

# Scenario-based Modelling of Waves Generated by Sublacustrine Explosive Eruptions at Lake Taupō, New Zealand

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## Abstract

Volcanogenic tsunami and wave hazard remains less understood than that of other tsunami sources. Volcanoes can generate waves in a multitude of ways, including subaqueous explosions. Recent events, including a highly explosive eruption at Hunga Tonga-Hunga Ha’apai and subsequent tsunami in January 2022, have reinforced the necessity to explore and quantify volcanic tsunami sources. We utilise a non-hydrostatic multilayer numerical method to simulate 20 scenarios of sublacustrine explosive eruptions under Lake Taupō, New Zealand, across five locations and four eruption sizes. Waves propagate around the entire lake within 15 minutes, and there is a minimum explosive size required to generate significant waves (positive amplitudes incident on foreshore of  $>1$  m) from the impulsive displacement of water from the eruption itself. This corresponds to a mass eruption rate of  $5.8 \times 10^7$  kg s<sup>-1</sup>, or VEI 5 equivalent. Inundation is mapped across five built areas and becomes significant near shore when considering only the two largest sizes, above VEI 5, which preferentially impact areas of low-gradient run-up. In addition, novel hydrographic output is produced showing the impact of incident waves on the Waikato river inlet draining the lake, and is potentially useful for future structural impact analysis. Waves generated from these explosive source types are highly dispersive, resulting in hazard rapidly diminishing with distance from the source. With improved computational efficiency, a probabilistic study could be formulated and other, potentially more significant, volcanic source mechanisms should be investigated.

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## 1 Introduction

Lake Taupō (Taupō-nui-a-Tia) is a large caldera lake ( $\sim 616$  km<sup>2</sup>) in the centre of New Zealand’s North Island overlying most of Taupō volcano at the south of the Taupō Volcanic Zone (TVZ). The lake drains into the Waikato River, the longest in the country, which supplies water throughout the central North Island and Auckland. The setting of the lake and surrounding infrastructure is shown in Figure 1. Controlled at the lake outlet by gates, the water is utilised in hydroelectric power generation through the use of a number of nearby dams downstream. The lake hosts many thriving industries such as trout fishing, geothermal exploitation and tourism, particularly along the southern and western shores, and as such the shore is populated, the largest centre at the Waikato River outlet is the namesake township Taupō.

However, Lake Taupō conceals most of one of the world’s most frequently active caldera volcanoes (*Barker et al.*, 2020). Underneath this area exists a large silicic magmatic system, the TVZ, a product of subduction of the Pacific Plate under the continental Zealandia part of the Australian Plate (*Cole and Lewis*, 1981; *Cole*, 1990; *Gamble et al.*, 1996). One of the volcanoes in this system, Taupō volcano, is responsible for the youngest known supereruption, the Oruanui eruption at  $\sim 25.5$  ka, which produced over 530 km<sup>3</sup> dense-rock equivalent (DRE)



of magma. This eruption culminated in a caldera collapse of the local area which, after infilling, became part of the modern lake (*Davy and Caldwell, 1998; Wilson, 2001; Vandergoes et al., 2013; Allan, 2013*). In the time since, smaller eruptions of a wide range of eruptive volumes (across four orders of magnitude) have occurred within a relatively concentrated vent location range (shown in Fig. 1), with at least 25 identified within 12 kyr (*Wilson, 1993; Barker et al., 2020*). The largest of these, the Taupō Plinian eruption, occurred  $\sim 232$  CE and, at  $35 \text{ km}^3$  DRE, was one of the largest eruptions globally in the past 5000 years (*Wilson and Walker, 1985; Houghton et al., 2010*). This resulted in the further reshaping of the caldera and lake shore (*Davy and Caldwell, 1998*).

Tsunami generation from volcanic sources has been an area of developing interest in recent years, primarily due to events at Anak Krakatau in December 2018, causing 426 casualties (*Grilli et al., 2019; Williams et al., 2019; Ye et al., 2020*) and most recently at Hunga Tonga-Hunga Ha’apai (HTHH), a highly explosive near-surface submarine eruption which generated a local tsunami with high run-up around the Tonga archipelago and induced a significant tsunami across the Pacific and beyond (*Klein, 2022*). Volcanogenic waves can be caused by a number of different mechanisms, including subaqueous explosions or jets, flank collapse or pyroclastic density current flow into water, and caldera collapse (*Duffy, 1992; Egorov, 2007; Paris et al., 2014*). As wave-making sources, these are not necessarily mutually exclusive in that it is possible that one or more of these could be responsible for tsunamis from a single event, as demonstrated by long debate over the Krakatau tsunami of 1883 (*Nomanbhoy and Satake, 1995*) and the various interpretations of data resulting from HTHH in 2022.

Compounding the complexity of the source mechanism(s) responsible for a volcanogenic tsunami is a lack of understanding of each individual mechanism due to a lack of data and modelling efforts (*Behrens et al., 2021*). This is a common problem with low frequency and high variability events such as volcanic tsunamis, resulting in difficulty understanding the risks and hazards posed by such events (*Paris, 2015*). Progress in recent years has been sparked by the attention gained by the recent tsunamigenic events and research such as *Ward and Day (2001)* on flank collapses at La Palma. The resulting debates (*Ward and Day, 2005; Pararas-Carayannis, 2002*), additional research and improvements in modelling assumptions and techniques have helped improve comprehension of the hazards associated with volcanic tsunamis, particularly flank collapses (*Abadie et al., 2012; Tehranirad et al., 2015*); however, far more work is needed on the remaining possible mechanisms to build a more complete model of what wave hazards different volcanoes can truly pose (*Paris et al., 2014, 2019; Battershill et al., 2021*).

This work presents a scenario-based case study of waves produced by subaqueous explosive eruptions under Lake Taupō, simulated using numerical methods. In an effort to capture a wide range of dispersive and non-linear properties of the generated wave-fields, we utilise a non-hydrostatic (NH) multilayer scheme within the Basilisk computational fluid dynamics (CFD) framework introduced by *Popinet (2020)*. This numerical method has been tested and validated against records of waves generated by instantaneous disturbances and explosives at field scale (*Hayward et al., 2022a*), and in the present work is applied to investigate direct and secondary hazards posed by volcanogenic tsunamis in Lake Taupō

92 in terms of incident wave heights and velocities, inundation, impacts across the  
93 built environment on the shore and impacts on infrastructure including tsunami-  
94 induced pressures on the Waikato outlet control gates. The aims of this work  
95 are to present a detailed case study of volcanic wave hazard from an idealised  
96 explosive subaqueous source and, by utilising an appropriate numerical scheme  
97 for the types of generated waves and with high resolution digital terrain models  
98 (DTM), provide a basis on which future probabilistic hazard and risk assessments  
99 can be developed when they take into account all potential volcanic hazard  
100 sources.

101 To accomplish this, this paper follows a structure of describing the methodology  
102 in terms of the numerical scheme, wave generation model and simulated sce-  
103 narios, before describing the generated results concerning tsunami propagation  
104 across the lake, inundation and potential infrastructure impacts. Finally, these  
105 are discussed with attention given to hazard implications and model formation  
106 based on the presented framework.

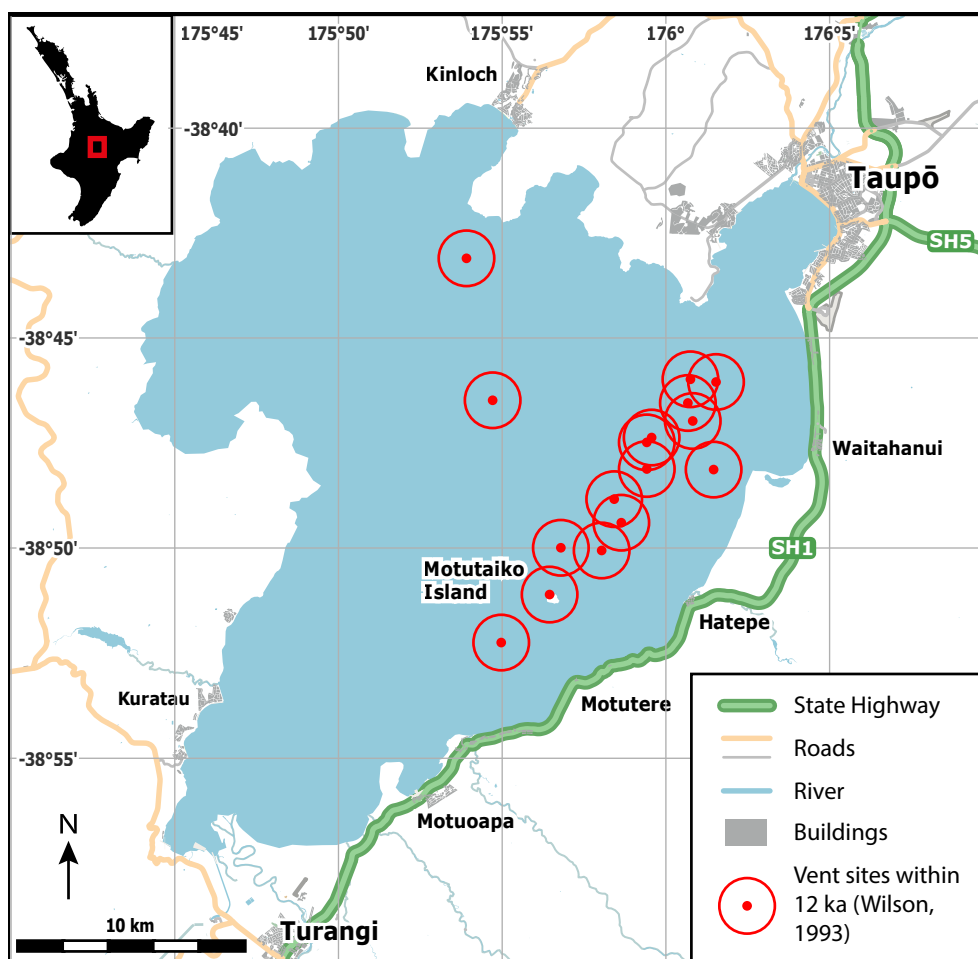


Figure 1: Setting of Lake Taupō with buildings and road infrastructure, where major State Highways are highlighted. Data sourced from OpenStreetMap. Currently submerged vent site locations that have erupted within 12 kyr from *Wilson* (1993).

