# Characterization of internal tide incoherence : Eulerian versus Lagrangian perspectives

Zoé Caspar-Cohen<sup>1,1</sup>, Aurélien Ponte<sup>2,2</sup>, Noé Lahaye<sup>3,3</sup>, Xavier Carton<sup>4,4</sup>, Xiaolong Yu<sup>5,5</sup>, and Sylvie Le Gentil<sup>5,5</sup>

<sup>1</sup>LOPS-Ifremer <sup>2</sup>LOPS-IFREMER <sup>3</sup>Inria & IRMAR, campus universitaire de Beaulieu <sup>4</sup>Université de Brest <sup>5</sup>Ifremer

November 30, 2022

### Abstract

The Lagrangian and Eulerian surface current signatures of a low-mode internal tide propagating through a turbulent balanced flow are compared in idealized numerical simulations. Lagrangian and Eulerian total (i.e. coherent plus incoherent) tidal amplitudes are found to be similar. Compared to Eulerian diagnostics, the Lagrangian tidal signal is more incoherent with comparable or smaller incoherence timescales and larger incoherent amplitudes. The larger level of incoherence in Lagrangian data is proposed to result from the deformation of Eulerian internal tide signal induced by drifter displacements. Based on the latter hypothesis, a theoretical model successfully predicts Lagrangian autocovariances by relating Lagrangian and Eulerian autocovariances and the properties of the internal tides and jet. These results have implications for the separation of balanced flow and internal tides signals in the sea level data collected by the future Surface Water and Ocean Topography (SWOT) satellite mission.

| 1  | Characterization of internal tide incoherence : Eulerian versus                  |
|----|--|
| 2  | Lagrangian perspectives  |
| 3  | Zoé Caspar-Cohen*, Aurélien Ponte  |
| 4  | Ifremer, Université de Brest, CNRS, IRD, Laboratoire d'Océanographie Physique et |
| 5  | Spatiale (LOPS), IUEM,Brest,France   |
| 6  | Noé Lahaye   |
| 7  | Inria, IRMAR, campus universitaire de Beaulieu, Rennes, France                   |
| 8  | Xavier Carton, Xiaolong Yu and Sylvie Le Gentil                                  |
| 9  | Ifremer, Université de Brest, CNRS, IRD, Laboratoire d'Océanographie Physique et |
| 10 | Spatiale (LOPS), IUEM,Brest,France   |

<sup>11</sup> \**Corresponding author*: Zoé Caspar-Cohen, zoe.caspar@ifremer.fr

# ABSTRACT

The Lagrangian and Eulerian surface current signatures of a low-mode internal tide propa-12 gating through a turbulent balanced flow are compared in idealized numerical simulations. 13 Lagrangian and Eulerian total (i.e. coherent plus incoherent) tidal amplitudes are found to 14 be similar. Compared to Eulerian diagnostics, the Lagrangian tidal signal is more incoher-15 ent with comparable or smaller incoherence timescales and larger incoherent amplitudes. 16 The larger level of incoherence in Lagrangian data is proposed to result from the defor-17 mation of Eulerian internal tide signal induced by drifter displacements. Based on the 18 latter hypothesis, a theoretical model successfully predicts Lagrangian autocovariances 19 by relating Lagrangian and Eulerian autocovariances and the properties of the internal 20 tides and jet. These results have implications for the separation of balanced flow and 21 internal tides signals in the sea level data collected by the future Surface Water and Ocean 22 Topography (SWOT) satellite mission. 23

### 24 **1. Introduction**

The disentangling of internal tides and balanced flow is a key issue for incoming wide-25 swath altimetric missions such as the SWOT (Surface and Water and Ocean Topography, 26 (Morrow et al. 2019)) and Guanlan (Chen et al. 2019). SWOT will in particular provide 27 instantaneous 2D sea level maps, with an expected horizontal resolution of the order of 28 15–45 km (Wang et al. 2019). With this resolution, internal tides and mesoscale balanced 29 flow will be captured, providing a unique opportunity to study both motions and their 30 interactions. While both motions have distinct time scales, they can have similar length 31 scales (order of tens to hundreds of kilometers) which makes their separation via spatial 32 filtering difficult. The coarse temporal resolution of these instruments (20 day repeat time 33 approximately for SWOT) will also prevent separation by temporal filtering. The resulting 34 difficult disentanglement of internal tides and balanced flow in wide-swath altimetric 35 data is expected to deteriorate the quality of surface velocity estimations via geostrophy 36 (Chelton et al. 2019). 37

Internal tides (or baroclinic tides) are internal waves generated by the barotropic tide 38 when it passes over a topography (Garrett and Kunze 2007). They are initially phase-39 locked with the tidal forcing and would remain so if they were propagating in a quiescent environment. Such phase-locked internal tide field is commonly referred to as coherent or 41 stationary<sup>1</sup>. However, as internal tides travel in a background stratification that varies in 42 time (Buijsman et al. 2017), or pass through a turbulent jet (Ponte and Klein 2015; Dunphy 43 et al. 2017; Savage et al. 2020), they are disturbed and progressively lose their coherence. 44 The fraction of the internal tide that is no longer phase-locked with the tidal forcing and/or of not constant amplitude is the incoherent internal tide, and the mechanisms and typical 46 timescales associated with this loss of coherency remains insufficiently constrained at present days. 48

<sup>&</sup>lt;sup>1</sup>The term "stationary" is probably more commonly used in literature. However, to avoid any confusion with the concept of stationarity in the context of statistics, we shall use the term "coherent" – and, conversely, "incoherent" – throughout this paper.

Internal tides can then be scattered (towards different scales or frequency), e.g. by the corrugated topography, or dissipated close or far from the generation's site (Whalen et al. 2020; Savva and Vanneste 2018; Savage et al. 2020). A fraction of the internal tides energy (mainly high modes) dissipates close to their generation's location (Whalen et al. 2020) but a significant part travels in the open ocean over potentially great distances – up to thousands of kilometers – with a low-mode vertical structure (Zhao et al. 2016).

Several works used altimeter observations to study baroclinic tide including its incoherent component. Because of their limited temporal sampling compared to internal tides periods, satellite altimetric observations enables the identification of the internal tide signature that remains coherent over a couple of years (Ray and Zaron 2016; Zaron 2019). More recently, averaged amplitudes of non-coherent sea level signatures were also obtained (Zaron 2017; Nelson et al. 2019).

To overcome limitations of altimeter data, the use of the global drifter program (GDP) 61 dataset has recently been considered (Zaron 2017, 2019). GDP drifter tracks are resolved 62 temporally down to an hour with a horizontal positioning sufficiently accurate in order 63 to capture the signatures of near-inertial waves (Elipot et al. 2010; Sykulski et al. 2016) 64 and tidal motions (Elipot et al. 2016; Yu et al. 2019; Zaron and Elipot 2020). Assuming 65 specific stochastic models for low-frequency and near-inertial motions, Sykulski et al. 66 (2016) designed for example efficient statistical methods in order to fit models parameters 67 to drifter velocity time series. 68

One of the challenges associated with the analysis and interpretation of Lagrangian data is the advection of a drifter by the flow. The data collected by a drifter as it is displaced by the flow may entangle Eulerian spatial and temporal variability and give a distorted perspective of variability as described in the Eulerian frame of reference. LaCasce (2008) reviewed conceptual frameworks that have been developed in order to tackle this issue (Lumpkin et al. 2002; Middleton 1985; Davis 1983, 1985). Two regimes are typically

identified: fixed float and frozen turbulence. The prevalence of one regime over the other 75 is determined by the parameter  $\alpha = T_E/T_a$ , where  $T_E$  is the Eulerian evolution timescale 76 of the flow and  $T_a$  is the time required for a drifter to travel the Eulerian characteristic 77 spatial scale of the observed fluctuation.  $T_a$  is given by L/U, with U the typical advection 78 velocity and L the spatial scale of fluctuations. If  $\alpha \ll 1$ , the time required for the drifter to travel the length L is greater than the timescale of the fluctuation,  $T_E$ . In this case, one 80 can expect an agreement between the Lagrangian and Eulerian timescales. Conversely, if  $\alpha \gg 1$ , it takes a drifter a time smaller than  $T_E$  to travel a distance L, causing a more rapid 82 fluctuation in the Lagrangian perspective. We apply in these paper these ideas to the case 83 of internal tides interacting with a balanced flow.

<sup>85</sup> Zaron and Elipot (2020) found a spectral broadening of barotropic tidal peaks in La<sup>86</sup> grangian data compared to Eulerian ones, due to flow and/or tides spatial inhomogeneity.
<sup>87</sup> Such broadening is expected to complicate the extraction of internal tides properties
<sup>88</sup> (e.g. overall amplitudes, coherence/non-coherent fractions, incoherent timescales) from
<sup>89</sup> lagrangian drifter data, depending on the regions of the ocean and the associated dynamical
<sup>80</sup> regime.

