

**1 Climate responses and their hemispheric differences
2 under an extreme quiet sun scenario**

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7 Key Points:

- 8** • Climate simulations under extreme quiet sun conditions reveal robust responses.
- 9** • Hemispheric differences in the interplay between dynamical and radiative processes
10 identified.
- 11** • Quantify tropospheric/surface and stratospheric responses that are similar or dif-
12 ferent.

13 **Abstract**

14 Fundamental understanding of the climate responses to solar variability is obscured
15 by the large and complex climate variability. This long-standing issue is addressed here
16 by examining climate responses under an extreme quiet sun (EQS) scenario, obtained
17 by making the sun void of all magnetic fields. It is used to drive a coupled climate model
18 with whole atmosphere and ocean components. The simulations reveal robust responses,
19 and elucidate aspects of the responses to changes of troposphere/surface forcing and strato-
20 spheric forcing that are similar and those that are different. Planetary waves (PWs) play
21 a key role in both regional climate and the mean circulation changes. Intermediate scale
22 stationary waves and regional climate respond to solar forcing changes in the troposphere
23 and stratosphere in a similar way, due to similar subtropical wind changes in the upper
24 troposphere. The patterns of these changes are similar to those found in a warming cli-
25 mate, but with opposite signs. Responses of the largest scale PW during NH and SH win-
26 ters differ, leading to hemispheric differences in the interplay between dynamical and ra-
27 diative processes. The analysis exposes remarkable general similarities between climate
28 responses in EQS simulations and those under nominal solar minimum conditions, even
29 though the latter may not always appear to be statistically significant.

30 **Plain Language Summary**

31 Understanding how climate may change under different solar conditions is both in-
32 teresting and important. However it is difficult to clearly identify solar signal from the
33 very large climate variability on broad time scales. In this study, we tackle this prob-
34 lem by providing a lower bound of the solar minimum condition according to our cur-
35 rent understanding of solar physics. By specifying this extremely low solar minimum con-
36 dition in a climate model that takes into consideration of the effects of ocean and mid-
37 dle atmosphere, we are able to identify robust climate responses, which are very differ-
38 ent between the northern and southern hemispheres. We gain an understanding of the
39 processes driving these responses, including how the lower and upper atmospheric pro-
40 cesses may enhance/offset each other. By comparing these climate responses to those
41 under nominal solar minimum conditions, we expose climate patterns that are hidden
42 under the large climate variability in the latter.

43 1 Introduction

44 The Sun is the ultimate driver of the Earth atmosphere system, and it is of great
45 interest to explore the impacts of solar variability on the atmosphere on time scales rang-
46 ing from solar flares to multiple solar cycles (Gray et al., 2010). While the solar signal
47 in the stratosphere and above is stronger with the large variability at ultraviolet (UV)
48 and shorter wavelengths (Marsh et al., 2007), it is much weaker in the troposphere and
49 at the Earth surface, with global mean surface temperature variation less than 0.1K (Gray
50 et al., 2010), consistent with the $\lesssim 0.1\%$ change of total solar irradiance (TSI) over a so-
51 lar cycle. Regional climate, on the other hand, may respond more strongly (Meehl et al.,
52 2009; Ineson et al., 2011; Gray et al., 2016), and feedback and amplification mechanisms
53 have been postulated by examining reanalysis and climate model results (Haigh, 1996;
54 Kodera & Kuroda, 2002; Kodera & Shibata, 2006; Matthes et al., 2006; Meehl et al., 2009;
55 Chiodo et al., 2012; Théblemont et al., 2015). However, the robustness of the solar sig-
56 nal in regional climate is still being debated (Chiodo et al., 2019), and it is challenging
57 to establish a clear pathway by which the solar variability can affect the regional climate,
58 and to understand climate sensitivity to solar forcing. One modeling strategy to address
59 the challenge is to increase the solar variability signal by hypothetically increasing the
60 TSI or SSI variability in the climate models (Meehl et al., 2013; Maycock et al., 2015;
61 Ineson et al., 2015), though the SSI changes employed may not be constrained by the
62 underlying solar physics. Constraint has been suggested from reconstructions of histor-
63 ical solar irradiance (e.g. during Maunder Minimum). Although reconstruction meth-
64 ods suffer from large uncertainties (Shapiro et al., 2011; Schrijver et al., 2011), they can
65 be used as scenarios for climate simulations to explore sensitivity to solar forcing. For
66 example, Spiegl and Langematz (2020) applied grand solar minimum scenarios based on
67 reconstruction by Shapiro et al. (2011) to a chemistry-climate model and identified re-
68 gional climate responses.

69 In this study, we will address this challenge by adapting a solar forcing that would
70 result from a solar photosphere without magnetic field, produced by a non-magnetic, hy-
71 drodynamic (HD) solar simulation. While such a scenario is not a likely representation
72 of a grand solar minimum, it is the most extreme quiet Sun (EQS) scenario that is pos-
73 sible within the limits set by the physics of the solar photosphere. More extreme forc-
74 ing would require deeper seated changes in the stellar structure of the Sun.

75 **2 Methods**76 **2.1 Numerical Simulation of Quiet Sun Scenarios and Irradiance**

77 Rempel (2020) performed simulations of the quiet Sun, i.e. solar granulation with
 78 a mixed polarity small-scale magnetic field, in order to quantify the sensitivity of TSI
 79 and SSI to the strength of the quiet Sun magnetic field. In these models the mixed po-
 80 larity magnetic field is maintained by a small-scale turbulent dynamo that was first stud-
 81 ied in a solar-like setup by Vögler and Schüssler (2007) and later refined by Rempel (2014,
 82 2018). In particular the latter demonstrated that the saturation field strength is depen-
 83 dent on the formulation of the bottom boundary that parametrizes the coupling of the
 84 photosphere to the deeper convection zone. Rempel (2020) took advantage of this bound-
 85 ary dependence in order to create quiet Sun models with varying field strengths. Of rel-
 86 evance to the current study are the non-magnetic, hydrodynamic (HD) reference and a
 87 current quiet sun reference (small-scale dynamo with \sim 69G unsigned vertical flux den-
 88 sity at optical depth of unity – denoted as SSD69). Rempel (2020) found a TSI sensi-
 89 tivity of about 0.14% per 10G of unsigned flux in the photosphere. This rather high TSI
 90 sensitivity implies that only a moderate change of the quiet Sun by 10% in field strength
 91 would cause a TSI variation comparable to the observed solar cycle TSI variability.

92 In addition to the TSI, Rempel (2020) also computed SSI in the 200 - 10,000 nm
 93 spectral range using Kurucz/Castelli Opacity Distribution Functions (ODFs) (see Rempel
 94 (2020) for further detail). We use from Rempel (2020) the models HD and SSD69 to de-
 95 rive the most extreme solar minimum forcing consistent with physics of the solar pho-
 96 tosphere by computing the SSI change that is expected from removing all magnetic fields
 97 in the solar photosphere. We emphasize that this is not a likely scenario for a grand so-
 98 lar minimum, and serves in this study solely as an extreme forcing, which is still con-
 99 sistent with known solar physics principles, to investigate climate response and climate
 100 sensitivity that are easily obscured by the natural climate variability. Since Rempel (2020)
 101 computed SSI only for the range from 200-10,000 nm, between 121 nm (Lyman-alpha)
 102 and 200 nm the SSI is deduced from an empirical scaling relationship. SSI from SSD69
 103 is similar to the SSI of modern solar minimum (Smin), though TSI from SSD69 is not
 104 exactly equal to the TSI of Smin that is adopted for Coupled Model Intercomparison Project
 105 Phase 5 (CMIP5) experiments (Kopp & Lean, 2011; Marsh et al., 2013). In order to make
 106 meaningful comparisons between the climate simulations, SSI values of HD and SSD69

107 are further scaled by multiplying a scaling factor, $\text{TSI}(\text{Smin})/\text{TSI}(\text{SSD69})$. With this scal-
 108 ing, the corresponding TSI for HD is 1350.08 Wm^{-2} , 0.77% lower than the nominal so-
 109 lar minimum TSI value (also the scaled SSD69 value) (1360.43 Wm^{-2}). Detailed quan-
 110 titative difference between SSI(HD) and SSI(SSD69) can be found in Rempel (2020).

111 **2.2 CESM Whole Atmosphere Community Climate Model and Numer-
 112 ical Experiments**

113 The Whole Atmosphere Community Climate Model (WACCM) is one of the at-
 114 mosphere components of the NCAR Community Earth System Model version 1.1 (CESM
 115 1.1) with its upper boundary extended to the lower thermosphere ($\sim 140 \text{ km}$). The WACCM
 116 configuration used in this study is the same as that employed for the Chemistry-Climate
 117 Model Initiative (CCMI). As described in Morgenstern et al. (2017), this version of WACCM
 118 includes chemistry packages for the troposphere and stratosphere (Tilmes et al., 2016;
 119 Wegner et al., 2013) and for the mesosphere and lower thermosphere (Marsh et al., 2013).
 120 As described in Garcia et al. (2017), this version also includes a gravity wave parame-
 121 terization scheme updated from the one used in earlier versions (Garcia et al., 2007), which
 122 leads to improved model climatology. The CESM/WACCM for this study includes the
 123 fully coupled Parallel Ocean Program (POP) ocean component (Danabasoglu et al., 2012).
 124 All WACCM simulations discussed in this study are with coupled ocean component. The
 125 horizontal resolution of WACCM for the simulation is $1.9^\circ \times 2.5^\circ$ in latitude and lon-
 126 gitude, and there are 66 vertical levels. The horizontal resolution of POP is $\sim 1^\circ$.

