

1 **It's the Heat and the Humidity: The Complementary Roles of Temperature and**
2 **Specific Humidity to Recent Changes in the Energy Content of the Near-Surface**
3 **Atmosphere**
4

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10 **Key Points:**

- 11 • The temperature and specific humidity of the near-surface atmosphere are increasing.
- 12 • Both imply an increase in atmospheric energy content, and climate models often struggle
- 13 to simulate regional changes.
- 14 • The rate of air temperature increase would have more than doubled without specific
- 15 humidity change, all else being equal.
- 16

17 Abstract

18 Global change is a change in the planetary energy balance. It is usually expressed as a change in
19 near-surface (2 m) air temperature (T_a), but changes to T_a represent only part of the atmospheric
20 energy balance, which includes specific humidity (q) and more. We analyzed MERRA-2
21 reanalysis data and 15 Atmospheric Model Intercomparison Project (AMIP) models over the
22 1980-2014 period. Some 41%, 37%, and 49% of the near-surface atmosphere showed significant
23 increases in E_T , E_{SH} , and E , respectively. The average increase in E_T (E_{SH}) was $10.6 \text{ J kg}^{-1} \text{ year}^{-1}$
24 ($11.5 \text{ J kg}^{-1} \text{ year}^{-1}$) but AMIP models estimated that E_T ($14.5 \text{ J kg}^{-1} \text{ year}^{-1}$) exceeded E_{SH} (13.7 J
25 $\text{kg}^{-1} \text{ year}^{-1}$). Global near-surface T_a would have increased at more than twice the observed rate if
26 energy was not partitioned into latent heat. Results demonstrate the critical role that q plays in
27 recent changes to near-surface atmospheric energy.

28 Plain Language Summary

29 Greenhouse gases trap energy, making it more difficult for energy to leave the planet. This has
30 caused an increase in air temperatures near the surface, but there is much more to global change
31 than air temperature alone. Most of the excess energy caused by the increase in greenhouse gases
32 has entered the ocean, because it takes lots of energy to heat water. The water vapor content of
33 the near the surface has also increased, and it takes energy to heat this water. We find that the
34 energy needed to heat the extra water in the atmosphere has helped buffer increases in global air
35 temperatures, which would have increased by more than double if energy was not needed to heat
36 water in the air near the surface. Global climate models are able to simulate many aspects of
37 these energy changes, but struggle in key regions where regional climate is complex. Many of

38 the largest increases in atmospheric energy have occurred in most populous regions of the globe.
 39 It is important to understand that global change is a change in the energy balance of the planet,
 40 and that air temperatures alone are only a small (but important) part of this energy change.

41 **1 Introduction**

42 Global change is a change in the energy balance of the planet. It is usually communicated to the
 43 public as a change in near-surface (2 m) air temperatures (T_a), but some 90% of the excess
 44 energy has entered the oceans (Church et al., 2011; von Schuckmann et al., 2020) and T_a is only
 45 part of the energy balance of the near-surface atmosphere (Peterson et al., 2011). The total
 46 energy (E , J) of a parcel of air is the sum of its enthalpy, latent heat, kinetic energy, and
 47 gravitational potential:

$$48 \quad E = C_p m T_a + L m q + \frac{1}{2} m v^2 + m g z$$

49 (1)

50 Where C_p is the specific heat of air ($\text{J kg}^{-1} \text{K}^{-1}$), T_a is in Kelvin, L is the latent heat of
 51 vaporization (J kg^{-1}), q has units of kg kg^{-1} , v is velocity (m s^{-1}), g is acceleration due to gravity
 52 (m s^{-2}), z is height (m), and we assume an air parcel mass (m) of 1 kg. The gravitational term is
 53 not changing and changes to the kinetic term is trivial compared to those of enthalpy and latent
 54 heat (Peterson et al., 2011) despite well-documented changes in global wind speed (McVicar et
 55 al., 2012).

56 Models anticipate that *relative* humidity should be largely unchanging (Byrne &
 57 O’Gorman, 2016; Dessler & Sherwood, 2009; Held & Soden, 2006; Schneider et al., 2010) but
 58 the observational record indicates a slight drying (Ficklin & Novick, 2017; Willett et al., 2020;

59 Willett et al., 2014), and even a constant relative humidity on a warming planet implies an
60 increase in q and latent heat due to the Clausius-Clapyron relation. How do these changes in both
61 T_a and q impact E and are models able to accurately simulate their recent changes?