In order to improve our understanding of this issue, we quantify and compare in this 91 study the internal tide amplitudes and incoherence timescales diagnosed in Eulerian and 92 Lagrangian frames of reference in an idealized configuration. We first present the numerical set-up used in this study as well as the statistical models and methods used to estimate 94 internal tide amplitudes and decorrelation timescales. The results are shown in the second 95 part for one simulation at first, and then for several simulations with varying balanced flow 96 intensities. Lastly, we develop a theoretical model to predict Lagrangian autocovariance 97 from Eulerian one and qualitatively validate it against our numerical simulations. The Discussion of the results and Conclusion complete the paper. 99

# <sup>100</sup> 2. Numerical simulations and Lagrangian data

### 101 a. Numerical simulations

We performed idealized numerical simulations of an internal tide crossing a balanced 102 flow. The numerical model is the Coastal and Regional Ocean COmunity model (CROCO 103 and CROCOTOOLS are available at https://www.croco-ocean.org ) solving the hydrostatic 104 primitive equations. Its configuration follows Ponte et al. (2017) with a zonally periodic 105 rectangular numerical domain (1024 km x 3072 km). The Coriolis frequency follows the 106 beta-plane approximation and is representative of mid-latitudes. A turbulent zonal bal-107 anced flow crosses the domain at its center along the meridional direction. Numerical 108 simulations are initialized with a baroclinically unstable balanced flow. Relaxation of zon-109 ally averaged fields towards initial conditions(velocities, temperature, sea level) maintains 110 the turbulence generated by the balanced flow destabilization. Simulations with different 111 balanced flow strength are obtained by modulating the strength of the initial balanced flow 112 or equivalently the latitudinal thermal gradient. After 500 days, relaxation of the zonal 113 mean fields toward the initial balanced flow is ceased. The balanced flow has a mean 114 velocity amplitude maximum around 1450km in the center of the balanced flow (Fig.1a, 115 red line). The balanced flow amplitude decays over the observed period of time with a 116 maximum around 0.6 m/s at the beginning and around 0.4 m/s at the end. The balanced 117 flow velocity is computed by averaging each velocity component (u and v) over 2 days. 118 The balanced flow is surfaced intensified (Fig. 1c) and its vertical structure essentially 119 consists of the barotropic and first baroclinic modes. In the center area, the low-passed 120 velocity indicates  $\sim 60\%$  and  $\sim 40\%$  of the kinetic energy are found in the barotropic and 121 first baroclinic mode respectively. 122

<sup>123</sup> A mode-1 internal tide is generated at y = 400 km with a semi-diurnal frequency (2 cpd). <sup>124</sup> Its signature at the surface dominates the total velocity amplitude in the northern and <sup>125</sup> southern areas (Fig.1a, green line compared to red line). The mode-1 wavelength is

approximately between 165 and 185 km. It is worth mentioning that the first baroclinic 126 mode accounts for 98% of the the internal tide's vertically-integrated kinetic energy south 127 and north of the balanced flow and around 90% in the balanced flow. The generation 128 of internal tide higher modes after interaction with the balanced flow is thus negligible 129 in our simulations. Sponge layers at the top and the bottom of the domain (y < 300 km 130 and y > 2700 km) prevent reflections against top and bottom boundaries. Finally, about 131 8000 simulated near-surface drifters (referred to as drifters in the rest of this study) are 132 also initialized at day 500 on a regular grid extending from 600 km to 2400 km and are 133 advected online (Fig.1b). 134

<sup>135</sup> Dunphy et al. (2017) reports, for the same numerical setup, on the nature of interactions <sup>136</sup> between balanced flow and internal tide and, in particular, on the role played by the respec-<sup>137</sup> tive vertical structures of both processes. This works instead focuses on the distortions of <sup>138</sup> the internal tide signal induced by displacements of surface drifters which explains why <sup>139</sup> most of the attention is paid next on surface flow properties. Further discussion on the <sup>140</sup> relative spatial structures of both processes for this more specific issue are found in section <sup>141</sup> 5a.

# <sup>142</sup> b. Lagrangian outputs overview

In the central part of the domain, the balanced flow dominates drifter net motions with averaged displacements of about 300 km in the *x*-direction and 160 km in the *y*-direction over a 40 day time window (Fig. 2c). For comparison purposes the internal tide wavelength is of about 175km.

<sup>147</sup> Away from the balanced flow (Fig.2a and e), the net distance traveled in the y-direction <sup>148</sup> by the selected drifters is of about 20–30 km – which is a fraction of an internal tide <sup>149</sup> wavelength. Internal tides, on the other hand, generate smaller oscillatory displacements, <sup>150</sup> of the order of 2–3 km.

Eulerian and Lagrangian meridional velocity time series exhibit significant differences, 151 visually, in the balanced flow at both low and internal tide frequency (amplitude and 152 phase) over a 40 day temporal window (Fig. 2d). Meridional velocity time series outside 153 the balanced flow (Fig. 2b and f) exhibit smaller differences between both frames of 154 reference. Modulations of internal tide fluctuations are faster in the north compared to the 155 south in both Eulerian and Lagrangian time series. This discrepancy reflects the loss of 156 coherence of the internal tide as it propagates northward and interacts with the balanced 157 flow. 158

# <sup>159</sup> c. Methods : Estimation of Eulerian and Lagrangian amplitudes and timescales

To quantify the loss of coherence of internal tides and the differences and similarities between Eulerian and Lagrangian diagnostics, we estimate amplitude and decorrelation/incoherence time scales associated with the balanced flow and internal tides and compare the results in different parts of the domain.

### 164 1) AUTOCORRELATION MODELS

For both the Eulerian and Lagrangian signals, we assume that a time dependent velocity component v may be written as the sum of an internal tide part,  $\tilde{v}$ , and a balanced (or jet) part,  $\bar{v}$ :

$$v = \tilde{v} + \bar{v} \tag{1}$$

where actual spatial and temporal dependencies have been omitted. Note that an alternative would be to use a complex velocity, w = u + iv instead of individual components (zonal or meridional) (Sykulski et al. 2016). This choice is justified when dealing with polarized motions such as near-inertial waves but is less relevant for internal tides. We considered that this is not needed in our case and would be more suited for more realistic 174

We assume the internal tide velocity time series is described by :

$$\widetilde{v}(t) = \Re \left[ \widetilde{v}_e(t) e^{i\omega t} \right]$$
 with  $\Re$  the real part (2)

where  $\tilde{v}_e$  is the complex-valued amplitude of the tidal oscillations of the tides and depends slowly on time, thus capturing the incoherence of the tide, and, where  $\omega/2\pi$  is the frequency of the internal tide.

The internal tide signal can be decomposed into coherent and incoherent contributions. The coherent part is defined with a coherent temporal averaging operator,  $\langle \cdot \rangle_c$  (i.e. a temporal average with fixed phased with respect to  $\omega$  frequency oscillations):

$$\widetilde{v}_{coh} = \langle \widetilde{v} \rangle_c, \tag{3}$$

$$= \Re \left[ \langle \widetilde{\nu}_e \rangle e^{i\omega t} \right] \tag{4}$$

where  $\langle \cdot \rangle$  is a time averaging operator.

<sup>183</sup> Hence the incoherent part, defined as the total velocity minus the coherent part :

$$\widetilde{v}_{inc} = \widetilde{v} - \langle \widetilde{v} \rangle, \tag{5}$$

$$= \Re \left[ (\tilde{v}_e - \langle \tilde{v}_e \rangle_c) e^{i\omega t} \right]$$
(6)

Assuming internal tide velocities and jet velocities are uncorrelated, the total autocovariance, C, equals to the sum of the autocovariances of  $\tilde{v}$  and  $\bar{v}$ :

$$C(\tau) = \langle v(t)v(t+\tau) \rangle = \widetilde{C}(\tau) + \overline{C}(\tau), \tag{7}$$

There is no report in the literature nor clear physical expectations for the shape of incoherent signal complex amplitudes. A heuristic choice is thus made here by assuming the envelope of the internal tide autocovariance is an exponentially decaying function of time lag, with a decay timescale,  $\tilde{T}$ , which will be referred to as the incoherence timescale. The tide autocovariance is expressed as:

$$\widetilde{C}(\tau) = \widetilde{V}^2 \left[ \alpha + (1 - \alpha) e^{-\tau/\widetilde{T}} \right] \times \cos(\omega\tau)$$
(8)

<sup>191</sup> where  $\tilde{V}$  and  $\alpha$  are constants corresponding to the total tidal amplitude and the coherence <sup>192</sup> level respectively. The variance of the coherent and incoherent signal are given by  $\alpha \tilde{V}^2$ <sup>193</sup> and  $(1 - \alpha)\tilde{V}^2$  respectively. This model bears some resemblance with the autocorrelation <sup>194</sup> derived by Sykulski et al. (2016). We stress however that the resemblance is fortuitous <sup>195</sup> as the derivation of Sykulski et al. (2016) is not expected to hold for internal tides whose <sup>196</sup> generation mechanisms and dynamics differ substantially from that of near-inertial waves <sup>197</sup> which would not justify the use of the same model a priori.

<sup>198</sup> The balanced velocity autocovariance is assumed to have the simple form :

$$\overline{C}(\tau) = \overline{V}^2 e^{-\tau/\overline{T}} \tag{9}$$

<sup>199</sup> where  $\overline{T}$  is the decorrelation timescale. An alternative model was proposed by Veneziani <sup>200</sup> et al. (2004), introducing a term of balanced flow oscillation,  $\cos(\Omega\tau)$ , which accounts for <sup>201</sup> eddies and meanders. The model does improve the visual agreement between meridional <sup>202</sup> autocorrelations and their fit in the center of the domain but does not affect estimates of <sup>203</sup> internal tide properties which are the focus of this study. We thus opted for the simpler <sup>204</sup> form Eq.9.

