

1 **Unveiling a multilayered regulatory network of NONEXPRESSOR OF**
2 **PATHOGENESIS-RELATED GENES1 — A central node of salicylic**
3 **acid-mediated plant defense**

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23

24 **Abstract**

25 Salicylic acid (SA) plays pivotal roles in plant defense against biotrophic and
26 hemibiotrophic pathogens. Tremendous progress has been made in the field of the SA
27 biosynthesis pathways and SA-mediated plant defense signaling networks in the past
28 three decades. As one of the SA receptors, NONEXPRESSOR OF PATHOGENESIS-
29 RELATED GENES1 (NPR1) functions as a master regulator of SA-mediated plant
30 defense. The function of NPR1 is tightly regulated by transcriptional and post-translational
31 regulation. This review discusses recent advances in SA and NPR1 biology, including SA
32 perception, SA signaling, the function of SA and NPR1 in plant immunity, and the
33 transcriptional and post-translational regulation of NPR1.

34 **Keywords:** *Arabidopsis thaliana*, *Pseudomonas syringae*, plant immunity, NPR1,
35 salicylic acid

36

37 **1 INTRODUCTION**

38 In the natural environment, plants are constantly facing the challenge of various kinds of
39 pathogenic infection, such as fungi, oomycetes, viruses, bacteria, and nematodes (Chen
40 et al., 2020). Unlike animal counterparts, plants do not possess circulatory systems and
41 specialized immune cells. In addition, as sessile organisms, plants cannot just move away
42 from these pathogens. In order to survive from pathogenic attacks, plants have developed
43 a sophisticated and multifaceted immune system to combat pathogens (Sun, Zhu, Balint-
44 Kurti, & Wang, 2020).

45 The first line of defense is the physical barriers to infection, such as the cuticle and
46 the cell wall. If pathogens were able to overcome the physical barriers, then plants have
47 evolved a multilayer system of immune responses to fight against those pathogens
48 (Jones & Dangl, 2006). The plant innate immune response depends on two main
49 recognition systems to detect invaders. One system is initiated by the recognition of
50 pathogen-associated molecular patterns (PAMPs) via pattern recognition receptors
51 (PRRs), leading to PAMP-triggered immunity (PTI) (Dodds & Rathjen, 2010). One typical
52 elicitor of PTI is the bacterial flagellin, which triggers defense responses in various plants
53 (Gomez-Gomez & Boller, 2002). Flg22, a 22-amino acid sequence of the conserved N-
54 terminal part of flagellin, is sufficient to induce full defense in plants. Flg22 is recognized
55 by the receptor-like kinase (RLK) FLAGELLIN INSENSITIVE 2 (FLS2), which acts
56 together with another RLK, BRASSINOSTEROID-INSENSITIVE1 (BRI1)-associated
57 receptor kinase 1 (BAK1), to activate downstream immune responses (Chinchilla, Bauer,
58 Regenass, Boller, & Felix, 2006; Chinchilla et al., 2007; Zipfel et al., 2004).

59 In order to avoid recognition by the PTI system of the host, pathogens have evolved
60 a set of proteins called effectors to suppress the immune system of the plants. In this
61 competition, plants have also evolved the second layer of the plant immune system. The
62 second layer involves intracellular host receptors encoded by major resistance (*R*) genes
63 to detect pathogen-derived effector molecules within the host cell, resulting in effector-
64 triggered immunity (ETI). ETI is qualitatively stronger and faster than PTI and culminates
65 in hypersensitive response. For instance, bacterial effectors from *Pseudomonas syringae*,
66 AvrRpm1 and AvrB, are recognized by RESISTANCE TO PSEUDOMONAS SYRINGAE
67 PV. MACULICOLA 1 (RPM1) protein in *Arabidopsis thaliana* resulting in accelerated
68 defense responses, cessation of pathogen growth, and hypersensitive host cell death at
69 the infection site (Desveaux et al., 2007; Mackey, Holt, Wiig, & Dangl, 2002). Another
70 well-known bacterial effector AvrRpt2 is recognized by the R protein RESISTANT TO
71 PSEUDOMONAS SYRINGAE2 (RPS2) in *Arabidopsis thaliana* to trigger ETI (Kunkel,
72 Bent, Dahlbeck, Innes, & Staskawicz, 1993). Most *R* genes encode nucleotide-binding
73 leucine-rich repeat (NB-LRR) proteins (Collier & Moffett, 2009). In general, most plants
74 carry a repertoire of 50-1500 different *NLR* genes that mediate resistance to various
75 viruses, bacteria, fungi, oomycetes, and nematodes (van Wersch, Tian, Hoy, & Li, 2020).

76 Besides the induction of defense at the site of infection, a common feature of both PTI
77 and ETI is the activation of systemic defense response, which is often triggered in the
78 distal parts of the infected plants. The activation thereby protects uninfected tissues
79 against subsequent infections by a wide range of pathogens. This long-lasting and broad-
80 spectrum induced disease resistance is referred to as systemic acquired resistance (SAR)
81 (Pieterse, Leon-Reyes, Van der Ent, & Van Wees, 2009). SAR is associated with

82 increased levels of plant hormone salicylic acid (SA), a beta hydroxy phenolic acid, at the
83 site of infection and in systemic tissues. SA is widely produced in prokaryotes and plants.
84 Accumulation of SA leads to induction of of *pathogenesis-related (PR)* genes, some of
85 which encode for proteins with antimicrobial activity (van Loon, Rep, & Pieterse, 2006).

