

1 **Empirically estimated electron lifetimes in the Earth's**  
2 **radiation belts: 2. Comparison with theory**

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8 **Key Points:**

- 9 • Electron scattering by Coulomb collisions, hiss, EMIC, and VLF transmitter waves  
10 is demonstrated in observed loss timescales  
11 • The energy- $L$  structure of the observed electron lifetimes is in excellent qualita-  
12 tive agreement with quasilinear theory  
13 • Theoretical lifetimes in the inner region ( $L < 3.5$ ) are much longer than the ob-  
14 served (1000 vs 100 days), pointing to a missing loss process

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## 15 Abstract

16 We compute quasilinear diffusion rates due to pitch-angle scattering by various mech-  
 17 anisms in the Earth’s electron radiation belts. The calculated theoretical lifetimes are  
 18 compared with observed decay rates and we find excellent qualitative agreement between  
 19 the two. The overall structure of the observed lifetime profiles as a function of energy  
 20 and  $L$  is largely due to plasmaspheric hiss and Coulomb scattering. The results also re-  
 21 veal a local minimum in lifetimes in the inner zone at lower energy ( $\sim 50$  keV), attributed  
 22 to enhanced scattering via ground-based VLF transmitters, and a reduction in lifetimes  
 23 at higher  $L$  and energy ( $>1$  MeV), attributed to enhanced EMIC wave scattering. In ad-  
 24 dition, we find significant quantitative disagreement at  $L < 3.5$ , where the theoretical  
 25 lifetimes are typically a factor of  $\sim 10$  larger than the observed, pointing to an additional  
 26 loss process that is missing from current models. We discuss potential factors that could  
 27 contribute to this disagreement.

## 28 Plain Language Summary

29 The Earth is surrounded by two invisible, donut-shaped belts of charged particle  
 30 radiation (think electrons and protons) called the Van Allen belts. The particles in these  
 31 belts orbit rapidly around the Earth in the same region where spacecraft fly, like GPS  
 32 and weather satellites. Since the particles in the belts can damage satellites, we need to  
 33 understand what specific processes make the intensity of the belts go up and down. Know-  
 34 ing which processes are important for changing the belt intensity helps us build better  
 35 computer models that can be used to predict the future state of the belts (much like weather  
 36 prediction models). This letter, along with a companion letter, examines the processes  
 37 that make the belt intensity go down. We use both spacecraft observations and theo-  
 38 retical calculations to determine which of these “loss” processes are the most important.  
 39 One particularly interesting result is that we show that high-powered radio wave trans-  
 40 mitters that are used to communicate with submarines can enhance the loss of particles  
 41 from the inner belt.

## 42 1 Introduction

43 Observations of exponentially decaying fluxes in the Earth’s radiation belts sug-  
 44 gest that the prevailing particle dynamics are governed by pitch-angle diffusion, as de-  
 45 scribed by the modified Fokker-Planck equation (e.g., Lyons & Thorne, 1973):

$$\frac{\partial f}{\partial t} = \frac{1}{T \sin(2\alpha)} \frac{\partial}{\partial \alpha} \left( D_{\alpha\alpha} T \sin(2\alpha) \frac{\partial f}{\partial \alpha} \right) + S \quad (1)$$

46 Here,  $f$  is the distribution function (phase space density),  $\alpha$  is the equatorial pitch an-  
 47 gle,  $D_{\alpha\alpha}$  is the bounce-averaged pitch-angle diffusion coefficient,  $T \approx 1.30 - 0.56 \sin \alpha$   
 48 is a term that approximates the pitch-angle dependence of the normalized bounce time  
 49 along a dipole field line, and  $S$  is an arbitrary source term. The fact that we observe ex-  
 50 ponential decays suggests that  $S$  is small and in what follows we assume  $S \approx 0$ . Un-  
 51 der the additional assumption that the solution to Equation (1) is separable in  $\alpha$  and  
 52  $t$ , then a solution with time dependence  $\sim \exp(-t/\tau)$  yields an ordinary differential equa-  
 53 tion (ODE) for the evolution of the angular distribution. The resulting ODE is of a Sturm-  
 54 Liouville type, so that the eigenvalues ( $= 1/\tau$ ) are real and ordered, each with a cor-  
 55 responding eigenfunction. The smallest of the eigenvalues, which corresponds to the longest  
 56 decay timescale  $\tau$ , dominates the long term evolution of the particles, once any transient  
 57 behavior has subsided (e.g., other eigenmodes).