127 CESM/WACCM simulations are first performed under nominal solar maximum (TSI:
 128 1361.93 Wm^{-2} , referred as Smax run) and solar minimum (TSI: 1360.43 Wm^{-2} , Smin)
 129 conditions. Both sets of simulations are initialized by the same equilibrated pre-industrial
 130 control simulation, and the emission level is held constant during the 200-year simula-
 131 tion. Therefore the focus of this study is to examine responses to perpetual solar forc-
 132 ing change. The annually averaged global mean surface temperature from Smax and Smin
 133 simulations are shown in Fig. 1.

134 CESM/WACCM simulations are then performed using the SSI and TSI from the
 135 HD solar simulation, with the same initial condition as Smax and Smin, and the sim-
 136 ulation length is 200 years. In order to further discern the effects by solar heating near
 137 the Earth surface and by the ozone heating in the stratosphere, two additional simula-

138 tions have been performed: in HDVIR the SSI at wavelengths longer than 320 nm is taken
 139 from the HD SSI while at shorter wavelengths the SSI is the same as in Smin; in HDUV
 140 the SSI at wavelengths shorter than 320 nm is taken from HD SSI while at longer wave-
 141 lengths the SSI the same as in Smin. The TSI for HDVIR and HDUV are 1350.84 Wm^{-2}
 142 and 1359.76 Wm^{-2} , respectively. The initialization and length of the simulations are the
 143 same as HD.

144 A summary of the CESM/WACCM simulations with different solar forcing is pre-
 145 sented in Table 1.

146 2.3 Significance Tests

147 WACCM is intrinsically chaotic, and any difference in the initial conditions and/or
 148 the model forcing (e.g. solar and geomagnetic forcing) would lead to divergence of the
 149 simulations (Liu et al., 2009). Therefore, the later 150 years of the solar minimum (HD,
 150 HDVIR, HDUV and Smin) and 200 years of the Smax simulations are used to provide
 151 large enough sample for significance tests.

152 In this analysis, statistical tests are conducted on the null hypothesis that quan-
 153 tities from the solar minimum simulations (HD, HDVIR, HDUV, and Smin) are the same
 154 as those of Smax. Two types of significance tests have been employed: gridpoint-by-gridpoint
 155 two-sided Student T-test, and the method to control false discovery rate (FDR) described
 156 in Ventura et al. (2004). The FDR approach can control the probability of falsely reject-
 157 ing the null hypothesis for spatially correlated data to a pre-specified level (10% is used
 158 in this study). It is found that the two methods yield nearly identical test results for the
 159 large forcing cases (HD and HDVIR), and subtle differences for the weak forcing cases
 160 (HDUV and Smin), especially for latitude-height patterns of zonal mean quantities. Only
 161 test results from the FDR method are presented in the paper.

162 To further establish the robustness of the signal, significance tests have also been
 163 conducted for subsets of the solar minimum simulations by splitting the later 150 years
 164 into two 75-year groups. It is found that in all cases the results are very similar, though
 165 the magnitudes from the later 75 years are slightly larger. No results from these tests
 166 are shown in the paper, since no additional information is gained.

167 It is also noted that in our figures the stippling is applied to regions where the FDR
 168 is higher than 10% (thus the difference is not significant). This is to achieve a better vi-
 169 sualization of the signal patterns that are statistically significant.

170 **3 Results**

171 CESM/WACCM simulations have been performed under EQS conditions, under
 172 nominal solar maximum and minimum conditions, as well as with only the visible and
 173 infrared (VIR) or ultraviolet (UV) part of the SSI changed to that from the EQS con-
 174 ditions. The annual averages of the global mean surface temperature (T_s) from the Smax,
 175 HD, HDVIR, and HDUV simulations are shown in Fig. 2(a), with T_s of HD, HDVIR,
 176 and HDUV lower than Smax by 0.833, 0.79, and 0.149 K, respectively, more than the
 177 cooling of Smin (0.087 K) (all averages over the last 150 years of the simulations). T_s
 178 in all these cases show significant multi-decadal variability, though the magnitudes of the
 179 cooling in HD and HDVIR are much larger than the magnitude of the variability.

180 We first examine the sensitivity of the model climate system to the solar forcing
 181 changes, by following Gregory et al. (2004); Bacmeister et al. (2020) and calculating the
 182 global feedback parameter. This is to linearly regress the radiative imbalance to the changes
 183 of global averaged surface temperature : $\lambda = \delta\overline{T_s}/\delta\overline{R_N}$, where $R_N = R_S - R_L$, with
 184 R_S and R_L being the downward shortwave and upward longwave radiative fluxes, respec-
 185 tively. As noted by Gregory et al. (2004), this method does not require a steady state
 186 to be reached, and simulations for all 200 years are used in our calculation. From Ta-
 187 ble 1, it is seen that $\lambda(HD)$ and $\lambda(HDVIR)$ are 1.64 ± 0.17 and $1.62 \pm 0.17 \text{ Wm}^{-2}\text{K}^{-1}$
 188 respectively. With initial $R_N(HD)$ and $R_N(HDVIR)$ being 2.15 and $2.5 \text{ Wm}^{-2}\text{K}^{-1}$ re-
 189 spectively, and considering the standard deviation of R_N to be $0.48 \text{ Wm}^{-2}\text{K}^{-1}$, the ΔT_s
 190 intercepts are found to be $-1.31 \pm 0.46 \text{ K}$ and $-1.54 \pm 0.49 \text{ K}$ for HD and HDVIR respec-
 191 tively. For HDUV and Smin, the global feedback parameters are slightly larger, with larger
 192 uncertainties. The ΔT_s intercepts are found to be $-0.56 \pm 0.38 \text{ K}$ and $-0.71 \pm 0.42 \text{ K}$. The
 193 average cooling over the last 150 years of the respective simulations are less than these
 194 intercept values. The differences probably suggest that the simulations have not reached
 195 equilibrium state yet. The linear assumption and large variabilities (especially for HDUV
 196 and Smin) may also contribute to the difference. On the other hand, the global mean
 197 surface temperature changes for each unit of TSI changes in the cases of HD, HDVIR
 198 and HDUV are all around 0.07 K/Wm^{-2} , slightly larger than that from the Smin sim-

ulation (0.0578 K/Wm^{-2}). This is comparable to the values reported in previous studies (White et al., 1997; Gray et al., 2010).

The time scales of initial T_s adjustment differ in the northern hemisphere (NH, 30–90°N), southern hemisphere (SH, 30–90°S) and at low latitudes: several years in NH and about 3 decades in SH and at low latitudes (Fig. 2 (b-d)). It is also noted that T_s still trends down afterward, but at a much slower rate. This is most evident in the global mean and SH mean values. Such differences in the adjustment time scales should be taken into consideration for proper lead/lag regression analysis for solar cycle signals. This slow trend is likely related to the ocean model equilibration, which requires millennial time scale simulations, though significant adjustments are usually complete within the first few centuries. 200-year long simulations are too short for the Atlantic meridional overturning circulation (AMOC) to reach a true equilibrium state, and there are likely additional changes in SSTs associated with convective activities. However, these usually impact small spatial scale deep water formation regions. This study focuses on climate and air-sea interactions on larger scales, and the “quasi-equilibrium” climatologies from the last 150 years of the 200-year HD, HDVIR, HDUV, and Smin simulations are compared with the Smax simulations.

3.1 Surface temperature patterns in response to solar forcing changes

Global patterns of T_s differences between HD/HDVIR and Smax for northern and southern winter seasons (DJF and JJA respectively) show significant cooling throughout most of the globe (Fig. 2(e and i), (f and j)), with the most pronounced cooling found in the Arctic (-2K), over Eurasia and North America (-1 to -2K) (especially their north-east coastal regions, up to -4K), and the Antarctic (-1K) (especially its coastal region in the south Indian Ocean sector, -3K) during their respective winter seasons. In particular, sea ice growth is noted in the western Bering Sea and the Southern Ocean with the strongest cooling. The coastal cooling coincides generally with regions with the largest upward sensible heat flux (da Silva et al., 1995), suggesting strong heat loss to the air blowing from the continents, which are colder due to the reduced solar activity. The strong atmosphere cooling over the Arctic also leads to the thickening of sea-ice. This is probably associated with the Arctic amplification, where the sea-ice change plays an important role (Screen & Simmonds, 2010). The strong Arctic cooling results in brine rejection in the ocean, making the Arctic saltier and denser. The dense water finds its way

231 into the deep northern North Atlantic around 1000-m depth, and pushes the North At-
 232 lantic Deep Water (NADW) cell deeper without much change in the upper ocean or north-
 233 ward heat transport, as can be seen from Fig. 3. The AMOC from the Smax simulation
 234 is provided as a reference in the Supporting Information (Figure S1).

235 At lower latitudes, the cooling over continents is generally more pronounced than
 236 over the ocean for both seasons. A notable exception is the significant cooling (-1K) over
 237 the tropical central eastern Pacific, and this is in contrast to the previous report of trop-
 238 ical eastern Pacific cooling during peak solar years (Meehl et al., 2009), but is consis-
 239 tent with the analysis and simulation results by Misios et al. (2019); Spiegl and Lange-
 240 matz (2020). In contrast to the overall cooling, there is a distinct warm anomaly in the
 241 central North Atlantic region in HD and HDVIR (up to \sim 0.5 K during DJF). A simi-
 242 lar warm anomaly is seen in Spiegl and Langematz (2020) (the strong grand solar min-
 243 imum scenario simulation in that study).

