### Dry Needling in the Management of Chronic Tension Type Headache Associated with Levator Scapulae Syndrome: A Case Report

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#### ABSTRACT

**Background/purpose:** Chronic tension-type headaches (CTTH) have a lifetime prevalence of 42% and account for more lost workdays than migraine headaches. Dry needling is being increasingly used by physical therapists in the management of CTTH; however, to date, the supporting evidence is limited.

**Case Description:** The purpose of this case report was to describe how three sessions of dry needling (DN) targeting myofascial trigger points in the levator scapulae muscle and its distal enthesis was used to treat a 63-year-old male patient who presented with work-related CTTH associated with levator scapulae syndrome (LSS).

**Outcome:** The patient was treated for five visits over the course of two months. At discharge and 6-month follow-up, the patient reported full resolution of symptoms. Self-report outcomes included the numeric pain rating scale and the neck disability index.

**Discussion:** The use of DN to the levator scapulae muscle and its distal enthesis may be a valuable addition to a multi-modal plan of care in the treatment of work-related chronic tension-type headaches associated with LSS.

Level of Evidence: Level IV

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#### BACKGROUND

Headache ranks among the top ten most disabling conditions worldwide.<sup>1</sup> Tension type headache is the most frequent primary headache and is characterized by bilateral, non-throbbing, mild to moderate pain of the head and neck that is not exacerbated by routine physical activity.<sup>2</sup> According to the International Headache Society (IHS), there are 2 distinct types of tension type headache. Episodic tension type headaches (subclassified as infrequent or frequent) occur between 1-14 days per month. Less common, chronic tension-type headaches (CTTH) are present on greater than or equal to 15 days per month.<sup>2</sup> Population-based studies suggest a lifetime prevalence of  $42\%^1$  with three times more work days lost when compared to migraine headaches.<sup>3</sup>The IHS criteria for diagnosis of CTTH includes (1) headache occurring [?]15 days per month on average for >3 months (2) lasting hours to days and (3) demonstrating at least two of the following four characteristics: bilateral location, pressing or tightening (non-pulsating) quality, mild or moderate intensity, not aggravated by routine physical activity.<sup>2</sup>

The onset of episodic headache can occur due to peripheral tissue irritation with central pain mechanisms underlying the evolution to CTTH.<sup>4-6</sup> Patients with CTTH demonstrate greater headache intensity and frequency compared to those with episodic tension type headache, indicating temporal summation of noxious afferent input.<sup>7</sup> Recurrent, low-frequency nociceptor stimulation progressively sensitizes peripheral nerve terminals and spinal dorsal horn neurons related to the neck and shoulder region, contributing to the formation and maintenance of myofascial trigger points (MTrP) in patients with CTTH.<sup>8,9</sup> Previous studies have demonstrated neck and shoulder MTrPs, along with surrounding soft tissues including tendons, ligaments, and fascia may reproduce the symptoms associated with CTTH.<sup>10-14</sup>

Simons and Travell defined a MTrP as a hyperirritable spot within a taut band of a skeletal muscle that is painful on compression, stretch, overload, or contraction with referred pain perceived distant from the hyperirritable spot.<sup>15</sup> Although a systematic review found no high-quality studies reporting the inter-rater reliability of identifying the location of a MTrP in a symptomatic muscle, good reliability was reported for diagnostic signs including local tenderness (k=0.22 to 1.0) and pain recognition (k=0.57 to 1.0).<sup>16</sup> Advanced imaging techniques like sonoelastography and magnetic resonance elastography appear to contribute to the objective identification of MTrPs.<sup>17,18</sup>

