Surface monitoring of fire pollution

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Abstract

This chapter discusses efforts to measure surface observations of air pollution at the country-scale. The countries with the most comprehensive regulatory systems to monitor air pollution are the older industrial nations such as countries in the United Kingdom and the United States. Recent proliferation of low-cost air quality monitors (LCAQM) are making near-real-time air pollution monitoring more prevalent across the globe. While unique challenges exist between regulatory and LCAQM data access and usability, there are common challenges in using these data for decision support and research applications. This chapter discusses common statistical methods for estimating air pollution including spatial interpolation methods, statistical regression methods, machine learning, and chemical transport modeling.

1	Chapter 6: Surface monitoring of fire pollution
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18 1 Introduction

The impact of air quality (AQ) on health has been acknowledged by governments of 19 20 individual countries and the World Health Organization (WHO) for more than half a century. 21 The United States, the United Kingdom, and the Union of the Soviet Socialist Republics (USSR) 22 were among the first to enact a version of a "Clean Air Act" around 1955-1956 aimed at 23 controlling air pollution and minimizing negative impacts on public health (Barker et al., 1961). 24 In 1958, the World Health Organization (WHO) published its first technical report – Air 25 pollution - that explicitly linked exposure to high concentrations of pollutants to adverse health 26 outcomes (World Health Organization, 1958). Although the report neither discussed the 27 toxicology of individual pollutants nor proposed any guidelines on concentrations, it nonetheless was a major step towards the eventual development of national and subsequently global AQ 28 29 standards.

30 Over time, many countries worldwide developed a set of rigorous science-based AO 31 standards, enacted laws and regulations, and established networks of monitoring stations. 32 Reflecting the historical development of the AQ regulations, the monitoring stations are 33 primarily focused on urban AQ with attention to populated areas (Ambient Air Quality Surveillance, 1994). In addition, considering that the primary purpose of these networks is to 34 35 meet defined regulatory AO goals from the regional to international levels, the expected 36 accuracy of measurements and the precision of the instruments require careful cost consideration 37 and make high-density spatial observations prohibitively expensive. While these traditional government-sponsored national air monitoring networks provide "gold standard" observations 38 for a large suite of air pollutants, they are frequently far too sparse and suboptimally located to 39 40 support monitoring of air pollution associated with biomass burning (Reid et al., 2015). Globally, 41 biomass burning is highly varied (see Chapter 2). 42 New advancements and global proliferation of less costly air monitors, termed low-cost air quality sensors or LCAQS, has dramatically increased the potential for near-real-time 43 monitoring of smoke events by governments, researchers, and citizen scientists alike. Although 44

45 the advance of LCQAS has increased the availability of stationary measurements, their spatial 46 patterns are frequently subject to similar limitations and biases towards urban environments but 47 to a lesser degree.

This chapter provides a brief overview of the following topics:

- 1. An overview of AO monitoring networks, including established regulatory networks, global and emerging networks, and LCAQS networks.
- 51 2. Common statistical methods to derive spatiotemporally resolved AQ estimates, with a focus on applications to particulate matter. 52
- 3. A discussion of the challenges associated with using AQ monitoring networks for 53 smoke pollution monitoring. 54 55
 - 4. The future directions and opportunities for monitoring smoke pollution.

56 2 **Monitoring networks**

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57 Air quality monitoring networks, also referred to as surveillance networks, record information about levels of air pollutants (Marć et al., 2015). Monitoring networks measure a 58 59 range of ambient air pollutants. The air pollutants that are most commonly collected include 60 particulate matter that is less than or equal to 10 and 2.5 micrometers in aerodynamic diameter in size (known as PM₁₀ and PM_{2.5}, respectively), ozone (O₃), mercury (Hg), sulfur dioxide (SO₂), 61

- 62 nitrous oxides (NO_X), nitrous dioxide (NO₂), and persistent organic pollutants (Marć et al.,
- 63 2015). Monitoring networks can be classified into two categories: regulatory (or reference)
 64 monitoring networks and LCAQS networks.
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66 2.1 Regulatory AQ networks

67 Air pollution can contribute to a range of negative effects that impact humans, ecosystems, and man-made structures. Governments and regulatory bodies have a vested interest 68 69 in monitoring AO for economic, public health, and political reasons. Air quality monitoring 70 systems operated by governments have increased since the 1800's, coinciding with air pollution 71 impacts from the Industrial Revolution, and other large-scale air pollution events that resulted in 72 negative impacts, such as the London Smog Event of 1952 that served as a catalyst for legislative 73 change and investment in technology to monitor goals. Countries have adopted their own 74 systems for monitoring AQ using ground-based monitors, with the responsibility for collecting 75 and disseminating information typically assigned to entities broadly referred to as environmental protection agencies. 76

