

# **It's the Heat and the Humidity: The Complementary Roles of Temperature and Specific Humidity to Recent Changes in the Energy Content of the Near-Surface Atmosphere**

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## **Key Points:**

- The temperature and specific humidity of the near-surface atmosphere are increasing.
- Both imply an increase in atmospheric energy content, and climate models often struggle to simulate regional changes.
- The rate of air temperature increase would have more than doubled without specific humidity change, all else being equal.

**Abstract**

Global change is a change in the planetary energy balance. It is usually expressed as a change in near-surface (2 m) air temperature ( $T_a$ ), but changes to  $T_a$  represent only part of the atmospheric energy balance, which includes specific humidity ( $q$ ) and more. We analyzed MERRA-2 reanalysis data and 15 Atmospheric Model Intercomparison Project (AMIP) models over the 1980-2014 period. Some 41%, 37%, and 49% of the near-surface atmosphere showed significant increases in  $E_T$ ,  $E_{SH}$ , and  $E$ , respectively. The average increase in  $E_T$  ( $E_{SH}$ ) was  $10.6 \text{ J kg}^{-1} \text{ year}^{-1}$  ( $11.5 \text{ J kg}^{-1} \text{ year}^{-1}$ ) but AMIP models estimated that  $E_T$  ( $14.5 \text{ J kg}^{-1} \text{ year}^{-1}$ ) exceeded  $E_{SH}$  ( $13.7 \text{ J kg}^{-1} \text{ year}^{-1}$ ). Global near-surface  $T_a$  would have increased at more than twice the observed rate if energy was not partitioned into latent heat. Results demonstrate the critical role that  $q$  plays in recent changes to near-surface atmospheric energy.

**Plain Language Summary**

Greenhouse gases trap energy, making it more difficult for energy to leave the planet. This has caused an increase in air temperatures near the surface, but there is much more to global change than air temperature alone. Most of the excess energy caused by the increase in greenhouse gases has entered the ocean, because it takes lots of energy to heat water. The water vapor content of the near the surface has also increased, and it takes energy to heat this water. We find that the energy needed to heat the extra water in the atmosphere has helped buffer increases in global air temperatures, which would have increased by more than double if energy was not needed to heat water in the air near the surface. Global climate models are able to simulate many aspects of these energy changes, but struggle in key regions where regional climate is complex. Many of

the largest increases in atmospheric energy have occurred in most populous regions of the globe. It is important to understand that global change is a change in the energy balance of the planet, and that air temperatures alone are only a small (but important) part of this energy change.

## 1 Introduction

Global change is a change in the energy balance of the planet. It is usually communicated to the public as a change in near-surface (2 m) air temperatures ( $T_a$ ), but some 90% of the excess energy has entered the oceans (Church et al., 2011; von Schuckmann et al., 2020) and  $T_a$  is only part of the energy balance of the near-surface atmosphere (Peterson et al., 2011). The total energy ( $E$ , J) of a parcel of air is the sum of its enthalpy, latent heat, kinetic energy, and gravitational potential:

$$E = C_p m T_a + L m q + \frac{1}{2} m v^2 + m g z$$

(1)

Where  $C_p$  is the specific heat of air ( $\text{J kg}^{-1} \text{K}^{-1}$ ),  $T_a$  is in Kelvin,  $L$  is the latent heat of vaporization ( $\text{J kg}^{-1}$ ),  $q$  has units of  $\text{kg kg}^{-1}$ ,  $v$  is velocity ( $\text{m s}^{-1}$ ),  $g$  is acceleration due to gravity ( $\text{m s}^{-2}$ ),  $z$  is height (m), and we assume an air parcel mass ( $m$ ) of 1 kg. The gravitational term is not changing and changes to the kinetic term is trivial compared to those of enthalpy and latent heat (Peterson et al., 2011) despite well-documented changes in global wind speed (McVicar et al., 2012).

Models anticipate that *relative* humidity should be largely unchanging (Byrne & O’Gorman, 2016; Dessler & Sherwood, 2009; Held & Soden, 2006; Schneider et al., 2010) but the observational record indicates a slight drying (Ficklin & Novick, 2017; Willett et al., 2020;

Willett et al., 2014), and even a constant relative humidity on a warming planet implies an increase in  $q$  and latent heat due to the Clausius-Clapyron relation. How do these changes in both  $T_a$  and  $q$  impact  $E$  and are models able to accurately simulate their recent changes?