62 It is valuable to communicate changes in the global energy balance using the correct
63 physical variables (Pielke et al., 2004, 2007), but terms like ‘enthalpy’ and ‘latent heat’ are not in
64 the common lexicon. It is more commonly understood that it takes a substantial amount of
65 energy to heat water and it follows – if the atmosphere can hold more water as temperatures
66 increase – that more energy is required to heat this extra water. Although these notions are
67 arguably simple, communicating them remains a challenge and public perception of the
68 magnitude of global energy changes may be underestimated as a consequence. Here, we study
69 data from the Modern-Era Retrospective analysis for Research and Applications, Version 2
70 (MERRA-2) to quantify the importance of changes in both T_a and q to changes in E . We also
71 study the ability of global models to simulate these changes with a focus on the period following
72 the 1980 global temperature ‘regime shift’ (Reid et al., 2016). We focus our discussion on the
73 importance of communicating the roles of both enthalpy and latent heat as critical parts of energy
74 changes in the Earth system due to anthropogenic global change (Church et al., 2011; von
75 Schuckmann et al., 2020).

76 **2 Materials and Methods**

77 We analyzed MERRA-2 (Gelaro et al., 2017) global gridded data products and 15 models from
78 the Atmospheric Model Intercomparison Project (AMIP, Table 1) (Eyring et al., 2016) over the
79 1980-2014 period. The end of the study period was selected due to uniform data availability. For

80 each pixel of the MERRA-2 and AMIP datasets, T_a was multiplied by C_p ($1005 \text{ J K}^{-1} \text{ kg}^{-1}$) to
81 obtain enthalpy for a 1 kg parcel of air following Peterson et al. (2011), which we abbreviate E_T
82 as ‘energy content due to temperature’. q was multiplied by L ($2.45 \times 10^6 \text{ J kg}^{-1}$) to obtain latent
83 heat as ‘energy content due to specific humidity’ (E_{SH}) (Equation 1), again for a 1 kg air parcel.
84 E was taken to be the sum of E_T and E_{SH} following the assumptions discussed above.

85 The nonparametric Thiel-Sen estimator (‘Sen’s slope’) was used to quantify significant
86 trends in E_T , E_{SH} , E on a per-pixel basis using the ‘trend’ package (Pohlert, 2020) in R (R Core
87 Team, 2020) for the MERRA-2 dataset. For the AMIP models, changes in E_T , E_{SH} , E were
88 calculated after first averaging to a common spatial scale (Table 1). Trends were then calculated
89 using Sen’s slope and an average trend then was calculated for each pixel. We repeated the
90 analysis by first averaging E_T , E_{SH} , E for all 15 models and then calculating slopes. Slopes with P
91 < 0.05 were considered to be statistically significant.

92 We also calculated the T_a change that would result if all near-surface energy was
93 partitioned to enthalpy rather than latent heat (Equation 1), all else being equal, including
94 changes in circulation that would inevitably result from such changes in energy partitioning
95 (Avissar, 1995). Results are subject to uncertainties in the MERRA-2 dataset and the
96 observations and methods that it uses to create the reanalysis product over land and oceans
97 (Bosilovich et al., 2017; Gelaro et al., 2017).

98 **3 Results**

99 Using MERRA-2 reanalysis data for the 1980-2014 period and interpreting significant changes
100 using Sen’s slope, 41% of near-surface atmosphere pixels experienced a significant increase in

101 E_T , 37% had a significant increase in E_{SH} , and 49% had a significant increase in E (Figure 1).

102 The observed average increase in E_T (E_{SH}) was $10.6 \text{ J kg}^{-1} \text{ year}^{-1}$ ($11.5 \text{ J kg}^{-1} \text{ year}^{-1}$); changes in

103 E_{SH} due to changes in q contributed 8.5% more to global E during the study period, on average.

104 Significant increases in E_T were observed across the globe, especially over land, and

105 especially across high-latitude regions where it frequently exceeded $80 \text{ J kg}^{-1} \text{ year}^{-1}$ except for

106 the Southern Ocean which was dominated by significant decreases in E_T (Figure 1A). Significant