# <sup>205</sup> The total autocovariance is finally given by:

$$C(\tau) = \widetilde{C}(\tau) + \overline{C}(\tau) = \widetilde{V}^2 \left[ \alpha + (1 - \alpha)e^{-\tau/\widetilde{T}} \right] \times \cos(\omega\tau) + \overline{V}^2 e^{-\tau/\overline{T}}$$
(10)

# 206 2) Autocorrelations and parameters estimation

For each drifter's trajectory the velocity time series is split into segments of length  $T_w$ , overlapping each other by 50%. A time window of 40 day is chosen. This value is the result of the following compromise: time windows used for the computation of Lagrangian individual autocovariances has to be short enough for the result to be typical

of a specific area, while being long enough to capture potentially long decorrelation 211 timescales. Eulerian mean velocities, averaged in time and zonal direction is interpolated 212 on drifters trajectories and removed. No significant impacts of this removal were observed 213 on the results for the tidal signal. Individual autocovariances are then computed over 214 each segment and averaged within 50 km wide meridional bins. Each autocovariance 215 segment is attributed to a bin depending on the mean position over the period T. We 216 did not find a significant sensitivity of our results to the length of the window. The 217 Eulerian individual autocovariance is computed at each grid point using the same time 218 windows and bin-averaged meridionally as for the Lagrangian autocovariance. Averaged 219 autocovariances are then divided by the averaged autocovariance at time lag zero to obtain 220 the averaged autocorrelation. 221

222

The heuristic model, developed in section2c1, is fitted to averaged autocovariances 223 which provides estimates for parameters  $\tilde{T}$ ,  $\tilde{V}^2$ ,  $\alpha$ ,  $\bar{T}$  and  $\bar{V}^2$  to find the best fit. The fit is 224 done using a non linear least square regression (Jones et al. 2001-). Lower bounds are 225 fixed to zero for amplitudes and one and two days for  $\tilde{T}$  and  $\overline{T}$  respectively. Confidence 226 intervals are computed using a bootstrap method (Efron 1981). Within each bin, individual 227 autocovariances are randomly resampled one hundred times (with replacement). Each 228 resampled dataset leads to an averaged autocovariance and amplitudes and timescales 229 parameters estimates using the fit described previously. 95% confidence intervals are 230 derived from the distribution of the parameter estimates. 231

# 3. Signatures of internal tides and balanced flow in Eulerian and Lagrangian per-

233 spective

#### 234 a. Velocity autocorrelations

Lagrangian and Eulerian velocity autocorrelations (resp. Fig. 3 a and b; function of time lag and y) highlight three regimes that coincides with the southern (y < 1000km), central (1000km< y < 1800km), and, northern (y > 1800km) parts of the numerical domain and correspond to typical drifter trajectories shown in Fig. 2a, c and e.

Autocorrelation at these latitudes of interest are further shown in Fig. 4.

In the northern and southern parts of the numerical domain, semi-diurnal oscillations 240 associated with internal tides, stand out on both Eulerian and Lagrangian autocorrelations. 241 In these areas, the signal seems to be dominated by internal tides with no signature of the 242 balanced flow visually. No decay of oscillations amplitudes with time lag are visible in 243 the south —especially in the Eulerian perspective (see Fig. 4f)— indicating that internal tides are nearly coherent there. A mild decay of these oscillations is observed in the north, 245 on the other hand, and indicates internal tides are partially incoherent there. There are 246 no significant visual differences between Lagrangian and Eulerian autocorrelations in the 247 northern and southern areas. 248

<sup>249</sup> Conversely, the central area exhibits a decay – especially in the Lagrangian perspective
– of the tidal oscillations combined to a slower general decay associated with the slower
<sup>251</sup> balanced motion. As observed in drifters trajectories and velocity time series (Fig. 2, panels
<sup>252</sup> c and d), this is the area where drifters are most significantly displaced by the balanced
<sup>253</sup> flow and where Lagrangian and Eulerian time series differ substantially. Semi-diurnal
<sup>254</sup> oscillations of the Lagrangian autocorrelation are not visible after lags of about 5 days
<sup>255</sup> (Fig. 3a and Fig. 4c) while they are observed after 20 days on the Eulerian autocorrelation
<sup>256</sup> (Fig. 3b and Fig. 4d). The decorrelation of the balanced motion is also faster in Lagrangian

<sup>257</sup> autocorrelation compared to Eulerian one, and exhibits a negative lobe around  $\tau \sim 4$  days <sup>258</sup> which we attribute to the meridionally oscillating trajectories of drifters caught in the <sup>259</sup> balanced flow.

The faster decay of the low-frequency signature on Lagrangian autocorrelations is attributed to the projection of spatial variability into temporal one along drifter trajectories (Lumpkin et al. 2002; LaCasce 2008).

# <sup>263</sup> b. Estimates of velocity amplitudes and decorrelation timescales

Eulerian meridional profiles of incoherence timescales and coherent and incoherent tide amplitudes (blue lines Fig.5a, c and d) obtained after fitting averaged autocovariances onto Eq.10 translate a loss of the coherence of internal tides during the crossing of the balanced flow. In the south, the tidal signal is essentially coherent with Eulerian coherence level close to 1; see Fig. 5c) and a flat envelope of autocorrelations oscillations (Fig. 4f).

In the center of the numerical domain, the internal tide propagation is perturbed by the 269 balanced flow and results in a loss of coherence with a decrease of the coherence level. This 270 trend culminates in the northern part of the domain with a ratio of coherent variance over 271 total tidal variance between 0.2 and 0.4. Note that the total (coherent+incoherent) tidal 272 variance increases northward (Fig. 5d). This increase is caused by variations of the Coriolis 273 frequency and of the stratification. Furthermore, a northwards surface intensification of the 274 vertical mode structure requires an increase of the surface amplitude for a given vertically 275 integrated energy flux. All together, these mechanisms result in a northward increase of 276 the surface amplitudes of internal tide. 277

Incoherent timescales exhibit values of about 5 days in the south and increases northward to reach values comprised between 10 and 20 days. We note that the envelope of the Eulerian tidal oscillations in the north (blue lines Fig. 4b) does reach a plateau, consistent

with a remaining coherent component and justifying the form of the fit for the motions we use (eq. 8).

Lagrangian parameters present a significantly different picture compared to Eulerian 283 ones as suggested by drifter trajectories (Fig. 2 a, c and e) and autocorrelations (Fig. 3). 284 In the south, the envelope of the Lagrangian autocorrelation (Fig. 4 e) decays faster than 285 the Eulerian one. Lagrangian coherence levels (red lines on Fig. 5c) range from 0.0 to 0.7. 286 Incoherent timescales (Fig. 5a) remain between 10 and 20 days. In the center, Lagrangian tidal variance is largely incoherent with  $\alpha_L$  close to zero. Incoherent timescales decrease 288 sharply in the same area down to 1 day in its center. We coin "apparent incoherence" the 289 larger level of incoherence (i.e. smaller incoherence timescales,  $\widetilde{T}$  and coherence level  $\alpha$ ) 290 of internal tide signature on Lagrangian velocities compared to Eulerian one and attribute 291 it to the distortion of the Eulerian signal by balanced motions which is largest in the center 292 area. In the north, such apparent incoherence diminishes and Lagrangian autocorrelations 293 and parameters are comparable to Eulerian ones (Fig. 4 a and b, Fig. 5a and c). Regardless 294 of this apparent incoherence, the total tidal variance is found similar in both Lagrangian 295 and Eulerian autocorrelations (Fig. 5d). 296

As expected, balanced motions variances diagnosed from autocorrelations parametric fit are maximum in the central area where the balanced flow resides (Fig. 5e). The Lagrangian balanced motion decorrelation timescales (Fig. 5b) reach the lowest boundary (~2 days) in the central area. The Eulerian decorrelation timescales are larger,  $\leq 10$  days. It corresponds to the area of high balanced amplitude (Fig. 5e). It also coincides with the area of low Lagrangian incoherence timescales which supports an apparent incoherence in Lagrangian diagnostics dominant in this part.

### <sup>304</sup> c. Sensitivity to the balanced flow EKE

The sensitivity of internal tide Lagrangian/Eulerian properties to the balanced flow EKE is investigated with five numerical simulations of increasing balanced flow strength. The meridional distributions of velocity amplitudes indicates a two-fold increase across simulations (Fig.6b).

The internal tide total velocity variance  $\tilde{V}^2$  increases northwards (Fig.6d), as explained in section 3b. This increase is more pronounced for larger balanced flow strength, as expected from the larger change of stratification, and is of similar magnitude in both Eulerian and Lagrangian perspectives.

Starting with the two most energetic simulations,  $S_3$  and  $S_4$ , both Eulerian and Lagrangian diagnostics show a loss of coherence of internal tides that occurs when internal tides cross the balanced flow. In the south area, the Eulerian coherence level is around 1, which indicates the internal tide is essentially coherent there (dashed lines in Fig. 6c). Lagrangian coherence level, on the other hand, decreases rapidly below 0.1 which indicates substantial apparent incoherence.

In the center area, Eulerian coherence level decreases towards 0.6 while the Lagrangian one remains below 0.1. Lagrangian incoherent timescales (Fig. 6a) reach minimal values  $(\leq 5 \text{ days})$  while Eulerian ones remain around or above 5 days in all simulations. The width of this area of apparent incoherence is clearly identified from Lagrangian incoherent timescales (Fig. 6a) and is consistent with the increase of the strength of the balanced flow (Fig. 6b).

In the northern area, both simulations exhibit comparable Eulerian and Lagrangian coherence level and incoherence timescales, i.e. there is little apparent incoherence.