## 2 Methodology

Volcanically generated tsunamis originate from a wide variety of differing sources, not all of which are syn-eruptive. These tsunamis can greatly expand the propagation range of hazard arising from a volcano, often achieving regional impact (Paris *et al.*, 2014; Paris, 2015). Each source poses multiple challenges to any modelling effort regarding their complex physical mechanisms, uncertainties in energy transfer potential, recurrence likelihood and, crucially, the difficulties of simulating the wavefield generation (Esposti Ongaro *et al.*, 2021; Behrens *et al.*, 2021). The wave dynamics from these sources varies considerably. These may be short-period, localised and dispersive compared to seismically generated tsunamis because the sources produce high vertical accelerations, steep sloped waves and are generally smaller in horizontal extent than fault ruptures, incorporating non-linear and non-hydrostatic effects (Guyenne and Grilli, 2003; Grilli and Watts, 2005; Glimsdal *et al.*, 2013; Paris and Ulvrová, 2019).

Numerical solutions to these problems in the past have been attempted using linear wave theory (e.g. Ward and Day (2001)) and codes solving the shallow water equations (SWE) (e.g. Mader (2001); Ulvrová *et al.* (2014); Ulvrova *et al.* (2016); Heidarzadeh *et al.* (2020)), which are very frequently used for the efficient solution for seismogenic tsunami magnitudes and travel-times (Popinet, 2011; LeVeque *et al.*, 2011). While these are appropriate when the characteristic wavelength  $L$  is larger than the water (or ocean) depth  $h$ , a different approach is required where these waves reach shores and other situations where non-linear and non-hydrostatic effects are significant (Esposti Ongaro *et al.*, 2021; Hayward *et al.*, 2022a).

### 2.1 Numerical Scheme

In this study we utilise a non-hydrostatic, multilayer numerical scheme which is part of the open-source computational fluid mechanics (CFD) framework Basilisk (Popinet, 2013). This free software is used in numerous CFD applications from viscoelastic investigations to multiphase jet and bubble dynamics by solving the Navier-Stokes equations. Also included are numerous free-surface schemes that can be readily applied to tsunami, wave transformation, atmospheric flows (Schilperoort *et al.*, 2022) and coastal hydrodynamics (East *et al.*, 2020).

The Basilisk framework enables the efficient solution of the relevant governing equations for the various pre-written schemes by iterating across adaptive quad-tree-based grids. This grid refinement is programmable to adapt the resolution contingent on a specified wavelet-estimated discretisation error of any chosen field, for instance in tsunami models the free-surface elevation is typically refined against. In addition, flexibility within the framework allows parallelism by either OpenMP or MPI, and some growing support for general-purpose GPU execution with OpenACC. In combination, this refinement, multi-core and multi-node capability allows the code to efficiently tackle many CFD problems, especially those with resolution requirements of irregular shape or of distance between areas of refinement.

151 The free-surface solvers within Basilisk come in a number of classes, including  
 152 two which solve the SWE and Boussinesq-type equations that are commonly ap-  
 153 plied to tsunami applications (*Popinet*, 2015). Recently, a multilayer system was  
 154 devised by *Popinet* (2020) to describe the motion of multiple layers of incom-  
 155 pressible fluids, which is only briefly outlined here. The scheme is constructed  
 156 in a modular way to reduce or introduce complexity as required and adjust the  
 157 model to an appropriate level for the application. Starting from the hydrostatic  
 158 solver which is effectively the stacked Saint-Venant equations (or SWE), the  
 159 Coriolis acceleration, buoyancy terms (small density variations), vertical layer  
 160 remapping, viscosity and diffusion can be added as required.

161 Described here is an extension which adds terms to account for vertical mo-  
 162 mentum and non-hydrostatic pressure. As for the multilayer scheme in general,  
 163 the domain consists of  $n$  layers which are horizontally gridded (Eulerian) but  
 164 vertically discrete (Lagrangian). The system approximates the incompressible  
 165 Euler equations with a free surface and gravity, by equations:

$$\partial_t h_k + \nabla \cdot (h \mathbf{u})_k = 0, \quad (1)$$

$$\partial_t (h \mathbf{u})_k + \nabla \cdot (h \mathbf{u} \mathbf{u})_k = -g h_k \nabla \eta - \nabla (h \phi)_k + [\phi \nabla z]_k, \quad (2)$$

$$\partial_t (h w)_k + \nabla \cdot (h w \mathbf{u})_k = -[\phi]_k, \quad (3)$$

$$\nabla \cdot (h \mathbf{u})_k + [w - \mathbf{u} \cdot \nabla z]_k = 0, \quad (4)$$

169 where, in the  $\mathbf{x}$ - $z$  reference frame,  $k$  is the layer index,  $g$  gravitational accelera-  
 170 tion,  $h_k$  layer thickness,  $\mathbf{u}_k$ ,  $w_k$  the horizontal and vertical velocity components,  
 171  $\phi_k$  the non-hydrostatic pressure,  $\eta$  the free-surface height (sum of layer thick-  
 172 nesses and bathymetry height  $z_b$ ), and

$$z_{k+1/2} \equiv z_b + \sum_{l=0}^k h_l, \quad (5)$$

173 the height of layer interfaces.

174 This equation set corresponds to change of layer thickness over time (Eq. 1),  
 175 the conservation of momentum (Eq. 2, 3), and the conservation of mass (Eq.  
 176 4). The dispersion relation is implemented using a ‘Keller box scheme’ and  
 177 wave breaking is approximated by limiting the maximum vertical velocity and  
 178 introducing a slope-limiting term. *Popinet* (2020) delivers greater detail on the  
 179 specifics of the scheme, comparison against other similar models and validation  
 180 benchmarks such as for standing waves, breaking Stokes waves, viscous hydraulic  
 181 jumps and case studies such as wave dispersion over varying bathymetry and  
 182 the 2011 Tohoku tsunami.

## 183 2.2 Wave generation model

184 Wave generation from subaqueous eruptions is poorly understood as it involves  
 185 a wide range of complex processes including high-energy, dynamic interactions  
 186 between pressurised magma and water (*Egorov*, 2007). Lack of direct observa-  
 187 tions or experimental research and a low recurrence rate (7% as determined by  
 188 *Harbitz et al.* (2014)) have left this range of tsunami sources in the shadow of

more commonly discussed tsunamigenic events such as earthquakes and land-slides (*Paris*, 2015). As a result, the preparedness levels for such events are far lower along with higher uncertainties regarding spatial extent and any likely hazards or impacts, as demonstrated by the HTHH event.

The analogous problem of wave generation from subaqueous chemical explosions was explored for military purposes during the 20th century. These few trials were instigated in exploration of alternative uses for nuclear devices and returned results and data of varying quality using explosives of yields between  $9.5 \times 10^8$  to  $1.8 \times 10^{10}$  J and one 23 kT device. These observations and data resulted in the development of theories about how waves are generated from explosions, where, following detonation, a gas bubble rapidly expands and meets the free-surface, provided it is closer than the maximum expansion. This interaction causes the release of the bubble in the form of a cavity and jets of water. An initial, dissipative bore is generated first, before the gravitational collapse of the cavity and subsequent alternating bores and jets until rest, producing further waves. (*Whalin et al.*, 1970; *Le Méhauté*, 1971; *Le Méhauté and Wang*, 1996; *Wang et al.*, 2018)

Directly modelling this process using numerical methods is incredibly computationally expensive and most effort within the explosive and bubble dynamics research communities usually focuses on properties of the oscillating bubble itself or the dynamic loading on ship hulls caused by pressure shocks (e.g. *Liu et al.* (2003); *Shin* (2004); *Liu et al.* (2018); *Li et al.* (2018)). Investigations involving interactions with the free-surface remain uncommon (*Daramizadeh and Ansari*, 2015; *Xu et al.*, 2020) and, owing to the additional resources necessary to compute a larger domain, simulating the resultant wave-field is impractical.

An approximation of the disturbance can be used to propagate waves in a purely hydrodynamic solver to investigate wave impacts away from the source. *Le Méhauté and Wang* (1996) present a two-parameter cavity model to represent the initial conditions of such a system, where the parameters correspond to the physical dimensions of the cavity and are tuned by relationships derived empirically through inverse methods on experimental time series of explosively generated waves. These empirical functions describe the relationship between the initial displacement of the free surface ( $\eta_0$ ) needed to generate equivalent waves and the physical characteristics of the explosion including explosive energy  $E$ , explosive depth  $z$ , water depth  $h$  and other physical conditions such as the bed characteristics and the shallowness of the explosion.

The initialised surface model is described as a smooth-rimmed cavity:

$$\eta_0(r) = \begin{cases} \eta_c \left[ -\frac{1}{3} \left( \frac{r}{R} \right)^4 + \frac{4}{3} \left( \frac{r}{R} \right)^2 - 1 \right], & r \leq R\sqrt{3} \\ 0, & r > R\sqrt{3} \end{cases} \quad (6)$$

where parameters  $R$  and  $\eta_c$  describe the radius and depth of the cavity respectively and are empirically related to the explosion or eruption characteristics. As described by *Le Méhauté and Wang* (1996), these can be determined by considering a depth relation  $D$  which is used as a classification to determine the cavity parameters. The parameter is a function of explosion energy  $E$  and water depth  $h$ ,

$$D = \frac{ch}{\sqrt[3]{E}}, \quad (7)$$

where  $c = 406.2$  is a constant. For this depth relation  $D$ , three categories are given:

$$\text{Depth class} = \begin{cases} \text{Shallow}, & D \leq 1 \\ \text{Intermediate}, & 1 < D \leq 14 \\ \text{Deep}, & D > 14 \end{cases} \quad (8)$$

For deep cases, further determination of the relation of the charge depth  $z$  to energy  $E$  is needed; in the present study no deep cases are considered. In the intermediate case,

$$\eta_c = aE^{\frac{6}{25}}, \quad (9)$$

$$R = bE^{\frac{3}{10}}, \quad (10)$$

where constants  $a$  and  $b$  vary to account for charge depth as described by *Le Méhauté and Wang* (1996).