86 In the last three decades, significant progress has been made in deciphering plant
87 immune signaling governed by plant hormone SA. This review summarizes our current
88 understanding concerning the function of SA and its receptor NPR1 in plant immunity. In
89 addition, we highlight recent breakthroughs in the perception of SA because of its critical
90 roles in the potentiation of PRRs (pattern recognition receptors)- and NLRs (leucine-rich
91 repeat receptors)-mediated signaling. Finally, we focus on recent breakthroughs that
92 have substantially advanced our understanding of how NPR1 is regulated at different
93 levels.

94 **2 ROLES OF SA AND ITS RECEPTOR NPR1 IN PLANT IMMUNITY**

95 SA, better known as the active ingredient in aspirin (acetyl-SA), is a plant hormone that
96 plays an important role in plant defense against biotrophic and semi-biotrophic pathogens
97 (Fu & Dong, 2013; Qi et al., 2018). The first observation of SA's involvement in plant
98 immunity was reported by Raymond F. White in 1979. He discovered that the application
99 of aspirin in tobacco conferred resistance against tobacco mosaic virus (TMV) (White,
100 1979). Similarly, SA was shown with an increase in the phloem sap of cucumber before
101 the induced resistance was detected in the systemic tissue (Métraux et al., 1990). Both
102 studies indicate that endogenous SA plays a role as an internal defense signal for plant
103 immunity.

104 **2.1 Transportation and function of SA in SAR**

105 The best-characterized role for SA in plant immunity is its role in systemic acquired
106 resistance (SAR) (Gaffney et al., 1993). SA was initially considered as a mobile signal for
107 SAR because the concentration of SA increases in both the primary infected and systemic
108 uninfected tissue (Malamy, Carr, Klessig, & Raskin, 1990). Grafting experiments
109 suggested that methyl salicylate (MeSA) is a critical, phloem-mobile SAR long-distance
110 signal in tobacco (Park, Kaimoyo, Kumar, Mosher, & Klessig, 2007); however, the
111 subsequent study concluded that MeSA is not the generic mobile signal for SAR (Attaran,
112 Zeier, Griebel, & Zeier, 2009). Later, it was suggested that pathogen-induced SA moves
113 via the extracytosolic apoplast compartment (Lim et al., 2016). Indeed, it was shown that
114 the SA level was increased in the apoplastic collected from *Pseudomonas syringae* pv.
115 *tomato* (*Pst*) DC3000 carrying *avrRpt2* inoculated plants when compared with that in
116 mock-inoculated plants (Lim et al., 2016). In contrast, two other SAR-associated chemical
117 signals, glycerol-3-phosphate (G3P) and azelaic acid (AZA), are transported preferentially
118 via plasmodesmata (Lim et al., 2016). More recently, Lim et al. showed that transport of
119 SA from local to distal tissues is indeed essential for SAR and that this transport is
120 governed by water potential in the infected tissue (Lim et al., 2020). Cuticle regulates the
121 active transport of the SA. In cuticle-defective mutants, reduced water potential
122 preferentially routes SA to cuticle wax rather than to the apoplast (Lim et al., 2020). N-
123 hydroxyl pipecolic acid (NHP), which is catalyzed from pipecolic acid (Pip) by flavin-
124 containing monooxygenases 1 (FMO1), was recently identified as a mobile signal for SAR
125 (Chen et al., 2018; Hartmann et al., 2018). SA contributes to the induction of Pip
126 biosynthesis. Pip biosynthetic genes *AGD2-LIKE DEFENSE RESPONSE PROTEIN 1*

127 (*ALD1*) and *SYSTEMIC ACQUIRED RESISTANCE DEFICIENT 4* (*SARD4*) are
128 upregulated upon SA treatment (Ding et al., 2018). In the *npr1-1* mutant, the accumulation
129 of Pip in the primarily infected leaves is delayed, suggesting that NPR1 positively
130 regulates Pip biosynthesis (Návarová, Bernsdorff, Döring, & Zeier, 2012). Interestingly,
131 SA biosynthetic genes *ISOCHORISMATE SYNTHASE 1* (*ICS1*), *ENHANCED DISEASE*
132 *SUSCEPTIBILITY 5* (*EDS5*) and *AVRPPHB SUSCEPTIBLE 3* (*PBS3*) are induced by Pip,
133 suggesting that there are amplification loops between SA and Pip (Hartmann et al., 2018).

134 **2.2 SA amplifies PTI signal**

135 SA also plays a critical role in PTI. The PTI elicitors flg22- and elf18-induced resistance
136 against *Pst* DC3000 was compromised in SA biosynthesis mutant *sid2-2* (Tsuda, Sato,
137 Stoddard, Glazebrook, & Katagiri, 2009). In addition, *sid2-2* and *npr4-4D* (carries a gain-
138 of-function mutation in *NPR4*, which constitutively represses SA signal) mutants are more
139 susceptible to *Pst* DC3000 *hrcC*, a type III secretion system-deficient bacterial strain
140 (Ding et al., 2018; Tsuda, Sato, Glazebrook, Cohen, & Katagiri, 2008). In agreement with
141 these reports, a recent study showed that NPR1 plays a prominent role in MAMP signaling
142 (Chen et al., 2017). NPR1 positively regulates cell wall-associated plant defense in
143 response to the *Pst* DC3000 *hrcC* (Chen et al., 2017). Activation of the early MAMP
144 marker genes was also significantly impaired in *npr1-2* mutant after pathogen challenge
145 (Chen et al., 2017). SA rapidly induces genes encoding PAMP receptors such as *FLS2*,
146 *EF-Tu receptor* (*EFR*), *CHITIN ELICITOR RECEPTOR KINASE 1* (*CERK1*), and co-
147 receptor *BAK1-LIKE 1* (*BKK1*) (Ding et al., 2018; Tateda et al., 2014). In addition, SA also
148 induces a large number of genes encoding signaling components that act downstream of
149 PAMP receptors, such as constituents of mitogen-activated protein (MAP) kinase