It is valuable to communicate changes in the global energy balance using the correct physical variables (Pielke et al., 2004, 2007), but terms like ‘enthalpy’ and ‘latent heat’ are not in the common lexicon. It is more commonly understood that it takes a substantial amount of energy to heat water and it follows – if the atmosphere can hold more water as temperatures increase – that more energy is required to heat this extra water. Although these notions are arguably simple, communicating them remains a challenge and public perception of the magnitude of global energy changes may be underestimated as a consequence. Here, we study data from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) to quantify the importance of changes in both  $T_a$  and  $q$  to changes in  $E$ . We also study the ability of global models to simulate these changes with a focus on the period following the 1980 global temperature ‘regime shift’ (Reid et al., 2016). We focus our discussion on the importance of communicating the roles of both enthalpy and latent heat as critical parts of energy changes in the Earth system due to anthropogenic global change (Church et al., 2011; von Schuckmann et al., 2020).

## **2 Materials and Methods**

We analyzed MERRA-2 (Gelaro et al., 2017) global gridded data products and 15 models from the Atmospheric Model Intercomparison Project (AMIP, Table 1) (Eyring et al., 2016) over the 1980-2014 period. The end of the study period was selected due to uniform data availability. For

each pixel of the MERRA-2 and AMIP datasets,  $T_a$  was multiplied by  $C_p$  ( $1005 \text{ J K}^{-1} \text{ kg}^{-1}$ ) to obtain enthalpy for a 1 kg parcel of air following Peterson et al. (2011), which we abbreviate  $E_T$  as ‘energy content due to temperature’.  $q$  was multiplied by  $L$  ( $2.45 \times 10^6 \text{ J kg}^{-1}$ ) to obtain latent heat as ‘energy content due to specific humidity’ ( $E_{SH}$ ) (Equation 1), again for a 1 kg air parcel.  $E$  was taken to be the sum of  $E_T$  and  $E_{SH}$  following the assumptions discussed above.

The nonparametric Thiel-Sen estimator (‘Sen’s slope’) was used to quantify significant trends in  $E_T$ ,  $E_{SH}$ ,  $E$  on a per-pixel basis using the ‘trend’ package (Pohlert, 2020) in R (R Core Team, 2020) for the MERRA-2 dataset. For the AMIP models, changes in  $E_T$ ,  $E_{SH}$ ,  $E$  were calculated after first averaging to a common spatial scale (Table 1). Trends were then calculated using Sen’s slope and an average trend then was calculated for each pixel. We repeated the analysis by first averaging  $E_T$ ,  $E_{SH}$ ,  $E$  for all 15 models and then calculating slopes. Slopes with  $P < 0.05$  were considered to be statistically significant.

We also calculated the  $T_a$  change that would result if all near-surface energy was partitioned to enthalpy rather than latent heat (Equation 1), all else being equal, including changes in circulation that would inevitably result from such changes in energy partitioning (Avissar, 1995). Results are subject to uncertainties in the MERRA-2 dataset and the observations and methods that it uses to create the reanalysis product over land and oceans (Bosilovich et al., 2017; Gelaro et al., 2017).

### 3 Results

Using MERRA-2 reanalysis data for the 1980-2014 period and interpreting significant changes using Sen’s slope, 41% of near-surface atmosphere pixels experienced a significant increase in

101  $E_T$ , 37% had a significant increase in  $E_{SH}$ , and 49% had a significant increase in  $E$  (Figure 1).

102 The observed average increase in  $E_T$  ( $E_{SH}$ ) was  $10.6 \text{ J kg}^{-1} \text{ year}^{-1}$  ( $11.5 \text{ J kg}^{-1} \text{ year}^{-1}$ ); changes in

103  $E_{SH}$  due to changes in  $q$  contributed 8.5% more to global  $E$  during the study period, on average.

