

1 **Supporting Information for "Fluctuations of the**  
2 **Atlantic North Equatorial Undercurrent and**  
3 **associated changes in oxygen transports"**

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8 Text S1 to S3, Figures S1 to S7 and Table S1.

9

10

11 **Introduction**

12 In section S1 of the Supporting Information we give an detailed overview about the pro-  
13 cessing of the moored and shipboard observations. Section S2 presents details of the  
14 estimation of eastward transports from zonal velocity observations, their accuracies and  
15 uncertainties as well as their associated spatial patterns. In section S3 we investigate the  
16 relation between the NEUC transport and zonal wind stress in the tropical Atlantic.

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## 17 **S1: Moored and shipboard observations**

### 18 Moored data

19 For our analysis we used velocity, hydrography and oxygen data from moorings at  
20  $5^{\circ}\text{N}/23^{\circ}\text{W}$  (Jul 2006-Feb 2008, Nov 2009-Jan 2018),  $4.6^{\circ}\text{N}/23.4^{\circ}\text{W}$  (Nov 2012-Apr 2014)  
21 and  $4.5^{\circ}\text{N}/22.4^{\circ}\text{W}$  (Nov 2012-Apr 2014). At all three mooring positions horizontal ve-  
22 locity was measured with downward (Jul 2006-Feb 2008) or upward (Nov 2009-Jan 2018)  
23 looking 75-kHz Longranger Acoustic Doppler Current Profilers (ADCPs). The ADCP  
24 configuration was set to a sampling period of 2 h, a bin length of 16 m and an ensemble  
25 number of 20 pings. A single velocity data point has a standard error of  $1.7\text{ cm s}^{-1}$ . Given  
26 the manufacturer's compass accuracy of  $2^{\circ}$ , we inferred a velocity error of  $< 4\%$  of the  
27 absolute measured velocity (Hahn et al., 2014). The minimum measurement range of all  
28 mooring periods is 85 m to 755 m. The moored velocity data was linearly interpolated  
29 onto a regular time-depth grid ( $12\text{ h} \times 10\text{ m}$ ), and a 40-h low-pass Butterworth filter was  
30 applied to remove the tidal signal from the time series (Fig. S1).

31 Eight pairs of oxygen (AADI Aanderaa optodes of model types 3830 and 4330) and  
32 Conductivity-Temperature-Depth (CTD) sensors (Sea-Bird SBE37 microcats) were in-  
33 stalled at the moorings evenly distributed in the depth range from 100 m to 800 m. This  
34 configuration allows an appropriate estimate of the dissolved oxygen on density surfaces.  
35 All instruments were set to a sampling period of 2 h or shorter. The oxygen and CTD  
36 sensors were calibrated against CTD casts performed directly prior to or after the de-  
37 ployment period of the mooring. The oxygen sensors were additionally calibrated against  
38 laboratory measurements to expand the range of reference calibration points. For more  
39 details of the oxygen calibration see Hahn et al. (2014). The root mean square error

40 of moored temperature, salinity and dissolved oxygen measurements was about  $0.003^{\circ}\text{C}$ ,  
41  $0.006$  and  $3\ \mu\text{mol kg}^{-1}$ , respectively (see Hahn et al., 2017). The point measured hydrog-  
42 raphy and oxygen data was interpolated onto a 12-h time grid.

43

#### 44 Shipboard data

45 24 meridional velocity and 15 hydrographic and oxygen sections between  $21^{\circ}\text{W}$  and  $26^{\circ}\text{W}$   
46 were obtained during cruises between 2002 to 2018 (Table S1). All ship sections cover  
47 at least the upper 350 m between  $0^{\circ}$  and  $10^{\circ}\text{N}$ . The velocity, hydrographic and oxygen  
48 ship sections used in this study are an extension of the data set used in Burmeister et al.  
49 (2019).

50 Velocity data were acquired by vessel-mounted ADCPs (vm-ADCPs). Vm-ADCPs con-  
51 tinuously record velocities throughout a ship section and the accuracy of 1-h averaged data  
52 is better than  $2\text{-}4\ \text{cm s}^{-1}$  (Fischer et al., 2003). Hydrographic and oxygen data obtained  
53 during CTD casts were typically performed on a uniform latitude grid with half-degree  
54 resolution. The data accuracy for a single research cruise is generally assumed to be  
55 better than  $0.002^{\circ}\text{C}$ ,  $0.002$  and  $2\ \mu\text{mol kg}^{-1}$  for temperature, salinity, and dissolved oxy-  
56 gen, respectively (Hahn et al., 2017). The single velocity, hydrographic and oxygen ship  
57 section were mapped on a regular grid ( $0.05^{\circ}$  latitude  $\times$  10 m) and were smoothed by  
58 a Gaussian filter (horizontal and vertical influence (cutoff) radii:  $0.05^{\circ}$  ( $0.1^{\circ}$ ) latitude  
59 and 10 m (20 m), respectively). The single sections were averaged at each grid point to  
60 derive mean sections, which are again smoothed by the Gaussian filter. For the mean ve-  
61 locity, temperature, salinity and oxygen sections the standard error in the NEUC region

62 (65 – 270 m depth, 3° – 6.5°N) are 1.7 cm s<sup>-1</sup>, 0.22°C, 0.02 and 3.8 μmol kg<sup>-1</sup>, respectively.

63

## 64 **S2: NEUC transport calculations**

### 65 Path following algorithm

66 We derived estimates of the NEUC transport from the 24 meridional ship sections based  
67 on the algorithm of Hsin and Qiu (2012) which we consider as a reference NEUC transport.

