

# Reflectance of Jezero crater floor:

## 1. Data processing and calibration of the Infrared Spectrometer (IRS) on SuperCam

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**Abstract:** The *Perseverance* rover, Mars 2020 mission, landed on the surface of the Jezero Crater, on February, 18<sup>th</sup> 2021. This Martian crater is suspected to have hosted a paleolake as evidenced by the numerous detections of aqueously-altered phases and thus is a promising candidate for the search for past Martian life. The SuperCam instrument, elaborated by a consortium of American and European laboratories, plays a leading role in this investigation thanks to its highly versatile payload providing rapid, synergistic, fine-scale mineralogy, chemistry, and color imaging. After its landing, the first measurements of Martian targets with the infrared spectrometer of SuperCam (IRS) showed new instrumental behaviors that had to be characterized and calibrated to derive unbiased science data. The IRS radiometric response has thus been calibrated using periodic observations of the Aluwhite SuperCam Calibration Target (SCCT). Parasitic effects were understood and mitigated, and the instrumental dark and noise are characterized and modeled. The reflectance calibrated data products, provided periodically on the NASA Planetary Data System, are corrected from the main instrumental features.

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# 1 Introduction

On February, 18<sup>th</sup> 2021, the NASA Mars 2020 *Perseverance* rover landed at the Octavia E. Butler site, on the surface of the 45 km diameter Jezero Crater. This crater, located to the northwest of the Isidis basin, formed during the Noachian era and hosted an aqueous activity shaping its landscape (Fassett and Head, 2005; Mangold et al., 2021). The most remarkable feature of Jezero is the presence of the western delta, at the mouth of an inlet valley draining fluvial activity in the Nili Planum region (Fassett and Head, 2005; Schon et al., 2012). The crater is known to have hosted a lake, which was inferred as an open lake from orbital images (Fassett and Head, 2005; Goudge et al., 2015), but which also experienced a closed phase as revealed by Perseverance images (Mangold et al., 2021). The total duration of the Jezero’s lacustrine activity is estimated to be of the order of  $10^6 - 10^7$  years (Schon et al., 2012), a duration long enough to enable the possibility of habitable environments with appropriate prebiotic chemistry that would have been favorable for the development of microbial life. Evidence for persistent liquid water with appropriate chemistry and organic materials to support habitable environments has been found in Gale crater by the Mars Science Laboratory (MSL) (Eigenbrode et al., 2018; Grotzinger et al., 2014).

The Mars 2020 mission follows the logical succession of Martian landed probes, started with Viking 1 & 2 40 years ago and continued by the *Spirit* and *Opportunity* Mars Exploration Rovers and *Curiosity* from MSL. Its main objectives are to determine the geological history of an ancient environment that potentially hosted microbial lifeforms (Farley et al., 2020). The rover shall realize the first step of the Mars Sample Return Program by selecting, characterizing, and collecting rock and regolith samples from the different geologic units within the crater. The SuperCam instrument plays a central role in this investigation. This instrument was designed and built by a consortium of European and American laboratories lead by IRAP (Institut de Recherche en Astrophysique et Planétologie) and the LANL (Los Alamos National Laboratory). It consists of a suite of five remote sensing techniques: laser induced breakdown spectroscopy (LIBS), time resolved Raman and luminescence spectroscopy (TRR and TRLS), visible–near-infrared spectroscopy (VISIR), remote micro-images (RMI), and a microphone. SuperCam is built in two parts: the Body Unit, located in the rover body, and the Mast Unit, located on the top of the rover mast (Wiens et al., 2021; Maurice et al., 2021). SuperCam also features a collection of calibration targets (SCCT) mounted on the rover deck (Manrique et al., 2020; Cousin et al., 2022). The coupling of images and the various spectroscopic techniques makes

64 SuperCam able to study the geology, geochemistry and mineralogy of the Martian surface, down to  
65 the grain scale and with contextual images. The analytical range spans from 2 m to 7 m with LIBS  
66 and TRR/TRLS, and from 2 m to infinity with the passive VISIR spectrometers and images (subject  
67 to compensation for atmospheric opacity).

68 This paper focuses on the near-IR spectrometer (IRS), one of the VISIR spectrometer channels  
69 and whose objective is to study the Martian surface in terms of mineralogy and crystal chemistry,  
70 in a complementary way to LIBS and Raman/TRLS. Through its spectral range ( $1.3 - 2.6 \mu\text{m}$ ),  
71 the IRS is sensitive to the signatures of aqueous alteration and the presence of water or -OH in the  
72 structure of minerals, as well as some Fe-bearing silicates such as olivine and pyroxene, which have  
73 been detected in the region from orbit (Horgan et al., 2020; Mandon, 2020; Brown et al., 2020). In  
74 order to determine the mineralogical composition of rocks and soils observed by the spectrometer, it  
75 must be able to detect diagnostic absorption bands of minerals, which can be weak in some cases.  
76 The IRS was designed to achieve a signal-to-noise ratio (SNR) of 60 on a spectrum acquired under  
77 an average Martian illumination (Fouchet et al., 2022). Pre-launch ground calibration goals were to  
78 characterize its radiometric response with an absolute accuracy (continuum level) of at least 20 %  
79 and a relative precision (from one spectral channel to another) better than 1 %. Following these  
80 precision objectives, an instrumental transfer function (ITF) was derived to enable calibration of the  
81 raw data into reflectance spectra, and faithfully reproduce absorption bands a few percent from the  
82 mean level. The constraint on the determination of the absolute signal level derived from the needs  
83 of spectral modeling, and its respect is ensured on the one hand by radiometric calibration and on  
84 the other hand by a local illumination model. These performance objectives were achieved during the  
85 ground calibration for the parameter space covered Royer et al. (2020a). However, limited time during  
86 the measurement campaign prevented us from exploring all the instrumental effects to which the IRS  
87 could be sensitive, in particular the dependence on variations of the power of the radio-frequency  
88 (RF) signal supplying the dispersive system (an Acousto-Optic Tunable Filter, detailed afterwards)  
89 which required a thermal regulation of the IR electronic board. This dependence will be discussed in  
90 more detail later in the paper. We note that the calibration campaign was carried out during Mars  
91 operations, and we retain the same precision objectives as established during the pre-flight calibration  
92 campaign.

93 The paper is organized into four parts. The first part introduces the IRS instrument as well as the

94 general method of characterizing its radiometric response and establishing the data reduction pipeline  
 95 (Section 2). The second part presents all the results of the instrumental characterizations and the  
 96 models derived from them (Section 3). The third part details the steps of the data processing pipeline,  
 97 the calculation of the instrumental transfer function and its validation (Section 4). Finally, a fourth  
 98 part exploits the results of the quantification of the calibration errors to estimate the accuracy of the  
 99 mineral detections performed during the Crater Floor campaign, and gives methods to evaluate the  
 100 accuracy of spectral parameters based on band depth or slope determination calculations (Section 5).

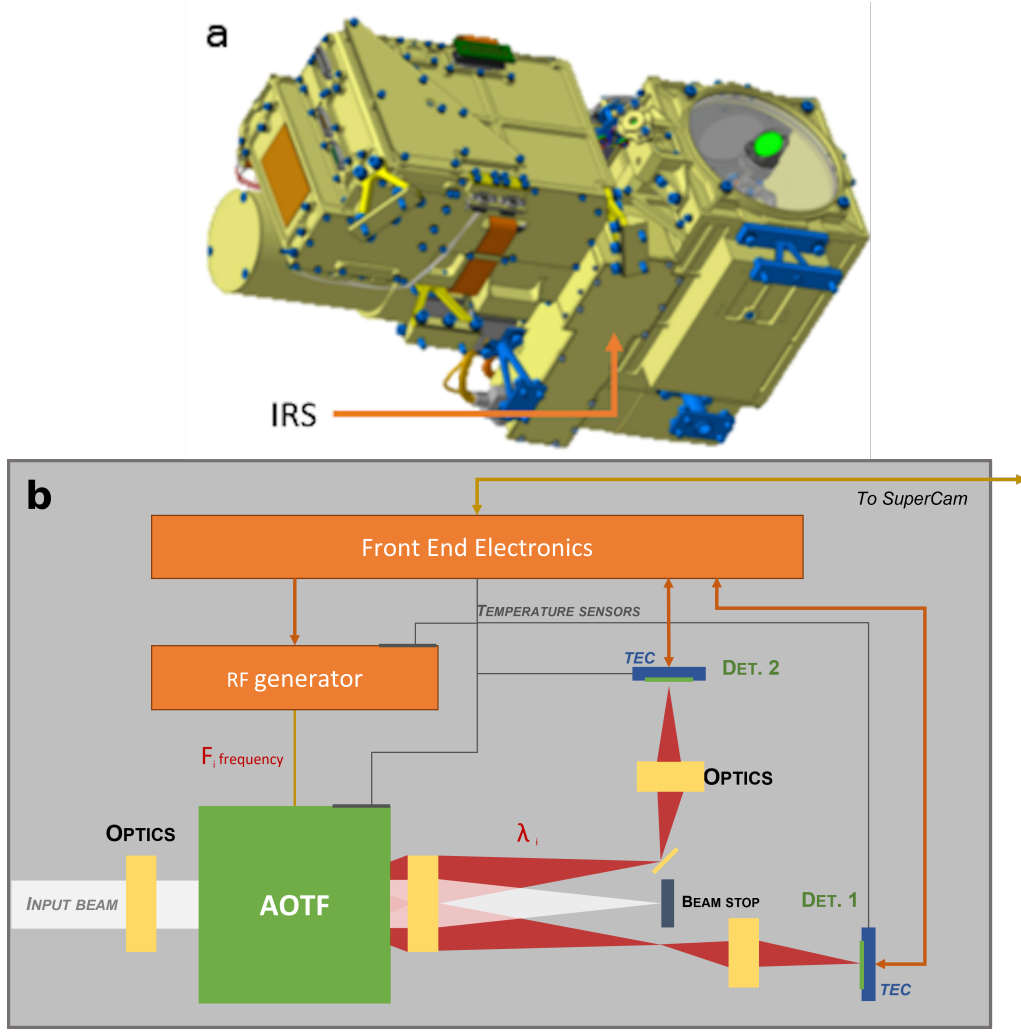
## 101 2 The IRS instrument and its flight characterization

### 102 2.1 Instrument overview

103 A thorough description of the IRS and its operating modes can be found in [Fouchet et al. \(2022\)](#). We  
 104 give here a summary of its main features. The IRS is a standalone near-infrared point spectrometer  
 105 located in the Mast Unit of SuperCam (Fig. 1a). Its most remarkable feature is its compactness  
 106 ( $50 \times 60 \times 170$  mm) allowed by the use of an Acousto-Optic Tunable Filter (AOTF) that filters  
 107 input polychromatic light into diffracted monochromatic beams with a high efficiency provided by the  
 108 acousto-optic diffraction.

109 In a birefringent crystal excited by an ultrasonic acoustic wave, an incident polychromatic light  
 110 beam is diffracted by the acoustic waves into two symmetrically separated and cross polarized monochro-  
 111 matic beams. Thus, four beams emerge from the crystal output: two zero-order beams quasi-aligned,  
 112 polychromatic and containing most of the input energy (these are the major source of stray light but  
 113 they are intercepted by a beam stop); and the two monochromatic diffracted beams, called +1 and -1  
 114 both acquired by the detectors of the instrument, respectively the nominal and the redundant (Fig.  
 115 1b). The properties of the diffracted beams are directly inherited from the geometry of the crystal  
 116 and the characteristics of the acoustic waves, themselves generated by a radio frequency (RF) electric  
 117 signal through a piezoelectric transducer. Their central wavelength and intensity are driven by the  
 118 frequency and the power of the RF supply, and their spectral profile is determined by the crystal  
 119 length and the piezo-electrode shape. The instrument main features are given in Tab. 1.

120 Several models of the instrument were produced. Of main interest for this study, are the IRS Flight  
 121 Model (FM) and the IRS Flight Spare (FS). Both were integrated with AOTF and other optical and



**Figure 1:** a: IRS location in the Mast Unit. b: IRS diagram. Extracted from Royer et al. (2020b)

122 electrical elements produced in the same lots.

123 The operational temperature of the instrument and its detectors are floored to protect the hardware  
 124 but are adjusted to the acquisition conditions: the instrument temperature is passively regulated by  
 125 the ambient air and is kept above  $-35^{\circ}\text{C}$  by survival heaters; the detector temperature is regulated by  
 126 a thermoelectric cooling system (TEC) down to  $70^{\circ}\text{C}$  below the instrument temperature and limited  
 127 to  $-90^{\circ}\text{C}$ .

128 The IRS is operated as follows: during the acquisition of a spectrum, the RF frequency of each  
 129 spectral channel (256 in full range, adjustable) is set and the signal of the monochromatic beam is  
 130 integrated by the detector during the given integration time. This component of the data is called  
 131 "Signal". Then the RF supply is turned off to acquire a dark frame containing only the instrument's  
 132 thermal background, the detector dark current and the zero-order stray light, together called "Dark".

133 This process is repeated for a specified number of accumulations and then for the next channel until  
 134 the sequence is completed. A typical 256-channels measurement with only one accumulation lasts  $\sim$   
 135 90 s. By design, the Signal contains the Dark plus the contribution of the diffracted beam. The latter  
 136 is retrieved by subtracting Dark from Signal, provided the thermal conditions are the same.

Spectral range	1.3 – 2.6 $\mu\text{m}$ 33 – 68 MHz
Spectral width	26 $\text{cm}^{-1}$
FoV	1.15 mrad
Detector operational temp.	$> -90^\circ\text{C}$
Optical box temperature	$> -35^\circ\text{C}$
AOTF aperture	$6 \times 6 \text{ mm}^2$

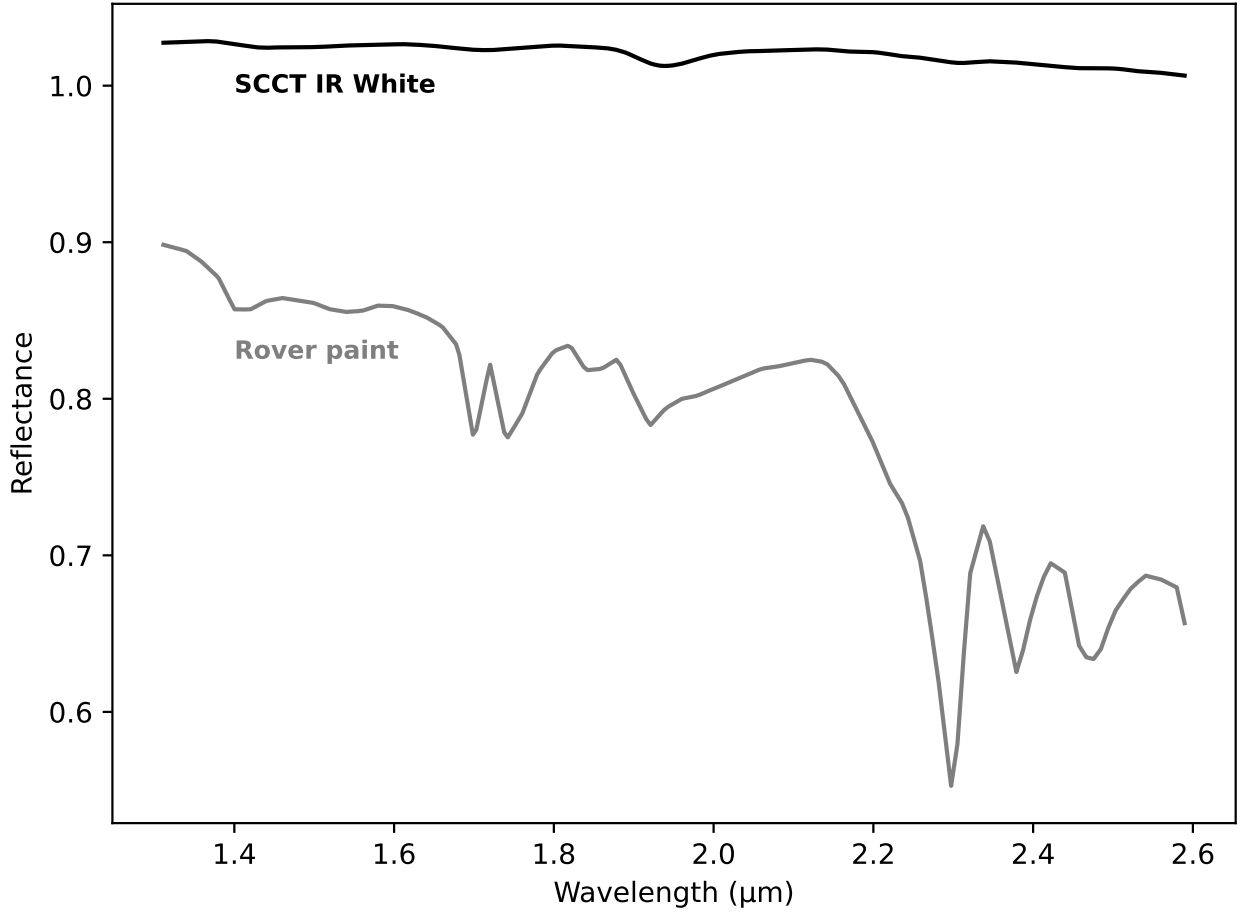
**Table 1:** IRS main features. FoV = field of view.