The integrated hypothesis of MTrP formation proposed by Simons, speculates excessive acetylcholine release at the neuromuscular junction leads to sustained contraction of sarcomeres, local ischemia and an ATP driven 'energy crisis'. The resultant hypoxic state of the muscle induces secretion of inflammatory chemical mediators followed by the antidromic release of neuropeptides from local nerve endings, lowering pH levels and sensitizing neural pathways that contribute to the formation of MTrPs.<sup>19</sup> Active MTrPs are clinically associated with spontaneous pain (without palpation or manual compression) in the immediate surrounding tissue and/or distant, referred sites. In contrast, latent MTrPs elicit local or referred pain only upon palpation and are not recognized as familiar pain to the patient.<sup>20</sup> Both active and latent MTrPs can provoke tissue dysfunction characterized by reduced range of motion, muscle fatigue and altered activation patterns.<sup>21,22</sup>

Approximately 70% of patients with CTTH appear to experience muscle spasms in the cervical region.<sup>23,24</sup> More specifically, pathophysiological changes in the muscular activity of the sub-occipitals, sternocleidomastoid, upper trapezius, and levator scapulae have been recognized in the development of CTTH.<sup>13,25</sup>

Levator scapulae syndrome (LSS) is a musculoskeletal disorder, characterized by pain and stiffness in the upper thoracic and cervical regions, with limited cervical range of motion and tenderness to palpation at the medial aspect of the superior angle of the scapula.<sup>26-28</sup> Distal levator scapulae muscle belly and teno-osseous attachment tenderness has been reported in patients with LSS and may play a role in the development of "enthesopathy resulting from sustained MTrP tension".<sup>15</sup> Increased heat emission has been measured from the medial aspect of the superior angle of the scapula in more than 60% of patients diagnosed with LSS, suggestive of an active metabolic process.<sup>28</sup> Notably, this specific region is the anatomical correlate for the enthesis attachment in LSS. Following mechanical damage, tissue repair responses and vessel ingrowth have been observed.<sup>29</sup> Similar to tendons in disrepair, pain and tenderness at the enthesis is associated with increased vascularity on color imaging.<sup>30</sup>

Physical therapy is one of the most commonly used non-pharmacological approaches in the management of CTTH and can include manipulation and mobilization, postural control, exercise, soft tissue release, and dry needling.<sup>31,32</sup> Dry needling is a skilled treatment technique that uses solid filiform needles inserted into MTrPs, tendons, teno-osseous structures and other soft tissues.<sup>33-35</sup>Dry needling has been found to significantly improve headache frequency, MTrP tenderness, cervical range of motion and health-related quality of life in patients with CTTH by providing a more comprehensive treatment approach than exercise and manual therapy alone.<sup>36-38</sup>

This case report describes the history, physical examination findings, specific treatments, and outcomes of a patient with CTTH associated with LSS and highlights the importance of a thorough palpatory examination, including both myofascial and teno-osseous structures. Considering the documented effects of postural stress on musculoskeletal dysfunction in the workplace, such as decreased ability to concentrate, increased sedentary behavior associated with the use of technological devices may lead to a higher prevalence of tension type headache in the future.<sup>39-45</sup>

#### CASE DESCRIPTION

#### **Patient History**

A 63-year-old male presented to the physical therapist with a primary complaint of insidious onset headaches over the past 5 months while typing at the computer with a secondary complaint of chronic neck and shoulder tightness. The headache pain was described as a diffuse, dull ache originating near the medial aspect of the superior spine of the scapula bilaterally and progressively radiating upward toward the occiput. The patient reported a steady increase in symptoms while typing that peaked in the afternoon and decreased in the evening after self-administered treatment. Past medical history included concussion and an acute bout of neck pain after a traumatic biking accident 5 years prior. Imaging ruled out red flags and aside from some intermittent lingering stiffness, a full recovery was achieved.