In the intermediate case,  $S_2$ , a sharp decrease of Lagrangian coherence level points towards apparent incoherence in the center area similarly to  $S_3$  and  $S_4$ . The Eulerian coherence level drops sharply to 0 in the north while the incoherent timescale increases

towards values between 30 and 40 days unlike  $S_3$  and  $S_4$ . This discrepancy might result from an inconsistent behaviour of the fit associated with an absence of plateau in Eulerian autocorrelations and the ambiguous distinction between coherent and slowly incoherent signals in such situation.

Unlike  $S_3$  and S4 the two least energetic simulations,  $S_0$  and  $S_1$ , exhibit a weak loss of coherence in Eulerian perspective as coherence levels are above 0.6 at all meridional positions. Lagrangian coherence level drops sharply to zero in the balanced flow while incoherence timescales drop to 1 day. This indicates that Lagrangian apparent incoherence is effective even in weakly energetic simulations.

# 4. Lagrangian model for autocovariance and comparison to observed autocovariance

# <sup>340</sup> a. Theoretical expectation for the Lagrangian autocorrelation

A theoretical model is developed next in order to predict Lagrangian velocity autocovariances based on Eulerian ones along with flow parameters. The model effectively represents distortions, in the Lagrangian frame of reference, of Eulerian tidal fluctuations induced by drifters displacements associated with the balanced flow.

We then validate this model based on the Eulerian and Lagrangian autocovariance presented in previous sections.

We assume that the tidal signal is a modulated monochromatic wave propagating in a single direction (say *x*) and characterized by a frequency  $\omega$  and wavenumber *k*:

$$\tilde{v}(x,t) = \Re \left\{ \tilde{v}_e(x,t) e^{i(\omega t - kx)} \right\},\tag{11}$$

<sup>349</sup> where  $\tilde{v}_e$  is the complex amplitude, which varies slowly both in time and space. Let's <sup>350</sup> consider a parcel traveling with the flow with trajectory X(t). The autocovariance of  $\tilde{v}$  as <sup>351</sup> measured along the parcel trajectory is given by:

$$\widetilde{C}_{L}(\tau) = \langle \widetilde{v}(t+\tau)\widetilde{v}(t) \rangle, \tag{12}$$

$$= \frac{1}{2} \Re\left\{ \left\langle \widetilde{v}_e \left[ X(t+\tau), t+\tau \right] \widetilde{v}_e^* \left[ X(t), t \right] e^{i \left[ \omega \tau - k(X(t+\tau) - X(t)) \right]} \right\rangle \right\},\tag{13}$$

$$= \frac{1}{2} \Re \left\{ e^{i\omega\tau} \times \left\langle \widetilde{v}_e \left[ X(t+\tau), t+\tau \right] \widetilde{v}_e^* \left[ X(t), t \right] e^{-ik\delta X(t,\tau)} \right\rangle \right\},\tag{14}$$

where we assume that oscillation terms ( $\propto e^{\pm 2i\omega t}$ ) are smoothed out by the averaging procedure and we have introduced the displacement  $\delta X(t,\tau) = X(t+\tau) - X(t)$ .

We assume here that the internal tide is not transported by the balanced surface flow which is reasonable for low mode internal tides as further discussed in section 5a. In such case, the amplitude of the tide and the displacement are presumably uncorrelated:

$$\widetilde{C}_{L}(\tau) = \frac{1}{2} \Re \left\{ e^{i\omega\tau} \times \left\langle \widetilde{v}_{e} \left[ X(t+\tau), t+\tau \right] \widetilde{v}_{e}^{*} \left[ X(t), t \right] \right\rangle \times \left\langle e^{-ik\delta X(t,\tau)} \right\rangle \right\},\tag{15}$$

The second term in the product of (15) right hand-side combines both the spatial and temporal variability of the Eulerian tidal envelope in general. As further discussed in sect. 4b, horizontal displacements after time intervals comparable to a incoherent time scale can be expected to be smaller than the length scale of the complex amplitude of the tide, which leads to:

$$\left\langle \widetilde{v}_e \left[ X(t+\tau), t+\tau \right] \widetilde{v}_e^* \left[ X(t), t \right] \right\rangle \approx \widetilde{C}_e(\tau), \tag{16}$$

where  $\tilde{C}_{e}(\tau)$  is the fixed point (i.e. zero spatial lag) autocovariance of the tidal amplitude. The displacement may be decomposed into a wave high frequency contribution and a lower frequency component that may be associated with an independent flow and/or wave motions themselves via second order effects (Wagner and Young 2015). The former contribution is time periodic with frequency  $\omega$  and a bounded amplitude equal to the wave excursion ( $\tilde{V}/\omega$  where  $\tilde{V}$  is the amplitude of the wave velocity) which is small compared to 1/k (0.4-0.7 versus 25-35 km/rad for our simulations). The low frequency displacement is likely to continuously grow on the other hand and produces a displacement that ultimately dominates in the exponential of (15) right hand-side third term, even for flow amplitudes smaller than tidal ones. We will thus ignore tide displacements in the latter exponential. To proceed further, we assume that the balanced flow is a stationary Gaussian process, with rms amplitude  $\bar{V}$  (over one direction) and exponential decorrelation in time with typical time scale  $\bar{T}$  – consistently with the model (9).

<sup>375</sup> Such model – sometimes referred as an unbiased correlated velocity model in the liter-<sup>376</sup> ature (Gurarie et al. 2017) – corresponds to the time-homogeneous Ornstein-Uhlenbeck <sup>377</sup> process. The displacement  $\delta X(t,\tau)$  is also a Gaussian process with null mean and variance <sup>378</sup> given by (Pope 2015, Chap. 12):

$$\sigma^{2}(\tau) \equiv \langle \delta X(t,\tau)^{2} \rangle = 2\bar{T}^{2}\bar{V}^{2} \left[ \tau/\bar{T} - \left(1 - e^{-\tau/\bar{T}}\right) \right].$$
(17)

<sup>379</sup> Note that the variance of the displacement admits two asymptotic regimes :  $\sigma^2(\tau) \rightarrow \bar{V}^2 \tau^2$ <sup>380</sup> for  $\tau \ll \bar{T}$ , and  $\sigma^2(\tau) \rightarrow 2\bar{V}^2\bar{T}\tau$  for  $\tau \gg \bar{T}$ . The third term in the right hand side of eq. (15) <sup>381</sup> may then be computed :

$$\left\langle e^{-ik\delta X(t,\tau)} \right\rangle = \int_{-\infty}^{\infty} \cos(k\delta X) p(\delta X) d\delta X,$$
 (18)

$$= \int_{-\infty}^{\infty} \cos(k\delta X) \frac{e^{-\delta X^2/(2\sigma^2)}}{\sigma\sqrt{2\pi}} d\delta X$$
(19)

$$= e^{-\sigma^2 k^2/2} = \exp\left(-k^2 \bar{V}^2 \bar{T}^2 \left[\tau/\bar{T} - (1 - e^{-\tau/\bar{T}})\right]\right)$$
(20)

Combining (16) with (20) into (15) leads to the following expression for the autocovariance of internal tide in the Lagrangian frame of reference:

$$\widetilde{C}_L(\tau) = \widetilde{C}_e(\tau)\cos(\omega\tau)e^{-\sigma^2(\tau)k^2/2}$$
(21)

$$=\widetilde{C}_E(\tau)e^{-\sigma^2(\tau)k^2/2},$$
(22)

which becomes with the heuristic model of Eulerian tidal autocovariance  $\tilde{C}_E$  Eq.(8):

$$\widetilde{C}_L(\tau) = \cos(\omega\tau)\widetilde{V}^2 \left(\alpha + (1-\alpha)\exp(-\tau/\widetilde{T}_E)\right) e^{-\sigma^2(\tau)k^2/2}$$
(23)

The Lagrangian autocorrelation Eq.(22)) and Eq.(23) has no coherent part and decays as fast or faster than the Eulerian autocorrelation because of the last term on the right hand-side of both equations. This larger incoherence in the Lagrangian frame of reference embodies the "apparent incoherence". Its origin is purely kinematic and associated with drifter displacements relative to tidal phase patterns as indicated by the origin of this term in (15). We define the "apparent incoherence timescale" as the timescale  $\tilde{T}_{app}$  that satisfies:

$$k^2 \sigma^2 (\tilde{T}_{app}) = 1 \tag{24}$$

Figure 7 sumarizes the different regimes of coherence/incoherence encountered with the present theoretical model. In the Eulerian frame of reference, tidal autocorrelations are controlled by the coherence level  $\alpha_E$ . For moderate to low  $\alpha_E$ , the autocorrelation decays over the timescale  $\widetilde{T}_E$  to a plateau (zero) in moderately (low) coherent cases. In the Lagrangian frame of reference, the shape of the tidal autocorrelation is first determined by the relative size of the Eulerian incoherence timescale compared to the apparent one:

• When the Eulerian incoherence timescale is larger than the apparent one  $(\widetilde{T}_E \gg \widetilde{T}_{app})$ , advection by the slow flow is strong enough for apparent incoherence to control the Lagrangian tidal autocorrelation. The shape of the Lagrangian autocorrelation is either gaussian for long balanced flow autocorrelation timescales  $(k\overline{VT} \gg 1)$  (Fig. 7, label (2)) with incoherence timescale  $(k\overline{V})^{-1}$  or exponential for short balanced flow autocorrelation timescales  $(k\overline{VT} \ll 1)$  with incoherence timescale  $(k\overline{V})^{-1} \times (k\overline{VT})^{-1}$ .

