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1 **Application of an improved vegetation index from the visible spectrum in the**
2 **diagnosis of degraded pastures: Implications for development**

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23 **ABSTRACT**

24 Inadequate pasture management causes land degradation and negative impacts on the socio-
25 economic development of agricultural regions. Given the importance for Brazil and the World of
26 pasture-based livestock production, the recognition of pasture degradation is essential. The use of
27 remote sensing satellite systems to detect degraded pastures increased in the recent past, because of
28 their capability to survey large portions of Earth's surface. A struggle nowadays is to improve
29 detection accuracy and to implement high-resolution surveys at farmland scale using unmanned
30 aerial vehicles (UAVs). The satellite sensors capture reflectance from the visible spectrum and near
31 infrared bands, which allows estimating plant's vigor vegetation indices. The NDVI is a widely
32 accepted index, but to generate an NDVI map using a UAV a relatively high-cost multispectral
33 sensor is required, while most UAVs are equipped with low-cost RGB cameras. In the present
34 study, a script developed on the Google Earth Engine image-processing platform manipulated
35 images from the Landsat 8 satellite, and compared the performances of NDVI and an improved
36 color index that we coined "Total Brightness Quotient" of red (TBQR), green (TBQG) and blue
37 (TBQB) bands. An efficient detection of pasture degradation using the TBQs would be a good
38 prognosis for the surveys at farm scale where environmental authorities are progressively using
39 UAVs and forcing landowners towards pasture restoration. When compared to NDVI, the TBQG
40 showed a correlation of 0.965 and an accuracy of 88.63%. Thus, the TBQG proved as efficient as
41 the NDVI in the diagnosis of degraded pastures.

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43 *Keywords:* Remote sensing; Unmanned aerial vehicles; Google Earth Engine; Total Brightness
44 Quotient; NDVI; Pasture degradation

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45 **1. INTRODUCTION**

46 The nutrients required by animals are 90% obtained from pastures (Euclides et al., 2010).
47 Notwithstanding the importance of pastures for feeding, around 108 million hectares of existing
48 fenced pastures in Brazil are degraded or in degradation (Embrapa, 2014; Embrapa Territorial,
49 2018), which means 60% of pastureland in this country.

50 Pasture degradation is a slow gradual process. It reduces plant vigor and hence the capacity of
51 forage plants to sustain the production and quality demanded by the animals. It also weakens the
52 resilience to pests, diseases and the invasion by non-palatable species (Zhumanova et al., 2018),
53 which increases the chances of advanced degradation (Zimmer et al., 2012). A possible cause of
54 pasture degradation is the lack of conservation practices (Rocha Junior et al., 2016), namely
55 adjustment of grazing rates (Dias, 2014; Fernandes et al., 2018; Postel, 1998), and the grazing on
56 slopes $> 20^\circ$, which can negatively affect soil stability and increase erosion (Torres et al., 2019).

57 The causes of pasture degradation are well known, but their spatial and temporal dynamics remain
58 poorly understood (Neves, 2017). An approach used to shed light over this issue relies on the
59 coupling of remote sensing and geographic information systems, whereby the reflectance of
60 pastures captured by sensors in cameras are used to estimate vegetation indices that correlate with
61 the vigor of plants (Lu and Weng, 2007; Novo and Ponzoni, 2001; Torres et al., 2019). The spectral
62 response of pastures is difficult to grasp because it depends on many factors, such as species
63 assemblage, soil type or precipitation, which makes it extremely complex to classify pasture
64 degradation using vegetation indices (Davidson et al., 2008). Nevertheless, several authors have
65 used orbital sensors to analyze and map spatial and temporal variations in pasture fields using these
66 proxies. The Normalized Difference Vegetation Index (NDVI) was the most widely used indicator
67 (Imukova et al., 2015; Li S. et al., 2012; Li X. et al., 2012; Torres et al., 2019; Valle Júnior et al.,
68 2019; Wiesmair et al., 2016). Other frequently used indexes include the Enhanced Vegetation
69 Index, EVI (Junges et al., 2016; Karnieli et al., 2013); the Soil-Adjusted Vegetation Index, SAVI
70 (Batista et al., 2020); the Leaf Area Index, LAI (Batista et al., 2020; Chen et al., 2019; Wang et al.,
71 2019); the Water Use Efficiency, WUE (Fernandes et al., 2018); the Net Primary Productivity, NPP
72 (Fernandes et al., 2018; Jiang et al., 2019; Sun et al., 2019); among others.

73 Many authors have also applied visible spectrum sensors (RGB) to quantify and map indicators of
74 plant's biophysical state, such as above ground biomass, plant vigor, productivity and the Leaf Area
75 Index (Córcoles et al., 2013; Jang et al., 2020; Kim et al., 2019; Liu and Pattey, 2010). Other studies
76 indicated the RGB-based color vegetation index and the excess of green index, to diagnose
77 vegetation cover (Arroyo et al., 2016; Beniaich et al., 2019). The green leaf index, on the other
78 hand, proved efficient to count plants (Louhaichi et al., 2001; Silva, 2017), distinguish plant

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biomass from soil and residue (Meyer and Neto, 2008), monitor vegetation fraction (Marcial-Pablo et al., 2019), and estimate the Leaf Area Index as well as key growth indicators of rice (Li et al., 2019; Qiu et al., 2020). However, to our best knowledge no study attempted to diagnose pasture degradation using a RGB index. Eventually, the ease of obtaining reflectance data from the near infrared band using orbital satellites such as Sentinel 2, Landsat 8 or MODIS, hampered the development of such studies. However, comparing the detection efficiency among the visible (RGB) and near infrared (NIR) ranges would help to shed light on the potential of each range. Besides, the use of visible range vegetation indexes could boost the use of low-cost monitoring equipment, such as unmanned aerial vehicles (UAV) at the canopy level and even smart phones at the leaf level, which comprise RGB but rarely NIR cameras (Costa et. al., 2020).

The general purpose of this study was therefore to detect degraded pastures using the NDVI and the RGB-based Color Index (CI) of Woebbecke et al. (1995), comparing the performances in the sequel. As corollary, we aimed to improve the CI index for detection efficiency. The normalization through simple ratio processes applied to most vegetation indexes (Katsoulas et al., 2016) inherently generates asymptotic approaches to saturation shrinking the range of linear relation between the index and biophysical characteristics and hence the index's detection capacity (Gitelson, 2004). For example, the ratio between the difference and the sum used in the NDVI equation $[(\rho_{\text{NIR}} - \rho_{\text{red}}) / (\rho_{\text{NIR}} + \rho_{\text{red}})]$ is barely capable to describe the plant vigor when the target areas present high biomass (e.g., vegetation fraction > 60%). In these cases, the $\rho_{\text{NIR}} / \rho_{\text{red}}$ ratio > 1, both the numerator and denominator get close to equivalence and the sensitivity of NDVI to ρ_{NIR} becomes insignificant. The generalization caused by difference over sum normalization also affects the CI.

Thus, by applying changes in the denominator of the vegetation index called the Color Index - CI (Woebbecke et al., 1995), the influence of the normalization process can be eliminated. For the CI, the normalization process happens with the ratio between the target band and the sum of all (BGR) ($B = \rho_{\text{blue}} / (\rho_{\text{red}} + \rho_{\text{green}} + \rho_{\text{blue}})$; $G = \rho_{\text{green}} / (\rho_{\text{red}} + \rho_{\text{green}} + \rho_{\text{blue}})$; $R = \rho_{\text{red}} / (\rho_{\text{red}} + \rho_{\text{green}} + \rho_{\text{blue}})$). This causes a drop in sensitivity when the $\rho_{\text{numerator}} / \text{sum of all (BGR)}$ ratio denominator > 1, as noted in Gitelson (2004). Therefore, the only way to increase the sensitivity of the CI is to change the denominator of the original equation. For this reason, we developed the "Total brightness quotients" of Blue (TBQB), Green (TBQG) and Red (TBQR) as part of our goal for this study.

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113114 **2. MATERIAL AND METHODS**115 **2.1. Study area**

116 The study was carried out in the Environmental Preservation Area - EPA of the Uberaba River
117 Basin (Fig. 1), which was declared in 1999 (Minas Gerais State Law No. 13,183 / 1999) and later
118 the municipality of Uberaba also edited the Municipal Law 9,892 / 2006, which Creates the
119 Municipal Environmental Protection Area of the Uberaba River – EPA of Uberaba River - and
120 takes other measures because it is fundamental for the protection of water resources, the riverside
121 ecosystem, fauna and remnants of native vegetation (*Savanna* Biome). It occupies an area of
122 approximately 52810.80 hectares and protects the Uberaba River located between the geographical
123 coordinates 19.51 - 19.74° south and 47.64 - 47.98° west of Greenwich, which in turn provides 95%
124 of the drinking water demanded by the municipality, which has about 295,988 inhabitants (IBGE,
125 2020; PMU and CODAU, 2006).

126 The climate in the EPA is Aw, described as tropical hot and humid with a cold, dry winter (Beck et.
127 al., 2018). The climatic domain is said to be semi-humid with low precipitation for 4-5 months of
128 the year, with the annual precipitation varying between 1300 and 1700mm. The rainy period
129 corresponds to the hottest season of the year from October to March, with the dry season from April
130 to September. Precipitation is more intense in December and January (Abdala, 2012).

