

2016 Monsoon Convection and its place in the Large-Scale Circulation using Doppler Radars

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December 4, 2022

Abstract

Convective cloud development during the Indian monsoon helps moisten the atmospheric environment and drive the monsoon trough northwards each year, bringing a large amount of India's annual rainfall. Therefore, an increased understanding of how monsoon convection develops from observations will help inform model development. In this study, 139 days of India Meteorological Department Doppler weather radar data is analysed for 7 sites across India during the 2016 monsoon season. Convective cell-top heights (CTH) are objectively identified through the season, and compared with near-surface (at 2 km height) reflectivity. These variables are analysed over three time scales of variability during the monsoon: monsoon progression on a month-by-month basis, active-break periods and the diurnal cycle. We find a modal maximum in CTH around 6–8 km for all sites. Cell-averaged reflectivity increases with CTH, at first sharply, then less sharply above the freezing level. Bhopal and Mumbai exhibit lower CTH for monsoon break periods compared to active periods. A clear diurnal cycle in CTH is seen at all sites except Mumbai. For south-eastern India, the phase of the diurnal cycle depends on whether the surface is land or ocean, with the frequency of oceanic cells typically exhibiting an earlier morning peak compared to land, consistent with the diurnal cycle of precipitation. Our findings confirm that Indian monsoon convective regimes are partly regulated by the large-scale synoptic environment within which they are embedded. This demonstrates the excellent potential for weather radars to improve understanding of convection in tropical regions

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2 **Large-Scale Circulation using Doppler Radars**

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6 **Key Points:**

- 7 • Indian Doppler Weather radars are used to analyse cell-top height during the 2016
8 monsoon season
9 • Cell-top height exhibits clear diurnal and intraseasonal variation
10 • Cell-top height varies by region and is affected by local features and the wider mon-
11 soon circulation

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Abstract

Convective cloud development during the Indian monsoon helps moisten the atmospheric environment and drive the monsoon trough northwards each year, bringing a large amount of India’s annual rainfall. Therefore, an increased understanding of how monsoon convection develops from observations will help inform model development. In this study, 139 days of India Meteorological Department Doppler weather radar data is analysed for 7 sites across India during the 2016 monsoon season. Convective cell-top heights (CTH) are objectively identified through the season, and compared with near-surface (at 2 km height) reflectivity. These variables are analysed over three time scales of variability during the monsoon: monsoon progression on a month-by-month basis, active-break periods and the diurnal cycle. We find a modal maximum in CTH around 6–8 km for all sites. Cell-averaged reflectivity increases with CTH, at first sharply, then less sharply above the freezing level. Bhopal and Mumbai exhibit lower CTH for monsoon break periods compared to active periods. A clear diurnal cycle in CTH is seen at all sites except Mumbai. For south-eastern India, the phase of the diurnal cycle depends on whether the surface is land or ocean, with the frequency of oceanic cells typically exhibiting an earlier morning peak compared to land, consistent with the diurnal cycle of precipitation. Our findings confirm that Indian monsoon convective regimes are partly regulated by the large-scale synoptic environment within which they are embedded. This demonstrates the excellent potential for weather radars to improve understanding of convection in tropical regions.

1 Introduction

The Indian summer monsoon (ISM) is responsible for one of the largest modes of seasonal variability in wind direction and precipitation seen worldwide, and supplies more than 80% of India’s annual rainfall during the Boreal summer months of June to September (Turner et al., 2020). This fact, along with the vast, growing population across South Asia whose livelihoods depend upon monsoon rains, makes it one of the most active areas of research in the atmospheric sciences. The ISM is a complex phenomenon, exhibiting substantial variability on intraseasonal and interannual time scales (e.g. Krishnamurti & Bhalme, 1976), and monsoon forecasts remain a challenge as a result of this complexity. S. A. Rao et al. (2019) raised three areas of improvement required in numerical weather prediction (NWP) models for improved ISM forecasts: resolution, physics, and ocean coupling. Whilst substantial improvements have been made in recent years, meaningful forecast skill exists only out to four days (Turner et al., 2011; Durai & Roy Bhowmik, 2014; S. A. Rao et al., 2019). The representation of monsoon clouds in NWP models is one of the most challenging physical aspects of the ISM due to complex and numerous sub-grid scale processes (Willettts et al., 2017). General circulation models (GCMs) used for forecasting have resolutions too large to explicitly capture convective processes, a crucial component of the monsoon. Errors due to parametrization of deep convection in GCMs are quick to grow over time (e.g. Martin et al., 2010). A more detailed view of the monsoon circulation and underlying processes from observations is necessary to better comprehend the intimate coupling between the land surface, boundary layer, and cloud development (Turner et al., 2020). In this vein, we present here an observation-driven analysis of the ISM’s distinctive cloud regimes in relation to the large-scale environment.