137 Finally, the IRS relies on the rover’s on-board calibration targets (SCCTs) as a reference signal. In  
 138 particular, the IR white target provides a near-flat spectrum in the near-IR and an almost Lambertian  
 139 reflectance. This target has been widely used as a reference for the calibration of the instrument. This  
 140 target is made of AluWhite 98 provided by Avian Technologies (Manrique et al., 2020). By comparison,  
 141 the rover’s white paint shows strong absorption features, non-suitable as reference (Fig.2).

## 142 2.2 Method

143 The IRS acquired its first spectrum on Sol 11 (March 2<sup>nd</sup>, 2021) on the *Máaz* target. These first data  
 144 exhibited a high signal-to-noise ratio (SNR) and verified the good health of the instrument. They also  
 145 revealed the necessity to refine our knowledge of the instrument’s response in real martian conditions,  
 146 which appeared to be significantly different from what was originally expected (Fig. 3). As discussed  
 147 in section 4, a first order flight calibration was derived by observing the IR White SCCT on Sol 20  
 148 (first observation of this target) and validated on the next appropriate IR White SCCT observation on  
 149 Sol 60. It was determined that a sensitivity loss by a factor of up to 2 appeared between the ground  
 150 calibration and the flight measurements in the low-wavelength part of the spectrum, between 1.3 and  
 151 1.8  $\mu\text{m}$  (Fig. 3b).

152 In order to understand the behavior of the instrument on Mars and to propose a data reduction  
 153 procedure, we defined an instrumental characterization campaign in several steps, which evolved as the  
 154 results were accumulated. From the results of these characterization measurements, we developed a  
 155 theoretical model to calibrate the acquired data, from the raw signal to the reflectance spectra corrected



**Figure 2:** Comparison of the reflectance of the SCCT IR White (black line) and the white paint of the rover (gray line).

for the instrumental response and its artifacts. The whole data reduction process is implemented in a pipeline fully described in Section 4.

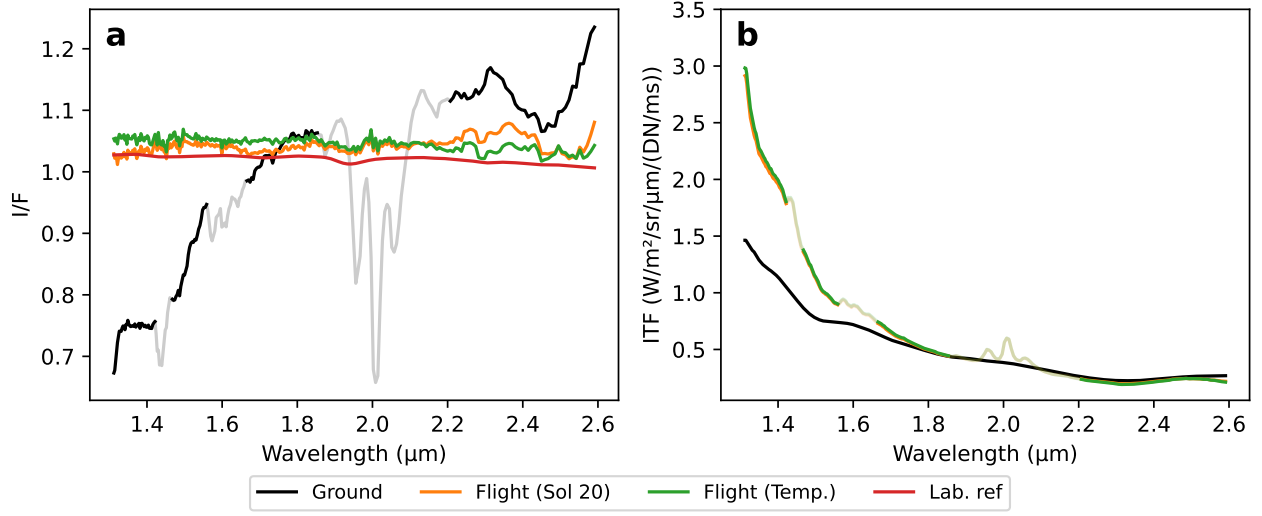
## 2.3 Dataset

Here we present an overview of the dataset used in this study. Concerning the measurements made on Mars, we focused on the observations between Sol 11 (first VISIR observation) and Sol 425, which represents a total of 3363 spectra with their instrumental parameters. Six parameters were mainly studied (Fig. 4):

- The temperature of the IRS optical box, called  $T_{sp}$  and measured at the beginning of each spectrum. It was assumed that the temperature of the optical box is homogeneous and representative of the thermal environment of the instrument (which is to be discussed, as we will see in Section



### Sol 60 - ground vs flight calibrations

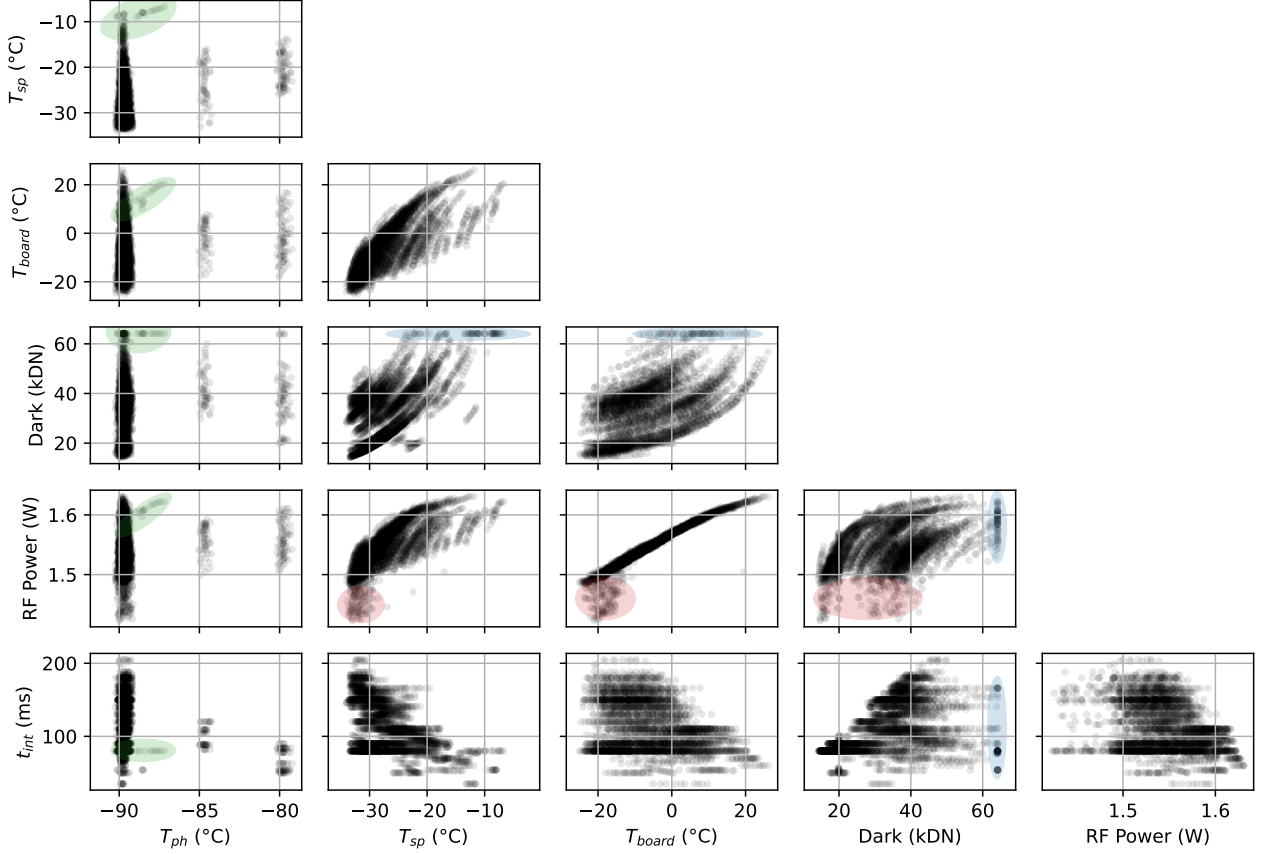


**Figure 3:** **a:** Observation of the IR White SCCT on Sol 60, calibrated to reflectance with the ground ITF (black), the Sol 20 flight ITF (orange) and the temperature calibrated ITF (green). The SCCT lab reflectance spectrum is shown for comparison (red). **b:** ITFs derived from the ground calibration (black), from the Sol 20 IR White observation (orange) and temperature calibrated (green). Grayed parts of the spectra correspond to atmospheric absorptions, in ground I/F (a, black line) and flight ITFs (b, orange and green lines).

3.1);

- The temperature of the IRS electronic board, containing in particular the radio frequency (RF) generator driving the AOTF. This temperature, noted  $T_{board}$ , is strongly correlated to  $T_{sp}$  because the electronic and optical boxes are thermally coupled (Maurice et al., 2021);
- The temperature of the detector,  $T_{ph}$ , regulated by a thermoelectric cooling system (TEC) is selected during the operations, at the lowest at  $-90^{\circ}\text{C}$  and then by steps of  $5^{\circ}\text{C}$ . The dark current of the detector is directly linked to this temperature ;
- The Dark, obtained by measuring the signal emitted by the detector when the AOTF is not supplied with RF signal, is the major contributor to the data returned by the instrument. It is highly correlated to the temperatures  $T_{sp}$ ,  $T_{ph}$ , through the dark current and the thermal background, but also to the integration time since it is an integrated quantity.
- The RF signal power is measured throughout the acquisition of the spectra and appears very strongly correlated to the temperature of the electronic board;
- The integration time,  $t_{int}$ , is the duration of the signal's integration. It is set to a constant value

for each spectrum with a 1 ms step.



**Figure 4:** Overview of the whole used dataset: from Sol 11 to Sol 425, representing 3363 spectra. The corner plot representation shows the correlation between each studied parameter. Some features are highlighted: the maximum temperature difference between hot and cold faces of the TEC is 80°C (70°C is kept during the operations for safety), therefore the temperature became unregulated when  $T_{sp}$  went greater than  $-10^{\circ}\text{C}$  and the set point was  $-90^{\circ}\text{C}$  (green ellipses). The detector, more precisely its analog-to-digital converter, saturates at 65 535 DN. This value has been reached several times during the mission because of high  $T_{sp}$  (blue ellipses). An artifact behaving as RF power drops occurring randomly during spectra acquisition, called "glitches" appeared several times and was correlated to low  $T_{sp}/T_{board}$  (red ellipses).

In addition to the flight data, a laboratory measurement campaign on the IRS flight spare was conducted. These data consist of the observation of a Quartz-Tungsten-Halogen (QTH) lamp stabilized with the IRS thermally regulated inside a climate chamber, acquiring both Signal and Dark at various RF power shifts around the nominal profile (Section 3.4).

### 3 Results of the characterization activities

The following sections present the results of the characterization activities performed on the IRS, in flight and in lab. These activities consisted of the study of the instrument’s Dark, which depends on the temperature of the detector (dark current) and the temperature of the IRS optical box (thermal background), described in Section 3.1; the study of the noise and the SNR modeled as a sum of independent contributions consisting of the Signal and Dark photon noises, the readout noise and the thermal shot noise of the detector equivalent resistance (Section 3.2); the investigation of the sensitivity of the RF power to the temperature of the electronic board driving the IRS that was discovered during initial mission operations. This first-order thermal effect induces drifts of the RF power injected into the AOTF and thus variations of the crystal transmission. Its characterization required additional laboratory measurements on the IRS Flight Spare (Section 3.3). And finally, we characterized the RF power-to-AOTF transmission relation to compensate the temperature-induced power drifts affecting science spectra. This study has been performed in the lab on the Flight Spare of the IRS (Section 3.4).

#### 3.1 Dark characterization and modeling

A few of the observed discrepancies between ground and flight measurements could be explained by a modification of the Dark behavior of the IRS. Indeed, an instability of the Dark might lead to inconsistent variations of Signal – Dark. As previously explained, the Dark is acquired when the AOTF is not supplied with RF signal, behaving in this situation as a very efficient optical shutter. The Dark was modeled using flight data, on a narrower thermal range than for the pre-flight campaign, due to operational constraints. The Dark was modeled using the same model as during the pre-flight calibration (Royer et al., 2020a). It relies on two contributions: the thermal background of the instrument ( $i_{BG}$  related to the temperature of the spectrometer’s Optical Box,  $T_{sp}$ ) and the dark current ( $i_{DC}$  depending on the temperature of the detector,  $T_{ph}$ ), where the zero-order stray light was neglected, according to ground characterization results.

- $i_{DC} = A e^{-\frac{E_a}{k T_{ph}}}$ . The dark current is fitted with an Arrhenius law, which gives the best modeling of its behavior. This law models the probability of a system to cross a potential barrier thanks to thermal agitation. It has two parameters: the activation energy  $E_a$  (*i.e.* the height of the

potential barrier), with  $k$  the Boltzmann's constant; and the shock rate,  $A$ , depending on the density of the medium.  $A$  and  $E_a$  are adjusted to data and values are provided below.

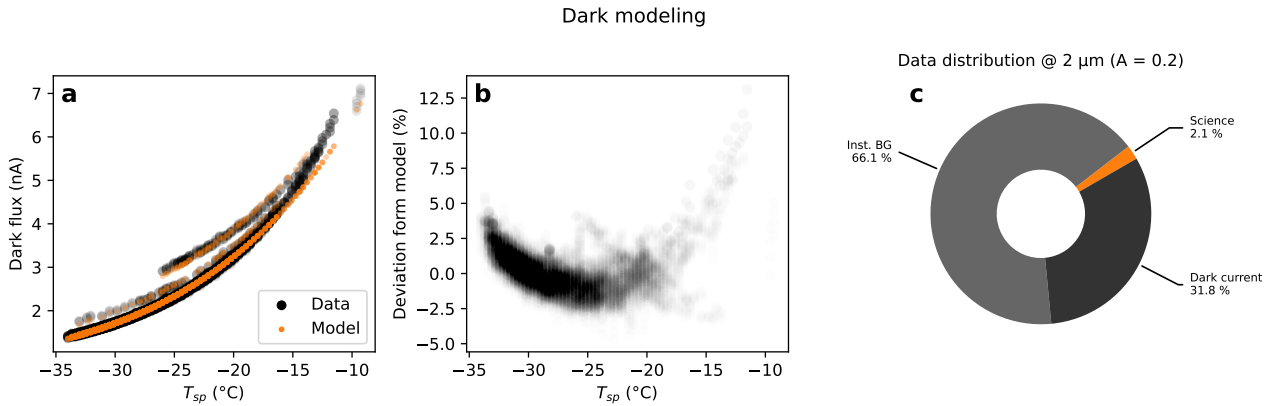
- $i_{BG} = \varepsilon_{sp} \Delta S \Omega \int u_{\lambda}(T_{sp}) QE d\lambda$ . The thermal background is here modeled as a uniform black-body emitting light in the whole instrument's field of view, except for the entrance pupil ( $\Delta S \Omega$ ), occupied by the optical baffle. The emissivity of the baffle,  $\varepsilon_{sp}$ , is supposed to be independent of the wavelength and is the fitting parameter. The Planck's law,  $u_{\lambda}(T_{sp})$ , is integrated over the detector's spectral sensitivity range, represented by its quantum efficiency  $QE$ .

Similarly as for the ground calibration (Royer et al., 2020b), the three parameters ( $\varepsilon_{sp}, r_0, r_1$ ) are fitted on experimental data and results are given in Fig. 5a and b, and the fitted parameters are:

$$\varepsilon_{sp} = 0.9271 \pm 0.0086$$

$$E_a = 0.238 \pm 0.011 \text{ eV}$$

$$A = (1.79 \pm 0.19) \times 10^{-3} \text{ A}$$



**Figure 5:** **a:** Dark model fit (orange points) on all acquired data. **b:** Deviation from model. **c:** The different contributions to the total acquired signal, simulated on an observation with an average albedo of 0.2 at 2  $\mu\text{m}$  and with  $T_{sp} = -31^\circ\text{C}$ ,  $T_{ph} = -90^\circ\text{C}$ .

This new modeling is very similar to the pre-flight version and more precise: within  $-3$  to  $+5$  %, up to  $+10$  % at higher temperature whereas the ground calibration was about  $\pm 10$  %, greater than noise probably because of additional uncertainties on the optical baffle temperature value and uniformity.

This modeling allows us to assess the relative contribution of dark current, instrumental background and science signal to the total measured signal (Fig. 5c). As expected from pre-flight measurements, the flight dataset confirms that the Dark component dominates the total signal measured by the IRS, accounting to 98% of the total signal, while the signal from the science target accounts for about 2%. Moreover, the Dark itself is composed of about two thirds of thermal background and one third of dark current in these low temperatures conditions. The thermal background reaches 93 % of the total Signal at  $T_{sp} = -10^\circ\text{C}$  and the science contribution falls down to 0.1 %, which illustrates the necessity of operating the instrument in the morning, when the environment and instrument temperatures are the lowest.