77 Regulatory monitors are broadly defined here as ground-based, stationary monitors (also known as in situ monitors) that are deployed by or on behalf of country-level governments. This 78 79 section primarily focuses on regulatory networks to monitor AO, defined as meeting two criteria: 80 1) the network is mandated or sponsored by or on behalf of a country's government, 2) the 81 network is constructed of ground-based, stationary AQ monitors. Additionally, the focus is on 82 networks where data are publicly available via the Internet, but other programmatic efforts are 83 also discussed. They are typically used to meet legislative requirements such as ambient air quality standards or research purposes (Castell et al., 2017). However, with the proliferation of 84 LCAQS, governments have also begun to invest in those to make information available in near 85 86 real-time to support emergency management and to provide more information to communities 87 interested in tracking smoke events (Morawska et al., 2018).

88 While not discussed here, there are dedicated efforts to assessing technology and methods 89 associated with sampling AQ (Helsen, 2005; Shaddick & Zidek., 2014) and determining optimal 90 locations where monitors should be located for optimal spatial distribution (Chapter 10) (Hao & 91 Xie, 2018; Piersanti et al., 2015). Quantifying spatiotemporally resolved air pollution 92 concentrations is critical for mapping biomass burning and understanding how biomass burning 93 emissions are transported (Chapter 8).

94 Information about country-level AQ monitoring networks was derived from peer95 reviewed and grey literature that described air AQ monitoring networks in the US by an English96 speaker; therefore, a limitation for information provided in this section may be attributable to
97 language or website accessibility from the US.

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99 2.1.1 Established national AQ networks

Overall, as can be expected, most extensive networks and the longest archives of
 measurements are found within wealthy countries with a long history of industrial development.
 The world's older industrial giants (the US, UK, and USSR) were among the first to enact laws
 governing air pollution in the mid-20th century (Barker et al., 1961). These were rapidly joined
 by other industrialized countries, including many European countries, Canada (Government of
 Canada, 2021), and Japan (Wakamatsu et al., 2013), which initialized their national monitoring
 networks in the late 1960s – early 1970s. Over half of the century, these networks have

undergone several major improvements, including the increase in number of measured
 pollutants, technical advances in instrumentation, improved statistical techniques, and substantial

109 network growth.

110 In the US, the Environmental Protection Agency (EPA) is charged with collecting and 111 disseminating AO information from local, state, and tribal entities using Federal Reference 112 Methods and Federal Equivalent Methods. The EPA monitoring network consists of over 4,000 113 stations that are distributed across all states and territories for criteria pollutants (CO, NO₂, O₃, 114 Pb, PM₁₀, PM_{2.5}, and SO₂) and 188 other toxic air pollutants (US Environmental Protection 115 Agency, 2021a). Data from the EPA monitoring cites are publicly available since 1980 for the 116 criteria gases, 1988 for PM₁₀ and 1999 for PM_{2.5}. Hazardous air pollutants and toxic air pollutants are available from 1980 (US Environmental Protection Agency, 2021d)). While these 117 monitors are not specifically designed for biomass burning pollution, they are often used in 118 119 studies focused on assessing the health effects of pollution from biomass burning (Chapter 10). 120 These measurements are supplemented by over 90 Clean Air Status and Trends Network (CASTNet) deposition monitoring sites operated by EPA (US Environmental Protection Agency, 121 122 2021c) and the Interagency Monitoring of Protected Visual Environments (IMPROVE) network 123 with 160 sites as of 2019 located in National Parks and in wilderness areas (Interagency 124 Monitoring of Protected Visual Environments, 2020). In addition, the National Oceanographic 125 and Atmospheric Administration (NOAA) Earth System Science Laboratory has measured 126 surface ozone since 1973 at 20 sites across the world National Oceanic and Atmospheric 127 Administration Global Monitoring Laboratory Earth System Research Laboratories, n.d.). 128 Like the EPA regulatory network across the US, Canada operates the National Air

129 Pollution Surveillance (NAPS) program (Environment Canada, 2020), which aims to deliver 130 consistent high-quality observations across the nation. At present, the NAPS boasts 286 sites in 131 urban and rural communities across all provinces and territories. Although country-wide 132 summaries have been published since 1972, these early reports are based on observations from a very small fraction of currently available sites. The NAPS program collects continuous and time-133 134 integrated measurements for a predetermined number of pollutants. Observations include CO, 135 NO₂, NO, NO_X, O₃, SO₂, PM_{2.5}, and PM₁₀, with hourly and annual data are available for CO, SO₂, NO₂, and O₃ available since 1974. Particulate matter data is available since 1992 for PM₁₀ 136 and since 1995 for PM_{2.5}. 137

138 The European Environment Agency is responsible for establishing the policy framework 139 for monitoring AQ across the EU zone (Directorate-General for Environment, n.d.). Through a 140 series of directives, the EU established standards for ambient air concentrations for several 141 pollutants, defines the methodologies for data collection, and monitors the compliance for each 142 of the EU Member States. The Member States are expected to monitor and report AQ data by 143 pre-defined zones and agglomerations (established by the Member States following the 144 methodology defined by the agency), as well as make the AQ information available to the public 145 through the European Air Quality Portal. At present, the number of operational stations totaled around 5,300 stations across the 41 contributing countries and territories (Air quality assessment 146 147 methods (data flow D), 2020)).