Relief from headache symptoms occurred while taking a hot shower, using a heating pad, or applying selfmassage to muscles in the neck and scapulothoracic region. At worst, headache pain was 6/10 on the Numeric Pain Rating Scale (NPRS) and negatively affected the patient's ability to concentrate while working. The NPRS is a reliable and valid instrument to assess pain intensity.<sup>46-48</sup> The minimal clinical important difference (MCID) for the NPRS has been shown to be 1.74 in patients with chronic pain conditions;<sup>47</sup>however, the MCID for tension type headache pain has not yet been established. Nevertheless, a change of 2 points or a 30% decrease in pain from baseline can be considered as a MCID in patients with chronic musculoskeletal pain.<sup>47,49</sup>

The Neck Disability Index (NDI) score at the initial examination (i.e., baseline) was 19/50 (38%). The NDI is the most widely used instrument for assessing self-rated disability in patients with neck pain.<sup>50-52</sup> The NDI is a self-report questionnaire with 10 items rated from 0 (no disability) to 5 (complete disability).<sup>53</sup> The numeric responses for each item are summed for a total score ranging between 0 and  $50.^{50,54}$  Higher scores represent increased levels of disability. The NDI has been found to possess excellent test-retest reliability, strong construct validity, strong internal consistency, and good responsiveness in assessing disability in patients with cervicogenic headache.<sup>48</sup> Although the MCID for CTTH has not yet been determined, the MCID for the NDI has been reported to be 7.5 in patients with cervicogenic headache.<sup>48</sup>

#### **Physical Examination**

The initial physical examination was performed by a physical therapist with 8 years of clinical experience. In addition, this clinician was also Certified in Dry Needling and a fellow-in-training within an APTA-accredited orthopaedic manual physical therapy fellowship program. The patient provided consent for treatment and for publication of the case details in a scholarly journal. Objective examination findings can be found in (Table 1). Notably, the patient reported severe tenderness and specific reproduction of posterior neck and suboccipital pain with pincer grasp palpation to the distal levator scapulae muscle bilaterally (i.e., approximately one thumbwidth superior and medial to the superior angle of the scapula). Tenderness with referred pain was also reported during palpation over the muscle's distal enthesis (i.e., the medial aspect of the superior angle of the scapula), bilaterally.

The patient demonstrated pronounced thoracic kyphosis and forward head posture while using a laptop computer. Correlations have been reported between forward head posture and increased incidence of neck and headache pain, with greater forward head posture demonstrated in patients with CTTH when compared to controls.<sup>55-58</sup> A 3-D kinematics study of desk workers found increased head-neck flexion angles to be

associated with increased upper trapezius muscle activity, a synergist of the levator scapulae.<sup>59</sup> Additionally, significant weakness of the rhomboid and middle trapezius muscles have been reported in patients with neck pain when compared to controls, potentially increasing mechanical load to the scapular elevators.<sup>60,61</sup> Among patients with work-related myofascial disorders, several prior studies have reported changes in muscle activity, stiffness and microcirculation during prolonged computer tasks, likely contributing to the onset and continuation of tension type headache.<sup>40,41,43,59,62</sup>

#### **Differential Diagnosis**

Potential diagnoses included CTTH, cervicogenic headache (CH), cervical facet arthropathy and cervical spondylosis. Notably, the patient presentation did not match the revised diagnostic criteria for CH<sup>63</sup> developed by the Cervicogenic Headache International Study Group (CHISG)<sup>63-65</sup> consisting of (1) unilaterality of head pain without side shift, starting in the upper posterior neck or occipital region, eventually spreading to the oculofrontotemporal area on the symptomatic side, (2) pain triggered by neck movement and/or sustained awkward positions, (3) reduced range of motion in the cervical spine<sup>66</sup> (i.e., less than or equal to 32 ° of right or left passive rotation on the Flexion-Rotation Test),<sup>67-69</sup> (4) pain elicited by external pressure over at least one of the upper cervical joints (C0-3), and (5) moderate to severe, non-throbbing and non-lancinating pain. Nevertheless, the diagnosis was guided by the patient's subjective presentation of bilateral headache pain, the IHS criteria for CTTH including (1) headache occurring [?]15 days per month on average for >3 months (2) lasting hours to days and (3) demonstrating at least two of the following four characteristics: bilateral location, pressing or tightening (non-pulsating) quality, mild or moderate intensity, not aggravated by routine physical activity,<sup>2</sup> and specific reproduction of the patient's headache symptoms with palpation of the levator scapulae muscle and it's enthesis.

