

1 **Vertical resolution impacts explicit simulation of deep**  
2 **convection**

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5 **Key Points:**

- 6 • The updraft mass flux and detrainment both decrease with increasing vertical res-  
7 olution in SAM  
8 • Anvil cloud fraction decreases with increasing vertical resolution in SAM  
9 • Unrealistic boundary layer drying with convective aggregation occurs at very coarse  
10 vertical resolution

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## Abstract

Vertical resolution is an often overlooked parameter in simulations of convection. We explore the sensitivity of simulated deep convection to vertical resolution in the System for Atmospheric Modeling (SAM) convection resolving model. We analyze simulations run in tropical radiative convective equilibrium with 32, 64, 128, and 256 vertical levels in a small (100 km) and large domain (1500 km). At high vertical resolution, the relative humidity and anvil cloud fraction are reduced, which is linked to a reduction in both fractional and volumetric detrainment. This increases total atmospheric radiative cooling at high resolution, which leads to enhanced surface fluxes and precipitation, despite reduced column water vapor. In large domains, convective aggregation begins by simulation day 25 for simulations with 64 and 128 levels, while onset is delayed until simulation day 75 for the simulation with 32 vertical levels. Budget analyses reveal that mechanisms involved in the generation and maintenance of convective aggregation for the 32-level simulation differ from those for the 64- and 128-level simulations. Weaker cold pools in the 32-level simulation allow the boundary layer in dry regions to become extremely dry, which leads to an aggregated state with very strong spatial gradients in column-integrated moist static energy. Understanding both the triggering and maintenance of convective aggregation and its simulated sensitivity to model formulation is a necessary component of atmospheric modeling. We show that vertical resolution has a strong impact on the mean state and convective behavior in both small and large domains.

## Plain Language Summary

We study the simulation of clouds and storms in simple computer models of the tropical atmosphere. These computer models are designed so that calculations of air movement are made on a grid. This makes the atmosphere look like a very pixelated video when the grid boxes are large, and a clear high resolution video when the grid boxes are very small. Ideally, the size of these grid boxes shouldn't affect the average air movement, clouds, and rain simulated by these models. Instead, the hope of the people who use and create these computer models is that using small grid boxes just provides more detail. However, here we show that the height of grid boxes influences average properties of the simulations, such as the total cloud amount, the amount of rain that falls, and the relative humidity.

## 1 Introduction

Simulations of deep convection with convection resolving models (CRMs) are useful for understanding physical processes and mechanisms involved with precipitation and convective-scale atmospheric motions, including the generation and maintenance of organized tropical convection. For example, due to their ability to resolve convective-scale turbulent motions, CRMs continue to be popular tools used to inform convective parameterizations (e.g., Wang et al., 2022).

In simulations of radiative-convective equilibrium with sufficiently large limited-domain CRMs and with Earth-sized global circulation model (GCM) simulations, there is a tendency for convection to organize into one or multiple large clusters (reviewed in Wing et al., 2017). This behavior is referred to as convective aggregation. When convection transitions from spatially disorganized to an aggregated state, the tropospheric humidity distribution widens and total atmospheric radiative cooling increases (e.g., Wing & Emanuel, 2014), and simulated high cloud amount decreases while low cloud amount increases (Wing & Cronin, 2016). Tropical convection is observed to frequently organize on Earth as well (e.g., Tobin et al., 2012). Thus, better understanding mechanisms involved in simulated convective aggregation may help better understand underlying processes driving the variability of convective aggregation on Earth. Furthermore, under-

60 standing both the triggering and maintenance of convective aggregation and its simu-  
 61 lated sensitivity to model formulation is a necessary component of making and interpret-  
 62 ing future predictions of global climate change.

63 Simulated convective aggregation is sensitive to both horizontal resolution and do-  
 64 main size. Typically, convection only aggregates when domains are larger than 200 km,  
 65 and more readily when horizontal grid spacing exceeds 2 km (Muller & Held, 2012; Yanase  
 66 et al., 2020). This is because simulated convective aggregation requires net export of moist  
 67 static energy from dry regions into convecting regions, which typically occurs through  
 68 low-level circulations driven by strong radiative cooling from low clouds in dry columns  
 69 (e.g., Bretherton et al., 2005; Coppin & Bony, 2015; Muller & Held, 2012; Muller & Bony,  
 70 2015; Yanase et al., 2020). Large domains permit stronger upgradient circulations that  
 71 can fight boundary layer moisture homogenization by cold pools (Jeevanjee & Romps,  
 72 2013; Yanase et al., 2020). Coarse horizontal resolution typically results in larger amounts  
 73 of simulated low clouds because of insufficiently simulated fine-scale eddies acting across  
 74 sharp thermodynamic gradients atop the mixed layer (Muller & Held, 2012; Khairout-  
 75 dinov et al., 2009; Pauluis & Garner, 2006; Yanase et al., 2020).

76 Few studies, however, have specifically investigated the sensitivity of convective ag-  
 77 gregation to *vertical* resolution. However, given the sensitivity of simulated clouds to ver-  
 78 tical resolution, it is reasonable to expect convective aggregation may behave differently  
 79 at different vertical grid spacings. Anvil clouds are particularly sensitive to model ver-  
 80 tical resolution (Ohno & Satoh, 2018; Ohno et al., 2019; Seiki et al., 2015; Gu et al., 2011).  
 81 Because of their strong limiting effect on atmospheric radiative cooling, it is reasonable  
 82 to expect that a change in anvil cloud coverage with vertical resolution may affect up-  
 83 gradient circulations driven by low clouds in dry columns due to impacted net radiative  
 84 cooling at low cloud tops, which may then affect convective aggregation. Vertical res-  
 85 olution also impacts the simulation of low clouds, with finer vertical grid spacing bet-  
 86 ter able to reproduce both the observed mid-tropospheric cloud top mode associated with  
 87 temperature inversions near the freezing level (Inness et al., 2001; Khairoutdinov et al.,  
 88 2009; Retsch et al., 2017; Roeckner et al., 2006), and boundary layer clouds, which are  
 89 sensitive to the simulated structure of the layer’s thermodynamics and turbulence (Bretherton  
 90 et al., 1999; Guo et al., 2008; Stevens et al., 2003, 2005; Marchand & Ackerman, 2010).  
 91 Thus, one way that vertical resolution may impact convective aggregation is through an  
 92 impact on simulated low cloud amount.

93 The rate at which cloudy, humid air mixes with relatively dry, cloud-free air directly  
 94 influences cloud buoyancy (Holloway & Neelin, 2009; Kuang & Bretherton, 2006; Moli-  
 95 nari et al., 2012; Romps & Kuang, 2010; Singh & O’Gorman, 2013, 2015; Zipser, 2003),  
 96 the distribution of cloud top heights (e.g., Carpenter et al., 1998; Derbyshire et al., 2004),  
 97 the humidity of the cloud-free environment (Romps, 2014; Singh et al., 2019), and the  
 98 thermal stratification of the tropical upper troposphere (Singh & O’Gorman, 2013, 2015).  
 99 Mixing also impacts convective aggregation. Tompkins and Semie (2017) argue that strong  
 100 mixing rates, which more readily dilute and suppress the vertical growth of clouds in dry  
 101 regions, are necessary for convective aggregation in simulations. Becker et al. (2017) em-  
 102 phasize the key role of mixing by entrainment in the sensitivity of convective aggrega-  
 103 tion to sea surface temperature: at high temperatures, mixing of dry, environmental air  
 104 into updrafts reduces updraft buoyancy more than at cold temperatures, thus encour-  
 105 aging convection to aggregate more readily.

106 In simulations, grid resolution is a control on mixing rates. In global CRM simu-  
 107 lations with NICAM, Ohno et al. (2019) show a strong dependence of turbulent mixing  
 108 rates on vertical resolution. They found significantly stronger turbulent diffusivity at coarse  
 109 vertical resolution (38 vertical levels), leading to nearly double the global ice cloud cov-  
 110 erage (21.5%) of identical simulations with high vertical resolution (13.5% for 398 ver-  
 111 tical levels). This sensitivity was attributed to a dependence of the turbulent diffusiv-  
 112 ity in their simulations’ sub-grid scale (SGS) mixing parameterization on local vertical

113 grid spacing. Parishani et al. (2017) show that refining the vertical mesh of CRMs embedded in a superparameterized climate model led to increases in boundary layer vertical velocity variance. Mixing rates also depend on simulation grid spacing independent of numerical sensitivities of SGS mixing parameterizations on resolution. Jeevanjee and Zhou (2022) show a similar dependence of ice cloud coverage to *horizontal* resolution in simulations with GFDL’s FV<sup>3</sup>, where no SGS mixing scheme is employed. They find the highest ice cloud coverage in their simulations with the finest horizontal grid spacing. They hypothesize this is a result of more efficient mixing at high horizontal resolution which leads to more evaporation of condensed water in the free-troposphere. Hence, larger convective mass fluxes then produce the same amount of net latent heating needed to balance radiative cooling (which they hold constant in their simulations). Given the dependence of mixing rates on resolution, and the dependence of simulated convective aggregation on mixing rates, another way that vertical resolution may impact convective aggregation is via a control on turbulent mixing.

127 Vertical resolution may also impact convective aggregation via an effect on simulated cold pools, the intensity and dissipation of which are sensitive to grid resolution (Bryan & Morrison, 2012; Grant & van den Heever, 2016). Previous studies also emphasize the importance of spatially varying surface fluxes for convective aggregation (Bretherton et al., 2005; Muller & Held, 2012; Wing & Emanuel, 2014). Observational studies demonstrate that surface fluxes are also sensitive to convective aggregation (Tobin et al., 2012). To the extent that vertical resolution impacts surface fluxes, feedbacks involving surface fluxes may be involved in determining the sensitivity of convective aggregation to vertical resolution.

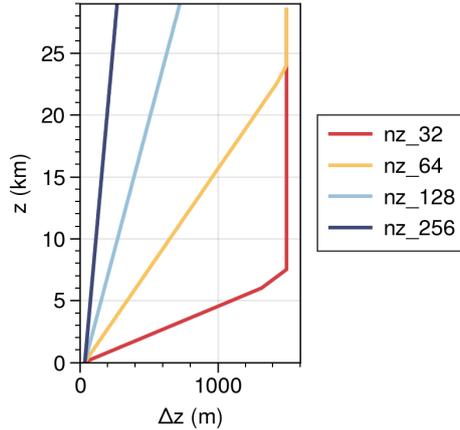
136 Vertical resolution is an often overlooked free parameter in simulations of convection, especially of convective aggregation. In this study, we explore the impact of vertical resolution on the simulated behavior of deep convection, with a focus on convective aggregation. We will show that vertical resolution directly impacts simulated profiles of clouds, temperature, and humidity, and affects the onset time of and equilibrium intensity of aggregated convection.