58 Within this framework, the second-order ODE for the angular distribution is usu-  
 59 ally subject to the boundary conditions that  $f \rightarrow 0$  at  $\alpha \leq \alpha_L$  and  $\partial f / \partial \alpha \rightarrow 0$  at

60  $\alpha = 90^\circ$ , where  $\alpha_L$  is the loss cone angle. The resulting boundary value problem can  
 61 be solved analytically only for very simple forms of the diffusion coefficient,  $D_{\alpha\alpha}$ . For  
 62 more general forms, standard numerical techniques can be used to obtain the eigenval-  
 63 ues and eigenfunctions (e.g., Albert, 1994). Alternatively, Albert and Shprits (2009) de-  
 64 rive an approximate form for the eigenvalue,  $\tau$ , which can be easily evaluated via:

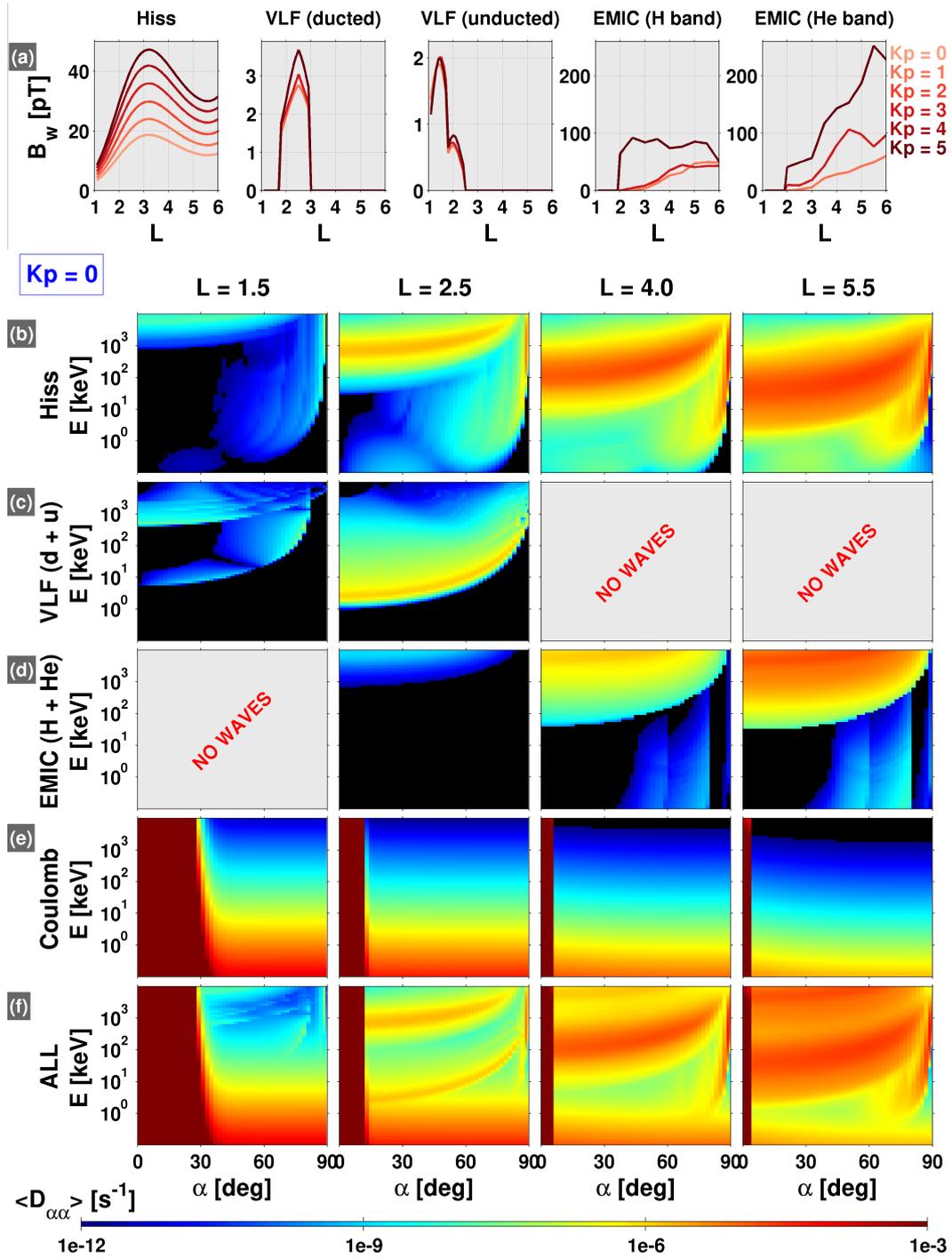
$$\tau \approx \int_{\alpha_L}^{\pi/2} \frac{1}{2D_{\alpha\alpha} \tan \alpha} d\alpha \quad (2)$$