In Australia, the National Clean Air Agreement establishes the framework for AQ
monitoring (Commonwealth of Australia, 2015). Although Australia's urban areas are reported
to have some of the best AQ in the world, biomass burning is widely acknowledged as a one of
the primary sources or air pollution (Keywood et al., 2016). Similar to the EU framework, the
National Environmental Protection Council administers legislation pertaining to AQ and

- 153 provides scientific and policy support. Data collection, which follows pre-determined standards,
- 154 called National Environment Protection Measures (NEPMs), is the responsibility of provincial
- and state governments who are also charged with managing AQ. While there was no centralized
- data repository found for all Australian data across all states, each jurisdiction offers varying
- 157 levels of access to AQdata.

Although the USSR was the first country in the world to define the standards for acceptable AQ (Izmerov, 1974), the data from the government-sponsored monitoring network nor information about the precision of instruments, statistical methods, reporting frequency, or the number of monitoring sites does not appear to be publicly available. The Russian Federal Service for Hydrometeorology and Environmental Monitoring reports annually on the most polluted cities in Russia (Klyuev, 2019), which indicates the presence of the state-wide network of monitoring stations at least across major urban areas.

166 2.1.2 Global and emerging AQ networks

The global awareness of health impacts from AQ in urban areas was growing from the 167 168 early 1970s, when the WHO published its technical report on air quality guidelines for urban 169 areas (WHO Expert Committee on Air Quality Criteria and Guides for Urban Air Pollutants & 170 World Health Organization, 1972), which included contributors from Egypt, India, and Japan in 171 addition to the European and North American experts. However, AQ monitoring networks in 172 much of the rest of the world have been relatively slow to grow. In Central, South America, the 173 Caribbean, and Africa, the monitoring networks are sparse (Awokola et al., 2020; Riojas-174 Rodríguez et al., 2016). Riojas-Rodríguez et al. (2016) found in their review that only half (17 of 175 33) Latin American and Caribbean countries had AQ monitoring stations. There appears to be 176 less consistency in collected measurements across the region, for example, PM₁₀ measurements 177 are collected in 104 cities while PM_{2.5} measurements are collected only in 57 cities. According to 178 Rees et al. (2019), only 13% (7 of 54 countries) in Africa provide reliable, real-time AQ 179 monitoring; however, it is unclear if these are monitors meet the criteria of this section. Ghana, 180 Nigeria, and Kenya each have 5 national level, manual stations (Gulia et al., 2020). South Africa 181 is the only country in Africa with a monitoring network that was found to be available to the public. The network of 130 fully automated stations within the National Ambient Air Quality 182 Monitoring Network (NAAQMN) of South Africa was launched in the late 2010s as a 183 184 partnership between the Department of Environmental Affairs and the South African Weather 185 Service (Gwaze & Mashele, 2018). In line with best practices from the international community,

186 the agency monitors pollutants following established criteria and methodology and delivers the

187 information to the public through a mobile application tool.

Air quality monitoring in Asia presents a unique set of challenges. On the one hand,
 expansive monitoring networks exist in some parts of Asia, with the other two largest

- 190 government-run networks within Japan and South Korea. The Korean Ministry of Environment
- has provided real-time data at 16 locations since 2002 near the World Cup Stadium located in the
- 192 capital city of Seoul and has provided public access to data in real-time since 2005 on a
- nationwide scale for CO, NO, SO₂, and PM_{2.5} and 10 from 332 stations via the AirKorea website
- 194 (Hwang et al., 2020). On the other hand, the two largest industrial economies of the continent –
- 195 China and India only comparatively recently launched their AQ monitoring networks.
- Although the China National Environmental Monitoring Center (CNEMC) was founded in 1980
- by the Ministry of Ecology and Environment of China, AQ data has been collected only since
- 198 2013. The monitoring network has grown very rapidly to currently reach over 2,100 stations that