For shallow cases, where the explosion would disrupt the whole water column and bed surface,

$$R = 0.03608 E^{\frac{1}{4}}. \quad (11)$$

To introduce the volcanic scenario, it is clear that any eruption or explosion does not occur midway through the water column but instead on the flank or in the edifice of a volcano, therefore the water and explosion (or charge) depths are equivalent. This has implications when considering depth classification, in that an explosion that is small or at a sufficiently large depth to fit in the deep class in Eq. (8) will not be capable of generating waves, restricting cases to the other depth classes. Furthermore, *Sato and Taniguchi* (1997) present relations of explosive energy to eruption parameters such as volcanic crater diameter or ejecta volume  $V$  as:

$$E = 4.055 \times 10^6 V^{1.1}. \quad (12)$$

In determining appropriate ejecta volumes for various "magnitude" eruptions, the mass ejection rate (MER) for an eruption can be estimated and multiplied by a characteristic duration which represents the initial explosive stages of a potentially long-running eruption.

This semi-analytical method and variants thereof have been used to simulate the 1996 eruption at Karymskoye Lake, Russia, by *Torsvik et al.* (2010); *Ulvrová et al.* (2014), and utilised to investigate submarine eruptions at Kolumbo Volcano (*Ulvrová et al.*, 2014), Taal Caldera Lake, Philippines (*Paris and Ulvrova*, 2019; *Pakoksung et al.*, 2021), and at Campi Flegrei, Italy (*Paris et al.*, 2019), all using either SWE or Boussinesq-type equation based methods. For the multilayer scheme, the explosive source model has been tested for waves generated by chemical detonations in Mono Lake, California (*Hayward et al.*, 2022a).

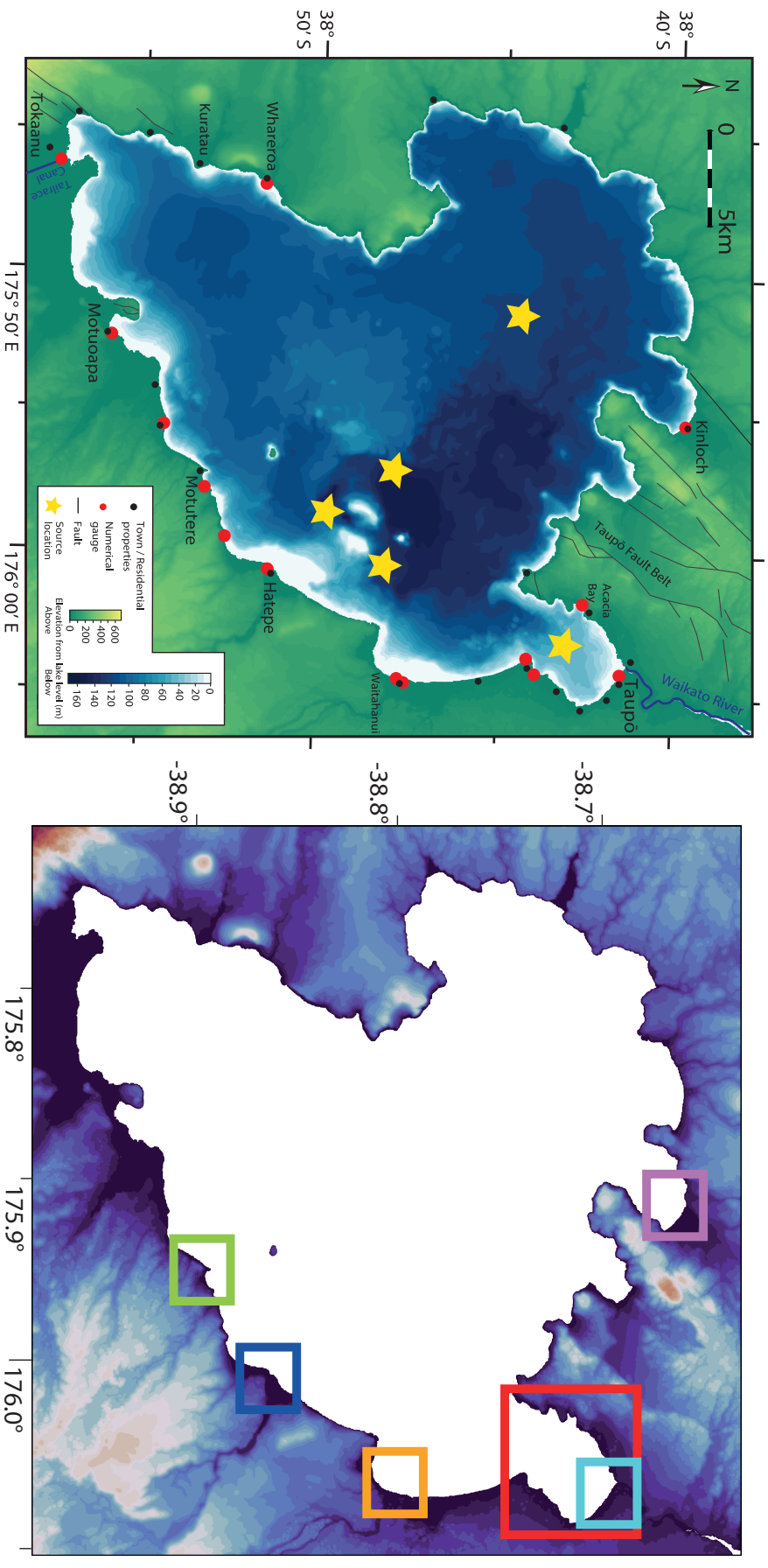


Figure 2: Illustration of the model domain and applied digital elevation model. Left: Structural features including faults, elevation and bathymetry; geographical locations including settlement areas, the Waikato River and Tailrace Canal; modelling locations including discrete numerical gauge and source locations (detailed in Table 2). Right: Focus regions as used in inundation mapping; Taupō centre (Light blue), Taupō area (red) Waitahanui (orange), Hatepe (dark blue), Motutere (green), Kinloch (purple).



## 2.3 Numerical Simulations

In total, 20 scenarios are simulated, comprising four sizes of eruption events at five differing locations across Lake Taupō. These are detailed in Fig. 2 and Tables 1 and 2, with an overall summary in 3. The eruption sizes are closely tied to the scenarios modelled by *Barker et al.* (2019), which considered 0.1, 1, 5 and 50 km<sup>3</sup> DRE eruptions, and where we use the MER of each scenario across a much shorter timescale (that of an eruptive explosion at the initial stage) to calculate an ejecta volume  $V$  and, by Eq. 12, an energy  $E$  to input into the initialisation model. This is tabulated in Table 1. These sizes, which correlate with eruptions of Taupō volcano through the Holocene (*Wilson, 1993*), are compared in Table 1 to equivalent volcanic explosivity index (VEI) magnitudes as described by *Barker et al.* (2019), and refer to annual probabilities estimated by *Stirling and Wilson* (2002). While larger events of supereruption magnitude have occurred, these are not modelled in the present work as these eruptions would have far larger implications for the local area (and beyond) in the form of caldera collapse, lake modification or destruction and deposit effects, where any generated tsunami would likely be relatively irrelevant.

We selected the the five event locations specified in Table 2 according to two criteria. In the first instance, three are placed across a region of Holocene activity (as shown in Fig. 1) and active hydrothermal venting (*De Ronde et al., 2002*), at locations around the Horomatangi Reefs. The remaining two event locations, one near Taupō, another in the western lake, are at other areas which have experienced lower, but not insignificant, activity to ensure modelling coverage.

An elevation model (Fig. 2) is constructed using a combined bathymetric model of Lake Taupō (*Irwin, 1972; Rowe et al., 2002*) and LiDAR measurements of the surrounding shoreline and Taupō township from 2006-2016, of which datasets were provided by the Waikato Regional Council. These are combined and projected to the New Zealand Transverse Mercator using the NZ Geodetic Datum 2000. The vertical datum is Moturiki 1953.

The numerical scheme is set up for each simulation to model the terrain with a lake level set at 356.9 m, a typical yearly maximum lake level as measured by the operating utility company and a maximum refinement level of 12 resulting in a maximum horizontal grid resolution of 8 m. All runs were executed for 24 minutes of simulated time, with 5 vertical layers, this being guided by previous numerical work at the lake (*Hayward et al., 2022a*). No special considerations for domain boundaries are needed as flows do not encroach upon these because of the elevation profile.

The conventional outputs of maximum wave heights, velocities and numerical time series for gauges are produced. Specific locations and regions (shown in Fig. 2) are focused on in terms of numerical gauges and field outputs, typically located on infrastructure e.g. State Highway 1 or the near shores beside buildings. In addition, arrays of gauges were put on four cross-sections of the Waikato River inlet, shown in Fig. 3, to calculate hydrographs of the downstream discharge and towards the control gates. The number of these placed along a section is set to match the maximum horizontal grid resolution. Discharge components are computed at each gauge by calculating the cross-sectional area of the section's gauge multiplied by the average horizontal velocity (perpendicular to the

310 section) across the vertical layers. The total discharge is then the sum of these  
311 components at each time step to create the hydrograph.

Table 1: Eruption sizes used in the Taupō model, where MER is chosen from *Barker et al.* (2019). Annual probabilities from *Stirling and Wilson* (2002).

Size (#)	MER (kg s <sup>-1</sup> )	Ejecta Volume $V$ (km <sup>3</sup> DRE)	Energy $E$ (J)	VEI Equivalent	Annual Probability
1	$1.2 \times 10^7$	0.004	$7.4 \times 10^{13}$	4	0.1%
2	$5.8 \times 10^7$	0.022	$4.8 \times 10^{14}$	5	0.03%
3	$1.4 \times 10^8$	0.054	$1.3 \times 10^{15}$	6	0.01%
4	$1.5 \times 10^9$	0.577	$1.7 \times 10^{16}$	7	<0.01%

Table 2: Location of eruptive explosion cases, also see Fig. ??