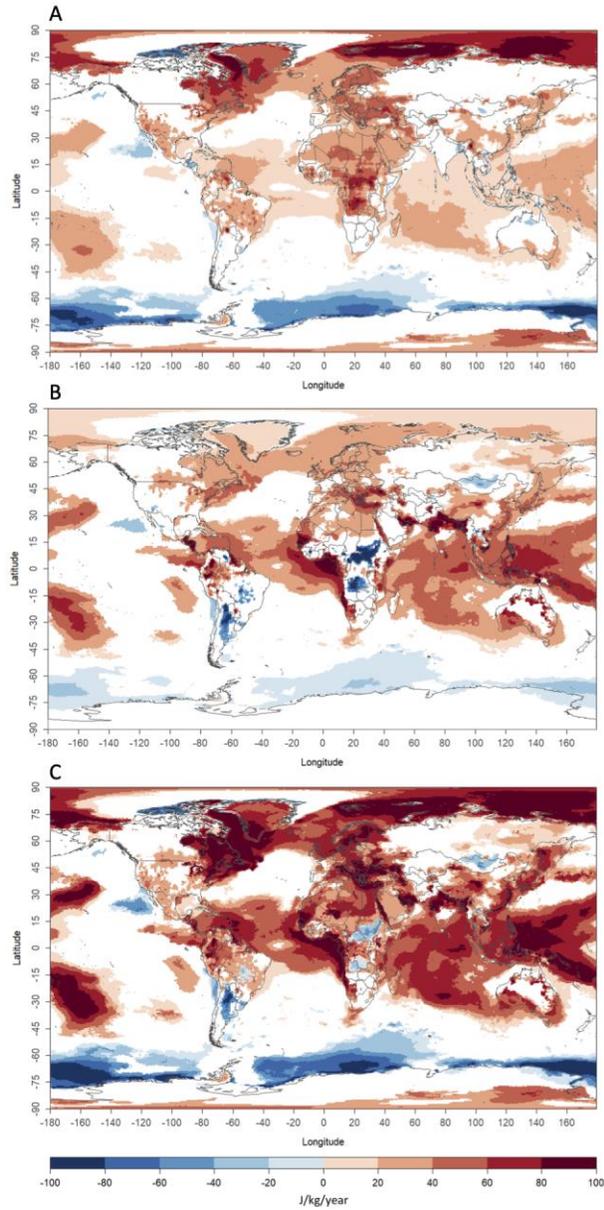
107 increases in E_{SH} greater than $80 \text{ J kg}^{-1} \text{ year}^{-1}$ were observed across parts of Central America, the

108 Mediterranean and Middle East, South Asia, and the Maritime Continent. There were notable

109 significant decreases in E_{SH} across parts of Africa and South America (Figure 1B). As a result,

110 increases in E greater than $80 \text{ J kg}^{-1} \text{ year}^{-1}$ were widely distributed across the globe from

111 tropical to polar zones (Figure 1C).



112

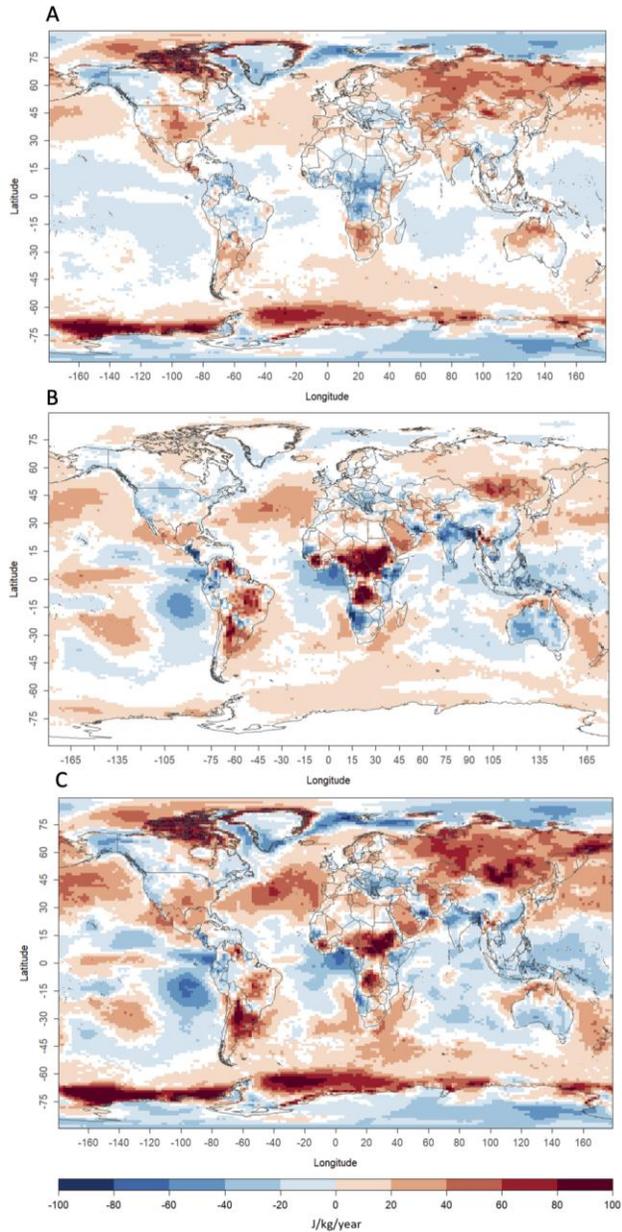
113 Figure 1: Observed trends in near-surface (A) enthalpy (E_T), (B) specific heat (E_{SH}), and (C) total