68 First, the central position  $Y_{CM}$  of the current is estimated using the concept of center of  
69 mass:

$$Y_{CM}(t) = \frac{\int_{Z_l}^{Z_u} \int_{Y_S}^{Y_N} y u(y, z, t) dy dz}{\int_{Z_l}^{Z_u} \int_{Y_S}^{Y_N} u(y, z, t) dy dz}, \quad (1)$$

70 where  $y$  is latitude,  $u$  is zonal velocity,  $z$  is depth,  $t$  is time,  $Z_u$  ( $Z_l$ ) is upper (lower)  
71 boundary of the flow, and  $Y_N = 6^\circ\text{N}$  ( $Y_S = 3.5^\circ\text{N}$ ) is the northern (southern) limit of  
72 the current core. We estimated a mean NEUC central position of 4.9°N and a standard  
73 deviation of  $\pm 0.3^\circ$ .

74 Now the eastward velocity is integrated within a box whose meridional range is given  
75 by  $Y_{CM}(t)$  and the southern ( $B_S$ ) and northern ( $B_N$ ) extent of the flow:

$$INT(t) = \int_{Z_l}^{Z_u} \int_{Y_{CM}-B_S}^{Y_{CM}+B_N} u(y, z, t) dy dz \quad (2)$$

76 For the integration we used the same boundary conditions as Burmeister et al. (2019).  
77  $Z_u$  is the depth of the 24.5 kg m<sup>-3</sup> and  $Z_l$  the depth of the 26.8 kg m<sup>-3</sup> neutral density  
78 surface. The southern boundary is chosen as  $Y_{CM} - 1.5^\circ$  and the northern boundary is  
79  $Y_{CM} + 1.0^\circ$ . Note that, if no hydrographic measurements are available for a single ship

80 section, the neutral density field derived from the mean hydrographic section is used.

81

## 82 Transport reconstruction

83 The eastward transport associated with the NEUC at about  $23^{\circ}\text{W}$  is computed using  
84 moored velocity data at  $5^{\circ}\text{N}$ ,  $23^{\circ}\text{W}$  (2006-2018) as well as  $4.6^{\circ}\text{N}$ ,  $22.4^{\circ}\text{W}$  (Nov. 2012-  
85 Apr. 2014) and  $4.5^{\circ}\text{N}$ ,  $23.4^{\circ}\text{W}$  (Nov. 2012-Apr. 2014) combined with 24 meridional  
86 ship sections between  $21^{\circ}\text{W}$  and  $26^{\circ}\text{W}$  (Fig S1). In the main manuscript we reconstruct  
87 the NEUC transport using the optimal width (OW) method as described in Brandt et  
88 al. (2014). We chose this simple method because it is sufficient to represent the NEUC  
89 variability and more complex methods do not add any value, which we will show in this  
90 section. We validate the OW method using another approach from Brandt et al. (2014)  
91 based on Hilbert empirical orthogonal functions (HEOFs).

92 In the second approach the meridional sections of zonal velocity are reconstructed from  
93 the moored zonal velocities by interpolation and extrapolation using data taken at the  
94 mooring position. For the reconstruction of meridional sections we use variability patterns  
95 derived from the 24 meridional ship sections. Therefore we calculate HEOF pattern from  
96 the velocity sections between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$ , 65 m and 270 m (black dashed frame in  
97 Fig. S1). Here, a Hilbert transformation is applied to the zonal velocity fields before an  
98 EOF analysis is performed. The advantage of an HEOF is that the statistical patterns  
99 efficiently reveal spatial propagation features as for example a meridional migration of  
100 the current, in contrast to a traditional EOF. The first HEOF pattern explains 56% of  
101 variability contained in the ship section. The real pattern of the first HEOF shows a  
102 homogeneous change of velocities over the complete integration area (Fig. S3). Using

103 only the first HEOF patterns to interpolate between the mooring positions by regressing  
104 the patterns onto the moored zonal velocity observations results in similar reconstructed  
105 transports as the OW method (black and red line in Fig. S4). As the homogeneous  
106 structure of the first HEOF explains most of the variability, there is no added value by  
107 including more HEOF patterns to reconstruct the NEUC transport. Nevertheless we  
108 want to mention here that the second pattern with a explained variance of 20% describes  
109 a meridional shift of the NEUC. A vertical shift of the NEUC might be described by the  
110 patterns of the third and fourth HEOF.

111 To investigate whether the dominant pattern of the first HEOF of the zonal velocities  
112 between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$  represents a meridional migration of the NEUC out of the  
113 calculation area the HEOF method is repeated using the zonal velocities between  $3.5^{\circ}\text{N}$   
114 and  $6.0^{\circ}\text{N}$ . This region covers the southern and northern boundary of the NEUC even if  
115 the current is meridionally migrating. The fixed box integrated transports for this region  
116 calculated from the ship sections (gray squares in Fig. S4) agrees well with the reference  
117 transports. Again, the real pattern of the first HEOF shows a homogeneous change of  
118 zonal velocity although it explains less variability compared to the first HEOF of the  
119 smaller box. Furthermore, the first and second pattern which explain together 66% of the  
120 velocity variability seem to describe a meridional shift of the current. Nevertheless, the  
121 eastward transport time series reconstructed using the first (yellow line in Fig. S4) or the  
122 first two HEOF pattern (blue line in Fig. S4) of zonal velocities between  $3.5^{\circ}\text{N}$  and  $6.0^{\circ}\text{N}$   
123 agrees well with that reconstructed from velocities between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$ . The mean  
124 transport estimates using the bigger box is 1.9 Sv.

125 In summary, the reconstructed eastward transports between 4.25°N and 5.25°N tend to  
126 underestimate the mean current strength of the NEUC, however the time series is able to  
127 capture the NEUC variability reasonably well. We choose the smaller box to reconstruct  
128 the NEUC transport variability due to the smaller uncertainty of the reconstructed trans-  
129 ports when using only the mooring at 5°N, 23°W.