## 142 2 Methods

### 143 2.1 Simulations

144 We use the System for Atmospheric Modeling (SAM, version 6.10.9) in this study, described in Khairoutdinov and Randall (2003). Briefly, SAM is a non-hydrostatic anelastic model with doubly period boundary conditions, which conserves liquid water static energy. We use the original SAM1MOM single moment microphysics scheme (Khairoutdinov & Randall, 2003), the original SGS turbulence scheme (Khairoutdinov & Randall, 2003) which predicts subgrid turbulent kinetic energy, and the Rapid Radiative Transfer Model for GCM Applications (RRTMG; Clough et al., 2005; Mlawer et al., 1997). The model employs Newtonian damping in the top third of the model to prevent gravity wave reflection. Simulations are run in non-rotating radiative convective equilibrium with perpetual solar insolation set to  $650.83 \text{ W m}^{-2}$  at a zenith angle of 50.5, carbon dioxide concentrations at 355 ppm and a stratospheric ozone later. Convection is initiated by adding white noise to the initial surface air temperature field. We use an ocean surface with a constant sea surface temperature of 300 K.

157 We use four different vertical grids (Figure 1) with 32, 64, 128, and 256 vertical levels, which each linearly ramp from a grid spacing of 25 m to a maximum of 1500 m up to a model top with a rigid lid at 30 km, with variable time steps that are set to a maximum of 15 s, 6 s, 5 s, and 4 s, respectively. In the finest resolution simulation vertical levels are spaced less than 50 meters apart throughout the troposphere whereas in the



**Figure 1.** Grid spacing ( $\Delta z$ ) of the vertical grids used in SAM simulations.

162 coarsest resolution simulation the vertical grid spacing spans many hundreds of meters,  
 163 and over a kilometer in the upper troposphere.

164 We run two sets of simulations, one with a relatively small domain, and the other  
 165 with a relatively large domain. The small domain simulations each have 128 points in  
 166 the horizontal directions, with 780 m grid spacing (domain size of  $99.84 \text{ km} \times 99.84 \text{ km}$ ).  
 167 The large domain simulations each have 512 points in the horizontal directions, with 3  
 168 km grid spacing (domain size of  $1536 \times 1536 \text{ km}$ ). We choose these domain sizes to loosely  
 169 follow the RCEMIP protocol (Wing et al., 2018), although for the large domain simu-  
 170 lations, we use a square instead of a long channel in order to run the simulations using  
 171 more parallel tasks and speed up compute time. small domain simulations are all ini-  
 172 tiated from the same warm, humid tropical sounding. large domain simulations were ini-  
 173 tialized from equilibrated small domain soundings, following Wing et al. (2018). All simu-  
 174 lations are run for 150 days. 3D instantaneous variables (i.e., “snapshots”) were saved  
 175 once per day for small domain simulations and once every 12 hours for large domain simu-  
 176 lations. 1D and 2D column integrated or surface level statistics, which represent aver-  
 177 ages across all model time steps, were saved more frequently. We do not run a large  
 178 domain simulation at the highest vertical resolution (256 vertical levels) because of the  
 179 high computational expense.

## 180 2.2 Diagnostics

181 In our analysis of convective aggregation, we use a mass weighted vertical integral  
 182 of frozen moist static energy,  $\langle h_f \rangle$ , the spatial variance of which is a commonly used met-  
 183 ric for convective aggregation (e.g., Wing & Emanuel, 2014; Holloway & Woolnough, 2016;  
 184 Becker et al., 2017; Patrizio & Randall, 2019; Huang & Wu, 2022; Matsugishi & Satoh,  
 185 2022).

$$186 \quad \langle h_f \rangle = \frac{1}{g} \int_{p_{top}}^{p_{bottom}} (c_p T + gz + L_v q_v - L_f q_i) dp, \quad (1)$$

187 In equation (1),  $g$  is the gravitational acceleration,  $p$  is pressure (with subscripts refer-  
 188 encing values at model top and bottom),  $c_p$  is the specific heat capacity of dry air at con-  
 189 stant pressure,  $T$  is air temperature,  $z$  is altitude,  $L_v$  and  $L_f$  are the latent heat of va-  
 190 porization and fusion, respectively, and  $q_v$  and  $q_i$  are the mixing ratios of water vapor  
 191 and condensed ice (cloud plus precipitating).

192 We investigate physical mechanisms that influence tendencies of the spatial vari-  
 193 ance of  $\langle h_f \rangle$  as in many previous studies (e.g., Arnold & Putman, 2018; Becker et al.,  
 194 2017; Beydoun & Hoese, 2019; Carstens & Wing, 2022; Chen & Wu, 2019; Coppin & Bony,  
 195 2015; Holloway & Woolnough, 2016; Huang & Wu, 2022; Matsugishi & Satoh, 2022; Pa-  
 196 trizio & Randall, 2019; Wing & Emanuel, 2014; Wing & Cronin, 2016). The budget equa-  
 197 tion for  $\langle h_f \rangle$  is

$$198 \quad \frac{\partial \langle h_f \rangle}{\partial t} = LW + SW + SEF - \nabla_h \cdot \langle h_f \mathbf{\bar{u}} \rangle, \quad (2)$$

199 where  $LW$  and  $SW$  are the net atmospheric vertical convergences of longwave and short-  
 200 wave radiation, respectively,  $SEF$  is the surface latent plus sensible heat flux into the  
 201 atmosphere, and  $-\nabla_h \cdot \langle h_f \mathbf{\bar{u}} \rangle$  is the column integrated horizontal flux convergence of  
 202  $h_f$ . Following Wing and Emanuel (2014), we horizontally linearize equation 2 and mul-  
 203 tiply by  $\langle h \rangle'$  to obtain the budget equation for the spatial variance of  $\langle h_f \rangle$ :

$$204 \quad \frac{1}{2} \frac{\partial \langle h_f \rangle'^2}{\partial t} = \langle h_f \rangle' [LW' + SW' + SEF' - \nabla_h \cdot \langle h_f \mathbf{\bar{u}} \rangle'], \quad (3)$$

205 where primes represent deviations from the horizontal domain-mean. In practice, we cal-  
 206 culate each term except the last term on the right hand side using daily means of sim-  
 207 ulation output, and calculate the flux convergence term as a residual from this budget  
 208 as was done in Bretherton et al. (2005), Muller and Held (2012), and Wing and Emanuel  
 209 (2014).

210 Finally, we summarize the spatial organization of the large domain simulations dur-  
 211 ing various periods by reorganizing the 2D horizontal space into 100-element, 1D column  
 212 relative humidity percentiles, and conditioning state variables therein. We define column  
 213 relative humidity as the mass weighted vertically integrated water vapor (precipitable  
 214 water) divided by the precipitable water of the same column at water vapor saturation.  
 215 We calculate the mass streamfunction,  $\Psi$ , in column relative humidity percentile space  
 216 as in Bretherton et al. (2005) and Schulz and Stevens (2018), as

$$217 \quad \Psi_i(z) = \Psi_{i-1}(z) + \bar{\rho}(z)w_i(z)\alpha \quad (4)$$

218 where  $i$  is an index referencing the column relative humidity percentile bin,  $\bar{\rho}$  is the mean  
 219 density profile,  $w$  is the vertical velocity binned by column relative humidity percentile,  
 220 and  $\alpha$  is a weight given by 1 divided by the number of bins.  $\Psi$  is calculated by starting  
 221 at the driest bin and assuming  $\Psi = 0$  there. This method yields closed streamfunction  
 222 contours because the simulations conserve mass and have no net circulation.

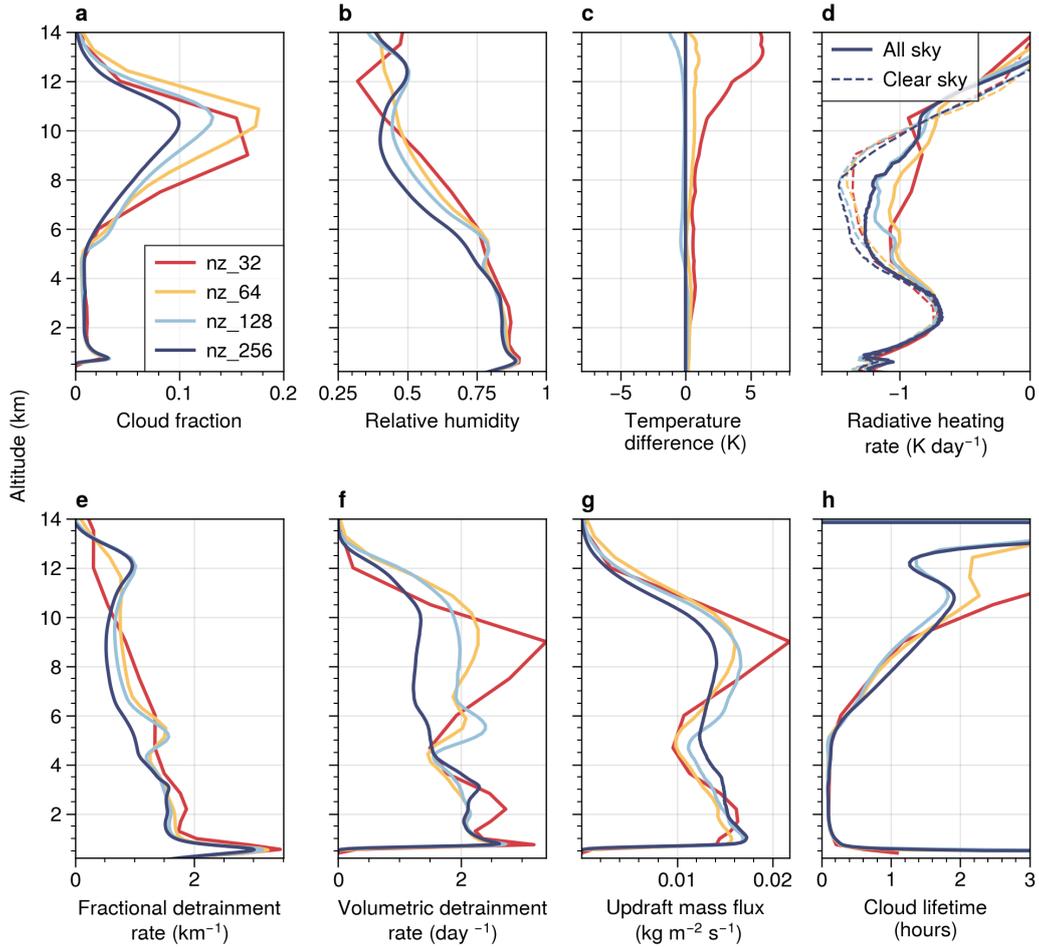
## 223 **3 Results**

### 224 **3.1 Small domain simulations**

225 We first focus on the non-aggregated limit by analyzing small domain simulations.  
 226 Here, our purpose is to assess the degree to which vertical resolution affects specific pro-  
 227 cesses in the absence of large-scale convective organization, which otherwise tends to dom-  
 228 inate statistics of radiative-convective equilibrium (e.g., Becker et al., 2017). We begin  
 229 by analyzing time-mean profiles. Then, we look at how differences between the simula-  
 230 tions evolve with time.