#### Interventions

Cervical and thoracic mobilization was performed at the initial visit and the patient was provided a home exercise program.<sup>70</sup> At the second visit, 1-week following initial evaluation, DN was performed and was directed to the levator scapulae muscle belly one thumb width medial and cephalad to the superior angle of the scapula and to the distal teno-osseous attachment, bilaterally. The patient was placed in a prone position with his arm internally rotated behind the back and a rolled towel placed under the anterior shoulder to further expose the superior angle of the scapula. A pincer grip was used to bracket the superior angle of the scapula as well as draw the tissue superiorly, thus avoiding proximity to the ribcage and underlying lungs. Seiren needles (0.30 mm diameter x 50 mm length) were inserted obliquely from lateral-to-medial, superior-to-inferior and posterior-to-anterior through the upper trapezius and into the levator scapulae muscle belly, the needle was partially withdrawn and re-angled using a fanning technique to target 3-4 unique points within a narrow cone-shaped area. The observation or lack thereof for local twitch responses during DN did not appear to correlate with a subjective change of symptoms, consistent with a recent literature review that concluded that local twitch responses during DN are not necessary for analgesia.<sup>71</sup>

Periosteal pecking at the distal enthesis of the left and right levator scapulae was also performed at the junction between the root of the spine of the scapula and the superior angle of the scapula, bilaterally (**Figure 1**). The superior angle was marked superiorly by the left index finger and medially by the third (long) finger to ensure the needle did not migrate superiorly or medially and miss the scapula. The patient reported reproduction of headache symptoms during unidirectional winding of the needle targeting the distal MTrPs and enthesis of the levator scapulae muscle, bilaterally. The technique of winding or twisting needles enhances the physiological effects of dry needling, by increasing local tissue stimulation, activating mechanoreceptors and subsequently amplifying the transmission of sensory signals to the central nervous system.<sup>72</sup> This heightened sensory input has been linked to the release of neurotransmitters, including endorphins and serotonin, which are crucial for pain modulation and regulation.<sup>73</sup> Interstitial adenosine, one of the body's natural anti-inflammatory mechanisms, has been shown to remain elevated for 30 minutes post needle insertion, if the needle is inserted and unidirectionally rotated.<sup>74</sup>

All interventions performed during each session along with prescribed home exercise program can be found in (Table 2).

#### Outcomes

Immediately following DN treatment, the patient reported decreased neck stiffness and a pain intensity reduction (NPRS, 0-10) to 3/10 (from 6/10) for headache pain. At the third appointment, near complete relief of work-related headaches (1/10 on the NPRS) was described with onset occurring only during prolonged periods of work without attention to ergonomics.

Eight weeks from the initial evaluation, a complete cessation of work-related headaches with a significant reduction in muscle stiffness was reported. In addition, the patient demonstrated increased active range of motion in cervical rotation, side bend, flexion and extension, along with increased strength of middle trapezius, rhomboids, and shoulder external rotators. Emphasis was placed on a modified at-home workspace to optimize computer ergonomics and progressive strength training focusing on retraction-based exercises.

The patient was seen for a total of 5 visits over the course of two months. Timeline of interventions can be found in **Figure 2**. At discharge (8 weeks after initial evaluation) and at 6-month follow-up, the patient reported no headaches during the workday, no disability (i.e., a 0/50 score on the NDI), and no pain (i.e., 0/10 score on the NPRS). (Table 1).