104 Significant increases in  $E_T$  were observed across the globe, especially over land, and

105 especially across high-latitude regions where it frequently exceeded  $80 \text{ J kg}^{-1} \text{ year}^{-1}$  except for

106 the Southern Ocean which was dominated by significant decreases in  $E_T$  (Figure 1A). Significant

107 increases in  $E_{SH}$  greater than  $80 \text{ J kg}^{-1} \text{ year}^{-1}$  were observed across parts of Central America, the

108 Mediterranean and Middle East, South Asia, and the Maritime Continent. There were notable

109 significant decreases in  $E_{SH}$  across parts of Africa and South America (Figure 1B). As a result,

110 increases in  $E$  greater than  $80 \text{ J kg}^{-1} \text{ year}^{-1}$  were widely distributed across the globe from

111 tropical to polar zones (Figure 1C).

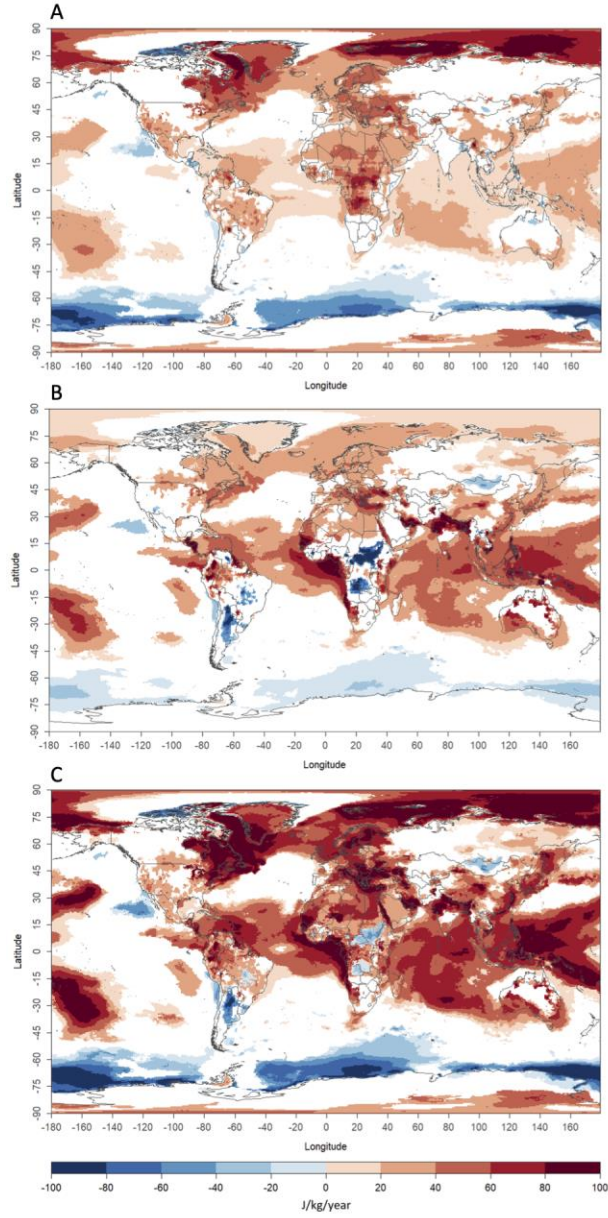


Figure 1: Observed trends in near-surface (A) enthalpy ( $E_T$ ), (B) specific heat ( $E_{SH}$ ), and (C) total energy ( $E$ ) taken to be the sum of  $E_T$  and  $E_{SH}$  from 1980 to 2014 from MERRA-2. White pixels indicate insignificant trends ( $P > 0.05$ ) calculated using Sen's slope.

AMIP models estimated an average  $E_T$  ( $E_{SH}$ ) increase of 14.5 (13.7) J kg<sup>-1</sup> year<sup>-1</sup>. (Repeating the analysis by first averaging energy terms, then calculating slopes, resulted in an  $E_T$  ( $E_{SH}$ ) increase of 17.4 (16.8) J kg<sup>-1</sup> year<sup>-1</sup>.) In other words, AMIP models tended to overestimate global near-surface  $E$  changes *versus* the MERRA-2 reanalysis and estimated that this change was caused more by changes in  $T_a$  than  $q$ . The AMIP models incorrectly simulated the spatial distribution of  $E_T$  changes across much of the Arctic and its magnitude across much of the Southern Ocean and Africa (Figure 2A). The AMIP models incorrectly simulated  $E_{SH}$  changes across much of Africa and other parts of the tropics and subtropics, on average (Figure 2B), such that changes in  $E$  across many regions of the globe differed between the MERRA-2 reanalysis and AMIP models (Figure 2C).