• When the Eulerian incoherence timescale is smaller than the apparent one  $(\tilde{T}_E \ll \tilde{T}_{app})$ , the Eulerian level of incoherence determines the shape of the Lagrangian tidal autocorrelation. In coherent situations ( $\alpha \sim 1$ ), Lagrangian autocorrelations are controlled by apparent incoherence with an exponential or gaussian shape depending on the size of the balanced flow autocorrelation timescale (via the non-dimensional parameter  $k\overline{VT}$ ) (Fig. 7, label (1)) as for  $\widetilde{T}_E \gg \widetilde{T}_{app}$ . For intermediate Eulerian <sup>409</sup> coherence levels ( $0 < \alpha < 1$ ), the Lagrangian autocorrelation exhibits a first decay <sup>410</sup> over the Eulerian incoherence timescale  $\tilde{T}_E$  and a second, slower decay at the apparent <sup>411</sup> incoherence timescale  $\tilde{T}_{app}$  (Fig. 7, label (3)). For low levels of Eulerian coherence, <sup>412</sup> the Lagrangian autocorrelation is solely controlled by the Eulerian one with no effect <sup>413</sup> of apparent incoherence.

## <sup>414</sup> b. Comparison of observed autocovariances and predicted Lagrangian ones

Observed Lagrangian internal tide autocorrelation envelopes (Fig. 8 middle column) are assembled from Lagrangian averaged autocovariance fitted parameters and Eq.8 (with the cosine term omitted and normalization by the value at lag 0). These envelopes are compared to predicted Lagrangian envelopes (Fig. 8 right column) estimated from observed Eulerian autocovariances (assembled similarly as Lagrangian ones and shown on Fig. 8 left column) and Eq. (22)

<sup>421</sup> Observed Eulerian autocorrelation envelopes exhibit decay rates that are increasingly <sup>422</sup> faster in the northwards direction for all three simulations considered ( $S_0$ ,  $S_2$  and  $S_4$ ; shown <sup>423</sup> in Fig. 8, top, middle and bottom rows respectively). This reflects the loss of coherence <sup>424</sup> of the internal tide as it propagates northwards.

Observed Lagrangian autocorrelation envelopes have markedly different structure with 425 a well-defined central area characterized by a rapid (couple of days timescale) fall-off 426 compared to Eulerian envelopes. The width of this area of strong apparent incoherence 427 is increasing with the balanced flow strength. Outside of this area, the south and north 428 autocorrelation decay are slower and hence closer to Eulerian ones with a more rapid 429 decay in the north compared to the south. Predicted Lagrangian envelopes reproduce the 430 rapid envelope fall-off in the center, the north/south contrast, as well as the sensitivity of 431 the envelopes to balanced flow strength. We conclude the model proposed in order to 432 relate Eulerian and Lagrangian tidal autocovariances is thus consistent with observations. 433

The Eulerian coherence level,  $\alpha$  (dashed lines Fig. 6c), and the ratio between the Eulerian incoherence timescale and the apparent incoherence timescale (Fig. 9a) provide all the necessary information to interpret and predict the nature of Lagrangian incoherence. Its form is controlled by the parameter  $k\overline{VT}$  (Fig. 9c).

In the southern area, the Eulerian coherence level is around 1 for all simulations: internal tides are coherent in the Eulerian frame of reference. Eulerian incoherence timescales are smaller than  $\tilde{T}_{app}$ . Lagrangian autocorrelations are controlled by  $k\overline{VT}$  which in lower than one in the area, suggesting an expected exponentially decaying form. Observed Lagrangian incoherence timescales are moderately weaker than their theoretical predictions  $\tilde{T}_{app}$  with values of their ratio between 0.2 and 0.7 (Fig. 9b).

In the central area, the Eulerian coherence level is moderate (e.g. between 0.4 and 0.9) 444 and the Lagrangian one close to zero. Eulerian incoherence timescales are larger than 445 apparent incoherence timescales (ratio up to 20 for least energetic simulations). Observed 446 Lagrangian incoherence timescales are also close to their theoretical predictions. This 447 regime corresponds to the first regime described in section 4a and Fig. 7(label (2)) of 448 strong apparent incoherence.  $k\overline{VT}$  is larger than one which would be associated with 449 a gaussian autocorrelation envelope and an apparent incoherence insensitive to the slow 450 flow time-variability. 451

In the north, Eulerian coherence levels,  $\alpha_E$ , remain moderate (ranges from 0.2-0.3 for  $S_3$  and  $S_4$  to 0.6-0.8 for  $S_0$  and  $S_1$ ), there is some Eulerian incoherence or even prevalence of the incoherent signal for  $S_3$  and  $S_4$ . Eulerian incoherence timescales is smaller than apparent incoherence timescales. We interpret this regime (section 4a and Fig. 7 label (3)) as one where the observed Lagrangian incoherence is dominated by the Eulerian incoherence, while being moderately affected by the Lagrangian distortion.

# 458 5. Discussion

# 459 a. On the nature of internal tide propagation in the presence of a background flow

The assumption of no transport of the internal tide by the surface flow used to derive 460 (15) is now discussed. Low mode internal tides have by definition large vertical scales – 461 similar to that of the background flow. Advection by the balanced flow is of particular 462 importance for discussing the Eulerian/Lagrangian distortion, even though it does not 463 fully capture the interaction between the balanced flow and the internal tide (Dunphy et al. 464 2017; Savage et al. 2020). A vertical mode expansion of equations of motions linearized 465 around the balanced background flow shows that advection of the internal tide mode is 466 driven by a non-trivial weighted average of the background flow. This effective advection 467 is expressed as  $H^{-1} \int_{-H}^{0} \phi_n^2 \mathbf{U} dz$  (Kelly and Lermusiaux 2016), where  $\phi_n$  is the standard 468 pressure mode for an internal tide with vertical mode number n and U is the balanced flow 469 (see also Duda et al. 2018, for a more technical approach). Thus, for a surface intensified 470 background flow, the flow advecting the drifter (at the surface) and the one advecting the 471 internal tide mode is different, explaining why the Lagrangian observer renders a distorted 472 view of the internal tide signal. For the simulation with moderate jet intensity S2, for 473 instance, the mode 1 effective advection velocity (computed, but not shown) is of order 474  $0.2 \,\mathrm{m\,s^{-1}}$  at its maximum, while the surface velocity is typically greater than  $1 \,\mathrm{m\,s^{-1}}$ : the 475 Eulerian distortion, driven by the effective advection velocity, is therefore smaller than the 476 Lagrangian distortion, driven by the difference between this effective advection and the 477 surface velocity transporting the drifter. 478

For small scale internal tides on the other hand, ray theory can be used to describe their propagation through the background flow (Broutman et al. 2004). This approach shows that wave packets are advected by the local flow, which is associated with a Doppler shifting of the Eulerian frequency:  $\omega = \hat{\omega} + \mathbf{k} \cdot \mathbf{U}$ , where  $\omega$  and  $\hat{\omega}$  are respectively the tide absolute (or Eulerian) and intrinsic (as measured in a frame of reference moving

with the balanced flow) frequencies and k is the wave vector. Ignoring advection of the
drifter by the tidal current, the signal measured by the drifter coincides with the tidal
field in the frame co-moving with the mean flow with least distortion in the Lagrangian
frame of reference. This situation is opposite to the configuration investigated here, as
Lagrangian autocorrelation exhibits faster decrease with time lag compared to Eulerian
auto-correlation, and the theoretical model proposed here would obviously not be relevant.
In a realistic configuration, the range of validity of each of these two regimes (e.g. small
vs large scale internal tide) remains to be quantified.

# 492 b. On the internal tide spatial incoherence

<sup>493</sup> Another assumption of the theoretical model required to derive (16) is that spatial vari-<sup>494</sup> ations of the complex tidal amplitude may be neglected. In reality the amplitude of the <sup>495</sup> internal tide propagates with the internal tide group speed, which results in spatial variabil-<sup>496</sup> ity if a temporal one is admitted. A reasonable estimate of the associated horizontal length <sup>497</sup> scale is  $\tilde{T}_E c_g$ . A sufficient condition for (16) to hold is thus that the drifter displacement <sup>498</sup> after a decorrelation time scale  $\tilde{T}_L$  remains smaller than the complex amplitude horizontal <sup>499</sup> length scale:

$$\delta X(\tilde{T}_L) \ll \tilde{T}_E c_g. \tag{25}$$

<sup>500</sup> An upper bound for this displacement is  $\tilde{T}_L \max(\bar{V}, \tilde{V})$ , which enables to rewrite the <sup>501</sup> preceding condition as:

$$\frac{\tilde{T}_L}{\tilde{T}_E} \ll \frac{c_g}{\max(\bar{V}, \tilde{V})}.$$
(26)

We believe this condition is met in general based on 1/ typical values for  $c_g$  (around 2 m/s for the first mode semi-diurnal internal tide at mid-latitude (Zhao 2017)) and flow amplitude, 2/ observations that  $\tilde{T}_L \leq \tilde{T}_E$ , this inequality being self-consistent with theoretical model predictions and 3/ the observation that stronger flows and thus weaker  $c_g/\bar{V}$  concur with smaller  $\tilde{T}_L/\tilde{T}_E$  ratios. Spatial inhomogeneities of the tidal amplitude could, at the cost of added complexity, potentially be included in the model without the approximation (16). This would require combining information about horizontal displacement distribution and the tidal amplitude spatial-temporal autocorrelation. However, diagnostics of spatio-temporal autocorrelation of the internal tide field have never been reported – to our knowledge.