### 3.2 Noise modeling

The instrument's noise is critical given that it can limit the quality of its data, and impact the accuracy of mineral detection/identification. The noise is assumed to have three sources: the photocurrents from diffracted photons and from the Dark are considered as Poisson noise, the variance of which is equal to the average signal:

$$\sigma_{Sci}^2 = 2 e i_{Sci} \Delta f \quad (1)$$

$$\sigma_{Dark}^2 = 2 e i_{Dark} \Delta f \quad (2)$$

Where  $i_{Sci}$  is the photocurrent from diffracted photons,  $i_{Dark}$  is the photocurrent from the Dark,  $e$  is the elementary charge and  $\Delta f = 1/(2 t_{int})$  is the acquisition bandwidth. The thermal shot noise generated by the equivalent resistance of the detector (also called Johnson noise). This noise is inherent to any resistive system:

$$\sigma_{John}^2 = 4 \frac{k T_{ph}}{R_{load}} \Delta f \quad (3)$$

With  $k$  the Boltzmann's constant and  $R_{load}$  the equivalent resistance of the detector (to be adjusted) Finally, the readout noise, whose variance is noted  $RON^2$ , is a constant representing the uncertainty on the uncertainty of the readout electronics during the integration of the detector electrons. Its value is adjusted on data.

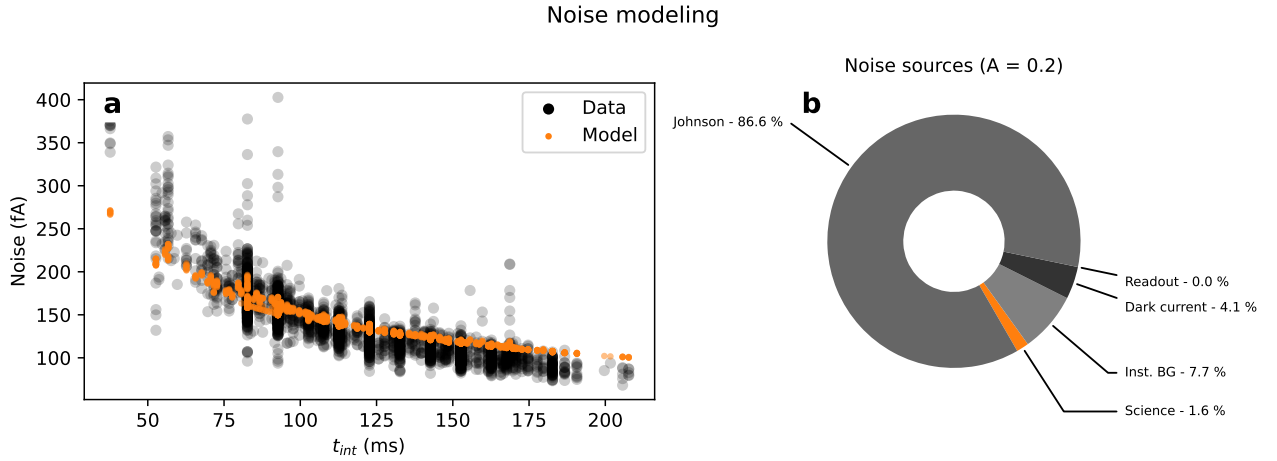
As we knew from the Dark modeling that the scientific input is negligible compared to the Dark

(Section 3.1), the noise characterization has been performed on Dark data only. Its model is fitted by adjusting the values of the equivalent load resistance of the detector ( $R_{load}$ ) and of the readout noise level (Fig. 6a). The fitted values are:

$$R_{load} = 2.741 \pm 0.028 \text{ M}\Omega \quad (\text{expected } 15.6 \text{ M}\Omega)$$

$$RON = 4 \times 10^{-4} \pm 1.1 \text{ fA} \quad (\text{expected } 20 \text{ fA})$$

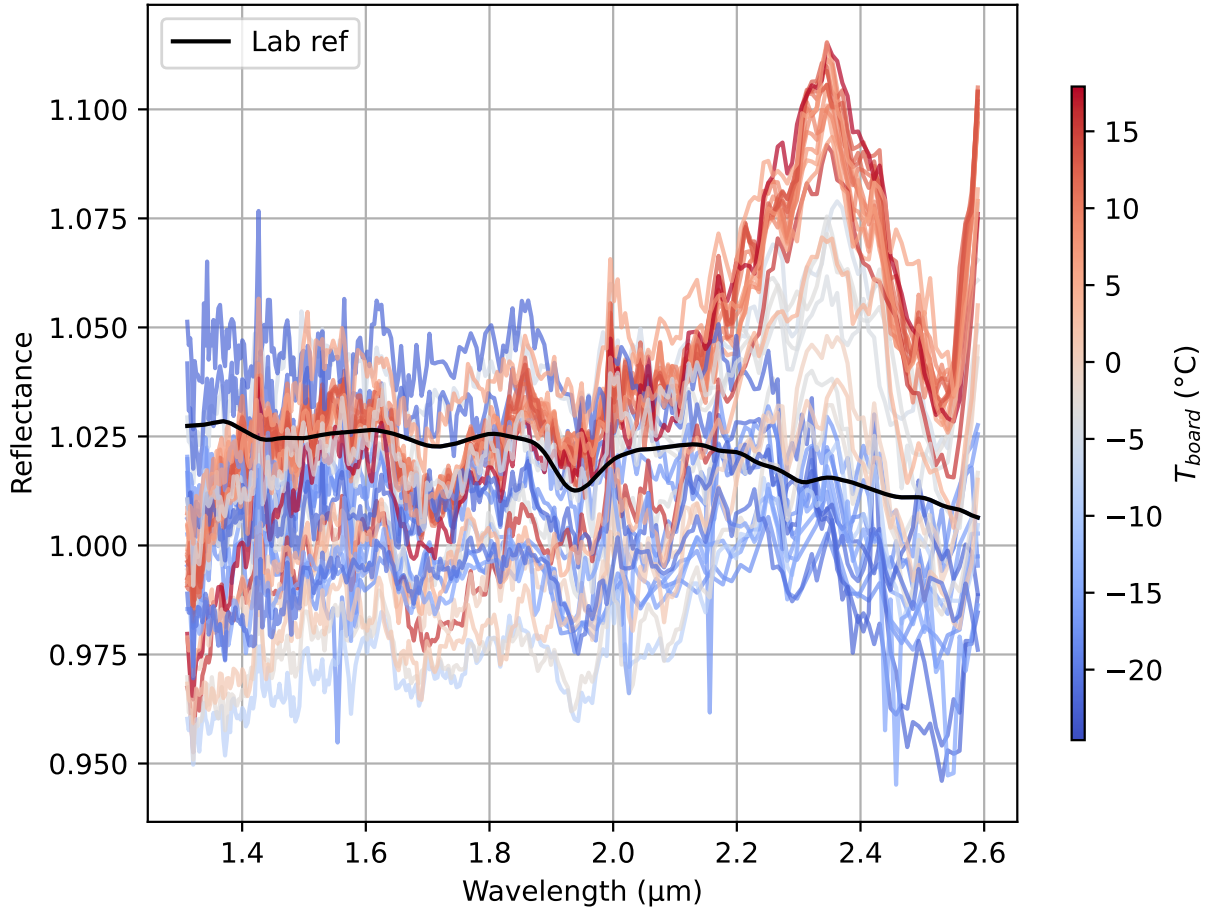
These values are very different from the expected ones, given by the manufacturer of the detector and its readout electronics. The readout noise appears to be poorly constrained and negligible compared to the other values. The equivalent resistance of the detector is much lower, though of the same order. This last parameter represents the lack of a noise source, depending on integration time (contrary to RON) to explain the observed noise, or it highlights an uncertainty on the temperatures used as proxies. Thus, its apparent dominance in the study of the noise distribution means that the major part of noise is from an unknown source (Fig. 6b).



**Figure 6:** **a**: Noise model fit (orange points) on all acquired data. **b**: Noise variance distribution in Signal – Dark, simulated on an observation with an average albedo of 0.2 at 2  $\mu\text{m}$  and with  $T_{sp} = -31^\circ\text{C}$ ,  $T_{ph} = -90^\circ\text{C}$ .

### 3.3 Sensitivity to IR board temperature

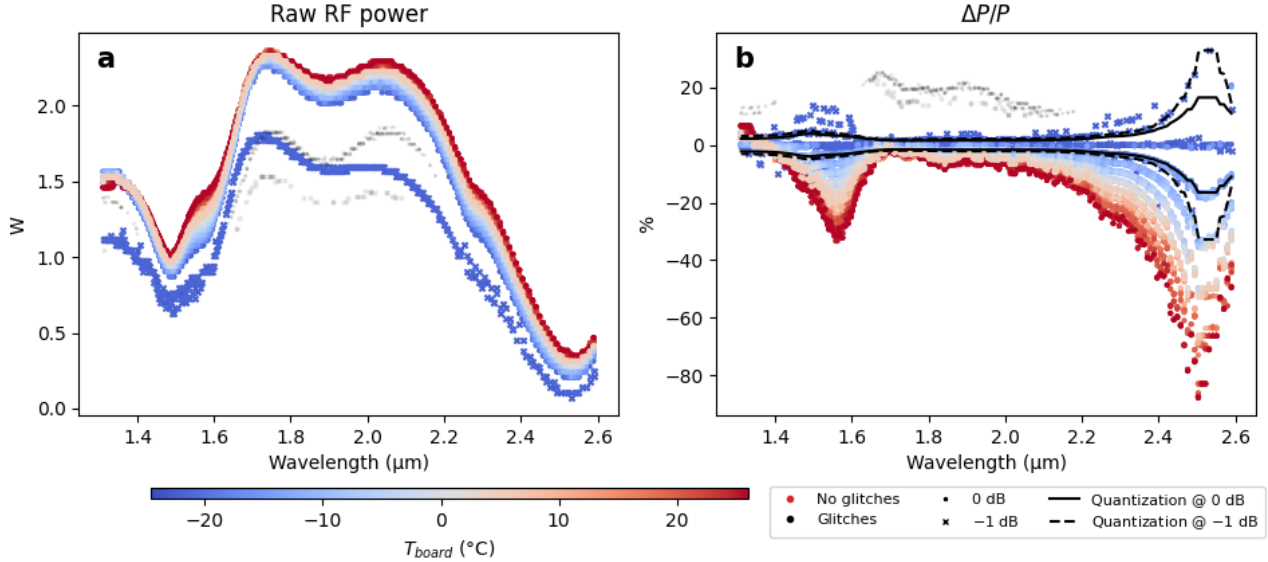
The study of calibrated data revealed a quasi-systematic feature at  $2.5 - 2.6 \mu\text{m}$  behaving as an absorption band accompanied by a  $2.35 \mu\text{m}$  bump with an intensity reaching up to 10 % of mean signal (Fig. 7). If not accurately corrected, this would cause a significant limitation in the detection of secondary phases signatures, in particular for carbonates. This feature appears to be correlated to the temperature of the IR electronic board, containing the RF generator which supplies the AOTF.



**Figure 7:** IR White SCCT observations from Sol 20 to Sol 354 calibrated to reflectance. The black line is the lab reflectance of the target; each color corresponds to the mean temperature of the IR board during the observation.

The power delivered by the RF generator follows a similar behavior with respect to the temperature of its electronic board (Fig. 8). This parameter is acquired simultaneously with every measurement as a housekeeping (HK) parameter and we find the same  $2.5 \mu\text{m}$  feature as well as another  $1.6 \mu\text{m}$  feature which is much less present on Signal data. The link between these two observables, the RF power and

the integrated Signal, is the instrument's transmission through the AOTF diffraction efficiency. This efficiency depends on the power and the frequency of the RF signal (see Valle 2017 for further details).



**Figure 8:** **a:** All RF power measurements from Sol 11 to Sol 380 as a function of the IR board temperature (colors) and the attenuation (0 dB = dots, -1 dB = crosses). Black points are glitches, included to show their deviation from the nominal power profile. **b:** Relative deviation to Sol 20 power profile. Black lines are the quantization step of the RF power measurement ( $\pm 34.5$  mW). They show that the power deviation with temperature is greater than the precision of the RF power HK measurement.

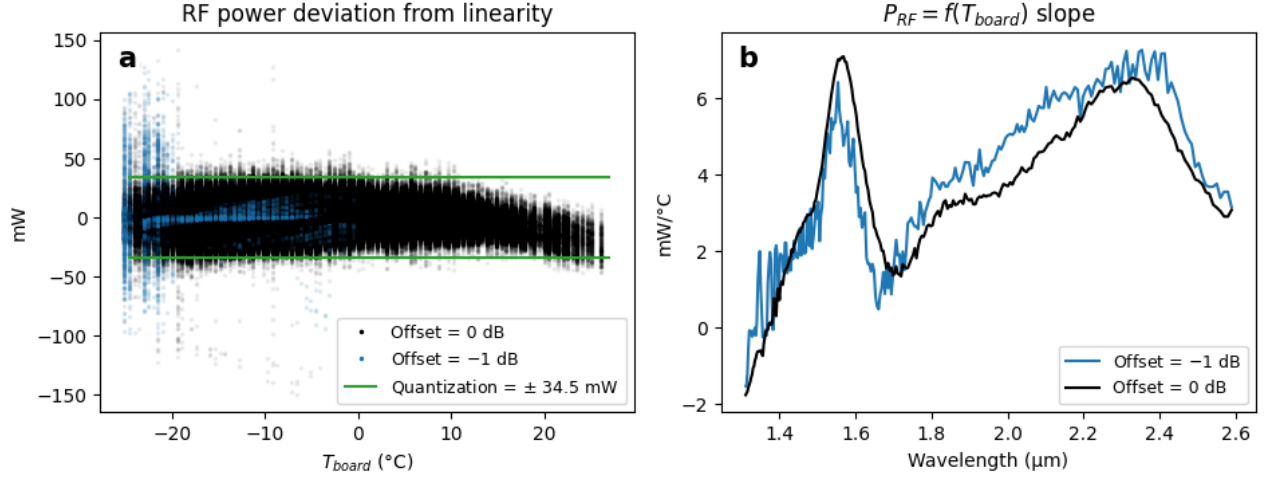
Fortunately, the IR board temperature-to-RF power relation is linear and has been determined with high precision on flight data using two tested RF power attenuations: 0 dB and -1 dB (Fig. 9). This linear law is validated on the whole spectral range and data present a slight non-linearity at high temperature, though lower than the quantization step and not affecting the precision of the calibration.

### 3.4 RF power to AOTF transmission lab characterization

In order to perform a proper calibration of the instrument the RF power-to-AOTF transmission relation has to be determined, which can be highly non-linear depending on the AOTF's operating point. Indeed, when operated at high power, an AOTF gets a higher transmission but a non-linearity appears in the power-to-transmission relation. It varies as  $\sin^2(\alpha \sqrt{P_{RF}})$  with  $\alpha$  depending on the RF frequency and the optical/mechanical properties of the crystal (Valle, 2017).