On average, the monsoon arrives at the tip of south-eastern India on 1 June; however, the monsoon onset does not necessarily fall on the same date each year. The leading edge of the monsoon then propagates gradually northwards and westwards, typically reaching the north-west of the country and neighbouring Pakistan by mid-July. By September, the monsoon weakens and withdraws gradually from north-western regions, moving back south-east. Developing convection over India during early Boreal summer is tied to the progression of the ISM (Parker et al., 2016; Menon et al., 2018). Parker et al. (2016) found that during the early stages of the monsoon at a given location, convection is typ-

64 ically shallow in nature, with moist tropical air increasing the relative humidity near the
65 surface. However, this moisture is initially confined to the lower levels, with any convec-
66 tion vertically capped as a result. This is attributed to a mid-level wedge of dry air at
67 approximately 600 hPa, near the freezing level, with winds originating from the desert
68 north-west (Parker et al., 2016). However, moisture starts to penetrate at this level from
69 below with the arrival of early monsoon convection (Menon et al., 2018). Johnson et al.
70 (1996) suggested the increase in moisture near the freezing level in the tropics is likely
71 associated with the melting of ice precipitation. Menon et al. (2018) postulated that due
72 to a mix of surface moistening and atmospheric moistening through additional convec-
73 tion, this moisture then propagates towards the surface, driving the monsoon forwards,
74 perpendicular to the prevailing low-level winds. Furthermore, the advance of the trop-
75 ical low-level circulation against the retreating dry mid-level north-westerlies as described
76 above is not a steady process (Volonté et al., 2020) and exhibits significant variation on
77 several spatiotemporal scales. Therefore, an enhanced understanding of monsoon cloud
78 development in relation to the large-scale environment that it is embedded within is needed.

79 Large-scale variability in fields such as temperature, winds, and moisture content
80 all directly affect cloud development. This study will explore cloud regimes and the large-
81 scale environment in relation to three time scales of variability that play a primary role
82 in the ISM. Firstly, monsoon propagation from the south-east to the north of India each
83 year (and its retreat again later in the Boreal summer) is non-steady (Volonté et al., 2020)
84 with substantial interannual variability in arrival times. Therefore, we investigate indi-
85 vidual months during the monsoon season from May–September 2016, in order to rep-
86 resent large-scale variability associated with monsoon progression, the peak monsoon,
87 and monsoon withdrawal. Secondly, systematic variation in convection exists as a result
88 of active and break periods (Krishnamurti & Bhalme, 1976; Rajeevan et al., 2010), a key
89 component of intraseasonal variability during the ISM. These occur as a manifestation
90 of fluctuations in the Boreal summer intraseasonal oscillation, the northward movement
91 of clouds and convection from the equator to the Indian monsoon region (e.g. Jiang et
92 al., 2004), varying on 30–60 day time scales. Models have been found to lack sufficient
93 variability on intraseasonal time scales over both land and ocean (Martin et al., 2017).
94 Thirdly, monsoon clouds typically exhibit a strong signal on diurnal time scales associ-
95 ated with the solar cycle and heating of the surface (Yang & Slingo, 2001). However, the
96 diurnal cycle is poorly modelled in the tropics, leading to underestimates in daily mean
97 rainfall (Martin et al., 2017). Currently, there is limited understanding of the main drivers
98 of monsoon convection in different locations, and the relative importance of different fac-
99 tors such as local orography, proximity to the coast, prevailing wind direction, amongst
100 others.

101 To characterise cloud types, we will use data from a network of operational Indian
102 Doppler Weather ground-based weather radars, managed by the India Meteorological
103 Department (IMD). Similar studies have been performed in the past on radars in the trop-
104 ics. V. V. Kumar et al. (2013) analysed convective cloud-top height, objectively defined
105 using the C-band polarimetric (CPOL) research radar in Darwin, northern Australia.
106 They related four discrete convective cumulus modes to rainfall events, in an effort to
107 understand what pattern of cloud structures and their temporal progression correlates
108 to the most rainfall. This showed that cloud-top height can be used as a robust proxy
109 for convective cell development, and using cloud-top height statistically in this manner
110 forms the basis of the present study. In recent years, the IMD Doppler radars have been
111 used increasingly for ISM cloud research. Sindhu and Bhat (2018) analysed the dynam-
112 ics and microphysics of monsoonal MCSs using four IMD operational S-band radars, cho-
113 sen to represent four different climate regions. They also used storm area and height to
114 understand the temporal progression of storms. Following this, Sindhu and Bhat (2019)
115 then looked at monsoon storm statistics and the robustness of radar-derived precipita-
116 tion estimates using an IMD C-band radar at New Delhi. They subset storms into sim-
117 ple (less intense) and more intense categories, and found a positive relationship between

118 maximum echo-top height (ETH) and precipitation amount. However, this relationship
119 was found to be weaker for the less intense storms. Additionally, Utsav et al. (2017) used
120 an X-band radar in the Western Ghats to analyse statistical patterns in convection, and
121 they went on to examine variability in convection as seen by the radar between monsoon
122 active and break periods (Utsav et al., 2019). Here, we build on these studies by com-
123 prehensively analysing statistics of cloud height across multiple sites for an entire sea-
124 son, allowing a direct comparison of monsoon cloud regimes in different climate regions.