#### 512 c. Autocorrelation models and coherent/incoherent decomposition

Heuristic choices have been made regarding the shape of the internal tide and balanced motion autocorrelation. Limits to these choices are visible on Figure 4c for balanced motions and are speculated to affect estimates of internal tide incoherent time scales in the southern part of the domain.

At earlier stage of this work, we chose an envelope for the internal tide autocorrelation 517 that included a single exponential decaying term instead of the sum of coherent/incoherent 518 contributions. We eventually abandoned this choice, because it does not naturally lead 519 to a decomposition of the signal into coherent/non-coherent contributions, and because 520 it resulted in overly large time scales in coherent cases (>1000 days). One may also fit 521 the more general form Eq.(23) to Lagrangian autocorrelations, for example, and evaluate 522 its relevance compared to the single linear exponential form. This would add one more 523 parameter to estimate, however, and would require to determine whether this more general 524 form leads to a significant an improvement which we felt was a study on its own. Therefore, 525 we did not attempt to do this in favor of a more qualitative assessment of the theory. 526

<sup>527</sup> Determining what form is more appropriate for Eulerian/Lagrangian low-<sup>528</sup> frequency/internal tide autocorrelations is a study on its own that will require more <sup>529</sup> advanced statistical tools (Sykulski et al. 2016; Gurarie et al. 2017) and that we be-<sup>530</sup> lieve may be more relevant to perform in realistic settings (e.g. observation or numerical

<sup>531</sup> simulations). Sykulski et al. (2016) proposes a more general alternative with the Matérn
 <sup>532</sup> process which may help to more accurately modeling statistically the low frequency signal.

# 533 6. Conclusion

This study investigated, in idealized numerical simulations, the signature of internal 534 tides on surface velocities via the computation of averaged autocorrelations and fits of 535 these autocorrelations on heuristic models. This exercise was performed on both Eulerian 536 and Lagrangian time series which enabled to compare and contrast internal tide signatures 537 in both frames of reference. The central result of this study is that displacements of drifters 538 induced by low-frequency motions produce distortions of the tide signals in Lagrangian 539 time series which results in larger levels of incoherence compared to Eulerian ones. We 540 coined this process "apparent incoherence". Sensitivity experiments enabled to verify 541 that this apparent incoherence is increasing with balanced-motion intensity. A theoretical 542 model, relating Lagrangian averaged autocovariances to Eulerian ones and accounting for 543 apparent incoherence, was derived and validated against observed estimates. 544

These results highlight the relevance of GDP data for the mapping of global internal tide 545 properties. More specifically, we were able to recover the total internal tide variance from 546 drifter velocity averaged autocorrelations. Pending validation in more realistic conditions, 547 the knowledge of the distribution of internal tide surface kinetic energy that could be 548 inferred from drifter tracks would be a substantial constraint for the mapping of internal 549 tides. Our study suggests that the identification of (Eulerian) coherent versus incoherent 550 contributions from drifter data may be complicated because of apparent incoherence, as 55 anticipated in earlier studies (Zaron and Elipot 2020). This may still be feasible in areas 552 where incoherence is significant and rapid and/or where low-frequency variability is weak. 553 The theoretical model developed may provide guidance in order to decide where this may 554 occur in the ocean. Improved mapping of internal tides are directly relevant to the future 555

analysis of SWOT data, to the validation of emerging high resolution global numerical
 simulations resolving tides (Arbic et al. 2018; Yu et al. 2019), as well as to our fundamental
 understanding of internal tide lifecycle.

More advanced and likely efficient statistical tools may be required before tackling 559 realistic configurations. Substantial difficulties are associated with the superposition of 560 motions in the real ocean (neighboring tidal harmonics, near-inertial variability) and with 561 the effective statistical stationarity of these motions. Parametric estimations based on maximum likelihood theory offer promising perspectives whether formulated in spectral 563 space (Sykulski et al. 2019) or temporal space (Fleming et al. 2014). Filtering based 564 approaches taking into account the bivariate nature of the velocity signal may also be 565 relevant (Lilly and Olhede 2009). These tools may help identify which statistical models 566 are better suited to describe tidal and low-frequency variability as well as resolve the 567 temporal evolution of the parameters (e.g. amplitude, frequency, bandwidths) describing 568 these processes, which would be a substantial improvement over descriptions of the 569 averaged variability. 570

The estimation of internal tides properties in a realistic set-up will be carried out using MITgcm simulation LLC4320 using Eulerian outputs of the simulation as well as Lagrangian simulated trajectories. Further analysis should enable us to estimate if our results hold in realistic configuration.

#### 575 **References**

- Arbic, B. K., and Coauthors, 2018: Primer on global internal tide and internal gravity wave
   continuum modeling in hycom and mitgcm. *New frontiers in operational oceanography*,
   307–392.
- <sup>579</sup> Broutman, D., J. W. Rottman, and S. D. Eckermann, 2004: Ray Methods for Internal <sup>580</sup> Waves in the Atmosphere and Ocean. *JGR*.

- Buijsman, M. C., B. K. Arbic, J. G. Richman, J. F. Shriver, A. J. Wallcraft, and L. Zamudio,
- <sup>582</sup> 2017: Semidiurnal internal tide incoherence in the equatorial p acific. *Journal of* <sup>583</sup> *Geophysical Research: Oceans*, **122** (7), 5286–5305.
- <sup>584</sup> Chelton, D. B., M. G. Schlax, R. M. Samelson, J. T. Farrar, M. J. Molemaker, J. C.
   <sup>585</sup> McWilliams, and J. Gula, 2019: Prospects for future satellite estimation of small-scale
   <sup>586</sup> variability of ocean surface velocity and vorticity. *Progress in Oceanography*, **173**,
   <sup>587</sup> 256–350.
- <sup>588</sup> Chen, G., and Coauthors, 2019: Concept design of the "guanlan" science mission: China's
   <sup>589</sup> novel contribution to space oceanography. *Frontiers in Marine Science*, 6, 194.
- <sup>590</sup> Davis, R., 1983: Oceanic property transport, lagrangian particle statistics, and their <sup>591</sup> prediction. *Journal of Marine Research*, **41** (**1**), 163–194.
- <sup>592</sup> Davis, R. E., 1985: Drifter observations of coastal surface currents during CODE: The <sup>593</sup> method and descriptive view. *J. Geophys. Res.*, **90**(**C3**), 4741–4755.
- <sup>594</sup> Duda, T. F., Y.-T. Lin, M. Buijsman, and A. E. Newhall, 2018: Internal Tidal Modal
- <sup>595</sup> Ray Refraction and Energy Ducting in Baroclinic Gulf Stream Currents. *JPO*, **48** (**9**),

<sup>596</sup> 1969–1993, doi:10.1175/JPO-D-18-0031.1.

- <sup>597</sup> Dunphy, M., A. L. Ponte, P. Klein, and S. Le Gentil, 2017: Low-mode internal tide <sup>598</sup> propagation in a turbulent eddy field. *Journal of Physical Oceanography*, **47** (**3**), 649– <sup>599</sup> 665.
- Efron, B., 1981: Censored data and the bootstrap. *Journal of the American Statistical Association*, **76 (374)**, 312–319.
- Elipot, S., R. Lumpkin, R. C. Perez, J. M. Lilly, J. J. Early, and A. M. Sykulski, 2016: A
   global surface drifter data set at hourly resolution. *Journal of Geophysical Research: Oceans*, **121** (**5**), 2937–2966.

- Elipot, S., R. Lumpkin, and G. Prieto, 2010: Modification of inertial oscillations by the mesoscale eddy field. *Journal of Geophysical Research: Oceans*, **115** (**C9**).
- <sup>607</sup> Fleming, C. H., J. M. Calabrese, T. Mueller, K. A. Olson, P. Leimgruber, and W. F. Fagan,
   <sup>608</sup> 2014: Non-markovian maximum likelihood estimation of autocorrelated movement
   <sup>609</sup> processes. *Methods in Ecology and Evolution*, 5 (5), 462–472.
- Garrett, C., and E. Kunze, 2007: Internal tide generation in the deep ocean. *Annu. Rev. Fluid Mech.*, **39**, 57–87.
- <sup>612</sup> Gurarie, E., C. H. Fleming, W. F. Fagan, K. L. Laidre, J. Hernández-Pliego, and
  <sup>613</sup> O. Ovaskainen, 2017: Correlated velocity models as a fundamental unit of an<sup>614</sup> imal movement: Synthesis and applications. *Movement Ecology*, **5** (1), 13, doi:
  <sup>615</sup> 10.1186/s40462-017-0103-3.
- Jones, E., T. Oliphant, P. Peterson, and Coauthors, 2001–: SciPy: Open source scientific tools for Python: Least square regression. [Available online at https://docs.scipy.org/ doc/scipy/reference/generated/scipy.optimize.curve\_fit.html].
- Kelly, S. M., and P. F. J. Lermusiaux, 2016: Internal-tide interactions with the Gulf
  Stream and Middle Atlantic Bight shelfbreak front. *JGR*, **121** (**8**), 6271–6294, doi:
  10.1002/2016JC011639.
- LaCasce, J., 2008: Statistics from lagrangian observations. *Progress in Oceanography*, **77** (1), 1–29.
- Lilly, J. M., and S. C. Olhede, 2009: Bivariate instantaneous frequency and bandwidth. *IEEE Transactions on Signal Processing*, **58** (2), 591–603.
- Lumpkin, R., A.-M. Treguier, and K. Speer, 2002: Lagrangian eddy scales in the northern
- atlantic ocean. *Journal of physical oceanography*, **32** (9), 2425–2440.