131 The EPA's lithostratigraphic sequence is comprised of the Serra Geral (volcanic), Uberaba and
132 Marília (sedimentary) formations (Fig. 2), which form the Bauru Group of the Cretaceous (Cruz,
133 2003). Most types of soil in this plateau are characterized by medium texture that varies from sandy
134 to clayey and has different levels of fertility (Nishiyama, 1998). According to the FAO
135 classification, these types of soil are called Latosols, Argisols and Gleisols (Siqueira et al., 2017).

136 55.45% of the EPA area is occupied by natural and planted pastures, predominated by the species
137 *Brachiaria Brizantha* cv. Marandú. In the Serra Geral, Marília and Uberaba geological formation we
138 find 8183.43; 4882.53; 16219.72 ha, respectively (Table 1).

139 **Place Figure 1 here**140 **Place Figure 2 here**141 **Place Table 1 here**

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143 **2.2. Data acquisition and preparation**

144 The sources of data used to perform the pasture degradation modeling are listed in Table 2. The soil
145 fertility and resistance to penetration analyses were based on field data, obtained as described below
146 (item 2.3). To delimit the pasture areas within the EPA, the land use and occupation map provided

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147 by Mapbiomas referring to collection 5 of the year 2019 was used. Following that, the delimitation
148 of the geological formations was obtained through the map provided by the State System of
149 Environment and Water Resources. In order to compare the detection efficiency (item 2.6) between
150 the vegetation indices of the visible TBQB, TBQG, TBQR and NDVI, 32 images from the Landsat
151 8 OLI / T1_SR Satellite with a 15-day temporal and 30-meter spatial resolution were used through
152 the years 2017–2019. The 32 images were processed in the cloud and used to extract the zonal
153 statistics (mean, minimum, maximum and standard deviation) of each of the 6 ground truth
154 locations in spreadsheet format, separated by geology and vegetation indexes (item 2.6).
155 Afterwards, regression, correlation (Minitab® 19) and sensitivity analysis (Excel®) were carried
156 out in order to compare the efficiency of vegetation indices in detecting degraded pasture (item 2.5).
157 With the images collected, a total of 12 degraded pasture maps were made using the programming
158 presented in item 2.4, one map for each vegetation index (TBQB, TBQG, TBQR and NDVI) in
159 each geology (Serra Geral, Uberaba and Marília).

160 Place **Table 2** here

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162 2.3. Identification of phytophysionomies in the pastures

163 The signs of pasture degradation are not always visible, making it difficult to detect the primary
164 cause of degradation, as it causes a chain reaction. The frequency of invasive plants, the density of
165 forage plants and the percentage of soil cover by desirable plants are parameters that can be used for
166 evaluation. The degradation of pastures in their most advanced stages is characterized by changes in
167 the dynamics of the plant community, where desirable species (forage plants) give way to others, of
168 lesser or almost no forage value, and by the decline in forage productivity, which reflects in animal
169 production (Townsend et al., 2012).

170 According to Macedo et al. (2014) the state of degradation of the pasture can be identified by
171 physiological factors of the plant and, also, of the soil. In the plant, the regrowth capacity, height of
172 the pasture, presence of areas without vegetation and inhomogeneous coverage, infestation of
173 invasive plants and pests are observed. While in the soil, the effect of compaction, erosion, and
174 mineral deficiencies, mainly of Nitrogen and Phosphorus, are verified (FAO, 2009).

175 In this sense, diligence was taken in the field of the study area to identify the pasture
176 phytophysionomies, in order to characterize and georeference two training points in terms of
177 geological formation, called: healthy pasture and degraded pasture. As a visual basis the
178 characteristics of **Fig. 3** show the healthy pasture has green and tall grass with homogeneous
179 coverage and the degraded pasture with areas of exposed soil and the presence of invading species
180 and termite mounds.

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181 Place **Figure 3** here

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183 These two phytophysionomies were characterized at a field level in terms of soil fertility and
184 physical parameters, at 6 ground truth locations, distributed in the three geological formations Serra
185 Geral, Uberaba and Marília.

186 Each of these ground truth sites is represented by a 50-meter buffer (0.7853 ha) centered on the
187 point where phytophysionomy is most representative. The 6 selected ground truth sites are
188 represented in **Fig. 2** as *characterization ground truth sites*. They were georeferenced with a
189 Garmim GPSMAP® 78 receiver. In each of these locations, 4 soil samples were collected at
190 random at a depth of 0-0.2m and used to perform chemical and physical analyzes in a specialized
191 laboratory. Also, at these locations, 7 random resistance to penetration samples were made with the
192 digital penetrometer PLG 1020 penetroLOG® (Falker Automação, Porto Alegre, RS) at a depth of
193 0-0.6m. The soils of the geological formations were characterized chemically and physically and
194 presented by Valle Junior et al. (2019).

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196 2.4. Programming in Google Earth Engine (GEE)

197 The zonal statistics and coincidence maps were generated in each geological formation, from 32
198 images from the Landsat 8 OLI / T1_SR from the years 2017, 2018 and 2019. The processing was
199 performed in a routine prepared in Javascript in the GEE code editor menu, which has a public
200 catalog with millions of images on a planetary scale (GEE, 2020; Gorelick et al., 2017).

201 The first script called “Zonal Statistics” is available at:

202 <https://code.earthengine.google.com/85c7e8d6904f747ad848d11504bae53f>

203 and the second “Map of Coincidence” is available at:

204 <https://code.earthengine.google.com/e4a6f8aafd4216631d950a538fac3020>.

205 Therefore, GEE emerges as a facilitator in the search for images, enabling the automatic cropping
206 of images based on the area of interest, filter by analysis period, calculation of NDVI, TBQB,
207 TBQG and TBQR, extraction of zonal statistics, export of data in raster format and spreadsheet. The
208 operational details relative to the GEE scripts are illustrated in **Fig. 4** and described in the
209 **Supplementary Material**.

210 Place **Figure 4** here

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212 2.5. Map of degraded pasture using the vegetation indexes TBQB, TBQR, TBQG and NDVI

213 Based on the methodology proposed by Valle Junior et al. (2019), we used pre-established training
214 samples in the field (ground truth locations) for supervised classification of orbital images. After

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215 determining the interval between the minimum and maximum reflectance values of the NDVI
 216 vegetation index for each buffer, the image was binarized (item 2.4) and the sum of the image
 217 collection, generating the number of pixel matches by similarity with the training sample intervals.
 218 Thus, values above 4 coincidences were considered similar, with an accuracy level of 84.1%.

219 In this work, we used images from the Landsat 8 OLI / T1_SR orbital satellite processed in the
 220 cloud on the GEE platform, from the development of two scripts (item 2.4). The first script was
 221 designed to filter images with < 30% clouds from the years 2017, 2018 and 2019, apply the
 222 vegetation index to the series of images, calculate zonal statistics and export information for
 223 statistical modeling in MINITAB 19®. The second generated script, automates the method
 224 proposed by Valle Junior et al. (2019), generating a pixel coincidence map for each NDVI, TBQB,
 225 TBQG and TBQR vegetation index (equations 3, 4, 5 and 6) and geological formation (Serra Geral,
 226 Uberaba and Marília).

227 The visible vegetation indexes (TBQB, TBQG and TBQR) come from a change in the denominator
 228 of the Color Index (CI) (Woebbecke et al., 1995) according to equations 7, 8 and 9. Therefore, they
 229 present the amount of reflectance that was reflected from Blue (TBQB), Green (TBQG) and Red
 230 (TBQR) taking into account the portion that was reflected by the other bands.

$$231 \quad NDVI = \frac{NIR - i}{NIR + i} \quad (3)$$

$$232 \quad TBQB = \frac{i}{(i + i)} \quad (4)$$

$$233 \quad TBQG = \frac{i}{(i + i)} \quad (5)$$

$$234 \quad TBQR = \frac{i}{(i + i)} \quad (6)$$

$$235 \quad B = \frac{i}{(i + i + i)} \quad (7)$$

$$236 \quad G = \frac{i}{(i + i + i)} \quad (8)$$

$$237 \quad R = \frac{i}{(i + i + i)} \quad (9)$$

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239 2.6. Seasonality of vegetation indices

240 Equations were drawn up that represent the seasonal variation of the vegetation indices throughout
 241 the year, enabling the comparison of pasture phytophysiologicals in the geological formations. For
 242 that, we used the vegetation indices as a dependent variable in the model and the days of the year
 243 (DOY) as an independent variable to estimate the seasonality of the vegetation indices in the dry
 244 and rainy period to diagnose the phytophysiologicals of healthy and degraded pastures. Thus,
 245 equations were modeled in the MINITAB 19 software, using the General Linear Model - GLM

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246 method, to determine the adjusted determination coefficient (adjusted R²) and standard deviation of
 247 the distance between the real and adjusted values (S).

248 From the average values of the vegetation indices, the Spearman correlation coefficient was
 249 calculated, which measures the intensity of the relationship between two variables. In addition, time
 250 series graphs were drawn up to show dispersion of the average values of each vegetation index and
 251 its seasonality over the years.