125 The IMD radar network is a relatively recent development. Prior understanding
126 of monsoon convection has been driven by use of spaceborne radars aboard the Trop-
127 ical Rainfall Measurement Mission (TRMM), and its successor (Global Precipitation Mea-
128 surement; GPM). Romatschke and Houze (2011) focused specifically on the South Asian
129 monsoon, and found the Western Ghats and north-eastern India to exhibit the most per-
130 sistent convection during the monsoon season. Studies using TRMM can also observe
131 regions that are difficult to access with radars (e.g. the Himalayas; Houze et al., 2007).
132 Despite the infrequent overpasses of TRMM in any particular gridbox, many years of
133 data have also allowed an analysis of the impact of monsoon progression and the diurnal
134 cycle on convection in a regional sense across India (Romatschke et al., 2010; Qie et
135 al., 2014). They found a diurnal maximum in convection during the afternoon and evening
136 over land with a weaker maximum around midday over the ocean. More recently, Shige
137 and Kummerow (2016) studied precipitation-top heights (PTH) over the Western Ghats
138 region during the ISM and related variation in PTH to low-level static stability. The ground-
139 based radar data from IMD offer several key advantages for the analysis of convection.
140 Compared to spaceborne precipitation radars, ground-based weather radars have supe-
141 rior horizontal resolution and time-continuous measurements, allowing a robust study
142 of small-scale and large-scale monsoon convection, its diurnal cycle, and its seasonal
143 progression across just one year with no spatial sampling bias. The analysis of 2016 in iso-
144 lation is particularly advantageous as it allows for model evaluation in future, as well as
145 a direct comparison to other work from the INCOMPASS field campaign during that year
146 (Interaction of Convective Organisation with Monsoon Precipitation, Atmosphere, Sur-
147 face and Sea; Turner et al., 2020). Furthermore, as operational weather radars are be-
148 coming increasingly prevalent across tropical regions (Heistermann et al., 2013), the use
149 of radar data in this manner can be expanded to additional locations and years as more
150 data becomes available. Therefore, the proven ability to robustly measure the morphol-
151 ogy of clouds and convection using radars is very advantageous to the scientific commu-
152 nity.

153 The overarching aim for this study is to find the spatiotemporal characteristics of
154 convective storms across India during the monsoon season in 2016, which coincides with
155 the period of radar data available to us (15 May to 30 September 2016). The 2016 sea-
156 son is considered a representative year for analysis (June–September all-India rainfall
157 was 97% of the long-term average). To summarise our approach, local radar-derived fields
158 of cell-top height (CTH) and near-surface reflectivity are calculated for the 7 radars across
159 the entire 2016 monsoon season and statistically analysed. Patterns in these cells are in-
160 terpreted over major time scales of variability during the ISM, encompassing the sea-
161 sonal cycle, active and break events and the diurnal cycle in 2016. Finally, these patterns
162 are related to the large-scale monsoon circulation, in order to assess large-scale patterns
163 of cloud development.

164 The paper is structured as follows: section 2 explains in detail how the radars are
165 used to calculate CTH and describes any auxiliary datasets used; section 3 presents the
166 season-average cell statistics in the context of the large-scale environment; section 4 as-
167 sesses cell patterns during 2016 active and break periods; section 5 examines the diurnal
168 cycle in cell activity at each location, and investigates how it compares between land
169 and ocean, and how it is modulated by monsoon progression and active-break spells through

170 the season. Finally, the main conclusions of this study and key areas requiring future in-
 171 vestigation are presented in section 6.

172 2 Data and Methods

173 In the following section we introduce the radar data, first detailing the procedure
 174 toward analysing radar reflectivity on discrete vertical levels. Next, objective definitions
 175 for ETH and CTH are given. Additional relevant methods and datasets are specified in
 176 section 2.3.

177 2.1 Radar data

178 This study makes use of 139 days of reflectivity data (15 May to 30 September 2016)
 179 from 7 Indian Doppler Weather radars (see Figure 1a). These 7 radars are part of a wider
 180 network managed by the IMD, and are chosen for analysis as they are all S-band (ap-
 181 prox. 10 cm wavelength), are robustly calibrated (see below) and represent 4 distinct cli-
 182 mate regions with different monsoon rainfall climatology and arrival dates. The south-
 183 east sites (Chennai, Machilipatnam) represent coastal India, and are outside the core mon-
 184 soon circulation. Mumbai in western India represents the west coast and Western Ghats
 185 region. Northern India (Bhopal, Lucknow) represents inland regions within the core mon-
 186 soon circulation. North-eastern India (Agartala, Kolkata) is a near-coastal region where
 187 the monsoon winds typically begin to curve back to the north (Figure 1b). Two radars
 188 per region were identified for analysis if possible, to allow comparison within each region.
 189 However, Mumbai was the only radar with data available on the west coast.