150 cascades, including *MAPKKK5*, *MKK1*, *MKK2*, *MKK4*, and *MPK11*, and subunits of
151 heterotrimeric G proteins, for instance, *EXTRA-LARGE G-PROTEIN 2 (XLG2)* and
152 *ARABIDOPSIS G-PROTEIN GAMMA-SUBUNIT 1 (AGG1)* (Ding et al., 2018; Zhang & Li,
153 2019). Thus, SA may play important roles in the amplification of PTI signals and NPR1
154 plays a prominent role in PTI signaling.

155 **2.3 SA plays a dual role in ETI**

156 SA plays dual roles in effector-triggered immunity. Firstly, SA is required for ETI. Initial
157 evidence came from the finding that *Arabidopsis thaliana* expressing the bacterial enzyme
158 salicylate hydroxylase cannot accumulate SA and is, therefore, more susceptible to the
159 ETI elicitor *Pst avrRpt2* (Delaney et al., 1994). Early studies revealed that SA
160 accumulation is associated with the onset of hypersensitive response during *R* gene-
161 mediated defense responses (Nawrath & Metraux, 1999). Activation of ETI by
162 *Pseudomonas* effectors *AvrRpm1* and *AvrRpt2* in *Arabidopsis* results in dramatic
163 increases in local SA levels, in a *ICS1*- and *EDS5*-dependent manner (Nawrath & Metraux,
164 1999). SA amplifies ETI signal through positive regulation of several sensor NLR genes,
165 such as *RPM1*, *RPS6*, *HOPZ-ACTIVATED RESISTANCE 1 (ZAR1)*, and *RESISTANCE*
166 *TO LEPTOSPHERA MACULANS 3 (RLM3)* (Ding et al., 2018). Interestingly,
167 *ENHANCED DISEASE SUSCEPTIBILITY1 (EDS1)*, *PHYTOALEXIN DEFICIENT4*
168 (*PAD4*), *SENESCENCE-ASSOCIATED GENE 101 (SAG101)*, and *NON RACE-*
169 *SPECIFIC DISEASE RESISTANCE 1 (NDR1)*, which are required for TNL (Toll -
170 like/Interleukin 1 receptor-type NLR)- and CNL (coiled-coil-type NLR)-mediated plant
171 immunity, are also induced by SA (Ding et al., 2018; Falk et al., 1999; Feys, Moisan,
172 Newman, & Parker, 2001). On the other hand, the activation of SA signaling also plays

173 an essential role in the negative regulation of cell death during ETI. It was shown that SA
174 pre-treatment in Arabidopsis Col-0 plants blocks HR activated by *Pseudomonas syringae*
175 pv. *maculicola* ES4326 carrying *avrRpm1* (Devadas & Raina, 2002). In addition,
176 increased ion leakage was observed in *eds5-3* compared to wild type infected with *Pst*
177 DC3000 *avrRpt2* (Radojicic, Li, & Zhang, 2018). Finally, it was revealed that SA-mediated
178 suppression of cell death is dependent on NPR1. Fu et al. found that NPR1 functions as
179 a negative regulator of programmed cell death (PCD), because the *npr3 npr4* double
180 mutant can no longer undergo PCD in response to pathogen effectors (Fu et al., 2012).
181 In line with this, a previous report also suggested that NPR1 suppresses hypersensitive
182 response (Rate & Greenberg, 2001). Very recently, a breakthrough study unveiled a
183 detailed mechanism by which NPR1 promotes defense and restricts cell death (Figure 1)
184 (Zavaliev, Mohan, Chen, & Dong, 2020). Zavaliev et al. showed that NPR1 promotes cell
185 survival by targeting substrates for ubiquitination and degradation through formation of
186 salicylic acid-induced NPR1 condensates (SINCs) (Figure 1) (Zavaliev, Mohan, Chen, &
187 Dong, 2020). SINC induction facilitates formation of the NPR1-Cullin 3 E3 ubiquitin ligase
188 complex to ubiquitinate SINC-localized substrates, such as EDS1 and specific WRKY
189 transcription factors, and promote cell survival during ETI (Figure 1) (Zavaliev, Mohan,
190 Chen, & Dong, 2020). In conclusion, SA plays a dual role in the regulation of PCD in
191 plants.

192 **3 NPR1, NPR3, AND NPR4 ARE *BONA FIDE* SA RECEPTORS**

193 **3.1 SA perception by NPR1/3/4**

194 It is widely believed that plant and animal hormones transduce their signals by binding to
195 one or more receptors. Despite the discovery of dozens of SA binding proteins (Chen,