Location (#)	Long. (°)	Lat. (°)	Depth (m)
1	176.0523	-38.7169	49.5
2	176.0085	-38.8080	135.5
3	175.9789	-38.8278	129.4
4	175.9480	-38.7968	147.9
5	175.8592	-38.7426	120.4

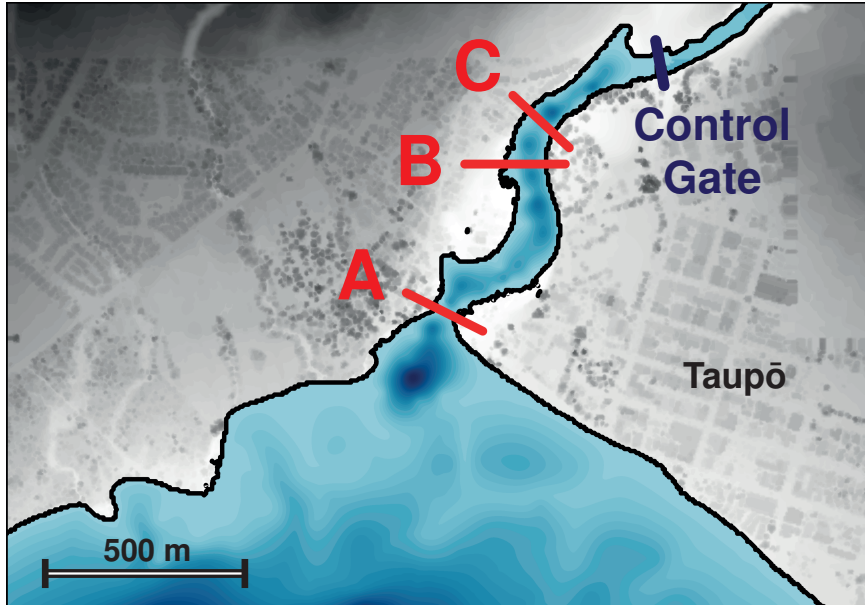


Figure 3: The Waikato River inlet from Lake Taupō, with terrain detail. Cross-sections where hydrographs of the river are calculated are given in red, and the lake-river control gate in navy blue.

Table 3: Table of all scenarios simulated around Lake Taupō.

Simulation #	Location	Size	$D$	$\eta_c$	$R$
1	1	1	0.48	30.54	105.82
2	1	2	0.26	47.83	168.88
3	1	3	0.18	60.76	216.65
4	1	4	0.08	113.55	415.57
5	2	1	1.31	30.12	110.00
6	2	2	0.70	47.83	168.88
7	2	3	0.50	60.76	216.65
8	2	4	0.21	113.55	415.57
9	3	1	1.25	30.12	110.00
10	3	2	0.67	47.83	168.88
11	3	3	0.48	60.76	216.65
12	3	4	0.20	113.55	415.57
13	4	1	1.43	30.12	110.00
14	4	2	0.77	47.83	168.88
15	4	3	0.55	60.76	216.65
16	4	4	0.23	113.55	415.57
17	5	1	1.16	30.12	110.00
18	5	2	0.62	47.83	168.88
19	5	3	0.45	60.76	216.65
20	5	4	0.19	113.55	415.57

### 3 Tsunami Propagation Results

Numerical simulations were computed for all described scenarios. In each of these waves propagate throughout the entire lake, interacting with areas of variable depth bathymetry (e.g. the Horomatangi Reefs) and affected by the shore morphology around the lake’s perimeter and Motutaiko Island.

All scenarios were computed until a simulated time of 1400 s was reached using eight cores on a single node. Computation time averaged 18.7 hours per simulation, ranging from 0.5 to 53.3 hours. Longer computation times were needed for larger source sizes and locations near the Horomatangi Reefs (source locations 2-4). This was because of the interaction of larger, steeper waves with both the reef’s shallow bathymetry and any nearby shorelines, requiring smaller timesteps and longer calculation times within each timestep.

Figs. 4 and 5 show the maximum crest amplitudes and velocities incident at the foreshore around the entire perimeter of Lake Taupō, illustrating, in particular, the geographical variations. As would be expected, the larger sources produce greater incident amplitudes and horizontal velocities. Across the different source locations, the shore points experiencing the highest wave crest amplitude incidence are often the areas closest to the source, and other areas of the lake can be ‘sheltered’ by morphological barriers, for example, the fourth source location towards the west of the lake generates waves which have lower impact near Taupō township. There is no preferential direction of propagation, with directly facing shores of all directions and of the same proximity experiencing similar wave incidence. Fig. 6 compares the crest amplitude and velocity data between the different simulations, showing that a positive relationship exists between both crest heights and horizontal wave velocities reaching the shore and the explosion energy (and, therefore, also ejecta volume and MER) and is not significantly affected by the different source locations of similar depth and proximity to the shoreline. The scenarios near Taupō township exemplify that the closer proximity to source increases the maximum crest height and horizontal velocity for higher magnitude explosions.

First arrival times from the different source locations are illustrated in Fig. 7, and these are mostly independent of source size. For all scenarios, waves propagate throughout the entire lake within 15 minutes. Initial phase velocities start from approximately  $40 \text{ ms}^{-1}$  for the deeper locations (2-5) and approximately  $23 \text{ ms}^{-1}$  for the Taupō location (1), with these varying primarily due to the difference in water depth as sources of the same size generate similar wavelengths. Maximum crest heights throughout the lake do not always coincide with the first arrival, however, as the generated waves exhibit strong frequency dispersion across most of the lake, leading to a longer duration from the first arrival to the maximum amplitude wave at greater distances from the source. At shores with a gentler gradient, such as at Taupō, Waitahanui and Hatepe, wave shoaling resulted in bore formation as the depth change slows the group to beyond breaking, stacking the individual waves onto each other.

It is crucial to consider velocity as well as wave amplitude as part of assessing any tsunami impact not just beyond the shore but also within the lake to consider, for example, the impact on boats and other floating bodies, which could result in their unmooring and displacement, damaging not just themselves but also

359 becoming a further mobile hazard (*Lynett et al.*, 2012; *Nosov et al.*, 2013; *Azad-*  
360 *bakht and Yim*, 2015; *Borrero et al.*, 2015). The range of scenarios across Lake  
361 Taupō shows that, for waves from sublacustrine eruptions, the induced horizon-  
362 tal flow velocities decrease at a similar, if slightly lower, rate to the amplitude  
363 of generated waves with distance from the source. While only the magnitude of  
364 horizontal velocity is considered here, it would be further beneficial to utilise the  
365 capabilities of non-hydrostatic multilayer modelling to analyse the current direc-  
366 tion in addition to harbour-scale rotational patterns or vorticity, which would  
367 contribute to potential hazard (*Lynett et al.*, 2012).

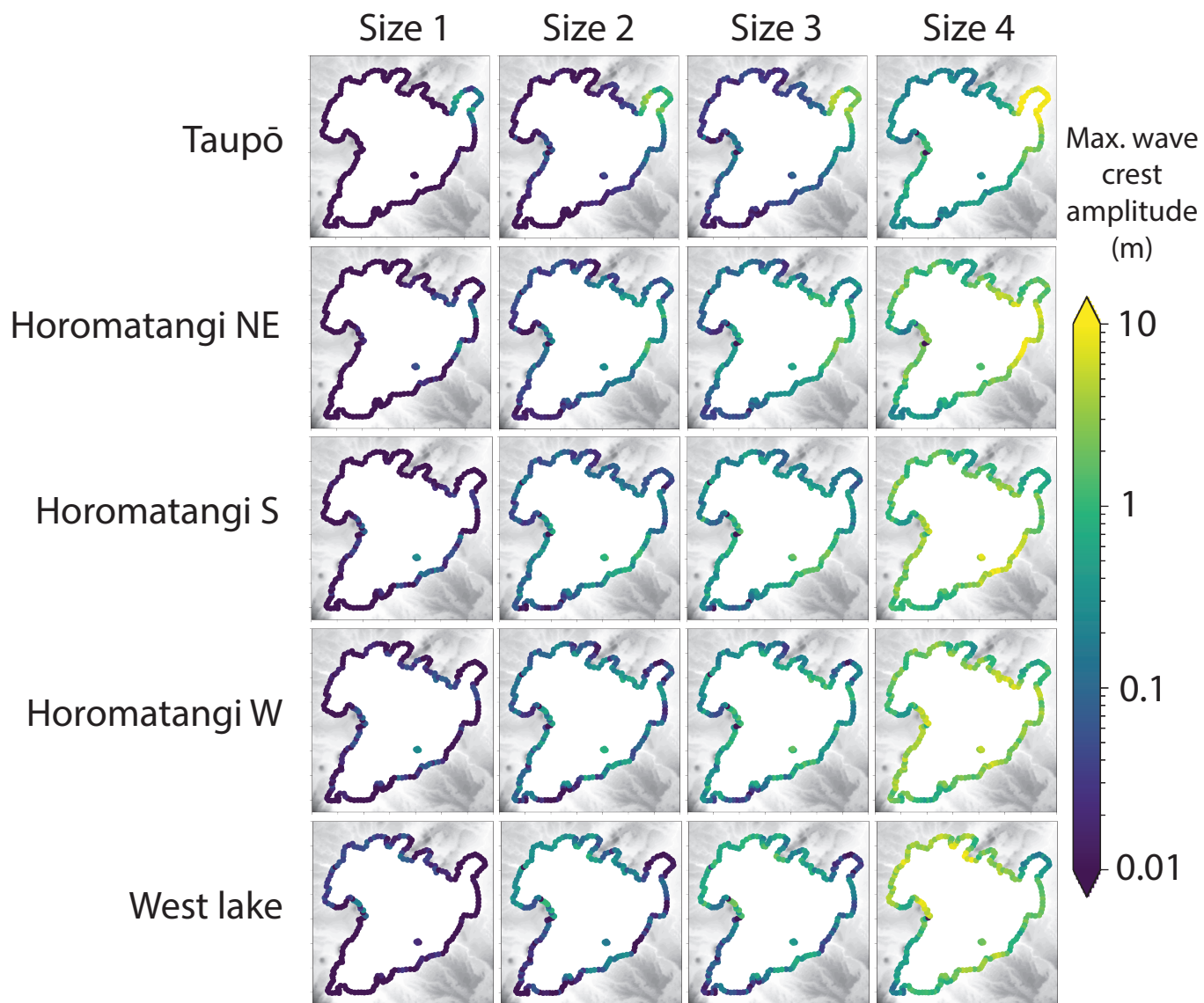


Figure 4: Scenario matrix illustrating maximum wave amplitudes reached across the lake foreshore, where sizes are detailed in Table 1 and locations in Table 2.