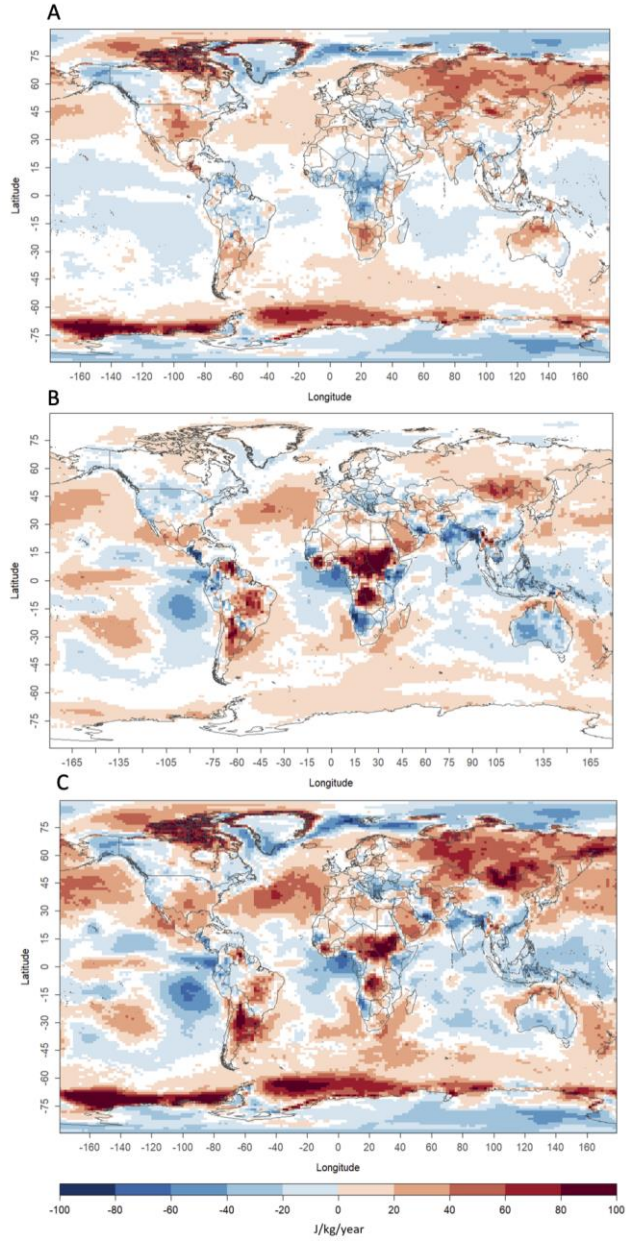


Figure 2: The difference between the mean of 15 AMIP models (Table 1) and MERRA-2 for trends in near-surface enthalpy ( $E_T$ , A), latent heat ( $E_{SH}$ , B), and enthalpy plus latent heat ( $E$ , C) from 1980 to 2014. Positive values indicate that the mean AMIP model is significantly greater than MERRA-2 trends. White pixels indicate insignificant trends ( $P > 0.05$ ) calculated using Sen's slope.

Global  $T_a$  would have increased far more than observed across the study period (Fig. 3A) if all excess energy was partitioned into enthalpy (Fig. 3B), all else being equal. In this hypothetical scenario, many of the regions that would have experienced increases in  $T_a$  in excess of 2.4 °C over the 35-year study period are in subtropical and tropical regions with characteristically hot temperatures and large (or growing) populations including the Mediterranean, the Persian Gulf region, South Asia, and East Asia (Fig. 3B).