244 Similar spatial patterns are noted in the surface temperature changes in HDUV (Fig. 2(g
 245 and k)), albeit with smaller magnitude in comparison with HD and HDVIR. Coolings
 246 of 0.6–0.8 K and \sim 0.4 K over NH continents and equatorial central eastern Pacific are
 247 one half and one third, respectively, of those in HDVIR. A prominent warm anomaly is
 248 found during JJA extending from Weddell Sea to Ross Sea. While there is no net warm-
 249 ing at that location in HD/HDVIR, probably because it is offset by the strong surface
 250 cooling, a similar zonal wavenumber 1 structure is noted. The similarities between the
 251 HDUV and HDVIR underscore responses that are enhanced by the solar forcing changes
 252 in the stratosphere and in the troposphere/surface. Similar patterns are also seen in the
 253 surface temperature difference between Smin and Smax (e.g. cooling of 0.4–0.5K over
 254 NH continents and 0.3K over central eastern Pacific).

255 While Fig. 2(e-l) show the patterns of surface temperature change with respect to
 256 the solar maximum reference, it is also helpful to examine the surface temperature changes
 257 of HDVIR and HDUV with respect to Smin. Since the UV (VIR) part of the SSI in the
 258 former (latter) case is identical to that in Smin, the comparison would elucidate surface
 259 responses to changes in VIR (UV) alone. From Fig. 4 it is seen that surface tempera-
 260 ture responses to VIR changes are very similar to those seen in Fig. 2(e-f) and (i-j), in
 261 terms of their spatial patterns, amplitudes and significance level. On the other hand, the
 262 temperature responses to UV changes are weaker. They are also weaker than those seen

263 Fig. 2(g-k). Spatial patterns of regional temperature change are similar in many places,
 264 though with smaller magnitude—thus smaller signal to noise ratio and lower significance
 265 level. For example, during DJF at 45°N the average cooling over Eurasia (0–135°) drops
 266 from 1.08K (VIR) to 0.29K (UV), and the warming over the North Atlantic (20–45°W)
 267 drops from 0.34K to 0.06K; at the equator the average cooling over central/eastern Pa-
 268 cific drops from 0.76K to 0.12K. During JJA, the cooling over the eastern part of Antarc-
 269 tic (0–90° at 80°S) decreases from 1.1K (VIR) to 0.22K (UV). There are also several re-
 270 gions where the responses are notably different: regions in North America poleward of
 271 45°N during DJF and over Southern Ocean during JJA. Over the Weddell Sea at 80°S
 272 a cooling of 0.49K (VIR) changes to warming of 0.49K (UV). It is quite remarkable that
 273 the responses in HD are linearly additive of the VIR and UV responses in most of these
 274 regions, even though the latter may not appear to be statistically significant. Further-
 275 more, it is seen that the regional surface temperature responses to UV change is 15–30%
 276 of those to VIR change at places where the responses are similar. For comparison, the
 277 global mean surface temperature difference between UV and Smin (0.06K, Table 1) is
 278 8.6% of the difference between VIR and Smin (0.7K).

279 3.2 Regional changes of tropospheric winds and air-sea interaction

280 Surface and regional climate changes are closely associated with tropospheric winds,
 281 which are found to respond significantly to solar forcing changes (Fig. 5). At 300 hPa,
 282 the meridional wind changes ($\pm 2 \text{ ms}^{-1}$) during boreal winter display a robust pattern
 283 in the NH that is remarkably similar (with opposite signs) to the intermediate scale sta-
 284 tionary wave changes in response to a warming climate (Simpson et al., 2016; Wills et
 285 al., 2019). This reflects perturbations to the circumglobal teleconnection pattern and is
 286 caused mainly by the weakening of the eastward subtropical upper tropospheric wind
 287 (by about 2 ms^{-1} below tropopause between 20–40°N, Fig. 7) (Branstator, 2002; Simp-
 288 son et al., 2016), which alters the dominant length scale of stationary waves that are sup-
 289 ported by the subtropical wave guide. The slower zonal wind also leads to the decreases
 290 of the propagation speed of the PWs, and is likely responsible for the equatorward shift
 291 of large-scale PWs. Similar stationary wave patterns—most prominently the wind per-
 292 turbations extending from Southwest North America (southward phase), Mexico (north-
 293 ward phase), Gulf of Mexico (southward phase), and north Brazil/Atlantic Ocean (north-
 294 ward phase)—are seen in all four solar minimum simulations (Supporting Information Fig-

ure S2(i-p)). By comparing the Smin and HD results, therefore, we can identify regional climate responses that may not appear statistically significant in the former. For example, regional cooling by equatorward winds over Europe, Middle East, East Asia, western North America, southern Africa, and South America are likely robust features during solar minimum (Fig. 2(h and l), Supporting Information Figure S2(l and p)).

In addition to the regional changes over major continents, northward/eastward wind anomaly is seen over the North Atlantic at both 300 hPa and 850 hPa, most significant during boreal winter (Fig. 5). This change enhances the north-eastward ocean circulation, thus causes the prominent warming of the central North Atlantic Ocean as seen in surface temperature (Fig. 2). It is also seen that the trade wind over equatorial Pacific (from $\sim 150^{\circ}\text{E}$ to the west coast of South America) is enhanced (2 ms^{-1}). Along with the enhancement of eastward wind at 300 hPa, it suggests an enhancement of the Walker circulation, leading to cooling over the tropical eastern Pacific (Fig. 2). This is a robust feature seen in all cases—with a cooling of 1K (HD and HDUV) and 0.2K (HDUV and Smin) extending from 170°E to the west coast of South America during DJF—again suggesting a stronger response from the superposition of similar troposphere/surface and stratospheric responses. This change is consistent with the recent finding of a slower Walker circulation at solar maximum (Misios et al., 2019). It also contrasts the finding by Meehl et al. (2009), which might result from a sampling issue (Misios et al., 2019).

During austral winter (and spring), the strongest zonal wind deceleration can extend down to the surface at mid to high latitudes (Fig. 6). At 54°S this is most significant around 0° and 45°W in HD and HDUV respectively. Changes with similar longitude-height structures are found in all four solar minimum cases, with varying levels of significance. The westward wind anomaly near the surface induces a poleward Ekman transport and thus a warm anomaly around Weddell Sea. This is most evident in HDUV case, both because of the significant westward wind change and the lack of strong surface cooling (Figs. 2 (k) and 4(d)).

3.3 Troposphere and stratosphere coupling and its hemispheric differences

As already alluded to above, the tropospheric changes are caused by atmosphere circulation changes in the troposphere and above in response to solar forcing changes.

326 From Fig. 7(a and c) (and Supporting Information Figure S3 a and c), it is seen that the
 327 subtropical zonal wind in the upper troposphere and stratosphere (most prominently in
 328 the winter hemisphere) weakens due to the reduction of diabatic heating during both DJF
 329 and JJA. Wind changes with similar magnitudes ($1.5\text{--}2\text{ms}^{-1}$) occur in HDVIR (Support-
 330 ing Information Figure S3 e and g). Weakening of the subtropical wind (up to 30° lat-
 331 itude in the winter hemisphere, due to the poleward shift of the wind system) at the up-
 332 per troposphere is seen in HDUV and Smin (Supporting Information Figure S3 i/k and
 333 m/o), though the changes are weaker ($\sim 0.5 \text{ ms}^{-1}$) and not statistically significant. These
 334 are consistent with the weaker changes of intermediate scale stationary waves seen in HDUV
 335 and Smin (Figure S2).

336 The winter (and also spring time) stratospheric wind changes at mid to high lat-
 337 itudes differ significantly between the two hemispheres, with a weak increase (not sta-
 338 tistically significant) in the NH and a significant decrease (up to -4 ms^{-1}) in the SH. The
 339 former is in apparent contrast to the dynamical responses expected for solar minimum
 340 conditions when stratospheric differential heating is reduced and zonal forcing by plan-
 341 etary wave (PW) increases (Kodera & Kuroda, 2002). Further examination of monthly
 342 differences shows that the weakening of winter stratospheric wind and its poleward and
 343 downward shift from early to late winter, as expected from Kodera and Kuroda (2002)
 344 and Ineson et al. (2011), are seen in HDUV in both hemispheres and in HD and HDVIR
 345 only in the SH (Supporting Information Figure S4), suggesting differences in PW responses
 346 to solar forcing changes in the troposphere and in the stratosphere. Hemispheric differ-
 347 ence is also seen in the thermal response to solar forcing (From Fig. 7(b and d)). Apart
 348 from the general cooling in these simulations expected from reduced solar forcing, there
 349 is a warming in the lower stratosphere and upper troposphere in the SH winter that be-
 350 comes statistically significant around the tropopause, with a peak of 0.3K. On the other
 351 hand, no significant warming is seen in the NH winter.

352 These hemispheric differences stem from different PW responses in the two hemi-
 353 spheres (Fig. 7(e-h)). The vertical component of Eliassen-Palm flux (EP_z) shifts equa-
 354 torward in the troposphere and decreases in the stratosphere (albeit not statistically sig-
 355 nificant due to the large wave variability during boreal winter) in the NH, and correspond-
 356 ingly the westward forcing by the PWs weakens in the stratosphere. The SH changes are
 357 the opposite, with both EP_z and wave forcing increasing significantly. This is also seen
 358 from the longitudinal and height structures of the meridional wind and temperature (Sup-

porting Information Figure S5), with the wind and temperature changes becoming increasingly out of phase with the climatological zonal wavenumber 1 perturbations in the NH above 30hPa, while increasingly in phase in the SH. It is found that PW with zonal wavenumber 1 accounts for most of the hemispheric differences at mid to high latitudes (Fig. 8 and Supporting Information Figure S2 (a-h)): it decreases during DJF in NH and increases during JJA and even more significantly in SON in SH in the troposphere and stratosphere.