190 Each radar volume scan consists of 10 elevation angles from 0.2° to 21° , and takes
 191 approximately 7 minutes, repeating every 10 minutes. The beamwidth is approximately
 192 1° and the radar gate sizes are 500 m for all radars except Mumbai, which has a 300 m
 193 gate size. Geographical coordinates and further technical specifications of each radar are
 194 shown in Table 1. A rigorous calibration procedure is applied for each radar following
 195 the methods of Warren et al. (2018) and Louf et al. (2019), with the basic procedure as
 196 follows. Ground clutter (available from the total power data) is used to establish changes
 197 in calibration for each day over the season. Variability in the ground clutter distribu-
 198 tion is corrected to an arbitrary reflectivity baseline, i.e. the relative calibration adjust-
 199 ment Louf et al. (2019). The corrected radar data is then compared to the Global Pre-
 200 cipitation Measurement (GPM) Satellite Ku-band radar product to assess the absolute
 201 calibration (Warren et al., 2018) over the season. The median difference between the radar
 202 and satellite reflectivity is averaged over several volume matches for each site. This off-
 203 set is applied to the reflectivity data, which is iteratively corrected against GPM until
 204 the difference is calculated to be less than 1 dB, at which point the radar is assumed to
 205 be calibrated to within that error. The calibration correction applied to the seven radars
 206 varies between 0.0 and 6.5 dB, with a mean of 3.4 dB. Further quality control procedures
 207 are applied to the radar data automatically by the IMD. This includes the removal of
 208 ground clutter and anomalous propagation echoes in the reflectivity data by specialist
 209 software, and is described in more detail in Roy Bhowmik et al. (2011).

210 The primary method surrounds the construction of a vertical profile of radar data
 211 in Cartesian coordinates - also known as a Constant Altitude Plan Position Indicator
 212 (CAPPI) - transformed from its native polar coordinates. This grid is constructed us-
 213 ing the Wradlib module for Python (Heistermann et al., 2013). The Cartesian grid con-
 214 sists of 28 vertical levels at 0.5 km resolution from a base of 2 km, chosen to be above
 215 any clutter near the surface but below the freezing level so as to avoid brightband effects
 216 (usually at around 5 km over India during the Boreal summer; Harris Jr et al., 2000).
 217 A range of 40–100 km is considered to avoid the ‘cone of silence’ close to the radar up
 218 to the maximum height of the grid at 16.0 km, and because of increasing noise level for
 219 the radars as range increases as well as beam broadening effects. Large cumulonimbus

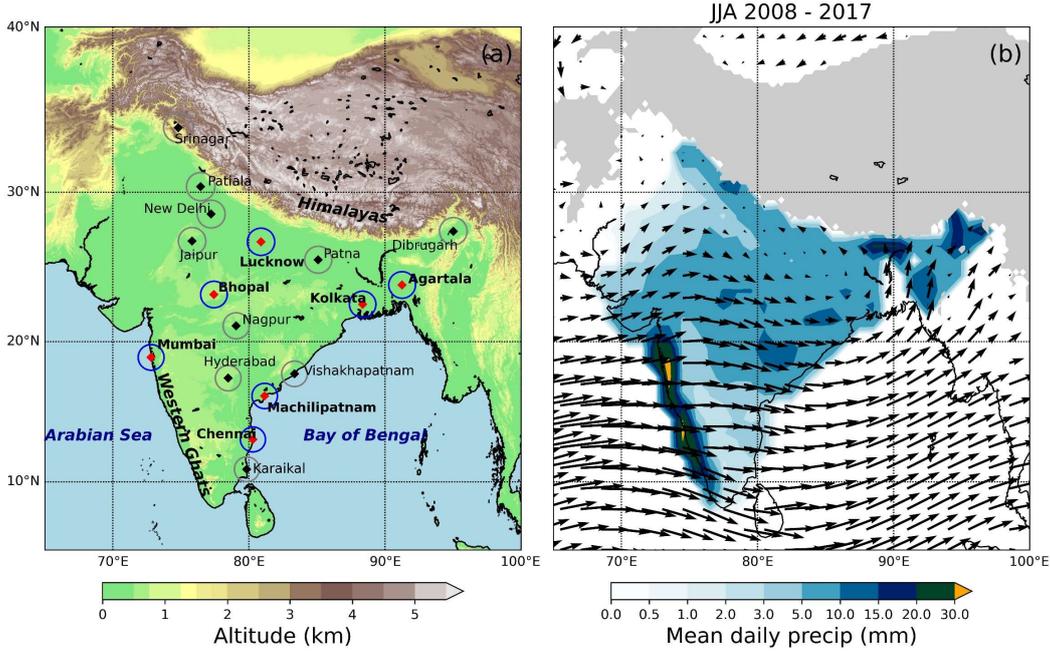


Figure 1. (a) Topographical map of India and surrounding regions, with some major features labelled. Also shown is the network of 17 Indian Meteorological Department Doppler weather radars made available to INCOMPASS, with diamond markers, and rings denoting 100 km range from the radar. The blue rings (with bold labels and red markers) are the 7 radars used in this study. The network has since been expanded further. (b) Recent climatological (2008–2017) precipitation (India only) and 850 hPa winds from IMD gridded data and ERA5 respectively. Regions above 1.5 km in altitude are shaded grey.