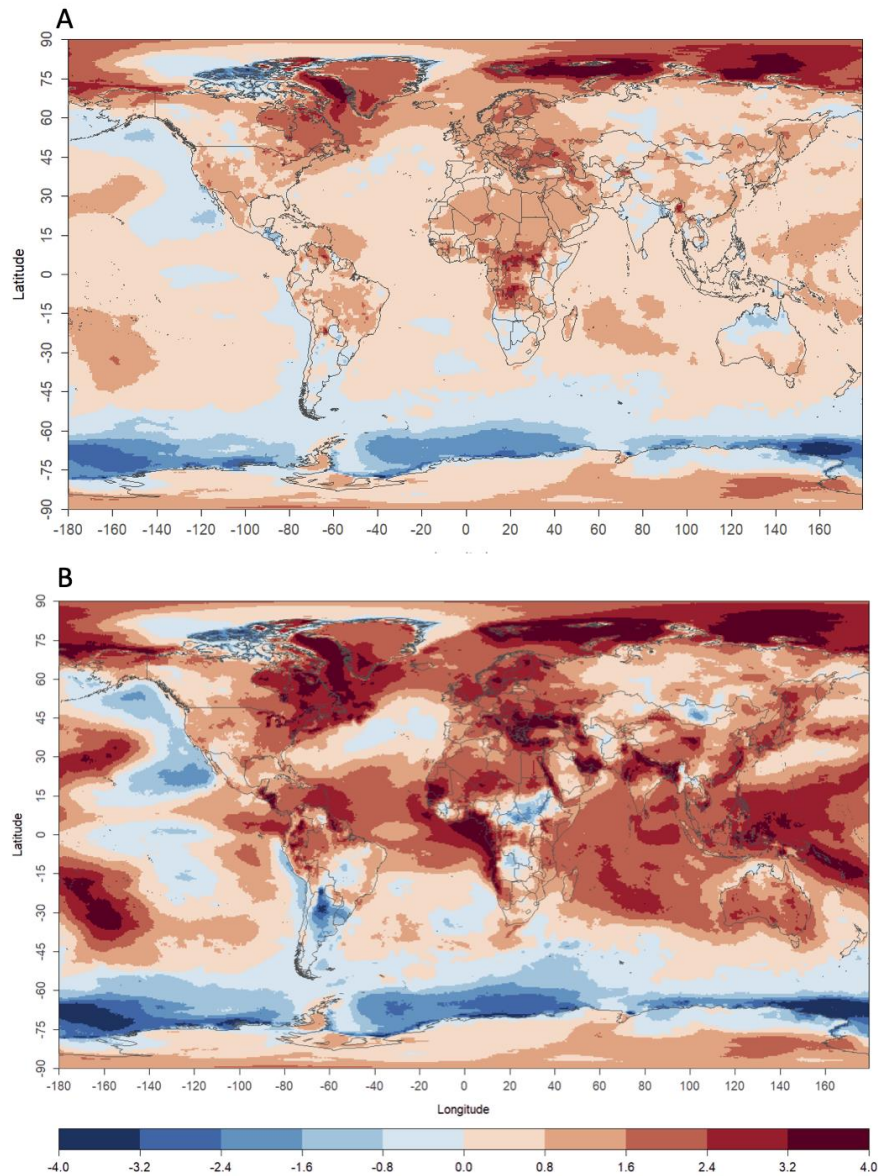
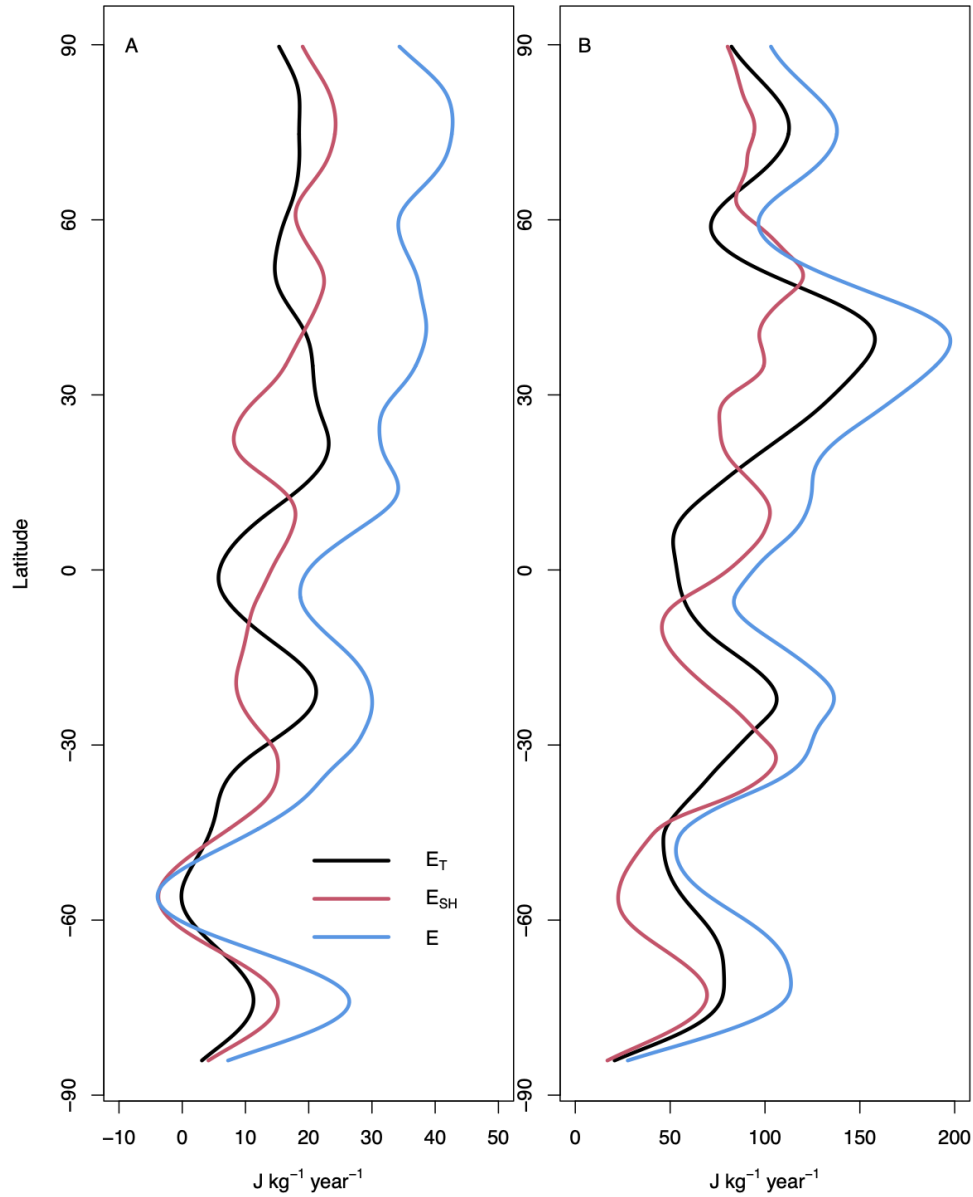