- <sup>628</sup> Middleton, J. F., 1985: Drifter spectra and diffusivities. *Journal of Marine Research*, <sup>629</sup> **43** (1), 37–55.
- Morrow, R., and Coauthors, 2019: Global observations of fine-scale ocean surface topog raphy with the surface water and ocean topography (swot) mission. *Frontiers in Marine Science*, 6, 232.
- <sup>633</sup> Nelson, A. D., B. K. Arbic, E. D. Zaron, A. C. Savage, J. G. Richman, M. C. Buijsman, and

J. F. Shriver, 2019: Toward realistic nonstationarity of semidiurnal baroclinic tides in a

- hydrodynamic model. *Journal of Geophysical Research: Oceans*, **124** (9), 6632–6642.
- Ponte, A. L., and P. Klein, 2015: Incoherent signature of internal tides on sea level in
   idealized numerical simulations. *Geophysical Research Letters*, 42 (5), 1520–1526.
- Ponte, A. L., P. Klein, M. Dunphy, and S. Le Gentil, 2017: Low-mode internal tides and
- <sup>639</sup> balanced dynamics disentanglement in altimetric observations: Synergy with surface
- density observations. *Journal of Geophysical Research: Oceans*, **122** (**3**), 2143–2155.
- Pope, S. B., 2015: *Turbulent Flows*. Cambridge Univ. Press, 771 pp.

- Ray, R. D., and E. D. Zaron, 2016: M2 internal tides and their observed wavenumber
- spectra from satellite altimetry. *Journal of Physical Oceanography*, **46** (1), 3–22.
- Savage, A., and Coauthors, 2020: Low-mode internal tides and small scale surface
   dynamics in the swot cal/val region. *Ocean Sciences Meeting 2020*, AGU.
- <sup>646</sup> Savva, M. A., and J. Vanneste, 2018: Scattering of internal tides by barotropic quasi-<sup>647</sup> geostrophic flows. *Journal of Fluid Mechanics*, **856**, 504–530.
- Sykulski, A. M., S. C. Olhede, A. P. Guillaumin, J. M. Lilly, and J. J. Early, 2019: The
- debiased Whittle likelihood. *Biometrika*, **106** (**2**), 251–266, doi:10.1093/biomet/asy071,
- <sup>650</sup> URL https://doi.org/10.1093/biomet/asy071.

- <sup>651</sup> Sykulski, A. M., S. C. Olhede, J. M. Lilly, and E. Danioux, 2016: Lagrangian time series
- <sup>652</sup> models for ocean surface drifter trajectories. *Journal of the Royal Statistical Society:* <sup>653</sup> *Series C (Applied Statistics)*, **65 (1)**, 29–50.
- <sup>654</sup> Veneziani, M., A. Griffa, A. M. Reynolds, and A. J. Mariano, 2004: Oceanic turbulence
   <sup>655</sup> and stochastic models from subsurface lagrangian data for the northwest atlantic ocean.
   <sup>656</sup> *Journal of physical oceanography*, **34 (8)**, 1884–1906.
- <sup>657</sup> Wagner, G., and W. Young, 2015: Available potential vorticity and wave-averaged quasi-<sup>658</sup> geostrophic flow. *Journal of Fluid Mechanics*, **785**, 401–424.
- <sup>659</sup> Wang, J., L.-L. Fu, H. S. Torres, S. Chen, B. Qiu, and D. Menemenlis, 2019: On the <sup>660</sup> spatial scales to be resolved by the surface water and ocean topography ka-band radar <sup>661</sup> interferometer. *Journal of Atmospheric and Oceanic Technology*, **36** (1), 87–99.
- <sup>662</sup> Whalen, C. B., C. de Lavergne, A. C. N. Garabato, J. M. Klymak, J. A. Mackinnon, and
  <sup>663</sup> K. L. Sheen, 2020: Internal wave-driven mixing: governing processes and consequences
  <sup>664</sup> for climate. *Nature Reviews Earth & Environment*, 1 (11), 606–621.
- Yu, X., A. L. Ponte, S. Elipot, D. Menemenlis, E. Zaron, and R. Abernathey, 2019: Surface
- kinetic energy distributions in the global oceans from a high-resolution numerical model
   and surface drifter observations. *Geophys. Res. Lett.*
- <sup>668</sup> Zaron, E. D., 2017: Mapping the nonstationary internal tide with satellite altimetry. <sup>669</sup> *Journal of Geophysical Research: Oceans*, **122** (1), 539–554.
- Zaron, E. D., 2019: Baroclinic tidal sea level from exact-repeat mission altimetry. *Journal of Physical Oceanography*, **49** (1), 193–210.
- Zaron, E. D., and S. Elipot, 2020: An assessment of global ocean barotropic tide models
   using geodetic mission altimetry and surface drifters. *Journal of Physical Oceanogra- phy*.

- Zhao, Z., 2017: Propagation of the Semidiurnal Internal Tide: Phase Velocity Versus Group Velocity. *Geophys. Res. Lett.*, 44 (23), 11,942–11,950, doi:10.1002/
  2017GL076008.
- <sup>678</sup> Zhao, Z., M. H. Alford, J. B. Girton, L. Rainville, and H. L. Simmons, 2016: Global ob-
- servations of open-ocean mode-1 m2 internal tides. *Journal of Physical Oceanography*,

**46 (6)**, 1657–1684.

# 681 List of Figures

| 682<br>683<br>684<br>685<br>686                      | Fig. 1. | (a) : Mean field of zonal (blue line), meridional (orange line), total (green) and low-passed (red) velocity amplitudes ; (b) : Zonal velocity at t=750 days (color) with positions of 1/4 of the drifters at the same time represented by black dots. (c) : Averaged temporally low-passed kinetic energy and vertical structure of first baroclinic mode (shifted to be equal to zero at the bottom).  | 34 |
|--|---------|--|----|
| 687<br>688<br>689<br>690<br>691<br>692<br>693<br>694 | Fig. 2. | Trajectories of 3 drifters in three different area of the domain (north (a and b), central (c and d) and south (e and f)) over a period of 40 days and corresponding time series. Left column : Trajectory of each the drifter (black line) with the meridional velocity in the background. The red circle represents the position of the drifter at initial time, t0, and the blue diamond the position at mid period. A black straight line is plotted representing a quarter of the wavelength. Right column : Meridional velocity time series along the drifter trajectory in red and at a fixed position (blue diamond in the left figure) in blue. | 35 |
| 695<br>696<br>697<br>698                             | Fig. 3. | Autocorrelation of meridional velocity v computed from Lagrangian outputs (a) and Eulerian one (b). The y-axis corresponds to the y bins in which the autocorrelation have been averaged. The x-axis is the time lag. Horizontal black lines indicate the three latitudes of interest discussed in the paper (see Figs. 2 and 4) $\therefore$  | 36 |
| 699<br>700<br>701<br>702<br>703<br>704<br>705        | Fig. 4. | Autocorrelation of meridional velocity at fixed bin in three different area : north (a and b), center (c and d) and south (e and f) of the domain). The Eulerian (right column) and Lagrangian (left column) autocorrelation derived from our data are represented respectively in blue and red. The autocorrelation corresponding to the best fit of our theoretical model (eq.(10)) with the averaged autocovariance are plotted in black dashed lines. Corresponding values of the fitted parameters are indicated in each panel.   | 37 |
| 706<br>707<br>708<br>709<br>710                      | Fig. 5. | Estimated eulerian (blue lines) and Lagrangian (red lines) incoherence timescale, $\tilde{T}$ (a), decorrelation of the balanced flow, $\bar{T}$ (b) as well as coherence level, $\alpha$ (c) and tidal and balanced components variance, $\tilde{V}^2$ (d) and $\bar{V}^2$ (e). The estimates are found by fitting the theoretical model (Eq.(10)) to the autocorrelation of v. Error due to sampling are computed via bootstrap and represented by the gray area.  | 38 |
| 711<br>712<br>713<br>714<br>715                      | Fig. 6. | Estimated parameters for five simulations. (a) Lagrangian and Eulerian internal tides incoherence timescales, $\tilde{T}$ . (c) and (d) : Internal tide coherence level, $\alpha$ and total tidal variance, $\tilde{V}^2$ . (b) balanced flow variance, $\bar{V}^2$ is also represented. Incoherence timescales lower than 1 day and larger than 40 days were not allowed by our fitting procedure.  | 39 |
| 716<br>717<br>718<br>719<br>720<br>721               | Fig. 7. | Schematics representing synthetic forms of Eulerian (blue lines) and Lagrangian (red lines) autocorrelations depending on the Eulerian coherence level ( $\alpha$ ) and the ratio of Eulerian incoherence timescale ( $\tilde{T}_E$ ) over the apparent incoherence timescale ( $\tilde{T}_{app}$ ). The synthetic cases corresponding to regimes observed in the different part of our domain are numbered as follows: (1) South, (2) center and (3) North  | 40 |
| 722<br>723<br>724<br>725<br>726                      | Fig. 8. | Envelope of the internal tide autocorrelation functions for 3 simulations (corresponding to rows). From top to bottom the balanced flow's strength increases. The envelope of the fitted Eulerian (left column) and Lagrangian (middle column) autocorrelation as well as the predicted Lagrangian autocorrelation (right column) are plotted.   | 41 |
| 727<br>728   | Fig. 9. | (a) Ratio of Eulerian incoherence timescale, $\tilde{T}_E$ , over apparent incoherence timescale, $\tilde{T}_{app}$ , (b) ratio of Lagrangian incoherence timescale, $\tilde{T}_L$ , over apparent   |    |

|     | $1  1  \widetilde{T}  1  1  1  1  1  1  1  1  1  $                                       |
|-----|--|
| 729 | incoherence timescale, $T_{app}$ and (c) the parameter $kVT$ controlling the form of the |
| 730 | apparent incoherence autocorrelation (see section 4a)                                    |