199 monitor CO, NO₂, O₃, PM_{2.5}, PM₁₀, and SO₂ (China National Environmental Monitoring Centre, 200 n.d.; Chu et al., 2021). The data are available via the CMEN website, , but the volume of 201 observations is skewed towards eastern parts of the country. The Government of India initiated 202 the National Clean Air Program (NCAP) only in the beginning of 2019 under the oversight of the 203 Ministry of Environment, Forests and Climate Change (International Trade Administration, 204 2020). The network currently includes a suite of 703 manual monitoring stations and 134 205 Continuous Ambient Air Quality Monitoring Stations (CAAQMS - low-cost monitoring 206 sensors), which is expected to grow substantially in the near future to the total of 1500 manual 207 monitoring stations and an additional 150 CAAQMS (Sundaray, & Bhardwaj, 2019). In other 208 parts of Asia, Vietnam has 29 fixed and mobile CAAQMs, Pakistan has 70 manual and 209 CAAQMS, Bangladesh has 11 CAAQMS, Sri Lanka has 78 manual stations, Nepal and Bhutan 210 have 12 and 3 CAAQMS, respectively (Gulia et al., 2020).

211 2.2 Low-cost air quality sensor (LCAQS) networks

212 Technological advances of the past decades combined with the growing public awareness 213 of health consequences of environmental pollution globally have created a favorable climate for 214 the development of alternative approaches to the regulatory AQ monitoring stations. Fueled by investment from commercial companies, governments, non-governmental organizations, and lay 215 216 citizens, LCAOS networks have rapidly increased in number across the world. Considerably 217 lower financial costs and expertise are required to set up and maintain these stations compared to regulatory-grade monitors, which has allowed for a manifold increase in surface measurements 218 219 for a suite of pollutants (Table 1) deployed by government agencies and private citizens alike. 220 LCAOS networks are attractive for use in biomass burning and prescribed fire smoke exposure 221 assessment as they offer denser and more dispersed observations and are available worldwide, 222 often in countries that do not have robust national monitoring networks. Although mobile 223 LCAQS are available, they offer only episodic observations frequently associated with a 224 particular event or project. In contrast, stationary LCAQS and monitoring networks – the focus 225 of this chapter - provide consistent observations for a given location, similarly to those obtained 226 by the regulatory networks.

227 The LCAQS networks contain several important components. First, the data is collected 228 by low-cost technologies largely referenced as "sensors". The investment can range roughly 229 between tens of dollars (for a single sensor) and \$5,000 USD for more comprehensive kits 230 (Feenstra et al., 2019; Holder et al., 2020; Rai et al., 2017). Adopting the definition from Rai et 231 al. 2017, "low-cost sensors" refer to "anything costing less than the instrumentation cost required 232 for demonstrating compliance with the air quality regulations" and can include single sensors or 233 "sensing kits/nodes/platforms [that] typically include one or more sensors, microprocessor, data-234 logger, memory card, battery, and display" (Rai et al., 2017). . Monitoring networks are constructed of sensors and typically rely upon the internet of things, generally physical objects 235 236 that are connected by the Internet (Xia et al., 2012), to disseminate access to the data collected by 237 the sensors. For example, the PurpleAir LCAQS network collects data from Plantower PMS1003 238 sensors; the data collected from the sensors is made publicly available using a web map and an 239 Application programming interface (API) for data download by end-users. The number of 240 LCAQS networks are growing rapidly: the Fire and Smoke Map, OpenAQ, and Urban Air 241 Action Platform, and the UN's Urban Air Action web platform help illustrate the potential

242 capabilities of LCAQS for biomass burning AQ monitoring.

243 The US has piloted a web map called the Fire and Smoke Map (US Environmental 244 Protection Agency, 2021b) that is targeted for biomass burning exposure assessment in North 245 America (Figure 1). The web map integrates AQ and fire information from a variety of sources. 246 Specifically, PM_{2.5} concentrations are provided from permanent monitors, which feed into the 247 AirNow network, and temporary PM_{25} monitors, that are deployed by governmental agencies to 248 monitor smoke events PurpleAir data - an increasingly popular network. For example, Gupta et 249 al. (2018) used 180 PurpleAir PM_{2.5} data in conjunction with satellite data to estimate PM_{2.5} 250 during California fires in 2017. The EPA led a nationwide effort of over 30 agencies at the state, 251 local, and tribal levels to develop a nationwide correction for PurpleAir PM2.5 measurements that 252 are applied to the data displayed on the Fire and Smoke Map ("AirNow's Fire and Smoke Map", 253 n.d.). Over 70 PurpleAir sensors were co-located with regulatory-grade monitors in the 254 evaluation ("AirNow's Fire and Smoke Map", n.d.). Active fire detections from the National 255 Oceanic and Atmospheric Administration's Hazard Mapping System (National Oceanic and 256 Atmospheric Administration Office of Satellite and Product Operations National Environmental 257 Satellite, Data and Information Service, n.d.) and large fire incidents from the US National 258 Interagency Fire Center (InciWeb, n.d.) are also available as data layers on the web interface. 259 The US AirNow Department of State network (US Department of State and US Environmental Protection Agency, n.d.) provides real-time PM2.5 data from monitors on US embassies and 260 261 consulates across the globe.