130

### 131 **S3: NEUC and sea surface winds**

#### 132 Auxiliary data

133 Monthly mean JRA-55 surface wind velocities ( $U_h$ , Kobayashi et al., 2015) on a  
134  $1.25^\circ \times 1.25^\circ$  horizontal grid for the time period from 2006 to 2018 are used in this study.  
135 We calculated the wind stress  $\tau_h$  from the JRA-55 reanalysis data using the Bulk formula  
136  $\tau_h = \rho_{air} C_D |U_h| U_h$ , where  $\rho_{air} = 1.22 \text{ kg m}^{-3}$  is the density of air,  $C_D = 0.0013$  is the wind  
137 drag coefficient and  $|U_h|$  is the absolute value of  $U_h$ .

138 Furthermore, we are using monthly mean wind stress from the ASCAT on METOP  
139 Level 4 Daily Gridded Mean Wind Fields (Bentamy & Fillon, 2012). The dataset has  
140 a horizontal resolution of  $0.25^\circ$  covering the time period from April 2007 to May 2018.  
141 For comparison, ASCAT wind stress data are regridded onto the horizontal grid of the  
142 JRA-55 reanalysis data ( $1.25^\circ$ ) by bin averaging.

143

#### 144 Linear regression

145 We performed a lead-lag regression of zonal wind stress anomalies with respect to the  
146 2008 to 2017 climatology onto the reconstructed NEUC time series for two different wind  
147 products (Fig. S6). The regression pattern of both wind products generally agree. Differ-

ences in the wind stress products may arise from the different kind of data that is used.  
Another source of uncertainty may be different Bulk formulas used for the wind stress  
calculations, which can result in an uncertainty up to 20% (Large & Yeager, 2004).

In the linear regression patterns, easterly wind stress anomalies between 12°S and 6°N  
east of about 25°W are leading the NEUC transports by one to two months. Along the  
equator, these easterly wind stress anomalies may trigger equatorial Kelvin waves. These  
Kelvin waves may remotely generate Rossby waves traveling as far as 5°N, 23°W by  
reflecting at the eastern boundary into Rossby waves and coastal trapped waves traveling  
northward along the coast and generating Rossby waves when the topography is turning  
north. Rossby waves at 5°N, 23°W may also be generated locally (Burmeister et al., 2016;  
Foltz et al., 2010). In the ASCAT and JRA-55 data easterly wind stress anomalies above  
the NEUC region with decreasing magnitude towards the north lead the NEUC transports  
by two months. The decreasing zonal wind stress indicates changes in the wind stress  
curl, which may locally generate Rossby waves altering the NEUC flow. Furthermore  
local zonal wind stress anomalies along the northern coastline of the Gulf of Guinea can  
trigger westward propagating coastal trapped waves which again generate Rossby waves  
radiating from the coast when the topography turns north (Chu et al., 2007). In general,  
the relative low coefficient of correlation ( $R < 0.45$ ) suggest that the wind stress field can  
only explain some part of the NEUC variability and other processes must contribute.

