminal velocity of the two colliding particles is similar ( $u_1 \approx u_2$ ), we adapt  
215 the corrections in the mass- or number-weighted difference in terminal velocity ( $\Delta u_{12}$ )  
216 proposed by Mizuno (1990) and Reisner et al. (1998) in the bulk SIP implementations. When  
217 snowflakes collide with each other, it is assumed that 0.1% of the colliding mass is transferred  
218 to the generated fragments (Phillips et al. 2017a). The same assumption is applied to the mode  
219 2 of the DSH process, thus only 0.1% of the colliding mass is transferred to the tiny fragments  
220 (Phillips et al. 2018). A detailed description of the implementation method can be found in  
221 Sotiropoulou et al. (2021a) and Georgakaki et al. (2022).

222 Deshmukh et al. (2022) recently developed an empirical formulation for sublimation  
223 break-up of graupel and dendritic snow, in which the total number of the ejected fragments  
224 ( $N$ ) is proportional to the square root of the sublimated mass ( $M$ ),  $N = K M^{0.57}$ , where  $K$  is a  
225 function of size (diameter) and relative humidity with respect to ice. Since graupel is not  
226 accounted for in the MG2 scheme, we apply this parameterization to sublimating snow and  
227 cloud ice, as long as the diameter for the latter exceeds  $200 \mu\text{m}$  (note that the cloud-ice to  
228 snow autoconversion diameter is set to  $500 \mu\text{m}$  in NorESM2). Sublimating cloud ice and  
229 snow mass is calculated by the default MG2 scheme. Moreover, since the Deshmukh et al.  
230 (2022) parameterization is developed based on the observations of dendritic particles, we only  
231 allow for sublimation break-up to activate between  $-10^\circ\text{C}$  and  $-20^\circ\text{C}$ , where such ice habits

232 are more likely to occur (Bailey and Hallett 2009). All new fragments are added to the cloud-  
233 ice category. Sublimation break-up of graupel, which is expected to occur at all temperatures  
234 (Deshmukh et al. 2022), is not accounted in the model, since graupel is not treated in MG2.

235 Finally, while PIP and SIP are significant ice-crystal sources, aggregation is a critical  
236 sink that can substantially decrease the cloud-ice number. However, its parameterization is  
237 also a source of uncertainty in atmospheric models (Karrer et al. 2021). The MG2 scheme  
238 accounts for aggregation through cloud ice-snow and snow-snow collisions. Accretion of  
239 cloud ice by snow follows the “continuous collection” approach as described in Rutledge and  
240 Hobbs (1983), while snow-snow aggregation follows Passarelli (1978). The aggregation  
241 efficiency ( $E_{ii}$ ) between ice particles is generally considered the product of their collision  
242 efficiency and sticking efficiency, with the latter depending on CKE and size (Phillips et al.  
243 2015). However, a very simplified approach for  $E_{ii}$  is usually found in climate models; in  
244 CAM6-Oslo this parameter is set constant to 0.5 (while it was 0.1 in the previous model  
245 version).

### 246 *c. Sensitivity simulations*

247 In this study, we examine the sensitivity of Arctic clouds to three main processes that  
248 determine cloud ice number: PIP, SIP and ice aggregation. At this point, it is worth noting  
249 that a bug has been recently identified in MG2 (Shaw et al. 2021), which limits ice formation  
250 in mixed-phase clouds. This is due to an upper limit ( $n_{imax}$ ) imposed for the ICNCs, that is  
251 equal to the INP number. Neither heterogeneous freezing processes nor SIP contribute to this  
252 INP limit, preventing them from producing new ice crystals (Shaw et al. 2021). In all our  
253 simulations we remove this  $n_{imax}$  limit, allowing PIP and SIP to evolve prognostically in the  
254 stratocumulus clouds. Our investigations on PIP effects include the use of either the  
255 prognostic or the diagnostic treatment for the freezing processes (see section 2*b*). Simulations  
256 that employ the Hoose and Möhler (2012) parameterization include the abbreviation 'CNT' in  
257 their name, while the ones that are run with diagnostic descriptions (Meyers et al. 1992; Bigg  
258 1953; Young et al. 1974) include the prefix 'MBY' (Table 1).

259 Sensitivity to SIP descriptions is examined by (a) either accounting for the standard SIP  
260 treatment in CAM6-Oslo which includes only the HM process after cloud droplet - snow  
261 collisions or (b) activating all the additional mechanisms, described in section 2*b*,  
262 simultaneously. Moreover, the performance of SIP processes like BR and DSH, which are a  
263 function of CKE, can be sensitive to different implementation methods. In this study, we

264 examine the performance of bulk vs hybrid-bin descriptions of SIP. Our bulk implementations  
265 follow the methodology of Sotiropoulou et al. (2020; 2021a,b) and Georgakaki et al. (2022)  
266 for BR and DSH, respectively. In their studies, the characteristic diameters and number-  
267 weighted velocities for each hydrometeor are used as input parameters for the Phillips et al.  
268 (2017a) and (2018) schemes, while the standard MG2 formulations for accretion/aggregation  
269 rates are used to estimate the collisions that lead to SIP.

270 A different approach was adapted by Zhao et al. (2020), who used an emulated bin  
271 approach to parameterize the two mechanisms described above, which better accounts for the  
272 impact of the size spectra variability on the collision rates and collisional kinetic energy. In  
273 their framework, the collision rates are calculated for each bin as  $E_c \delta N_1 \delta N_2 \pi (r_1 + r_2)^2 |u_1 - u_2|$ ,  
274 where  $E_c$  is the collision efficiency, and  $\delta N_1$  and  $\delta N_2$  are the number concentrations in the two  
275 bins with particle radii  $r_1$  and  $r_2$ , respectively. Similar to the bulk approach, the number of  
276 generated fragments per collision is estimated following Phillips et al. (2017a, 2018). Each  
277 new fragment produced by these two processes is assumed to have a 10- $\mu\text{m}$  size (Phillips et  
278 al. 2018). Sensitivity simulations that account for all SIP mechanisms include the abbreviation  
279 'SIP' in their name (Table I). If an emulated bin framework is used instead of a bulk  
280 description, this suffix is modified to 'SIPBN'. Note that the emulated bin framework is only  
281 tested for BR and DSH; a bulk approach is always used for HM and sublimation break-up in  
282 the model.

283 A previous application of these parameterizations in Arctic conditions (Sotiropoulou et  
284 al. 2020) has shown that BR is the dominant SIP mechanism. However, Sotiropoulou et al.  
285 (2021b) showed that the Phillips et al. (2017a) parameterization is largely sensitive to the  
286 sublimation factor ( $\psi$ ) – a correction factor for ice enhancement due to sublimation included  
287 in the BR formulation (see Appendix A). This factor was induced to account for the fact that  
288 the field data (Vardiman, 1978) used to constrain the number of fragments generated by this  
289 process were not collected in realistic in-cloud conditions. Dr. Vaughan Phillips suggests that  
290 the prescribed  $\psi$  in Phillips et al. (2017a) study is overestimated, leading to underestimation  
291 of the BR efficiency. For this reason we perform two more sensitivity simulations, with both  
292 prognostic and diagnostic PIP, with this factor removed from the BR formulation. These  
293 experiments include the suffix 'SIPBN $\psi$ ' in their name, as they are combined with the more  
294 advanced emulated bin framework.