65 Throughout this work, we use this approximation for the theoretical particle “lifetime”  
 66 due to pitch angle diffusion, as is common in other works (e.g., Orlova et al., 2016). (In  
 67 Section 4, we return briefly to this point to discuss the validity of this approximation for  
 68  $\tau$ ). In the companion paper, we have calculated the decay timescales,  $\tau$ , from observa-  
 69 tions of exponentially decaying electron fluxes as a function of particle energy and  $L$ -shell,  
 70 and we proceed under the assumption that such decays are representative of pitch-angle  
 71 diffusion in the lowest order eigenmode of the diffusion operator defined by Equation (1).  
 72 The goal of the present paper is to compare the observed lifetimes with theoretical es-  
 73 timates due to quasilinear pitch angle diffusion by various scattering processes, given via  
 74 Equation (2). Such comparisons constrain and inform our understanding of the physics  
 75 by evaluating how well our current wave models capture the relevant loss processes. For  
 76 example, when theoretical lifetimes do not match observed decay timescales, it can sug-  
 77 gest that new and/or additional physical processes that were not previously known or  
 78 believed to be important may be operating. In addition, ring current and radiation belt  
 79 models often require electron loss timescales as one of the model parameters (e.g., Chen  
 80 et al., 2015; Ozeke et al., 2017) and a number of studies have shown that the model re-  
 81 sults are highly sensitive to the assumed lifetimes (Aseev et al., 2019; Ganushkina et al.,  
 82 2019).

## 83 2 Data and Methods

84 To calculate the theoretical lifetimes, we must specify quasilinear diffusion coef-  
 85 ficients due to waves that are known to exist and be important for pitch angle scatter-  
 86 ing in the radiation belt region. Since we will compare the theoretical lifetimes with mean  
 87 empirical lifetimes obtained from a statistical database of 5 years of observations, and  
 88 thus a wide range of geomagnetic activities and wave environments, we use statistical  
 89 wave models to specify the diffusion coefficients. For example, the first panel in Figure 1a  
 90 shows  $L$  profiles of hiss wave amplitudes obtained from the empirical model of Spasojevic  
 91 et al. (2015). The statistical wave amplitudes are provided as a function of  $L$ , magnetic  
 92 local time (MLT), and geomagnetic activity parameterized by the  $K_p$  index, 0-5. The  
 93 profiles in Figure 1a are averaged over all MLT.

94 The assumed statistical wave amplitudes, such as those shown for hiss in Figure 1a,  
 95 are used to compute bounce-averaged, quasilinear, electron pitch angle diffusion coef-  
 96 ficients using the “Full Diffusion Code” (Ni et al., 2008). There are several aspects of  
 97 these calculations that are common to all of the wave modes considered. The geomag-  
 98 netic field is assumed to be dipolar, the latitudinal range for the resonant interactions  
 99 is assumed to be  $\pm 45^\circ$  around the magnetic equator, and resonant harmonics from -10  
 100 to +10 are considered. At low  $L$  shells, the waves are also confined below the magnetic  
 101 latitude where the magnetic field line reaches 800 km altitude from the Earth’s surface.  
 102 The plasma density is specified using the the empirical model of Ozhogin et al. (2012)  
 103 at  $L < 4$  and the Sheeley et al. (2001) model is used at  $L > 4$  in the plasmasphere.  
 104 The calculations are carried out from  $L = 1 - 6$  in  $0.1L$ -width bins, from 0.1 keV - 10  
 105 MeV in 71 logarithmically-spaced energy channels, and for equatorial pitch angles from  
 106  $1^\circ$  to  $89.5^\circ$  with  $\Delta\alpha=2^\circ$ . The diffusion coefficients are also drift-averaged, since we are  
 107 concerned with multi-day electron dynamics where drift-timescale effects are unimpor-



**Figure 1.** (a) Wave amplitudes assumed in the diffusion coefficient calculations, as a function of  $L$  for all  $K_p$ . (b)-(f) Bounce-averaged pitch-angle diffusion coefficients,  $\langle D_{\alpha\alpha} \rangle$ , as a function of equatorial pitch angle and energy due to the indicated scattering mechanism (rows) at various  $L$  (columns), for  $K_p = 0$ .

108 tant. We note that the use of daily-averaged fluxes in our empirical lifetime database  
 109 ensures that we are considering drift-bounce-averaged fluxes, appropriate for compar-  
 110 isons with theoretical lifetimes calculated from drift-bounce-averaged diffusion coefficients.