This law has not been studied during the ground calibration of the IRS (Royer et al., 2020b), but was investigated later with lab measurements of the IRS Flight Spare (FS) in a thermally regulated



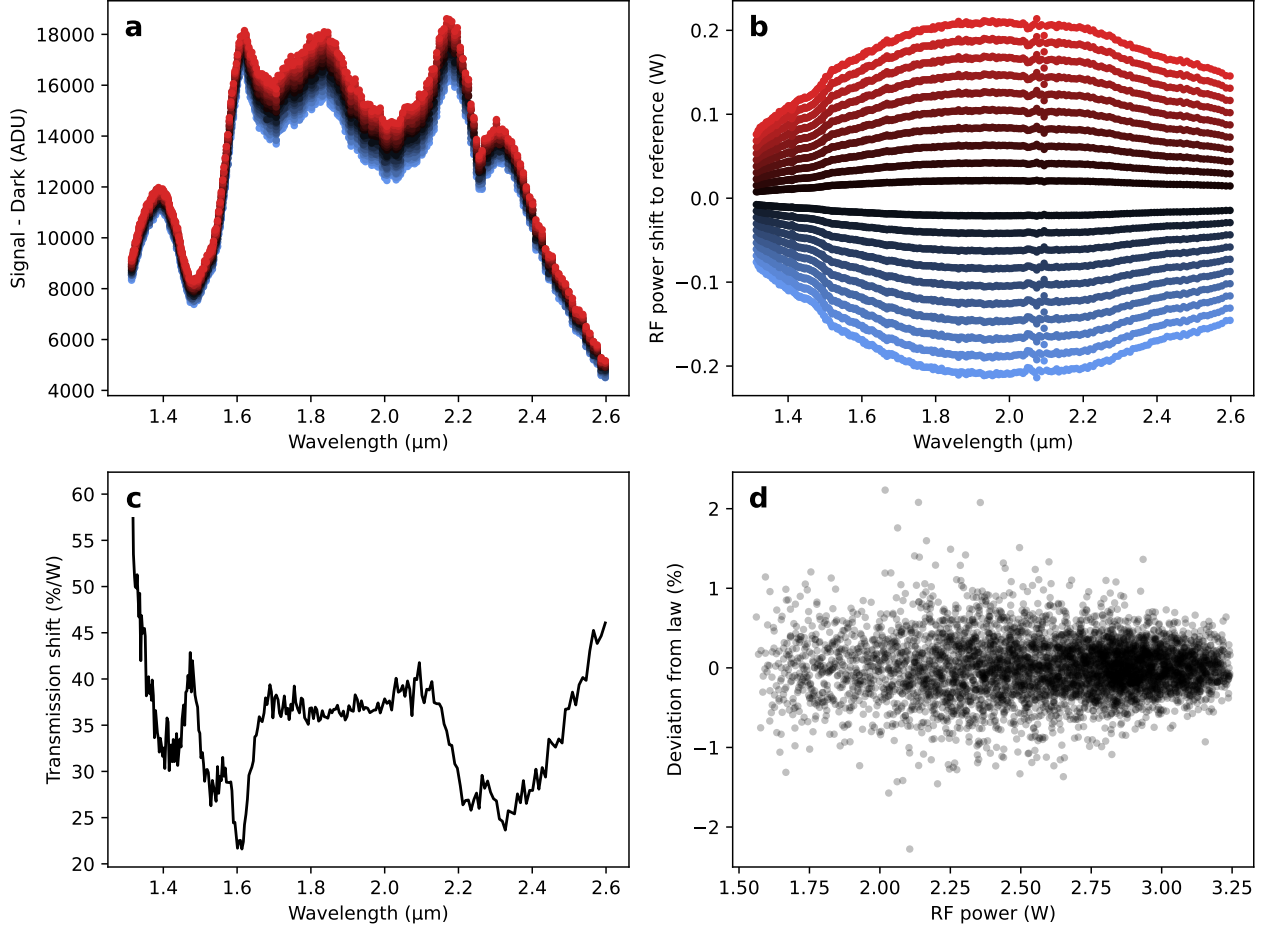


**Figure 9:** **a:** Deviation from linearity of every RF power measurement at the two attenuations. **b:** Slope of the linear law representing the sensitivity of the RF power to the temperature.

chamber and illuminated by a Quartz-Tungsten-Halogen lamp (QTH). The measurements consisted of the acquisition of the QTH signal at various small RF power deviations around the nominal profile, the instrument being regulated at  $-30^{\circ}\text{C}$ . Then, the relative transmission is calculated by dividing the acquired data at a given RF power by the data at the nominal profile (linearity of the instrument). This campaign revealed that the best model describing the power-to-transmission relation is linear on the RF power variation range we observe during the operations on Mars (Fig. 10).

## 4 The IRS data processing pipeline

In this section, we describe the objectives, method and result of the flight calibration of the IRS. As mentioned in the Introduction, the instrument's response changed significantly between the pre-flight calibration and the first IR White SCCT measurement (Fig. 3), which required establishment of a dedicated flight calibration campaign. The objectives of this calibration are to derive an Instrument Transfer Function (ITF) allowing conversion of data into radiance with a precision satisfying the science requirement (Royer et al., 2020a): 20 % in absolute reflectance estimation, 1 % in relative. The other objective was to build a data processing pipeline converting the raw digital values into high SNR reflectance data with instrumental artifacts removed.

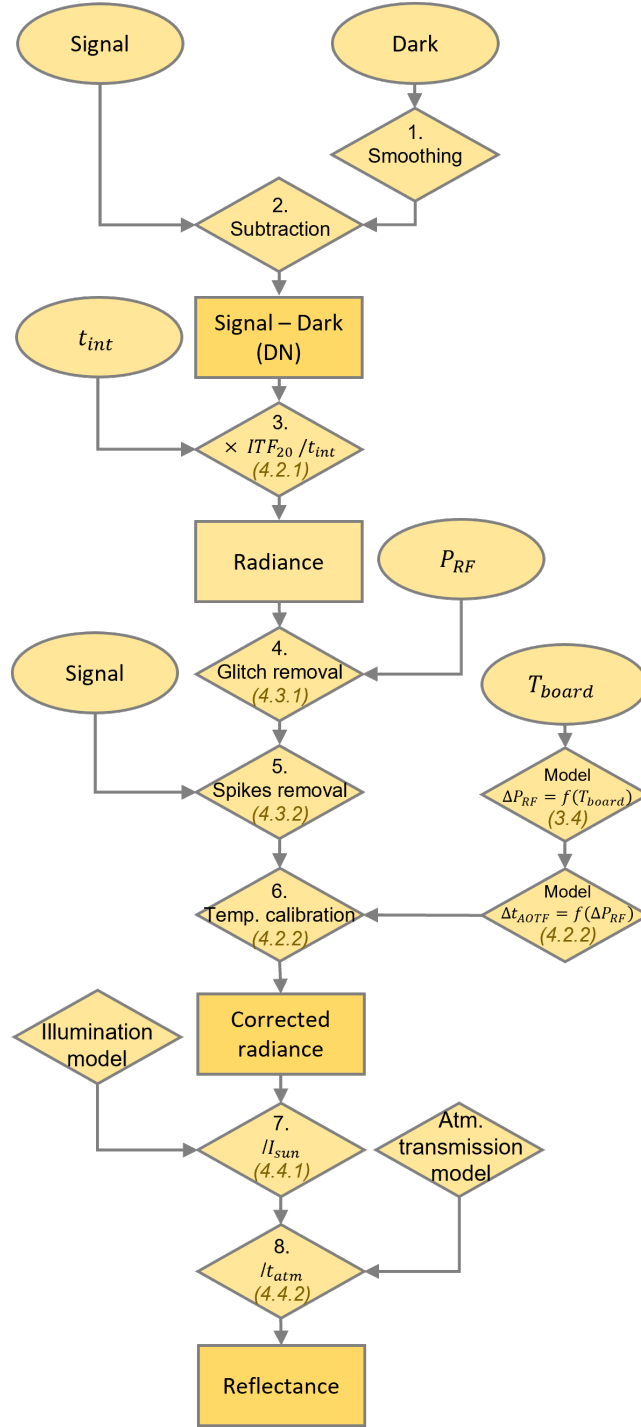


**Figure 10:** **a:** Signal – Dark measurements as a function of RF power shift (color, see **b**). **b:** Relative RF power compared to the nominal profile. **c:** Slope of the linear law representing the sensitivity of the transmission to the power shift. **d:** Deviation from linearity of the transmission measurements.

#### 4.1 The calibration pipeline

The IRS calibration is based on an instrument model describing its behavior as a function of integration time and input radiance. This model is linear with both integration time and radiance because of the impossibility to set independently the observation parameters (instrument temperatures, illumination conditions), and has been fitted on IR White measurements (see Section 4.2). The data reduction pipeline is defined as follows (Fig. 11):

1. **Dark smoothing.** The IRS Dark is affected by noise as well as readout artifacts called "Spikes" randomly occurring on the whole spectral range (see point 5). Since we know that the Dark is mainly determined by the temperatures of the IR Optical Box and the detector (Section 3.1), its variations should follow their low frequency trends and high frequency noise can be safely



**Figure 11:** Data reduction pipeline flowchart. Numbers in parenthesis refer to the corresponding section in the paper.

removed by a low-pass filter (we use a 3<sup>rd</sup> degree Savitzky-Golay filter). Thanks to the fact that the Dark dominates the total integrated Signal, this procedure allows increasing the total SNR by  $\sim 40\%$ ;

2. **Dark subtraction.** The smoothed Dark is subtracted from the Signal to keep the science contribution only;
3. **Conversion into radiance.** The numerical data are calibrated using the ITF derived on the IR White SCCT measurement acquired on Sol 20 (Eq. 4). This zero-order calibration corrects the main instrumental biases such as the spectral, radiometric and geometric responses. This process is detailed in Section 4.2.1;
4. **Glitch removal.** Glitches are strong artifacts occurring only on Signal (*i.e.* only when the AOTF is supplied by RF power) as negative peaks typically affecting only one spectral channel. They are related to the RF power and appear more often at low temperature (see Section 4.3.1). They are detected on RF power profiles by their strong intensity drop, and eliminated by interpolating neighboring non-affected channels;
5. **Spike removal.** Spikes are also peak-shaped artifacts but they occur on both Signal and Dark, randomly on the whole spectral range and they do not seem related to any instrumental/environmental parameter (Section 4.3.2). They are detected on Signal using a 3- $\sigma$  filter (Dark is smoothed as described in (1) above) and removed by interpolation;
6. **Thermal correction.** Final step of the radiometric calibration, radiance spectra are multiplied by a correction vector compensating the instrument's sensitivity to the temperature of its RF electronic board (Section 4.2.2). The resulting corrected radiance is included in PDS data files;
7. **Conversion into reflectance.** Radiance data is converted into reflectance by dividing by a reference radiance, taken as the radiance of an ideal white target illuminated under the same geometry as the observation (solar elevation, Mars-to-Sun distance). We make here the difference between "martian" targets (*i.e.* targets on the surface of Mars) and the SCCTs. The martian targets are assumed to be horizontal because their real illumination geometry is unknown, whereas the SCCTs' solar incidence is very well known. Every target is also supposed Lambertian. The hypothesis of the horizontal martian target leads to an incidence error and a geometric bias, especially for inclined targets (see Section 4.4.1);
8. **Atmospheric correction.** Division of reflectance spectra by an atmospheric transmission reference spectrum, tuned to remove atmospheric spectral features (Section 4.4.2).

## 4.2 Derivation of the flight transfer function

### 4.2.1 Zero-order calibration

The "zero-order" calibration is independent of any instrumental or environmental parameter because it relies only on the Sol 20 IR White SCCT observation. The corresponding Instrument Transfer Function is calculated through the linear model hypothesis:

$$ITF_{20} = \frac{I_{Sun,20} Ref_W}{DN_{20}/t_{int_{20}}} \quad (4)$$

Where  $I_{Sun,20}$  is the solar radiance reflected by an ideal white Lambertian surface at Sol 20 (see Section 4.4.1),  $Ref_W$  is the lab reflectance of the IR White target,  $DN_{20}$  is the Signal – Dark measurement on the IR White SCCT and  $t_{int_{20}}$  the corresponding integration time. The unit of the ITF is thus the  $W/m^2/sr/\mu m/(DN/ms)$ .

Then, data from a given observation X are converted into radiance using a similar relation:

$$I_X = DN_X/t_{int_X} ITF_{20} \quad (5)$$

Finally, data are converted into relative reflectance by dividing by the radiance of an ideal white target in the same conditions using the same model as for the ITF definition:

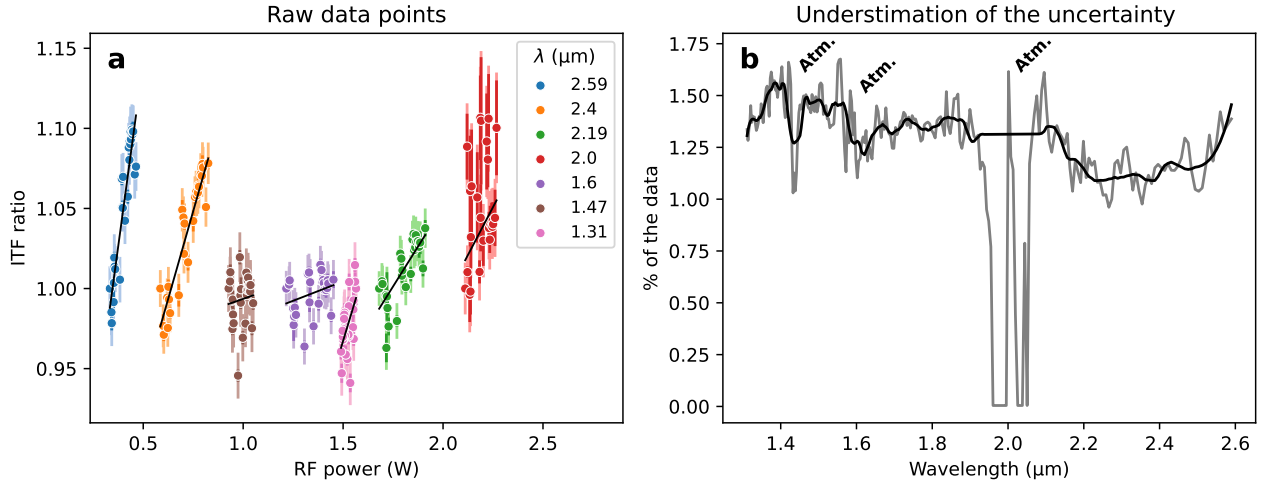
$$Ref_X = \frac{I_X}{I_{Sun,X}} \quad (6)$$

This reflectance calibration removes the main instrumental features such as the spectral response of the detector, the AOTF efficiency and the optics transmission, and it corrects the continuum position from the illumination geometry in terms of Mars-to-Sun distance and solar elevation. However, during the conversion into reflectance, we make no hypothesis on the target inclination with respect to the local vertical, since this parameter is mostly unknown. This introduces an incidence bias that affects estimation of the absolute reflectance. The only way to address this limitation would be to use a 3D geometric model of the target giving its real position in the workspace frame. Moreover, the

atmospheric features are not removed from  $DN_{20}$  data because they are convolved by the instrument's response, and they cannot be simply eliminated by division. Thus, these features are only partially corrected in science data by multiplication by the  $ITF_{20}$  and they require a further correction using a reference atmospheric spectrum on reflectance data to compensate for the difference of atmospheric properties (see Section 4.4.2).

#### 4.2.2 Temperature calibration

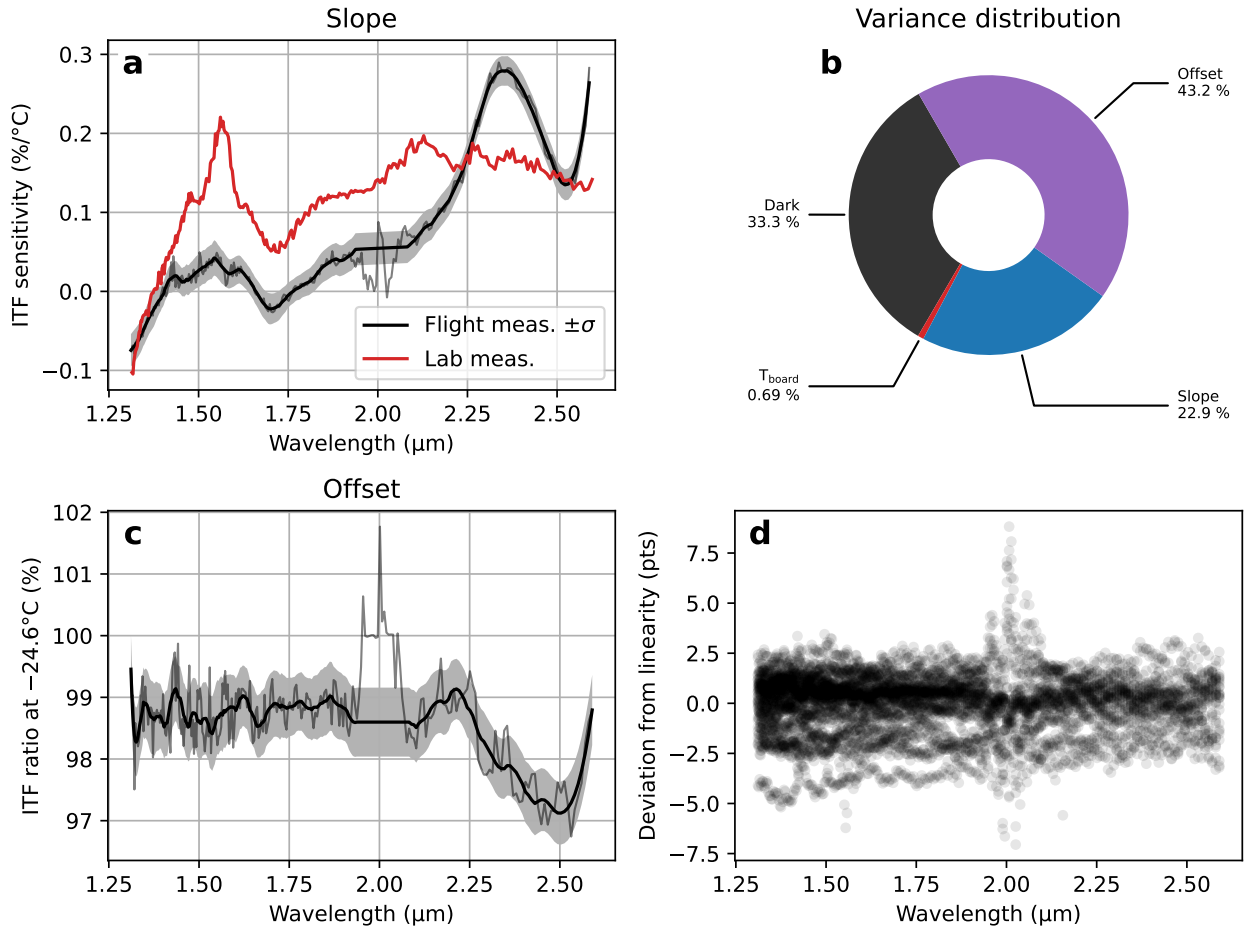
Regarding the dependence of the AOTF transmission on the injected RF power, the IRS Flight Model (FM) on Mars is assumed to behave similarly as the FS (Flight Spare, see Section 3.4), so we applied the linear power-to-transmission model to derive the calibration law. For this purpose, the curve fitting has been performed using a maximum-of-likelihood approach accompanied by a Bayesian and a Monte-Carlo by Markov Chains algorithm to estimate the uncertainty on each fitting parameter. This method has already been used for the IRS FM ground calibration and is described in Royer et al. (2020a). To calculate the instrument's transmission, instead of raw Signal – Dark differences, we favored the use of ITF ratios to take into account the variations of illumination conditions between the various IR White SCCT observations. The fit law is thus defined as follows:



**Figure 12:** **a:** ITF ratios as a function of RF power (for clarity) and for several wavelengths across the spectral range. At a given wavelength (*i.e.* a given RF frequency set point), the RF power dispersion due to the IR board temperature variations is linearly correlated to the ITF ratio. The calculated uncertainty is represented by dark error bars and the underestimation assessment is the light bars. At 2.0  $\mu\text{m}$ , the  $\text{CO}_2$  residuals strongly increase the noise estimation. **b:** Uncertainty underestimation as a function of wavelength. It decreases at wavelengths corresponding to atmospheric features because the noise there is greater.

$$\frac{ITF_{20}}{ITF_X} = A \times (T_{board_X} - T_{board_{20}}) + B \quad (7)$$

365 With  $A$  and  $B$  the slope and y-intercept of the linear law, called "Slope" and "Offset" hereafter.  
 366 The Slope is thus the combination of the  $T_{board}$ -to-power and the power-to-transmission slopes and the  
 367 Offset should be constant and equal to 1 if no other instrumental bias is present. To assess the error  
 368 on the Slope and the Offset, the algorithm uses the data uncertainty, taken as the combination of the  
 369 noise and the precision of the  $T_{board}$  HK ( $\pm 0.5^\circ\text{C}$ ). The uncertainty on the illumination is evaluated  
 370 afterwards as an underestimation of the total uncertainty. The underestimation factor is thus taken  
 371 as a fraction of the data and acts as a fitting parameter (Fig. 12).



**Figure 13:** Results of the IRS FM flight calibration. **a:** Fitted Slope (grey line, black is smoothed), the red line is the lab measurement on the FS for comparison. **b:** Average distribution of the error sources on every calibrated science observation (up to Sol 380) **c:** Fitted Offset (grey line, black is smoothed). **d:** Absolute deviation from model, in percent points, for every ITF ratio.

372 The result of the fitting algorithm and the final evaluation of the average error distribution is given  
 373 in Figure 13. As expected, the IRS FM behaves differently from its FS, but both responses are of  
 374 the same order of magnitude (Fig. 13a). The Offset is not constant and equal to 1 but is shifted  
 375 by 1.5 - 2 % and presents a  $T_{board}$  related 2.5  $\mu\text{m}$  feature (Fig. 13c). This residual is attributed to  
 376 the dispersion of the ITF ratios, due to the uncertainty on the illumination (Fig. 13d). Finally, the  
 377 distribution of the uncertainties on every measurement shows that the calibration is responsible for  
 378 about 2/3 of the total error, the remaining being mostly attributed to the Dark; the uncertainty on  
 379  $T_{board}$  is negligible (Fig. 13b). However, these two major sources are very different in terms of error  
 380 statistics. Indeed, the Dark contribution is a stochastic noise, occurring at high frequency (*i.e.* at  
 381 the scale of a spectral channel), whereas the calibration contribution corresponds to low frequency  
 382 constant offset variations related to uncertainties on local illumination conditions and thermal shifts.  
 383 This difference is of importance when we estimate the uncertainty on spectral parameters (Section 5).  
 384 Finally, the Slope and the Offset are smoothed to keep the low frequency trend (higher frequency  
 385 noise is already taken into account in the error bars) and the atmospheric features are ignored (Fig.  
 386 13a, c black lines). We thus derive a correction vector to the reflectance spectra by calculating  
 387  $ITF_{corr} = A \Delta T_{board} + B$  and apply it to science radiance data:

$$Ref_{corr} = \frac{Ref_X}{ITF_{corr}} \quad (8)$$

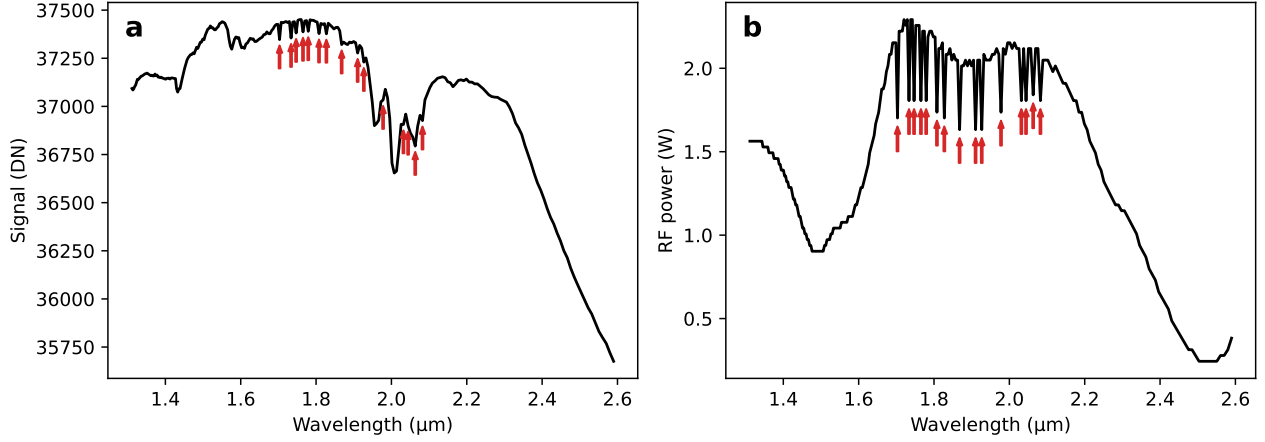
## 388 4.3 Glitches and spikes

### 389 4.3.1 Glitches

390 Glitches are artifacts occurring only when the AOTF is supplied by RF signal, behaving as Signal drops  
 391 of nearly constant amount, affecting only one spectral channel with very rare consecutive occurrences.  
 392 They are also characterized by an easily detectable RF power counterpart (Fig. 14). They are  
 393 attributed to an electromagnetic interference/contamination (EMI/EMC) by the IRS itself or by  
 394 another instrument onboard Perseverance.

395 Glitches were statistically studied to understand their behavior (Fig. 15). It appeared that the  
 396 glitches are not correlated with the Mast Unit elevation but they seem to be more frequent when the  
 397 instrument points backwards and in the 60° azimuth (rover frame, 0° corresponds to pointing straight





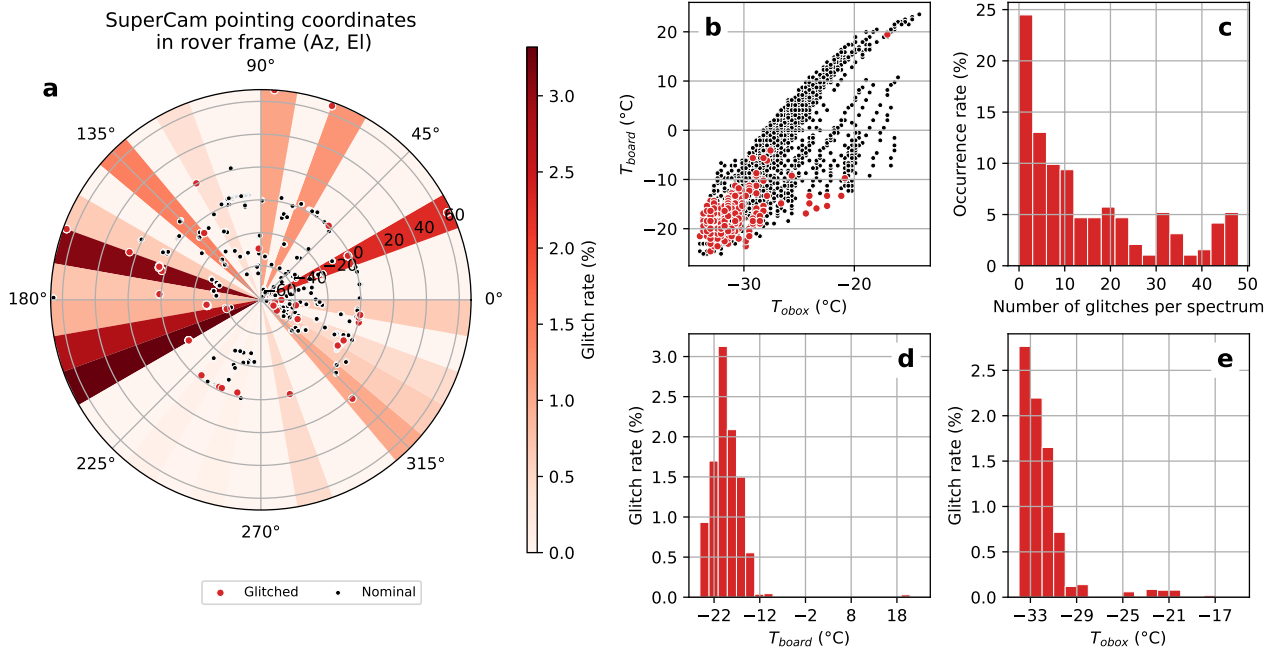
**Figure 14:** Example of glitchy passive Sky spectrum, acquired on Sol 357, 3<sup>rd</sup> point. **a:** Raw Signal. Glitches are highlighted by a red arrow. The strong triplet at 2  $\mu\text{m}$  is the  $\text{CO}_2$  absorption lines convolved by the IRS spectral response. **b:** RF power counterpart of the glitches.

forward) direction (Fig. 15a). The number of glitches per spectrum is mostly low, 25 % of glitchy measurements have less than 3 glitches (Fig. 15c) then it rapidly decreases towards high glitch rates. The most relevant parameter to describe the glitches is the temperature of the IR board (Fig. 15b, d and e). Indeed, glitches are very well correlated with lower  $T_{\text{board}}$  with a maximum probability around  $T_{\text{board}} = -21^\circ\text{C}$  (3 % chance for a spectral channel to be glitchy, a spectrum containing 256 channels in most cases). The correlation with the IR Optical box (OBOX) is due to the correlation between the temperatures of the IR board and the OBOX. Indeed, the IRS is cooler in the early morning than at midday and is heated by previous SuperCam activities. Thus operational use of SuperCam VISIR measurements lead to a trade-off between observing at the lowest temperature to have a lower Dark and noise, and avoiding too cold temperatures to minimize the risk of having glitches.

In the current (version 3) calibration pipeline, glitches are very efficiently detected on RF power curves so that they cannot be mistaken with real spectral features. Then they are eliminated by interpolating the neighboring non-glitchy points.

#### 4.3.2 Spikes

Spikes are artifacts behaving as out-of-statistics noisy points. They are more easily detected on the Dark but they are also present on the Signal, independently of the Dark. They are identified by a  $3\text{-}\sigma$  filter on standardized data, corresponding to the probability to have at most one spike on a



**Figure 15:** **a:** Spatial distribution of all SuperCam measurements in polar projection. Each point is a pointing direction, blacks are nominal measurements, reds are glitchy. The red sectors correspond to the glitch rate (the number of glitches amongst the total number of acquired points, a spectrum containing 256 points in most cases) in a 10° azimuth window. 0° elevation corresponds to the horizon. **b:** Distribution of all measurements in the  $(T_{board}, T_{obox})$  space. Red points are glitchy measurements. **c:** Number of glitches per spectrum statistics on glitchy data. **d:** Glitch rate distribution as a function of  $T_{board}$ , *i.e.* the number of glitches amongst the total number of points in the 2°C interval **e:** Same as d but for  $T_{obox}$  and 1°C intervals.

256-channel spectrum, *i.e.* an occurrence rate < 0.4 % (Fig. 16). Their measured rate is about 4 to 5 times greater than the natural rate of 3- $\sigma$  events, showing their non-statistical origin.

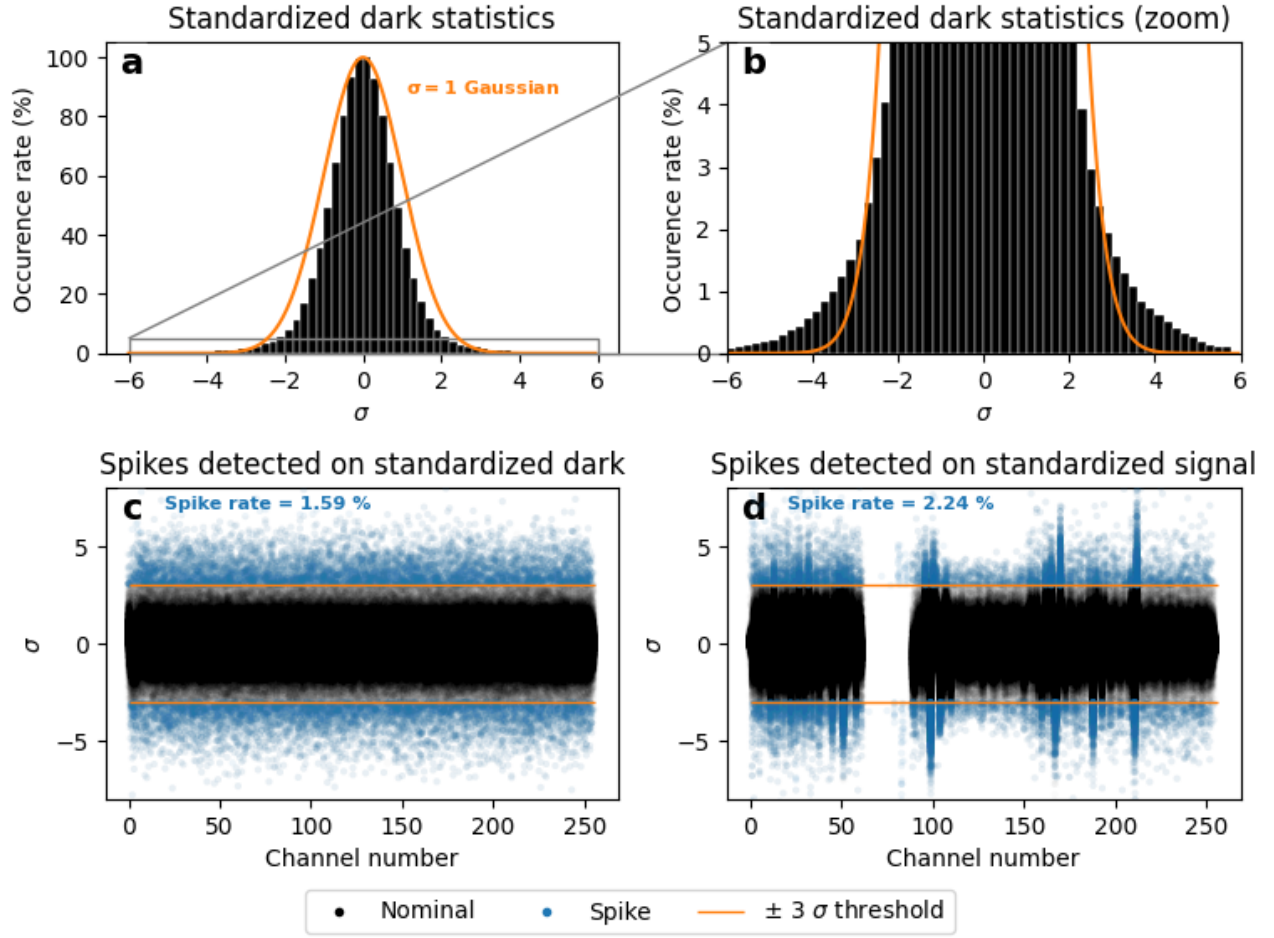
The study of their statistics shows that their occurrence is not correlated to the mast position (Fig. 17a), neither to the thermal conditions (Fig. 17c and d), nor to the glitches. However, the spikes seem less frequent at high IR Optical box temperature. The distribution of their number is similar to a binomial distribution (Fig. 17b) suggesting that their occurrence rate is constant along the acquisition of a spectrum and thus, their origin is purely random.

After the spikes identification, their correction process is exactly the same as for the glitches.