The decrease during boreal winter is consistent with wave 1 increase during a warming climate, which is found to be affected by the subtropical wind and zonally asymmetric diabatic heating changes (Wang & Kushner, 2011). On the other hand, this decrease is likely offset by the weakening of winter stratospheric wind at mid-high latitudes, which tends to increase EP_z of wave 1 (Kodera & Kuroda, 2002). Since the subtropical wind changes are similar between the two hemispheres, the wave 1 increase in SH should result mostly from changes of tropospheric wave sources, and the superposition leads to significant weakening of the winter stratospheric wind. There has not been previous studies specifically on the change of wave 1 in SH, but it is evidenced in Joseph et al. (2004). That study suggested that the forcing from transients tends to enhance (weaken) wave 1 at high northern (southern) latitudes during winter in a warming climate (thus the opposite in a cooling scenario). In contrast to wave 1, PWs with wavenumber 2–4 and 6 increase during both hemispheric winters, and the increase of wave 2-3 extends into the stratosphere.

Differences in responses to tropospheric/surface forcing change and to stratospheric forcing change can be further elucidated by comparing HD, HDVIR, and HDUV with Smin simulations for all four seasons (Fig. 9). For DJF, the NH EP_z responses to tropospheric/surface forcing (HDVIR) and stratospheric forcing (HDTV) are the opposite in the middle/upper stratosphere and high latitudes, with a decrease in the former and increase in the latter. The response in HD is weaker and is a superposition of the two: a decrease in the upper stratosphere and increase lower down. None of these changes are statistically significant. No remarkable changes are seen in either hemisphere during MAM. During JJA, the SH EP_z increase becomes quite large throughout the stratosphere at higher latitudes, though still not statistically significant. However, it shows a small increase in HDVIR and a small decrease in HDTV, which are apparently not linearly additive in comparison to the changes of HD. This is likely due to small signal to noise ra-

392 tio during JJA in these cases. On the other hand, large ($\sim 20\%$) and statistically signif-
 393 icant changes are seen in HD during SON throughout the southern stratosphere at mid
 394 to high latitudes. Correspondingly, rather large (though not statistically significant) EP_z
 395 increases are seen in both HDVIR and HDUV ($\sim 5\%$ and 10% , respectively). Further-
 396 more, the EP_z increases in HD are larger than the sum of EP_z changes in HDVIR and
 397 HDUV for both JJA and SON. This suggests that a positive feedback between the tro-
 398 pospheric and stratospheric responses to the solar forcing changes.

399 These comparisons show that EP_z responses in the stratosphere to tropospheric/surface
 400 forcing change are opposite for hemispheric winters (extending into spring time for SH):
 401 decrease in NH and increase in the SH. On the other hand, the responses to stratospheric
 402 forcing change are similar: increase in both hemispheres at higher latitudes. This response
 403 to stratospheric forcing change is consistent with the postulation by Kodera and Kuroda
 404 (2002). Therefore, the tropospheric/surface forcing and stratospheric forcing are offset-
 405 ting during boreal winter and becomes stronger during austral winter and spring. Sim-
 406 ilar changes are seen when comparing HD and Smax (Figs. 7 (e and g)), and HDVIR,
 407 HDUV, and Smin with Smax (Supporting Information Figure S6) with different levels
 408 of statistical significance. The seasonal/hemispheric variation is also consistent with that
 409 seen in wave 1 amplitude changes (Fig. 8).

410 The PW differences lead to differences in the interplay between dynamical and ra-
 411 diative forcing during hemispheric winters. The decrease of PW forcing in the NH leads
 412 to stronger stratospheric winter jet, weaker Brewer-Dobson (BD) circulation, and less
 413 adiabatic warming (cooling) in the polar (equatorial) tropopause/stratosphere. The dy-
 414 namical and radiative effects thus offset each other in the boreal winter stratosphere for
 415 zonal wind change, but lead to stronger cooling, as reflected in the magnitude and sig-
 416 nificance levels of the change. This is exactly the opposite during austral winter, with
 417 stronger dynamical/radiative effects in decelerating the zonal wind but offsetting in ther-
 418 mal forcing. These processes and the hemispheric differences are summarized in Table
 419 2.

420 4 Summary and Conclusion

421 While the EQS simulations show stronger climate responses than Smin, they still
 422 display remarkable general similarities, including the surface temperature, zonal mean

423 states, wave fluxes and structures, and regional climate. Such similarities under differ-
424 ent solar minimum conditions highlight the robust responses of the climate system to
425 solar forcing change. Robustness of the responses is further established by applying rig-
426 orous significance test in our analysis.

427 Solar radiative heating changes in the troposphere and stratosphere both lead to
428 subtropical wind changes that alter the intermediate scale stationary waves and regional
429 climate in the troposphere in a similar way. The patterns of these changes are also sim-
430 ilar to those found in a warming climate, but with opposite signs. Control simulations
431 by only altering part of SSI discern the responses to changes in troposphere/surface forc-
432 ing and to stratospheric forcing: solar VIR minimum causes PW wave 1 decrease in bo-
433 real winter and wave 1 increase in austral winter, while UV minimum tends to increase
434 PW 1 at high latitudes in the stratosphere during both winters. The magnitude of the
435 former change is larger than the latter, and is responsible for the hemispheric differences
436 of the climate responses.

437 The responses may not appear significant based on statistical sampling when the
438 solar forcing change is nominal, but those in EQS simulations are significant and unam-
439 biguous. This study suggests the possibility of checking the physical significance of the
440 former by comparing to the latter. Therefore, climate simulations under EQS conditions
441 provides a means in exposing the patterns hidden under the large climate variability. Fur-
442 thermore, comparisons of HD, HDVIR and HDUV simulations shed light on the under-
443 lying mechanisms and elucidate processes where the solar forcing changes in troposphere/surface
444 and stratosphere are similar or different. With cooling in both troposphere and middle
445 atmosphere, the EQS simulations also provide a forcing scenario that contrasts with a
446 warming climate, (warming in the troposphere and cooling above).

Figure 1: Annually averaged global mean surface temperature from simulations under nominal solar maximum (Smax, black line) and solar minimum (Smin, orange line) conditions.

Figure 2: Annually averaged mean surface temperature over (a) the whole globe, (b) northern hemisphere (30°N to the North Pole), (c) tropical region (30°S to 30°N), (d) southern hemisphere (30°S to the South Pole) from Smax (black), HD (blue), HDVIR (orange), and HDUV (red) simulations. Average surface temperature differences (color contours) between years 50-200 of HD, HDVIR, HDUV, and Smin and Smax simulations for DJF (e-h, respectively) and JJA (i-l, respectively). Contour lines are mean temperature from Smax simulations. Unstippled regions are differences that are statistically significant at the 95% level from Student t-test. The white scale in (e-h) corresponds to the averages of the global mean surface temperature change for these cases: cooling by 0.833, 0.79, 0.149, and 0.087K in HD, HDVIR, HDUV, and Smin respectively in comparison with Smax.

Figure 3: Differences of (a) salinity (unit: practical salinity unit, psu) and (b) Atlantic meridional overturning circulation (AMOC) (unit: Sv.) between years 50 to 200 of HD simulations and Smax simulations.

Figure 4: (a-b) Similar to Fig. 2 f and g, but with respect to Smin. (c-d) Similar to Fig. 2 j and k.

Figure 5: Differences of average (a) meridional wind and (b) zonal wind between 50–200 year of HD and Smax simulations at 300 hPa for boreal winter (DJF). Line contours are average winds (in a, solid: northward (a) and eastward (b), contour intervals: 2ms^{-1} (a) and 2ms^{-1} (b)) from Smax simulations. (c-d): Similar to (a-b), but for 850 hPa.

Figure 6: Differences of average zonal wind (color contours) between 50–200 year of (a) HD, (b) HDVIR, (c) HDUV and (d) Smin and Smax simulations at 54°S for austral winter (JJA). Line contours are average zonal wind from Smax simulations (solid: eastward, contour intervals: 5ms^{-1}).

Figure 7: Differences of average zonal mean zonal wind (color contours) between 50–200 year of HD and Smax simulations for (a) DJF and (c) JJA. Line contours are average zonal mean zonal wind from Smax simulations (contour intervals: 15 ms^{-1}). (b) and (d): similar to (a) and (c) but for average zonal mean temperature differences (color contour and grey line contours for differences less than 1K, with 0.25 K intervals). Line contours are average zonal mean temperature from Smax simulations (contour intervals: 10 K). (e) and (g): similar to (a) and (c) but for average vertical EP flux component differences. The EP flux (unit: Pa m) is normalized by $p^{0.75}$ (p : atmosphere pressure) to better visualize the change at all altitudes (color contour). Line contours are average normalized vertical EP flux component from Smax simulations (contour intervals: 10×10^2). (f) and (h): similar to (a) and (c) but for average EP flux divergence differences (color contour). Line contours are average EP flux divergence from Smax simulations (contour intervals: $1 \text{ ms}^{-1}\text{d}^{-1}$).

Figure 8: Differences of zonal wavenumber 1 amplitude of geopotential height between 50–200 year of HD and Smax simulations for (a) DJF, (b) MAM, (c) JJA and (d) SON (color contour). Line contours are average wave 1 amplitude from Smax simulations (contour intervals: 50 m).