262 OpenAQ is an open-source platform that integrates reference-quality data from governments and low-cost AQ data from the Air Quality Data Commons, HabitMap, PurpleAir, 263 264 and Carnegie Mellon University (OpenAO, 2021). The platform primarily provides data 265 regarding CO, NO₂, O₃, PM_{2.5}, PM₁₀, SO₂, and black carbon. The web platform provides 266 download capability of two years of data (historic data can be retrieved from Amazon Web 267 Services), an R wrapper, and a Python wrapper. The wrappers allow users to access the 268 Application Programming Interface (OpenAQ, n.d.). Importantly, OpenAQ does not perform 269 quality assessment of the data, which necessitates substantial effort in data cleaning and pre-

270 processing when those datasets are acquired for research or management purposes.



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Figure 1. Screen capture of the Fire and Smoke Map web portal over California, US. Three types of air monitoring sensors are displayed with different shapes: squares represent PurpleAir sensors, triangles represent temporary sensors, and circles represent permanent stations. Each of the three types of air monitoring sensor is colored according to the Air Quality Index (legend shown) ("US Environmental Protection Agency", 2021a). Fire symbols large fires, and smaller circles represent active fires that are detected by satellites.

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On a global scale, the United Nations (UN) Environment Programme and UN-Habitat
deployed the Urban Air Action web platform in 2020 (United Nations Environment Programme,
n.d.). The web platform displays near-real-time PM_{2.5} data in collaboration with the commercial
company IQAir, wind data, world population data, and fire locations.

283	Table 1. Selected low-cost air quality sensor (LCAQS) networks. Prices were retrieved in June
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Network name	Pollutants measured	Sensor technology used and cost per individual sensor	Data retrieval location(s)
IQAir	 PM_{2.5} CO₂ 	• \$269 (AirVisual Pro Air Quality Monitor)	Web map: United Nations Environment Programme, n.d
PurpleAir	 PM_{0.3} PM_{0.5} PM₁ PM_{2.5} PM₅ PM₁₀ PM₁ 	 \$199 (PurpleAir PA-I-Indoor) \$249 (PurpleAir PA-II) \$279 (PurpleAir PA-II-SD) 	Web map: PurpleAir, n.d. API: PurpleAir, 2021
Air Quality Egg	 CO, CO₂, NO₂, O₃, PM₁, PM_{2.5}, PM₁₀, SO₂, VOCs 	 \$130 (indoor) \$160 (outdoor) 	Web map: Air Quality Egg, n.d.
AQICN	 PM_{2.5} PM₁₀ 	Aggregated from web sources	Web map: World Air Quality Index Project, 2022

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286 3 Methods to estimate air pollution concentrations

287 Methods to develop spatially contiguous estimates of air pollution have rapidly evolved in the past nearly two decades with interest in using ground-based monitors and sensors for that 288 exposure assessment in epidemiological studies (Chapter 7). Four categories of methods for 289 developing continuous measurements will be discussed below, with particular attention to 290 291 particulate matter: 1) spatial interpolation methods, 2) land use regression, 3) machine learning, 292 and 4) chemical transport models (CTMs). Biomass burning events exhibit unique characteristics

in space and time, and those unique characteristics can affect which modeling approach best

- represents smoke concentration and is feasible given model limitations (Mirzaei et al., 2018).
- 295 Most of these approaches provide some measure of uncertainty. While statistical metrics are

often reported to express error and uncertainty in interpolation, machine learning, regression, and chemical transport model efforts, it is common for only a sub-suite or the final chosen model to

be presented and details regarding sensitivity analyses are absent (Gan et al., 2017; Hu et al.,

- 2017; Stafoggia et al., 2019). Often, effect estimates due to model uncertainty are not reported
- 300 for models that did not meet specified criteria, but this information could be useful for model
- 301 selection in other applications (Arhami et al., 2013).
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303 3.1 Spatial interpolation

304 Spatial interpolation involves using values with known locations to predict estimates 305 where values are not known. For AQ applications, this frequently means using AQ monitor 306 readings at one location to predict values where AQ readings do not exist, but can also be applied 307 to raster data, such as satellite imagery. With the most simplistic spatial interpolation methods, 308 no other ancillary data is required (Watson et al., 2019). Spatial interpolation methods are 309 commonly used given the primary data input is known information and popular geostatistical and 310 mapping software such as ArcGIS, QGIS and GRASS GIS, and R readily support spatial 311 interpolation methods through functions and packages.