295 Finally, ice aggregation is another process that has a significant impact on ICNCs, but its  
296 efficiency is described through a tuning parameter ( $E_{ii}$ ) in the model. Generally, observations

297 from mid-latitudes indicate the presence of two temperature zones that promote aggregation:  
 298 one around  $-15^{\circ}\text{C}$  (Barret et al. 2019) associated with enhanced dendritic growth that  
 299 facilitates interlocking of the ice crystal branches (Connoly et al. 2012), and a second one  
 300 close to the melting layer (Lamb and Verlinde 2011), caused by the increased sticking  
 301 efficiency of melting snowflakes. However, an analysis of recent dual-wavelength radar  
 302 observations of shallow clouds from Ny-Ålesund suggests that enhanced aggregation occurs  
 303 mostly between  $-10^{\circ}\text{C}$  and  $-15^{\circ}\text{C}$  (Chellini et al. 2021), while no evidence of this process is  
 304 found at higher temperatures. To adjust the aggregation efficiency to these new findings we  
 305 perform simulations with a modified  $E_{ii}$ . In the standard scheme,  $E_{ii}$  remains constant at 0.5  
 306 throughout the whole temperature range, while in our sensitivity simulations with the suffix  
 307 'AGG' this high value is only sustained between  $-10^{\circ}\text{C}$  and  $-15^{\circ}\text{C}$ . At colder temperatures,  $E_{ii}$   
 308 is set to 0.1, while at warmer temperatures aggregation is deactivated ( $E_{ii}=0$ ). A summary of  
 309 all the performed sensitivity tests and the different combinations of PIP, SIP and aggregation  
 310 treatments is given in Table 1.

311

312 **TABLE 1: Description of the sensitivity simulations**

	<b>Primary Ice Production</b>	<b>Secondary Ice Production</b>	<b>Aggregation</b>
CNT (CONTROL)	prognostic (CNT)	HM (cloud droplet-snow)	constant $E_{ii}$
MBY	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet-snow)	constant $E_{ii}$
CNT_AGG	prognostic (CNT)	HM (cloud droplet-snow)	variable $E_{ii}$
MBY_AGG	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet-snow)	variable $E_{ii}$
CNT_SIP	prognostic (CNT)	HM (cloud droplet/rain-snow), bulk BR, bulk DS, SUBBR	constant $E_{ii}$
MBY_SIP	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet/rain-snow), bulk BR, bulk DS, SUBBR	constant $E_{ii}$
CNT_SIPBN	prognostic (CNT)	HM (cloud droplet/rain-snow), bin BR, bin DS, SUBBR	constant $E_{ii}$
MBY_SIPBN	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet/rain-snow), bin BR, bin DS, SUBBR	constant $E_{ii}$
CNT_SIPBN $\psi$	prognostic (CNT)	HM (cloud droplet/rain-snow), bin BR ( $\psi=1$ ), bin DS, SUBBR	variable $E_{ii}$
MBY_SIPBN $\psi$	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet/rain-snow), bin BR ( $\psi=1$ ), bin DS, SUBBR	variable $E_{ii}$
CNT_SIPBN_AGG	prognostic (CNT)	HM (cloud droplet/rain-snow), bin BR, bin DS, SUBBR	variable $E_{ii}$

MBY_SIPBN_AGG	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet/rain-snow), bin BR, bin DS, SUBBR	variable $E_{ii}$
CNT_SIPBN $\psi$ _AGG	prognostic (CNT)	HM (cloud droplet/rain-snow), bin BR ( $\psi=1$ ), bin DS, SUBBR	variable $E_{ii}$
MBY_SIPBN $\psi$ _AGG	diagnostic (Meyers et al., Bigg, Young)	HM (cloud droplet/rain-snow), bin BR ( $\psi=1$ ), bin DS, SUBBR	variable $E_{ii}$

313

### 314 **3. Results**

315

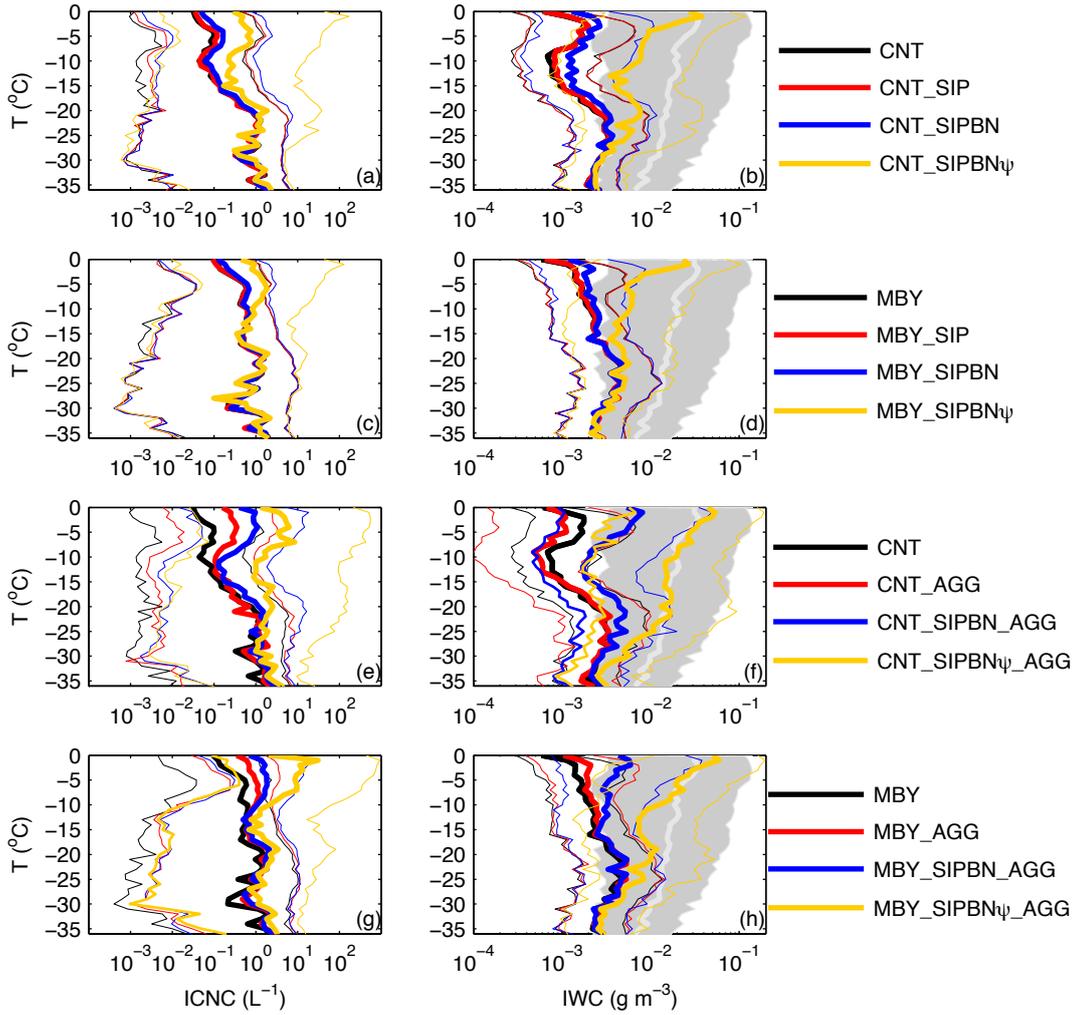
#### 316 *a. Ny-Ålesund site*

317

##### 318 **1) Cloud properties**

319 In this section we focus on the evaluation of the simulated cloud macrophysical properties  
320 against remote-sensing surface observations collected at Ny-Ålesund (see section 2a). An  
321 evaluation of the modeled thermodynamic conditions is presented in Figs. S1 and S2 in the  
322 Supporting Information. NorESM2 is in reasonably good agreement with temperature (Fig.  
323 S1) and IWV (Fig. S2) measurements, although somewhat colder conditions are often found  
324 in the model within the lowest first kilometer of the atmosphere (Fig. S1).

325 Instantaneous modeled ICNC and IWC values derived at 3-hour time resolution are used  
326 in Fig. 1, which presents the interquartile range and median estimates as a function of  
327 temperature. IWC retrievals are averaged over a  $\pm 10$ -minute window around the model output  
328 timesteps and within  $\pm 20$  meters around the model vertical levels, while ICNC measurements  
329 are not available at this site.