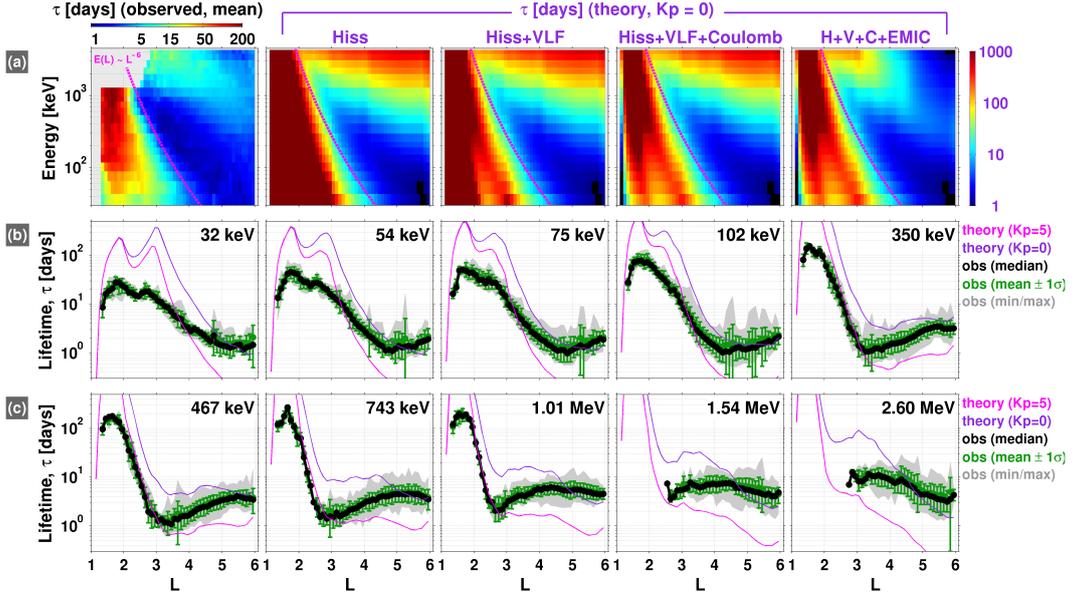
111 Calculating the diffusion coefficients also requires several additional assumptions  
 112 on the wave parameters (e.g., wave frequency spectrum, wave normal angle spectrum,  
 113 etc.). For hiss waves, we use the statistical frequency spectrum of Li et al. (2015) and  
 114 extrapolate the spectrum from 4 kHz to 7 kHz as an approximate means of incorporat-  
 115 ing lightning generated whistler waves (this is discussed further in Section 4). The wave  
 116 normal angle spectrum is from Ni et al. (2013), which is specified as quasi-field aligned  
 117 at the magnetic equator, progressing to highly oblique at  $45^\circ$  latitude. Figure 1b shows  
 118 the bounce averaged diffusion coefficients computed for these assumed hiss wave param-  
 119 eters at 4 different  $L$  values. The region of enhanced scattering at higher energy that oc-  
 120 curs over a wide range of pitch angles is mostly due to the cyclotron resonances, whereas  
 121 the region of enhanced scattering that is narrow in pitch-angle near  $90^\circ$  is due to the Lan-  
 122 dau resonance.

123 In addition to hiss waves, we also calculate the scattering rates for very-low frequency  
 124 (VLF) transmitter waves, electromagnetic ion cyclotron (EMIC) waves, and Coulomb  
 125 collisions. Figure 1a shows the statistical wave amplitudes for both ducted and unducted  
 126 VLF transmitter waves and both proton (H) and Helium (He) band EMIC waves. The  
 127 bounce-averaged diffusion coefficients computed for the assumed wave parameters are  
 128 shown in Figure 1c for VLF transmitter waves, where  $D_{\alpha\alpha} = D_{\alpha\alpha}^{\text{ducted}} + D_{\alpha\alpha}^{\text{unducted}}$ , and  
 129 in Figure 1d for EMIC waves, where  $D_{\alpha\alpha} = D_{\alpha\alpha}^H + D_{\alpha\alpha}^{He}$ . Figure 1e shows the bounce-  
 130 averaged diffusion coefficients computed for Coulomb scattering and panel (f) shows the  
 131 combined scattering rates due to all of the relevant mechanisms described, where  $D_{\alpha\alpha}$   
 132 is defined as the summation of all of the constituent diffusion coefficients. Further de-  
 133 tails regarding the diffusion coefficient calculations are provided in the Supporting In-  
 134 formation.

### 135 3 Results

136 Figure 2a compares the empirical lifetimes obtained in the companion paper (first  
 137 panel) with the theoretical lifetimes computed via Equation (2) for various combinations  
 138 of the diffusion coefficients. The “Hiss” (second) panel shows the theoretical lifetimes  
 139 calculated only for scattering by plasmaspheric hiss. Comparing the first two panels re-  
 140 veals a good qualitative agreement between the lifetime profiles, with several common  
 141 features: the longest lifetimes are found in the inner zone; a slot region where the life-  
 142 times drop precipitously to their minimum values; and the outer zone where the lifetimes  
 143 increase again, but not nearly to the levels found in the inner zone. It is clear that the  
 144 rapid scattering rates due to hiss waves in the intermediate  $L$  and energy ranges carve  
 145 out the slot region. This central role that hiss waves play in forming the slot is a well-  
 146 known result (e.g., Lyons & Thorne, 1973) but we emphasize that it is clearly reproduced  
 147 here in the empirical lifetime estimates. Note that the scaling of the minimum energy  
 148 for cyclotron resonance with whistler mode waves is roughly  $\sim L^{-6}$  for the plasmaspheric  
 149 density model and dipolar magnetic field used here (Mourenas et al., 2012; Ma et al.,  
 150 2016; Mourenas et al., 2017) and this profile is shown in each of the panels in row (a).