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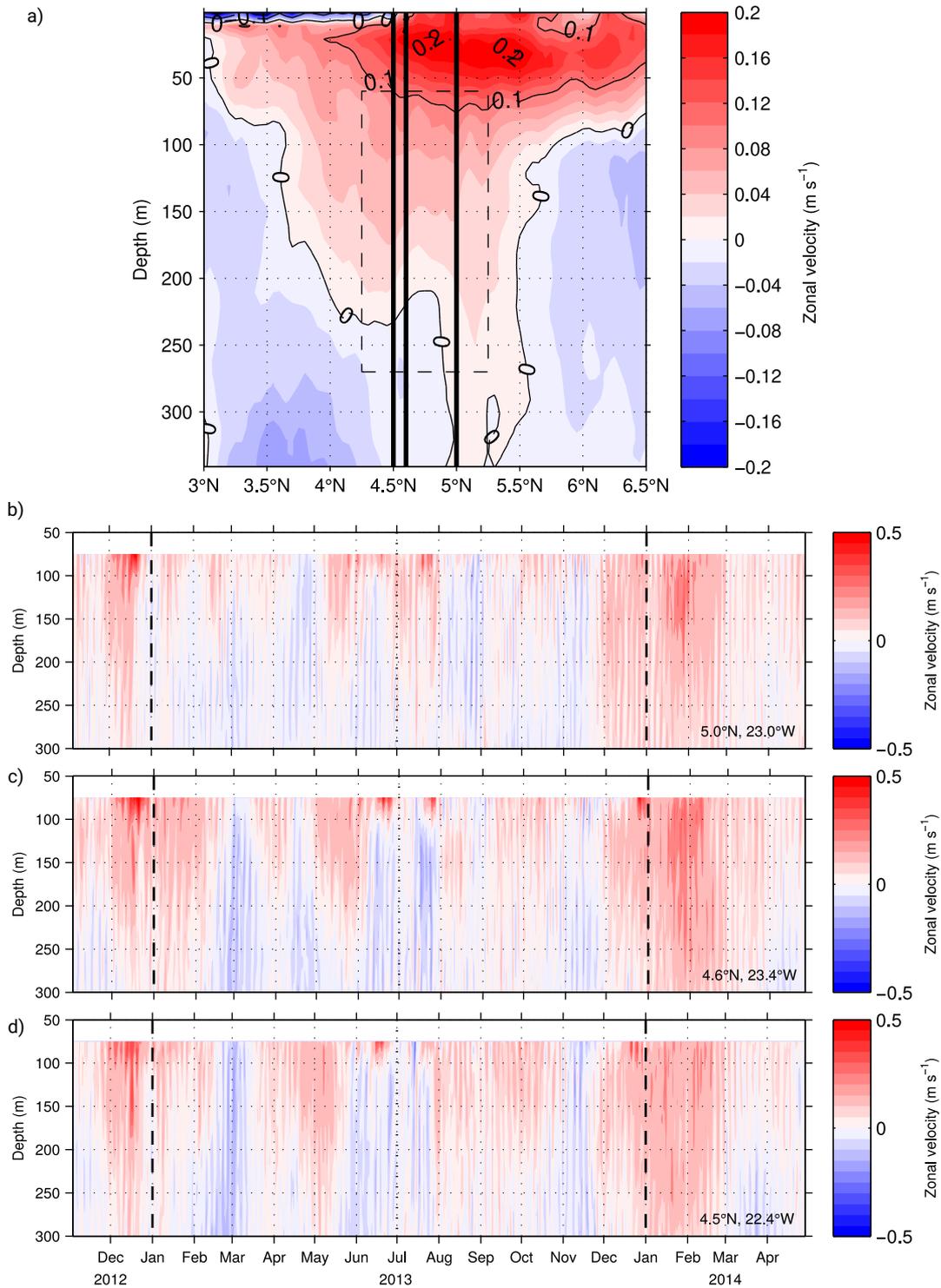
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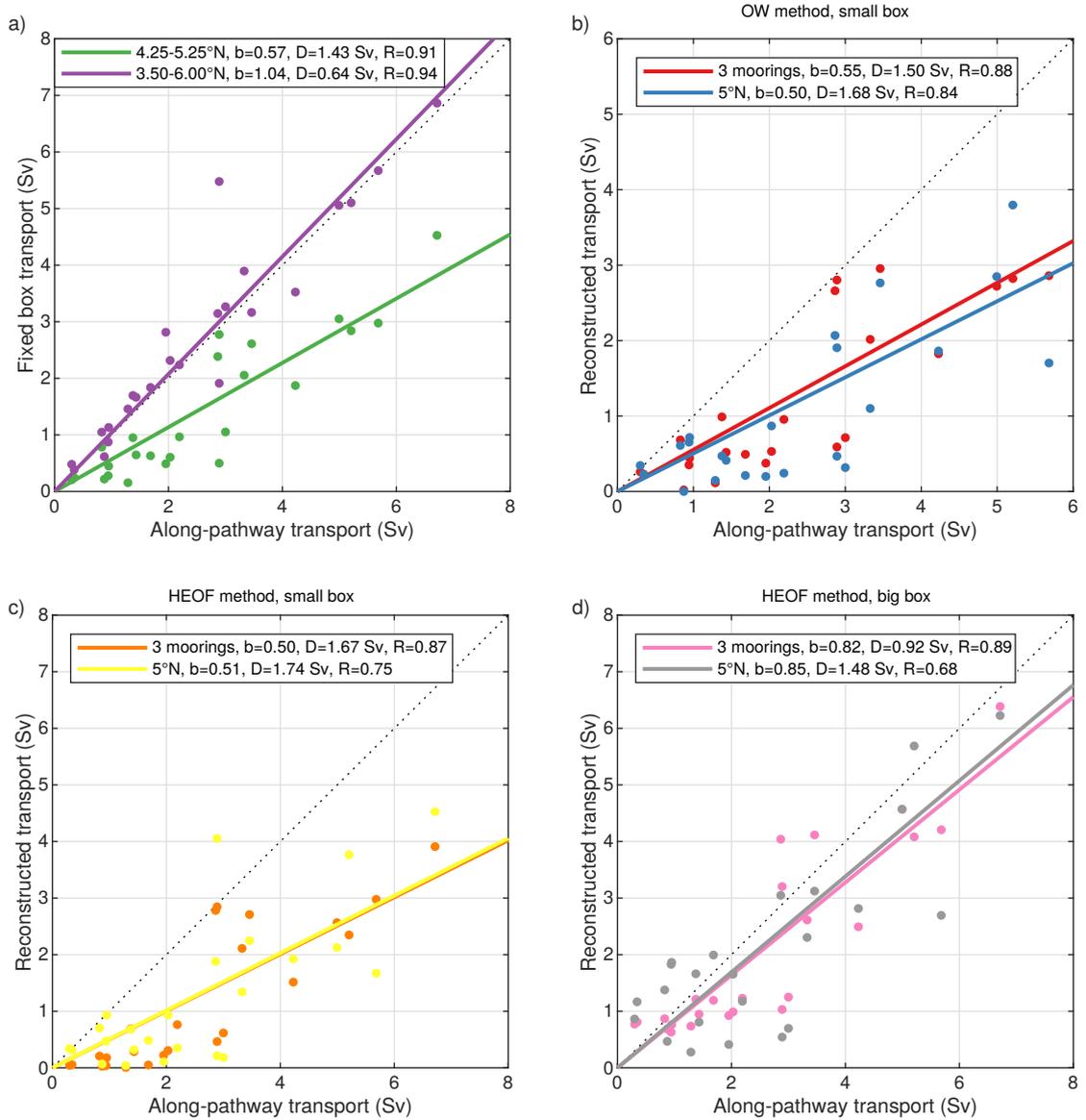
**Table S1.** Meridional ship sections taken between 21°W and 26°W from 2002 to 2018. All sections cover at least the upper 350 m from 0°N to 10°N. For all sections ADCP data is available. Sections including oxygen (O<sub>2</sub>) and hydrography (CTD) measurements are marked accordingly.