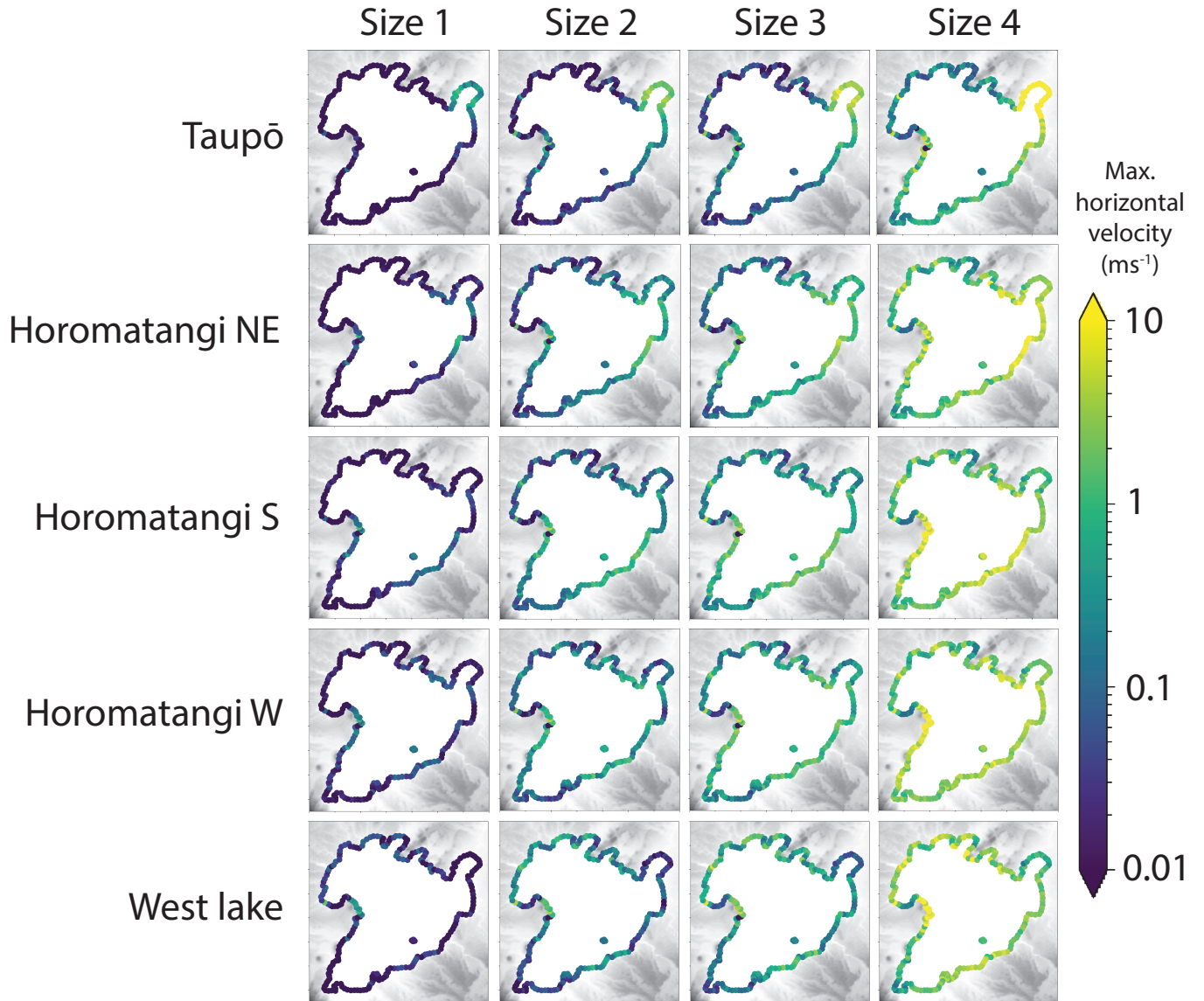


Figure 5: Scenario matrix illustrating maximum water horizontal velocity reached at all points along the lake foreshore, where sizes are detailed in Table 1 and locations in Table 2.



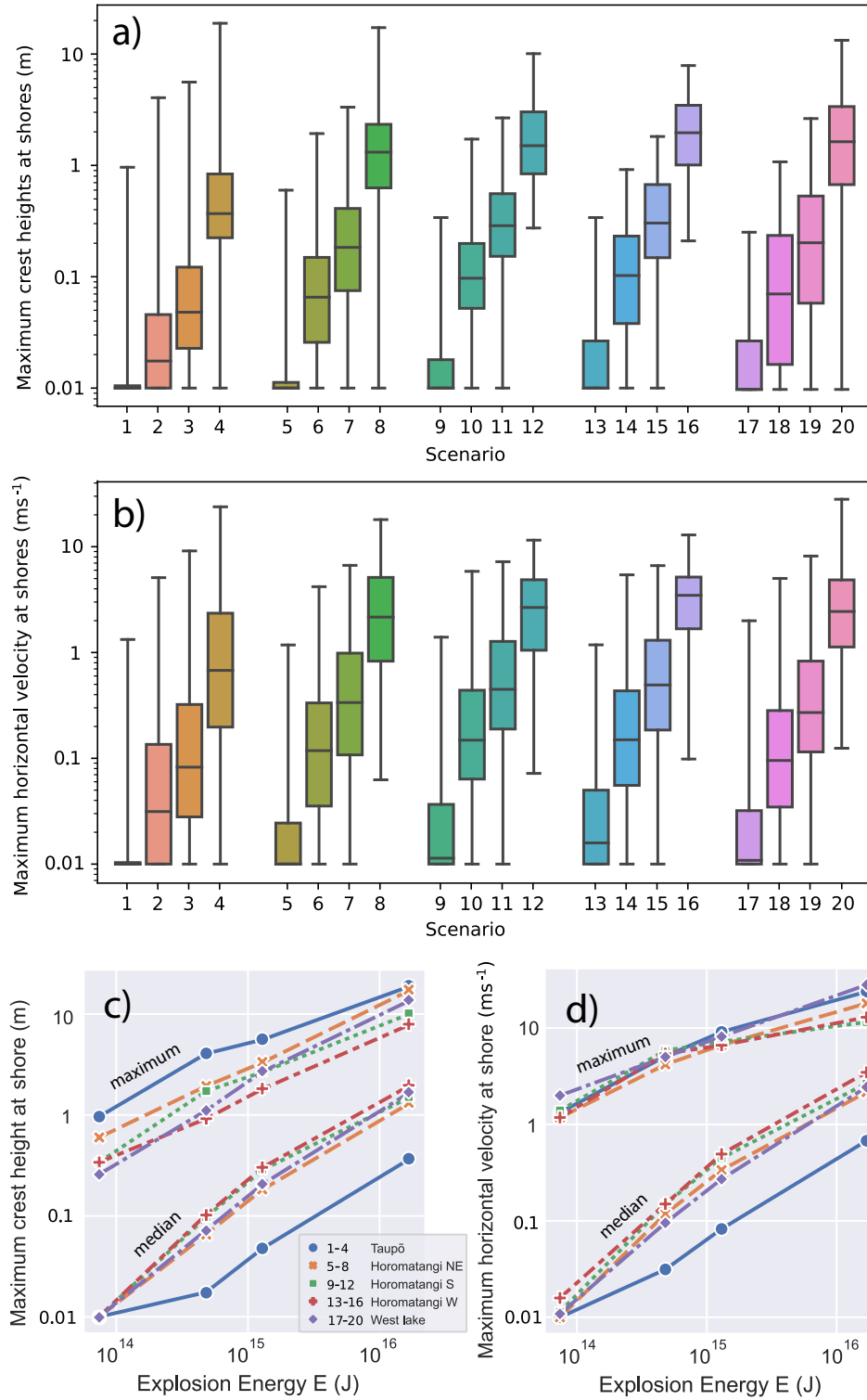


Figure 6: (a,b) Box plots quantifying the range of maximum crest heights and horizontal velocities reached respectively around the foreshore for each scenario. (c,d) Plots of maximum and median values (measured over whole Taupō shore-line) of the maximum wave amplitude (c) and maximum speed (d) plotted against explosion size for the different source locations.