#### 231 **3.1.1 Time-mean profiles**

232 Unless otherwise noted, all presented results in this section are for the average across  
 233 days 50 to 150. We use the naming convention “nz\_X” where X represents the number  
 234 of vertical levels in each model run. That is, “nz\_32” uses the coarsest vertical resolu-  
 235 tion of over 1 km grid spacing in the upper troposphere, whereas “nz\_256” uses the finest.



**Figure 2.** Horizontal averages from simulation days 50-150 for small domain simulations of (a) cloud fraction, (b) relative humidity, (c) temperature difference from nz\_256, (d) all-sky and clear-sky radiative heating rates, (e) fractional detrainment rate,  $\delta$ , (f) volumetric detrainment rate,  $\delta M_u/\rho$ , (g) updraft mass flux, and (h) cloud lifetime.

Figure 2 shows time and horizontally averaged profiles for the simulations. Consistent with Ohno et al. (2019), high cloud fraction is sensitive to vertical resolution, especially above 7 km, with the coarsest resolution simulations producing the highest cloud fraction, and with cloud fraction generally decreasing with increasing vertical resolution. Here, we define cloud fraction at each time and each model level as the fraction of grid cells with cloud condensate mixing ratios above  $5 \times 10^{-3} \text{ g kg}^{-1}$ . Consistent with previous studies, the relative humidity is sensitive to vertical resolution (Figure 2b), with the most humid mean profile in the lowest resolution case, and the driest mean profile in the highest resolution case (Tompkins & Emanuel, 2000; Roeckner et al., 2006). Later, we will show that this is related to differences in fractional detrainment. Figure 2c shows the mean temperature profile deviation from that of nz\_256. The mean temperature is roughly the same between the nz\_128, and nz\_256 cases, with the nz\_32 and nz\_64 cases warmer than the others, especially above 10 km. This is consistent with other studies (Lee et al., 2019; Roeckner et al., 2006; Tompkins & Emanuel, 2000), who find colder upper tropospheres when using higher vertical resolution. Between roughly 5-10 km, the radiation profiles diverge from each other (Figure 2d), with the magnitude of radiative cooling increasing with grid resolution. Consistent with the spread in relative humidity, there is spread in the clear-sky radiative cooling rate, with mid-tropospheric radiative cooling increasing with increasing vertical resolution: a drier, more emissive state. Between 4-10 km, there is additional spread in the all-sky radiative cooling rate, likely driven by differences in longwave backradiation due to anvil cloud coverage, e.g. fewer high clouds at nz\_256 permitting enhanced all-sky cooling from the mid-troposphere. We now implicate varying rates of detrainment in the sensitivity of relative humidity to vertical resolution (Figure 2b). Romps (2014) and Singh et al. (2019) derive a diagnostic, steady-state equation for the relative humidity,  $RH$ , as a function of the fractional detrainment rate,  $\delta$ :

$$RH = \frac{\delta}{\delta + \gamma}, \quad (5)$$

where  $\gamma = -\partial_z \ln(q_v^*)$ , with  $q_v^*$  the saturation specific humidity and  $z$  the altitude. Equation (5) describes relative humidity as the balance between moistening through convective detrainment (with a length scale given by  $\delta$ ), and drying via subsidence (with a length scale given by  $\gamma$ ). We can rewrite (5) to obtain a diagnostic, steady-state relation for the fractional detrainment rate as a function of the relative humidity. Estimates of  $\delta$  from steady-state temperature and relative humidity are shown in Figure 2e. Throughout most of the troposphere,  $\delta$  generally decreases as grid resolution increases. We find that differences in  $\delta$ , rather than in temperature, explain differences in relative humidity between the simulations (Figure S1).

Next, we explore the sensitivity of high cloud coverage to vertical resolution. Time-mean anvil cloud fraction is, to first order, a product of the volumetric detrainment rate and cloud lifetime (Beydoun et al., 2021; Jeevanjee & Zhou, 2022; Seeley et al., 2019). We diagnose the volumetric detrainment rate as  $\delta M_u / \rho$ , where  $M_u$  is the steady-state updraft mass flux and  $\rho$  is the air density. We define  $M_u = \rho w_u \sigma_u$ , where  $w_u$  is the mean updraft vertical velocity and  $\sigma_u$  is the fractional updraft area, and ‘‘updrafts’’ are grid cells with ascending vertical velocities exceeding  $0.5 \text{ m s}^{-1}$  and cloud condensate mixing ratios exceeding  $0.1 \text{ g kg}^{-1}$  (although results are not sensitive to these thresholds). Profiles of the volumetric detrainment rate are shown in Figure 2f. We point out that the profiles of volumetric detrainment computed this way have the same magnitude and shape as volumetric detrainment profiles computed using steady-state cloud water source and sink rates (Jeevanjee & Zhou, 2022). Above 6 km, there is a large decrease in volumetric detrainment with increasing vertical resolution. The shapes of the volumetric detrainment rate and anvil cloud fraction are quite similar, thus it seems that spread in  $\delta M_u / \rho$  is driving the spread in anvil cloud fraction. We include profiles of the updraft mass flux (Figure 2g) for reference.

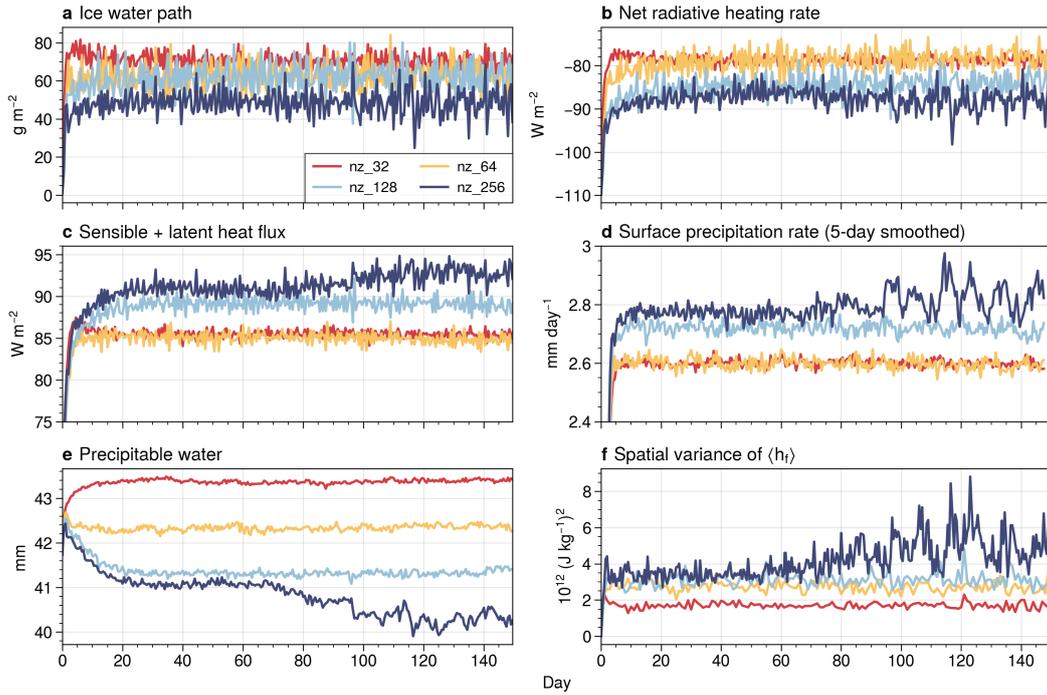
288 Finally, we compute anvil cloud lifetimes as a residual by dividing the anvil cloud  
 289 cloud fraction by the volumetric detrainment rate,  $\delta M_u/\rho$ . This is shown in Figure 2h.  
 290 Cloud lifetimes are roughly equal below 10 km. Between 10-13 km, anvil cloud lifetime  
 291 is small at high vertical resolutions and large at low vertical resolutions. We think this  
 292 may be related to a sensitivity of the ice sedimentation parameterization to vertical res-  
 293 olution in SAM1MOM, although we do not investigate this further. Nonetheless, we con-  
 294 firm that sensitivity of the volumetric detrainment rate to vertical resolution, driven pri-  
 295 marily by spread in the updraft mass flux, but also by the fractional detrainment rate,  
 296 is driving spread in the anvil cloud fraction.

297 One way we expect vertical resolution to explicitly affect CRM simulations is via  
 298 a control on mixing. Updrafts may detrain mass to the environment either by mixing  
 299 partially with the environment such that there is an exchange (mixing) of air between  
 300 humid updraft air and the dry environmental air during ascent, or when updraft veloc-  
 301 ity reaches zero, typically at an ascending parcel’s level of neutral buoyancy. Given the  
 302 limited data output, we are unable to directly calculate the so-called turbulent versus  
 303 organized detrainment rates for these simulations. However, the shape of the fractional  
 304 detrainment rate profiles in Figure 2e suggest stronger mixing rates at coarse vertical  
 305 resolution.

306 Jeevanjee and Zhou (2022) showed that horizontal resolution affects mixing rates.  
 307 In simulations of radiative-convective equilibrium, the heating rate associated with the  
 308 updraft mass flux must balance the net atmospheric radiative cooling rate. Because mix-  
 309 ing causes evaporation of condensed water, which cools the atmosphere, Jeevanjee and  
 310 Zhou (2022) argue that simulations with stronger mixing produce larger updraft mass  
 311 fluxes in order to compensate for the enhanced mixing-driven evaporative cooling. Higher  
 312 anvil cloud fractions occur as a result of larger mass fluxes. Here, larger updraft mass  
 313 fluxes, upper-level detrainment rates, and anvil cloud fractions for the coarse resolution  
 314 simulations are all consistent with our assessment that the coarse vertical resolution sim-  
 315 ulations are mixing more.