Figure 9: Upper panel: Differences of average vertical EP flux component between 50–200 year of HD and Smin simulations for DJF, MAM, JJA and SON. Middle panel: Similar to upper panel, but for HDVIR and Smin. Lower panel: Similar to upper panel, but for HDUV and Smin. The EP flux (unit: Pa m) is normalized by $p^{0.75}$ (p : atmosphere pressure) to better visualize the change at all altitudes (color contour). Line contours are average normalized vertical EP flux component from Smin simulations (contour intervals: 10×10^2).

Table 1: CESM/WACCM simulations and the solar forcing used, the corresponding total solar irradiance (TSI), the global mean surface temperature (T_s) averaged over the whole simulation period (Smax) and the last 150 years of the simulations (HD, HDVIR, HDUV, and Smin), and the global feedback parameter based on all 200 years of simulations.

Table 2: Summary of the changes of stationary planetary wave 1 (PW1), mean temperature (T), and mean zonal wind (U) in the winter stratosphere of the northern and southern hemispheres (NH/SH) due to changes of direct radiative forcing and dynamical forcing. BD refers to Brewer-Dobson circulation, which is primarily driven by the planetary wave and causes adiabatic warming in the winter stratosphere.

447 Open Research

448 CESM is a community model and is available for download ([https://www.cesm](https://www.cesm.ucar.edu/models/cesm1.1/index.html)
 449 .ucar.edu/models/cesm1.1/index.html). CESM model outputs are served through
 450 the Climate Data Gateway (<https://www.earthsystemgrid.org/>).

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Figure 1.

Global mean TS_ann

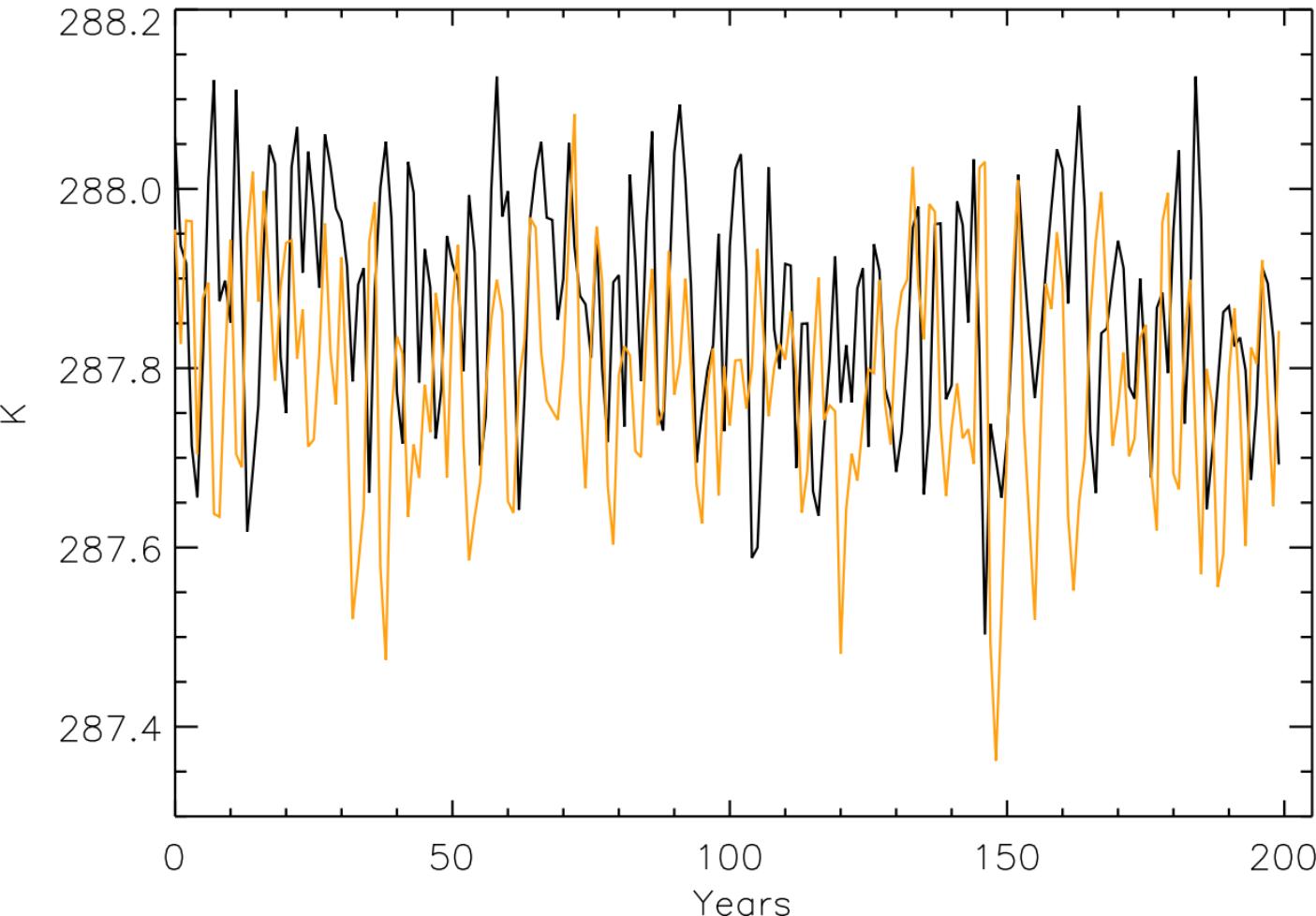


Figure 2.