196 Ricigliano, & Klessig, 1993; Ding et al., 2018; Du & Klessig, 1997; Fu et al., 2012; Kumar
197 & Klessig, 2003; Manohar et al., 2015; Slaymaker et al., 2002; Yuan, Liu, & Lu, 2017),
198 only NPR1, NPR3, and NPR4 are considered as *bona fide* SA receptors (Ding et al., 2018;
199 Fu et al., 2012; Wu et al., 2012). NPR3 and NPR4 display high affinity with SA, while the
200 SA binding activity of NPR1 was controversial (Ding et al., 2018; Fu et al., 2012; Wu et
201 al., 2012). Until very recently, Wang et al. re-evaluated SA binding by NPR1 and found
202 that less than 0.02% of the total MBP–NPR1 in the sample was able to bind SA (Wang et
203 al., 2020). By contrast, about 8% of NPR4 were able to bind to SA at the same saturating
204 concentration (Wang et al., 2020). This explains why the SA binding activity of NPR1 was
205 barely detected, which is reported by Fu et al., 2012. Furthermore, Wang et al. identified
206 amino acids 373-516 within the NPR4 C-terminal domain as the SA-binding core (SBC)
207 (Wang et al., 2020). Wang et al. also characterized SBC surface residues that affect SA
208 binding and found that three mutants NPR4(F426L), NPR4(E469I), and NPR4(K505Q),
209 showed reduced SA binding activity (Wang et al., 2020). By contrast, mutations of T459
210 to G increased SA binding to NPR4 by 50% (Wang et al., 2020). Interestingly, when the
211 T459G substitution was combined with F426L, the ability of NPR4 to bind SA was
212 substantially enhanced. NPR1 and NPR4 share nearly identical hormone-binding
213 residues. NPR1 is also equipped with an SBC module (amino acids 386-525) that is
214 capable of sensing SA, despite the absence of Cys529 that was previously reported to
215 be required for SA binding (Wang et al., 2020; Wu et al., 2012).

216 **3.2 SA signaling by NPR1/3/4**

217 As a SA receptor, NPR1 functions as a transcriptional activator that promotes SA-induced
218 defense gene expression. The *npr1* mutant was first identified during a screening of

219 Arabidopsis mutants that do not respond to SA or its active analogs (Cao, Bowling,
220 Gordon, & Dong, 1994; Delaney, Friedrich, & Ryals, 1995). Loss of NPR1 results in
221 reduced *PR* gene expression and increased susceptibility to pathogens (Cao et al., 1994;
222 Delaney et al., 1995). NPR1 consists of an N-terminal bric-a-brac, tramtrack, and broad-
223 complex (BTB) domain, ankyrin repeats, and a C-terminal domain containing a nuclear
224 localization signal (NLS) and a putative transactivation domain (Kinkema, Fan, & Dong,
225 2000; Rochon, Boyle, Wignes, Fobert, & Despres, 2006). The NLS is required for SA-
226 induced NPR1 nuclear translocation and function in SAR (Kinkema et al., 2000). In the
227 cytosol, NPR1 mainly exists as oligomers. Upon pathogen infection or SA treatment,
228 NPR1 is reduced from an oligomeric state to a monomeric state, and it is translocated to
229 the nucleus to activate downstream transcription cascades (Mou, Fan, & Dong, 2003).
230 NPR1 itself does not have a DNA binding domain; thus, NPR1-mediated signaling
231 requires interaction with other transcription factors. Yeast two-hybrid screening has
232 revealed that NPR1 interacts with seven members of the TGA transcription factor family
233 (Boyle et al., 2009; Despres, DeLong, Glaze, Liu, & Fobert, 2000; Kim & Delaney, 2002;
234 Zhou et al., 2000). Histone acetyltransferases (HATs or HACs) are well-known
235 transcriptional coactivators that facilitate transcription through relaxing specific region of
236 chromatin by histone acetylation, which makes DNA more accessible to transcription
237 factors (Barlev et al., 2001; Ogryzko, Schiltz, Russanova, Howard, & Nakatani, 1996).
238 Interestingly, HAC1 and HAC5 interact with NPR1 forming a coactivator complex with
239 TGAs, which are recruited to the *PR* chromatin to activate the transcription of *PR* genes
240 (Jin et al., 2018).

241 NPR1 positively regulates SA-mediated plant immunity, whereas NPR3 and NPR4
242 function as negative regulators of plant defense (Fu et al., 2012). NPR3 and NPR4
243 function as adaptors of the Cullin3 ubiquitin 3 E3 ligase (CUL3) to mediate NPR1
244 degradation (Fu et al., 2012). In support of this finding, it was shown that *npr3 npr4* double
245 mutant accumulates a higher level of NPR1 protein (Fu et al., 2012). Also, NPR3 and
246 NPR4 have been shown to facilitate the degradation of JAZ proteins to promote ETI (Liu
247 et al., 2016). In addition to regulating NPR1 protein level, NPR3 and NPR4 serve as
248 redundant transcriptional co-repressors that prevent activation of defense gene
249 expression when the SA level is low. NPR3/NPR4 negatively regulates the expression of
250 *SARD1*, *CBP60g*, and *WRKY70* through interaction with transcription factors
251 TGA2/TGA5/TGA6 (Ding et al., 2018). In contrast, NPR1 promotes the expression of
252 *SARD1* and *WRKY70* in response to SA (Ding et al., 2018). In the presence of SA, the
253 transcription repressor activity of NPR3/4 was inhibited (Ding et al., 2018). The SA
254 insensitive *npr4-4D* mutant not only displays enhanced disease susceptibility but also
255 blocks INA-induced disease resistance (Ding et al., 2018). Thus, NPR1 and NPR3/4 play
256 opposite roles in transcriptional regulation of SA-induced gene expression, although both
257 are considered as *bona fide* SA receptors.