Figure 3: The observed change in (A) air temperature ( $^{\circ}\text{C} / 35$  years) for the 1980-2014 period from MERRA-2 and (B) the change in air temperature that would have resulted if the observed change in near-surface energy from MERRA-2 was partitioned entirely into enthalpy rather than latent heat, all else being equal.

#### 4 Discussion

Results are similar to those reported by Peterson et al. (2011) for the 1973-2003 period using meteorological station data over land, who note that the energy content of the near-surface atmosphere increased at a rate of  $29 \text{ J kg}^{-1} \text{ year}^{-1}$ . Our observed rate of  $22 \text{ J kg}^{-1} \text{ year}^{-1}$  is lower but includes oceans where  $T_a$  and  $E_T$  changes are less pronounced, where most excess energy due to anthropogenic global change is stored (Church et al., 2011; von Schuckmann et al., 2020), and whose temperatures continue to increase (Cheng et al., 2021). Peterson et al. (2011) note decreases in  $q$  across some areas of the subtropical Southern Hemisphere as also observed here (Figure 1B) that are largely not captured by AMIP models (Figure 2B), suggesting that the mechanisms underlying these changes in atmospheric moisture must be understood to accurately capture regional changes in atmospheric energy content. Notable of these include the transition zones between the Congo Rainforest and the Sahara and Kalahari Deserts whose climate is determined by a complex interaction between ocean dynamics (Held et al., 2005), the dynamics of the intertropical convergence zone, vegetation feedbacks (Zeng et al., 1999), and anthropogenic effects including aerosols (Held et al., 2005) with uncertain climate effects (Schwartz & Andreae, 1996), all of which remain a challenge for climate models. Likewise, models correctly predict arctic warming (Figure 2), but simulating the spatial patterns of this

163 warming remains a challenge due in part to known challenges in modeling the remarkable rate of  
164 observed sea ice decline (Stroeve et al., 2007). Correctly modeling the Southern Ocean also  
165 remains a challenge due to the combined impacts of glacial melt (Rye et al., 2020), wind forcing,  
166 and greenhouse gas forcing (Kostov et al., 2018). In other words, regions where AMIP estimates  
167 of  $E$  and its terms poorly match MERRA-2 are those where model development is rapidly  
168 progressing.