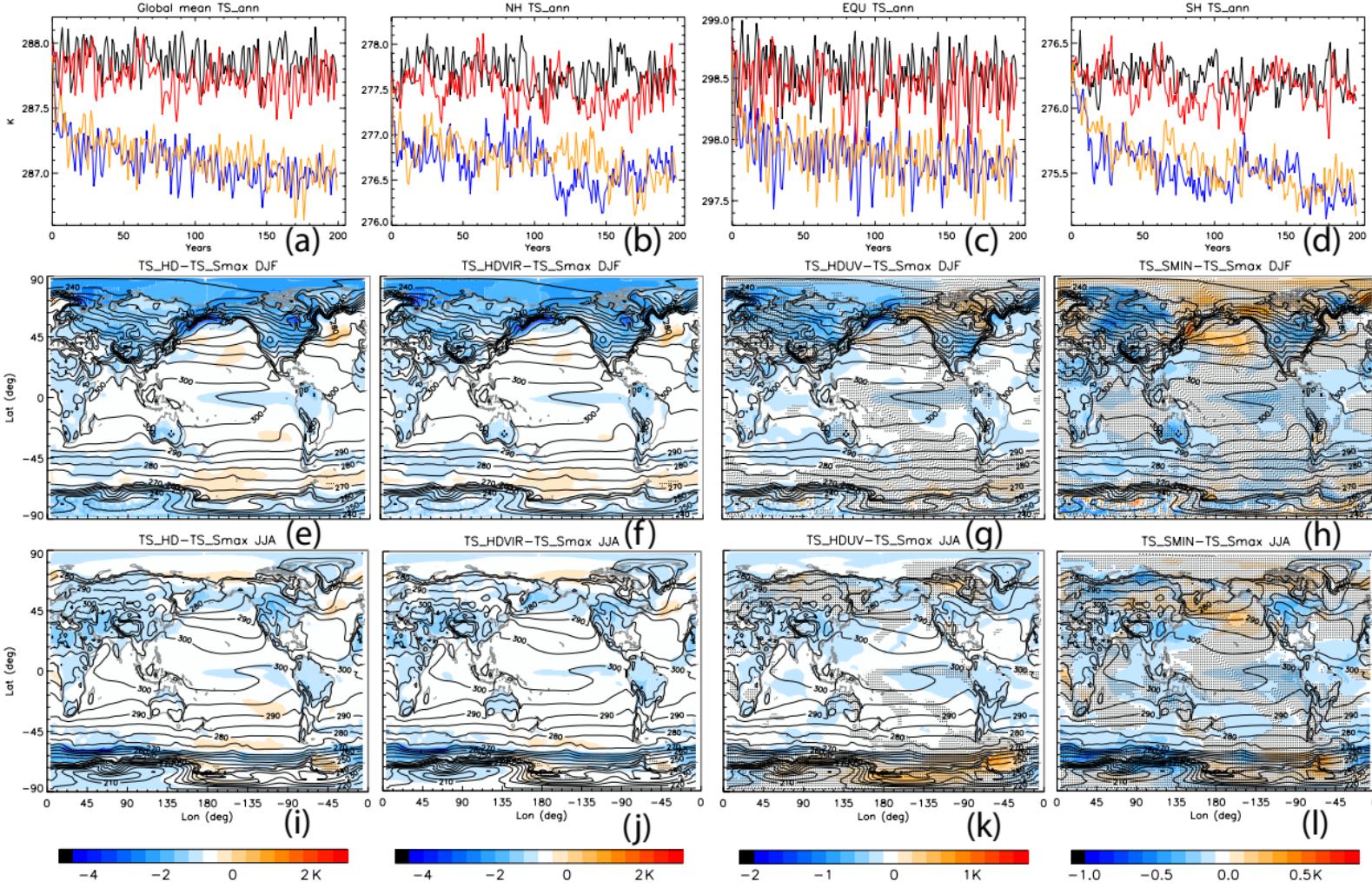
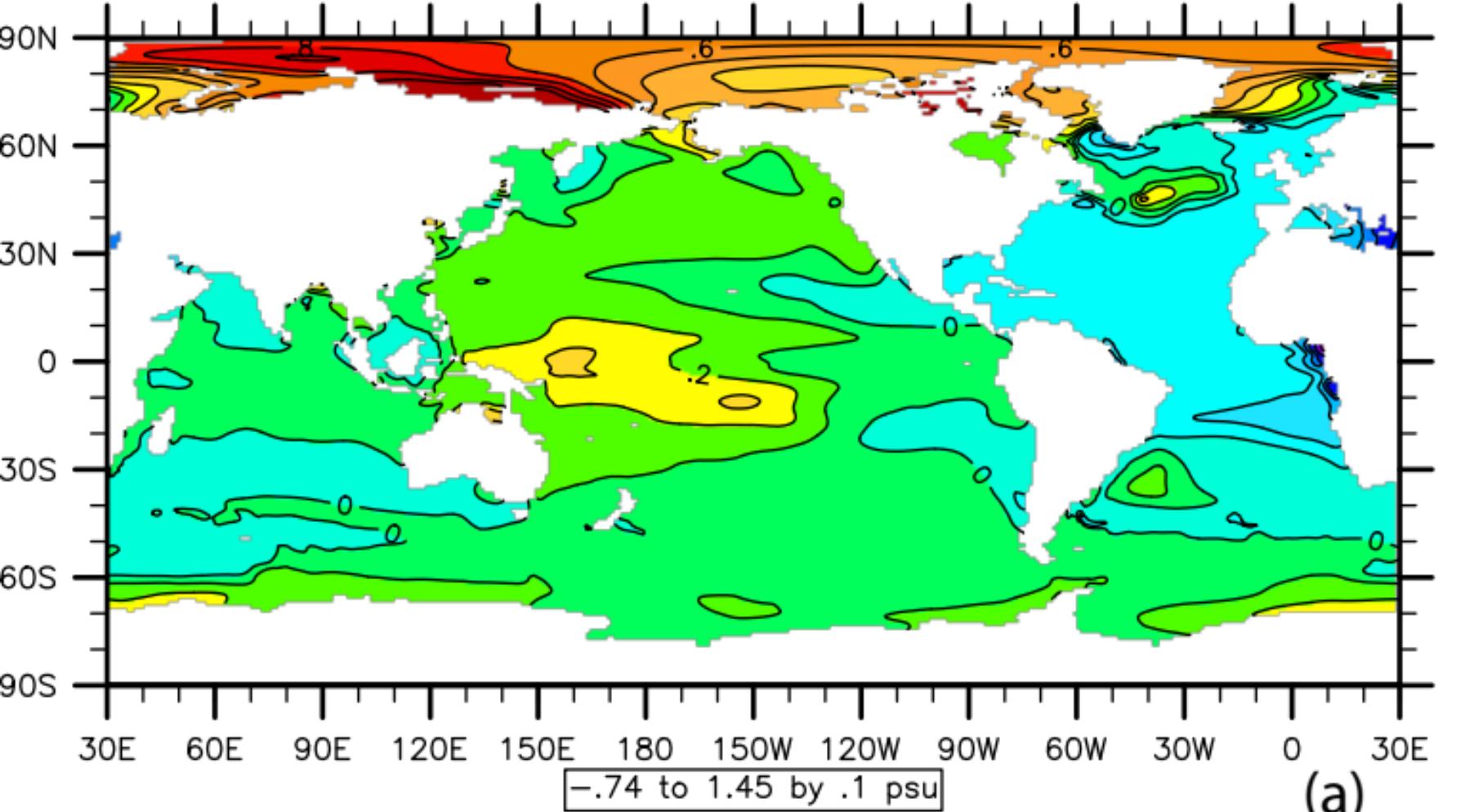
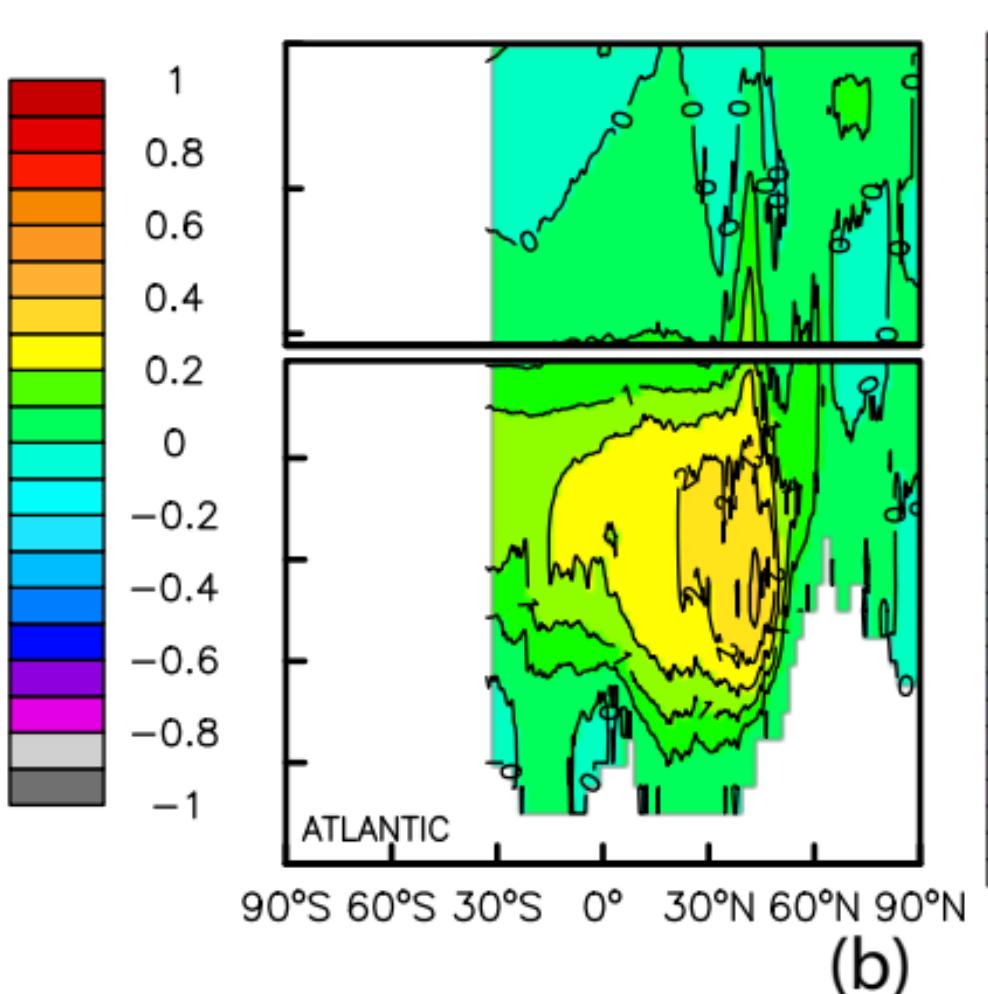


Figure 3.



(a)



(b)

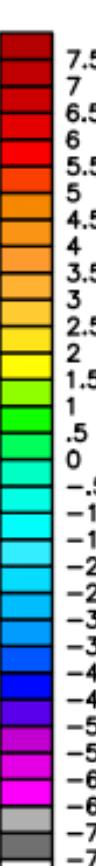


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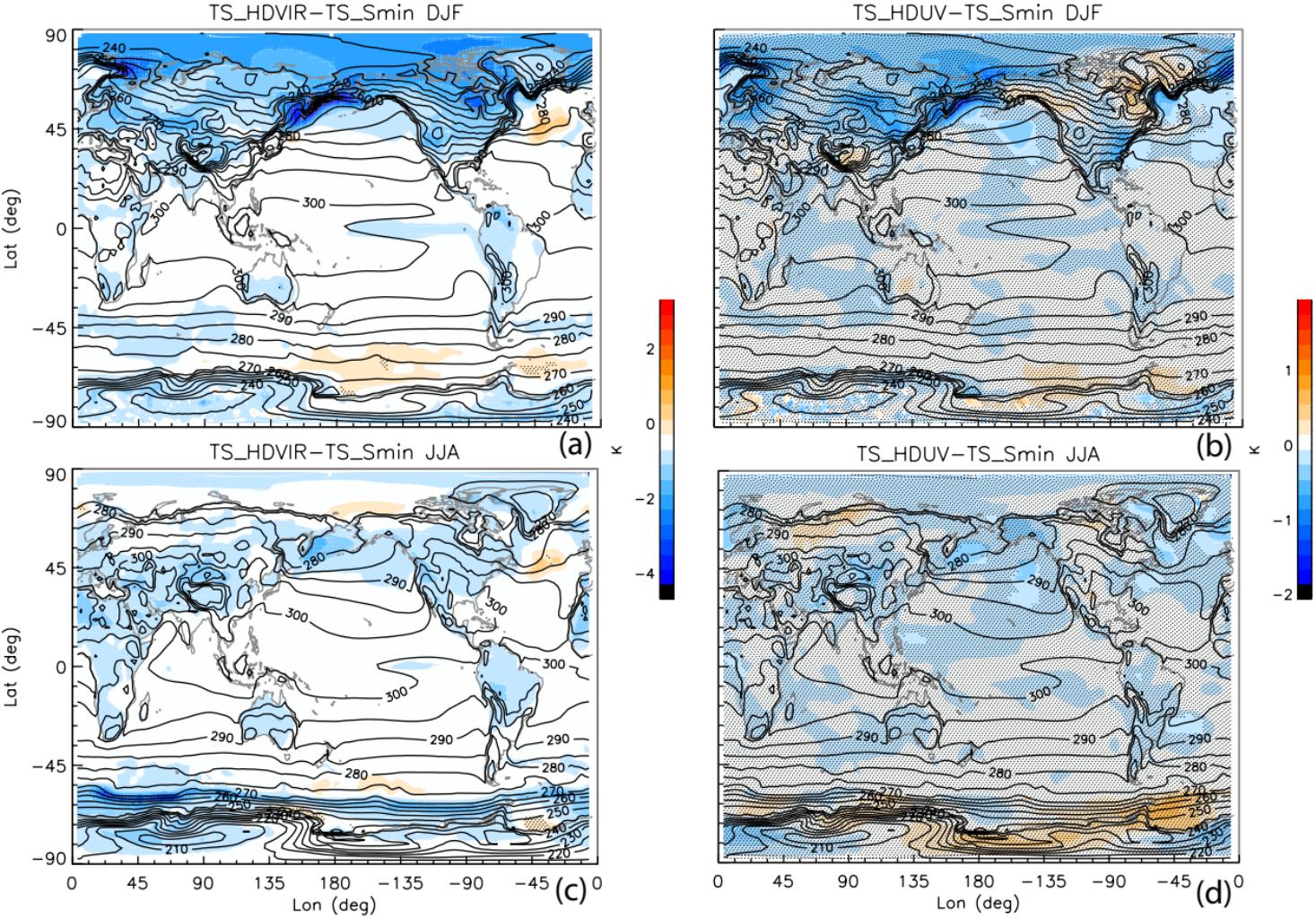