258 **3.3 Structural basis of NPR proteins**

259 Despite extensive efforts, the structure of NPR proteins has not been resolved until very
260 recently. Wang et al. identified amino acids 373 to 516 within the NPR4 C-terminal domain
261 as the SA-binding core (SBC) (Wang et al., 2020). By using hydrogen–deuterium-
262 exchange mass spectrometry (HDX-MS), Wang et al. confirmed that the SBC of NPR4
263 has a deuterium uptake profile that is sensitive to SA (Wang et al., 2020). SBC of NPR4

264 was then crystallized and determined its structure at 2.3 Å resolution (Wang et al., 2020).
265 The structure of the NPR4 SBC consists of five tightly packed α -helices and the C-
266 terminal four-helix-bundle-like fold, while the SA-binding site is located at the tapered end
267 of the four-helix bundle of the SBC of NPR4 (Wang et al., 2020). The SA-binding pocket
268 is characterized by its hydrophobicity and its central location within the receptor SBC
269 domain. It completely buries the SA inside an internal cavity at the tapered end of the
270 four-helix-bundle-like fold, leaving no gap for the ligand to enter or escape (Wang et al.,
271 2020). The lack of a ligand-entry pathway suggests that SA binding involves a major
272 conformational remodeling of the NPR4 SBC (Wang et al., 2020). By revealing the
273 structural mechanisms of SA perception by NPR4 SBC, Wang et al. provides initial
274 insights into the structure-function relationships of NPR proteins, which in turn sheds light
275 on the interplay between NPR proteins in SA signaling, and provides a new direction for
276 engineering plant immunity.

277 **4 TRANSCRIPTIONAL REGULATION OF *NPR1* GENE**

278 Despite its pivotal role in plant immunity, the transcriptional regulation of *NPR1* is not
279 extensively studied. As of now, there are only two transcription factors that have been
280 found to bind to *NPR1* promoter (Chai, Liu, Zhou, & Xing, 2014; Yu, Chen, & Chen, 2001).
281 WRKY18 was the first transcription factor that was reported to specifically recognize the
282 W-box motif in the *NPR1* promoter (Yu et al., 2001). The W-box motif in the *NPR1*
283 promoter is essential for its gene expression (Yu et al., 2001). Mutations in the W-box
284 sequences abolish their recognition by WRKY DNA binding proteins, rendering the
285 promoter unable to activate a downstream reporter gene (Yu et al., 2001). The *npr1*
286 mutants containing an *NPR1* gene with a mutated W-box are unable to induce SA-

287 dependent gene expression or resistance (Yu et al., 2001). SA induces a number of other
288 *WRKY* genes, suggesting that additional *WRKY* family proteins are involved in regulation
289 of *NPR1* gene expression. Indeed, ChIP assay showed that *WRKY6* binds to the W-box
290 of the *NPR1* promoter (Chai et al., 2014). Further analyses showed that the mRNA level
291 of *NPR1* is reduced in *wrky6* mutants and enhanced in *WRKY6* overexpressing lines.
292 *WRKY6*-induced *NPR1* gene expression is required for SA-induced leaf senescence, but
293 it is not clear if *WRKY6* is involved in SA-mediated plant immunity.

294 Interestingly, *NPR1* protein also regulates its own gene expression. It was long found
295 that *NPR1* transcript accumulation in the *npr1* mutants was not induced by INA (Kinkema
296 et al., 2000). Later, Zhang et al. showed that *Pst* DC3000-induced *NPR1* transcript
297 accumulation in *npr1-3* mutant was significantly lower than that in wild type (Zhang, Wang,
298 Zhang, Sun, & Mou, 2012). These studies indicate that a functional *NPR1* protein is
299 required for the full expression of *NPR1*. Recently, Chen et al. demonstrated that a
300 functional *NPR1* protein promotes *NPR1* gene expression by binding to its promoter
301 (Chen et al., 2019). The finding that functional *NPR1*-GFP, but not *npr1-2*, is able to
302 induce *npr1-2* gene expression demonstrates that *NPR1* protein promotes its own gene
303 expression. Since *NPR1* does not have a DNA binding domain, the binding of *NPR1* to
304 its own promoter must be mediated by transcription factors. Indeed, it has been shown
305 that *WRKY18* interacts with *NPR1*, an interaction that is enhanced by SA (Chen et al.,
306 2019). Despite these discoveries, there remain several gaps in our understanding of how
307 *NPR1* expression is regulated. Finally, CYCLIN-DEPENDENT KINASE 8 (*CDK8*) filled
308 this gap in knowledge (Figure 2). *NPR1* interacts with *CDK8*, which recruits RNA
309 polymerase II to the promoter of *NPR1* to facilitate its gene expression. Interestingly,

310 CDK8 also interacts with WRKY18 and WRKY6, which are positive regulators of *NPR1*.
311 It will be interesting to investigate how CDK8 regulates the transcription factor activity to
312 understand further how *NPR1* gene is regulated. CDK8 kinase module also includes
313 Mediator 12 and 13 (MED12/13), mutation of which causes the plants to accumulate a
314 low amount of *NPR1* transcript, which results in a loss of systemic acquired resistance.
315 Further study is required to fully understand the role of MED12 and MED13 in the
316 regulation of *NPR1* expression.