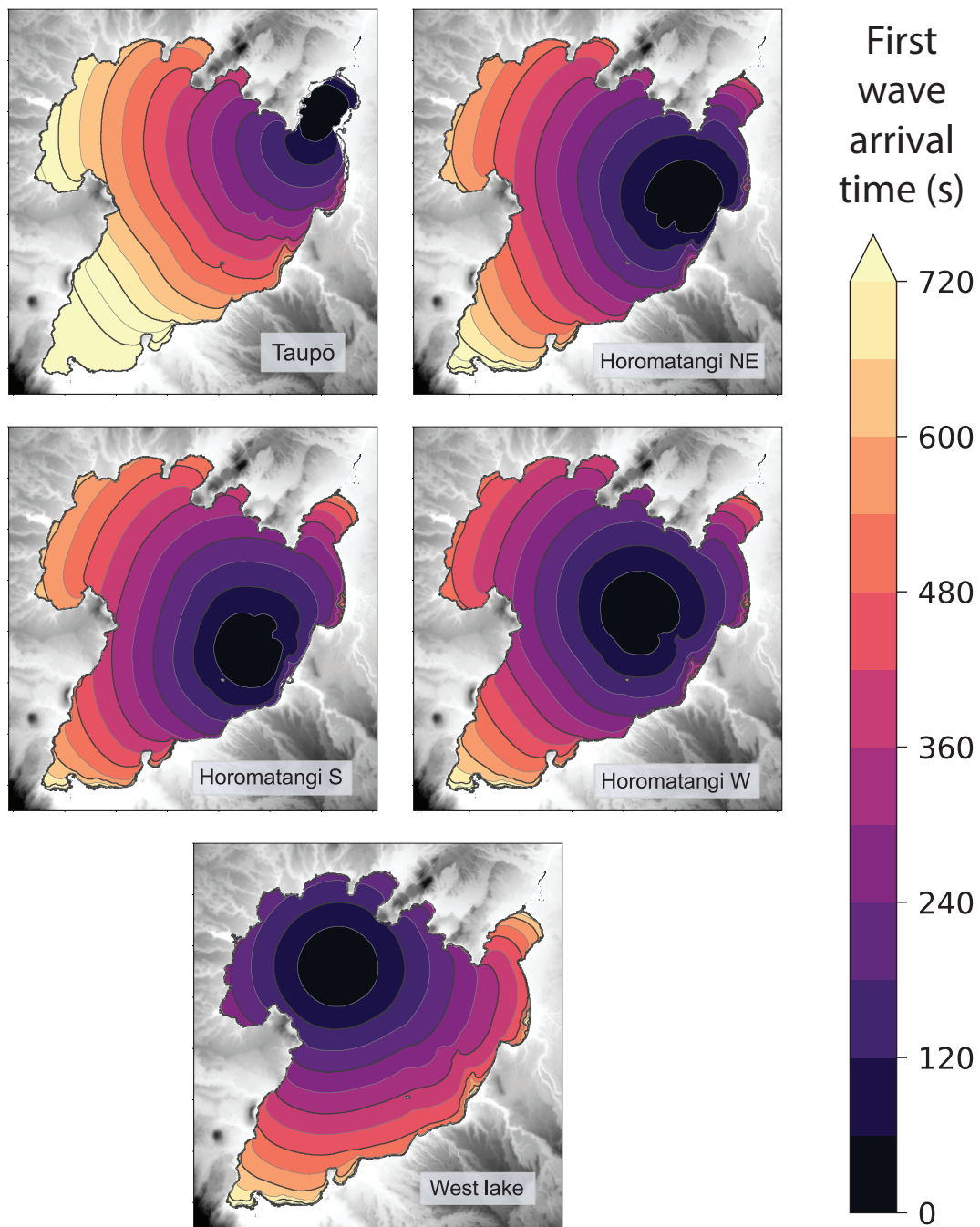


Figure 7: First wave arrival times for each source location.

## 368 4 Inundation and potential infrastructure impacts

369 Given the numerical capability of the multilayer scheme to simulate run-up as  
370 demonstrated by *Hayward et al.* (2022b), an investigation of inundation caused  
371 by subaqueous explosions was undertaken. Five areas, illustrated in Fig. 2, are  
372 bounded to investigate any inundation beyond the foreshore experienced in any  
373 of the 20 scenarios.

374 Fig. 8 illustrates, for each area, the maximum inundation extent for each source  
375 size at the closest location, where the exceedance threshold is 1 cm. The inunda-  
376 tion extents are laid over OpenStreetMap data and are plotted at the simulation  
377 end time. The two smaller source sizes show a similar pattern of negligible inun-  
378 dation in all areas, with only beaches and very low ( $< 0.5$  m) elevations above  
379 the lake experiencing minor, if any, flooding. Size 3 is similarly limited in reach  
380 but generally has higher amplitude wave incidence and hence some notable areas  
381 of flooding, e.g. Hatepe near the stream and parts of Acacia Bay and Rainbow  
382 Point near Taupō. Size 4, in contrast, precipitates significant waves in all illus-  
383 trated areas, inundating most of Motutere, Hatepe and large areas of Kinloch  
384 and Taupo's eastern shore.

385 The comparisons between source sizes demonstrate that hazardous waves only  
386 eventuate at directly facing shoreside areas from source size two, equivalent to a  
387 VEI 5 eruption, and significant inundation of proximal (within 10 km) low-lying  
388 shorelines besides shores begins between source sizes 3 and 4, equivalent to a VEI  
389 6 eruption. It is worth noting that these scenarios conditionally assume an ideal  
390 volcanic event taking place, i.e. one which actually occurs under the lake at an  
391 intermediate depth range and is sufficiently explosive in eruptive characteristics.

392 Fig. 9 shows more detailed flow depths for the closest and largest individual  
393 scenarios at Taupō and Hatepe. In the Hatepe area, the preferential propagation  
394 of the incident waves up the tributary stream is clearly demonstrated, as is  
395 the lack of inundation on the north-eastern shore where the land rises more  
396 steeply out of the lake. The wave breaking induced here is also evidenced at  
397 the shore to the south. Only low-lying land around the lake experiences any  
398 significant inundation, particularly around the plain adjacent to the stream. In  
399 addition, ponding of water is also experienced in slightly depressed areas. At  
400 Taupō, the slightly higher CBD area is not inundated while the flatter suburban  
401 areas to the east and the surrounding shores beyond the inlet to the Waikato  
402 River are inundated. Note that also in the same figure, the high-resolution  
403 LiDAR incorporated into the DTM is visible. This level of detail is necessary  
404 to ensure validity when simulating wave run-up around infrastructure and the  
405 built environment, which can act as barriers or artificial channels.

406 Fig. 10 shows tsunami time series at numerical gauges located on or at areas  
407 of the built environment, including lake-facing building perimeters and State  
408 Highway 1, the main arterial north-to-south road. The time series for the largest  
409 source at each source location are plotted and show how the different source  
410 locations affect the arrival time and the magnitude of incident wave heights.

411 The two eastern gauges placed on the shore periphery (at Hatepe and Waita-  
412 hanui) display the changing arrival times for each location which correlates with  
413 distance and the inundation profile. In these areas, the tsunami is characterised

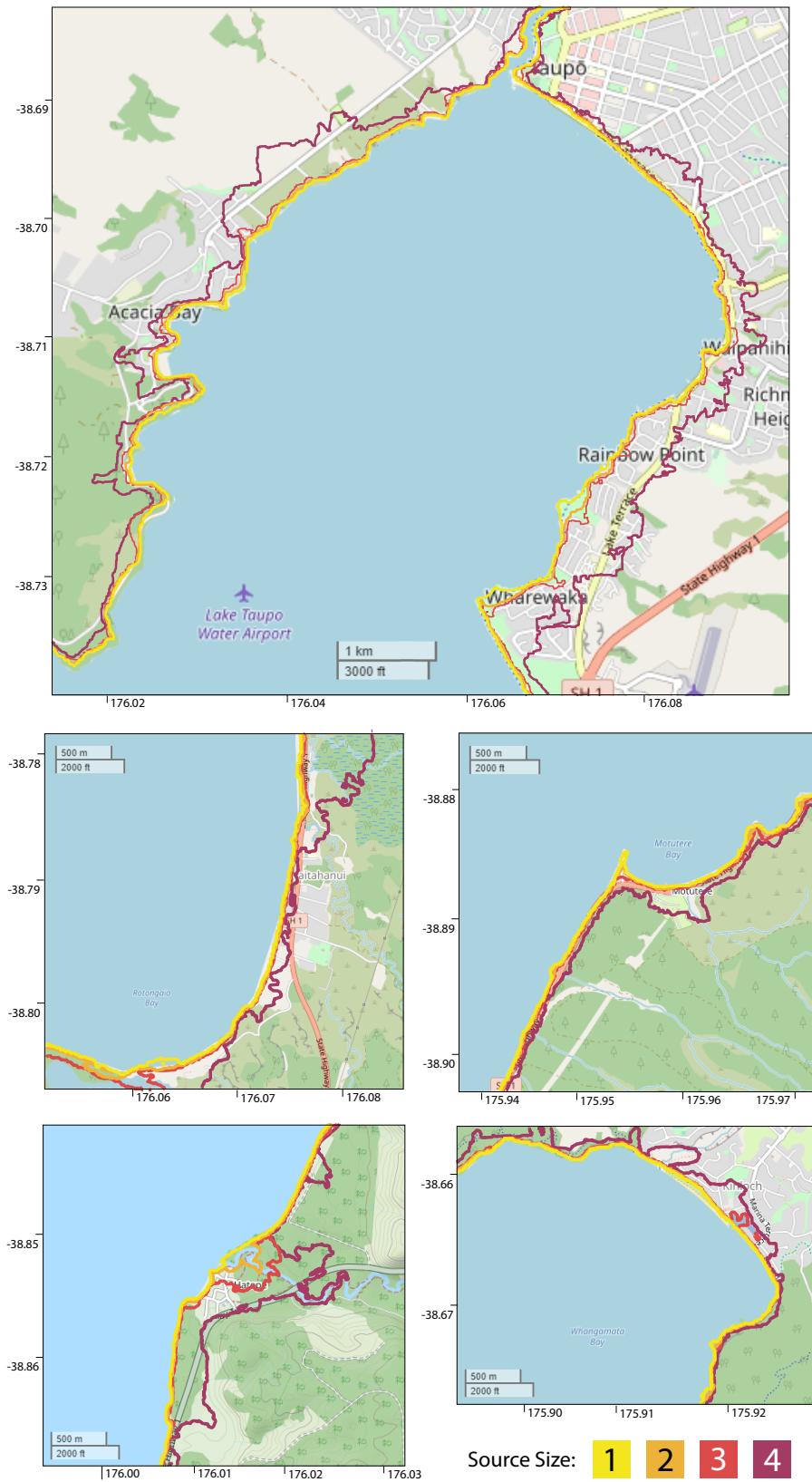


Figure 8: Inundation contours by size for the five boxed areas shown in Fig. 2, where only the closest source for each location is shown. Map imagery © OpenStreetMap contributors.