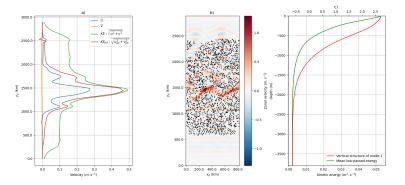


Figure 1. (a) : Mean field of zonal (blue line), meridional (orange line), total (green) and low-passed (red) velocity amplitudes ; (b) : Zonal velocity at t=750 days (color) with positions of 1/4 of the drifters at the same time represented by black dots. (c) : Averaged temporally low-passed kinetic energy and vertical structure of first baroclinic mode (shifted to be equal to zero at the bottom).

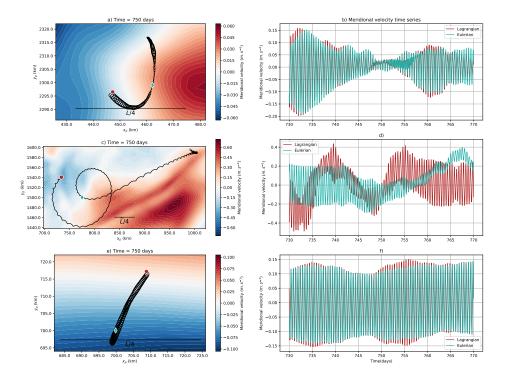


Figure 2. Trajectories of 3 drifters in three different area of the domain (north (a and b), central (c and d) and south (e and f)) over a period of 40 days and corresponding time series. Left column : Trajectory of each the drifter (black line) with the meridional velocity in the background. The red circle represents the position of the drifter at initial time, t0, and the blue diamond the position at mid period. A black straight line is plotted representing a quarter of the wavelength. Right column : Meridional velocity time series along the drifter trajectory in red and at a fixed position (blue diamond in the left figure) in blue.

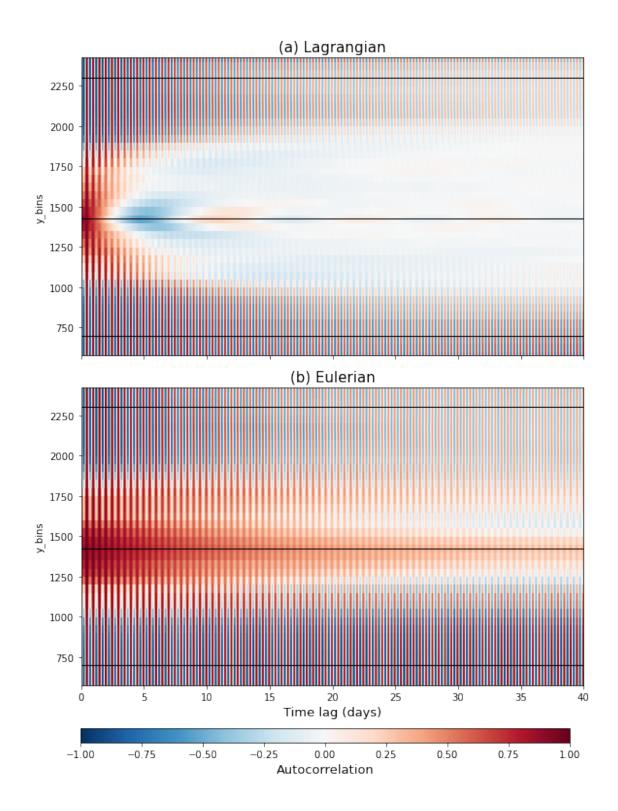


Figure 3. Autocorrelation of meridional velocity v computed from Lagrangian outputs (a) and Eulerian one (b). The y-axis corresponds to the y bins in which the autocorrelation have been averaged. The x-axis is the time lag. Horizontal black lines indicate the three latitudes of interest discussed in the paper (see Figs. 2 and 4)

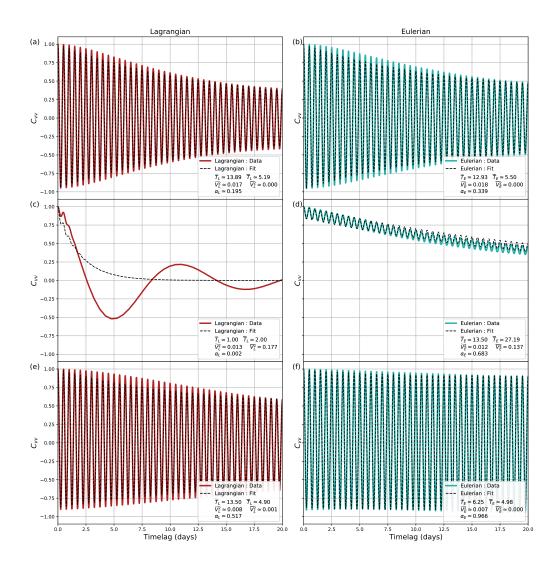


Figure 4. Autocorrelation of meridional velocity at fixed bin in three different area : north (a and b), center (c and d) and south (e and f) of the domain). The Eulerian (right column) and Lagrangian (left column) autocorrelation derived from our data are represented respectively in blue and red. The autocorrelation corresponding to the best fit of our theoretical model (eq.(10)) with the averaged autocovariance are plotted in black dashed lines. Corresponding values of the fitted parameters are indicated in each panel.

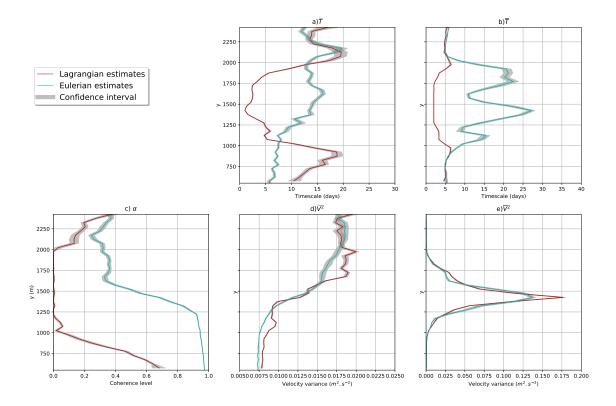


Figure 5. Estimated eulerian (blue lines) and Lagrangian (red lines) incoherence timescale,  $\tilde{T}$  (a), decorrelation of the balanced flow,  $\bar{T}$  (b) as well as coherence level,  $\alpha$  (c) and tidal and balanced components variance,  $\tilde{V}^2$  (d) and  $\bar{V}^2$  (e). The estimates are found by fitting the theoretical model (Eq.(10)) to the autocorrelation of v. Error due to sampling are computed via bootstrap and represented by the gray area.

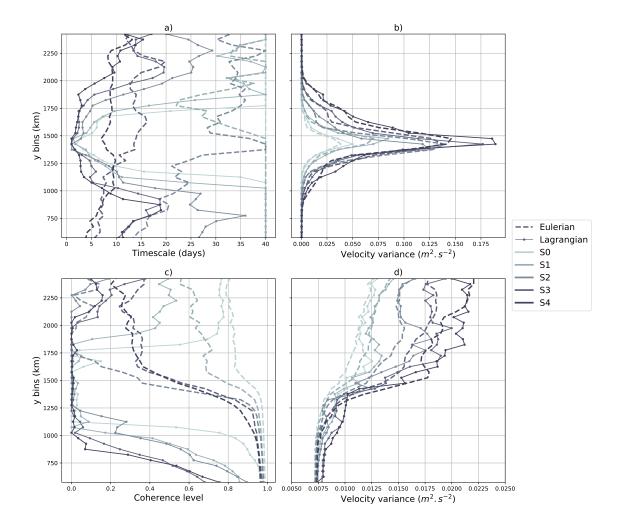


Figure 6. Estimated parameters for five simulations. (a) Lagrangian and Eulerian internal tides incoherence timescales,  $\tilde{T}$ . (c) and (d) : Internal tide coherence level,  $\alpha$  and total tidal variance,  $\tilde{V}^2$ . (b) balanced flow variance,  $\bar{V}^2$  is also represented. Incoherence timescales lower than 1 day and larger than 40 days were not allowed by our fitting procedure.

Figure 7. Schematics representing synthetic forms of Eulerian (blue lines) and Lagrangian (red lines)

autocorrelations depending on the Eulerian coherence leyeln(d the ratio of Eulerian incoherence

timescale  $\mathfrak{P}_E$ ) over the apparent incoherence timesc  $\mathfrak{P}_{\mathfrak{p}}(\mathbf{k})$ . The synthetic cases corresponding to

regimes observed in the di erent part of our domain are numbered as follows: (1) South, (2) center

765 and (3) North