cruise	date	averaged		O <sub>2</sub> /CTD
		longitude	latitude	
Meteor 55	Oct-Nov 2002	24°W	0°-10°N	no
Ronald H. Brown A16N	Jun-Aug 2003	26°W	6°S-10°N	no
Ronald H. Brown PNE6	Jun 2006	23°W	5°S-13.5°N	yes
Ronald H. Brown PNE6	Jun-Jul 2006	23°W	5°S-14°N	yes
Meteor 68/2	Jun-Jul 2006	23°W	4°S-14°N	yes
L'Atalante IFM-GEOMAR 4	Feb 2008	23°W	2°S-14°N	yes
L'Atalante IFM-GEOMAR 4	Mar 2008	23°W	2°S-14°N	no
Ronald H. Brown PNE09	Jul-Aug 2009	23°W	0°-14°N	no
Meteor 80/1	Oct-Nov 2009	23°W	6°S-14°N	yes
Meteor 81/1	Feb-Mar 2010	21°W	6°S-13°N	no
Ronald H. Brown PNE10	May 2010	23°W	0°-14°N	yes
Maria S. Merian 18/2	May-Jun 2011	23°W	0°-14°N	no
Ronald H. Brown PNE11	Jul-Aug 2011	23°W	0°-14°N	no
Maria S. Merian 22	Oct-Nov 2012	23°W	6°S-8°N	yes
Maria S. Merian 22	Oct-Nov 2012	23°W	0°-14°N	no
Ronald H. Brown PNE13a	Jan-Feb 2013	23°W	0°-14°N	no
Ronald H. Brown PNE13b	Nov-Dec 2013	23°W	6°S-14°N	yes
Meteor 106	Apr-May 2014	23°W	6°S-14°N	yes
Polarstern PS88.2	Oct-Nov 2014	23°W	2°S-14°N	yes
Endeavor EN-550	Jan 2015	23°W	2°S-14°N	yes
Meteor 119	Sep-Oct 2015	23°W	5.5°S-14°N	yes
Meteor 130	Aug-Oct 2016	23°W	6°S-14°N	yes
Ronald H. Brown PNE17	Feb-Mar 2017	23°W	4°S-14°N	yes
Meteor 145	Feb-Mar 2018	23°W	6°S-14°N	yes

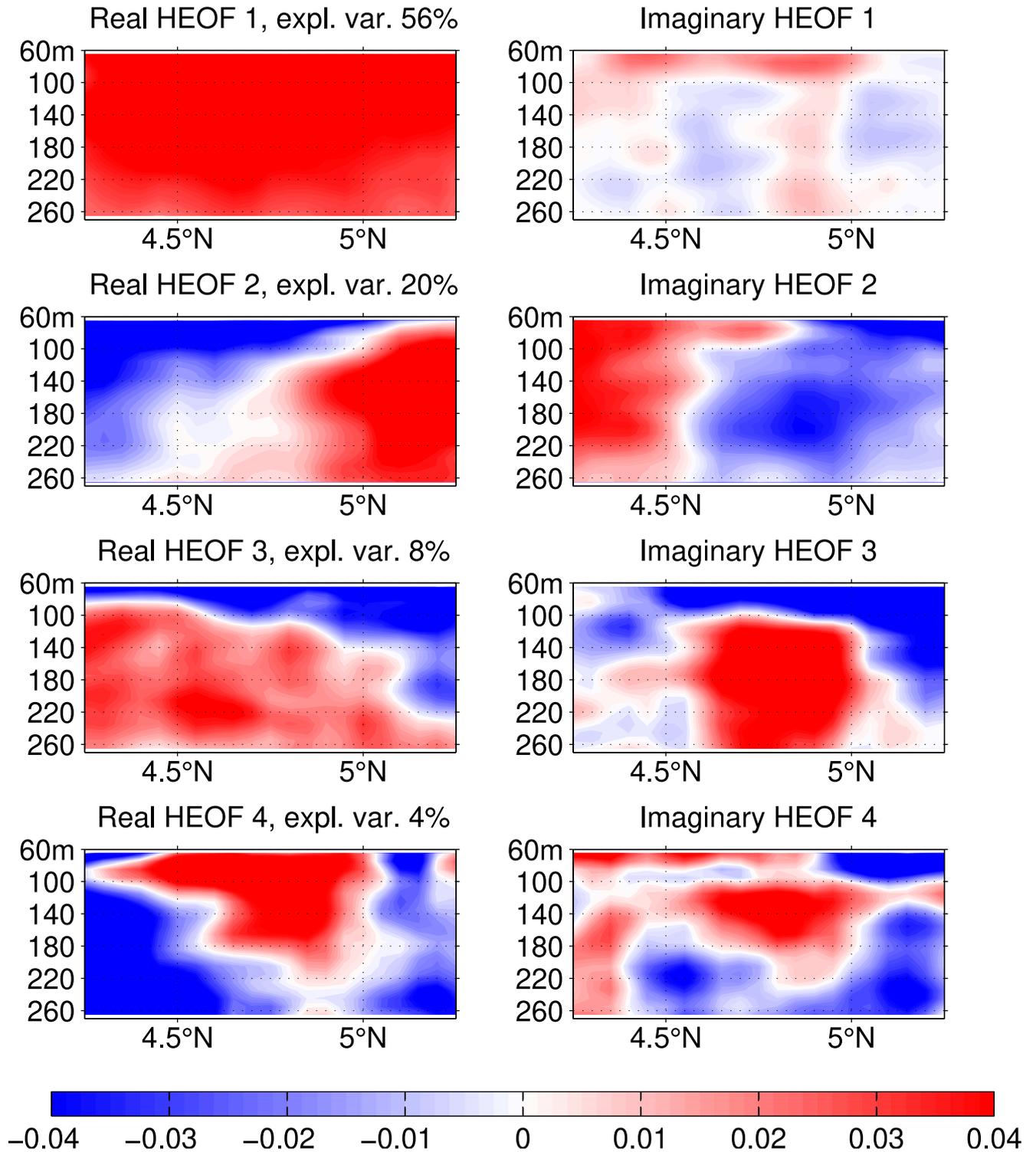


**Figure S1.** (a) Mean zonal velocity along 23°W estimated on the basis of 24 ship sections taken during 2002 and 2018. Black vertical lines mark the latitudinal position of the three moorings. The black dashed frame marks the box for the transport reconstruction. (b,c,d) Zonal velocity observations at the mooring positions (b) 5.0°N, 23°W, (c) 4.6°N, 23.4°W and (d) 4.5°N, 22.4°W.