330

331 **FIG 1.** (a, c, e, g) Ice crystal number concentration (ICNC) and (b, d, f, h) ice water content (IWC) as  
 332 a function of temperature. Thick (thin) lines indicate median values (25<sup>th</sup> and 75<sup>th</sup> percentiles). Grey  
 333 shading (line) shows the observed interquartile range (median). Results are derived from the Ny-  
 334 Ålesund site (gridpoint) for the period June 2016- May 2018. The observed IWC values are averaged  
 335 over a  $\pm 10$ -minute window around the model output timesteps and within  $\pm 20$  meters round the model  
 336 vertical levels.

337

338 The aerosol-aware CNT (control) simulation produces median ICNC concentrations  
 339 slightly below  $0.1 \text{ L}^{-1}$  within the  $0^\circ\text{C}$  to  $-15^\circ\text{C}$  temperature range (Fig. 1a), which results in a  
 340 median IWC that is more than one order of magnitude lower than the observed (Fig. 1b). The  
 341 CNT interquartile range of IWC barely overlaps with the observed in Fig. 1b, while the  
 342 discrepancies between model and observations are reduced below  $-20^\circ\text{C}$ : the median IWC in  
 343 the CNT simulation is about a factor of 5 lower than the observed at these cold temperatures.  
 344 There is hardly any difference in ice properties between CNT and CNT\_SIP simulations (Fig.  
 345 1a,b), while CNT\_SIPBN results in very weak ICNC and IWC enhancement compared to  
 346 CNT (about 50% in the median values) at temperatures above  $-20^\circ\text{C}$ . CNT\_SIPBN $\psi$  is the

347 only simulation that results in significant ICNC enhancement, resulting in 5-10 times larger  
348 median values (Fig. 1a) at the relatively warm temperatures compared to CNT. CNT\_SIPBN $\psi$   
349 is the simulation that best agrees with IWC observations in Fig. 1b, as it is the only set-up that  
350 produces median IWC values that fall within the observed interquartile range.

351 The MBY simulation (Fig. 1c, d) produces about 3-10 times higher median ICNCs than  
352 CNT at temperatures above  $-15^{\circ}\text{C}$ , which improves the median IWC by a factor of 2-3.  
353 Improvements at colder temperatures are minor with the diagnostic PIP treatment. MBY\_SIP  
354 gives similar results to the MBY simulation, while MBY\_SIPBN results in a very weak shift  
355 of the ICNC and IWC interquartile range towards larger values. Again, MBY\_SIPBN $\psi$ , the  
356 simulation with the modified BR description (see Table 1), is the only one that results in a  
357 pronounced ICNC enhancement (Fig. 1c), which results in a more realistic IWC  
358 representation (Fig. 1d) compared to MBY, MBY\_SIP and MBY\_SIPBN. The results in  
359 panels (a-d) suggest that the bulk implementation of the BR and DSH mechanisms in the  
360 MG2 scheme limits their efficiency, compared to the use of a hybrid-bin framework.  
361 However, the treatment of the sublimation factor  $\psi$  in the BR parameterization is even more  
362 critical for the efficiency of this process, as CNT\_SIPBN $\psi$  (MBY\_SIPBN $\psi$ ) produces on  
363 average 5(4) times larger IWC values than CNT\_SIPBN (MBY\_SIPBN) at the temperature  
364 range where the BR process is active.

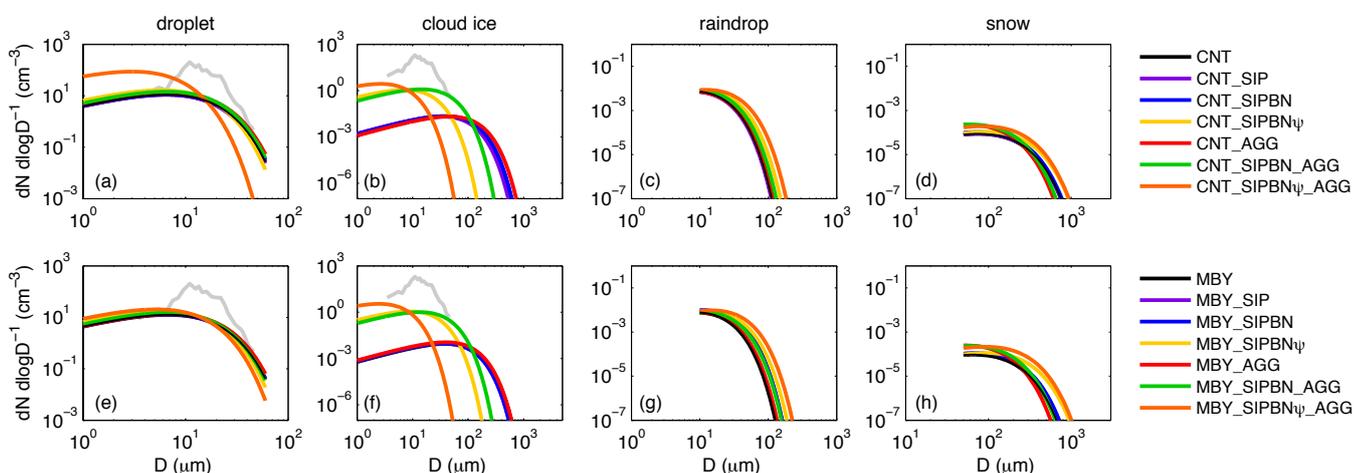
365 Panels (e-h) aim to examine the impact of reduced aggregation on both PIP and SIP  
366 efficiency. CNT\_AGG (Fig. 1e) results in a  $\sim 4$ -fold ICNC enhancement at temperatures  
367 above  $-15^{\circ}\text{C}$ , which is accompanied by a shift of the 25<sup>th</sup> IWC percentile towards smaller  
368 values, increasing the discrepancy from the observations (Fig. 1f). This is likely because  
369 decreased aggregation increases the number of ice crystals, but at the same time it decreases  
370 their size and thus their efficiency in the WBF process. CNT\_SIPBN\_AGG shifts the ICNC  
371 interquartile range at on average 10 times higher values (Fig. 1e) within the warm subzero  
372 temperature range, which results in IWC values that are in better agreement with observations  
373 (Fig. 1f). This simulation produces a median IWC within the observed interquartile range.  
374 The fact that the CNT\_SIPBN\_AGG simulation is much more efficient in ICNC enhancement  
375 than both CNT\_SIPBN (Fig. 1a) and CNT\_AGG indicates an important interplay between  
376 SIP and ice aggregation. An overestimated aggregation rate can substantially limit ice  
377 multiplication, as the new fragments will rapidly aggregate and form precipitation-sized  
378 particles that will lead to IWC depletion through sedimentation. It is worth noting that the  
379 worst CNT\_SIPBN\_AGG performance is found at temperatures between  $-10^{\circ}\text{C}$  and  $-25^{\circ}\text{C}$ ,

380 where the default aggregation efficiency remains unaffected (see section 2c). This suggests  
 381 that constraining ice aggregation is critical for the representation of Arctic cloud properties,  
 382 especially in conditions that favor SIP.

383 Finally, the CNT\_SIPBN $\psi$ \_AGG simulation, that combines a more efficient BR  
 384 mechanism with decreased aggregation, is the only set-up that results in up to two orders of  
 385 magnitude larger median ICNC values compared to CNT (Fig. 1e) and produces an IWC  
 386 interquartile range that is very similar to the observed (Fig. 1f). The simulations with the  
 387 diagnostic PIP scheme in Figs. 1g and 1h respond to aggregation and BR modifications in a  
 388 similar way as the CNT simulations discussed above, suggesting that results are less sensitive  
 389 to PIP than to SIP and aggregation treatment.