316 In most of the vertical profiles in Figure 2, the nz.64 and nz.128 simulations con-  
 317 tain a “kink” at or near 5 km (near the freezing level), which is due to enhanced sim-  
 318 ulation of the congestus cloud mode (Johnson et al., 1996) for these resolutions. Figures  
 319 2e,f show that there is a local maximum of detrainment at these levels. The coincident  
 320 sharp increase in the updraft mass flux for these two simulations suggests large entrain-  
 321 ment rates. This enhanced mixing of moist updraft air with environmental air at this  
 322 level drives an increase in relative humidity (2c) (Sokol & Hartmann, 2022). A similar  
 323 feature is also apparent in the static stability profile, with a layer of enhanced stability  
 324 located atop of a layer of reduced stability (not shown). These features, which are not  
 325 present in the initial sounding, are related to the emergence of a congestus cloud mode,  
 326 visible on the cloud fraction profiles of the nz.64 and nz.128 simulations.

327 Enhanced mid-level cloud detrainment has been argued to be due to the presence  
 328 of a mid-tropospheric stable layer. This layer has been argued to be due to latent heat  
 329 release from ice melting (Johnson et al., 1996; Mapes & Houze, 1995). It has also been  
 330 argued to result from differential radiative destabilization of the lower and upper tro-  
 331 posphere due to vertically-varying water vapor which creates a mid-level mode in the dis-  
 332 tribution of levels of neutral buoyancy (Nuijens & Emanuel, 2018). Sokol and Hartmann  
 333 (2022) argue that congestus detrainment in CRMs is driven by compensating horizon-  
 334 tal convergence into regions of radiatively driven vertical mass divergence. Regardless  
 335 of the reason for initial congestus level detrainment, a mid-tropospheric stable layer per-  
 336 sists due to a feedback involving strong radiative cooling at cloud tops under a dry up-  
 337 per troposphere, which fuels further local detrainment either through the intensification  
 338 of the stable layer (which reduces updraft buoyancy) or by driving mid-level vertical mass  
 339 divergence (which must be balanced in radiative-convective equilibrium by mid-level con-



**Figure 3.** For small domain simulations, 12-h means of (a) ice water path, (b) net radiative heating rate of the atmosphere (net top-of-atmosphere flux minus net surface flux), (c) sensible plus latent heat flux, (d) surface precipitation rate, (e) precipitable water, and (f) spatial variance of vertically integrated frozen moist static energy. A centered running-mean window of 5 days has been applied to the 12-h precipitation rate.

340 vective detrainment) (Mapes & Zuidema, 1996; Posselt et al., 2008; Sokol & Hartmann,  
341 2022).

342 Previous studies find an increase in the simulation of the congestus cloud mode with  
343 vertical resolution in both simulations with parameterized (Inness et al., 2001; Retsch  
344 et al., 2017; Roeckner et al., 2006) and explicit convection (Khairoutdinov et al., 2009).  
345 Puzzlingly, we find that the congestus mode detrainment and associated structures in  
346 relative humidity, dry static stability, and cloud fraction are absent in both our highest  
347 and lowest vertical resolution cases.

### 348 3.1.2 Time-evolution

349 We now explore the time evolution of differences in the small domain simulations.  
350 Spread in ice cloud coverage, which we showed in the previous section is primarily driven  
351 by differences in the updraft mass flux and volumetric detrainment rates, is established  
352 quickly by day 2, with equilibrium amounts established after about 10 days (Figure 3a).  
353 The net atmospheric radiative heating rate (calculated as the difference between the net  
354 radiative flux at the surface and the net radiative flux at the top of the atmosphere) is  
355 immediately affected (Figure 3b), with the highest resolution simulations cooling more  
356 due to their lower ice cloud coverage and lower free-tropospheric relative humidities (the  
357 combination of which leads to high transmissivity of radiation emitted from low levels).  
358 Surface fluxes and precipitation similarly adjust, with higher values for nz\_128 and nz\_256  
359 needed to balance the additional atmospheric longwave cooling (Figure 3c,d). Figure 3e  
360 shows the time evolution of domain mean precipitable water. Figure 2c,d show that the

361 model spread is due to a combination of both the coarse resolution simulations having  
 362 higher mean relative humidities and temperature. The change in column water vapor  
 363 with resolution is opposite to that of precipitation, implying that precipitation is more  
 364 efficient at high vertical resolution. This highlights how vertical resolution may cause de-  
 365 viations to the mean precipitation rate from that expected due to moisture.

366 While equilibrium precipitation rates and precipitable water are established by day  
 367 20 for all simulations, shortly before day 80, nz\_256 begins to dry further, and develops  
 368 increased variance in moisture and precipitation, suggesting that it is starting to aggre-  
 369 gate (Held et al., 1993). Time series of the spatial variance of frozen moist static energy  
 370 ( $\langle h_f \rangle'^2$ , see section 2.2) (Figure 3e) show increases for nz\_256 at the same time that precip-  
 371 itable water decreases, indicating that dry regions are growing as convection becomes  
 372 more organized. Visual inspection of snapshots of precipitable water at the end of the  
 373 simulation confirm that dry regions are drying further and growing in horizontal extent  
 374 (Figure S3), although convection is not quite yet aggregated into one connected region.

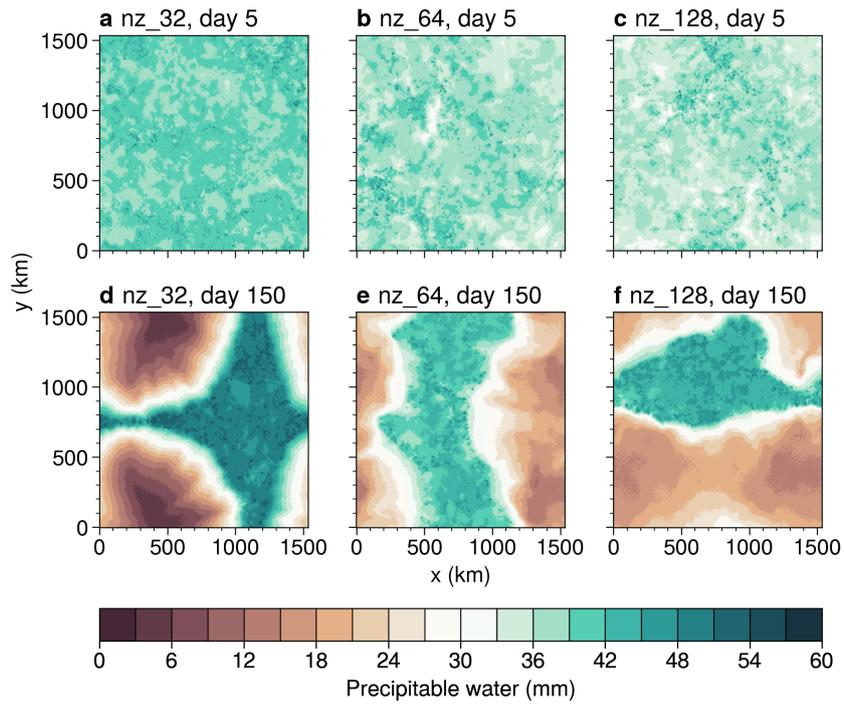
375 These signs of developing convective aggregation are surprising given the small do-  
 376 main (about 100 km) and relatively high horizontal resolution (780 m). Typically, at hor-  
 377 izontal resolutions less than 2 km, domain sizes of about 500 km are needed for the ag-  
 378 gregation of convection (Muller & Held, 2012; Yanase et al., 2020). This is because cold  
 379 pool circulations spread moisture into dry regions surrounding convection, which can ho-  
 380 mogenize boundary layer moisture when the domain is small (Jeevanjee & Romps, 2013;  
 381 Yanase et al., 2020). This suggests that in nz\_256, there is one or multiple physical mech-  
 382 anisms increasing moist static energy in humid columns, and/or removing moist static  
 383 energy from dry columns that is strong enough to combat downgradient moistening from  
 384 cold pools. This may be related or due to the very strong mid-tropospheric radiative cool-  
 385 ing (Figure 2e) in the nz\_256 simulation enabled by its high resolution.

### 386 3.2 Large domain simulations

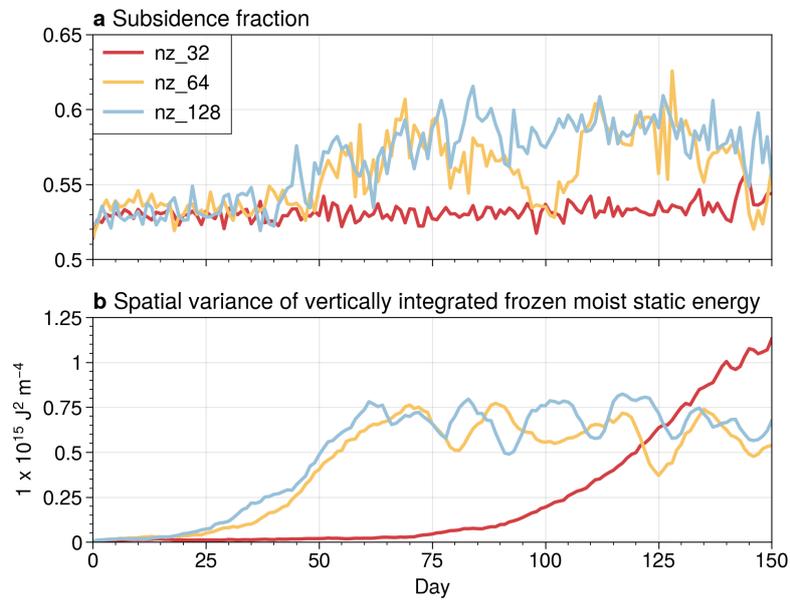
387 Here, we assess the impact of vertical resolution on convective aggregation in large  
 388 domain simulations. These simulations (see Section 2.1) were run with 32, 64, and 128  
 389 vertical levels for 150 days.