220 towers may exceed 16 km during the monsoon season. However, the largest elevation angle
 221 in the IMD radar scanning strategy is 21° . The centre of this beam will intersect the
 222 16 km level when it is a horizontal distance of approximately 40 km from the radar. Any
 223 further restrictions in the size of our horizontal domain in order to capture higher clouds
 224 would reduce the number of sampled cells and as we have only one season of data, we
 225 opted to prioritise the size of the horizontal domain. Cells with reflectivity at 16 km will
 226 be given a CTH of 16.5 km. Although its true CTH may be higher, this uncertainty does
 227 not affect our results once we group cells into categories by CTH.

228 The horizontal resolution of the grid is $1 \text{ km} \times 1 \text{ km}$. At each elevation, for each
 229 Cartesian pixel, we find all grid points within 3 km and calculate the average reflectiv-
 230 ity, weighted by the inverse square of its distance from the radar site. This distance was
 231 chosen as a trade-off between a smooth Cartesian grid of reflectivity and the retention
 232 of original features. Interpolation is carried out in dBZ following the recommended pro-
 233 cedure for deriving ETH by Warren and Protat (2019). Whilst Wradlib was used to con-
 234 struct the Cartesian 3-D grid, its CAPPI algorithm introduced significant artificial annu-
 235 lar ring features at regular intervals around the radar and including the bright-band
 236 region. These occur as a result of increasingly large spatial gaps between sweeps
 237 and the effects of melting precipitation. We therefore apply our own algorithm, whereby
 238 the vertical profile (0.5 km grid) is calculated by linearly interpolating vertically across
 239 all elevation scans for each Cartesian pixel. This removes most of the annular ring arte-
 240 facts, although some anomalies remain present after CAPPI construction, especially in

241 the brightband (5–7 km) region and at higher altitudes. We do not expect these arte-
 242 facts to greatly influence our results, given the subsequent processing of the data.

243 2.2 Derivation of echo-top height and cell-top height

244 To calculate radar-derived ETH, that is to say, the highest observed altitude of re-
 245 flectivity for each pixel, a threshold for what constitutes a meteorological value must be
 246 applied. The choice of threshold must be greater than the noise level at 100 km (the outer-
 247 edge of our domain). This threshold is set to 5 dBZ, which is higher than the minimum
 248 detectable signal for all radars at 100 km, as shown in Table 1. Specifically then, search-
 249 ing upwards from 2 km, the pixel nearest in value to 5 dBZ in the vertical column is taken
 250 as the ETH, with the condition that there must be retrievals greater than 5 dBZ at each
 251 0.5 km level in the vertical column below the ETH. The spatial gaps between sweeps may
 252 lead to under- and overestimates of ETH, especially further from the radar where the
 253 separations become greater, and for higher elevation angles. However, these errors are
 254 reasonably predictable for a given scan strategy and vary with ETH and as a function
 255 of distance from the radar. In the Appendix, we carry out further analysis using data
 256 from a research radar, featuring high vertical resolution, on how the bias varies with range
 257 for the Indian radar scan strategy. We find that for ETH below 10 km, the bias is typ-
 258 ically less than 1 km and the mean bias is close to 0 km. This level of accuracy is suf-
 259 ficient for the purpose of our study, firstly, because we aggregate our ETH into single cell-
 260 top height (CTH) values for each convective region (see below) and, secondly, because
 261 we are interested in the statistical variability of ETH averaged over a longer period of
 262 time. Such an approach has successfully been carried out in numerous studies for the
 263 Australian monsoon using the Darwin CPOL radar (May & Ballinger, 2007; V. V. Ku-
 264 mar et al., 2013; Jackson et al., 2018), which has similar gaps between elevation angles
 265 compared to the IMD radars. For the CPOL ETH estimates, Jackson et al. (2018) re-
 266 ported a statistically significant correlation with satellite-retrieved cloud top heights, demon-
 267 strating the suitability of using radar-derived ETHs for analysis of convection over a mon-
 268 soon season.

Table 1. Relevant specifications for the seven Doppler radars used in this study, grouped by region. The noise at 100 km is the minimum detectable signal calculated using a random day of clear-sky data for each radar. A clear-sky day is simply determined as any day with no measured rainfall that is also persistently clear in infra-red satellite imagery.

Site ^a	Lat (°N)	Lon (°E)	Alt (m)	Gate size (m)	Beamwidth (°)	Noise at 100 km (dB)
Chennai (VOMM)	13.07	80.29	35	500	1.00	2
Machilipatnam (VOMP)	16.18	81.15	35	500	1.00	2
Bhopal (VABP)	23.24	77.42	570	500	0.93	0
Lucknow (VILK)	26.77	80.88	143	500	0.97	3
Agartala (VEAT)	23.89	91.25	35	500	0.93	-1
Kolkata (VECC)	22.57	88.35	35	500	1.00	2
Mumbai (VABB)	18.90	72.81	100	300	1.00	2

^aThe elevation angles for all radars are: 0.2°, 1.0°, 2.0°, 3.0°, 4.5°, 6.0°, 9.0°, 12.0°, 16.0°, and 21.0°.