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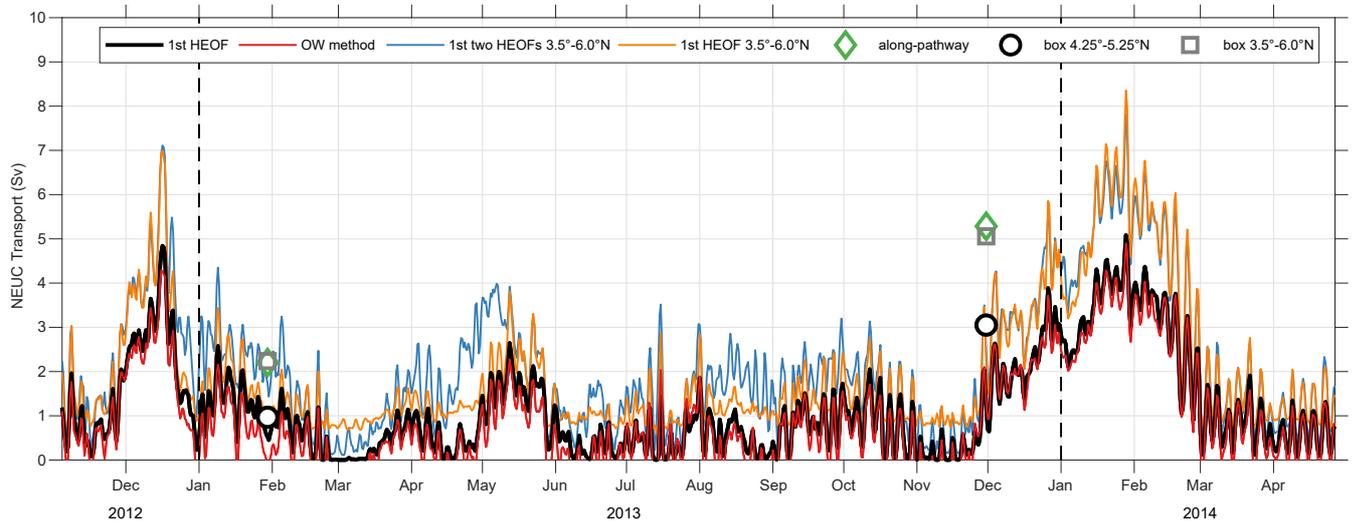


**Figure S2.** Regression slope  $b$ , mean difference  $D$  and correlation coefficient  $R$  between the reference NEUC transport (along-pathway transport) and the reconstructed transports based on different methods: (a) fixed box integrated transports between 4.25°N and 5.25°N (green) as well as between 3.50°N and 6.00°N (purple), (b) OW method using 3 moorings (red) and only the 5°N mooring, (c) HEOF method using the first HEOF pattern applied to 3 moorings (orange) and only to the 5°N mooring (yellow) for the area between 4.25°N and 5.25°N, (d) HEOF method using the first HEOF pattern applied to 3 moorings (pink) and only to the 5°N mooring (grey) for the area between 3.50°N and 6.00°N.