390 Unlike the small domain simulations, convection in the large domain simulations  
 391 aggregates. Figure 4 shows snapshots of precipitable water from simulation days 5 and  
 392 150. On simulation day 5, all cases are disaggregated, and precipitable water is relatively  
 393 homogeneous around a mean value of about 38 mm. Aggregation develops as dry sub-  
 394 siding patches of air gradually expand and deep convection becomes confined to a sin-  
 395 gular region. By day 150, the large dry regions that emerge in all cases are much drier  
 396 than anywhere seen in the disaggregated states at day 5, and the dry regions in nz\_32  
 397 are much drier than those in nz\_64 or nz\_128. These dry subsiding regions are associ-  
 398 ated with large-scale overturning circulations, whose fractional area grows as convection  
 399 becomes more aggregated. The mid-tropospheric subsidence fraction is consequently one  
 400 metric commonly used to quantify and mark the onset of convective aggregation (e.g.,  
 401 Coppin & Bony, 2015; Wing et al., 2020). We plot time series of the fractional area with  
 402 subsiding 500 hPa daily mean vertical velocities for each simulation in Figure 5a. Around  
 403 day 50, subsidence fraction increases from about 0.53 to roughly 0.57 for nz\_64 and nz\_128,  
 404 suggesting this is near when aggregation occurs. Additionally, the temporal variance of  
 405 the subsidence fraction for these two simulations increases at this time as well. Mean-  
 406 while, nz\_32 remains at the same subsidence fraction throughout the simulation period,  
 407 with variance appearing to begin to grow by day 140.

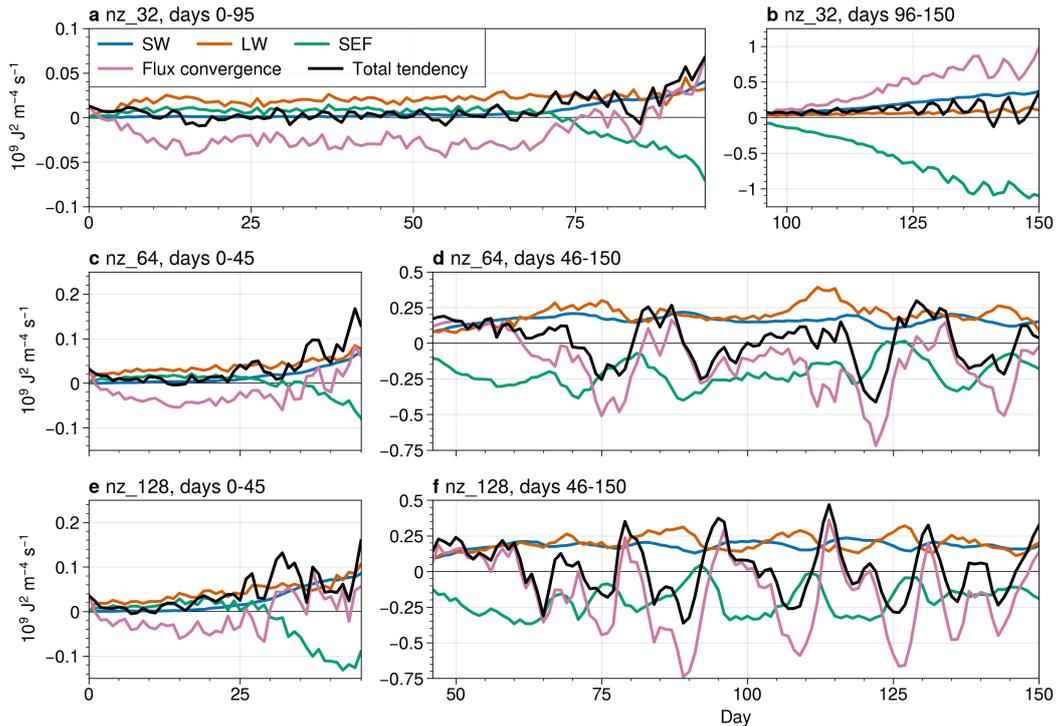
408 Time series of  $\langle h_f \rangle'^2$  more clearly show the onset of convective aggregation in the  
 409 large domain simulations (Figure 5b). This occurs around the same time for nz\_64 and  
 410 nz\_128, with an onset period between roughly day 20 and day 60, with nz\_128 appear-  
 411 ing to aggregate between 5-10 days before nz\_64. Beyond day 60, convection remains ag-



**Figure 4.** Snapshots of precipitable water from simulation days 5 (a-c) and 150 (d-f) for large domain simulations.



**Figure 5.** Daily and domain averaged (a) subsidence fraction around 500 hPa and (b) spatial variance of vertically integrated frozen moist static energy for large domain simulations.

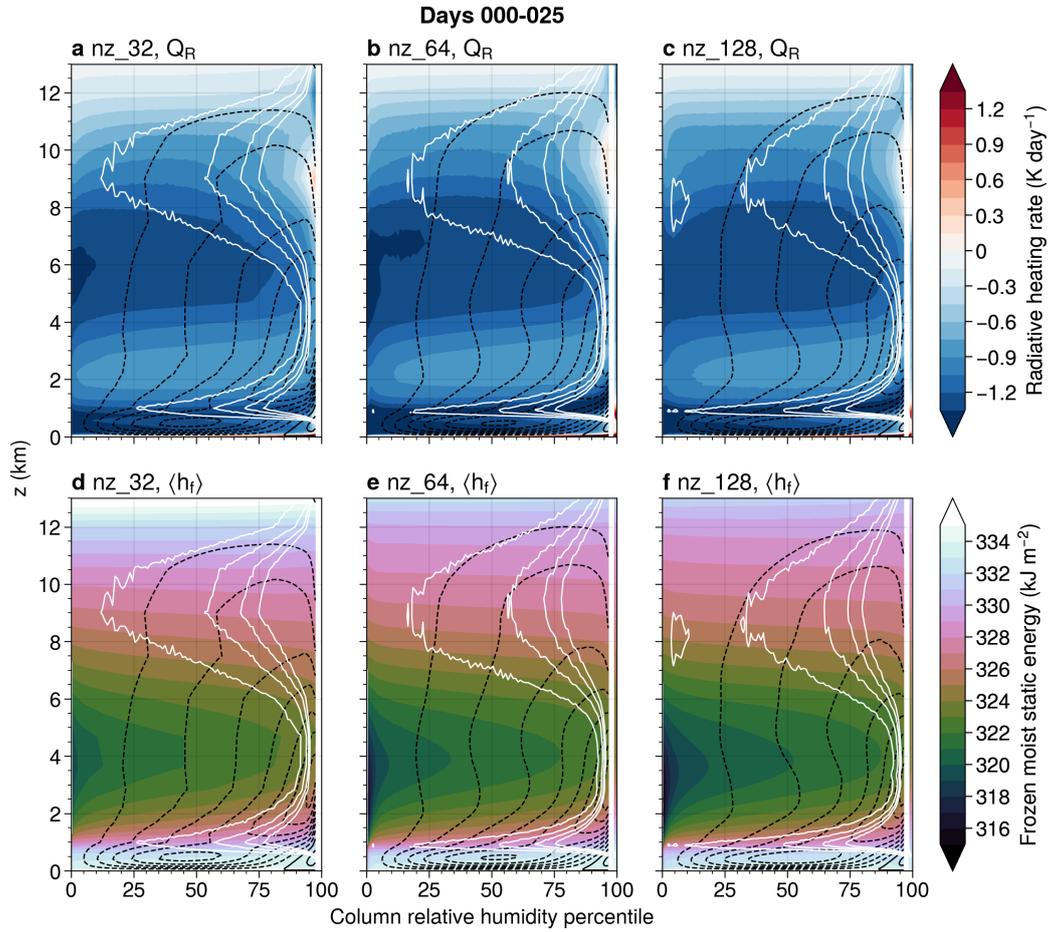


**Figure 6.** For large domain simulations, time series of each term in the budget equation for the tendency of  $\langle h_f \rangle'^2$  (equation 3). Note the different vertical limits on each panel.

412 gregated for these two simulations and exhibits oscillating behavior around some equi-  
 413 librium point, as in Patrizio and Randall (2019). The behavior of nz\_32 is quite differ-  
 414 ent. Aggregation occurs later, with an onset period that starts around day 65. The on-  
 415 set period of aggregation for nz\_32 is long compared to nz\_64 and nz\_128. By day 150,  
 416 it has not yet obviously reached equilibrium, and has a value of  $\langle h_f \rangle'^2$  that is nearing  
 417 twice as large as the equilibrium values of the other simulations. This is in part due to  
 418 dry regions that contain near-zero amounts of water vapor (Figure 4d). While nz\_32 has  
 419 not yet reached an aggregated equilibrium value of  $\langle h_f \rangle'^2$ , it is beginning to show some  
 420 oscillating behavior, which for the other simulations does not occur during aggregation  
 421 onset but occurs at equilibrium, suggesting that nz\_32 may be near equilibrium by day  
 422 150.

423 Next we use the  $\langle h_f \rangle'^2$  budget equation (3) to investigate physical mechanisms re-  
 424 sponsible for simulated differences in aggregation between the different vertical resolu-  
 425 tions. Figure 6 shows time series of the spatial mean of each term in equation (3) for each  
 426 of the large domain simulations. We have split the time dimension into two periods to  
 427 better differentiate terms during the early onset period (left panels), which are relatively  
 428 small in amplitude. We begin by looking at the first 25 days of each simulation. Dur-  
 429 ing this time, the tendency of  $\langle h_f \rangle'^2$  is small, with positive contributions from the long-  
 430 wave and surface flux terms balanced by negative contributions from the  $\langle h_f \rangle'$  flux con-  
 431 vergence terms.

432 Next we visualize how clouds, radiation, and the overturning circulation are orga-  
 433 nized in moisture space during the early period of each simulation. Figure 7 shows the  
 434 radiative cooling rate, mass streamfunction ( $\Psi$ , see equation 4 in section 2.2), cloud con-  
 435 densate mixing ratio, and  $h_f$  binned by column relative humidity percentile for days 0-  
 436 25. The spatial organization of these fields is relatively similar between the simulations.