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170 Figure 4: The average (A) and maximum (B) changes in trends in near-surface enthalpy ( $E_T$ ),  
 171 latent heat ( $E_{SH}$ ), and enthalpy plus latent heat ( $E$ ) from MERRA-2 for the 1980-2014 period as a  
 172 function of latitude. Data were smoothed using a Butterworth filter using the ‘signal’ package in  
 173 R (Ligges et al., 2013).

$q$  and  $E_{SH}$  are increasing on average despite the challenges that models face when simulating their changes across different regions (Figure 2B). The global increase in  $q$  implies an increase in dew point temperatures used to calculate the heat index (i.e. the human perception of heat when incorporating humidity *sensu* Steadman (1979), see also Anderson et al., (2013)), which has also been increasing across the globe (Lee & Brenner, 2015) and is predicted to increase further (Dahl et al., 2019) which increases the likelihood of mortality, especially amongst vulnerable populations (Ahmadalipour & Moradkhani, 2018). It is notable that these changes in  $E_{SH}$  have contributed to significant increases in  $E$  across many subtropical and tropical areas (Fig. 1C) including major population centers in the Mediterranean and Middle East, South Asia, and East Asia, where atmospheric energy content is already in abundance and extreme heat index values have observed to be increasing (Lee & Brenner, 2015). As a consequence, had excess energy been partitioned to  $T_a$ , many of these tropical and subtropical regions would have seen  $T_a$  increases of nearly 3 °C across the 1980-2014 study period (Figure 3B) demonstrating the importance of  $E_{SH}$  to buffering  $T_a$  changes but noting also the importance of  $E_{SH}$  to human and animal comfort, often expressed using the cliché ‘it’s not the heat it’s the humidity’. Both are important.

#### 4.1 Conclusions

We argue that expressing global change in energy flux terms (Pielke et al., 2004, 2007) creates a richer understanding of changes to the Earth system from anthropogenic global change. From this perspective, ongoing efforts to compile and improve global humidity data products are critical for understanding recent climate changes (Willett et al., 2014, 2020). Focusing on  $T_a$  changes alone directs one’s attention to the unprecedented changes of arctic regions (e.g. Figure 3A), and for good reason. Adding changes in  $E_{SH}$  due to  $q$  further emphasizes the importance of

changes in  $E$  across the globe including tropical regions and major population centers (e.g. Figure 2C, 3B, and 4A). Focusing on extremes rather than means highlights the major changes that have occurred in subtropical areas further still; many of the largest changes in  $E$  have occurred in subtropical regions (Figure 4B). Despite our focus on the near-surface atmosphere, global changes to this small but important part of the earth system remain a small fraction of changes to the energy content of the Earth system due to global change (Church et al., 2011; Peterson et al., 2011; von Schuckmann et al., 2020). It is up to us to effectively communicate the magnitude of these changes to an often skeptical public (Moser, 2010), and we feel that emphasizing the unprecedented changes in atmospheric energy content is a logical way to do so.

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**Tables**

Table 1: Models prepared for the Atmospheric Model Intercomparison Project (Eyring et al., 2016) that included near-surface temperature and specific humidity products used in the present analysis. Models have a resolution of 100 km except those with stars indicate 250 km resolution.

Model	Reference
CAS-ESM 1.0	Chai (2020)
CNRM-CM6-1*	Voldoire (2019)
CSIRO-ARC*	Dix et al. (2019)
ACCESS-ESM1.5*	Ziehn et al. (2019)
IPSL-CM6A-LR*	Boucher et al. (2018)
MIROC6*	Tatebe et al. (2018)
HadGEM3-GC31-LL*	Ridley et al. (2019)
MRI-ESM2.0	Yukimoto et al. (2019)
GISS-E2.1G*	NASA Goddard Institute for Space Studies (2018)
CESM2	Danabasoglu (2019)
CESM2-FV2*	Danabasoglu (2020)
NCC NorCPM1*	Bethke et al. (2019)
NIMS-KMA KACE1.0-G*	Byun et al. (2019)
GFDL-CM4	Xiang et al. (2018)
SAM0-UNICON	Park et al. (2019)