317 **5 POST-TRANSLATIONAL REGULATION OF NPR1 PROTEIN**

318 **5.1 Conformational changes of NPR1**

319 SA affects NPR1 function in two stages: first, it induces *NPR1* gene expression; second,
320 SA promotes the conformational change of NPR1 and facilitates the translocation of
321 NPR1 into the nucleus. The function of NPR1 is tightly regulated by its conformational
322 change. In an uninduced state, NPR1 is present as an oligomer formed through
323 intermolecular disulfide bonds (Mou et al., 2003). There are 17 cysteine residues in NPR1,
324 ten of which are highly conserved. Site-directed mutagenesis showed that this oligomer
325 contains intermolecular disulfide bonds between cysteine residues positioned within the
326 BTB domain (Cys⁸²) and the region between the BTB and Ankyrin domains (Cys¹⁵⁰,
327 Cys¹⁵⁵, Cys¹⁵⁶, Cys¹⁶⁰, and Cys²¹⁶) (Mou et al., 2003). Mutations at residues Cys⁸² and
328 Cys²¹⁶ in NPR1 result in increased monomer accumulation, constitutive nuclear
329 localization, and NPR1-mediated gene expression in the absence of pathogen challenge
330 (Mou et al., 2003). NPR1 is sensitive to redox changes. Upon SAR induction, a biphasic
331 change in cellular reduction potential occurs, resulting in a reduction of NPR1 from
332 oligomeric form to monomeric form (Mou et al., 2003). Monomeric NPR1 accumulates in

333 the nucleus and activates defense genes expression. NPR1 conformational changes are
334 regulated by S-nitrosylation and thioredoxins (Figure 3). S-nitrosylation of Cys¹⁵⁶ by S-
335 nitrosoglutathione (GSNO) facilitates the assembly of NPR1 oligomer (Tada et al., 2008).
336 Upon pathogen infection or accumulation of SA, changes in cellular redox potential lead
337 to the reduction of cysteines through the activity of thioredoxins (TRX-h3 and TRX-h5)
338 and release of NPR1 monomers to localize to the nucleus (Tada et al., 2008). Both NPR1
339 and TGA1 are well-described redox-regulated signaling compounds (Despres et al.,
340 2003). Interestingly, not only NPR1, but also TGA1 are S-nitrosylated after treatment with
341 GSNO (Lindermayr, Sell, Muller, Leister, & Durner, 2010). Mass spectrometry analyses
342 revealed that the Cys residues 260 and 266 of TGA1 are S-nitrosylated and S-
343 glutathionylated (Lindermayr et al., 2010). GSNO protects TGA1 from oxygen-mediated
344 modifications and enhances the DNA binding activity of TGA1 to the *as-1* element at *PR1*
345 promoter in presence of NPR1 (Lindermayr et al., 2010).

346 **5.2 Phosphorylation of NPR1**

347 Besides modifications of the cysteine residues that affect the NPR1 oligomer–monomer
348 switch, phosphorylation of NPR1 was also found to be required for its nuclear import and
349 establishment of SAR. SnRK2.8 interacts with and phosphorylates NPR1; however,
350 SnRK2.8 does not affect the NPR1 monomerization reaction (Lee et al., 2015).
351 Phosphorylation within the C terminal NLS (Ser⁵⁸⁹) by SnRK2.8 was found to be required
352 for nuclear import and the establishment of SAR (Figure 3) (Lee et al., 2015). Furthermore,
353 genetic evidence indicates that an additional threonine (Thr³⁷³), which is identified by
354 phosphoproteomic analysis of *in vitro* phosphorylated NPR1, might also be modified by
355 SnRK2.8 as the *npr1* (T373A) mutant fails to enter the nucleus (Lee et al., 2015). Another

356 kinase that was found to interact with and phosphorylate NPR1 was PROTEIN KINASE
357 SOS2-LIKE5 (PKS5), a pathogen-responsive member of the sucrose non-fermenting 1
358 (SNF1)-related kinase 3 (SnRK3) subgroup (Xie, Zhou, Deng, & Guo, 2010). PKS5
359 phosphorylates the C-terminal region of NPR1. In *pk5* mutants, the expression level of
360 two NPR1 target genes, *WRKY38* and *WRKY62*, is reduced and/or delayed (Xie et al.,
361 2010). Despite this discovery, the phosphorylation site of NPR1 is still unknown. More
362 recently, it was shown that mitogen-activated protein kinase MPK1 directly interacts with
363 and phosphorylates NPR1 (Zhang et al., 2020). Meanwhile, MPK1 also mediates NPR1
364 monomerization (Zhang et al., 2020). Further research is required to understand the
365 mechanism by which PKS5 and MPK1 phosphorylates NPR1 fully.

366 **5.3 Proteasome-mediated turnover of NPR1**

367 NPR1 activity is tightly regulated by post-translational degradation. Proteasome-mediated
368 turnover of NPR1 within the nucleus is a requirement for the full induction of target genes
369 and the establishment of SAR (Spoel et al., 2009). In the absence of pathogen challenge,
370 NPR1 is continuously cleared from the nucleus by the proteasome (Spoel et al., 2009).
371 Inducers of SAR promote NPR1 phosphorylation at residues Ser¹¹/Ser¹⁵ and facilitate its
372 recruitment to a CUL3-based ubiquitin ligase (Spoel et al., 2009). NPR1 does not interact
373 directly with CUL3, although NPR1 could be pulled down with an antibody against CUL3A
374 or co-immunoprecipitates with CUL3 in *N.benthamiana* extracts (Dieterle et al., 2005;
375 Spoel et al., 2009; Zavaliev et al., 2020). NPR3 and NPR4 function as adaptors of CUL3
376 E3 ligase to mediate NPR1 degradation in an SA-regulated manner (Fu et al., 2012).
377 Consistent with this, Wang et al. demonstrate that NPR1 is destabilized in
378 NPR4(F426L/T459G) and NPR4 plants when treated with 1 mM SA (Wang et al., 2020).