151 The “Hiss+VLF” panel in Figure 2a shows the theoretical lifetimes due to both  
 152 hiss and VLF transmitter waves. Comparing the Hiss and Hiss+VLF panels reveals that  
 153 the inclusion of the VLF transmitter waves has a significant impact on the lifetimes in  
 154 the inner zone, particularly at energies less than  $\sim 300$  keV. Overall, the theoretical life-  
 155 times in the inner zone are reduced when the transmitter waves are included. In addi-  
 156 tion, a local minimum is produced in the lifetimes near  $L = 2.5$  at the lowest energies  
 157 shown, 30-300 keV, due to the highly localized transmitter waves. Note that the loca-  
 158 tion of this local minimum moves to lower  $L$  as energy increases, consistent with expec-



**Figure 2.** (a) Observed mean lifetimes (first panel) along with the theoretical predictions for pitch-angle diffusion due the indicated scattering mechanism(s). Note that the color scale is different for the observed and theoretical lifetimes (1-200 days vs 1-1000 days, respectively). (b)-(c) Same as panel (a), but presented in a line plot format, where the mean observed lifetimes are shown in green with  $1\sigma$  error bars, along with the median (black), and a shaded region (grey) indicating the minimum and maximum values at each  $L$ . The theoretical lifetimes in these two rows are obtained from the  $D_{\alpha\alpha}$  where all of the scattering mechanisms are combined: hiss, VLF, Coulomb, and EMIC (e.g., the last panel in row (a)).

159 tations from the cyclotron resonance condition. We emphasize that there is some evi-  
 160 dence of a corresponding local minimum in the observed lifetimes (first panel) in roughly  
 161 the same  $L$  and energy range. We return to this point below.

162 The “Hiss+VLF+Coulomb” panel in Figure 2a shows the theoretical lifetimes due  
 163 to the combined effects of hiss, VLF transmitter waves, and Coulomb scattering. The  
 164 influence of Coulomb scattering on the inner zone lifetimes is clear, with a significant re-  
 165 duction in the lifetimes when compared with the Hiss+VLF panel. This is to be expected,  
 166 as many authors have shown that Coulomb scattering contributes significantly to radi-  
 167 ation belt electron loss at  $L < 2.5$  and is the dominant scattering mechanism at  $L <$   
 168  $1.5$  (e.g., Abel & Thorne, 1998). Note also that the inclusion of Coulomb scattering greatly  
 169 reduces the lifetimes very close to the earth ( $L < 1.3$ ) and produces a local maximum  
 170 near  $L = 1.5$  (relative to the profiles in the Hiss+VLF panel), which is seen in the ob-  
 171 served lifetimes as well. The inclusion of Coulomb scattering also more clearly reveals  
 172 the local minimum in lifetime due to VLF transmitter waves at  $\sim 30$  keV near  $L = 2.5$ .

173 The final panel in Figure 2a incorporates all of the scattering mechanisms consid-  
 174 ered in this manuscript - hiss, VLF, Coulomb, and EMIC - which constitute the major-  
 175 ity of the relevant processes for pitch-angle scattering of radiation belt electrons. When  
 176 compared with the Hiss+VLF+Coulomb (fourth) panel, we see a dramatic reduction in  
 177 the theoretical lifetimes at the highest energies and  $L$ -shells, consistent with expecta-  
 178 tions for EMIC wave scattering of electrons. Note that there is evidence of this reduction in  
 179 the observed lifetimes as well (first panel).

180 Figure 2b-c displays the same data as row (a), but in a line plot format as a func-  
 181 tion of  $L$  at fixed energies. The theoretical lifetimes computed from the diffusion coef-  
 182 ficient that incorporates all of the scattering mechanisms (Hiss+VLF+Coulomb+EMIC;  
 183 e.g., Figure 2a, last panel) is shown in purple. An additional theoretical profile for  $K_p =$   
 184 5 is also shown in pink, for reference. In this regard, we note that while the observed de-  
 185 cays, from which the empirical lifetimes are computed, occur over a range of magnetic  
 186 activity levels, the average  $K_p$  is generally low during the decay intervals ( $\sim 2$  or less; not  
 187 shown here). However, it is difficult to organize the empirical lifetimes on activity level,  
 188 since the decay intervals are at least 5 days long (and often longer - see companion manuscript),  
 189 over which time a wide range of activity levels can be observed. Thus, assigning a sin-  
 190 gular  $K_p$  value to an entire decay interval is somewhat arbitrary. Similarly, we do not sort  
 191 the observed decay timescales with respect to the plasmapause location. It is difficult  
 192 to assign an “inside” or “outside” of the plasmasphere designation to an individual de-  
 193 cay event, since the plasmapause can move across the fixed  $L$  bin during the decay in-  
 194 terval. The majority of the observed decays at  $L < 5$  occur primarily inside of the plas-  
 195 masphere.