114 energy (E) taken to be the sum of E_T and E_{SH} from 1980 to 2014 from MERRA-2. White pixels

115 indicate insignificant trends ($P > 0.05$) calculated using Sen's slope.

116

117 AMIP models estimated an average E_T (E_{SH}) increase of 14.5 (13.7) J kg⁻¹ year⁻¹.
118 (Repeating the analysis by first averaging energy terms, then calculating slopes, resulted in an E_T
119 (E_{SH}) increase of 17.4 (16.8) J kg⁻¹ year⁻¹.) In other words, AMIP models tended to
120 overestimate global near-surface E changes *versus* the MERRA-2 reanalysis and estimated that
121 this change was caused more by changes in T_a than q . The AMIP models incorrectly simulated
122 the spatial distribution of E_T changes across much of the Arctic and its magnitude across much of
123 the Southern Ocean and Africa (Figure 2A). The AMIP models incorrectly simulated E_{SH}
124 changes across much of Africa and other parts of the tropics and subtropics, on average (Figure
125 2B), such that changes in E across many regions of the globe differed between the MERRA-2
126 reanalysis and AMIP models (Figure 2C).



127

128 Figure 2: The difference between the mean of 15 AMIP models (Table 1) and MERRA-2 for

129 trends in near-surface enthalpy (E_T , A), latent heat (E_{SH} , B), and enthalpy plus latent heat (E , C)

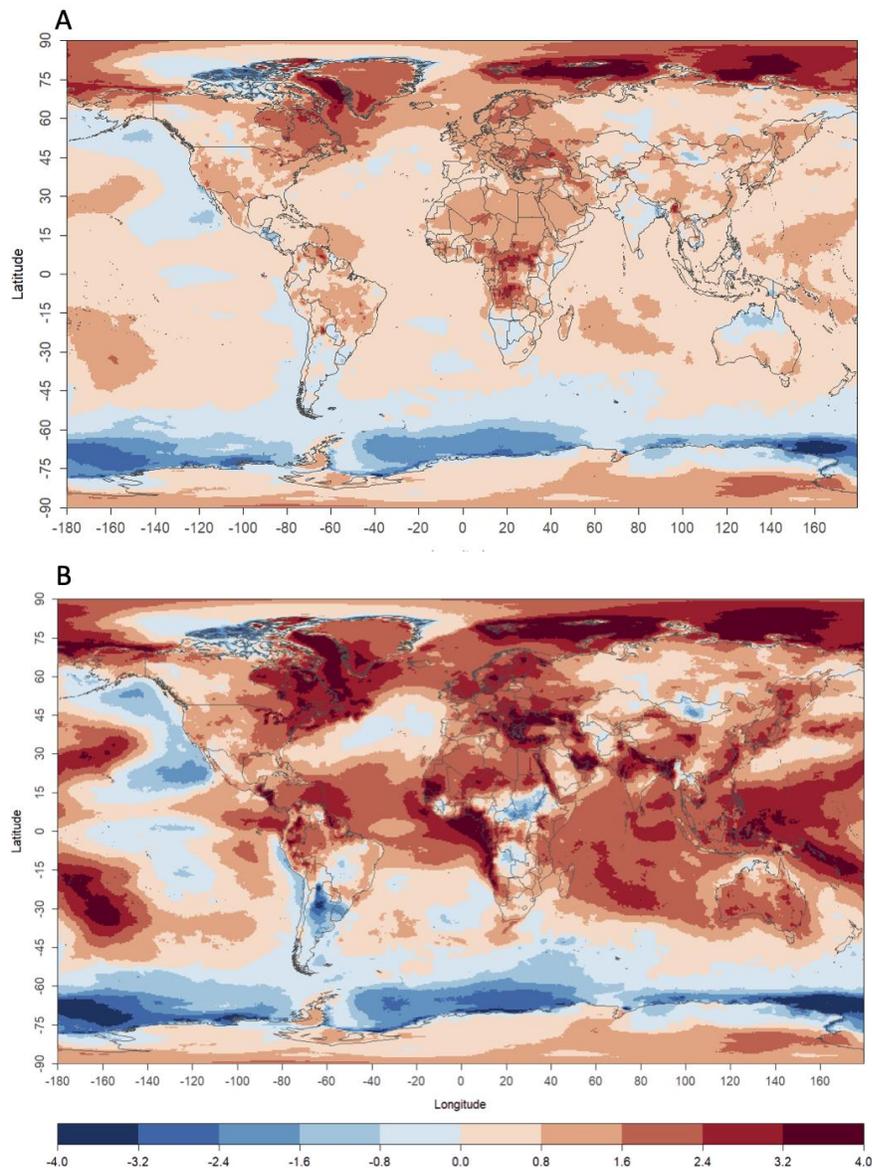
130 from 1980 to 2014. Positive values indicate that the mean AMIP model is significantly greater