#### DISCUSSION

The results of this case report suggest the addition of DN targeting MTrPs of the distal levator scapulae muscle and distal enthesis over the medial aspect of the superior angle of the scapula, along with joint mobilization (C1-C4 in supine and T1-T6 in prone grade III/IV), strength training and ergonomic education may be useful when treating individuals with CTTH associated with LSS.

Manual therapy, therapeutic exercise and ergonomics are common types of physical therapy interventions for CTTH and were addressed with this patient.<sup>70,75</sup> Notably, resistance training has been found to stimulate collagen turnover and increase levels of growth factor that further insulate tissue from pathology and be beneficial for CTTH patients.<sup>76,77</sup>

Although multiple interventions were utilized and no cause-and-effect relationship can be established in a single case report, DN targeting MTrPs in the distal belly and enthesis of the levator scapulae reproduced and appeared to improve the patient's headache symptoms, reflecting a change in pain intensity scores (NPRS, 0-10) from 5/10 on the second visit to 1/10 on the third visit after the first inclusion of the DN intervention. Notably, the amount of reported change in pain intensity was considerably smaller (i.e., from 6/10 at the initial visit to 5/10 on the second visit) when cervical and thoracic mobilization along with a home exercise program was only administered. In addition, the observed changes in pain intensity following the addition of the DN treatment to MTrPs suggests the etiology for this specific patient was likely not from underlying facet joint dysfunction.<sup>78</sup>

Inactivation of MTrPs can improve local circulation, increase range of motion, decrease muscle tightness and improve the overall functional status of a muscle.<sup>78</sup> Two meta-analyses concluded that trigger point DN may be effective in decreasing pain in the short and medium term compared to control or sham needling.<sup>79,80</sup>Reductions in headache intensity, frequency and duration have been demonstrated following 3 sessions of DN to active MTrPs in muscles of the head and neck.<sup>31</sup>Additionally, a recent meta-analysis reported that DN produces similar effects to other interventions for short term headache relief.<sup>79</sup>Results demonstrate that potential benefits of DN included an increase in cervical ROM and a decrease in MTrP tenderness and headache frequency. Notably, DN was shown to provide significant improvement in short-term disability in patients with tension type headache.<sup>79</sup>

Notably, this case study performed targeted DN to the levator scapulae enthesis or teno-osseous junction, i.e., the interface between the periosteum and the tendon of the levator scapulae. Poor tendon vascularization and vessel anastomosis may justify DN to this region;<sup>81</sup> in addition, previous clinical trials have reported successful outcomes in pain and disability when DN to the enthesis at the periosteal region was included.  $^{34,82\text{-}85}$ 

#### CONCLUSION

Myofascial trigger points and sensitive entheses associated with the levator scapulae muscle may reproduce headache symptoms in individuals with CTTH. Particular areas of interest for both diagnosis and treatment are the region medial and cephalad to the superior angle of the scapula and also the distal teno-osseus attachment site over the medial aspect of the superior angle of the scapula. These two pathoanatomic lesions appeared to respond favorably to a multimodal treatment approach that included DN targeting MTrPs, periosteal DN targeting the enthesis, education, and strength training. A future randomized clinical trial with an active comparison group would be a useful next step to ascertain whether the changes seen in this single case study are apparent in a two-arm trial and not attributable to natural history.

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Table 2. Interventions.docx available at https://authorea.com/users/768673/articles/832755dry-needling-in-the-management-of-chronic-tension-type-headache-associated-with-levatorscapulae-syndrome-a-case-report



### Relevant Past Medical History

## **Initial Examination**

Subjective Assessment Physical Examination Diagnosis Plan of Care

2nd Visit

Dry Needling Joint Mobilization Therapeutic Exercise

## **3rd Visit**

After 2 Weeks

After 1 Week

> Dry Needling Joint Mobilization Therapeutic Exercise

After 4 Weeks

### 4th Visit

Dry Needling Joint Mobilization Therapeutic Exercise

After 8 Weeks

# **5th Visit**

Therapeutic Exercise Discharge Education