252

253 2.6.1. Sensitivity of equations

254 In the analysis of the reflectance of the vegetation indices in the phytophysiognomies from the
 255 regression equations generated in item 2.6, the sensitivity analysis of the equations was carried out
 256 as a comparison between the indices. Following the methodology proposed by Gitelson (2004) that
 257 measures the possible sensitivity between vegetation indices in identifying biophysical changes in
 258 plant targets, the following expressions were used:

$$259 S_b = \frac{d(TBQB)}{d(NDVI)} \times \left(\frac{1}{\frac{\Delta TBQB}{\Delta NDVI}} \right) \quad (10)$$

$$260 S_g = \frac{d(TBQG)}{d(NDVI)} \times \left(\frac{1}{\frac{\Delta TBQG}{\Delta NDVI}} \right) \quad (11)$$

$$261 S_r = \frac{d(TBQR)}{d(NDVI)} \times \left(\frac{1}{\frac{\Delta TBQR}{\Delta NDVI}} \right) \quad (12)$$

262 Where, S_b (TBQB Sensitivity), S_g (TBQG Sensitivity), S_r (TBQR Sensitivity), d (TBQB), d
 263 (TBQG), d (TBQR) and d (NDVI) are the first derivatives of each of the equations (item 2.6) and
 264 $\Delta TBQB = TBQB_{max} - TBQB_{min}$; $\Delta TBQG = TBQG_{max} - TBQG_{min}$; $\Delta TBQR = TBQR_{max} -$
 265 $TBQR_{min}$ and $\Delta NDVI = NDVI_{max} - NDVI_{min}$, are the intervals of the observed values of each
 266 vegetation index, that is, the difference between the maximum and minimum values of each index.

267 Therefore, Sensitivity (S) values < 1 indicate that NDVI is more sensitive than the visible index.

268 When S = 1, the sensitivities between the indices are the same. Values of S > 1 indicate that the
 269 visible index is more sensitive than the NDVI.

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271 2.7. Detection efficiency of degraded pastures

272 To measure the detection efficiency of the visible with the NDVI in the detection of degraded
 273 pasture, we evaluated the variable responses of the tests using: a) Cross analysis (item 2.7.1); b)
 274 Ground truth validation (item 2.7.2).

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275 2.7.1. Cross analysis (Crosstab)

276 From the degraded pasture maps (item 2.4), a cross analysis was performed between the maps
277 generated with the visible indices and the NDVI, in order to quantify similar and surplus areas. In
278 this sense, the greater the intersection and the lesser the exception between the maps, the better its
279 efficiency. We use the CROSSTAB tool from QGIS 3.10.5.

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281 2.7.2. Validation of degraded pasture maps

282 In the validation of the degraded pasture maps generated from the vegetation indices, the limits of
283 resistance classes were used as a reference in the diagnosis of degradation (Valle Junior et al., 2019)
284 in each of the geological formations, 4428.5 ± 1271.2 kPa, 5418.3 ± 700.5 kPa and 5464.9 ± 1037.3
285 kPa referring to Serra Geral, Marília and Uberaba, respectively. Thus, in field collections, resistance
286 to penetration was measured at random in the study area. In total, 38 checkpoints were collected
287 (Fig. 1). The measurements were performed at a depth of 0 to 0.6 m, using a penetrometer model
288 PLG 1020 penetroLOG manufactured by the company Falker Automação. With the resistance
289 values, the degradation classification was generated, calculating the percentage of correctness in
290 each geology.

291

292 3. RESULTS

293 3.1. Equations for time series of vegetation indices and detection sensitivity

294 The best adjustment for the regression that models the spectral variations of the vegetation indices
295 throughout the year was the cubic with a significance of $p < 0.05$ in all geological formations. For
296 degraded pasture, the adjusted determination coefficient ($R^2_{aj.}$) for the Serra Geral, Marília and
297 Uberaba geological formations of the indices were: NDVI 72.78%, 84.27%, 82.22%; TBQB
298 65.01%, 41.26%, 48.01%; TBQG 77.85%, 80.80%, 81.25% and TBQR 72.82%, 77.81%, 83.92%,
299 respectively. While in the healthy pastures the indices were: NDVI 65.22%, 86.42%, 78.52%;
300 TBQB 64.07%, 49.12%, 53.13%; TBQG 73.93%, 83.15%, 85.17% and TBQR 67.63%, 81.63%,
301 79.87%, respectively, (Figs. 5a, 5b, 5c and 5d). The classification of $R^2_{aj.}$ for the $R^2_{aj.}$ range was
302 from 0 - 0.09 (weak determination), 0.09 - 0.49 (average), 0.49 - 0.81 (strong), 0.81 - 0.9801 (very
303 strong) and 0.9801 - 1 (perfect) (Sanchez, 2013). To assess the acceptance of the adjustments, the
304 adjusted determination coefficient ($R^2_{aj.}$) was used as criteria, as well as the standard deviation of
305 the distance between the real and adjusted values (S) (Araujo, 2019; Quinino et al., 1991). In this
306 way, the $R^2_{aj.}$ for the models applied to degraded and healthy pasture, in the Serra Geral, Marília
307 and Uberaba formations using NDVI presented classification varying from strong to very strong,
308 TBQB medium-strong, TBQG and TBQR from strong to very strong. In the regression there was a

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309 high R^2_{aj} value with a low S value indicating that the day of the year (DOY) predictor is related to
310 the changes in the vegetation indices (IV) response variable available (Figs. 5a, 5b, 5c and 5d).

311 The spectral behavior over the years does not have a normal distribution, and therefore was
312 evaluated for similarity between the indices using the Spearman test ($p < 0.05$). The coefficient
313 classification (r_s) was classified for the r_s range from 0 - 0.40 (bad correlation), 0.40 - 0.60 (low
314 correlation), 0.60 - 0.80 (average correlation), 0.80 - 0.90 (good correlation) and 0.90 - 1.0 (high or
315 excellent correlation) (Martins and Domingues, 2014). Thus, the correlations in the geological
316 formations Marília, Serra Geral and Uberaba between the TBQB, TBQG and TBQR indices with
317 the NDVI were -0.524, 0.966, -0.966; -0.794, 0.965, -0.956; -0.723, 0.989, -0.984. Thus, in all the
318 geological formations analyzed, the best fit was found for the TBQG (direct correlation), followed
319 by the TBQR (indirect correlation), both presenting high or excellent classification, while the
320 TBQB (indirect correlation) varied from low to medium.

321 **Place Figures 5 here**

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323 3.1.1 Comparison between amplitude and deviation of time series of vegetation indices

324 When assessing the seasonal variation between the years 2017 to 2019, the trend of similar behavior
325 of the NDVI and TBQG indices was observed, differing from the G (see Supplementary Material
326 Figs. S1a, S1b, S1c, S1d, S1e, and S1f). Therefore, the amplitude and deviations of the values
327 corresponding to the NDVI and TBQG indices were close, which suggests that there is less
328 sensitivity of equation G in capturing the biophysical changes of pasture in the period (see
329 Supplementary Material Tables S1a, S1b and S1c).

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331 3.2. Maps of degraded pastures

332 The raster files in TIFF format extracted from GEE and finished in the GIS (Figs. 6a, 6a1, 6b, 6b1,
333 6c and 6c1), refer to the degraded pasture maps generated through each vegetation index NDVI,
334 TBQ, TBQG and TBQR in the different geologies. The degraded pasture areas mapped using each
335 index follow as shown in Table 3. We can see that in the EPA degraded pasture occupies an area of
336 12,066.93, 25,180.11, 18,985.32 and 17,486.28 hectares diagnosed by the indices NDVI, TBQB,
337 TBQG and TBQR, respectively. Representing a percentage of 41.20%, 85.98%, 64.83%, 59.71%,
338 respectively, in relation to the total pasture area according to land use and occupation.

339 **Place Figures 6 here**

340 **Place Table 3 here**

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342 The sensitivity of the models (Sb, Sg, Sr) was calculated on the targets in the degraded pasture in
343 the Serra Geral, Marília and Uberaba geological formations to compare the indices. TBQB (-0.67,
344 0.77 and -0.75); TBQG (-0.51, 0.65 and -0.68) and TBQR (-0.08, 0.29 and -0.46) were compared to
345 the NDVI model and resulted in an $S < 1$. Therefore, by this method the NDVI was classified as the
346 most sensitive index. In addition, as an innovation in this study, we quantify the difference in
347 sensitivity between the modified indices developed using RGB compared to NDVI in relation to the
348 diagnosis of degraded pasture.

349 The time series of vegetation indices over the three years (see Supplementary Material Figs S2a,
350 S2b, S3a, S3b, S4a and S4b) shows that TBQG generates higher values than NDVI with a smaller
351 amplitude, but higher than TBQR and TBQB. In the sequence, the TBQR inversely follows the
352 NDVI with equivalent values and less amplitude than the NDVI and TBQG. Finally, TBQB
353 inversely follows NDVI with lower values and an amplitude smaller than all evaluated.

354 In addition, the average values of S and $R^2(aj)$ of the general regression models of degraded pasture
355 for each vegetation index were NDVI (0.066 - 79.76%), TBQB (0.014 - 51.43%), TBQG (0.055 -
356 79.97%), TBQR (0.023 - 78.18%), respectively. Thus, it was possible to associate the predicted
357 values of biophysical state of the pasture with observed values in the time series.

358 The areas obtained by the modified vegetation indices (RGB) were greater, as seen in the
359 Supplementary Material (Table S2). In the identification of the degraded pasture, a pixel
360 coincidence greater than 4 (four) or more was observed in the band of the visible spectrum in the
361 interval between the values (Min and Max) of the sample polygons.

362 The increase in the number of coincidences refers to the number of times in which another pixel,
363 outside the sample polygon, was within the minimum and maximum observed range of each
364 collected image. Therefore, we observed that the lower sensitivity of the RGB indices favored the
365 increase in pixel coincidences and the consequent increase in the area of mapped degraded pasture.