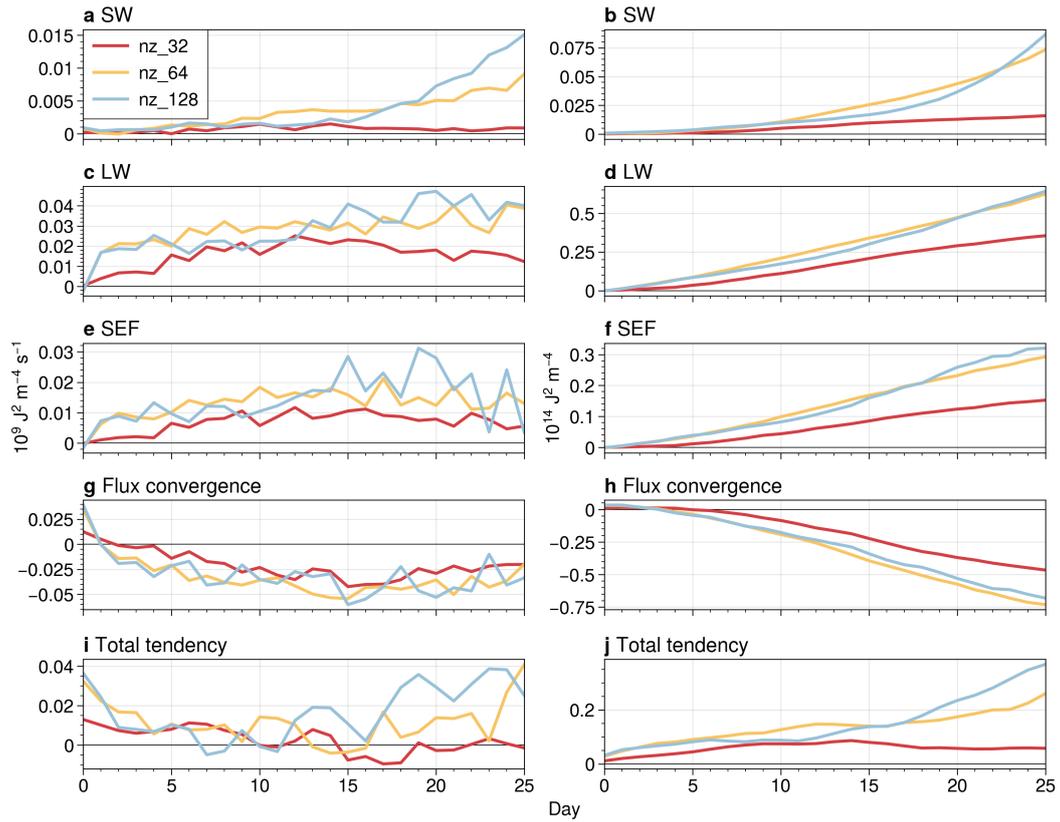
**Figure 7.** For large domain simulation days 0-25, (a-c) radiative heating rate (filled contours), cloud condensate mixing ratio (white contours drawn at  $3 \times 10^{-3} \text{ g kg}^{-1}$ ,  $6 \times 10^{-3} \text{ g kg}^{-1}$ ,  $9 \times 10^{-3} \text{ g kg}^{-1}$ , and  $12 \times 10^{-3} \text{ g kg}^{-1}$ ), and mass streamfunction (black contours drawn every  $1 \text{ g m}^{-2} \text{ s}^{-1}$ ), each binned by column relative humidity percentile. (d-f) As in top row but filled contours show  $h_f$ .

437 However, we note that as in the small domain simulations, there is larger anvil cloud cov-  
 438 erage at low vertical resolution (white contours between 8-11 km in Figure 7a,b,c extend  
 439 further into dry columns at coarse resolution than at high resolution). The reverse is true  
 440 for low clouds, with cloud coverage at 1 km extending further into the dry region at high  
 441 resolution. Generally,  $\Psi$  has a similar shape and magnitude in each of the simulations,  
 442 although there appears to be additional mid-level horizontal motion in nz\_64 and nz\_128,  
 443 consistent with enhanced congestus divergence in the small domain simulations at these  
 444 resolutions. Finally, the mid-tropospheric  $h_f$  minimum over dry columns is strongest in  
 445 the high resolution simulation, despite relatively weaker mid-level radiative cooling in  
 446 those columns.

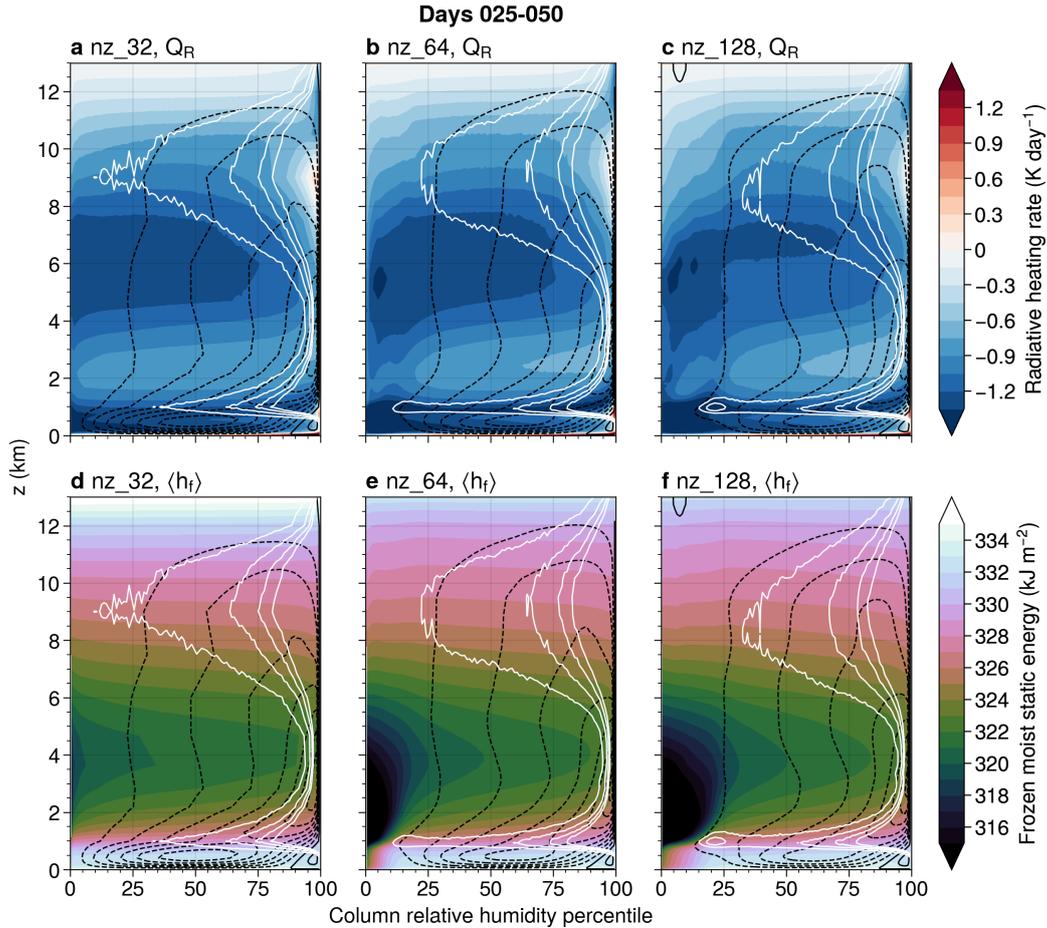
447 Aggregation onset for nz\_64 and nz\_128 occurs around days 25 and 17, respectively,  
 448 when the total tendency of  $\langle h_f \rangle'^2$  begins increasing. In order to look more closely at the  
 449 budget terms shortly before these days, Figure 8 shows instantaneous (left column) and  
 450 cumulative (right column) tendencies zoomed in for days 0-25 of all simulations. Figure  
 451 8j, the cumulative total tendency of  $\langle h_f \rangle'^2$  (integrated from day 0), shows increases in  
 452 the slope of the total tendency for nz\_64 and nz\_128 around days 25 and 17, respectively,  
 453 confirming that these days mark the onset of accelerated aggregation. Consistent with  
 454 previous studies, this initiation of convective aggregation for both vertical resolutions  
 455 appears to be largely due to horizontal covariances in longwave and surface flux anom-  
 456 alies with  $\langle h_f \rangle$  anomalies (e.g., Bretherton et al., 2005; Muller & Held, 2012), which are  
 457 both positive from the start of the simulations until aggregation begins. Shortly before  
 458 aggregation, the shortwave term begins growing as well, although its contribution to the  
 459 total tendency is an order of magnitude smaller. The  $\langle h_f \rangle$  flux convergence term is neg-  
 460 ative during this early period.

461 While both nz\_64 and nz\_128 have begun aggregating by day 25, nz\_32 has not (Fig-  
 462 ure 5b, 6a). The cumulative tendency of  $\langle h_f \rangle'^2$  and its budget terms (right column of  
 463 Figure 8) offers some insight into this difference. For the first 25 days for all simulations,  
 464 the cumulative tendency of  $\langle h_f \rangle'^2$  due to shortwave, longwave, and surface fluxes is pos-  
 465 itive and increasing. Similarly, the cumulative tendency of  $\langle h_f \rangle'^2$  due to the horizontal  
 466 flux convergence of  $\langle h_f \rangle$  is negative and also increasing in magnitude for all simulations.  
 467 This illustrates a competition between homogenization of  $\langle h_f \rangle$  by horizontal circulations  
 468 (the flux convergence term), and the increase in  $\langle h_f \rangle'^2$  by diabatic processes for all sim-  
 469 ulations over the first 25 days. Aggregation occurs in nz\_64 and nz\_128 because, taken  
 470 together, the diabatic processes that increase  $\langle h_f \rangle'^2$  are increasing  $\langle h_f \rangle'^2$  faster than hor-  
 471 izontal circulations can homogenize it. However, in nz\_32, the cumulative tendency of  
 472  $\langle h_f \rangle'^2$  remains steady after about day 8 because there is a balance between the diabatic  
 473 terms and the adiabatic term. Additionally, each budget term for nz\_32 is smaller than  
 474 those for nz\_64 and nz\_128. Therefore, aggregation is not occurring in nz\_32 because di-  
 475 abatically driven increases in  $\langle h_f \rangle'^2$  by radiative processes and surface fluxes are too weak  
 476 to overcome horizontal  $\langle h_f \rangle$  homogenization by horizontal circulations.

477 We now look at simulation days 25-50, during which nz\_64 and nz\_128 are becom-  
 478 ing more aggregated (that is,  $\langle h_f \rangle'^2$  is increasing) (Figure 5b), and nz\_32 remains dis-  
 479 aggregated. The continued increase in  $\langle h_f \rangle'^2$  for both nz\_64 and nz\_128 is now driven  
 480 by increases in the horizontal covariances of radiative anomalies and  $\langle h_f \rangle$  flux conver-  
 481 gence anomalies with  $\langle h_f \rangle$  anomalies (Figure 6c,e), while surface fluxes horizontally ho-  
 482 mogenize  $\langle h_f \rangle$ . Figure 9 shows various quantities binned by column relative humidity  
 483 percentile between days 25-50. In nz\_128, low cloud extent has retreated somewhat com-  
 484 pared to days 0-25 (Figure 7). However, low cloud thickness has increased. The lower-  
 485 to-mid-tropospheric (1-6 km) minimum in  $h_f$  has intensified (again, relative to days 0-  
 486 25) over dry percentiles in nz\_64 and nz\_128, with deeper intensification in nz\_128. In  
 487 the boundary layer, a new horizontal gradient of  $h_f$  emerges in nz\_64 and nz\_128 in the  
 488 driest 20% of columns, indicating that boundary layer drying through horizontal mois-  
 489 ture export from these columns is exceeding low-level moistening through surface latent



**Figure 8.** For large domain simulations, contributions to the total tendency of  $\langle h_f \rangle'^2$  from (a) shortwave radiation, (c) longwave radiation, (e) surface fluxes, (g) horizontal  $\langle h_f \rangle$  flux convergence, and (i) the total tendency. Right column shows the tendency of the same budget terms from the left column but integrated in time from simulation day 0.