390 Unfortunately, the modeled ICNCs presented in Fig. 1 cannot be evaluated against  
 391 observations, as no such measurements were performed at Ny-Ålesund during the examined  
 392 period. Only measured cloud particle concentrations over a limited size range (5-50  $\mu\text{m}$ )  
 393 collected with a CVI are available (see section 2a). These are shown in Fig. 2 along with the  
 394 modeled droplet and cloud ice size spectra that include the measured size range. Size spectra  
 395 of larger particles, rain and snow, are also shown in the same figure to give a complete  
 396 overview of the microphysical differences between the different simulations.

397



398 **FIG 2.** (a, e) droplet, (b, f) cloud ice, (c, g) raindrop and (d, h) snow size distributions for the different  
 399 model sensitivity simulations. The first (second) row of panels presents simulations conducted with  
 400 prognostic (diagnostic) PIP. Grey lines in panels (a, e) and (b, f) represent the observed spectrum  
 401 derived from CVI for the size range 5-50  $\mu\text{m}$ . All data span the period June 2015 - February 2018, as  
 402 CVI measurements were not collected beyond this date.  
 403

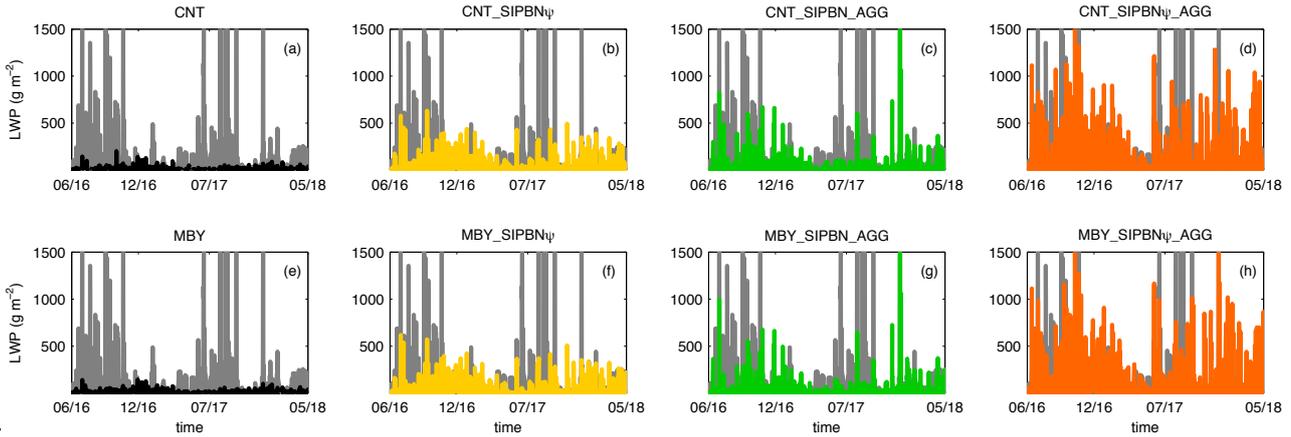
404

405 All model simulations underestimate the hydrometeor concentrations measured by the  
 406 CVI. CNT\_SIPBN\_AGG (Fig. 2b) and MBY\_SIPBN\_AGG (Fig. 2f) result in a pronounced

407 shift of the cloud ice spectra towards smaller sizes (Fig. 2b, f), which somewhat improves  
408 agreement with observations within the measured size range. Same behavior is found in  
409 CNT\_SIPBN $\psi$  (Fig. 2b) and MBY\_SIPBN $\psi$  (Fig. 2f) simulations, however these two  
410 experiments also produce a weak shift of the precipitation particle spectrum to larger sizes  
411 (Fig. 2d, e, g, h). CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG (Fig. 2a) produce the most  
412 pronounced differences in particles' spectra than all simulations. CNT\_SIPBN $\psi$ \_AGG  
413 substantially enhances the concentration of droplets between 1-10  $\mu\text{m}$ , while this  
414 enhancement is much weaker in MBY\_SIPBN $\psi$ \_AGG (Fig. 2e). Both these simulations  
415 produce large concentrations of cloud ice particles between 1-10 $\mu\text{m}$ , but no cloud ice at sizes  
416 above 50  $\mu\text{m}$  (Fig. 2b, f). However, raindrop and snow concentrations at these sizes are  
417 clearly enhanced compared to the other simulations (Fig. 2d, e, g, h). A comparison of the  
418 cloud ice and snow spectra reveals that the large ICNC enhancements observed in Fig. 1 for  
419 simulations with effective SIP mainly occur through an enhancement of the cloud ice  
420 category.

421 Apart from the CVI observations, insights into the microphysical properties can be  
422 obtained from the radar-retrieved  $r_{ieff}$ . However, this dataset is associated with large  
423 uncertainties (see section 2a). The retrievals result in a median (75<sup>th</sup> percentile)  $r_{ieff}$  of 49  $\mu\text{m}$   
424 (52  $\mu\text{m}$ ). These values are 76  $\mu\text{m}$  (121  $\mu\text{m}$ ) for CNT and 84  $\mu\text{m}$  (126  $\mu\text{m}$ ) for MBY, while  
425 somewhat improved  $r_{ieff}$  statistics are obtained for CNT\_SIPBN\_AGG, 71  $\mu\text{m}$  (107  $\mu\text{m}$ ), and  
426 MBY\_SIPBN\_AGG, 75  $\mu\text{m}$  (113  $\mu\text{m}$ ). Further decreased radii are produced by  
427 CNT\_SIPBN $\psi$  and MBY\_SIPBN $\psi$ , respectively: 63  $\mu\text{m}$  (90  $\mu\text{m}$ ) and 66  $\mu\text{m}$  (97  $\mu\text{m}$ ). The  
428 best agreement with the retrieved  $r_{ieff}$  statistics is achieved by CNT\_SIPBN $\psi$ \_AGG, 56  $\mu\text{m}$   
429 (77  $\mu\text{m}$ ) and MBY\_SIPBN $\psi$ \_AGG, 58  $\mu\text{m}$  (82  $\mu\text{m}$ ). All the other simulations give similar  $r_{ieff}$   
430 values to CNT and MBY. Despite the uncertainty in the radar estimates, the overall small  
431 radii suggest very limited aggregation and is indicative of SIP occurrence.

432



435  
 434 **FIG 3.** Timeseries of liquid water path (LWP) for the different model sensitivity simulations. The first  
 435 (second) row of panels presents simulations conducted with prognostic (diagnostic) PIP. Grey lines in  
 436 all panels represent radiometer measurements.

437  
 438 The representation of the cloud liquid phase is evaluated using radiometer measurements  
 439 of LWP, interpolated at the model timesteps (Fig. 3, Table 2). Figure 3 shows the sensitivity  
 440 simulations that result in the most pronounced differences compared to CNT and MBY.  
 441 Median and mean LWP statistics for all simulations are shown in Table 2. CNT and MBY  
 442 substantially underestimate LWP, especially during the warm seasons (Fig. 3a, e). The  
 443 modeled median LWP agrees with the observed value, however, the mean LWP values are  
 444 underestimated by a factor of  $\sim 6$ . Modifying aggregation, as in the CNT\_AGG and  
 445 MBY\_AGG simulations, somewhat improves the LWP statistics (Table 2), however, the  
 446 mean LWP remains about  $\sim 5$  times underestimated. The simulations characterized by very  
 447 weak SIP efficiency in Fig. 1 (CNT\_SIP, CNT\_SIPBN, MBY\_SIP, MBY\_SIPBN) result in  
 448 even more underestimated LWP values (Table 2).