366 As a verification of the actual state of the field (ground truth) according to Valle Júnior et al.
367 (2019), degraded pasture can be diagnosed from the resistance to penetration in the geological
368 formations – Serra Geral 4428.5 ± 1271.2 kPa, Marília 5418.3 ± 700.5 kPa and Uberaba $5464.9 \pm$
369 1037.3 kPa. Therefore, in the validation of the degraded pasture maps in the field, by means of the
370 percentage of correctness between the ground truth points and the degraded pasture maps there was
371 agreement of 65.79% with NDVI, 60.53% with TBQB, 65.79% with TBQG and 65.79% with
372 TBQR, which according to Landis; Koch (1977) can be classified as substantial agreement, which is
373 very good.

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376 **3.3. Cross tabulation results**

377 In the cross analysis between the maps of degraded pasture established by the vegetation indices
378 NDVI, and RGB (TBQB, TBQG, TBQR) it was possible to verify the percentage of common areas
379 of pasture degradation to assist in map validation. The pixel coincidence between the NDVI and the
380 modified RGB indices tested, demonstrated different behavior in the geological formations. In the
381 Marília, Serra Geral and Uberaba formation there was a minimum pixel match of 90.44; 87.05;
382 89.43%, respectively, which is excellent. This is presented in the **Supplementary Material (Table**
383 **S3)**. However, the visible spectrum indices overestimated, on average, the degraded pasture area in
384 all formations – 13.46% for TBQB, 6.40% for TBQG and 4.97% for TBQR. However, for the
385 TBQG and TBQR indices, in the field they were as accurate as NDVI, suggesting that the mapped
386 areas can be accepted.

387

388 **4. DISCUSSION**

389 The modified RGB indices, named as the total brightness quotient, of the blue, green and red
390 spectral bands, captured different characteristics for each geological formation and
391 phytophysiology of the pasture. Thus, the targeted plants absorbed the light differently for each
392 band of the visible spectrum, according to its edaphoclimatic status and geology.

393 The relationship between the areas of geomorphology and pedology are closely interdependent
394 (Rubira et al., 2019). This mutual dependence does not allow us to judge that the geology of a
395 region fully explains its pedogenesis, or the other way around. According to Nakashima et al., 2017,
396 even if there is a baseline difference between geological formations, pedogenetic processes are
397 responsible for altering the marks of older and more extensive events, causing a new physiognomy
398 to the landscape. In the study area we have the Serra Geral formation represented by basalt with
399 intercalations of sandstone and diabase dikes (CPRM, 2014). The Marília formation represented by
400 sandstone, conglomerate and paleosol strongly cemented by CaCO_3 and SiO_2 , while the Uberaba
401 formation consists of sandstone, mudstone, siltstone and conglomerate rock (Batezelli, 2015).
402 Therefore, there are several types of soil within each geology, such as dystrophic and dystrophic red
403 latosols, dystrophic red-yellow latosols, eutrophic red argisol and dystrophic melanic gleysol (UFV,
404 2010). The differences in sensitivity between the vegetation indices of the mapped areas of
405 degraded pasture occur due to the influence of different geologies with different levels of iron
406 oxides present in the clay fraction, as well as the various types of soil and plant physiology. The
407 reflection of light by the soil is a property widely used in pedology and is based on color (Netto and
408 Baptista, 2000), which helps soil classification (Santos et al., 2018), differentiates erodibility
409 (Dantas et al., 2014), evaluates the productive potential (Carmo et al., 2016) and even estimates

41
410 chemical parameters (Cruz et al., 2018). Therefore, each geology with its own characteristics
411 contributes and changes the perception of each vegetation index used. According to Meneses et al.
412 (2019) the minimal variations in composition or percentage variation of minerals is enough to cause
413 different spectral responses. The concentrations of iron oxides in the Serra Geral formation (Silva et
414 al., 2020), due to the hematite content in the clay fraction (Mello et al., 2003), promote better soil
415 aggregation and water use (Correa et al., 2008) and promote less resistance to soil penetration
416 (Valle Junior et al., 2019). In addition, they alter the visible spectral response of the soil (Canti and
417 Linford, 2020).

418 The energy source for photosynthesis and plant growth is light (Bayat et al., 2018). And through
419 several photoreceptor pigments in the plant regulates its growth and development. Light promotes
420 and triggers various morphogenic and physiological processes (Chen et al., 2004). The visible
421 colors are the result of the interaction of light with the retina, where absorption and selective
422 reflection are the reason that most objects are colored (Netto and Baptista, 2000). However, the
423 chlorophyll molecules present in plant cells are more efficient at absorbing the red and blue bands
424 of the spectrum compared to green (Taiz and Zeiger, 2017).

425 The time series of the vegetation indices show that pasture in the dry period has a general tendency
426 to decrease reflectance in TBQG and NDVI and increased reflectance in TBQR, regardless of the
427 geological formation and the condition of the pasture (healthy or degraded). The reflectance of the
428 TBQB followed the pattern of the TBQR, but with lower peaks. In the rainy season, the reflectance
429 pattern of the pasture was the opposite, with an increase in reflectance in TBQG and NDVI and a
430 decrease in reflectance in TBQR and TBQB. Thus, green (TBQG) achieved a better correlation with
431 NDVI, presenting similar amplitude and deviation.

432 The strong absorption of light by photosynthetic pigments dominates the optical properties of green
433 leaves in the visible spectrum (400-700 nm). Thus, the decrease in the photosynthetic pigment
434 content of the leaf causes an increase in reflectance and transmittance in the visible spectrum
435 (Jacquemoud and Ustin, 2008).

436 Water stress and nutrient deficiency (e.g. nitrogen) are highly related to the decrease in chlorophyll
437 content, and consequently less radiation is used by the plant. These stresses are common in dry
438 periods in tropical environments, especially in degraded pastures. In addition to physiological
439 disturbances as a consequence of stress, less biomass and changes in the architecture of the plants in
440 the pasture during the dry season are also expected. Several authors (Merzlyak et al., 2003; Jain et
441 al., 2007; Selemmer et al., 2005; Vigneau et al., 2011) observed a strong correlation between
442 chlorophyll content and reflectance of crops in the 640-660 ranges nm or Red-Edge (both in red), so
443 that the decrease in chlorophyll content results in an increase in the reflectance of red (Katsoulas et

44

444 al., 2016). The data found in the literature corroborate the results of pasture reflectance in the dry
445 period of the present study (decrease in TBQG and NDVI and increase in TBQR); which is
446 probably related to the decrease in chlorophyll content. Additionally, the decrease in TBQG and
447 NDVI of the pasture in the dry season may also have been influenced by other factors, such as leaf
448 thickness, age and leaf angle, leaf area index and biomass (Peñuelas and Filella, 1998; Katsoulas et
449 al., 2016).

450 The use of vegetation indices from the visible spectrum, TBQR and TBQG, are efficient in
451 monitoring pasture development. In addition, the utilization of each pigment (photosynthesizer,
452 photoreceptor and photoprotector), is correlated with several physiological factors, inherent to each
453 plant species, and edaphoclimatic factors (Ren et al., 2020). That generate several relations between
454 absorbance and reflectance to change its visible color (Sipos et al., 2020). The TBQG and TBQR
455 indices proposed in this work, were able to reach R²ajus and S close to NDVI for the monitoring
456 and diagnosis of degraded and healthy pastures in the study area (see **Supplementary Material,**
457 **Tables S4a, S4b, S4c, and S4d**), and with field validation with a similar level of accuracy (**Figs. 6a,**
458 **6b and 6c**), which is good. Other studies have already realized that visible vegetation indices - VIS
459 have sensitivity to monitor the biophysical parameters of targeted plants (Jang et al., 2020; Liu and
460 Pattey, 2010; Córcoles et al., 2013; Kim et al., 2019; Beniaich et al., 2019; Arroyo et al., 2016;
461 Silva, 2017; Louhaichi et al., 2001). However, none of these were used in the monitoring and
462 diagnosis of degraded pasture. In general, understanding pasture seasonality can contribute to its
463 classification regarding phytophysiognomies. According to Muller et al., 2015, the spectral-
464 temporal classification provides a reliable separation between agricultural land, pastures and natural
465 savanna vegetation. We realized that the TBQR index was able to identify phytophysiognomies
466 (healthy and degraded) efficiently in all geological formations as seen in the **Supplementary**
467 **Material (Figs. S5a, S5b and S5c)**.

468 The use of vegetation indices of the visible spectrum proposed in this work, combined with the use
469 of Remote Sensing, are efficient in detecting degraded pasture. In this way, the proposed
470 methodology simplifies the mapping of degraded pasture without using the near infrared band.
471 Therefore, based on this innovation, it will be possible to implement pasture mapping using low-
472 cost cameras embedded or present in UAVs and smartphones. However, in the case of detecting
473 leaf water stress, when the water volume in the soil is low, the plant goes into protection mode and
474 this prevents the diagnosis through visible vegetation indices (Katsoulas et al., 2016).

475 Therefore, pasture diagnostics is a planning tool that helps in environmental compliance. Thus, so
476 that we do not suffer attacks linked to the issue of agribusiness in Brazil, regarding land occupation,

47

477 regularly by the European Union and developed countries such as the United States, we need to
478 adapt the policies for the use and occupation of land and water.