## 4.4 Illumination and atmosphere models

### 4.4.1 Illumination model

As explained in the description of the calibration pipeline (Section 4.1), the ITF calculation as well as the conversion into reflectance rely on an illumination model. This model assumes that the only

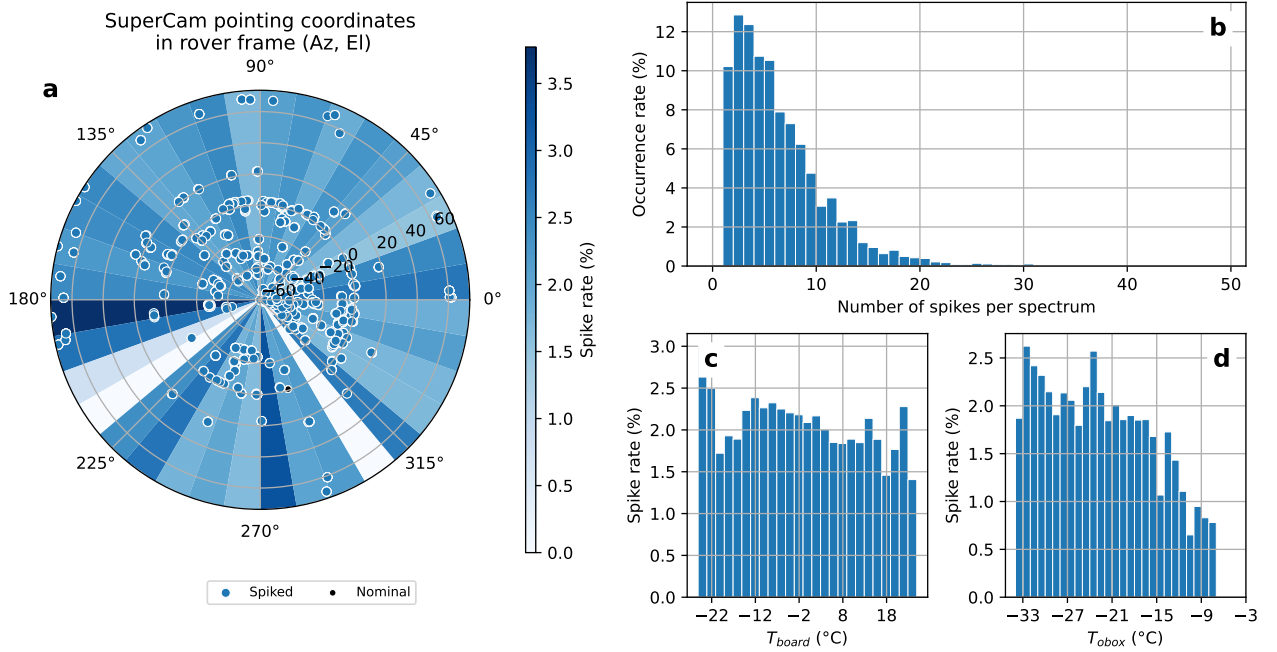


**Figure 16:** **a:** Standardized dark data distribution. The orange curve is a 1- $\sigma$  Gaussian centered on zero. **b:** Zoom-in on the distribution feet to show the deviation from Gaussian. **c:** Standardized Dark data with spikes detection using a 3- $\sigma$  threshold **d:** Same as c but for Signal. Atmospheric absorption bands have been masked because they bias the noise estimation.

source of light is the direct solar illumination and it calculates the local solar flux reflected by an ideal white Lambertian target under the same conditions as the observation by:

$$I_{Sun} = \frac{1}{\pi} \Omega_{Sun} \cos(i_{Sun}) \varepsilon_{Sun} u_{\lambda}(T_{Sun}) \quad (9)$$

Where  $\Omega_{Sun} = \pi r_{Sun}^2 / d_{Sun}^2$  is the solid angle under which the Sun is seen from the target ( $r_{Sun}$  is the solar radius and  $d_{Sun}$  the Mars-to-Sun distance),  $i_{Sun}$  is the solar incidence on the target,  $\varepsilon_{Sun}$  is the solar emissivity, taken equal to 1, and  $u_{\lambda}(T_{Sun})$  is the solar spectral radiance given by the Planck's law. For the calculation of the astronomical parameters,  $d_{Sun}$  and  $i_{Sun}$ , we use an ephemeris model



**Figure 17:** **a:** Spatial distribution of all SuperCam measurements in polar projection. Each point is a pointing direction, blacks are nominal measurements, blues are affected by spikes. The blue sectors correspond to the spike rate (the number of spikes amongst the total number of points) in a 10° azimuth. 0° elevation corresponds to the horizon. **b:** Number of spikes per spectrum statistics. **c:** Spike rate distribution as a function of  $T_{board}$ , *i.e.* the number of spikes amongst the total number of points in the 2°C interval **d:** Same as c but for  $T_{obox}$  and 1°C intervals.

based on SPICE kernels. Martian ground targets are assumed as horizontal so the  $i_{Sun}$  is simply the solar zenith angle, directly given by the ephemeris. SCCTs are in a well known geometry and the solar incidence onto them is calculated using the rover position quaternion and the Sun's coordinates in the site frame.

Planck's law is an approximation of the real solar radiance but provides a physical meaning of the target radiance. Indeed, in the conversion into reflectance, this constant is removed by division, thus, it does not affect the final result. Basically, the illumination model can be summarized as a partial photometric correction that includes solar distance and the incidence cosine ratio between the target and SCCT observation.

However, an important geometric bias may remain owing to the assumption of a horizontal target. If the target is inclined by an angle  $\alpha$ , the real incidence  $i$  is given by  $\cos i = \cos(i_{Sun} - \alpha)$  and the resulting geometric error is  $\frac{\cos i}{\cos i_{Sun}} = \cos \alpha + \tan i_{Sun} \sin \alpha$ . The  $\alpha$  angle has to be calculated at the IRS field of view scale, which is about a few millimeters for the targets in the rover's workspace. Thus, it may strongly vary with the target's macroscale and microscale roughness, independently from its

447 average inclination.

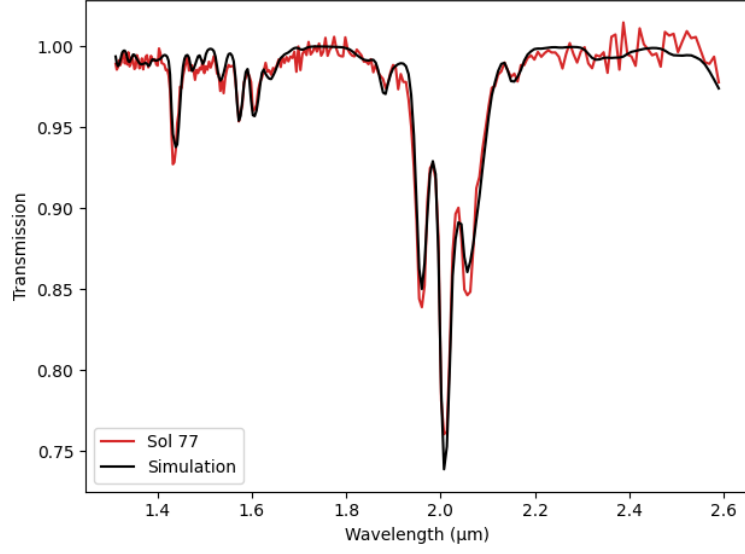
448 Another aspect of this model is that it considers the Sun as the unique source of light, and that  
449 no surrounding terrain reflects light on the target, and it neglects the potential diffusive contribution  
450 from the atmosphere. In the case of SCCT observations the rover itself becomes a source of scattered  
451 light from its white paint and instruments in the SCCTs' field of view. Moreover, the rover white paint  
452 has several strong absorption features in the near-IR that are observed in several SCCTs' spectra (Fig.  
453 22). A dedicated photometric model including this contribution would be required to address these  
454 artifacts.

#### 455 4.4.2 Atmospheric absorption correction

456 Through the CO<sub>2</sub> absorption features, the atmosphere is one of the major contributors to the re-  
457 flectance spectra. Its spectral features must be removed from ground target observations since they  
458 can influence mineral absorption bands. For example, the 1.9 μm band (related to hydration) is  
459 strongly affected by the CO<sub>2</sub> 2 μm triplet. This correction is performed on reflectance calibrated  
460 data in order to not be biased by the instrument response (Fig. 11). The atmosphere is modeled as  
461 follows: before reaching SuperCam, the light is absorbed by the atmosphere from space to the target  
462 and from the target to the instrument. For any target, the first optical path is mostly the same as the  
463 one measured during the Sol 20 IR White SCCT reference observation but with some additional path  
464 length at lower sun angles. The second path is negligible for the workspace targets (which are only  
465 a few meters away), but it can be important for long distance observations (hundreds of meters to  
466 kilometers). The atmospheric absorption is thus modeled as the division by a reference transmission  
467 spectrum raised to a given power to compensate the observation distance and the seasonal density  
468 variation:

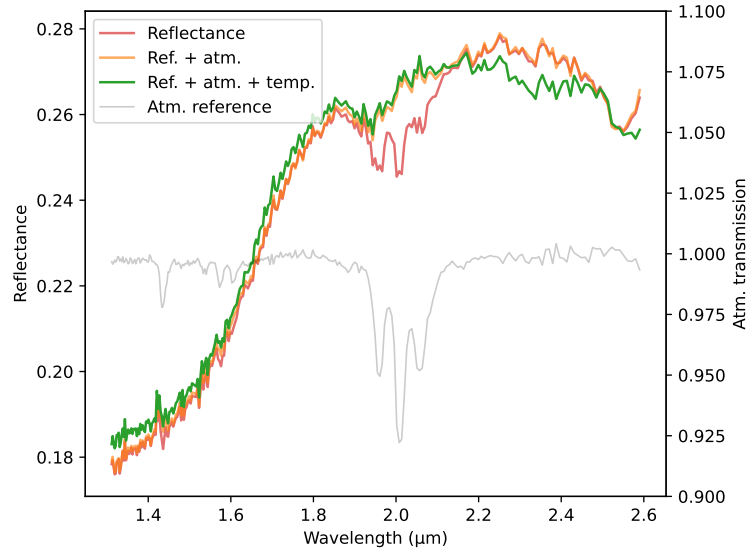
$$Ref_{X, atm} = \frac{Ref_X}{t_{atm}^{k_{atm}}} \quad (10)$$

469 Two reference transmission spectra,  $t_{atm}$ , are used in the current pipeline: a modeled CO<sub>2</sub> spectrum  
470 convolved by the IRS spectral response and an atmospheric spectrum derived from the Sol 77 passive  
471 sky observation (Fig. 18).



**Figure 18:** Atmospheric spectra used for the atmospheric correction.

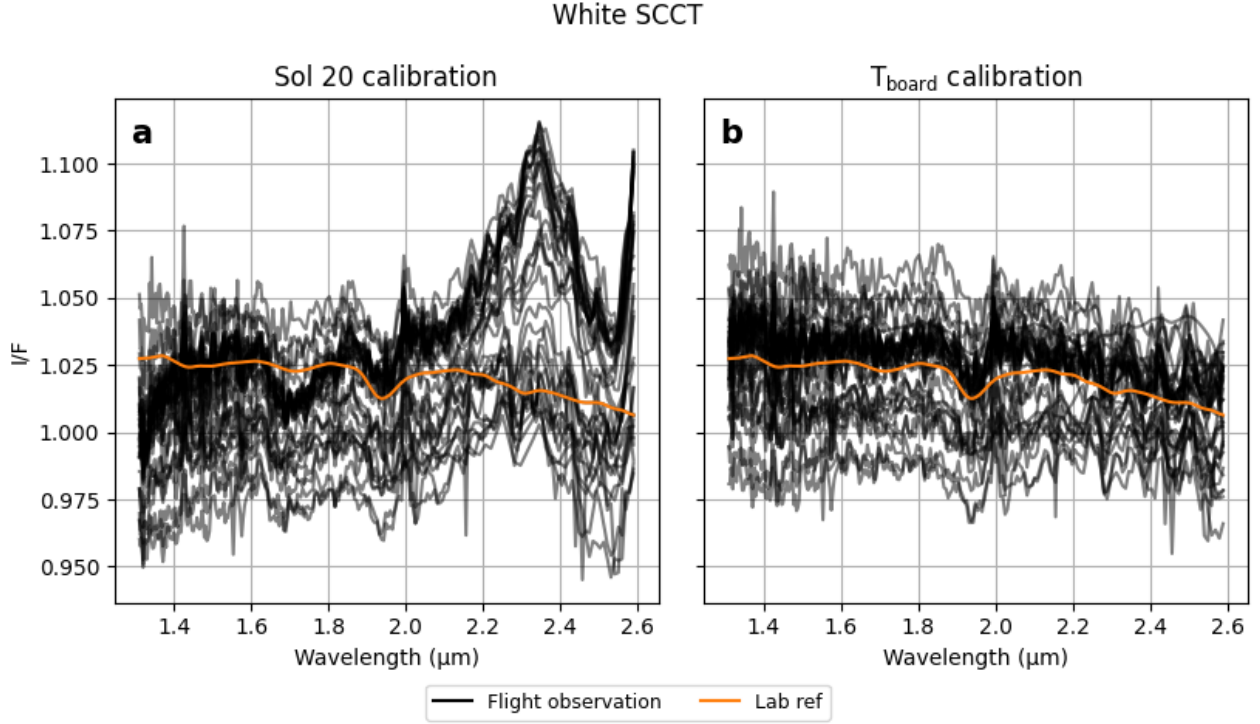
472 The  $k_{atm}$  parameter is calculated by minimizing the variance of the  $Ref_{X,atm}$  vector in the atmo-  
 473 spheric features ranges (mainly between 1.9 and 2.1  $\mu m$ ). The minimal variance corresponds to the  
 474 best elimination of the features, and the reference spectrum leading to the lowest variance is kept for  
 475 the calibration (Fig. 19).



**Figure 19:** Reflectance of a long distance target observed on Sol 147 (sequence scam06147, 9<sup>th</sup> point) at different calibration stages: raw reflectance conversion (red), after atmospheric correction (orange) and after thermal correction (green). In the calibration pipeline, the thermal correction step is performed on radiance, not on reflectance, but it is shown here to highlight its effect. The used atmospheric spectrum at the optimal exponent is given in light gray.

## 4.5 Calibration validation

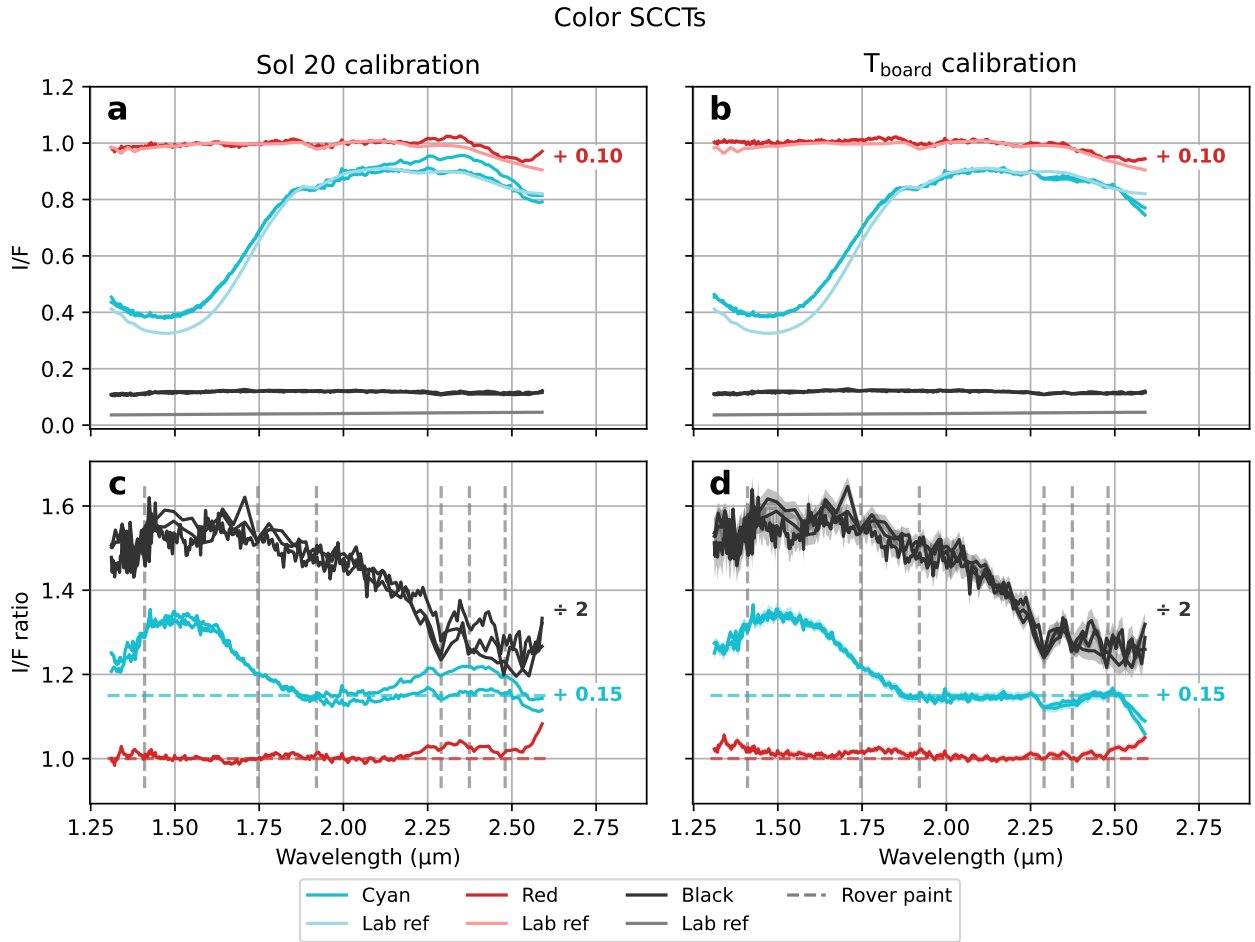
A first validation of the  $T_{board}$  calibration is performed by recomputing the reflectance of every IR White SCCT observation, previously shown in Figure 7. The result is very satisfying since  $T_{board}$ -related spectral features are not visible anymore and the data dispersion is identical to Fig. 13d (Fig. 20).