## 196 4 Discussion

197 Overall, Figure 2 demonstrates that there is good qualitative agreement between  
 198 the observed lifetimes and those predicted theoretically for quasilinear scattering via the  
 199 various mechanisms. Comparing the final panel in row (a) with the empirical estimates  
 200 in the first panel, we see that the morphological structure of the observed lifetimes in  
 201 energy and  $L$  is well-predicted by the theory presented. The impact of each of the four  
 202 scattering mechanisms considered is readily apparent in the observed lifetimes: the short  
 203 lifetimes in the slot region primarily due to hiss waves; the reduction in inner zone life-  
 204 times with a peak near  $L = 1.5$  due to Coulomb scattering; the local minimum in life-  
 205 times between  $L = 2-3$  due to VLF transmitter waves; and the reduction in lifetimes  
 206 at high  $L$  and energy due to EMIC waves.

207 However, Figure 2 also demonstrates that there is significant quantitative disagree-  
 208 ment between the observed and theoretical lifetimes. This is particularly true in the in-  
 209 ner regions ( $L \lesssim 3.5$ ), where the theoretical lifetimes (for  $K_p = 0$ ) are larger than the  
 210 observed by at least a factor of 5, and often by an order of magnitude or more. We note  
 211 that both the theoretical and empirical estimates presented here are largely consistent  
 212 with prior lifetime calculations using similar techniques (e.g., Vampola, 1971; West et  
 213 al., 1981; Abel & Thorne, 1998; Albert, 2000; Meredith et al., 2006; Benck et al., 2010;  
 214 Ripoll et al., 2017). When differences are noted with prior works, they are typically on  
 215 the order of a factor of two, which cannot explain the order of magnitude differences be-  
 216 tween theory and observations found here.

### 217 4.1 Discrepancies Between Observed and Theoretical Lifetimes

218 We now discuss several possibilities that could explain such differences in the in-  
 219 ner regions ( $L \lesssim 3.5$ ). Perhaps the most important missing piece from our theoretical  
 220 calculations is the ad-hoc incorporation of lightning-generated whistler waves. The Li  
 221 et al. (2015) hiss spectrum that is used also includes lightning-generated waves up to 4  
 222 kHz at  $L \lesssim 3.5$  (Spasojevic et al., 2015; Meredith et al., 2007; Agapitov et al., 2014),  
 223 which we extrapolate to 7 kHz as an approximate way to account for lightning-generated  
 224 whistlers in our calculations. We also note recent work that demonstrates that wave in-  
 225 tensity from lightning-generated whistlers can reach substantially higher frequencies ( $\sim 12$   
 226 kHz) at  $L < 3$  (Záhlava et al., 2019). Future work will incorporate lightning-generated  
 227 whistler waves in a more rigorous fashion.

228 There may also be shortcomings and areas for improvement in our assumptions sur-  
 229 rounding the VLF transmitter waves. For example, there is considerable uncertainty in

230 the wave normal angle spectrum for VLF transmitter waves (Ma et al., 2017). In our cal-  
 231 culations, we assume that the VLF transmitter waves at  $L \lesssim 1.7$  are unducted and have  
 232 large wave normal angles. To this end, we performed a test calculation where we assumed  
 233 all VLF transmitter waves were field-aligned from  $L = 1 - 3$ . The results (not shown  
 234 here) did show a reduction in the lifetimes, but only by a factor of 2-3, and we note that  
 235 this assumption of all waves being field-aligned is a gross over-simplification. An addi-  
 236 tional area for improvement may be the method by which Ma et al. (2017) represented  
 237 the wave frequency spectrum, where Gaussian functions were fit on the central frequency  
 238 only, noting that measurable (but lower) wave power is present at other frequencies. It  
 239 is possible that including this additional wave power could reduce the lifetimes. For ex-  
 240 ample, very recent work (Ross et al., 2019; Meredith et al., 2019) fit a Gaussian func-  
 241 tion to each VLF transmitter station and the resulting lifetimes are somewhat lower those  
 242 calculated here, but again only by a factor of 2-3.

243 There are also additional processes in the inner region that can scatter radiation  
 244 belt electrons in pitch angle. For example, magnetosonic wave activity is known to oc-  
 245 cur down to at least  $L = 2$  (Ma et al., 2016). While these waves themselves do not cause  
 246 significant precipitation loss of energetic electrons inside the plasmasphere, they can con-  
 247 tribute to losses by acting in concert with other waves (e.g., hiss). In addition, recent  
 248 work has discovered that He-band EMIC waves, the dominant band observed in the in-  
 249 ner magnetosphere, are frequently observed below  $L = 4$ , down to at least  $L = 2$  (Gamayunov  
 250 et al., 2018). However, the distribution and characteristics of these low- $L$  EMIC waves  
 251 have yet to be quantified so that they can be incorporated into radiation belt modeling.  
 252 We have also not considered a number of known aspects of plasmaspheric hiss, such as  
 253 oblique hiss (e.g., Hartley et al., 2018) and low-frequency hiss (e.g., Ni et al., 2014), which  
 254 could influence our lifetime calculations at low  $L$ . Coulomb energy drag (ionization en-  
 255 ergy loss), whereby electrons lose energy when they ionize atoms in the ambient neutral  
 256 atmosphere and ionospheric plasma, may also be important to consider in future work.