131 than MERRA-2 trends. White pixels indicate insignificant trends ($P > 0.05$) calculated using

132 Sen's slope.

133

134 Global T_a would have increased far more than observed across the study period (Fig. 3A)
135 if all excess energy was partitioned into enthalpy (Fig. 3B), all else being equal. In this
136 hypothetical scenario, many of the regions that would have experienced increases in T_a in excess
137 of 2.4 °C over the 35-year study period are in subtropical and tropical regions with
138 characteristically hot temperatures and large (or growing) populations including the
139 Mediterranean, the Persian Gulf region, South Asia, and East Asia (Fig. 3B).



140

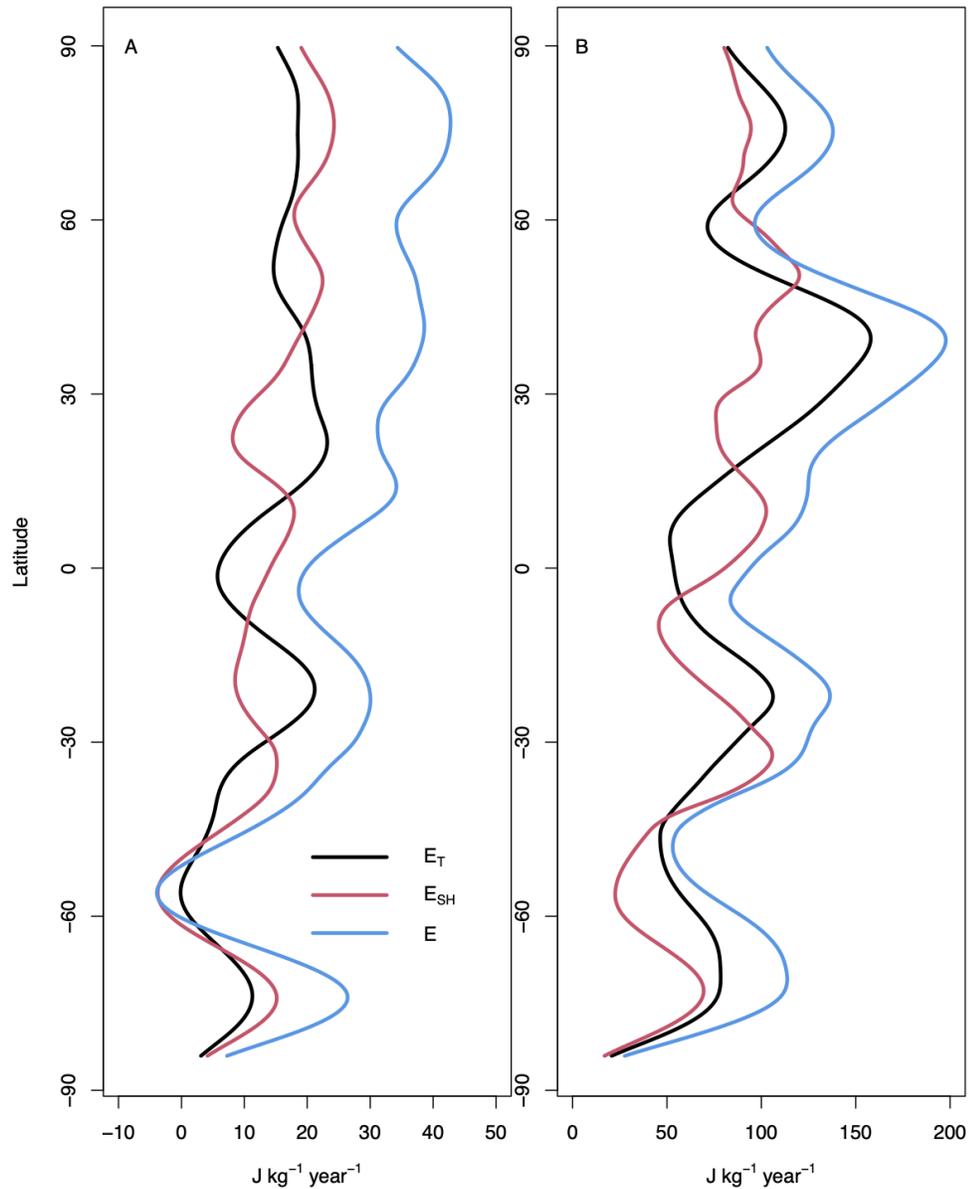
141 Figure 3: The observed change in (A) air temperature ($^{\circ}\text{C} / 35$ years) for the 1980-2014 period
142 from MERRA-2 and (B) the change in air temperature that would have resulted if the observed
143 change in near-surface energy from MERRA-2 was partitioned entirely into enthalpy rather than
144 latent heat, all else being equal.