449 A mean LWP larger than  $30 \text{ g m}^{-2}$  (Table 2), which is indicative of the dominance of  
 450 optically-thick clouds (Stephens 1978) is only produced by the simulations with substantially  
 451 enhanced ice production (yellow lines in Fig. 1) compared to the standard model set-up.  
 452 These are the only simulations that produce LWP values comparable to observations (Fig. 3).  
 453 Note that LWP measurements indicate a positively skewed distribution with a mean LWP  
 454 about ten times higher than the median value (Table 2). A similar distribution shape is only  
 455 produced by CNT\_SIPBN $\psi$ , MBY\_SIPBN $\psi$ , CNT\_SIPBN\_AGG and MBY\_SIPBN\_AGG,  
 456 CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG, which result in a median LWP value about  
 457 6-8 times lower than the mean. However, CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG are  
 458 the two simulations that produce the more realistic LWP statistics; their deviation from the

459 observed mean/median LWP falls within the instrument's uncertainty range  $\sim 25 \text{ g m}^{-2}$  (Table  
 460 2). These are also the simulations that produce the largest LWP values (Fig. 2d, h), while at  
 461 the same time they are characterized by the highest IWC (Fig. 1). The enhanced liquid content  
 462 is consistent with the generally higher cloud and rain droplet concentrations found in Fig. 2  
 463 for these simulations. Yet, the positive correlation between liquid and ice enhancement seems  
 464 paradoxical, as increasing ice production is usually associated with liquid depletion in mixed-  
 465 phase clouds.

466  
 467

468 **TABLE 2: Median and mean Liquid Water Path (LWP) for all sensitivity simulations.**

469

470

Simulations	Median LWP ( $\text{g m}^{-2}$ )	Mean LWP ( $\text{g m}^{-2}$ )
Observations	9.4	94.0
CNT (CONTROL)	9.0	16.0
MBY	8.3	15.7
CNT_AGG	9.9	20.5
MBY_AGG	9.0	18.8
CNT_SIP	2.8	10.3
MBY_SIP	4.2	11.5
CNT_SIPBN	2.9	12.4
MBY_SIPBN	4.4	12.9
CNT_SIPBN $\psi$	7.1	44.0
MBY_SIPBN $\psi$	6.9	40.6
CNT_SIPBN_AGG	4.7	34.8
MBY_SIPBN_AGG	5.3	33.1
CNT_SIPBN $\psi$ _AGG	17.3	110.4
MBY_SIPBN $\psi$ _AGG	13.3	103.9

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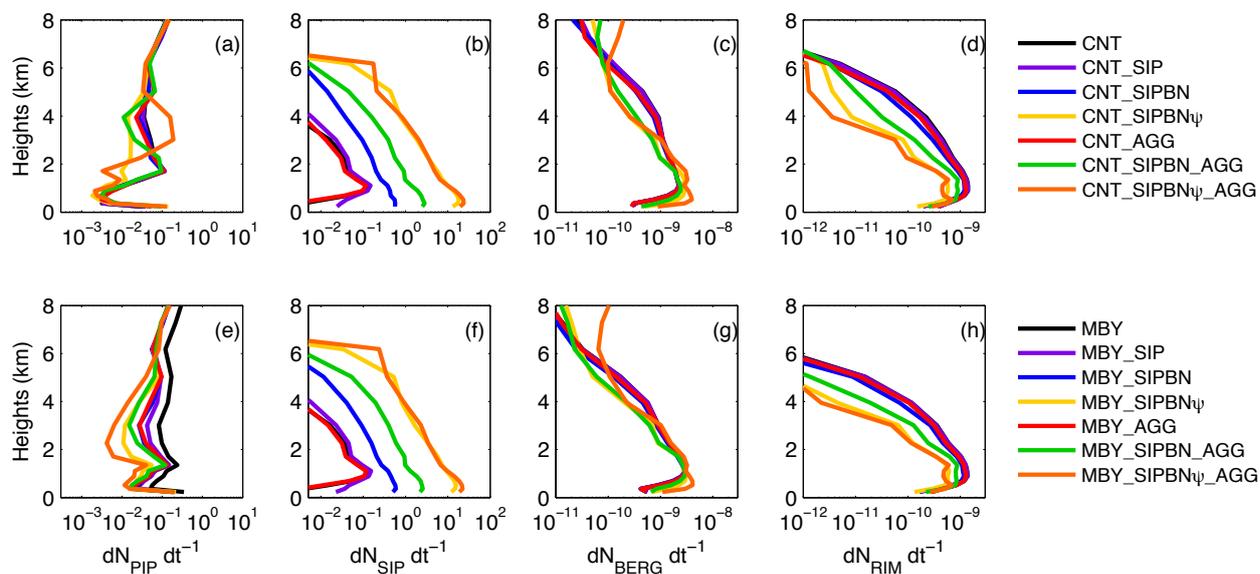
487

## 488 2) Microphysical processes

489 To better understand the interactions between the underlying microphysical processes that  
 490 drive the macrophysical differences between the different sensitivity simulations, vertical  
 491 profiles of mean PIP, SIP, WBF and riming tendencies are plotted in Fig. 4. The ice

492 multiplication tendencies of the individual SIP mechanisms are shown in Fig. 5. Interestingly,  
 493 when a diagnostic PIP treatment is applied (Fig. 4e), PIP rates generally decrease with  
 494 increasing ice production through modifications in SIP and/or aggregation, a behavior that is  
 495 not found in simulations with CNT (Fig. 4a). An analysis of the changes in thermodynamic  
 496 profiles between the simulations (Fig. S3a, c) indicate warmer temperatures with increasing  
 497 ice production, especially at heights above 1 km, while the specific humidity response is more  
 498 variable (Fig. S3b, d); since the diagnostic PIP parameterizations are solely dependent on the  
 499 thermodynamic conditions, these temperature variations can explain to a large extent the  
 500 variable PIP rates in Fig. 4e. In Fig. 4a substantial differences in PIP are only found for  
 501 CNT\_SIPBN $\psi$  and CNT\_SIPBN $\psi$ \_AGG; these differences seem to follow changes in  
 502 specific humidity profiles (Fig. S3b, d) suggesting that the prognostic PIP treatment is mostly  
 503 affected by variations in supersaturation.

504 SIP rates in CNT\_SIP and MBY\_SIP are very similar to CNT and MBY (Fig. 4b, f).  
 505 This is in agreement with the findings of Fig. 1, which reveal that the bulk implementations of  
 506 BR and DSH hardly result in any ice multiplication. This result is further confirmed by Fig. 5  
 507 which shows that BR and DSH tendencies are orders of magnitude smaller than those of HM.  
 508 Another interesting finding is that including rain-snow collisions in the HM description in the  
 509 CNT\_SIP and MBY\_SIP simulations does not enhance the efficiency of this process  
 510 compared to CNT and MBY that account only for cloud drop-snow collisions (Fig. 5a, e), as  
 511 the precipitation particle concentrations are generally limited (Fig. 2c,d,g,h). Furthermore,  
 512 sublimation breakup activates in the lowest five atmospheric kilometers, but remains  
 513 extremely weak through the whole layer (Fig. 5d, h).



515 **FIG 4.** Mean vertical profiles of number concentration tendencies ( $\text{kg}^{-1} \text{s}^{-1}$ ) due to (a, e) PIP and (b, f)  
516 SIP, (c, g), and mass concentration tendencies ( $\text{kg kg}^{-1} \text{s}^{-1}$ ) due to WBF and (d, h) riming for the  
517 different model sensitivity simulations. The WBF rate is the sum of the individual rates for cloud ice  
518 and snow particles, while riming is the sum of cloud droplet and rain accretion on snow. The first  
519 (second) row of panels presents simulations conducted with prognostic (diagnostic) PIP.