**Figure 20:** Calibration of all IR White SCCT observations. **a:** Using the zero-order calibration only. Orange line is the lab reflectance of the target. **b:** Thermal calibration.

A proper validation comes with the calibration of Color SCCTs, acquired several times during the mission (Fig. 21). These targets are mostly Lambertian, thus, they are suitable for a recurring observation under various illumination conditions. Three Color targets are used for this validation: Cyan, Red and Black, each of which includes an embedded magnet, to decrease the magnetic dust contamination (Manrique et al., 2020; Cousin et al., 2022). We used observations of the Cyan SCCT from Sols 77 and 246, two observations of the Black target from Sol 11 and one from Sol 77, and one from Sol 77 for the Red target. The Cyan and Red SCCTs absolute levels were in good agreement with their lab reference and the two Cyan observations overlapped each other, showing the accuracy of the illumination model (Fig. 21a and c). However, the Black SCCT and the broad 1.5 μm absorption feature of the Cyan were more poorly reproduced by the IRS (although the latter exhibits few spectral

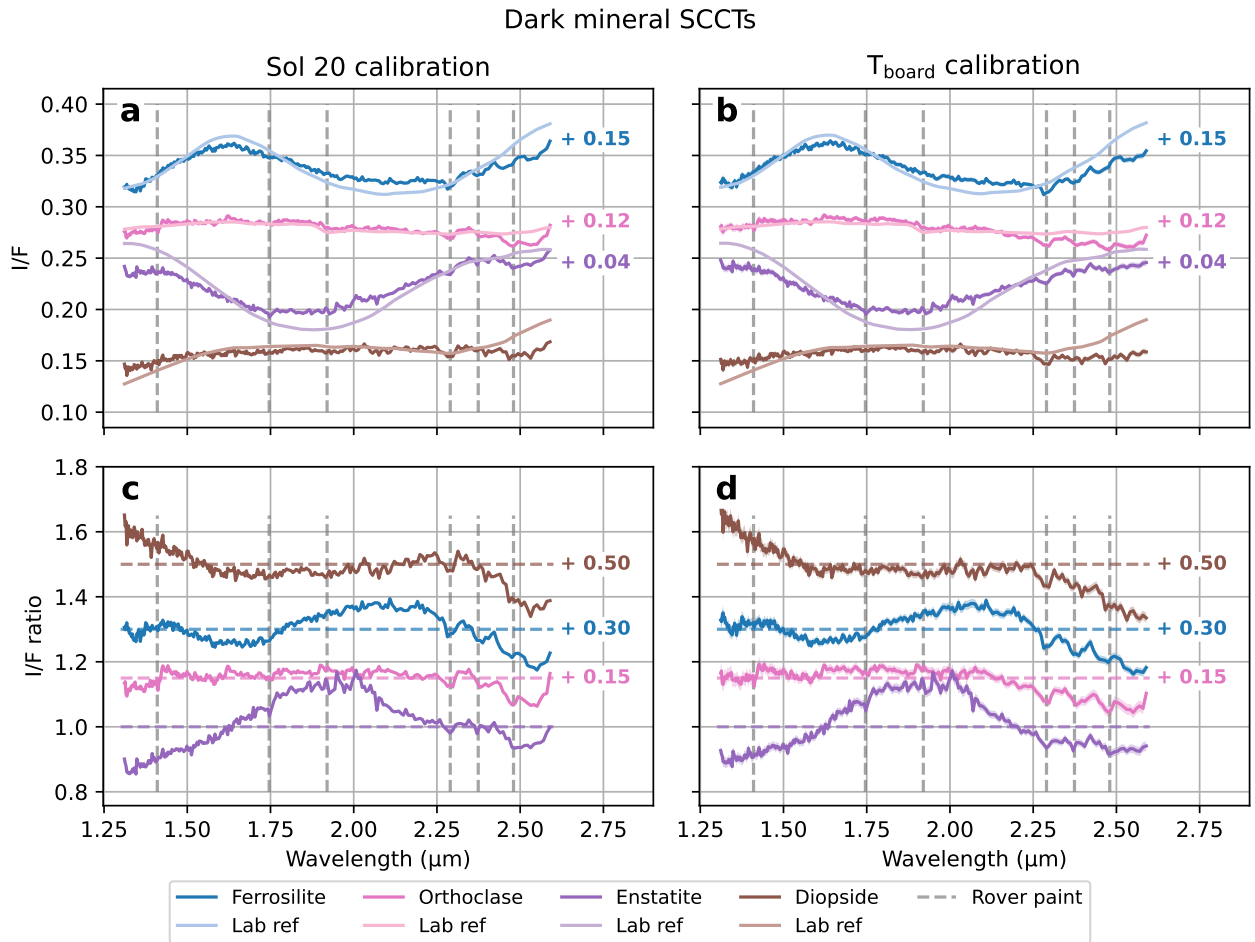
491 features and has very low reflectance). The thermal calibration increases the agreement with the lab  
 492 spectra and the overlapping of various measurements of the same target, except for the Red at short  
 493 wavelength ( $1.3 - 1.4 \mu\text{m}$ ) and the Cyan at long wavelength ( $2.55 - 2.6 \mu\text{m}$ ) (Fig. 21 b and d).  
 494 Rover white paint absorption features are visible on Black and Cyan spectra which shows that the  
 495 contribution of the light reflected by the rover is not negligible and could be an additional light source  
 496 to be taken into account for the reflectance calculation. However, this reflected compound has never  
 497 been observed in Martian observations and no correlation has been found between the  $2.28 \mu\text{m}$  band  
 498 (strongest rover paint feature) observed in the rocks of the *Mááz* formation (see Section 5.2) and the  
 499 target-rover distance or the illumination conditions.



**Figure 21:** Color SCCTs calibration: Red on Sol 77, Cyan on Sols 77 and 246, Black on Sols 11 (2 times) and 77. **a:** Reflectance spectra calibrated with the Sol 20 ITF only. The corresponding lab reference is given in lighter color. The Red spectra are vertically shifted for clarity **b:** Same as **a** but after the thermal calibration. **c:** Measurement to lab reference ratios. The Black curves are divided by 2 (reference baseline is thus off-axis) and Cyan ones are shifted for clarity. Dashed vertical lines correspond to the positions of the rover white paint absorption features. **d:** Same as **c** but after the thermal correction.



500 A series of mineral SCCTs (*i.e.* not color SCCTs: Ferrosilite, Orthoclase, Enstatite and Diopside,  
 501 see [Manrique et al. \(2020\)](#); [Cousin et al. \(2022\)](#)) was acquired on Sol 79 to characterize their flight  
 502 spectral response and validate again the IRS response on known rock samples. The main difference  
 503 with color targets is that the mineral ones are not Lambertian and the absolute reflectance cannot  
 504 be compared directly to their lab values. Nonetheless, the main spectral features of each mineral are  
 505 well reproduced (Fig. 22) and the thermal calibration efficiently removes the 2.5  $\mu\text{m}$  aberrant feature  
 506 (well visible in Fig. 20a for example). Similarly to the color SCCT, some rover white paint absorption  
 507 bands are visible in mineral SCCTs' spectra (particularly upon ratioing to their lab spectra), which  
 508 indicates a contamination under these specific illumination conditions.



**Figure 22:** Mineral SCCTs calibration. Data acquired on Sol 79. **a:** Reflectance spectra calibrated with the Sol 20 ITF only. The corresponding laboratory reference is given in lighter color. Due to the non-Lambertianity of these targets, their lab reflectance reference has been rescaled to the average flight measurement and both are shifted for clarity (the corresponding value is indicated on each panel). **b:** Same as **a** but after the thermal calibration. **c:** Measurement to lab reference ratios. Dashed vertical lines correspond to the positions of the rover white paint absorption features (Fig. 2). **d:** Same as **c** but after the thermal correction.

As a conclusion, the IRS radiometric calibration and its thermal correction allows a high fidelity retrieval of mineral spectral signatures as well as an estimation of the absolute reflectance in a good agreement with the expected lab measurements. However, calibration residuals are still present, although acceptable for mineralogical identification, and the rover white paint may be an additional minor source of light biasing the estimation of the illumination conditions and therefore the ITF derivation. Further investigations on a more realistic illumination model are necessary to address this point.

## 5 IRS spectral performance

### 5.1 The signal-to-noise ratio estimate

The signal-to-noise ratio (SNR) is the main quantity that allows us to evaluate the quality of the data and the validity of the absorption band detections. Thanks to the results of the radiometric calibration, we can precisely define the SNR of a reflectance spectrum but also the SNR associated with an absorption band or a spectral parameter.

In general, for a given spectral channel, the SNR is defined as the ratio between the reflectance of this spectral channel and the local uncertainty derived from the calibration. As detailed in Section 4.2, this uncertainty contains different contributions affecting the data at different scales. The Dark-related noise is an uncorrelated noise, varying randomly across the spectral channels (the one studied in Section 3.2), while the uncertainty determined by modeling the temperature sensitivity of the instrumental transmission is highly correlated. This last point means that if we compare the reflectance of two close spectral channels (directly contiguous or separated by less than a dozen channels), in a band depth calculation for instance, only the variance of the Dark must be taken into account. In other words:

$$\begin{aligned}
 Var(Sp_1 - Sp_2) &= Var(Sp_1) + Var(Sp_2) - 2 Cov(Sp_1, Sp_2) \\
 &= Var(Sp_1)_{Dark} + Var(Sp_1)_{model} \\
 &\quad + Var(Sp_2)_{Dark} + Var(Sp_2)_{model} \\
 &\quad - 2 Cov(Sp_1, Sp_2)_{model}
 \end{aligned}$$

Because uncertainties related to the Dark and the model are independent and  $Cov(Sp_1, Sp_2)_{Dark} = 0$ .

Moreover, by the correlation of the model's uncertainty, we have  $Cov(Sp_1, Sp_2)_{model} \sim Var(Sp_1)_{model} \sim Var(Sp_2)_{model}$ . Thus:

$$Var(Sp_1 - Sp_2) = Var(Sp_1)_{Dark} + Var(Sp_2)_{Dark} \quad (11)$$

In summary, the SNR is defined in accordance with the accuracy objective of the instrument given during its design and ground calibration (Royer et al., 2020b). When evaluating absolute accuracy, SNR is defined as a ratio of the signal and uncertainty taking into account the Dark and calibration. This uncertainty is given in the "QUALITY" extension of the files delivered to NASA Planetary Data System. When evaluating the relative precision, *i.e.* for contiguous spectral channels, the SNR is defined using the noise of the Dark only (see Eq. 11), itself evaluated by calculating the variance of Dark measurements. This second definition is also applied when calculating the depth of narrow bands, *i.e.* sampled with less than about ten spectral channels.

## 5.2 Observed absorption features

From landing to the end of the Crater Floor Campaign (Sol 379), two geological units were explored by the rover. Perseverance landed on the *Máaz* formation. This is the largest unit on the crater floor, it has a rough, rock-covered surface and exhibits a crater-retaining morphology compared to the adjacent *Séítah* formation. This second geological unit is covered by numerous sand dunes and is stratigraphically lower than the *Máaz* unit. These two units are more extensively described in Farley et al., *in press* and Wiens et al., *in press*.

Approximately 2600 spectra have been acquired by the IRS on a wide variety of targets through Sol 379: dark-toned rocks, pitted rocks, holey rocks, pavers, coarse and fine soils, drill cuttings, abraded surfaces, and outcrops at long distances (for which various materials can be mixed within the field of view). The method for calculating the position and depth of each absorption band is described in Mandon et al. (this issue); we summarize here the main results in order to evaluate their accuracy from the calibration results. Indeed, the statistical analysis of the ITF behavior (section 4.2) allowed us to estimate the accuracy of the data reduction procedure and thus to deduce the error bars affecting each measurement, according to the conditions in which they were performed. In general, low albedo targets will be dominated by Dark instrumental noise, while bright targets will be more limited by

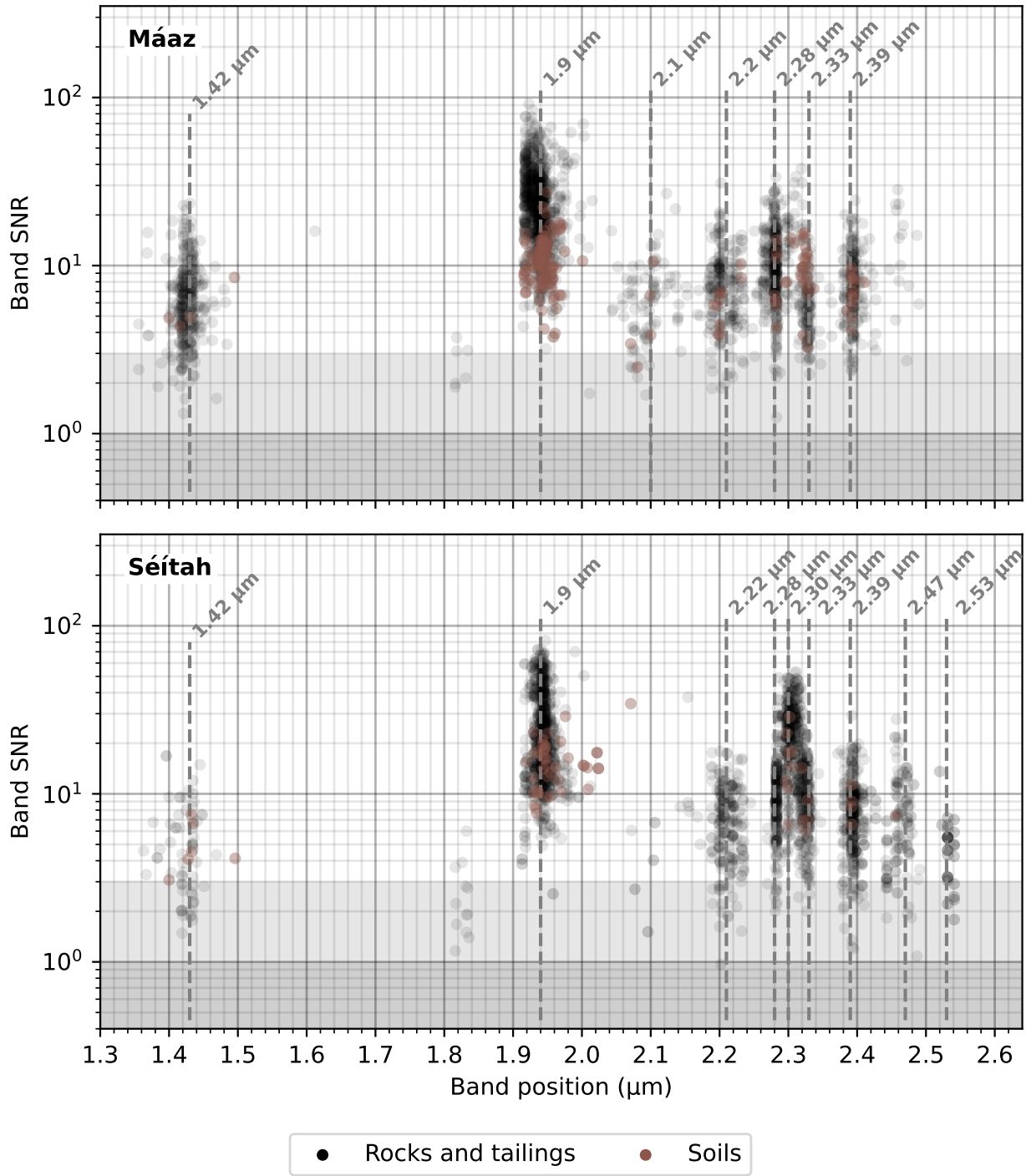
the accuracy of the calibration itself.

The set of absorption bands detections is summarized in Figure 23. These signatures are attributed to various silicates, phyllosilicates, salts and iron-oxides/hydroxides. These phases are crucial markers of the aqueous processes that shaped the *Séítah* and *Máaz* formations. We list below the absorption bands detected in each unit, in the near-IR, along with their mineralogical assignment and an assessment of the precision of the detections (see Mandon et al., this issue, for complete details of the study).