257 The agreement between the theory and observations is much better in the outer  
 258  $L$  regions,  $L \gtrsim 3.5$ , where the theoretical lifetimes for  $K_p = 0$  are generally within a  
 259 factor of 3 of the observed (e.g., Figure 2b-c). However, at the highest energies shown  
 260 (e.g.,  $>500$  keV), we note that the theoretical profiles generally decrease faster in  $L$  than  
 261 the observed profiles. This may suggest that the EMIC wave scattering is too strong in  
 262 our calculations, which is discussed in the Supporting Information. We also note that  
 263 we have not included chorus-wave scattering, which will contribute to losses outside of  
 264 the plasmasphere. Both of these mechanisms will be considered and refined in future work.

265 We have investigated a number of other potential factors that could potentially lead  
 266 to discrepancies between the observations and theory, though none has provided a sat-  
 267 isfactory explanation. First, as noted above, Equation (2) represents an approximation  
 268 to the true theoretical lifetime. We have carried out the full, exact calculation of  $\tau$  via  
 269 a shooting method (e.g., Albert, 1994). While we do find that the exact lifetimes are some-  
 270 what lower than the approximated, these differences are not larger than a factor of  $\sim 2$   
 271 (and often they agree quite well) and thus not sufficient to explain order-of-magnitude  
 272 discrepancies in the inner region. Another potential factor is the inclusion of higher or-  
 273 der cyclotron resonances, beyond the  $\pm 10$  that we have considered. For example, Albert  
 274 (1994) included up to  $\pm 100$  and found a reduction in theoretical lifetimes by a factor of  
 275 2-3, which again is unlikely explain order of magnitude differences (see also Meredith et  
 276 al. (2006)). Note that both of these factors will affect the theoretical lifetime estimates  
 277 everywhere, whereas the most significant discrepancies are at lower  $L$  and thus likely not  
 278 due to some systematic effect. A third potential factor could be an artifact related to  
 279 the data processing and automated algorithm that are used to obtain the empirical life-  
 280 time estimates. However, in the companion paper, we demonstrate good quantitative agree-  
 281 ment with previous empirical estimates, lending confidence that our empirical estimates  
 282 are accurate. When differences are noted with the previous works, they are typically on

the order of a factor of 2, and not an order of magnitude. Finally, we note that the empirical lifetimes could potentially be influenced by a source (e.g., inward radial transport from higher  $L$ ) and thus may not always be representative of the true, underlying decay timescale (see companion paper). However, our inability to account for this in the empirical lifetime database cannot explain the discrepancies noted, since if a source is present it will act to artificially increase the lifetime estimate over its true value (i.e.,  $\tau_{true} \leq \tau_{observed}$ ), but  $\tau_{observed} \ll \tau_{theory}$  at lower  $L$  (i.e., the noted discrepancy would be even larger with  $\tau_{true}$ ). At higher  $L \gtrsim 5$ , radial diffusion can act as both a source and a loss (e.g., outward transport to the magnetopause in the presence of a negative gradient in phase space density), further complicating the picture.

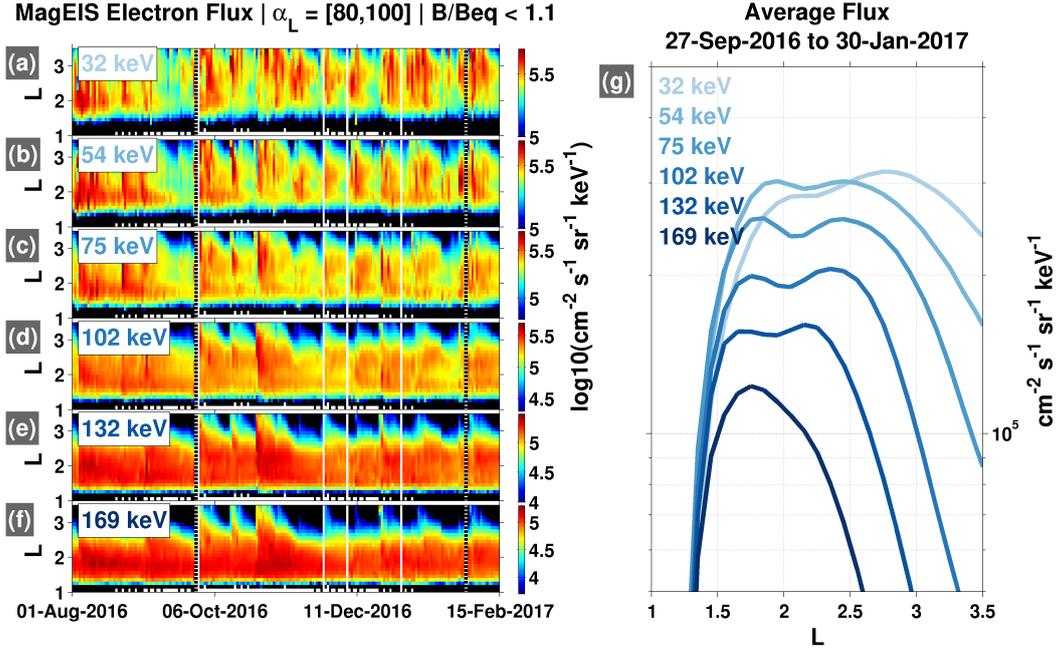
#### 4.2 Bifurcated Inner Belt at $E < 100$ keV