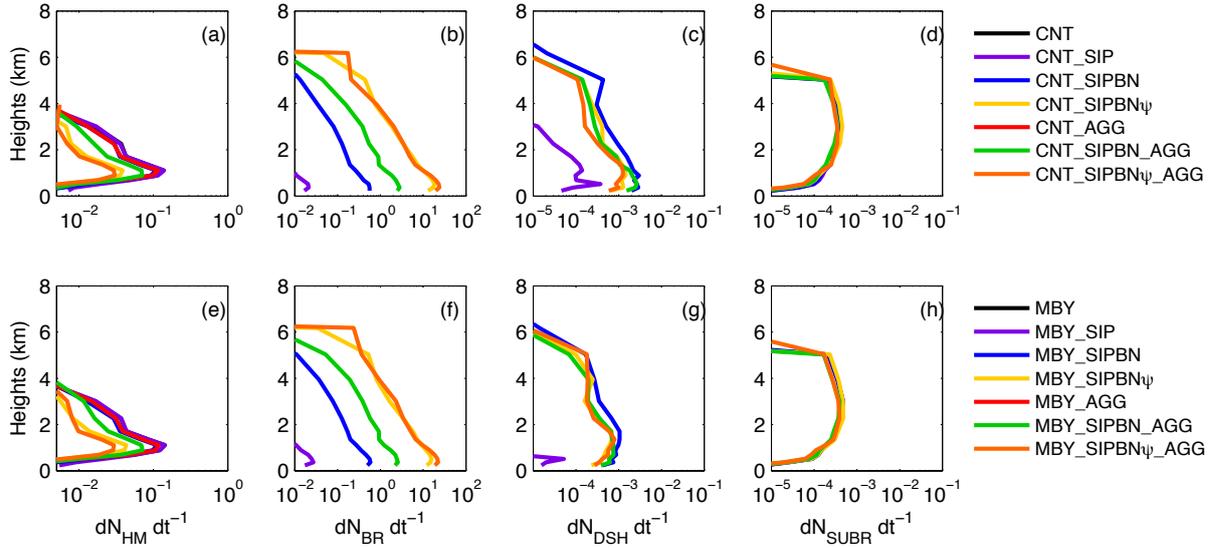
520

521 Utilizing an emulated bin framework for BR and DSH enhances SIP rates by on average  
522 a factor of  $\sim 5$  in the lowest 4 atmospheric kilometers, compared to the simulations that adapt  
523 bulk frameworks (Fig. 4b, f). SIP also becomes prominent at higher altitudes ( $> 4$  km), where  
524 bulk parameterizations do not produce any ice multiplication. Figure 5 indicates that the SIP  
525 is mainly due to the BR process. Although the emulated bin framework enhances DSH  
526 efficiency, the DSH rates remain substantially lower than those that correspond to the BR  
527 mechanism. Decreasing aggregation in CNT\_SIPBN\_AGG and MBY\_SIPBN\_AGG  
528 increases SIP efficiency by on average a factor of 5 (Fig. 4b, f), compared to CNT\_SIPBN  
529 and MBY\_SIPBN simulations, mainly through the enhancement of the BR process (Fig. 5b,  
530 f). Interestingly, the largest sensitivity of SIP is found in the treatment of the sublimation  
531 correction factor  $\psi$  in BR description. The simulations with  $\psi=1$  (Table 1), that do not account  
532 for this correction result in BR rates enhanced by 1-1.5 orders of magnitude (Fig. 5b,f),  
533 which highlights the importance of constraining this parameter for an accurate BR  
534 representation. It is worth noting that increasing BR efficiency is associated with decreasing  
535 HM rates (Fig. 5). This is due to the fact that increasing SIP results in smaller ice particle  
536 sizes that are less likely to rime and initiate HM. The impact of SIP on riming and the WBF  
537 efficiency will be discussed below.

538 The simulations with a modified  $\psi$  factor and/or aggregation efficiency are characterized  
539 by an enhanced (reduced) WBF efficiency in the low-level (mid-level) clouds (Fig. 4c, g)  
540 compared to the rest of the simulations that produce significantly less ice content (Fig. 1).  
541 These simulations are also characterized by decreased riming efficiency throughout the whole  
542 troposphere (Fig. 4d, h). This is likely due to the shift of the frozen hydrometeor spectra to  
543 smaller particle sizes (Fig. 2) that are less efficient in depositional growth and liquid  
544 accretion. These interactions can explain why the simulations with the largest ice  
545 multiplication are at the same time the ones characterized by the highest LWPs (Fig. 3).

546 Our findings indicate that the inclusion of missing SIP mechanisms in NorESM2 can  
547 improve the macrophysical representation of Arctic mixed-phase clouds, but this requires the  
548 use of an emulated bin framework for BR and DSH, which is computationally about two

549 times more demanding than the bulk descriptions of SIP. Modifications in the HM  
 550 description, with the inclusion of rain-snow interactions, did not enhance the efficiency of this  
 551 process in the examined conditions, suggesting that these modifications are redundant. BR  
 552 appears to be the dominant SIP mechanism, however its efficiency is very sensitive to the  
 553 treatment of the poorly constrained parameter  $\psi$ . DSH and SUBR processes are substantially  
 554 weaker in the examined conditions. DSH is likely not favored due to lack of relatively large  
 555 drops to initiate the process (Fig. 2c, g), while SUBBR is likely limited by the high relative  
 556 humidity conditions that generally dominate in the Arctic.



557  
 558 **FIG 5.** Mean vertical profiles of number concentration tendencies ( $\text{kg}^{-1} \text{s}^{-1}$ ) due to SIP from the (a, d)  
 559 HM, (b, f) BR and (c, f) DSH and (d, h) SUBBR for the different model sensitivity simulations. The  
 560 first (second) row of panels presents simulations conducted with prognostic (diagnostic) PIP.

561  
 562 ***b. Arctic region***

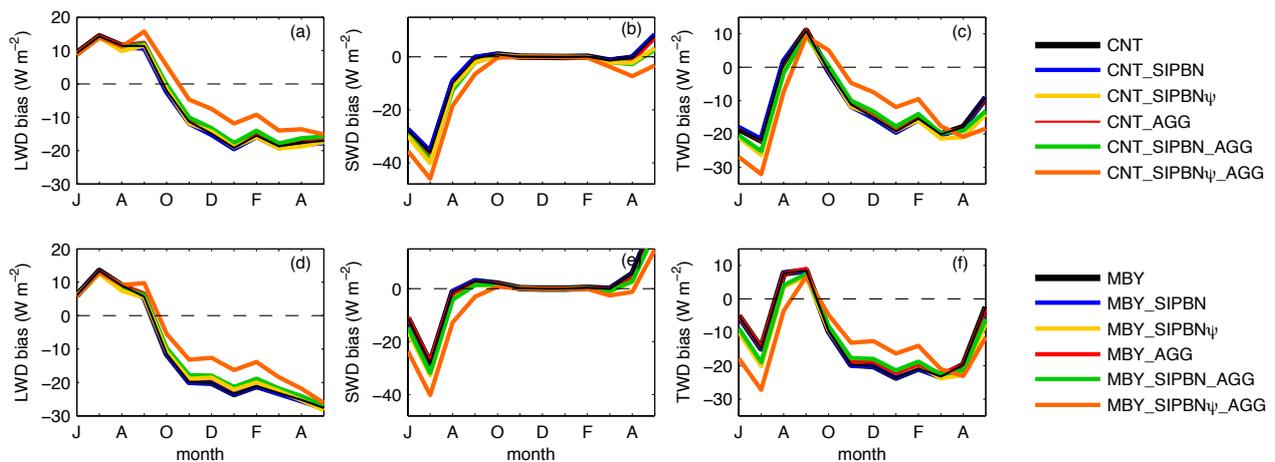
563 In this section, the performed simulations are evaluated against satellite observations averaged  
 564 over the whole Arctic region ( $>66^{\circ}\text{N}$ ). The only simulations that are not included in this  
 565 section are CNT\_SIP and MBY\_SIP, since the underlying microphysical processes (Figs. 4,  
 566 5) are very similar to CNT and MBY, respectively. Figure 6 shows the simulated radiation  
 567 biases compared to EBAF v4.1 measurements (see section 2.1). We focus on the downward  
 568 surface radiation components, longwave (LWD), shortwave (SWD) and their sum (TWD),  
 569 which are directly influenced by clouds. The upward components are largely determined by  
 570 the surface conditions.