145

146 **4 Discussion**

147 Results are similar to those reported by Peterson et al. (2011) for the 1973-2003 period using
148 meteorological station data over land, who note that the energy content of the near-surface
149 atmosphere increased at a rate of $29 \text{ J kg}^{-1} \text{ year}^{-1}$. Our observed rate of $22 \text{ J kg}^{-1} \text{ year}^{-1}$ is lower
150 but includes oceans where T_a and E_T changes are less pronounced, where most excess energy due
151 to anthropogenic global change is stored (Church et al., 2011; von Schuckmann et al., 2020), and
152 whose temperatures continue to increase (Cheng et al., 2021). Peterson et al. (2011) note
153 decreases in q across some areas of the subtropical Southern Hemisphere as also observed here
154 (Figure 1B) that are largely not captured by AMIP models (Figure 2B), suggesting that the
155 mechanisms underlying these changes in atmospheric moisture must be understood to accurately
156 capture regional changes in atmospheric energy content. Notable of these include the transition
157 zones between the Congo Rainforest and the Sahara and Kalahari Deserts whose climate is
158 determined by a complex interaction between ocean dynamics (Held et al., 2005), the dynamics
159 of the intertropical convergence zone, vegetation feedbacks (Zeng et al., 1999), and
160 anthropogenic effects including aerosols (Held et al., 2005) with uncertain climate effects
161 (Schwartz & Andreae, 1996), all of which remain a challenge for climate models. Likewise,
162 models correctly predict arctic warming (Figure 2), but simulating the spatial patterns of this

163 warming remains a challenge due in part to known challenges in modeling the remarkable rate of
164 observed sea ice decline (Stroeve et al., 2007). Correctly modeling the Southern Ocean also
165 remains a challenge due to the combined impacts of glacial melt (Rye et al., 2020), wind forcing,
166 and greenhouse gas forcing (Kostov et al., 2018). In other words, regions where AMIP estimates
167 of E and its terms poorly match MERRA-2 are those where model development is rapidly
168 progressing.



169

170 Figure 4: The average (A) and maximum (B) changes in trends in near-surface enthalpy (E_T),
 171 latent heat (E_{SH}), and enthalpy plus latent heat (E) from MERRA-2 for the 1980-2014 period as a
 172 function of latitude. Data were smoothed using a Butterworth filter using the ‘signal’ package in
 173 R (Ligges et al., 2013).