379 In support of this, it was found that *npr3 npr4* double mutant accumulates a higher level
380 of EDS1 proteins, indicating that EDS1 is subject to NPR3/4 mediated degradation
381 (Chang et al., 2019; Fu et al., 2012). In addition, NPR3 and NPR4 facilitate the
382 degradation of JAZ proteins to promote ETI (Liu et al., 2016). Interestingly, a bacteria
383 effector AvrPtoB, which is an E3 ligase, also targets NPR1 for degradation via the host
384 26S proteasome pathway, thereby subverting plant immunity (Chen et al., 2017). In rice,
385 OsCUL3a interacts with and degrades OsNPR1, which acts as a positive regulator of cell
386 death in rice (Liu et al., 2017). The function of NPR1 in plant immunity has been revealed
387 in other species as well (Chen et al., 2012; Malnoy, Jin, Borejsza-Wysocka, He, &
388 Aldwinckle, 2007; L. Wang et al., 2017; Zhang et al., 2012; Zhang, Ni, Ma, & Qiu, 2013).
389 It would be interesting to investigate if NPR1 proteins in other species are also degraded
390 by E3 ligase activity to regulate plant immunity. Interestingly, a recent finding from Fu's
391 group showed that NPR1 protein stability is also controlled by EDS1 (unpublished data).
392 On the other hand, EDS1 protein stability is regulated by PBS3 (Chang et al., 2019).
393 These studies suggest that there are strong physical and biological interactions among
394 the immune proteins in SA signaling pathway. Deeper research will help us to better
395 understand the interplay between these important regulators in SA-mediated plant
396 immunity.

397 **5.4 Polyubiquitination and de-ubiquitination of NPR1**

398 The turnover of NPR1 protein is mediated by 26S proteasome complex through
399 sequential polyubiquitination processes by E3 ligase CUL3 and E4 ligase UBE4 (Figure
400 3) (Skelly, Furniss, Grey, Wong, & Spoel, 2019). Adult *ube4* mutant displays enhanced
401 expression of immune genes in the absence of pathogen challenge (Skelly et al., 2019),

402 which is similar to the phenotype observed in mutants in CUL3 E3 ligase that fail to
403 degrade NPR1 (Spoel et al., 2009). UBE4 is involved in polyubiquitination of NPR1. Only
404 when polyubiquitination of NPR1 is enhanced by UBE4, is it targeted for proteasomal
405 degradation (Skelly et al., 2019). The complexity of the ubiquitin-dependent post-
406 translational regulation of NPR1 was further revealed by the identification of ubiquitin-
407 specific protease UBP6 and UBP7 that deubiquitinated NPR1 (Figure 3). Knockout of
408 *UBP6* and *UBP7* resulted in an enhanced turnover and decreased transcriptional output
409 of NPR1 (Skelly et al., 2019). Thus, ubiquitin chain extension and trimming activities can
410 fine-tune transcriptional outputs of transcriptional coactivator NPR1.

411 **5.5 SUMOylation of NPR1**

412 In addition, NPR1 is also regulated by SUMOylation. Small ubiquitin-like modifier 3
413 (SUMO3) interacts with and SUMOylates NPR1 following SA treatment (Saleh et al.,
414 2015). SUMO-interaction motif (VIL)-(VIL)-x-(VIL) found within the ankyrin repeat domain
415 of NPR1 is required for the interaction between NPR1 and SUMO3 (Saleh et al., 2015).
416 In the absence of SA accumulation, NPR1 is phosphorylated at Ser⁵⁵/Ser⁵⁹, which blocks
417 SUMOylation and promotes interaction with WRKY70 in order to repress *PR1* expression
418 (Saleh et al., 2015). Upon induction, Ser⁵⁵/Ser⁵⁹ of NPR1 is likely dephosphorylated,
419 allowing NPR1 to become SUMOylated. SUMOylation of NPR1 activates defense gene
420 expression by switching NPR1's association with the WRKY transcription repressors to
421 TGA transcription activators. In addition, modification of NPR1 by SUMO3 is required for
422 phosphorylation at Ser¹¹/Ser¹⁵ to form a signal amplification loop to generate more
423 activated NPR1 (Figure 3) (Saleh et al., 2015). The interplay between SUMOylation and

424 phosphorylation of NPR1 sheds light on the mystery of why the degradation of NPR1
425 appeared to be required for the full activity of NPR1 (Spoel et al., 2009).

426 **6 CONCLUDING REMARKS AND FUTURE PERSPECTIVES**

427 Transcriptional regulation of NPR1 plays a vital role in SA signaling. Although a couple of
428 transcription factors that regulate NPR1 promoter activity have been found, there are still
429 some transcription factors that are yet to be identified. *NPR1* promoter contains a *TL1*
430 (CTGAAGAAGAA) element; thus, the expression of *NPR1* gene may be regulated by
431 *TL1*-binding transcription factor TBF1 (Pajerowska-Mukhtar et al., 2012). It has been
432 shown that CDK8 functions as a bridge between WRKY transcription factors that bind to
433 *NPR1* promoter and RNA polymerase II (Chen et al., 2019). CDK8 kinase module
434 subunits MED12 and MED13 also positively regulate *NPR1* gene expression (Chen et al.,
435 2019). It would be interesting to investigate whether if MED12 and MED13 also associate
436 with *NPR1* promoters through transcription factors such as WRKY18, WRKY6, or others
437 that are yet to be identified (Figure 2). In addition, SARD1 and CBP60g have been found
438 to bind to the promoters of *NPR1* gene through ChIP assay (Sun et al., 2015); however,
439 the underlying molecular mechanism remains cryptic (Figure 2).