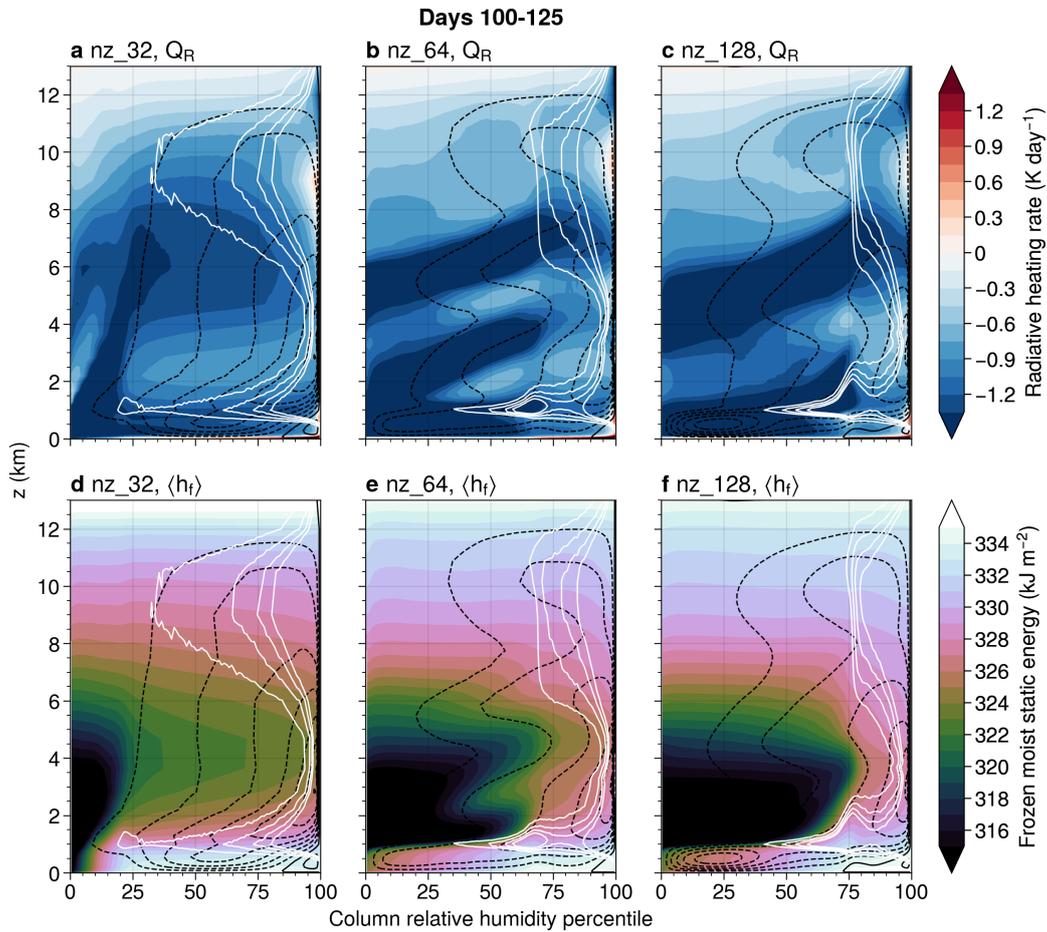


**Figure 9.** For large domain simulation days 25-50, (a-c) radiative heating rate (filled contours), cloud condensate mixing ratio (white contours drawn at  $3 \times 10^{-3} \text{ g kg}^{-1}$ ,  $6 \times 10^{-3} \text{ g kg}^{-1}$ ,  $9 \times 10^{-3} \text{ g kg}^{-1}$ , and  $12 \times 10^{-3} \text{ g kg}^{-1}$ ), and mass streamfunction (black contours drawn every  $1 \text{ g m}^{-2} \text{ s}^{-1}$ ), each binned by column relative humidity percentile. (d-f) As in top row but filled contours show  $h_f$ .

490 heat fluxes. There does not appear to be much change in the structure of  $\Psi$  in any of  
 491 the simulations.

492 Around days 60 and 70, respectively, the nz\_128 and nz\_64 simulations reach their  
 493 simulated maximum  $\langle h_f \rangle'^2$  values (Figure 5b), and begin to show oscillations in their  
 494  $\langle h_f \rangle'^2$ . The budget of  $\langle h_f \rangle'^2$  offers some insight into the mechanisms involved in the os-  
 495 cillation (Figure 6), which we comment on briefly. The oscillation in  $\langle h_f \rangle'^2$  closely fol-  
 496 lows the  $h_f$  flux convergence term. Rapid increases in the  $h_f$  flux convergence term ap-  
 497 pear to be preceded by increases in the surface flux term, the latter of which almost al-  
 498 ways remains a negative contribution to the total tendency, but oscillates in magnitude.  
 499 In nz\_128, the longwave terms appears to oscillate in phase with the surface flux term.  
 500 In contrast, the shortwave term does not appear to contribute to the oscillation of  $\langle h_f \rangle'^2$ .  
 501 While there are additional interesting features in the oscillations of  $\langle h_f \rangle'^2$  and its bud-  
 502 get terms, such as their periodicity, we do not investigate this further.

503 While our coarsest vertical resolution configuration did not aggregate into an os-  
 504 cillating equilibrium within the time scale of these simulations, it experiences a delayed

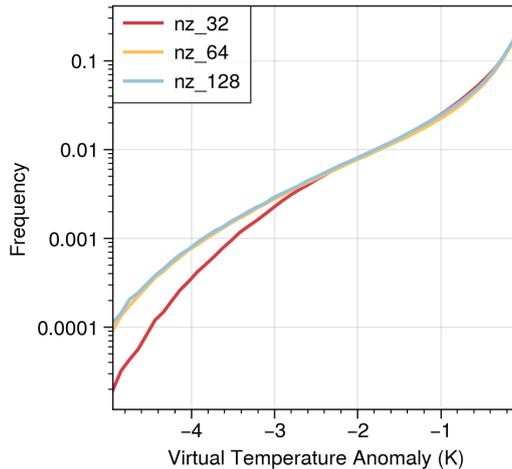


**Figure 10.** For large domain simulation days 100-125, (a-c) radiative heating rate (filled contours), cloud condensate mixing ratio (white contours drawn at  $3 \times 10^{-3} \text{ g kg}^{-1}$ ,  $6 \times 10^{-3} \text{ g kg}^{-1}$ ,  $9 \times 10^{-3} \text{ g kg}^{-1}$ , and  $12 \times 10^{-3} \text{ g kg}^{-1}$ ), and mass streamfunction (black contours drawn every  $1 \text{ g m}^{-2} \text{ s}^{-1}$ ), each binned by column relative humidity percentile. (d-f) As in top row but filled contours show  $h_f$ .

505 onset and slower growth period. Around day 70, the total tendency of  $\langle h_f \rangle'^2$  of nz\_32  
 506 begins to grow (Figure 6a). This is due to a positive fluctuation of the  $\langle h_f \rangle$  flux conver-  
 507 gence term superimposed on a very slowly growing shortwave term (which began very  
 508 slowly growing around day 45). At that point, it appears as though some critical thresh-  
 509 old of  $\langle h_f \rangle'^2$  is reached, and the same reversal of the  $\langle h_f \rangle$  flux convergence and surface  
 510 flux terms found in the other simulation also occurs here. Increases in the radiative and  
 511  $\langle h_f \rangle$  flux convergence terms contribute to an increasing total tendency of  $\langle h_f \rangle'^2$  beyond  
 512 day 85. Unlike nz\_64 and nz\_128, where the magnitude of all terms in the  $\langle h_f \rangle'^2$  bud-  
 513 get during aggregation onset is roughly equal, for nz\_32, the flux convergence term dom-  
 514 inates the positive tendency of  $\langle h_f \rangle'^2$ , with the shortwave term also contributing posi-  
 515 tively at about half of the magnitude of the convergence term. In nz\_32, the longwave  
 516 term is roughly one tenth of the size of the convergence term. Differences in nz\_32's  $\langle h_f \rangle'^2$   
 517 budget from nz\_64 and nz\_128 will be discussed in more detail in what follows.

518 Figure 10 shows column relative humidity binned quantities for days 100-125, which  
 519 marks the intermediate growth period of aggregation for nz\_32, and mature stages of ag-  
 520 gregation for nz\_64 and nz\_128. There are some notable differences for nz\_32 at this point  
 521 from earlier periods in the simulation: specifically, in the structure of radiative cooling  
 522 in the dry regions, and in the boundary layer  $h_f$ . In columns around the 25th percentile  
 523 of column relative humidity, the mid-tropospheric maximum in radiative cooling between  
 524 4-8 km has intensified from days 25-50 (Figure 9a) to days 100-125. This mid-tropospheric  
 525 radiative cooling maximum now extends down to the surface, whereas before it was con-  
 526 fined between 4-8 km. This is likely related to the structure of  $h_f$ , which shows very low  
 527 values that extend down to the surface, whereas they remain relatively high below 1 km  
 528 for previous times and in the other simulations. In fact, the ability of the nz\_32 simu-  
 529 lation to reduce boundary layer  $h_f$  to this degree explains why its  $\langle h_f \rangle'^2$  is able to in-  
 530 crease beyond those in the nz\_64 and nz\_128 simulations (Figure 5b). That is, bound-  
 531 ary layer  $h_f$  is heavily weighted in column  $\langle h_f \rangle$ . Very low boundary layer  $h_f$  in dry columns  
 532 in nz\_32 may be related to the relative weakness of cold pools in this simulation (Fig-  
 533 ure 11), which keeps boundary layer moisture in the other simulations relatively homo-  
 534 geneous (Jeevanjee & Romps, 2013; Yanase et al., 2020). This is not inconsistent with  
 535 having seen the reverse (more homogeneous boundary layer  $h_f$  for nz\_32) during days  
 536 25-50, because nz\_32 had not yet aggregated. Furthermore, the extreme relative mini-  
 537 mum in boundary layer  $h_f$  in nz\_32's dry columns also explains why the  $\langle h_f \rangle$  flux diver-  
 538 gence and surface flux terms get so large in magnitude (Figure 6b) beyond day 105. Low-  
 539 level mass divergence out of the dry region efficiently exports moist static energy to more  
 540 humid columns because of the intense gradient in boundary layer moist static energy.  
 541 In contrast, surface fluxes in the dry region very efficiently moisten those columns (a neg-  
 542 ative feedback with  $\langle h_f \rangle'^2$ ) because of the extreme dry boundary layer.