479 The importance of identifying areas of degraded pasture, which under Brazilian law are treated as
480 environmental damage, enables the development of public policies to bring these areas back into the
481 productive system. Usually, they are open areas and have undergone anthropic action (Federal Law
482 12.651 / 2012 - Brazilian Forest Code).

483

484 **5. CONCLUSION**

485 The use of visible vegetation indices TBQG and TBQR, proved to be efficient when compared to
486 NDVI in the diagnosis of degraded pasture from orbital satellite images, showing that the degraded
487 pasture area in the EPA ranged between 41.20% and 64.83% of the total pasture area. In this way,
488 we can see the great potential that exists in the use of the visible range to reveal the temporal
489 dynamics of the biophysical characteristics of the degraded pasture. With this, the use of visible
490 indexes favors the simplification of the mapping of degradation, favoring the use of low-cost
491 cameras, embedded or present in UAV, orbital satellites and smartphones.

492

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748 **TABLE LEGENDS**

749 Table 1 – Land use by geology and respective areas in hectares. Source: Mapbiomas (2018).

ID	Land Use and Occupation	Geological Formation	Pasture Area (ha)	Geological Area (ha)
1	Pasture	Marília	4838.607	12954.619
2	Pasture	Uberaba	16680.859	27179.882
3	Pasture	Serra Geral	8355.814	12552.133

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751 Tabel 2 – Compilation of geographic data used in cross-tabulation

Data type	Purpose of the data	Source	URL
Coverage and land use map (30x30m pixel) generated from Landsat images in GEE - MAPBIOMAS collection 5.0	Delimit the pasture area to be analyzed	Generated by accessing the GEE platform	https://code.earthengine.google.com/be6e9e5570bee31fc5574758c627f709?accept_repo=users%2Fmapbiomas%2Fuser-toolkit
Geological Map of the State of Minas Gerais	Separation of geologies within the EPA	State System of Environment and Water Resources	http://idesisema.meioambiente.mg.gov.br/
Sheet with Zonal Statistics of ground truth sites	Regression, correlation and sensitivity analysis	(items 2.2 and 2.4)	https://code.earthengine.google.com/85c7e8d6904f747ad848d11504bae53f
Maps of degraded pasture in the EPA from the year 2019	Calculation of degraded area and Crosstab analysis	(Valle Junior et al., 2019)	https://code.earthengine.google.com/e4a6f8aafd4216631d950a538fac3020

752 Source: From the author, 2021.

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755 Table 3 – Area of mapped degraded pasture separated by the Uberaba River EPA geological
756 formation for each vegetation index.

Index Vegetation	Geological formation	Geology area (ha)	Pasture area (ha)	Mapped degraded area (ha)
NDVI	Serra Geral	12552.1335	8183.4381	5803.0200
	Marília	12954.6193	4882.5330	2651.6700
	Uberaba	27179.8825	16219.7247	3612.2400
TBQB	Serra Geral	12552.1335	8183.4381	6792.0300
	Marília	12954.6193	4882.5330	4195.5300
	Uberaba	27179.8825	16219.7247	14192.5500
TBQG	Serra Geral	12552.1335	8183.4381	6606.8100
	Marília	12954.6193	4882.5330	3644.1900
	Uberaba	27179.8825	16219.7247	8734.3200
TBQR	Serra Geral	12552.1335	8183.4381	6212.4300
	Marília	12954.6193	4882.5330	3273.9300
	Uberaba	27179.8825	16219.7247	7999.9200

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759 **FIGURE CAPTIONS**

760

761 *Figure 1* – Location map of the study area.

762 *Figure 2* – Location map of the study area with the geological distribution and sampling points of
763 georeferenced characterization in the field. Source: Modified from the Geological Map of the
764 State of Minas Gerais, 2014.

765 *Figure 3* - Characteristics of phytophysionomies (1 and 3, healthy pasture) and (2 and 4, degraded
766 pasture) in the dry and rainy periods, respectively

767 *Figure 4* – Flowchart of the scripts

768 *Figure 5a* – Time series graphs of observed NDVI values and cubic regression with confidence
769 interval to predict NDVI of the pasture, separated by geology. (a1, b1) - Serra Geral; (a2, b2) -
770 Marília; (a3, b3) - Uberaba, being degraded on the left and healthy on the right.

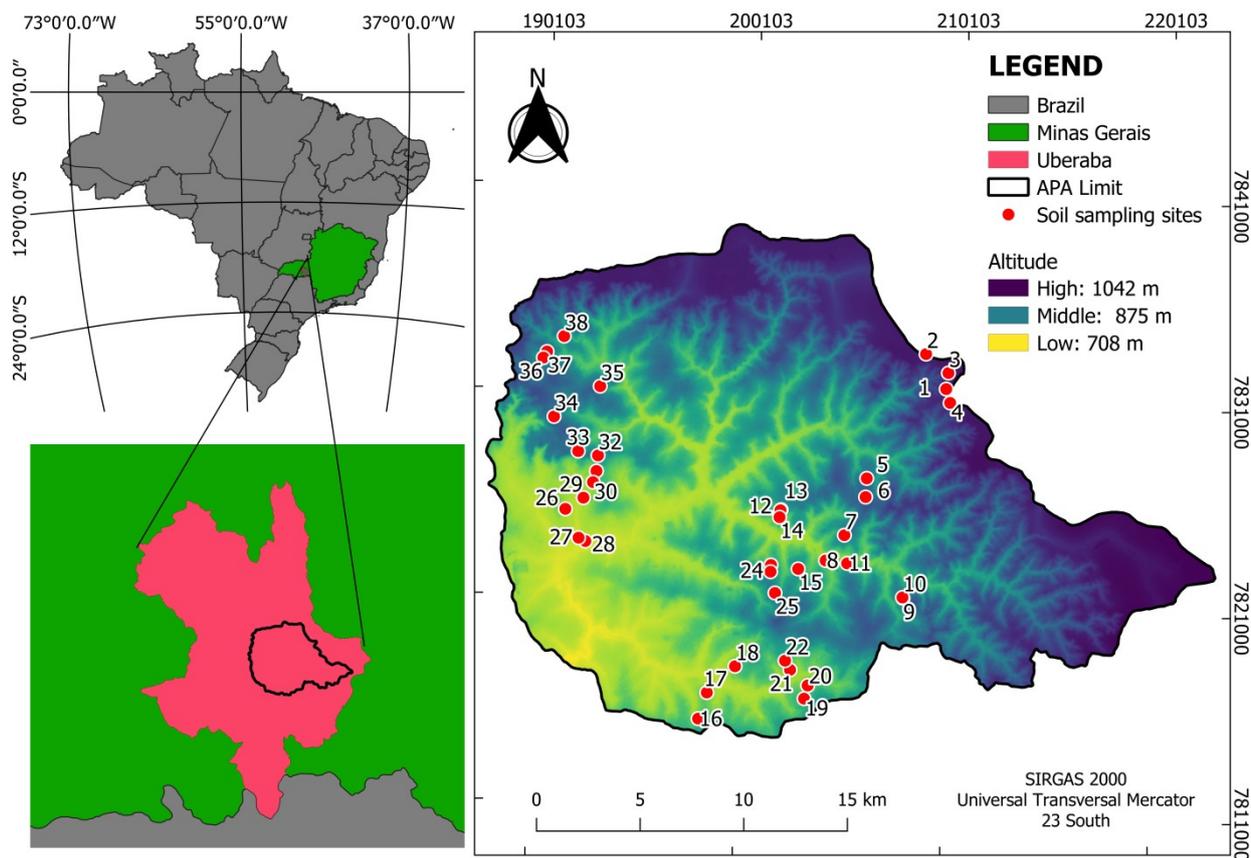
771 *Figure 5b* – Time series graphs of observed TBQB values and cubic regression with confidence
772 interval to predict TBQB of the pasture, separated by geology. (c1, d1) - Serra Geral; (c2, d2) -
773 Marília; (c3, d3) - Uberaba, being degraded on the left and healthy on the right.

774 *Figure 5c* – Time series graphs of observed TBQG values and cubic regression with confidence
775 interval to predict TBQG of the pasture, separated by geology. (e1, f1) - Serra Geral; (e2, f2) -
776 Marília; (e3, f3) - Uberaba, being degraded on the left and healthy on the right.

777 *Figure 5d* – Time series graphs of observed TBQR values and cubic regression with confidence
778 interval to predict TBQR of the pasture, separated by geology. (g1, h1) - Serra Geral; (g2, h2) -
779 Marília; (g3, h3) - Uberaba, being degraded on the left and healthy on the right.