440 Post-translational modifications of NPR1 have been extensively studied. However,
441 there are still some basic questions that remain to be answered. NPR1 interacts with
442 transcription factors, such as TGAs, to regulate defense genes expression; however, how
443 exactly is the transcription coactivity of NPR1 orchestrated, and what genes does NPR1
444 control during specific time points of immune response? How do specific
445 posttranscriptional modifications of NPR1 affect its interaction with TGAs and other
446 transcription factors such as TCPs, that have been shown to regulate *PR5* gene

447 expression (Li et al., 2018)? A previous study showed that NPR1 forms a protein complex
448 with HAC1 and TGAs to regulate *PR1* gene expression. NPR1 may interact with other
449 chromatin remodeling proteins as well, which warrants further investigation. In addition,
450 ChIP assay using NPR1 transgenic plant will further help us understand the regulatory
451 role of NPR1 in the expression of defense genes.

452 Phosphorylation has been shown to regulate multiple NPR1 functions. Two members
453 of the SnRK family of kinases have been shown to interact with and phosphorylate NPR1
454 (Lee et al., 2015; Xie et al., 2010); however, the kinase responsible for Ser¹¹/Ser¹⁵ and
455 Ser⁵⁵/Ser⁵⁹ phosphorylation have not yet been identified. NPR1 contains multiple sites
456 that are potentially phosphorylated (Withers & Dong, 2016). Thus, it would be interesting
457 to identify those sites further to understand the phosphorylation events within the NPR1
458 protein. Recent studies have suggested that NPR1 is also dephosphorylated (Saleh et
459 al., 2015); however, no phosphatases that directly interact with and regulate NPR1 have
460 been discovered.

461 The post-translational regulation of NPR1 is well studied; however, it is not known if
462 all NPR1 homologs would undergo similar biochemical processes to NPR1 in response
463 to SA accumulation, such as the transition from oligomer to monomer, translocation from
464 cytosol to nuclear, polyubiquitination, and rapid protein turnover. Although the crystal
465 structure of NPR4 SBC has been revealed, the crystal structures of full-length NPR1/3/4
466 are still mysteries. The structural determination of full-length NPR1/3/4 in its modified
467 states and/or bound to SA would provide the ultimate understanding of the physical
468 dynamics of NPR1/3/4.

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472 **CONFLICT OF INTEREST STATEMENT**

473 The authors declare no conflict of interest.

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747 **FIGURE LEGENDS**

748 **Figure1.** NPR1 promotes survival during ETI. During ETI, infected cells detect pathogen
749 effectors through nucleotide-binding leucine-rich repeat (NB-LRR) proteins. The signal is
750 then transduced through components such as EDS1/PAD4 and WRKY transcription
751 factors. NPR1 monomers enter the nucleus and are subjected to the NPR3/4-CUL3
752 complex for degradation to remove its inhibitory effect on ETI. In adjacent cells, SA
753 mediates the activation of nuclear NPR1 to induce transcription of SAR genes, including
754 salicylic acid-induced NPR1 condensates (SINC) components, such as NB-LRRs, EDS1,
755 and WRKY54/70. In the presence of SA, NPR1 promotes cell survival by recruiting CUL3
756 and targeting substrates that are involved in cell death for ubiquitination and degradation
757 through the formation of SINC.

758 **Figure 2.** Transcriptional regulation of *NPR1* gene. Upon pathogen infection, SA
759 accumulates in the plant cell. SA binds to NPR1 protein and promotes the interaction
760 between NPR1 and WRKY18. CDK8 also interacts with WRKY transcription factors
761 WRKY18 and WRKY6, which associate with *NPR1* promoter through W-box motif. In the
762 presence of SA, NPR1 recruits CDK8 to *NPR1* promoter to facilitate its own gene
763 expression. CDK8 kinase module subunits MED12 and MED13 are also involved in the
764 transcriptional regulation of *NPR1* gene. Some unknown transcription factors that interact
765 with MED12 or MED13 may regulate the expression of *NPR1* gene. TBF1 potentially
766 regulates the expression of *NPR1* gene through the *TL1* element. SARD1 and CBP60g
767 associate with *NPR1* promoter to regulate its gene expression through an unknown *cis*-
768 element. Other unidentified transcription factors that regulate *NPR1* gene expression
769 need to be discovered. TF, transcription factor.

770 **Figure 3.** Post-translational regulation of NPR1 protein. At the resting stage, NPR1 mainly
771 exists as oligomer in the cytosol. NPR1 is phosphorylated at Ser⁵⁵/Ser⁵⁹ (S55/59) and
772 interacts with WRKY70 to suppress *PR1* gene induction. NPR1 is constantly degraded
773 by CUL3 and its adapter protein NPR4. Thioredoxins (TRXs) and GSNO mediate the
774 transition of NPR1 between oligomeric and monomer state. Upon pathogen infection
775 (induced condition), SA accumulates in the plant cell. SnRK2.8 phosphorylates NPR1 at
776 S589 and facilitates its translocation from the cytosol to the nucleus. T373 is also required
777 for NPR1 nuclear transport. In the nucleus, SA accumulation promotes dephosphorylation
778 of S55/59 through an unknown mechanism and induces the SUMOylation of NPR1 by
779 SUMO3. SUMOylation promotes phosphorylation of NPR1 at Ser¹¹/Ser¹⁵ and the
780 interaction between NPR1 and TGAs to facilitate *PR1* gene expression. The turnover of
781 NPR1 protein is mediated by 26S proteasome (26S) complex through sequential
782 polyubiquitination processes by CUL3 and E4 ligase UBE4. On the other hand, NPR1
783 deubiquitination process is mediated by ubiquitin-specific proteases UBP6 and UBP7,
784 which are closely linked to 26S proteasome. P, phosphorylation. S, SUMOylation.

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