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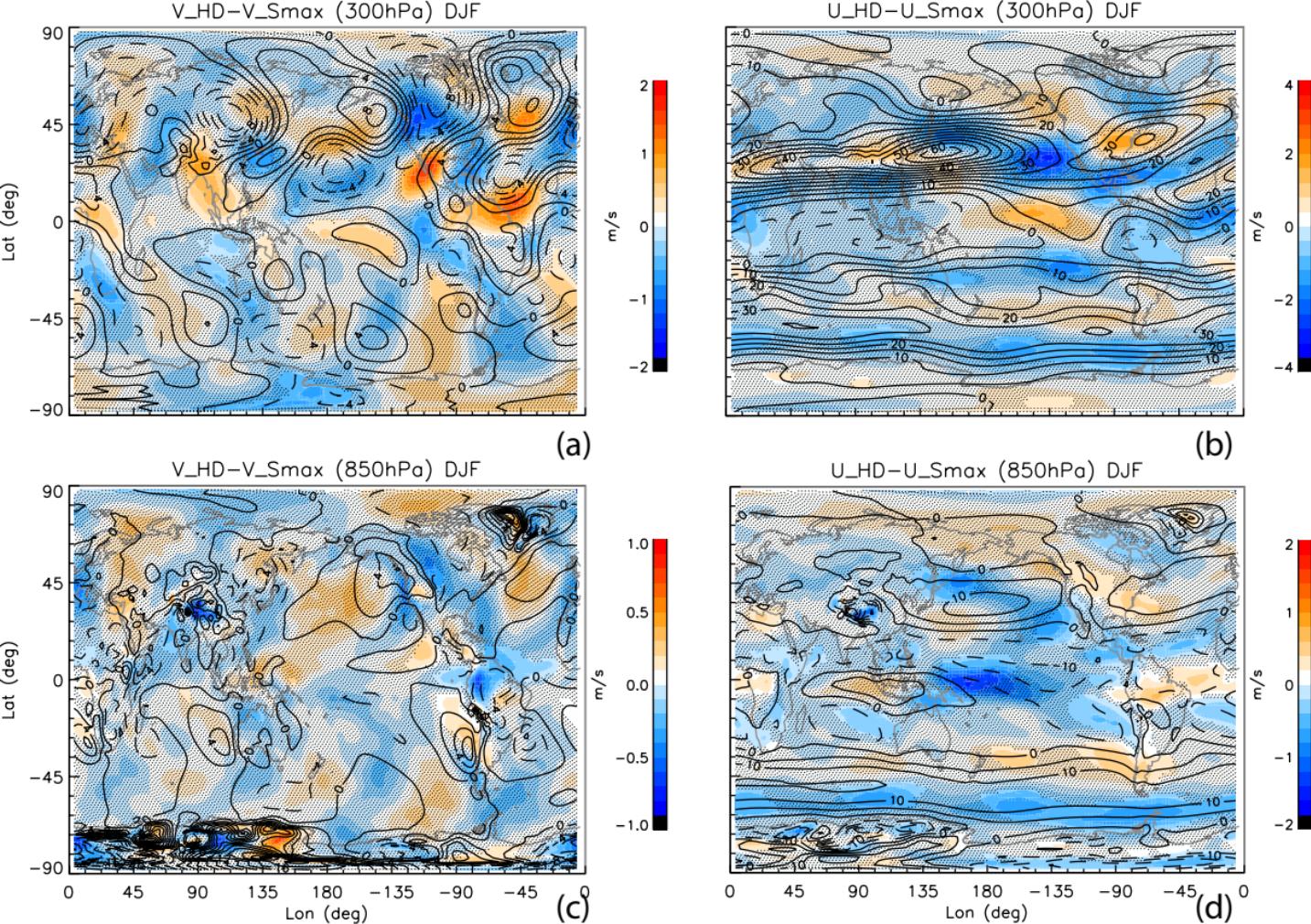


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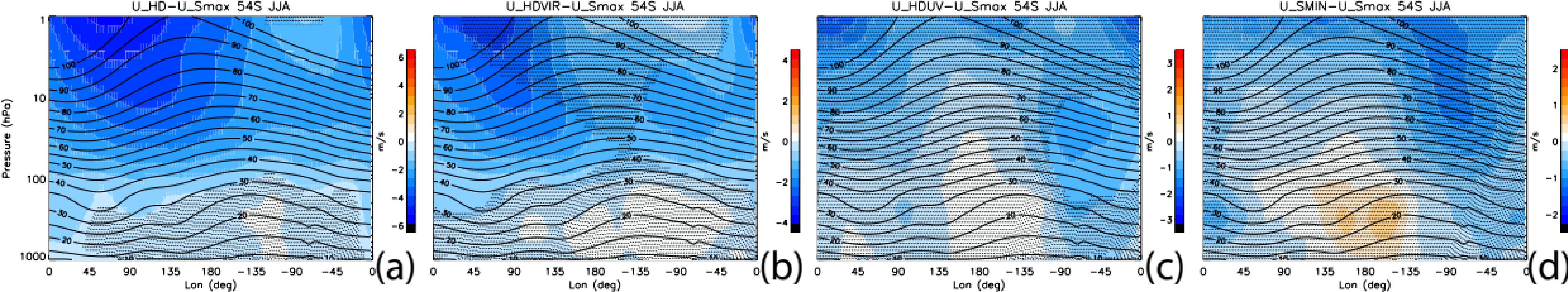


Figure 7.

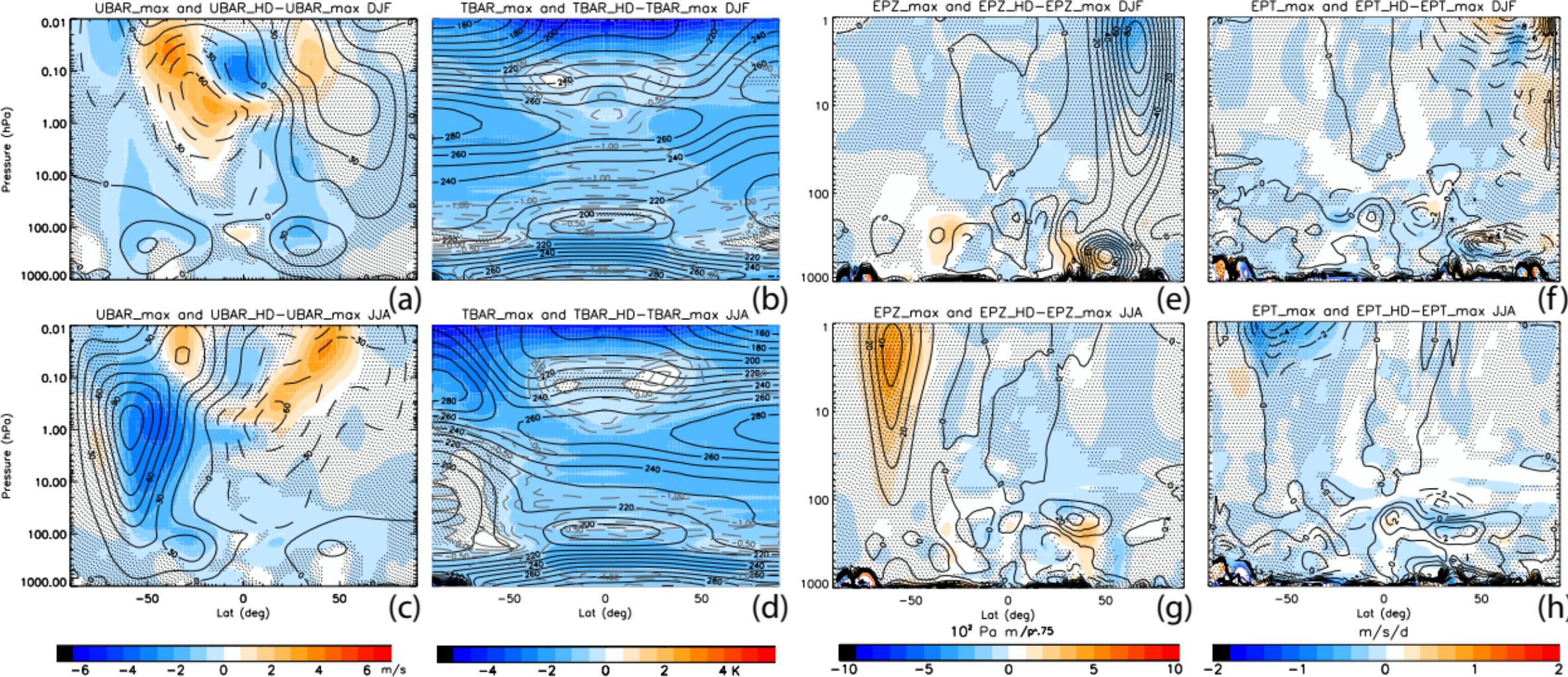


Figure 8.