543 By days 100-125, the nz\_64 and nz\_128 simulations display large changes in their  
 544 spatial structures of radiation, clouds, and  $\Psi$ . Consistent with Sokol and Hartmann (2022),  
 545 there is an intensification of the mid-tropospheric congestus circulation with aggrega-  
 546 tion for these simulations, which is largely missing for the nz\_32 simulation. This absence  
 547 may be due to the fact that nz\_32 is still aggregating at this time. However, the results  
 548 of section 3.1, which show enhanced congestus detrainment for nz\_64 and nz\_128 and weak  
 549 congestus detrainment for nz\_32 in small domains, suggest that the coarse vertical res-  
 550 olution is more fundamentally to blame. Above 1 km, maxima in radiative cooling of nz\_64  
 551 and nz\_128 follow horizontal motion indicated by the streamfunction contours. This is  
 552 consistent with the horizontal motion being associated with detrainment of clouds and  
 553 moisture, with strong radiative cooling occurring at the tops of clouds and moist layers.  
 554 Interestingly, there are two mid-tropospheric horizontal outflow and radiative cooling lay-  
 555 ers in nz\_64 (at roughly 6 km and 2 km), with only one in nz\_128 (at roughly 5 km), a  
 556 feature which is robust to averaging period. This may be related to differences in the low  
 557 cloud field, which has evolved substantially since days 25-50. The horizontal extent of  
 558 low clouds has decreased, pulling towards more humid columns, especially in the higher



**Figure 11.** Distribution of instantaneous virtual temperature anomalies from the horizontal mean at the lowest model level for simulation days 0 to 30 of the large domain simulations.

559 resolution configurations with most mature aggregation. At the same time, the vertical  
 560 extent and thickness of low clouds for columns between the 50-75th percentiles have grown,  
 561 with both thickness and vertical extent enhanced in the nz\_128 simulation. Finally, anvil  
 562 cloud coverage in nz\_64 and nz\_128 are reduced, which is consistent with the decrease  
 563 in the area coverage and spatial concentration of humid ascending air that accompanies  
 564 aggregation (Figure 4,5a).

#### 565 4 Discussion and Conclusions

566 We investigate the impact of varying vertical resolution on small (about 100 km  
 567  $\times$  100 km) and large (about 1500 km  $\times$  1500 km) domain simulations of explicit con-  
 568 vection in radiative convective equilibrium. We use simulations with 32, 64, 128, and 256  
 569 vertical levels (although we do not run a large domain simulation with 256 levels because  
 570 of the high computational expense).

571 Results of the small domain simulations show that high vertical resolution produces  
 572 cooler upper tropospheres and reduced relative humidity. Differences in humidity are ex-  
 573 plained by differences in fractional detrainment. Anvil cloud coverage is markedly dif-  
 574 ferent between the simulations, with coarse resolution simulations producing the high-  
 575 est amounts. This is due to differences in volumetric detrainment, spread in which is driven  
 576 primarily by spread in the updraft mass flux, but also by spread in the fractional detrain-  
 577 ment rate. The combination of a drier free troposphere and reduced anvil cloud cover-  
 578 age at high vertical resolution leads to enhanced atmospheric radiative cooling, surface  
 579 fluxes, and precipitation. Increases in precipitation with vertical resolution occur despite  
 580 simultaneous decreases in precipitable water.

581 We suspect that a dependence of the turbulent mixing rate on vertical resolution  
 582 is driving the simulated differences in relative humidity and anvil cloud fraction. Due  
 583 to output limitations, we do not explicitly estimate turbulent mixing rates. However, be-  
 584 cause the fractional detrainment rate,  $\delta$ , is in part a measure of the the rate at which  
 585 updrafts lose mass through turbulent exchange with environmental air, it is plausible that  
 586 decreases in turbulent mixing at least partly explain decreases in  $\delta$  with increasing ver-  
 587 tical resolution. Additionally, we find a reduced mean updraft mass flux with increased  
 588 vertical resolution, which may occur because reduced mixing increases the efficiency of

total heating associated with the updraft mass flux (because of reduced condensate evaporation), as was found in Jeevanjee and Zhou (2022), but in their case with horizontal resolution. Similarly, enhanced mixing at low vertical resolution, and the resultant relatively large updraft mass flux, explains both its enhanced relative humidity and anvil cloud fraction. While our lack of an explicit quantification of turbulent mixing rates and their sensitivity to vertical resolution in SAM is a weakness of our study, we note that other studies have linked low vertical resolution to stronger mixing (e.g., Bretherton et al., 1999; Guo et al., 2008; Ohno et al., 2019).

We note some interesting results for our highest resolution case (nz\_256) that were counter to our expectations. It began to aggregate, which is surprising given the high horizontal resolution (780 m) and small domain size. Additionally, while we found expected enhancement in the simulated mid-level congestus mode for the two intermediate resolution cases (nz\_64 and nz\_128) compared to the lowest resolution case, the congestus mode was diminished for the highest resolution case (nz\_256).

Unlike the small domain simulations, convection aggregated in each of the large domain simulations. Generally, nz\_64 and nz\_128 behaved similarly, displaying roughly similar aggregation onset times, mechanisms, and equilibrium behavior and spatial organization. In contrast, nz\_32 behaved rather differently, showing delayed aggregation, different mechanisms involved in the onset and growth of aggregation, and more organization by the end of the simulation period marked by larger differences in the column moist static energy between the moist and dry regions.

In nz\_32, aggregation does not occur in the early period of the simulation because diabatically driven increases in the spatial variance of vertically integrated frozen moist static energy ( $\langle h_f \rangle'^2$ ) by radiative processes and surface fluxes are too weak to overcome homogenization by horizontal circulations. More specifically, we suspect that nz\_32's relatively high anvil cloud fraction and relative humidity inhibits radiative cooling in drier columns during the early period. Eventually, and for reasons which are not completely clear, nz\_32 begins to aggregate in the latter half of the simulation. Interestingly, the intensity of its aggregation (quantified as  $\langle h_f \rangle'^2$ ) eventually exceeds that of nz\_64 and nz\_128 by nearly a factor of 2. We believe this is due to its relatively weaker cold pools, which enable extreme drying of the boundary layer in dry columns. Conversely, relatively stronger cold pools in nz\_64 and nz\_128 maintain more homogeneous boundary layer moisture even after aggregation.

We note that the large domain simulations were initialized with equilibrated profiles of temperature and humidity from the small domain simulations. Because of the impact of vertical resolution on steady state relative humidity and temperature, large domain simulations were thus initiated with different profiles. It is possible that some of the simulated differences in convective aggregation, particularly time-to-onset for the nz\_64 and nz\_128 simulations, were affected by these differences in the initial profile. We are pacified by the results of the small domain simulations, which show that vertical resolution impacts mean state quantities in the absence of convective aggregation, including those important for aggregation (namely, radiative cooling and surface fluxes).

We wonder if the convective aggregation behavior of a large domain simulation with 256 vertical levels would be very different from nz\_64 and nz\_128. Due to computational limitations, we were unable to run a large domain simulation with 256 vertical levels. In the small domain simulations, nz\_64 and nz\_128 behaved somewhat similarly: both simulated similar (in both shape and magnitude) mean profiles of relative humidity and fractional detrainment rate. Both simulations also simulated a 6 km peak in quantities associated with enhanced congestus outflow. The nz\_256 simulation, however, did not contain this peak, and simulated mean profiles of relative humidity and fractional detrainment were, by comparison, much lower than that of nz\_64 and nz\_256. Additionally, nz\_256 was unique amongst the small domain simulations in that it began exhibiting signs of

convective aggregation (increased  $\langle hf \rangle^2$  and reduced and more variable precipitable water). For example, would aggregation have enhanced congestus outflow in nz\_256 as it did in nz\_64, nz\_128 and in previous studies (Sokol & Hartmann, 2022)? Or would it have behaved like nz\_32, whose mid-tropospheric fractional detrainment profile, while larger in magnitude, displayed a similar shape to nz\_256? This remains to be seen.

It is necessary to understand the sensitivity of deep convection to model formulation in order to interpret and apply simulations of deep convection for physical understanding and decision-making. For example, our results suggest that the vertical resolution of embedded CRMs in multi-scale climate models (through a process referred to as “superparameterization” or “multi-scale modeling framework” in which CRMs replace the convective parameterization) may impact the simulated mean global cloud and relative humidity fields, which in turn impacts the global radiative budget. Hence, in those models the vertical resolution of embedded CRMs may be a tunable parameter. CRMs are also commonly used to inform convective parameterizations, and there is a growing movement to use the output of CRMs to create data-driven (machine learning-derived) convective parameterizations. The variability of simulated deep convection with vertical resolution shown here, including the simulated magnitudes of certain physical processes (e.g., cold pool and mean radiative intensity) emphasizes the need to constrain convective parameterizations with observations. Lastly, CRMs with limited domains are one tool actively used to try and understand tropical anvil clouds and their response to warming (e.g., Mackie & Byrne, n.d.). Here we show that at least in one CRM, anvil cloud fraction is sensitive to vertical resolution. It remains to be seen whether the anvil cloud response to warming is similarly sensitive.

In summary, vertical resolution is an often overlooked free parameter in simulations of convection, especially of convective aggregation. In this study, we explore the impact of vertical resolution on the simulated behavior of deep convection, with a focus on convective aggregation. We find that vertical resolution directly impacts simulated profiles of clouds, temperature, and humidity, and affects the onset time of and equilibrium intensity of aggregated convection. The sensitivity of these simulations to vertical resolution is similar to the sensitivity of CRMs to turbulent mixing (Ohno et al., 2019; Jeevanjee & Zhou, 2022), which leads us to suspect that in the model used in this study (SAM), turbulent mixing is sensitive to vertical resolution. Furthermore, if our suspicion is correct (i.e., that differences in mixing are driving simulated differences in relative humidity and anvil cloud fraction), these results emphasize the need to improve the representation of simulated mixing processes in the atmosphere. Clearly, the consequences for simulating deep convection can be profound.

## 5 Open Research

SAM model code is publicly available at <http://rossby.msrc.sunysb.edu/~marat/SAM/>. Code to create the figures from model output is publicly available at [https://github.com/ajenney/conv\\_agg\\_vres\\_public](https://github.com/ajenney/conv_agg_vres_public).

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