Band center ( $\mu\text{m}$ )	Attribution	SNR
<i>Máaz</i>		
1.42	OH, H <sub>2</sub> O	2 – 20
1.9 (variable)	H <sub>2</sub> O	> 10, up to 100
2.13 ("flat")	Mg monohydr. sulf./Perchlo.	3 – 10
2.2	Al–OH/Hydr. silica/Gypsum	3 – 10
2.28	Fe–OH	4 – 30
2.32–2.33	Mg–OH (phyllo.)	3 – 15
2.39	Fe/Mg–OH	3 – 20
<i>Séítah</i>		
1 (wide)	Oli., Pyro., Fe-bearing phases	> 1000
1.42	OH, H <sub>2</sub> O	2 – 10
1.9 (variable)	H <sub>2</sub> O	> 10, up to 100
2.2	Al–OH/Hydr. silica	1.5 – 5
2.28	Fe–OH	2 – 15
2.30	Fe/Mg-phyllo. and/or carbonates	4 – 50
2.32–2.33	Fe/Mg-phyllo. and/or carbonates	2 – 20
2.39	Fe/Mg–OH	1.5 – 20
2.47	Talc (TBC, see Brown et al., this issue)	2 – 15
2.53	Carbonates	Mostly < 3 but some 3 – 8 were confirmed

**Table 2:** List of near-IR absorption bands detected in the two geological formations explored by the rover. The SNR column corresponds to the evaluation of the detectability of the bands from the observations made and the performance of the instrument. These values indicate the confidence level in each detection: SNR < 1 = no detection, 1 < SNR < 3 = faint detection. The band at 1  $\mu\text{m}$  is outside the IRS spectral range but is broad enough to be measured via its right wing.

The SNR of the detections, defined as the ratio of the band depth and the local variance (see Section 5.1), varies strongly depending on the absorption band considered. In general, a high SNR corresponds to a deep absorption band and/or a low noise measurement (intense illumination, bright target). The band at 1.9  $\mu\text{m}$  (related to the presence of molecular water) is almost omnipresent in the targets of *Séítah* and *Máaz* with a very high SNR, except in the holey rocks where it is practically absent. On the other hand, the bands diagnostic of specific secondary phases between 2.1 and 2.5  $\mu\text{m}$  are weaker: they are shallower than the 1.9  $\mu\text{m}$  band, which explains the SNR difference. Many measurements have a low SNR, close to 2 or 3, which indicates uncertain detections that are difficult to



**Figure 23:** Position and SNR of every detected and visually confirmed absorption feature in the near-IR in each geological unit. The colors indicate the general nature of the targets: brown = soils, black = workspace rocks and their drilling cuttings. Grayed areas correspond to SNR < 3 (light gray), meaning a greater detection uncertainty; and SNR < 1 (gray), no detection.

561 distinguish among noise, instrumental artifacts or real mineralogical signatures. Indeed, in this range  
 562 of wavelengths, the spectral sampling is comparable to the width of the absorption bands sought.

Their detection corresponds to a signal drop on one or two spectral channels. However, most of the detections have a higher SNR and are unambiguously identified (see Mandon et al. this issue).

### 5.3 Spectral parameters performance

When studying infrared spectra, spectral parameters are often used to evaluate the presence of absorption features and quantify their characteristics. Viviano et al. (2014) defined a multitude of spectral parameters adapted to the spectra provided by the CRISM instrument (*Compact Reconnaissance Infrared Spectrometer for Mars*) on board the *Mars Reconnaissance Orbiter* probe. We present here a method of adaptation of some of these parameters to the characteristics of the IRS and an evaluation of their performance, thanks to the error bars provided by the radiometric calibration of the instrument. The parameters used are, generically, the measurement of the depth of a narrow absorption band, the characterization of the shape of a broad band and the measurement of a spectral slope.

#### 5.3.1 Narrow band depth

This kind of spectral parameter is defined by the reflectance at three wavelengths: the central wavelength ( $\lambda_C$ ) and "short" and "long" wavelengths taken at the band's shoulders where the continuum is evaluated ( $\lambda_S$  and  $\lambda_L$ ). Then, the band depth is simply derived by calculating (Viviano et al. 2014, Eqs. 2 and 3):

$$BD_C = 1 - \frac{R_C}{R_{C^*}} \quad (12)$$

Where  $R_X$  is the reflectance at a given wavelength (C, S or L),  $R_{C^*} = a R_S + b R_L$  is the value of the continuum projected at the central wavelength,  $a = 1 - b$  and  $b = \frac{\lambda_C - \lambda_S}{\lambda_L - \lambda_S}$  are weighting coefficients representing the fact that the absorption band is not necessarily symmetrical. In the case of the IRS, this definition can be taken as it is, only by substituting C, S and L by their corresponding values. The determination of the precision, at first order, of such a parameter is also straightforward. Indeed, for narrow bands, like in the  $2.1 - 2.5 \mu m$  region, we can approximate the reflectance of the continuum at the band's shoulders to its average value  $R_{C^*}$ . Therefore, the precision of the spectral parameter

is given by a quadratic weighted average of the local SNR and the band depth through:

$$\begin{aligned}
\sigma_{\text{BD}}^2 &= \frac{\sigma_{R_C}^2}{R_{C*}^2} + \frac{R_C^2}{R_{C*}^4} (a^2 \sigma_{R_S}^2 + b^2 \sigma_{R_L}^2) \\
&= (1 - \text{BD})^2 \text{SNR}_C^{-2} + (1 - \text{BD})^2 \frac{1}{R_{C*}^2} (a^2 \sigma_{R_S}^2 + b^2 \sigma_{R_L}^2) \\
&\sim (1 - \text{BD})^2 (\text{SNR}_C^{-2} + a^2 \text{SNR}_S^{-2} + b^2 \text{SNR}_L^{-2})
\end{aligned}$$

Thus,

$$\sigma_{\text{BD}} = (1 - \text{BD}) (\text{SNR}_C^{-2} + a^2 \text{SNR}_S^{-2} + b^2 \text{SNR}_L^{-2})^{0.5} \quad (13)$$

For example, the BD2355 parameter ([Viviano et al. 2014](#), Tab. 2-39), measuring the depth of the 2.355  $\mu\text{m}$  band, can be defined by:

$$\text{BD2355} = 1 - \frac{R_{SP26}}{0.626 R_{SP33} + 0.374 R_{SP15}} \quad (14)$$

Where  $R_{SPXX}$  is the reflectance of the  $XX^{\text{th}}$  spectral channel. The precision of this parameter is given by:

$$\sigma_{\text{BD2355}} = (1 - \text{BD2355}) (\text{SNR}_{\text{SP26}}^{-2} + 0.391 \text{SNR}_{\text{SP33}}^{-2} + 0.140 \text{SNR}_{\text{SP15}}^{-2})^{0.5} \quad (15)$$

575 All the values necessary to calculate these precision levels are given in the data products of every  
576 observation released in the NASA Planetary Data System by the SuperCam project.

### 577 **5.3.2 Broad band parameters**

Broad bands show a variety of shapes that can be affected by a variation of the continuum itself. For this reason, their spectral parameters are defined as a linear combination of band depth measurements at various wavelengths with the same continuum definition ([Viviano et al. 2014](#), Eq. 7):

$$\text{BD}_{C_0-C_N} = \sum_{i=1}^N D_i \text{BD}_{C_i} \quad (16)$$

578 Where the  $D_i$  are weighting coefficients such that  $\sum D_i = 1$ .

In this case, each band depth calculation being independent from the others, the precision at first order of the spectral parameter is easily calculated ( $\lambda_S$  and  $\lambda_L$  being the same for every reference points):

$$\sigma_{\text{BD}_{C_0-C_N}}^2 = \sum_{i=1}^N D_i^2 \sigma_{\text{BD}_{C_i}}^2 \quad (17)$$

$$= \sum_{i=1}^N D_i^2 (1 - \text{BD}_{C_i})^2 \left( \text{SNR}_{C_i}^{-2} + a^2 \text{SNR}_S^{-2} + b^2 \text{SNR}_L^{-2} \right) \quad (18)$$

### 5.3.3 Slope parameters

In addition to the characterization of the shape and depth of the absorption bands, the slope of the spectral continuum contains information about the nature of the rock. A slope parameter can be basically defined through two reflectance values, at "short" and "long" wavelengths, by:

$$S_{S-L} = \frac{R_L - R_S}{\lambda_L - \lambda_S} \quad (19)$$

And its precision is given by:

$$\sigma_{S_{S-L}} = \frac{(\sigma_{R_L}^2 + \sigma_{R_S}^2)^{0.5}}{\lambda_L - \lambda_S} \quad (20)$$

### 5.3.4 Reflectance smoothing

In order to increase the precision of the spectral parameters, a common procedure is to smooth the reflectance by taking the mean or median of a given set of spectral channels (typically 3 to 5). Thanks to the acquisition mode of an AOTF where the spectral channels are successively measured, each wavelength is independent from its neighbors. Therefore, when calculating a spectral parameter, if the smoothing kernel has a size  $N$ , then  $\overline{\sigma_{\text{BD}}} = \sigma_{\text{BD}}/\sqrt{N}$ .

## 5.4 Example of application: the study of clay/carbonate mixtures

The presence of carbonates in the IRS spectra is mainly highlighted by the two absorption bands of the  $\text{CO}_3$  group: at 2.3 and 2.5  $\mu\text{m}$ . However, some phyllosilicates (smectite clays, in particular) also show an absorption band around 2.3  $\mu\text{m}$ , which leads to difficulties in the determination of the nature of the observed phases.



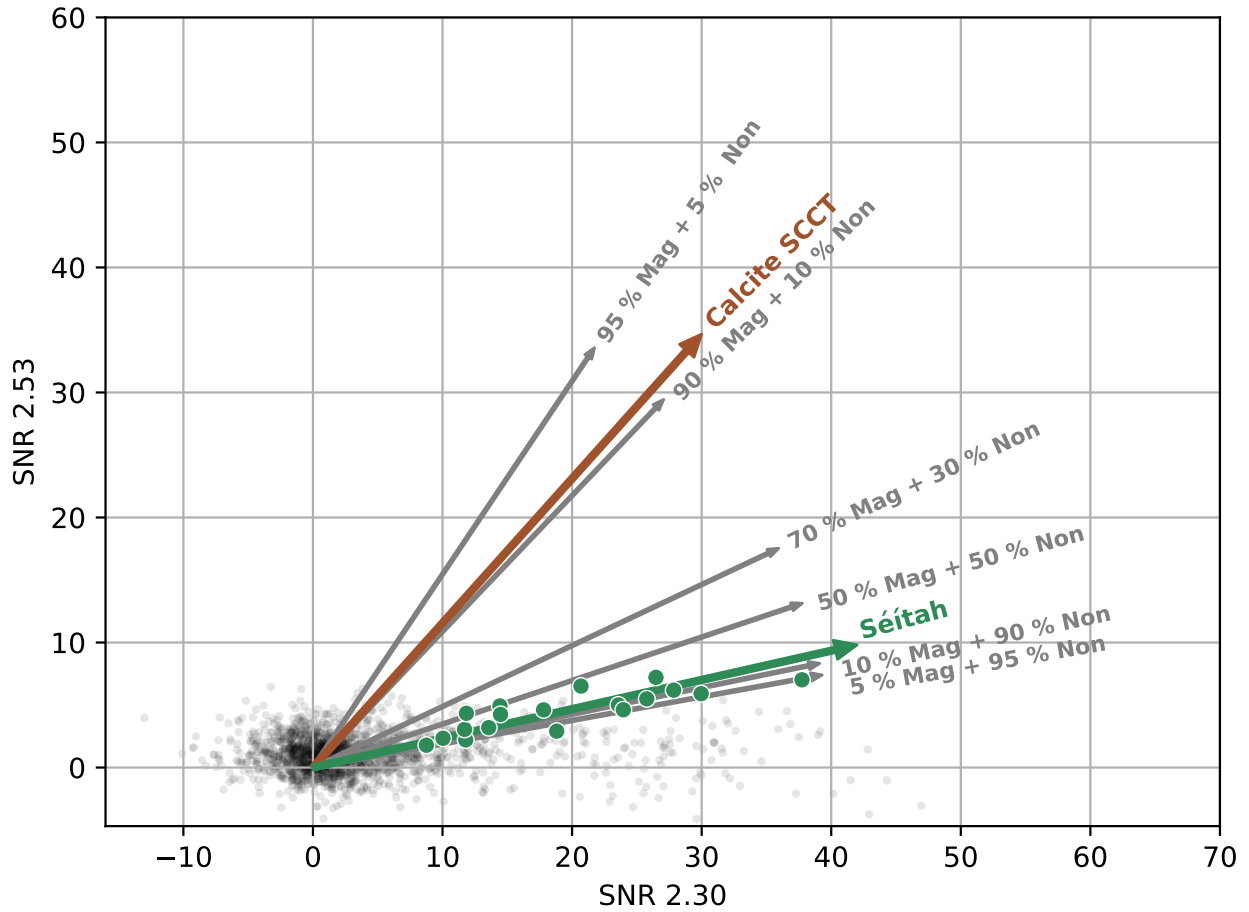
591 The results of the radiometric calibration of IRS allow to study more precisely the end of its  
592 spectral range and the correlation between the depth of the bands at 2.3 and 2.5  $\mu\text{m}$ . We thus defined  
593 a specific BD2530 parameter targeting the 2.53  $\mu\text{m}$  band of Ca-carbonates and Fe/Mg-carbonates  
594 mixtures such that  $R_C = R_{SP6}$  (2.53  $\mu\text{m}$ ),  $R_S = R_{SP3}$  (2.56  $\mu\text{m}$ )  $R_L = R_{SP9}$  (2.50  $\mu\text{m}$ ). This  
595 definition of the spectral parameter was developed to detect the weak absorption bands present at  
596 2.53  $\mu\text{m}$ , taking into account the performance of the IRS in terms of noise and spectral sampling. In  
597 addition to the band depth study, we computed the SNR of the parameter which is more relevant to  
598 detect faint features in a noisy environment.

599 In the Crater Floor Campaign, the selection of observations with the highest SNR of the 2.5  $\mu\text{m}$   
600 band ( $> 4$ ) forms a clear trend towards carbonate/phylosilicate mixtures with low carbonate content  
601 (Fig.24). The band depth ratio does not depend only on the composition of the mixture but also on  
602 the grain size ratio between the two compounds. Thus, we cannot deduce the exact abundance of  
603 carbonate by comparing the trends. We can reasonably assume that it is low (*i.e.*, there are more  
604 phyllosilicates than carbonates). This result is consistent with *Perseverance*'s PIXL and SHERLOC  
605 analyses and modal mineralogy unmixing (Poulet et al. (2022), Tice et al. submitted, Scheller et al.  
606 submitted). These carbonate detections by IRS are also consistent with LIBS and Raman results  
607 (Clavé et al., this issue).

## 608 6 Conclusion

609 The calibration of a space instrument is an essential step during its development but also during its  
610 scientific operations. In particular, radiometric calibration allows reducing the raw data into high level  
611 scientific products, with the minimum of instrumental biases and artifacts. In the case of the IRS  
612 on SuperCam, calibration required fine modeling of the instrument to understand its flight behavior,  
613 which is different from the results of pre-launch studies. This study allowed us to develop a complete  
614 automatic data reduction pipeline, the products of which are provided to the NASA Planetary Data  
615 System.

616 The main success of this flight calibration is the characterization and correction of the temperature  
617 dependence of the instrumental response of its power electronics. This last step allowed the study  
618 of the absorption bands at the end of the spectral range (2.5 - 2.6  $\mu\text{m}$ ) and the identification of the



**Figure 24:** Correlation between the SNR of the 2.30 and 2.53  $\mu\text{m}$  features for all the observations of the Crater Floor Campaign (black dots). Green points correspond to the detections confirmed by LIBS spectroscopy (Clavé et al. this issue). Arrows represent the 2.53/2.30  $\mu\text{m}$  trend and are compared to lab reference magnesite/nontronite mixtures (in gray). The six laboratory references are *c1be232*, *c1be233*, *c1be234*, *c1be235*, *c1be236* and *c1be237* from the PDS Geosciences database.

diagnostic signatures of carbonates. By correlating these signatures, which are also present in some phyllosilicates, we have shown that the carbonate-bearing alteration phases observed in the Crater Floor would be clay-carbonate mixtures with a minor carbonate content.

## Acknowledgments

The authors are truly grateful for the SuperCam and Mars 2020 hardware operations teams for their investment in the mission. This work was supported by CNES. Additional funding was provided by the following sources: NASA's Mars exploration program, CNRS, IRIS OCAV, DIM ACAV, CSA and the Natural Sciences and Research Council of Canada.

## Competing interests

The authors declare that they have no competing interests.

## Open research

All the data supporting this study are either available on the NASA Planetary Data System (SuperCam calibrated data, on <https://pds-geosciences.wustl.edu/missions/mars2020/supercam.htm>) or upon request to the corresponding author (SuperCam raw data and laboratory measurements).

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