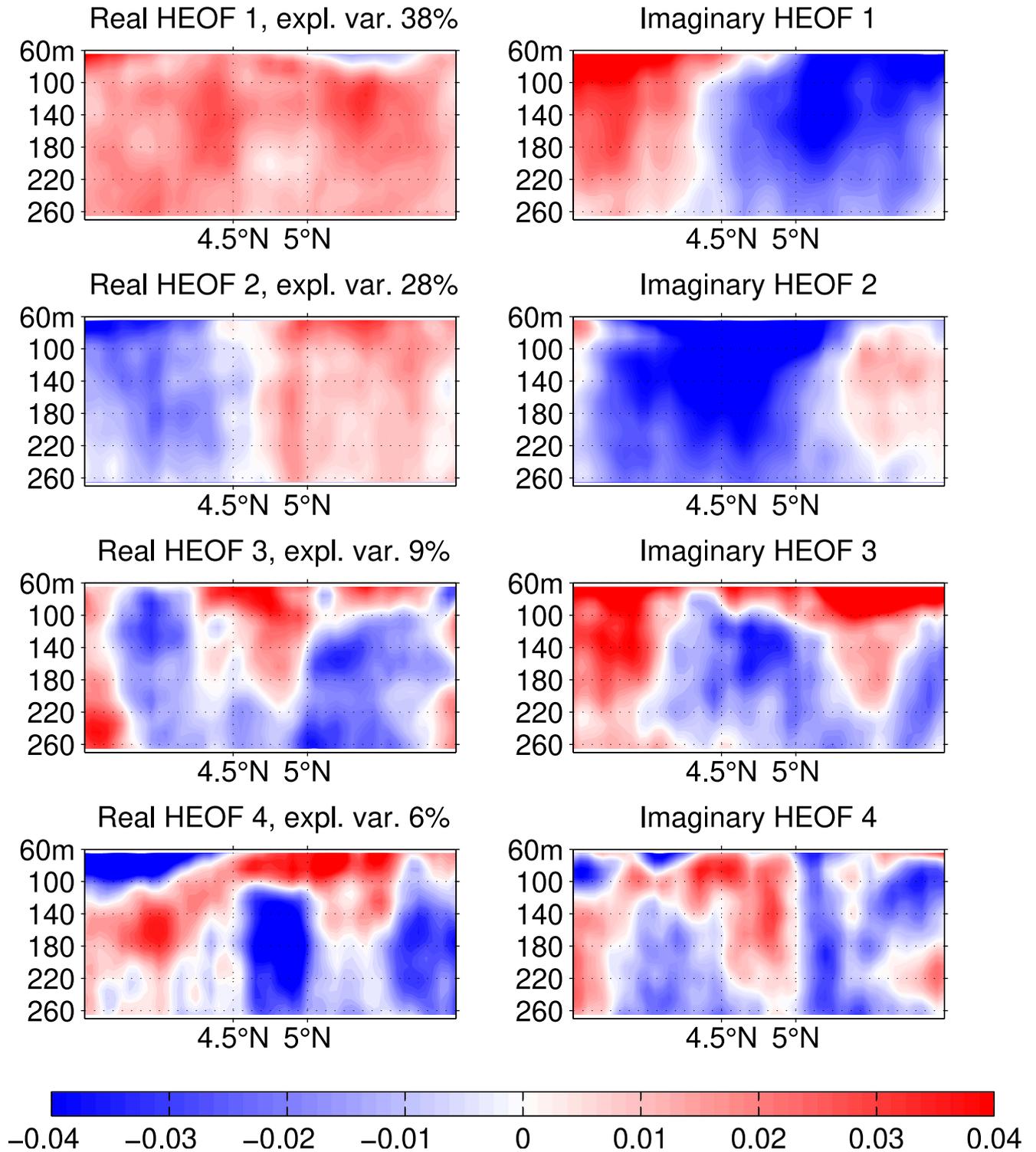


**Figure S3.** Real (left panels) and imaginary (right panels) dimensionless pattern of the first four Hilbert empirical orthogonal functions calculated from the 24 zonal velocity sections along  $23^{\circ}\text{W}$  between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$ , 65 m and 270 m depth.