269 Next, we define cell-top height (CTH), which is the maximum ETH in a convec-
 270 tive region. A convective region is any connected region of convective pixels, which is
 271 determined from the base CAPPI at 2 km following the method of Steiner et al. (1995):

- 272 1. Any pixel ≥ 40 dBZ is automatically considered convective.
- 273 2. Any pixel greater than a background state by the reflectivity difference depicted
 274 in Figure 7 of Steiner et al. (1995) is convective.

275 3. Each convective pixel has a convective radius of a certain size as a function of in-
 276 tensity. A cell then is any connected set of convective pixels, and hence is termed
 277 a cell here, as a typical cumulonimbus cloud would have convective and stratiform
 278 segments.

279 The assumption is that if a pixel is convective at 2 km, it is convective through-
 280 out its vertical extent. Due to small 2-12 km vertical shear at all sites during the mon-
 281 soon season ($< 10^{-3}\text{s}^{-1}$), this is considered a fair assumption. Therefore, any inaccu-
 282 racies stemming from tilting of the convective core due to vertical shear or cloud move-
 283 ment within the 7-minute radar volume are further reduced by taking all connected pix-
 284 els when calculating CTH. One limitation of applying the method of Steiner et al. (1995)
 285 in this manner is that elevated convection with no convective signal at 2 km will not ap-
 286 pear in this study. However, due to very moist conditions in the lower troposphere dur-
 287 ing the monsoon, such occurrences are assumed to be small in number.

288 Attenuation is considered to be negligible for S-band radars, except for the most
 289 intense convective storms where hail is present (Testud et al., 2000). Such storms do oc-
 290 cur during the ISM but are assumed to constitute a small proportion of our large, final
 291 sample. Therefore, attenuation correction is not performed. Only cells with a surface area
 292 (at 2km height) of at least 4 km² (4 pixels) are included in this analysis, as cells smaller
 293 than this dominate the statistics but do not represent true convection. Finally, any cell
 294 touching the inner or outer edges of the 40–100 km domain is not considered so that we
 295 always sample entire cells.

296 2.3 Auxiliary Datasets and Method

297 The convective cell statistics pre-
 298 sented are considered in the context of
 299 the local and large-scale meteorological
 300 state during the 2016 monsoon season.
 301 The Integrated Multi-satellitE Retrievals
 302 for GPM product (hereafter referred to
 303 as IMERG), provides half-hourly robust
 304 precipitation estimates (Huffman et al.,
 305 2020), at 0.1° horizontal resolution. This
 306 allows visualisation of the large-scale pre-
 307 cipitation field.

308 IMD gridded daily rainfall accu-
 309 mulations (Rajeevan et al., 2006), with
 310 1° spatial resolution, are used to desig-
 311 nate active and break days in 2016 (and
 312 are also used in Figure 1b). We follow
 313 the method of Rajeevan et al. (2010) with
 314 a few adjustments: June–September 2016
 315 IMD gridded daily rainfall is compared
 316 to the recent climatological (2008–2017)
 317 rainfall, averaged across a central India
 318 zone (18–27 N, 73–82 E). A standard-
 319 ised rainfall anomaly is then calculated for each day, defined as the climatological av-
 320 erage subtracted from the 2016 value, divided by the standard deviation of the clima-
 321 tological average. A difference between each day’s standardised anomaly and the 2016
 322 mean standardised anomaly that is greater than (less than) 0.7 (-0.7) defines an active
 323 (break) day. Finally, an active/break day must be part of a set of 3 consecutive active/break
 324 days in order to be included in our statistics. The active and break days derived in this
 325 manner may not necessarily be part of true active and break periods, but instead more

Table 2. 2016 Indian monsoon season active and break spells. The method used to obtain these dates is described in the text.

Date	Active/Break
1–3 June	Break
9–17 June	B
28–30 June	Active
2–7 July	A
9–13 July	A
20–26 July	B
2–4 August	A
12–14 August	B
19–21 August	A
3–12 September	B
14–17 September	A
21–28 September	A

326 closely represent active and break spells with respect to the 2016 mean state, and their
 327 corresponding large-scale pattern changes. As a result, we identify a larger and more equal
 328 number of active and break days, lending itself to a more robust and fair comparison.
 329 The final active and break days used for this study are presented in Table 2. Handily,
 330 there are 32 active and 32 break days across the season (26% of the full sample in each
 331 case).

332 ERA5 (ECMWF Re-Analysis) estimates of several atmospheric variables at mul-
 333 tiple levels are also used to complement this analysis over the 2016 season (Hersbach et
 334 al., 2020). Owing to the hourly resolution of ERA5, the diurnal cycle can be explicitly
 335 determined. Finally, twice daily (00 UTC and 12 UTC) atmospheric soundings are avail-
 336 able in the near vicinity (< 25 km) of all sites analysed here, and can be freely down-
 337 loaded from the University of Wyoming Atmospheric Soundings web-page ([http://weather](http://weather.uwyo.edu/upperair/sounding.html)
 338 [.uwyo.edu/upperair/sounding.html](http://weather.uwyo.edu/upperair/sounding.html)). In the case of both IMERG and ERA5, when
 339 comparing fields at local radar sites, the average of all gridboxes with centroids in the
 340 40–100 km radar domain is used.