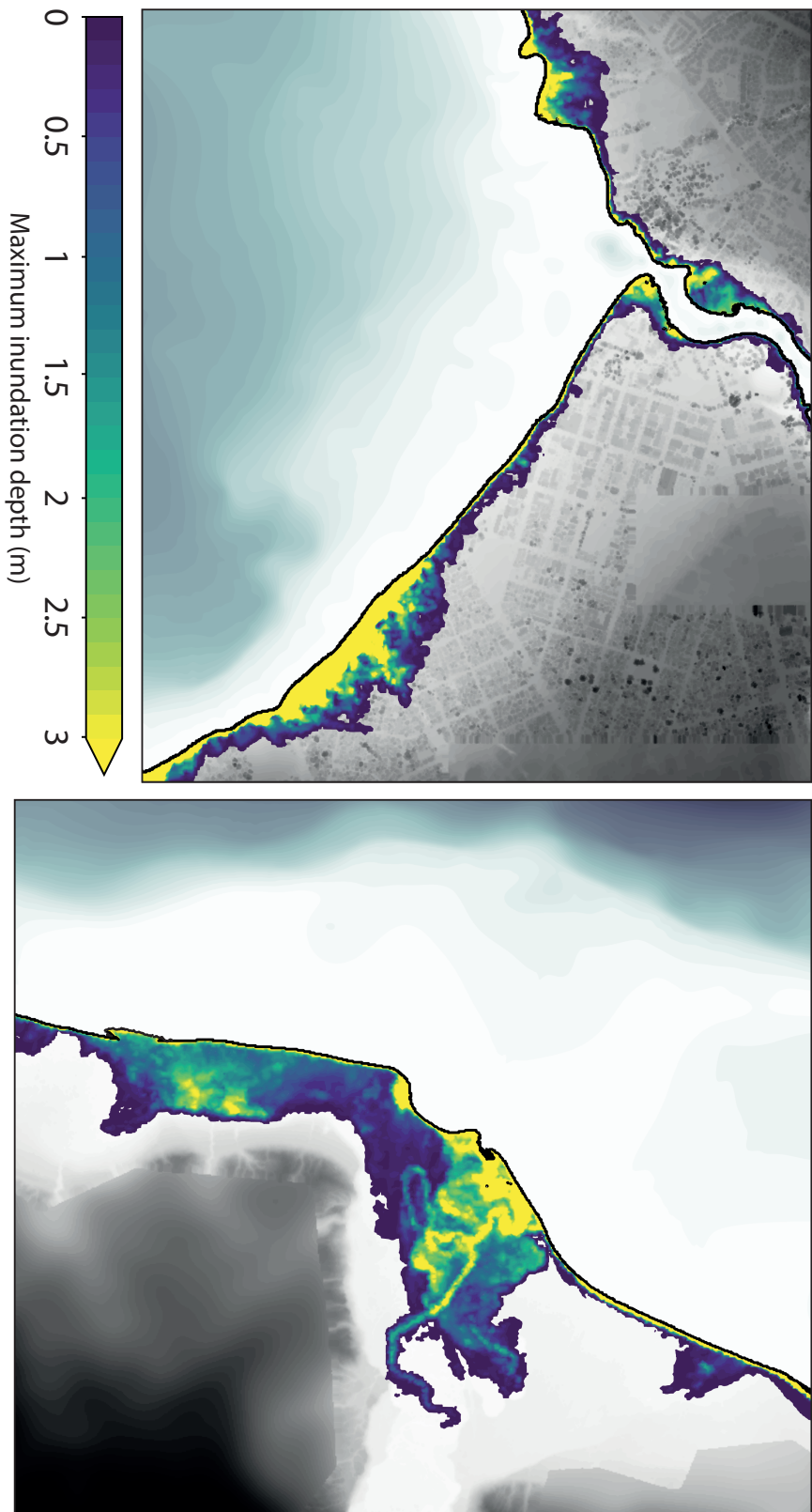


Figure 9: Map of maximum inundation heights at Taupō and Hatepe for largest size and closest source, overlaying shaded DTM detail.

by a undulating bore as the dispersive wave train stacks upon itself, causing a rapid increase in inundation heights followed by a slower retreat with additional, smaller surges sometimes following. It can also be seen that for the two source locations at a distance, in the west lake and near Taupō, waves generally arrive much later and, while still registering significant heights for this source size, are lower than for the nearer locations. The western gauge (at Whareroa) reveals slightly different run-up characteristics of rapid, successive heights of comparable magnitude. These brief, and therefore lower strength, inundation episodes are likely due to steeper slope gradients and the quicker change of water depth near shore resulting in individual wave phases reflecting close to shore rather than producing a longer bore as in the eastern locations.

The two numerical gauges placed on State Highway 1 demonstrate the high variability of significant inundation on the highways near shore. At the southernmost location, near Motutere, two of the Horomatangi sources (yellow and green) cause significant inundation, but the other (blue) does not, despite being of similar distance from the source, likely due to the presence of the Horomatangi Reefs. For the location north of Waitahanui, the reverse is seen where one Horomatangi source location, and even the source near Taupō, has far more impact than the other two. The further source location to the west registers minor ( $< 0.1$  m) inundation of these roads at the same source size, and neither gauge received significant inundation for any of the smaller sizes. This indicates that, for these pieces of infrastructure that are relatively near shore, any impact depends strongly on not just the source size but also the location, as distance heavily controls inundation extent, as does the presence of any significant bathymetric barriers such as the Horomatangi Reefs.

The effects of incident waves on the inlet of the Waikato River are also considered, and hydrographs are plotted in Fig. 11 and 12. Only results from the Taupō source location are shown as no other location produced significant impacts. Fig. 11 shows a comparison between different source sizes for flow at the river inlet. As the source sizes vary with magnitude, so do the maximum discharge rates as numerically measured at the inlet; the largest size returns a considerably higher peak discharge. Also evident are the small changes in arrival time, which can be explained somewhat by the height of the generated waves at this location, but is mainly due to the larger horizontal span of the source, which effectively moves it closer to the inlet. Fig. 12 shows the time series for three sections going progressively downstream for the largest size source. It can be seen that the peak discharge points for each section progress ‘downstream’, coinciding with the initial wave that flows through the inlet. As this happens, the wave encounters curves and a cut-off in the form of the control gate, which dampens and reflects a portion of the energy back towards the lake. This gate is not manually emplaced — it is incorporated in the DTM from LiDAR survey and as such is as high as the road it carries, and could potentially be overtopped by high enough waves. For these sources, this does not happen and therefore the reflection, and the incidence of troughs between wave peaks at the inlet, produces negative (or reverse) discharges from the inlet towards the lake. Combined with the minor frequency dispersion (which occurs after the entry into the channel due to a small deepening), this reduces the peak discharge, in this case by 72%, when the wave travels from the inlet (section A) down to section C and a reduction of flux by 62%. This type of output can be utilised as a starting

463 point to investigate any cascading hazard down the river system, for instance,  
464 in this case, any structural effects by the induced flow on the Waikato River  
465 control gate in Taupō. Any damage could potentially impact resources or land  
466 further down the river, including several hydroelectric power stations situated  
467 downstream, the first being 13 km away.

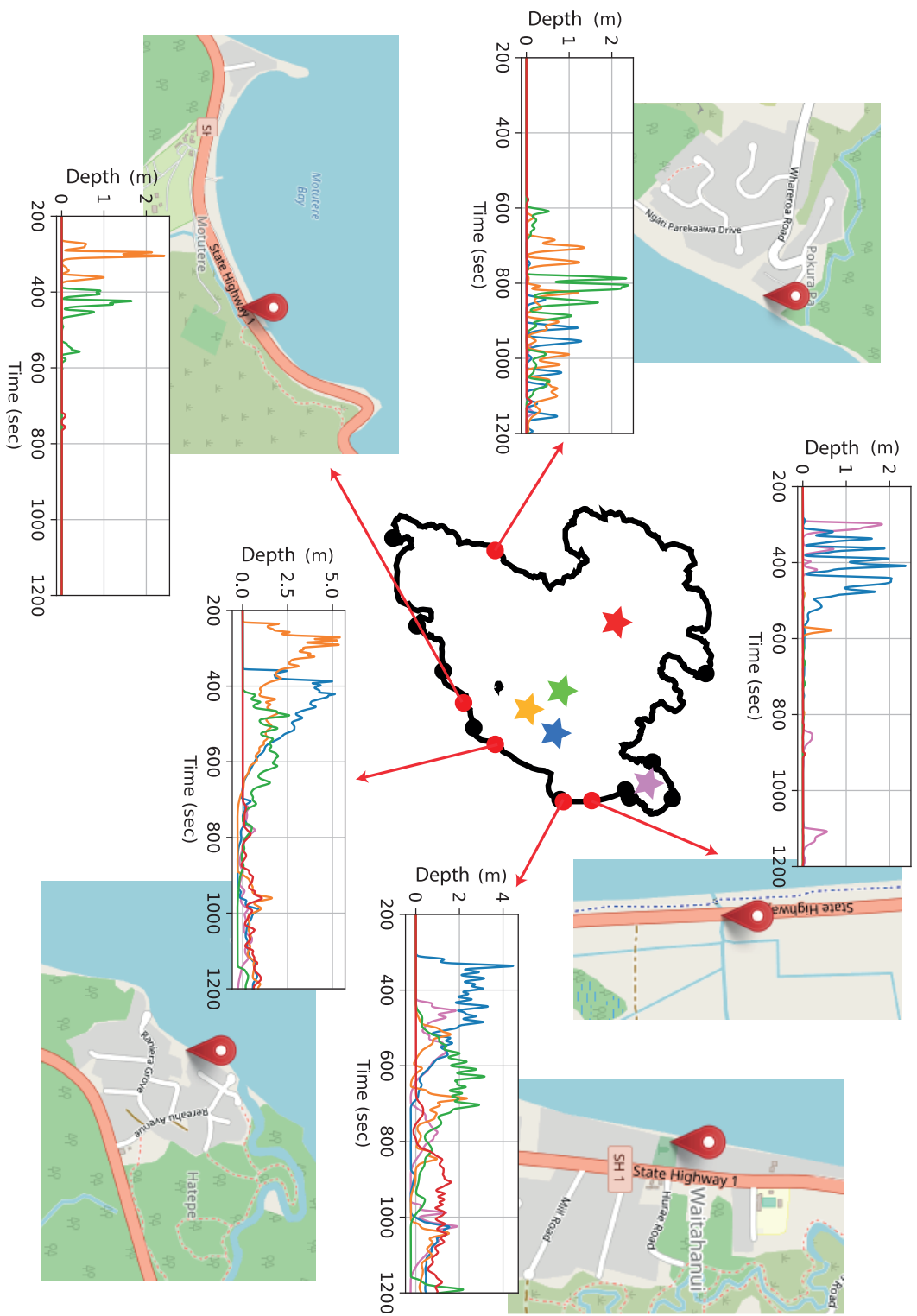


Figure 10: Inundation depth time-series for largest size sources, coloured by location indicated by stars in the central map. Map imagery © OpenStreetMap contributors.