571 The model underestimates the surface LWD by  $\sim 20 \text{ W m}^{-2}$  from the late autumn to  
 572 spring season when using the CNT PIP scheme (Fig. 6a), and the bias is somewhat larger with

573 the diagnostic primary ice treatment (Fig. 6d). A similar LWD overestimation ( $\sim 17 \text{ W m}^{-2}$ )  
 574 occurs in summer, but it does not vary with different PIP treatments. This suggests that the  
 575 summer LWD bias is mainly linked to warm cloud processes (Shaw et al. 2021). The only  
 576 simulations that significantly improve the representation of the LWD component are  
 577 CNT\_SIPBN $\psi$ \_AGG and MY\_SIPBN $\psi$ \_AGG, which decrease the LWD bias by up to  $\sim 7.5$   
 578  $\text{W m}^{-2}$  during the cold months.

579 The SWD component is underestimated in the summer by NorESM2, with SWD biases  
 580 reaching a maximum of  $-36$  and  $-25 \text{ W m}^{-2}$ , respectively, in the standard CNT and MBY  
 581 simulations (Fig. 6b, e). On contrary, SWD is overestimated in late spring, with the bias being  
 582 larger in simulations with a diagnostic PIP. CNT\_SIPBN $\psi$ \_AGG overall enhances these  
 583 biases, degrading the representation of the incoming solar radiation (Fig. 6b).  
 584 MBY\_SIPBN $\psi$ \_AGG results in larger SWD biases in the summer months compared to MBY,  
 585 but improves the SWD representation in April and May (Fig. 6e). The rest of the simulations  
 586 do not differ significantly from the standard NorESM2 version (CNT or MBY).

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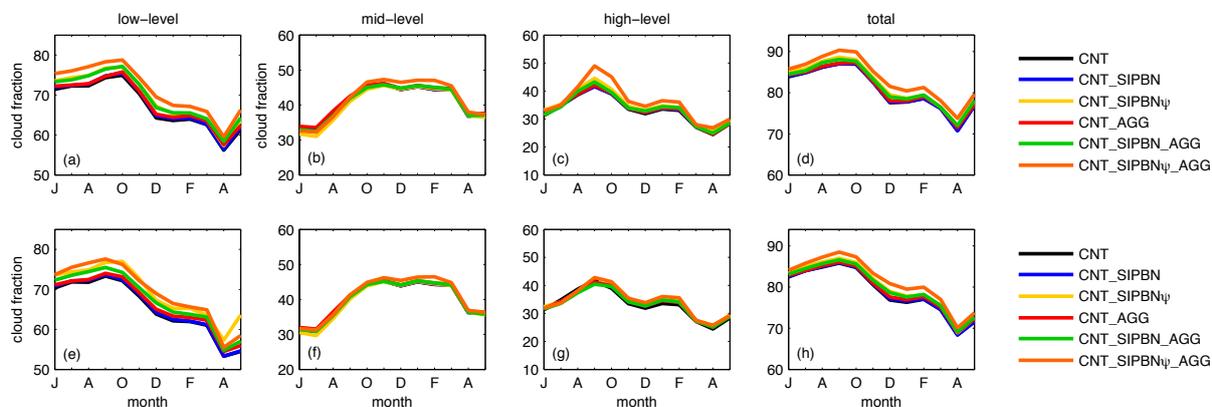
589 **FIG 6.** Timeseries of mean monthly surface downward (a, d) longwave, (b, e) shortwave and (c, f)  
 590 total radiation biases (model – EBAF) for different NorESM2 sensitivity simulations. The first  
 591 (second) row of panels presents simulations conducted with prognostic (diagnostic) PIP. Data are  
 592 averaged over the whole Arctic region, above  $66^{\circ}\text{N}$ , for the period June 2016-May 2018.

593

594 When adding the two radiation components, (Fig. 6c, f) NorESM2 results in negative  
 595 TWD biases from mid-autumn to early summer (October-June), while a positive bias is found  
 596 in August-September. The CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG produce reduced  
 597 TWD biases in the dark months, from October to March, as TWD is dominated by LWD

598 during this period. However, these simulations result in worse agreement with EBAF  
 599 measurements compared to CNT and MBY between May-July, when the SWD component  
 600 becomes more important.

601



602

603 **FIG 7.** Timeseries of mean monthly (a, e) low-, (b, f) mid-, high- (c, g) and (d, h) cloud cover (model  
 604 for the different NorESM2 sensitivity simulations. All data are vertically integrated over each model  
 605 grid. The first (second) row of panels presents simulations conducted with prognostic (diagnostic) PIP.  
 606 Data are averaged between 66°N and 82°N for the period June 2016-May 2018.

607

608 The modeled cloud fraction is shown in Fig. 7. For a more subjective evaluation against  
 609 the GOCCP satellite product, a modified cloud fraction derived from the Cloud feedback  
 610 model intercomparison Observations Simulator package (COSP) is shown in Fig. S4. The  
 611 CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG simulations with the largest ice production,  
 612 result in enhanced low-level cloud cover during the whole year (Fig. 7a, e), compared to the  
 613 rest of the sensitivity experiments. A weak enhancement is also found in mid-level cloud  
 614 cover during the cold months in these two simulations. High-level cloud cover is larger in  
 615 CNT\_SIPBN $\psi$ \_AGG compared to the rest of the simulations shown in Fig. 7c, especially in  
 616 August-October, while no significant differentiations are found in simulations with a  
 617 diagnostic PIP (Fig. 7g). These results are generally consistent with the behavior of LWD in  
 618 Fig. 6, as the larger low-level cloud fraction in the CNT\_SIPBN $\psi$ \_AGG and  
 619 MBY\_SIPBN $\psi$ \_AGG simulations result in enhanced downward longwave emission. Total  
 620 cloud cover is also higher in these two experiments (Fig. 7d, h), while weak increases are also  
 621 found in CNT\_SIPBN $\psi$ , MBY\_SIPBN $\psi$ , CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG,  
 622 compared to the rest of the simulations. The COSP-derived results (Fig. S4) produce a more  
 623 enhanced mid-level and high-level cloud response to increasing ice formation, compared to  
 624 the standard model output (Fig. 7). Overall, COSP total cloud fraction somewhat increases in

625 CNT\_SIPBN $\psi$ \_AGG and MBY\_SIPBN $\psi$ \_AGG compared to CNT and MBY, respectively,  
626 resulting in a slightly improved agreement with the GOCCP observations during the dark  
627 months (Fig. S4).

628

#### 629 **4. Summary**

630 In this study, we examine the sensitivity of Arctic cloud properties to the representation of ice  
631 microphysical processes in NorESM2. The primary target is to quantify the impact of PIP and  
632 SIP parameterizations on the cloud macrophysical structure and radiative effects. Sensitivity  
633 simulations with PIP are performed with two different primary ice treatments: (a) a prognostic  
634 CNT scheme that explicitly predicts ice formation from cloud-aerosol interactions and (b)  
635 diagnostic temperature-dependent parameterizations for all the heterogeneous freezing  
636 processes. The standard version of NorESM2 accounts only for the HM process through  
637 droplet-snow collisions. The sensitivity to SIP is examined by implementing additional SIP  
638 mechanisms, namely the BR, DSH and SUBBR mechanisms. Furthermore, the HM  
639 description is modified to account for rain-snow collisions.

640 The interactions of PIP and SIP with ice aggregation are also a subject of the present  
641 study. The standard parameterization of this process in NorESM2 includes a constant  
642 aggregation efficiency ( $E_{ii}$ ) set to 0.5. To investigate the sensitivity of our results to this  
643 parameter, we adapt a variable  $E_{ii}$  which is qualitatively constrained by recent dual-  
644 wavelength radar measurements of shallow Arctic clouds (Chellini et al. 2021):  $E_{ii}$  is set to 0.5  
645 at temperatures between  $-10^{\circ}\text{C}$  and  $-15^{\circ}\text{C}$  and to 0 (0.1) at temperatures below (above) this  
646 range. The model results are evaluated against surface observations from Ny-Ålesund and  
647 satellite retrievals over the whole Arctic.