We now focus on the  $L$  profiles of the lifetimes at the lowest energies, 32 - 75 keV, in Figure 2b. As noted above, the electron interactions with VLF transmitter waves lead to a local minimum in the theoretical profiles between  $L = 2-3$ , the location of which clearly moves inward as energy increases. We see evidence of this local minimum in the observed lifetimes as well, in nearly the same  $L$  region and with the same energy-dependent location, though the minimum is more pronounced in the theoretical lifetimes. This latter effect may be related to source processes bringing freshly injected electrons into the low  $L$  region (e.g., Turner et al., 2017) and the fact that our empirical lifetimes are upper bounds, as noted above. As further evidence to support the claim that the VLF transmitter influence is reflected in the observed lifetimes, we demonstrate that the effect is notable in the raw flux measurements as well.

Figure 3a-f shows  $L$  versus time profiles of electron flux from  $\sim 30-200$  keV for a  $\sim 6$  month interval. In panels (a)-(d), we see evidence of a local minimum in the flux between  $L = 2-3$ , in precisely the same location predicted from our theoretical considerations of the VLF transmitter wave influence. Following electron injections/enhancements in the  $L < 3$  region, the fluxes decay rapidly near  $L = 2$  when compared with the flux evolution at adjacent (higher and lower)  $L$  shells, perhaps most clearly seen in the 75 keV panel. Moreover, the location in  $L$  of this local minimum in flux is energy dependent, moving to lower  $L$  as energy increases, again consistent with our findings from the lifetime calculations. This fact is made clear in Figure 3g, where  $L$  profiles of time-averaged fluxes are presented, with the location of the local flux minimum moving earthward with increasing energy, and disappearing entirely at  $E \gtrsim 160$  keV, consistent with the theoretical estimates shown in Figure 2. While the local minimum is small in terms of the relative flux levels, the totality of the evidence presented demonstrates that the VLF transmitter waves produce a bifurcated, two-belt inner zone morphology, with a local minimum in flux between  $L = 2-3$ .

## 5 Summary

This manuscript presents a comprehensive comparison of observed and theoretical radiation belt electron lifetimes, the first such analysis made with observations from a near-equatorial, high-altitude observational platform spanning nearly half of a solar cycle. The use of empirical lifetime estimates obtained from a statistical database of radiation belt decay events reveals the influence of a multitude of scattering processes on the radiation belts (hiss, VLF transmitter, EMIC, and Coulomb scattering). Our findings are consistent with recent work that has linked morphological features in radiation belt observations to the action of plasmaspheric hiss wave-driven pitch-angle diffusion (e.g., the “reversed” or “bump-on-tail” energy spectrum and the “wave-like” or “S-shaped” spectrum; Reeves et al. (2016); Ripoll et al. (2016); Ma et al. (2016); Zhao et al. (2019)). However, when compared with the theoretical lifetimes due to pitch-angle diffusion, it is demonstrated that hiss waves alone cannot explain all of the observed structure; EMIC



**Figure 3.** Ground-based VLF transmitter influence on electron lifetimes manifested in flux measurements, where a local minimum in flux is observed between  $L=2-3$  at low energy (30-100 keV), producing a bifurcated inner zone. (a)-(f) Low energy fluxes in  $L$  vs time format. (g) Fluxes averaged over the indicated time interval.

333 waves are demonstrated to be important at higher energy ( $\gtrsim 1$  MeV) and higher  $L$ , while  
 334 Coulomb and VLF transmitter scattering are demonstrated to be important at lower  $L$ .  
 335 We emphasize that an anthropogenic (human) influence on the inner radiation belt is  
 336 demonstrated clearly in the observations, where ground-based VLF transmitter waves  
 337 lead to enhanced scattering of  $\sim 50$  keV electrons in a narrow  $L$  region. While all of these  
 338 effects have been previously reported, the high-quality measurements used here allow us  
 339 to make truly quantitative assessments of how well our current, best-available empiri-  
 340 cal wave models capture the effects of quasilinear pitch angle diffusion in the radiation  
 341 belts. The analysis reveals that the theoretical lifetimes calculated are much longer than  
 342 the observed values in the inner regions ( $L \lesssim 3.5$ ) and indicate that something may be  
 343 missing from our current understanding of the relevant scattering processes there. Of  
 344 the known mechanisms, only lightning generated whistlers are not rigorously accounted  
 345 for in our analysis, though they are included in an ad-hoc manner. Future work will prop-  
 346 erly account for these and other waves (e.g., magnetosonic waves) and processes (e.g.,  
 347 Coulomb drag), one of which may prove to be the “missing” piece that brings the the-  
 348 ory into closer alignment with the observations.

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