Thirty-eight spatial interpolation methods and sub-methods exist, with progress continuing to be made in this field (Li & Heap, 2014). These methods are commonly described and categorized according to dichotomies of features (Deligiorgi & Philippopoulos, 2011; Li & Heap, 2008; Li & Heap, 2014), including:

- Deterministic and stochastic methods: the primary difference between the two suites of methods is that deterministic methods do not incorporate randomness into their models while stochastic methods do. Thus, deterministic methods do not provide a measure of uncertainty, whereas stochastic methods provide error estimates.
 - <u>Global and local methods:</u> global methods derive estimations using all data available in the study area whereas local methods use a sample of estimates in their calculation.
 - <u>Exact interpolators and approximate interpolators:</u> exact interpolators derive values that are part of the known data whereas approximate interpolators can estimate values that are not the same as data that already exists.

To assist practitioners and researchers in determining which spatial interpolation method is best suited for the available information and desired results, Li & Heap (2014) provide a detailed decision tree that classifies spatial interpolation methods.

328 Two common spatial interpolation methods for wildfire AQ applications include inverse 329 distance weighting (IDW) and kriging (Krige, 1951). Both methods realize Tobler's First Law: 330 phenomena that are closer together in space are more like each other than to things that are 331 located further away (Tobler, 1970). The IDW function interpolates values using existing values 332 at a specified distance from the location without known values. Therefore, optimal application of IDW is when the known values are close in distance to unmeasured locations. Conversely, this 333 334 method is less useful when predicting over areas where known values are farther away, such as 335 remote rural areas where known values are sparse. Studies have used IDW to predict PM_{2.5} using ground monitors (Wu et al., 2006; Yang et al., 2020). A large body of literature exists that is 336 337 dedicated to developing new formulations for IDW (Ma et al., 2019).

Kriging also uses weights for closer values, but the weights also take into consideration
the spatial patterns of known data. Currently, over 20 versions of kriging methods are in
existence (Liu & Heap, 2014). As a geostatistical method, kriging delivers an uncertainty metric
that can be useful to assess the performance of the algorithm. Kriging has been used to estimate
PM_{2.5} over Washington State, USA from reference-grade monitors (Gan et al., 2017) and over
the coterminous USA and Ontario, Canada from 1988-2016 from research-grade monitors.

344 3.2 Statistical regression methods

345 Common statistical models to estimate pollutant concentrations include multiple linear 346 regression, land-use regression, mix-effects modeling, generalized additive models (GAM), and 347 geographically weighted regression (GWR). Earlier studies that used multiple linear regression 348 to predict PM values established the importance of improving model estimations by including 349 meteorological covariates (Chu et al., 2016). Land use regression (LUR), an extension of 350 multiple linear regression, refers to regression models that are used to predict AQ concentrations 351 (as the dependent variable), using covariates of ancillary information. However, despite what the 352 name of this technique implies, the parameters are not always associated with land use (Watson 353 et al., 2019). In practice, LUR models commonly incorporate meteorological information, 354 including temperature, humidity, precipitation, wind, and air related variables, topographic 355 variables, aerosol optical depth (AOD) (Chapter 7). For these methods, ground-level PM, ozone, 356 or other pollutants are the dependent variable, and independent variables include AOD and other 357 ancillary variables (Liu et al., 2005). Both multiple linear regression and land-use regression are 358 limited in their effectiveness where covariates and ground-level PM have a non-linear 359 relationship. Additionally, these approaches can become difficult to handle with large amounts of 360 data (Hu et al., 2017; Shin et al., 2020).

361 Another extension of the multiple linear regression, the GAM, accounts for non-linear 362 relationships between variables (Ma et al., 2014; Shin et al., 2020; Sorek-Hamer et al., 2013). 363 The mix-effects modeling has largely replaced the use of MLR since 2010 (Chu et al., 2016). 364 Fixed and random effects are incorporated into the mix-effects modeling to represent the 365 background relationship between PM and AOD, and temporal and regional variation, 366 respectively (Shin et al., 2020). Finally, geographically weighted regression accounts for non-367 stationarity and different relationships between ground-level and covariates (Luo et al., 2017; 368 Shin et al., 2020). However, these models are highly sensitive to locations and distribution of 369 ground stations (Shin et al., 2020) as well as the suite of ultimately selected variables. 370 Considering that inclusion or exclusion of variables is subject to the discretion of the user, the 371 resultant predictive capability is highly diverse as the tactics for selecting variables can vary 372 widely among individual researchers and by discipline (Watson et al., 2019). 373 Using a linear regression model, Yao and Henderson (2014) estimated PM_{2.5} concentrations in

- 374 British Columbia in areas that did not have a monitoring network. They assessed model
- 375 performance on low-, moderate-, and high-smoke days.