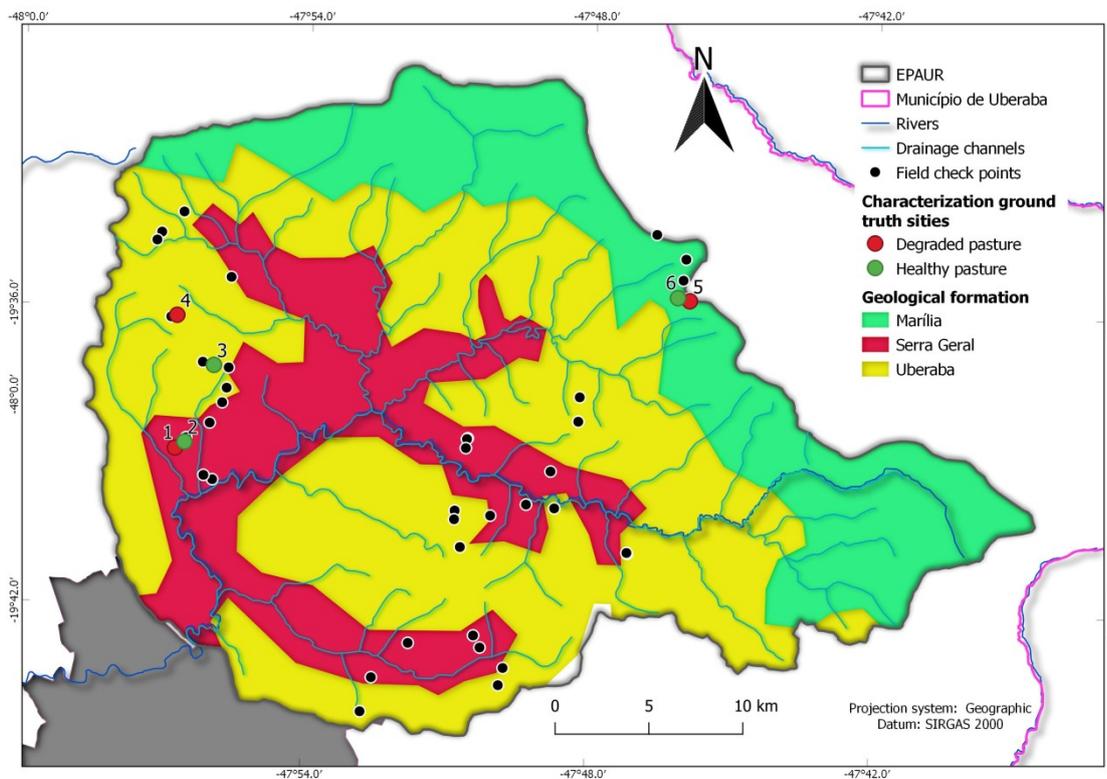
780 *Figure 6a* – Map of degraded pasture map in the Serra Geral formation.781 *Figure 6a1* – Map of coincidence in the Serra Geral formation.782 *Figure 6b* – Map of degraded pasture map in the Marília formation.783 *Figure 6b1* – Map of coincidence in the Marília formation.784 *Figure 6c* – Map of degraded pasture map in the Uberaba formation.785 *Figure 6c1* – Map of coincidence in the Uberaba formation.

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787 Figure 1



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789 Figure 2

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1 – Healthy pasture in the dry season



2 – Degraded pasture in the dry season



3 – Healthy pasture in the rainy season



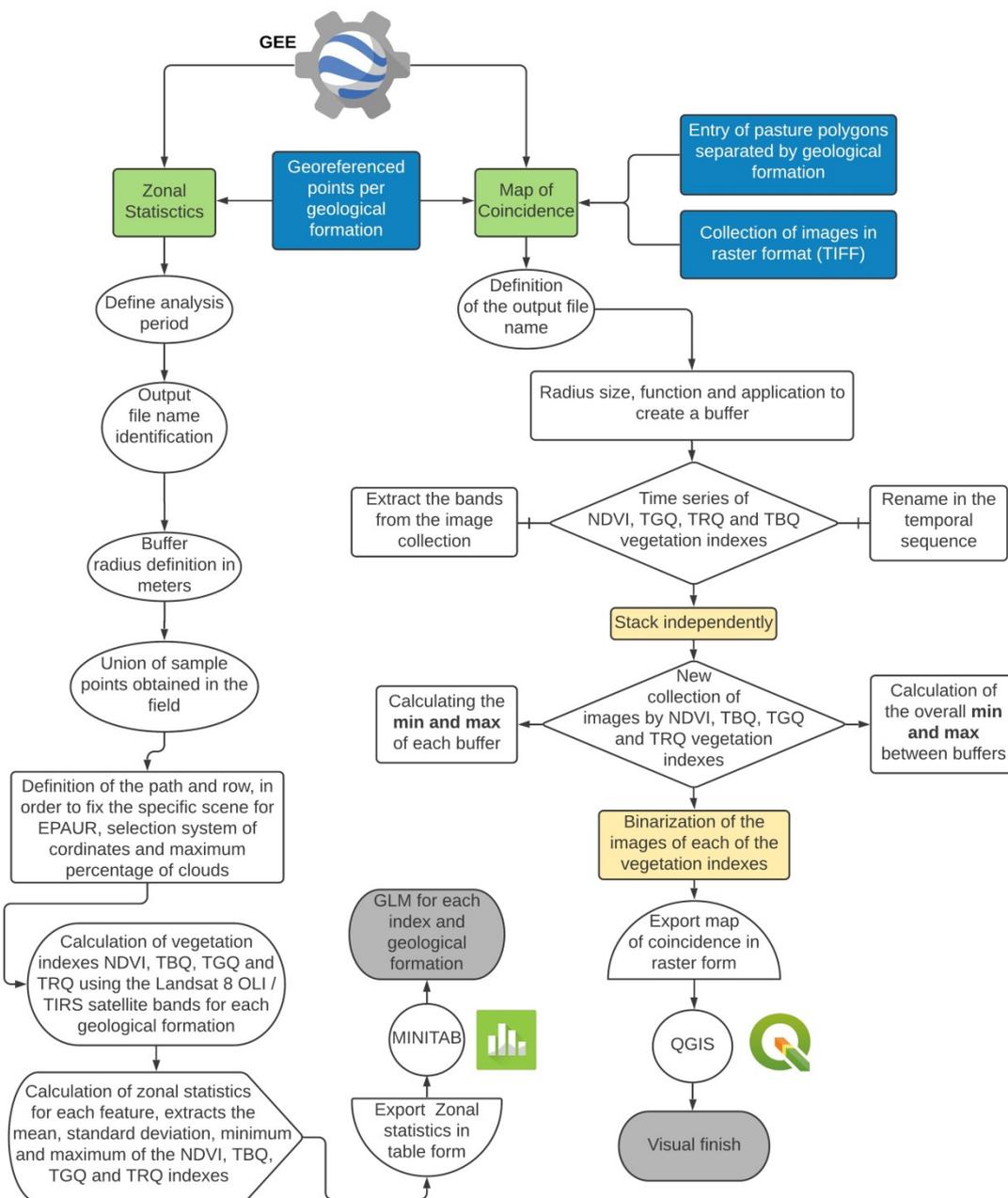
4 – Degraded pasture in the rainy season



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791 Figure 3

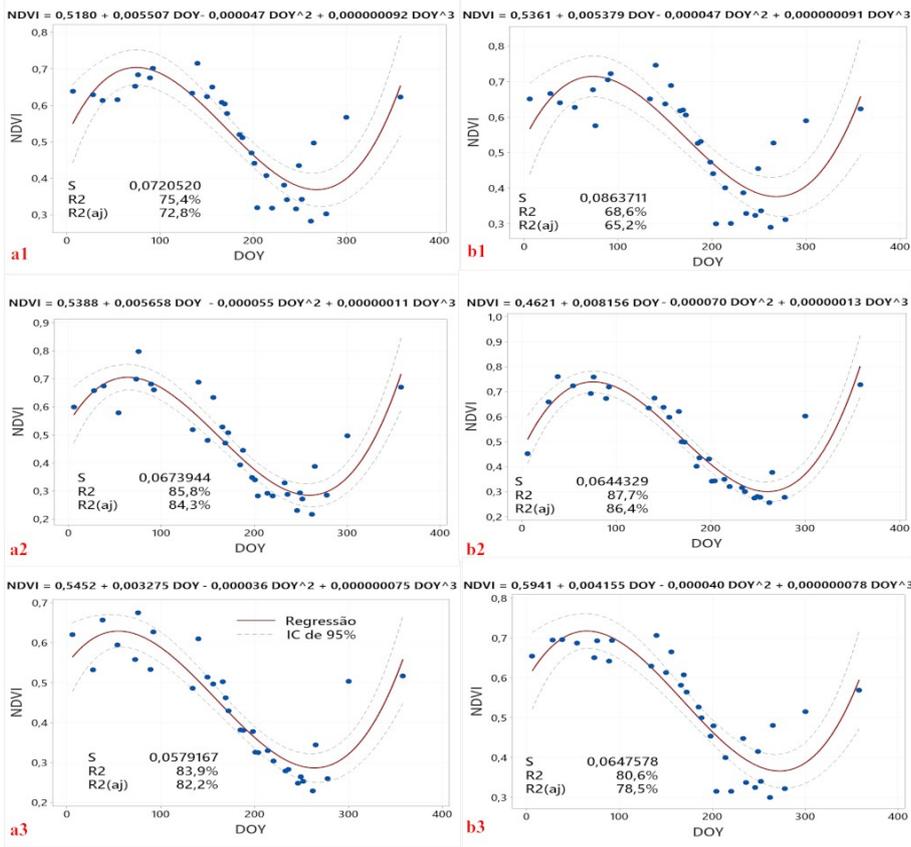
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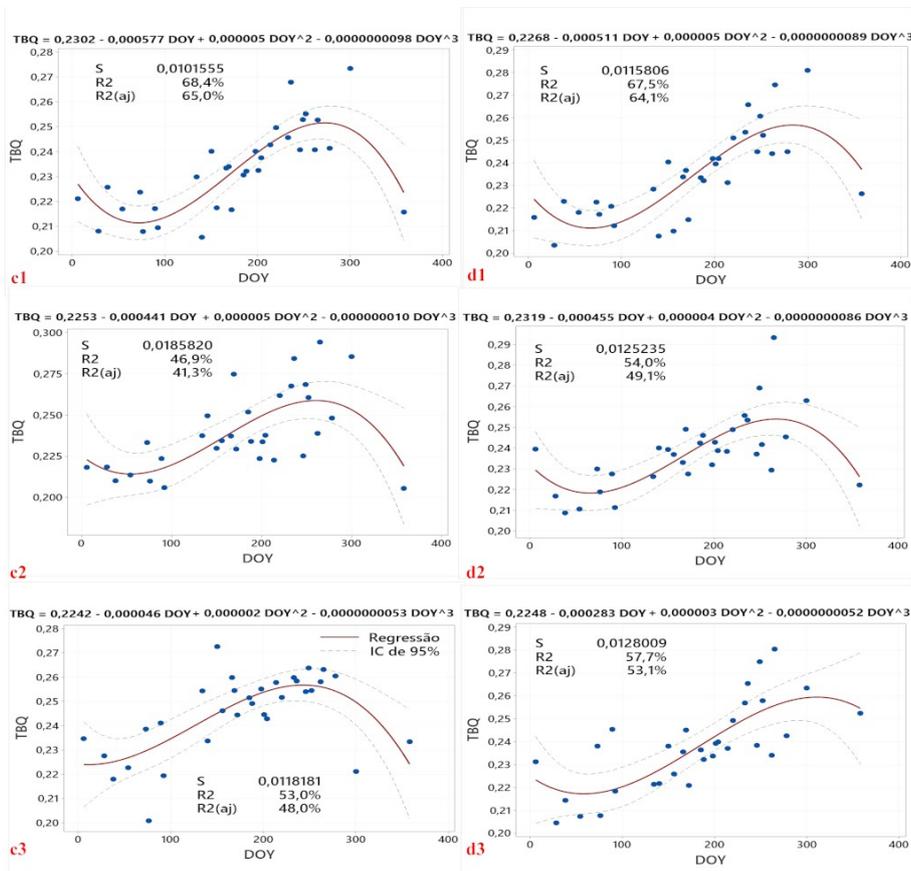
793 Figure 4