341 3 Individual Cell Statistics

342 We start by examining the number of cells of different heights for each site, and
 343 relate these patterns to near-surface cell reflectivity. Table 3 shows the number of cells
 344 at each site and as an average per radar volume. Agartala has by far the largest num-
 345 ber of cells, situated in the very convectively active north-eastern India region, with pre-
 346 vailing low-level winds originating from over the very moist Bay of Bengal (Figure 1b).
 347 Mumbai, unfortunately, has noticeably fewer volumes over the season, owing to substan-
 348 tial periods of missing data from July onwards, and so should be analysed with more cau-
 349 tion. Considering the ratio of number of cells to number of radar volumes as a measure
 350 of convective activity (fourth column of Table 3), Agartala is by far the most convectively
 351 active, then all other sites average approximately 3 cells per radar volume over the en-
 352 tire season.

Table 3. Total number of complete radar volumes, the number of cells (including CTH > 16 km), and average number of cells per radar volume for all 7 sites, grouped as in Table 1, from 15 May to 30 September 2016. The percentage of cells with CTH > 16 km is also shown. Note that with a radar volume of 10 minutes, if there were 0 missing scans through the season, the number of volumes would equate to 20016 scans.

Site	Volumes	Cells	Cells per Volume	CTH > 16 km (%)
Chennai (VOMM)	17839	51125	2.9	1.8
Machilipatnam (VOMP)	18589	46888	2.5	1.5
Bhopal (VABP)	13546	49059	3.6	4.4
Lucknow (VILK)	6914	19840	2.9	5.4
Agartala (VEAT)	14710	95741	6.5	1.4
Kolkata (VECC)	13018	31971	2.5	1.3
Mumbai (VABB)	3553	10365	2.9	0.1

353 The probability of cell occurrence in each 0.5 km CTH bin is shown in Figure 2a.
 354 There is consistency between all 7 sites in the shape of this function, with a peak in CTH
 355 at approximately 6–8 km, around or just a bit above the average freezing level during
 356 the monsoon (Harris Jr et al., 2000). The two northern India sites - Bhopal and Luc-
 357 know - have the maximum CTH occurrence at a greater height, as well as the highest
 358 proportion of very deep (CTH > 10 km) cells. Several sites (Chennai, Machilipatnam,
 359 Bhopal, Lucknow) display the hint of a secondary peak in CTH at 12–13 km, associated

360 with deep convection. However, this peak is not obvious, as Figure 2 is an average over
 361 the entire season, throughout which different cloud regimes, some dominated by shall-
 362 lower convection, will occur. Indeed, taking especially convectively active weeks displays
 363 the secondary peak much more clearly (not shown). Evidence of such bimodality was
 364 also found during the Austral monsoon season at Darwin by Jackson et al. (2018), es-
 365 pecially during the more active phases of the Madden-Julian Oscillation when mid-level
 366 moisture was found to be higher. Therefore, Figure 2a suggests the presence of at least
 367 2 cumulus modes, one around the freezing level, and one in the upper troposphere. This
 368 will be explored in more detail later in this section.

369 Mumbai exhibits a larger proportion of shallow convection ($CTH < 5$ km), and a
 370 smaller proportion of deep convection relative to other sites. This is consistent with pre-
 371 vious observational analysis for the region (e.g. Utsav et al., 2017). Das et al. (2017) found
 372 rain in the Western Ghats region to be dominated by shallow-convective systems (echo
 373 tops below the melting layer). Mid-tropospheric air from Mumbai radiosonde soundings
 374 in 2016 is found to be drier on average compared to the other regions (not shown), con-
 375 sistent with the findings of S. Kumar (2017). Das et al. (2017) also commented on the
 376 role of orography, showing that convective clouds over the Western Ghats precipitate out
 377 quickly owing to rapid collision-coalescence of cloud droplets due to orographic uplift.

378 The vertical wind profiles in Figure 2b show the presence of the tropical easterly
 379 jet (TEJ) upwards of 8 km, a major component of the large-scale monsoon circulation
 380 in Boreal summer (Krishnamurti & Bhalmé, 1976). It forms as a result of the meridional
 381 temperature gradient between the subtropics and equatorial Indian Ocean in the upper
 382 troposphere. Mumbai and south-eastern India exhibits westerly or south-westerly winds
 383 in the low and mid-troposphere, with strong easterly winds associated with the TEJ in
 384 the upper troposphere. Interestingly, the transition to easterly at around 6–8 km above
 385 the surface is where the winds are weakest, and this is approximately the height of the
 386 modal CTH for these sites. The presence of stronger winds aloft associated with the TEJ
 387 may be an inhibiting factor for vertical cell growth, as the strong wind shear can spread
 388 cloud tops above 8 km (Sathiyamoorthy et al., 2004). This can act as one constraint on
 389 CTH, especially during peak monsoon months when the TEJ is strongest. For north-
 390 ern and north-eastern India, there is a deep layer of southerly winds in the lower and middle-
 391 troposphere, with a small amount of gradual backing of these winds with height. Wind
 392 speeds only begin to increase above 8 km, again above the modal peak in CTH for these
 393 sites. The increase in wind speed is more subtle for Lucknow (VILK), where weaker upper-
 394 level wind shear may contribute to the marginally higher proportion of very deep cells
 395 here (> 12 km), due to less spreading of cloud tops.