376 **3.3 Machine learning**

Machine learning refers to methods that use artificial intelligence which fit independent variables that are spatiotemporally variant (Watson et al., 2019). Machine learning approaches to estimate smoke concentrations have quickly become a dominant method in the past few years, as they do not assume linearity between the dependent variable and covariates and are stable and efficient for processing large amounts of data, increasing the capabilities for predicting longer

382 time series of trace gases and atmospheric pollutants (Bellinger et al., 2017). Popular machine 383 learning techniques include kernel and tree-based approaches. Kernel-based approaches, such as 384 support vector regression, are often used in multi-stage modeling (Shin et al., 2020; Song et al., 385 2014). Tree-based approaches rely upon decision trees to make predictions. These include classification/regression trees and random forest (RF) ensembles (Breiman, 2001), gradient 386 387 boosting machines (Ferreira & Figueiredo, 2012), and extreme gradient boosting. 388 In 2015, Reid et al. compared eleven statistical models for predicting PM_{2.5} during the 2008 389 biomass burning event in Northern California fires and found that the RF had among the highest 390 cross-validated accuracy. Since this finding, machine learning algorithms, and specifically RF 391 models, have been increasingly used to estimate the PM at regional and national scales (Chen et 392 al., 2018a; Chen et al., 2018b; Di et al., 2019; Hu et al., 2017; Park et al., 2019; Reid et al., 2015; 393 Stafoggia et al., 2019; Zhao et al., 2020). A more recent study showed a RF approach to 394 predicting PM_{10} over China had better performance and improved predictive capabilities 395 compared to traditional regression models (Chen et al., 2018b). In addition to predicting PM, 396 machine learning has been used to predict other pollutants, including ozone exposure before and 397 after biomass burning events (Watson et al., 2019). Cross-validation methods are common 398 metrics to use to evaluate model performance and estimate uncertainty. A disadvantage of 399 machine learning methods they often rely on specialized computer coding languages that are not 400 always publicly available (Watson et al., 2019), although a number of open-source applications, 401 including an R-package and a Python-based implementation, are openly available and easily 402 accessible. In addition to the steep learning curve required to implement these methods, RF 403 models are frequently referred to as "black box" methods, which implies that the internal 404 algorithm decisions that produce the ultimate outcome are not always transparent, and it may be 405 difficult to interpret the results (Affenzeller et al., 2020).

406 3.4 **Chemical Transport Modeling**

407 Chemical transport models rely upon meteorology, emissions inventories, and chemical 408 and physical processes to quantify spatiotemporal patterns of atmospheric gases (Engel-Cox et 409 al., 2013). Chemical transport models have been used to estimate PM and have been shown to be 410 effective at coarser spatial resolutions and global scales. As CTMs do not rely upon ground-411 based measurements, these approaches are useful in areas where ground records do not exist or 412 are highly heterogeneous (Boys et al., 2014; Chu et al., 2016; van Donkelaar et al., 2003). CTMs 413 are more commonly used in multi-stage models for gap filling missing information, such as aerosol optical depth (Di et al., 2019; Stafoggia et al., 2019). Studies have also used CTMs to 414 415 model biomass burning emissions on air pollution and to determine emission factors, (Akagi et 416 al., 2011; Garcia-Menendez, Hu, & Odman et al., 2014; Hodzic et al., 2007; Konovalov et al., 417 2011; Wiedinmyer et al., 2006). A limitation of CTMs' utility for biomass burning smoke is limited by knowledge of fire properties such as injection height and fuel loading (Paugam et al., 418 419 2016), meteorology uncertainties, and computational limitations to integrate the information into 420 a useful model (Lassman et al., 2017). Chapter 8 provides a full review of CTM for biomass 421 burning smoke concentration mapping.

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423 4 Gaps and challenges in monitoring wildfire pollution

This chapter provides a selected overview of international AQ monitoring efforts based
on information that is publicly available and accessible. Although these observations are
undoubtedly a vital resource, comprehensive monitoring fire pollution using ground-based
stations is unattainable because the task requires spatially and temporally inclusive estimates.
Ultimately, the regulatory networks were never designed to monitor air pollution originating
from biomass burning. Thus, they present a very limited, although valuable, source of
information.

431 The technology that regulatory-grade monitors rely upon delivers highly accurate 432 measurements at the point of data collection. However, the tradeoff is that the instruments are 433 heavy, large, and expensive to construct and maintain. As a result, the spatial coverage of 434 measurements from regulatory networks is very sparse. Fire events can be unpredictable in size, 435 scale, and duration, making cost-effective instrumentation for effective monitoring extremely 436 challenging. Considering the primary focus of regulatory networks on air pollution associated 437 with industrial activity and transportation, monitors are typically found in urban centers. This 438 positions the stations both away from the majority of ongoing biomass burning events. While 439 stationary monitoring networks are established and continue to grow (Section 2) and temporary 440 monitors are deployed during smoke events (Section 2.1), they deliver point measurement in 3-441 dimentional space and time. They also require a large subsequent effort to produce spatially 442 contiguous estimates of AO and pollutants' concentrations.