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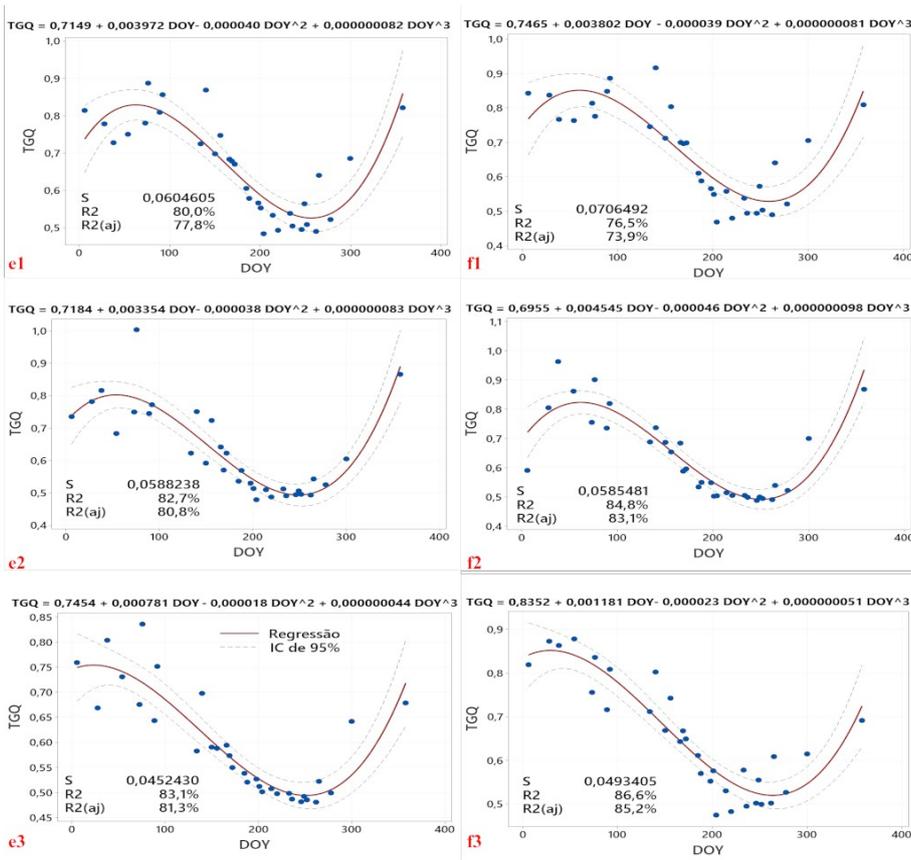
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795 Figure 5a