174 q and E_{SH} are increasing on average despite the challenges that models face when
175 simulating their changes across different regions (Figure 2B). The global increase in q implies an
176 increase in dew point temperatures used to calculate the heat index (i.e. the human perception of
177 heat when incorporating humidity *sensu* Steadman (1979), see also Anderson et al., (2013)),
178 which has also been increasing across the globe (Lee & Brenner, 2015) and is predicted to
179 increase further (Dahl et al., 2019) which increases the likelihood of mortality, especially
180 amongst vulnerable populations (Ahmadalipour & Moradkhani, 2018). It is notable that these
181 changes in E_{SH} have contributed to significant increases in E across many subtropical and
182 tropical areas (Fig. 1C) including major population centers in the Mediterranean and Middle
183 East, South Asia, and East Asia, where atmospheric energy content is already in abundance and
184 extreme heat index values have observed to be increasing (Lee & Brenner, 2015). As a
185 consequence, had excess energy been partitioned to T_a , many of these tropical and subtropical
186 regions would have seen T_a increases of nearly 3 °C across the 1980-2014 study period (Figure
187 3B) demonstrating the importance of E_{SH} to buffering T_a changes but noting also the importance
188 of E_{SH} to human and animal comfort, often expressed using the cliché ‘it’s not the heat it’s the
189 humidity’. Both are important.

190 4.1 Conclusions

191 We argue that expressing global change in energy flux terms (Pielke et al., 2004, 2007)
192 creates a richer understanding of changes to the Earth system from anthropogenic global change.
193 From this perspective, ongoing efforts to compile and improve global humidity data products are
194 critical for understanding recent climate changes (Willett et al., 2014, 2020). Focusing on T_a
195 changes alone directs one’s attention to the unprecedented changes of arctic regions (e.g. Figure
196 3A), and for good reason. Adding changes in E_{SH} due to q further emphasizes the importance of

197 changes in E across the globe including tropical regions and major population centers (e.g.
198 Figure 2C, 3B, and 4A). Focusing on extremes rather than means highlights the major changes
199 that have occurred in subtropical areas further still; many of the largest changes in E have
200 occurred in subtropical regions (Figure 4B). Despite our focus on the near-surface atmosphere,
201 global changes to this small but important part of the earth system remain a small fraction of
202 changes to the energy content of the Earth system due to global change (Church et al., 2011;
203 Peterson et al., 2011; von Schuckmann et al., 2020). It is up to us to effectively communicate the
204 magnitude of these changes to an often skeptical public (Moser, 2010), and we feel that
205 emphasizing the unprecedented changes in atmospheric energy content is a logical way to do so.

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214 available on figshare at
215 [https://figshare.com/articles/dataset/Near_surface_energy_specific_humidity_and_temperature_c](https://figshare.com/articles/dataset/Near_surface_energy_specific_humidity_and_temperature_changes_from_MERRA-2/13704796)
216 [hanges_from_MERRA-2/13704796](https://figshare.com/articles/dataset/Near_surface_energy_specific_humidity_and_temperature_changes_from_MERRA-2/13704796)

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367 **Tables**

368 Table 1: Models prepared for the Atmospheric Model Intercomparison Project (Eyring et al.,
 369 2016) that included near-surface temperature and specific humidity products used in the present
 370 analysis. Models have a resolution of 100 km except those with stars indicate 250 km resolution.

Model	Reference
CAS-ESM 1.0	Chai (2020)
CNRM-CM6-1*	Voldoire (2019)
CSIRO-ARC*	Dix et al. (2019)
ACCESS-ESM1.5*	Ziehn et al. (2019)
IPSL-CM6A-LR*	Boucher et al. (2018)
MIROC6*	Tatebe et al. (2018)
HadGEM3-GC31-LL*	Ridley et al. (2019)
MRI-ESM2.0	Yukimoto et al. (2019)
GISS-E2.1G*	NASA Goddard Institute for Space Studies (2018)
CESM2	Danabasoglu (2019)
CESM2-FV2*	Danabasoglu (2020)
NCC NorCPM1*	Bethke et al. (2019)
NIMS-KMA KACE1.0-G*	Byun et al. (2019)
GFDL-CM4	Xiang et al. (2018)
SAM0-UNICON	Park et al. (2019)

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