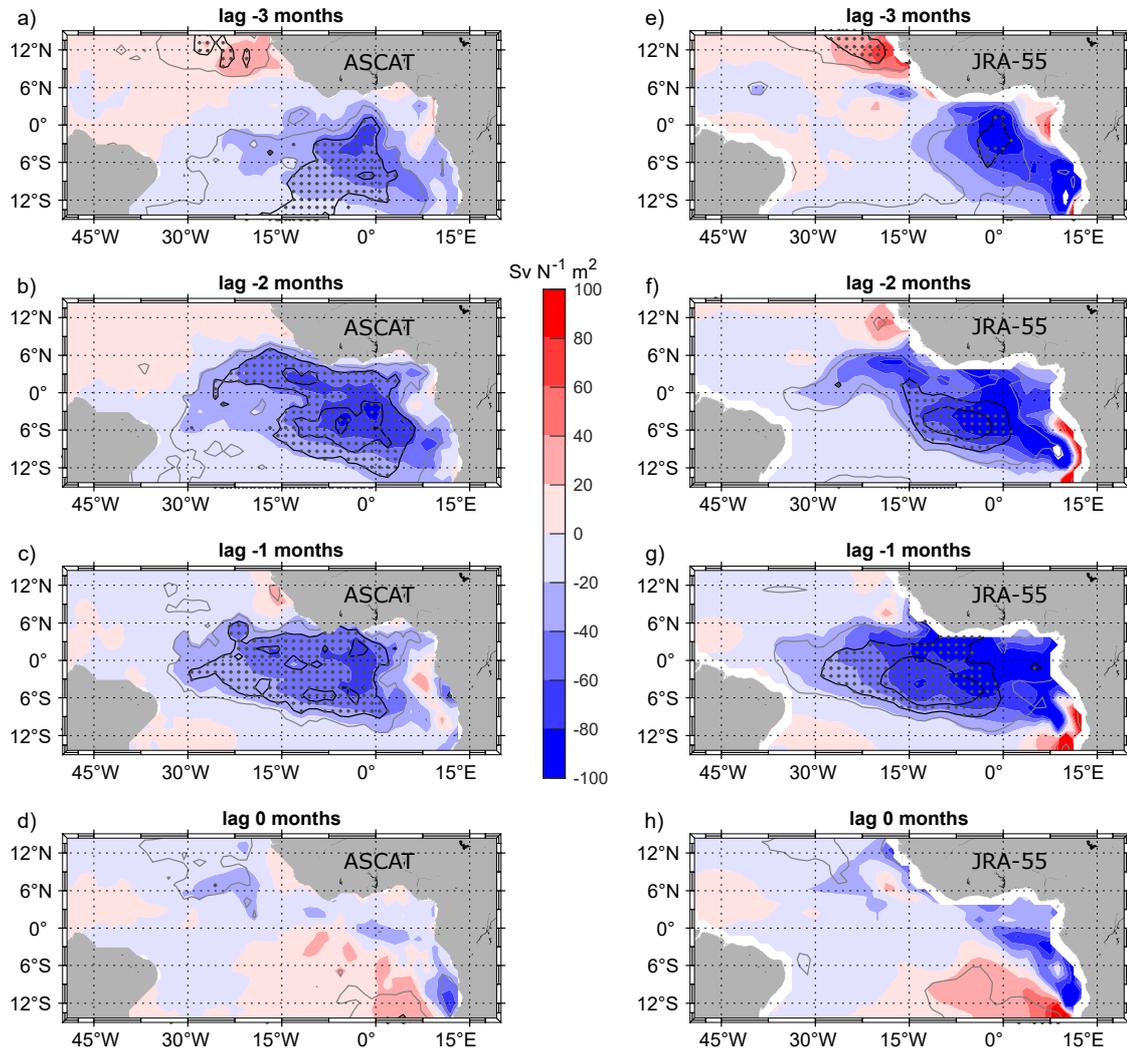
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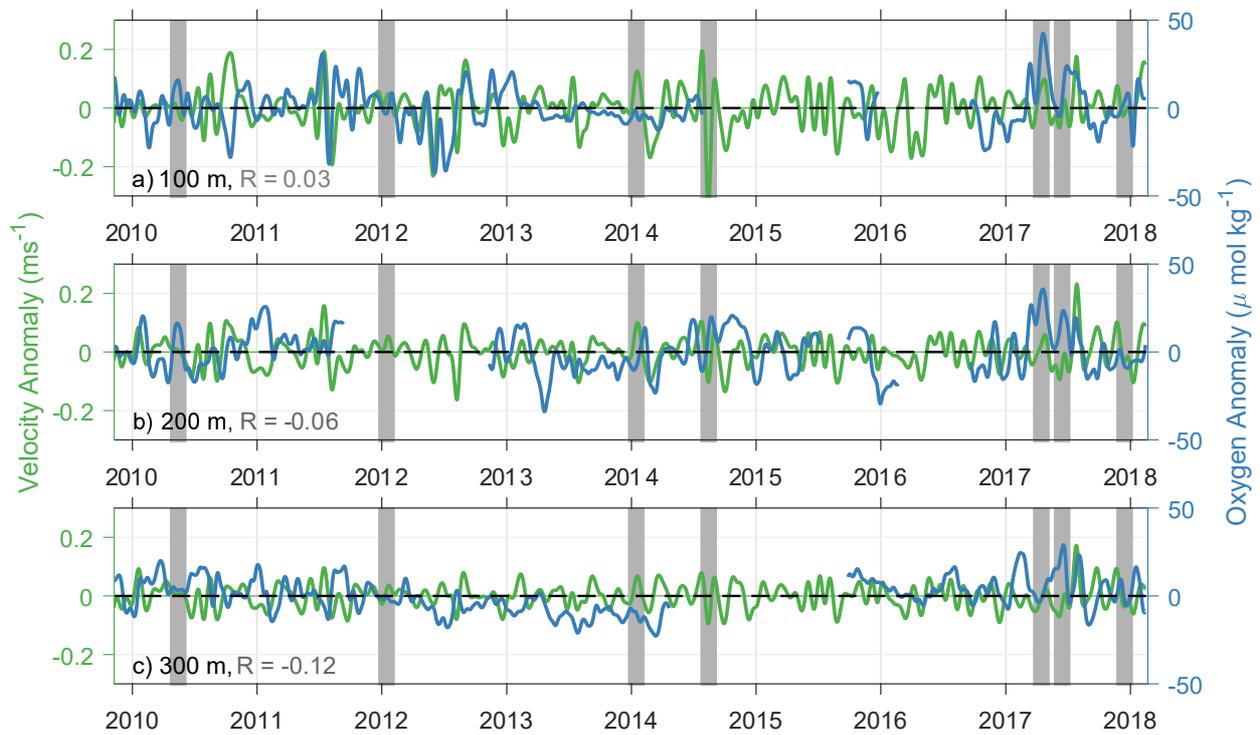
**Figure S4.** NEUC transport at  $23^{\circ}\text{W}$  calculated by different methods: (i) from ship observations using a path following algorithm (green diamonds); (ii) from ship sections by integrating the eastward velocities in a fixed box between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$  (black circles) and  $3.5^{\circ}\text{N}$  and  $6.0^{\circ}\text{N}$  (grey squares); (iii) by the HEOF method combining ship sections and moored zonal velocities at three mooring positions using the first HEOF of velocities between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$  (black line) as well as using the first (orange line) or the first two (blue line) HEOF of velocities between  $3.5^{\circ}\text{N}$  and  $6.0^{\circ}\text{N}$ ; (iv) by the OW method combining ship sections between  $4.25^{\circ}\text{N}$  and  $5.25^{\circ}\text{N}$  and moored zonal velocities at three mooring positions (red line).



**Figure S5.** Real (left panels) and imaginary (right panels) dimensionless pattern of the first four Hilbert empirical orthogonal functions calculated from the 24 zonal velocity sections along  $23^{\circ}\text{W}$  between  $3.5^{\circ}\text{N}$  and  $6.0^{\circ}\text{N}$ , 65 m and 270 m depth.



**Figure S6.** Slope of lead-lag regression of monthly mean zonal wind stress anomalies with respect to the 2008-2017 climatology onto the reconstructed monthly mean NEUC transport time series. Results are shown for ASCAT (a-d) and JRA-55 reanalysis (e-h). Contour lines show the coefficient of correlation ( $R$ ) with an interval of 0.1, the grey contour marks  $R=0.1$ . Grey crosses mark significant values of  $R$ .



**Figure S7.** 30-day low-pass filtered (a-c) meridional velocity anomalies (green lines) and oxygen anomalies (blue lines) at  $5^{\circ}\text{N}$ ,  $23^{\circ}\text{W}$  at a depth of (a) 100 m, (b) 200 m, and (c) 300 m. Grey bars mark strong NEUC events. The correlation coefficient  $R$  at zero lag is not significant on a 95% confident interval.