In addition to limited spatial coverage, conventional ground-based measurements 443 444 represent measurements offer limited temporal coverage. Temporally, comprehensive AQ records rarely date back before the mid-20th century and are extremely limited in spatial 445 446 coverage. Furthermore, some regulatory measurement sites record data every few days. This 447 frequency may not be optimal to capture fire emission concentrations that are often short, 448 episodic events. While there are benefits for collecting more data regarding ambient AO. 449 especially in unmonitored areas, there has been no concerted movement to increase the spatial 450 resolution of reference monitors (Engel-Cox et al., 2013).

451 Despite government investment into using LCAQS to supplement regulatory data, there 452 are still growing concerns that they are not able to replace reference measurements for regulatory 453 decisions. While LCAQS offer advantages to supplement regulatory-grade information and 454 empower more people to be engaged with monitoring AO, the novelty of these sensors for 455 regulatory purposes presents challenges. A primary known challenge is the quality of data 456 reported by LCAQS. Previous studies have shown that data are subject to biases, and there are 457 important considerations for obtaining high-quality data that is comparable to reference measurements (Giordano et al., 2021). A substantial effort has been focused on developing 458 459 robust statistical approaches to calibrate data collected by LCAQS to those collected by 460 instruments at the regulatory network stations (Barkjohn et al., 2021; Delp & Singer, 2020; Liu 461 et al., 2017; Wallace et al., 2021). However, limited consensus has been reached in the literature 462 regarding the best calibration, and it is likely regionally dependent upon other factors such as 463 topography, meteorology, and other contributing factors. Assessing spatially contiguous AQ 464 from regulatory and LCAOS networks presents an additional major challenge. Even in densely populated areas where many monitors may exist, there are no agreed-upon methods for 465 466 extrapolating the stationary measurements to community and regional scales (Diao et al., 2019). 467 A key limitation of LCAQS is the lack of access to historical data. For example, web portals that integrate LCAQS information such as the Fire and Smoke map offer near-real-time 468

469 information that is useful to track impact of on-going fire events. However, the tool has limited or no ability to download historic data. Therefore, these portals have very little utility in historic 470 471 analysis or retrospective health studies that aim to study trends over longer time periods. Many 472 sensors within LCAQS are owned and operated by lay citizens, which on the one hand diversifies the spatial distribution of sensors while on the other hand opens the door for potential 473 474 measurement errors due to sensors that may have inaccurate location (e.g., wrong location 475 provided to protect the owners' privacy), deployment, or maintenance of individual instruments 476 (Barkjohn et al., 2021). Additionally, particularly for historical analysis, the global record of 477 monitors is highly skewed towards high-income countries (The World Bank, 2021), limiting the 478 utility for global analysis. Even in countries such as the US with a longer and denser network of 479 AQ monitoring, the spatial resolution of reference monitors is generally too sparse to capture the 480 behavior of smoke and provide decision-support information for managing decisions associated 481 with exposure to biomass burning emissions (Reid et al., 2015; Sánchez-Balseca & Pérez, 2020; 482 Watson et al., . 483 Key challenges exist for establishing and expanding AQ monitoring networks, especially

483 Key challenges exist for establishing and expanding AQ monitoring networks, especially 484 at the global scale. For example, real-time AQ monitoring relies upon internet infrastructure and 485 transportation infrastructure to support routine maintenance. In low and lower-middle-income 486 (defined for fiscal year 2022 as countries that have gross national income per capita less than and 487 between \$1,046 and \$4,095, respectively), both, and other reasons present challenges to these 488 efforts (The World Bank Group, 2021).

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0 5 Opportunities and future directions in monitoring wildfire pollution

491 The rapidly developing networks of LCAQS offer an exciting opportunity for delivering 492 a more robust system of ground-based measurements valuable for smoke monitoring. Their 493 potential is widely recognized by governments within developing and developed nations alike. 494 And although outside the scope of this chapter, there is a large, growing body of literature that 495 focuses on sensor technology, including calibration methods (Wallace et al., 2021) and 496 performance compared to regulatory monitors during smoke events (Delp & Singer, 2020). With 497 continuing development and improvements of cost-effectiveness among LCAOS and the 498 improvements in the global satellites that enable web connectivity, it is reasonable to expect that 499 LCAOS networks will become the leading component of global AO monitoring system with an 500 increased data availability in remote and sparsely populated regions where fire activity and 501 smoke pollution are frequent and persistent. Open access to the observations from such a dense 502 network will likely lead to substantial improvement in models delivering spatially and 503 temporally resolved estimates of fire-related air pollution.

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