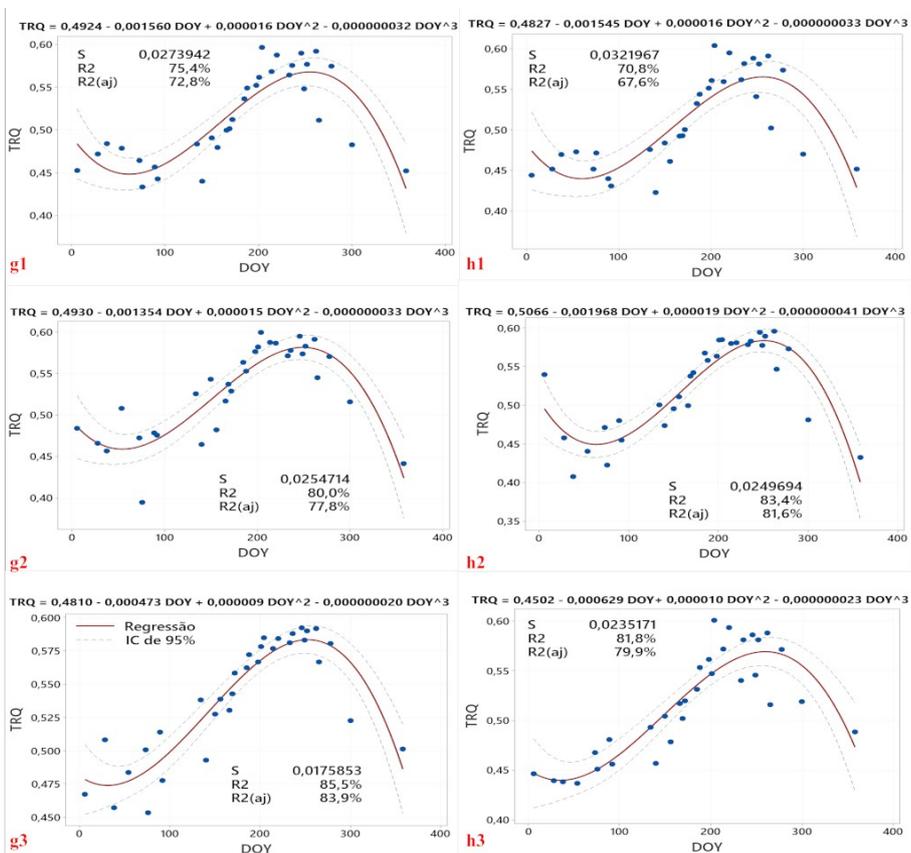


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797 Figure 5b



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 800 Figure 5c

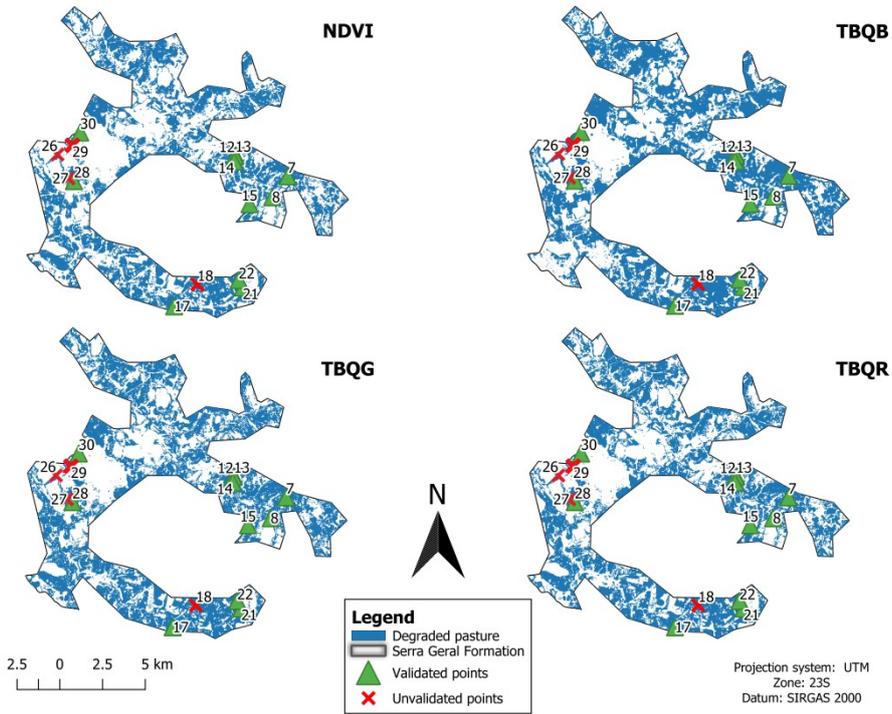


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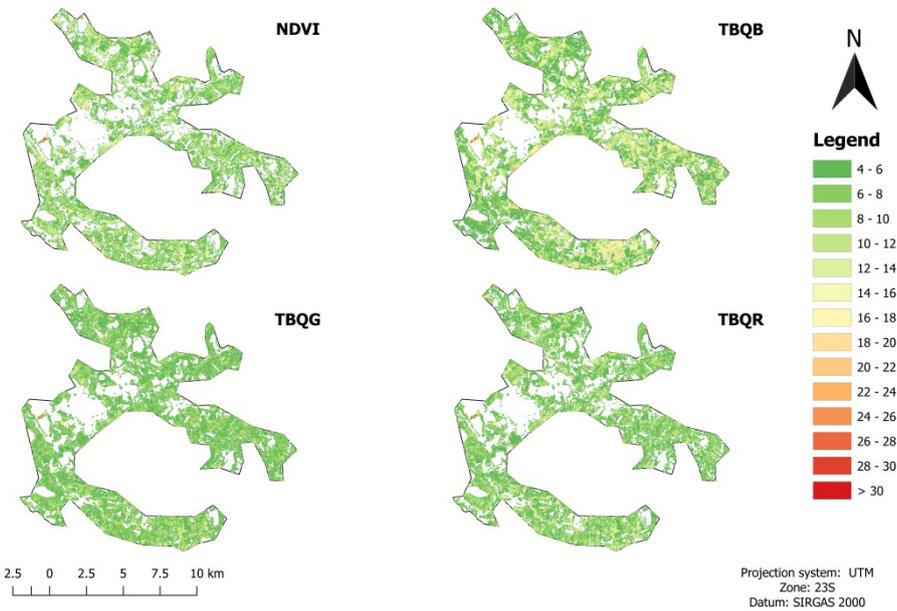
802 Figure 5d

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805 Figure 6a

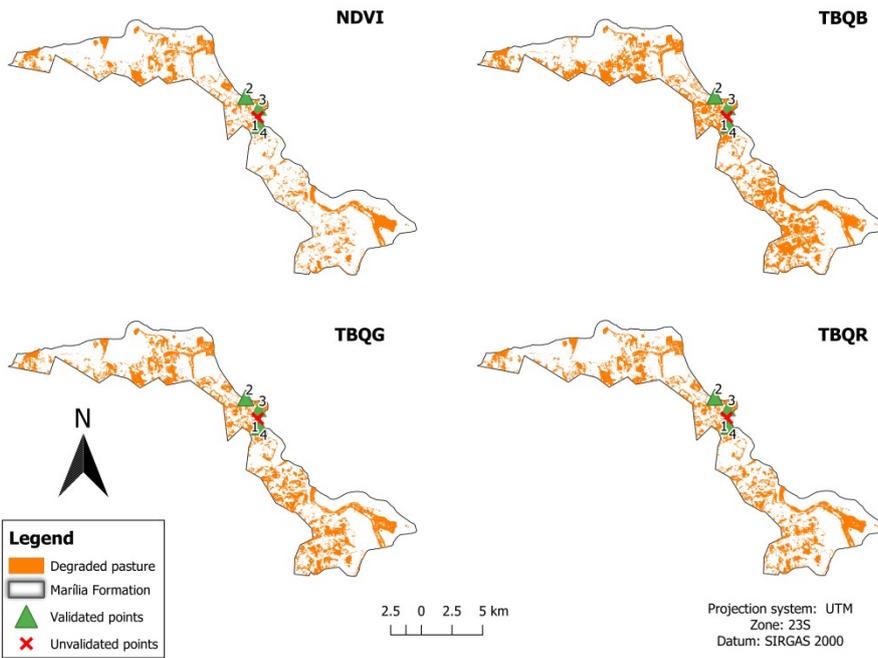


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807 Figure 6a1

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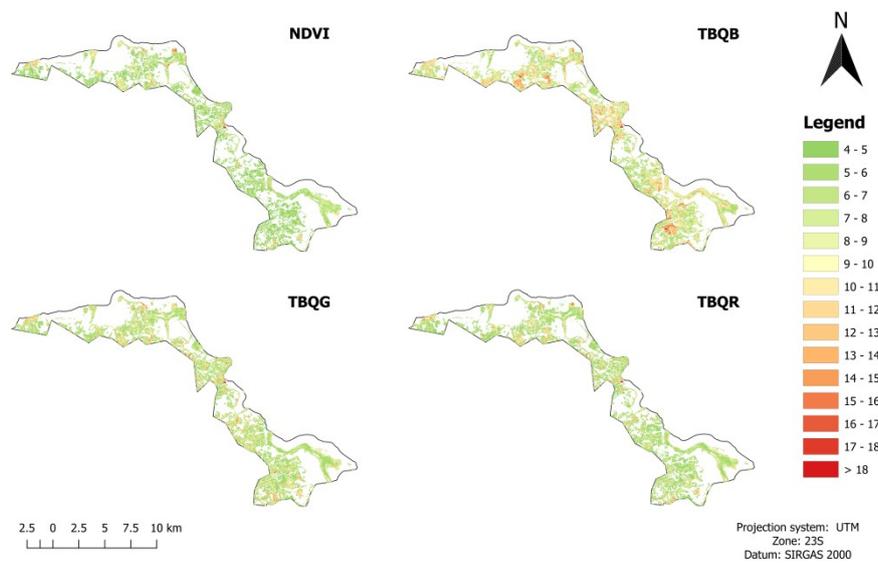
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810 Figure 6b

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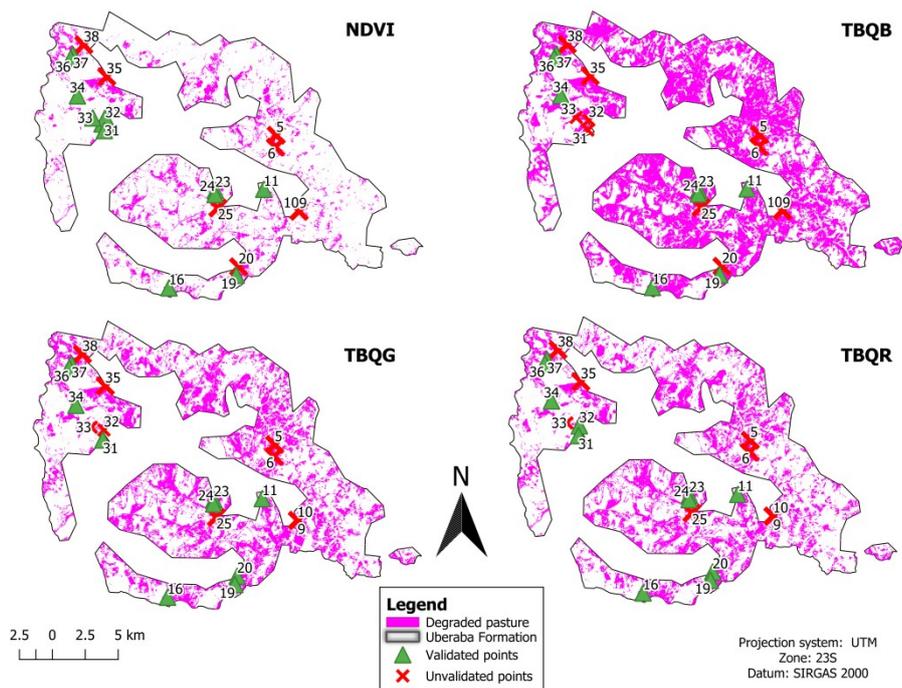


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813 Figure 6b1

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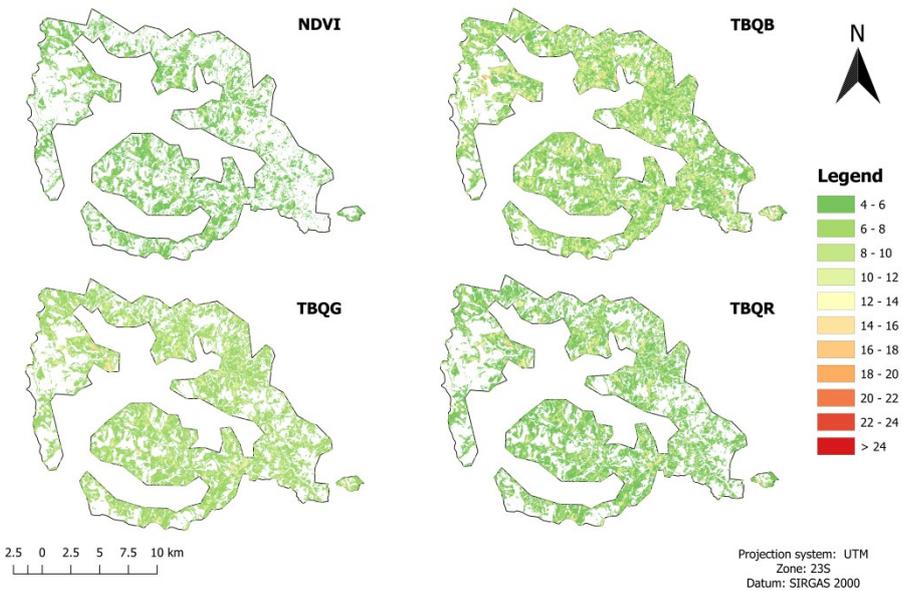
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816 Figure 6c

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819 Figure 6c1