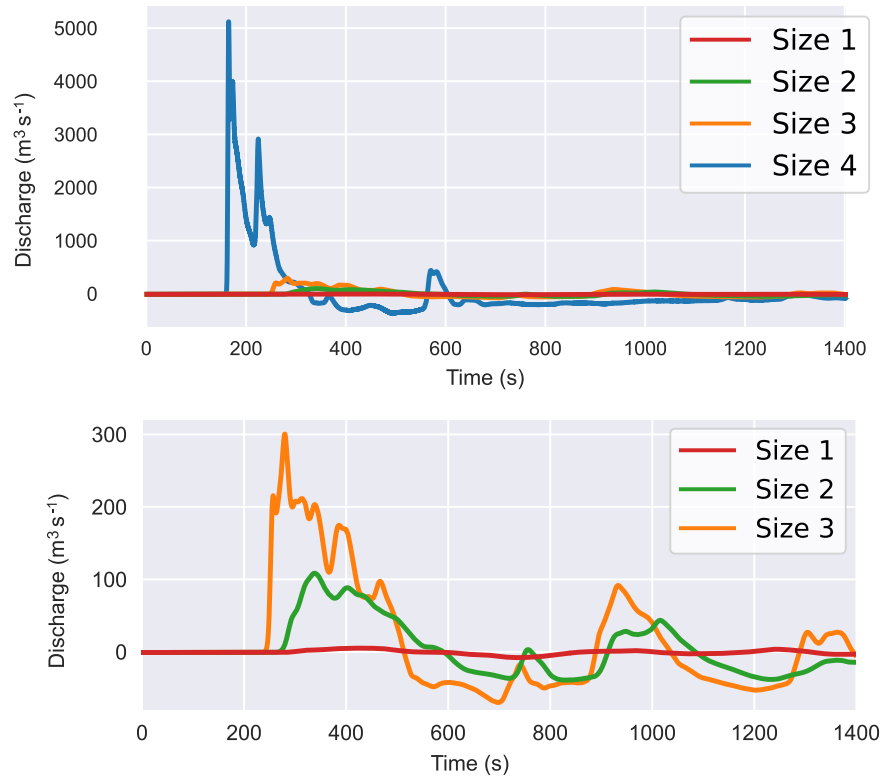


Figure 11: Discharge at Waikato River inlet measured across section A, as illustrated in Fig. 3, for the different size sources located at Taupō.

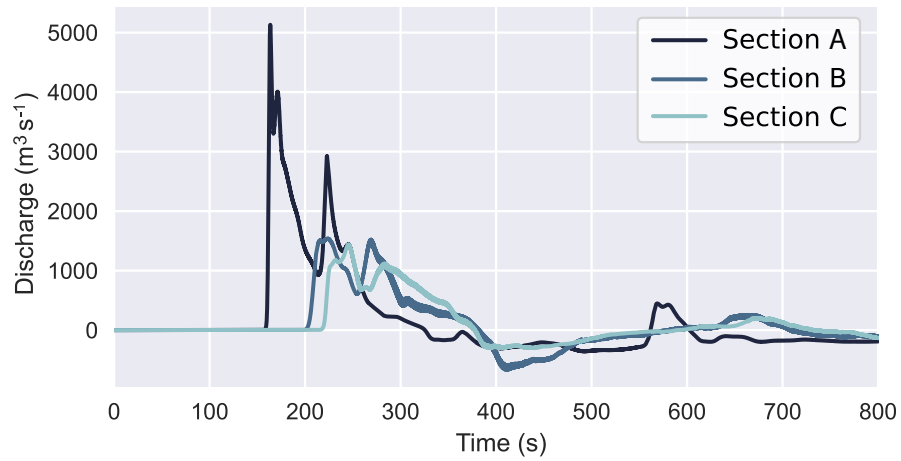


Figure 12: Time-series plot of discharge for the largest, nearest source at each Waikato River inlet section.

## 468 5 Modelling and hazard discussion

469 Our results suggest that significant waves that pose a hazard to the immediate  
470 lake foreshore can be generated from sublacustrine eruptions, given an event  
471 of sufficient magnitude and explosivity. Across the metrics presently tested, it  
472 is seen that events of VEI 5 equivalent (magnitude size 2) and above have the  
473 ability to generate significant waves (of crest heights  $> 1$  m). However, these  
474 only start to become prolific around most of the lake at approximately VEI 6  
475 (sizes 3 and 4) rather than just near-source. Below VEI 5 (size 2), however,  
476 effects of the waves are minor or negligible in terms of incident crest heights  
477 and velocities near shore. Inundation and on-land impacts are similarly very  
478 sensitive to source size and location, with the most significant hazard beginning  
479 above size 3, especially when nearby.

480 Submerged or otherwise, volcanic eruptions pose a wide range of other hazards  
481 of varying strength and extent. Identification of threshold eruption magnitudes  
482 for tsunami or other wave hazards in this case study augments the discussion  
483 on how much this source mechanism contributes to the broader hazards posed  
484 by a volcanic eruption. For Lake Taupō, any eruption that meets or exceeds  
485 the minimum size investigated here is very likely to be accompanied by other  
486 simultaneous hazards, including ashfall (*Barker et al.*, 2019) and widespread  
487 pyroclastic density currents which could themselves generate further tsunami  
488 waves. (*Wilson*, 1993; *Self*, 2006). Approaching the suggested threshold for  
489 hazardous wave generation leads to the assumption that most, if not all, of the  
490 areas in hazard zones for incident waves, are also within the hazard range of  
491 other volcanic impacts either through proximity for the lower magnitude range,  
492 or via the likely cataclysmic effects of the caldera-forming higher magnitude  
493 range.

494 The relatively low strength of waves generated from eruption-sized explosions is  
495 not unexpected or contrary to current thought; the idea of a “tsunami bomb”  
496 type weapon has been previously well researched and led to conclusions that,  
497 even with high-yield nuclear devices, significantly large waves are rarely gen-  
498 erated, and are usually restricted to near-source or harbour resonance effects  
499 (*Le Méhauté and Wang*, 1996). This is primarily caused by the very low effi-  
500 ciency (at most 5%) of energy transfer from explosive source to wave generation  
501 (*Le Méhauté*, 1971). However, this does not disqualify the need for investiga-  
502 tion, as spurred by applications based on asteroid-ocean impacts and the re-  
503 cent tsunami-generating eruption at Hunga Tonga-Hunga Ha’apai in early 2022.  
504 This numerical modelling scenario exercise suggests that any explosivity from  
505 an eruption, given a preferential intermediate water depth, is a relatively poor  
506 wavemaker and, combined with the high dispersiveness of the generated waves,  
507 is likely only an inundation threat locally near-source and for powerful erup-  
508 tions. This is not to dispute, however, the ability of submarine volcanism as a  
509 whole for tsunami generation; highly explosive events are, in turn, capable of  
510 causing other wave generating mechanisms such as pyroclastic density current  
511 submergence, landslides and meteotsunamis.

512 The initialisation model used in this study is based on empirical relationships  
513 which are now, for the most part, over half a decade old. Combined with the  
514 other assumptions required to consider an eruptive source for impulsive displace-

515 ment from an explosion, this has motivated the use of numerical methods for this  
 516 application. However, despite the prohibitive difficulty in performing experimen-  
 517 tal analysis on many parts of the system, some avenues remain which could be  
 518 used to potentially improve or reformulate the initial conditions used for mod-  
 519 elling. These primarily involve investigating some of the assumptions made in  
 520 this model, such as how any variation in source duration or depth can influence  
 521 wave generation efficiency or the impact of source directionality. Ideally, these  
 522 would contribute to formulating a new initial condition model which could be  
 523 more representative of a broader range of potential subaqueous eruption cases.

524 As the volcanic source mechanism generates highly dispersive waves, the NH  
 525 multilayer scheme is sufficiently capable of resolving the resulting wave group  
 526 and its interaction across the whole domain, including propagation over vari-  
 527 able bathymetry and run-up near-shore over complex urban terrain. However,  
 528 while currently feasible for most readily available computers to run with light  
 529 parallelism, these models are still computationally expensive. In this scenario  
 530 case, simulations regularly required over a whole day to compute each using a  
 531 moderate level of resources. This can, therefore, be quite prohibitive towards  
 532 any ensemble- or Monte Carlo-style probabilistic study where a wide range of  
 533 forcing parameters need to be tested and sensitivity analysis needs to be un-  
 534 dertaken; efforts needed for these studies can require hundreds of runs or more.  
 535 General-purpose computing using GPUs is growing in popularity as a method to  
 536 greatly increase simulation throughput in CFDs (*Cohen and Molemaker, 2009*;  
 537 *Kono et al., 2018*). Basilisk is currently written solely for processing with CPUs,  
 538 with a number of its features written solely for the purpose of improving run-  
 539 times (e.g. grid adaptivity). However, attempts are beginning to be made to  
 540 perform similar modelling, especially for solving the SWE (e.g. *Bosserelle et al.*  
 541 (2022)). Any attempt to expand numerical efforts into volcanically generated  
 542 waves and tsunamis needs to exploit this and other methods to improve efficiency  
 543 and throughput to have any reasonable aspiration to complete probabilistic as-  
 544 sessments, let alone forecasting.

## 545 6 Conclusions

546 We have shown and demonstrated a basic framework of what any hazard analysis  
547 of a subaqueous volcanic explosion should try to include: wave incidence in terms  
548 of heights and velocities; arrival times and hazard duration; inundation levels,  
549 and output data which can inform any likely local infrastructure impacts.

550 In the case of subaqueous volcanic explosions in Lake Taupō, it is found that  
551 there is a minimum eruption explosivity (approximately equivalent to VEI 5)  
552 needed to generate locally significant waves directly from the displacement of  
553 water. Any waves generated by the impulsive explosive forcing are highly disper-  
554 sive and result in rapidly reducing hazard at a distance from the source, making  
555 the most affected areas where low-gradient run-ups exist. The scenario-based  
556 investigation includes additional hazard outputs, including hydrographs of inci-  
557 dent waves down the Waikato inlet channel for use in structural impact analysis  
558 for the control gates downstream.

559 This scenario-based exercise demonstrates the necessary steps needed to fill in  
560 details of the possible effects of volcanic eruptions at caldera lakes or near coast-  
561 lines, and how this type of effort can contribute to any wider volcanic hazard  
562 mapping project. The techniques demonstrated here are readily capable of sim-  
563 ulating designed situations for the purposes of hazard study; however, greater  
564 computational efficiency and throughput are required to be able to perform prob-  
565 abilistic analysis, even with the high level of abstraction of the source mechanism.  
566 Alternatively, investigation of waves generated by subaqueous eruptions could  
567 instead be advanced with experimental study or direct numerical simulation of  
568 the wave generating processes themselves.

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