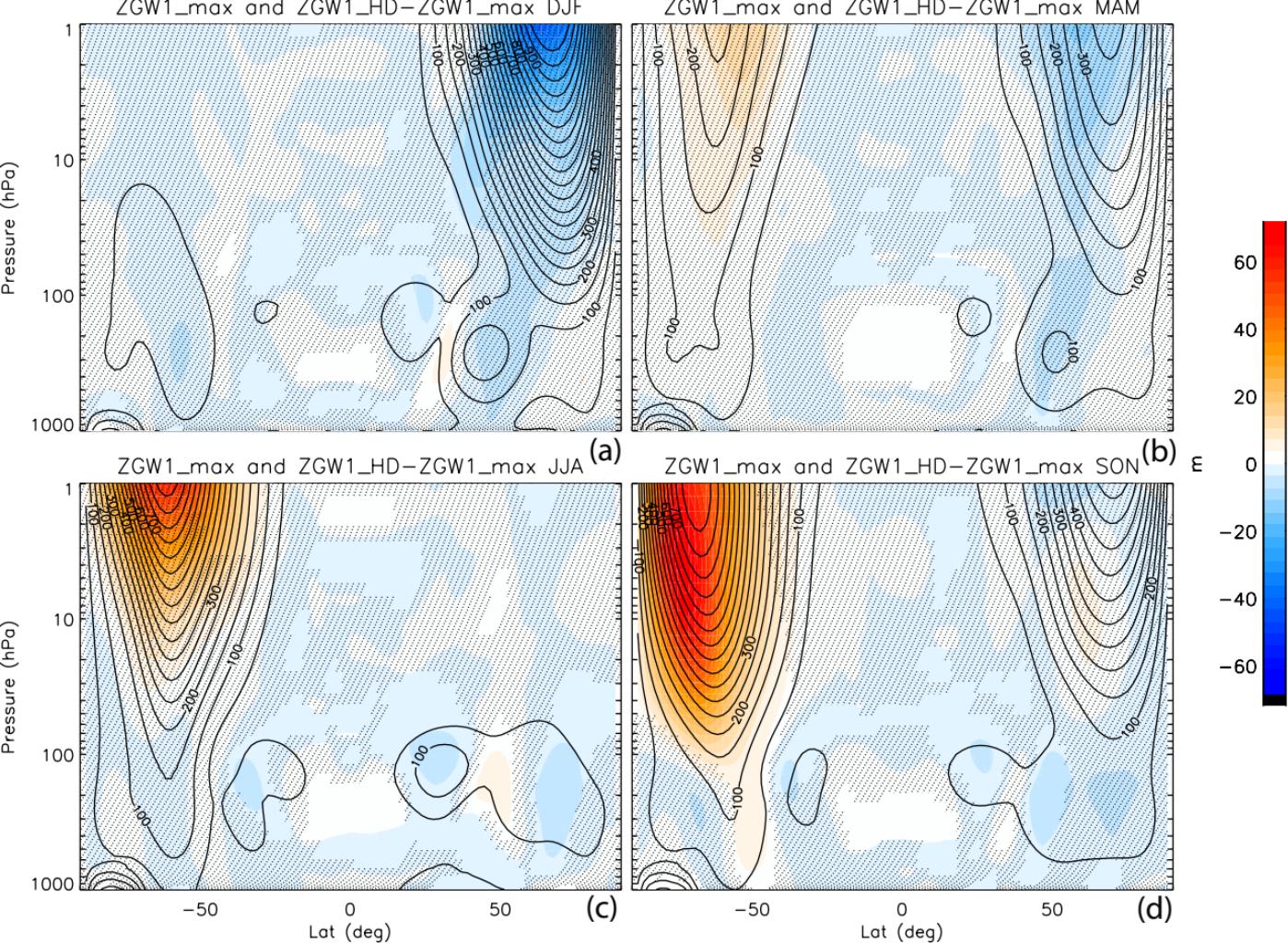
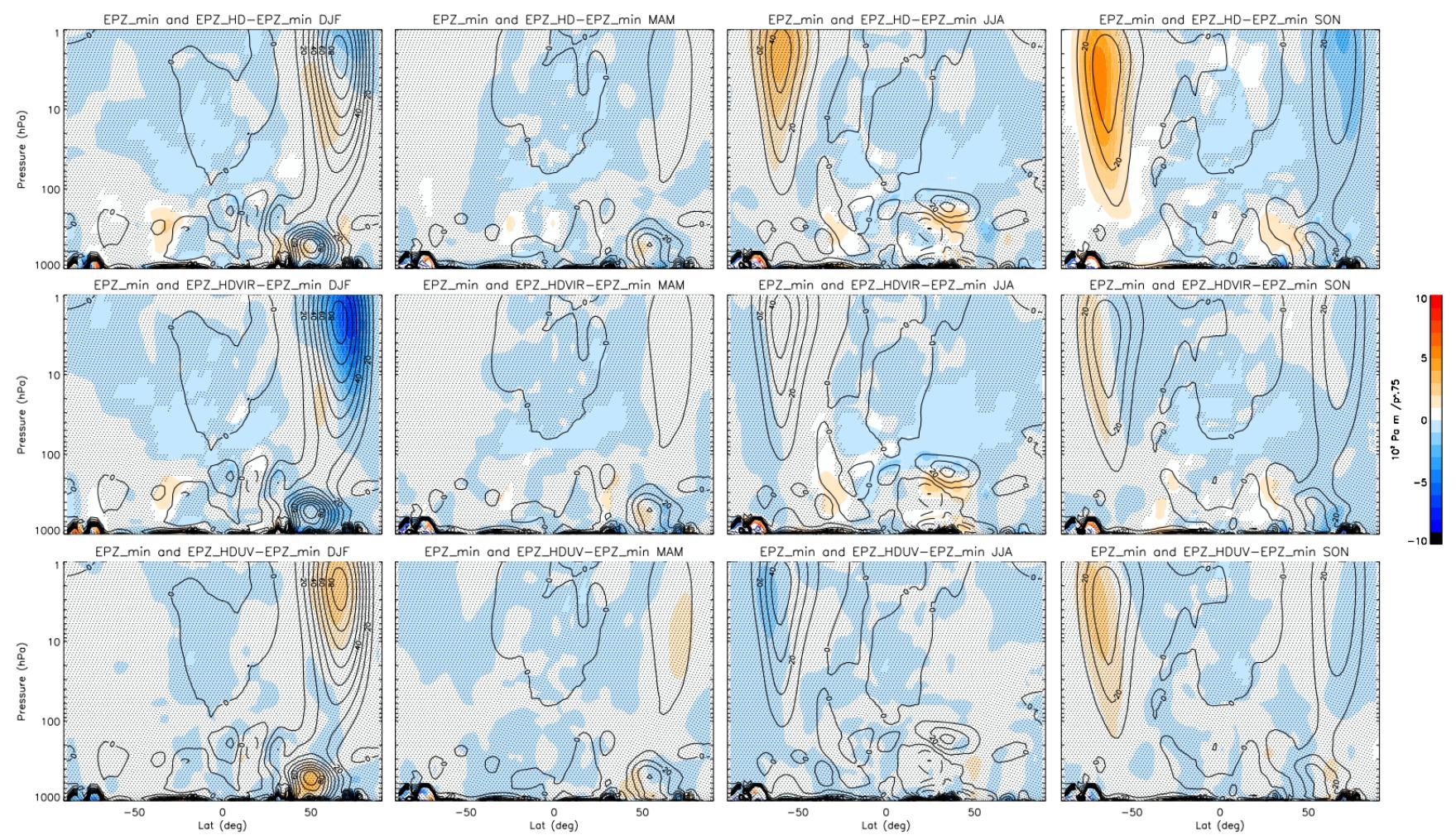


Figure 9.



Solar Forcing Used for Driving CESM/ WACCM Simulations	Nominal solar maximum (Smax)	Nominal solar minimum (Smin)	Non-magnetic, hydrodynamic (HD) reference	SSI(Smin) ($\lambda \leq 320\text{nm}$) + SSI(HD) ($\lambda > 320\text{nm}$) (HDVIR)	SSI(HD) ($\lambda \leq 320\text{nm}$) + SSI(Smin) ($\lambda > 320\text{nm}$) (HDUV)
TSI (Wm^{-2})	1361.93	1360.43	1350.08	1350.84	1359.76
Ts (K)	287.87	287.78	287.04	287.08	287.72
Global feedback parameter ($\text{Wm}^{-2}\text{K}^{-1}$)		1.68 (0.22)	1.64 (0.17)	1.62 (0.17)	1.75 (0.22)

	PW1(NH)	T(NH)	U(NH)	PW1(SH)	T(SH)	U(NH)
Radiative		Cooling	Slower		Cooling	Slower
Dynamical	Weaker/ Weaker BD	Cooling	Faster	Stronger/ Stronger BD	Warming	Slower
Net		Strong Cooling	Variable		Variable	Much Slower