648 Using CNT instead of diagnostic PIP descriptions results in a worse agreement with  
649 IWC observations from Ny-Ålesund at temperatures between  $-5^{\circ}\text{C}$  and  $-15^{\circ}\text{C}$ , when no other  
650 modification in SIP or aggregation is implemented. We speculate that the reason for this  
651 behavior is that the NorESM2 CNT parameterization does not account for aerosol types that  
652 are efficient INPs at relatively warm temperatures (e.g. biological aerosols). The additional  
653 SIP mechanisms enhance ice production, but BR and DSH mechanisms are efficient only  
654 when an emulated bin framework is used for their description. While bulk descriptions of  
655 these mechanisms are efficient in polar conditions in higher-resolution models (Sotiropoulou  
656 et al. 2020; 2021b), they hardly lead to any ice multiplication in NorESM2. We speculate that  
657 this happens for two main reasons. First of all, BR efficiency depends highly on the number

658 and type of frozen hydrometeors. The MG2 scheme accounts only for two frozen categories,  
659 cloud ice and snow, thus only two types of collisions can lead to break-up: cloud ice-snow  
660 and snow-snow. Other schemes that account for graupel or hail (e.g. Morrison et al. 2005) can  
661 describe BR from a substantially larger number of collision types and may result in more  
662 efficient SIP. Moreover, using the characteristic diameter of each hydrometeor category as  
663 input to the BR and DSH parameterizations can substantially limit their efficiency, as this  
664 value might not overcome the threshold diameter that can initiate effective SIP.

665 Overall, BR is substantially more effective than any other SIP mechanism, but its  
666 efficiency highly depends on the treatment of the correction factor  $\psi$ , which is included in the  
667 Phillips et al. (2017a) parameterization to account for the ice enhancement due to sublimation.  
668 This is an unconstrained parameter, while the value assigned by Phillips et al. (2017a) likely  
669 results in underestimations of the BR effect. DSH and SUBBR are the two mechanisms with  
670 the weakest efficiency in the examined conditions. Moreover, modifications in the HM  
671 description to account for rain-snow collisions do not enhance the efficiency of the process.  
672 HM and DSH are likely limited by the fact that relatively large raindrops are generally few in  
673 the examined conditions. SUBBR is likely not favored due to the high relative humidity  
674 conditions that often persist in polar environments. However, it is worth noting that the  
675 current SUBBR implementations concern only snow particles that can undergo sublimation  
676 break-up only within a limited temperature range (see Section 2c). In contrast, sublimation  
677 break-up of graupels can occur at any temperature (Deshmukh et al. 2022). Since this particle  
678 category is not treated by MG2, the overall efficiency of the SUBBR mechanism might be  
679 underestimated in our simulations.

680 Interestingly, SIP efficiency increases substantially with decreasing ice aggregation in  
681 our simulations. This is because enhanced SIP results in enhanced ice aggregation when a  
682 constant aggregation efficiency is assumed. However, in reality, this might not be necessarily  
683 true as enhanced SIP may lead to the prevalence of small ice particles that are not efficient in  
684 aggregation or to the reduction of dendritic ice crystal concentrations through break-up;  
685 dendrites are the ice habits that are known to be most favorable for aggregation (Karrer et al.,  
686 2021; Chellini et al., 2021). Nevertheless, our simulations indicate that a good agreement with  
687 macrophysical observations from Ny-Ålesund is only achieved in the simulations with  
688 enhanced BR and qualitatively constrained aggregation. It is worth noting that with this set-  
689 up, the choice of PIP scheme does not play an important role, as SIP efficiency dominates  
690 over PIP.

691 Another interesting finding in our study is that the simulations with significantly  
692 enhanced ice production result in increased supercooled liquid water in Ny-Alesund and  
693 increased total cloud cover over the whole Arctic region. This is in contrast to the general  
694 consensus that increasing ice content is more likely to lead to liquid depletion through the  
695 WBF process. Our results show that in some cases a significant shift of the frozen  
696 hydrometeor spectra to smaller sizes can result in ice particles that grow less efficiently  
697 riming and occasionally less efficient WBF process. Overall, our modification in SIP and ice  
698 aggregation results in improved downward radiation compared to observations during the  
699 dark and cold months. This is because the enhanced cloud cover in these simulations  
700 enhances downward longwave emission, decreasing the negative LWD bias that is produced  
701 by the standard NorESM2 model between November-April.

702

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716

### 717 **Data availability statement:**

718 Both surface-based and satellite observations are available online. LWP datasets from Ny-  
719 Ålesund for the years 2016, 2017 and 2018 can be found at  
720 <https://doi.org/10.1594/PANGAEA.902096> (Nomokonova et al. 2019a),  
721 <https://doi.org/10.1594/PANGAEA.902098> (Nomokonova et al. 2019b) and  
722 <https://doi.org/10.1594/PANGAEA.902099> (Nomokonova et al. 2019c). IWC and  $R_{i,eff}$  data  
723 can be found at <https://doi.pangaea.de/10.1594/PANGAEA.898556> (Nomokonova et al.

724 2019d). HATPRO temperature profiles can be downloaded from  
 725 <https://doi.org/10.1594/PANGAEA.902145> (Nomokova et al. 2019e),  
 726 <https://doi.org/10.1594/PANGAEA.902146> (Nomokova et al. 2019f) and  
 727 <https://doi.org/10.1594/PANGAEA.902147> (Nomokova et al. 2019g). Ny-Ålesund IWV  
 728 measurements for the same years are available at <https://doi.org/10.1594/PANGAEA.902140>  
 729 (Nomokonova et al. 2019h), <https://doi.org/10.1594/PANGAEA.902142> (Nomokova et al.  
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 731 measurements are available at <https://doi.org/10.17043/zeppelin-cloud-aerosol-1> (Karlsson et  
 732 al. 2021b). The CERES-EBAF data are retrieved from <https://ceres.larc.nasa.gov/data/>, while  
 733 GOCCP dataset can be downloaded from <https://climserv.ipsl.polytechnique.fr/cfmip-obs/>.  
 734 ERA-Interim reanalysis products can be accessed through  
 735 <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>.

736

### 737 **Appendix A: Sublimation corrector factor in BR formulation**

738 The Phillips et al. (2017a) parameterization predicts the number of fragments ( $F_{BR}$ ) generated  
 739 from mechanical break-up upon collisions of two ice particles using the equation:

$$740 F_{BR} = \alpha A \left( 1 - \exp \left\{ - \left[ \frac{CK_o}{\alpha A} \right]^\gamma \right\} \right)$$

741 where  $K_o$  is the collisional kinetic energy,  $\alpha$  is the surface area of the smaller ice particle that  
 742 undergoes fracturing,  $A$  represents the number density of the breakable asperities in the region  
 743 of contact,  $\gamma$  is a function of the particle's rimed fraction and  $C$  is the asperity-fragility  
 744 coefficient, which is a function of a correction term ( $\psi$ ) for the effects of sublimation based on  
 745 the field observations by Vardiman (1978). Specifically, for planar ice the assigned values  
 746 are:  $C = 7.08 \times 10^6 \psi$  and  $\psi = 3.5 \times 10^{-3}$ . Thus, a  $\psi$  value smaller than unity has a decreasing  
 747 impact on  $F_{BR}$  estimation. Setting  $\psi=1$  in the sensitivity simulations with ' $\psi$ ' suffix assumes no  
 748 impact of sublimation break-up on the Vardiman (1978) data used to constrain the above  
 749 formulation.

750

751

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