396 As aforementioned, Figure 2a suggests the presence of multiple cumulus modes (pref-
 397 erential detrainment of cloud tops at certain levels), and we investigate this and its as-
 398 sociated mechanisms in more detail here, by analysing the relationship between CTH,
 399 equivalent potential temperature (θ_e) (Figure 2c) and near-surface reflectivity (Figure
 400 3). This analysis is important because the different cumulus modes in Figure 2a are likely
 401 to have different characteristics and may interact with the large-scale environment in dif-
 402 ferent ways. Firstly, Figure 3 shows that 2 km cell reflectivity is clearly a definitive in-
 403 dicator of CTH for all sites. More intense cells typically have higher cell tops (and are
 404 greater in horizontal extent, not shown here). Reflectivity values of 20 dBZ indicate light
 405 rain (< 1 mm hr^{-1}), 30 dBZ moderate rain (> 1 mm hr^{-1}), and 40 dBZ heavy rain
 406 (> 10 mm hr^{-1}) and so higher cells are also associated with heavier precipitation. Mum-
 407 bai exhibits a higher average 2 km reflectivity for shallow CTH (27 dBZ at 4 km com-
 408 pared to 24 dBZ at 4 km for the composite of all sites), suggesting low cell tops are as-
 409 sociated with heavier rainfall here. This is consistent with recent findings using both TRMM
 410 (Shige & Kummerow, 2016; S. Kumar & Bhat, 2017), and ground-based weather radars
 411 (Utsav et al., 2019). The ability for shallow convection (with warmer cloud tops) to pro-
 412 duce high rainfall rates in this region has implications for conventional satellite rainfall

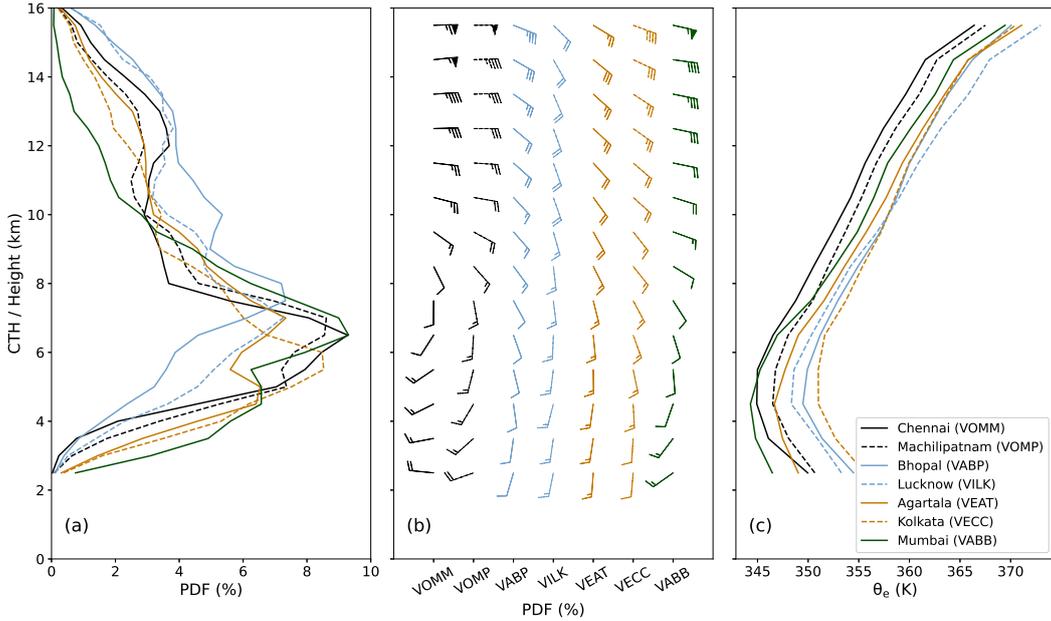


Figure 2. (a) Percentage of different CTHs for the 7 radars used in this study as shown in Figure 1, from 2.5 km above the surface, every 0.5 km in height, (b) wind barbs from local soundings every 1 km in height with barb increments denoting 5, 10 and 50 knots respectively and, (c) equivalent potential temperature (θ_e) every 1 km in height also from local soundings. Radars in different regions are grouped by colour. The PDF does not include CTH > 16 km (see Table 3).

413 algorithms which relate precipitation to cloud top brightness temperature (Shige & Kum-
 414 merow, 2016). Studying Figure 3h, the shallowest cells are associated with very low re-
 415 flectivity and have a broad reflectivity distribution, suggesting considerable variance in
 416 the intensity of these shallow cells. As CTH increases there is initially a rapid rise in re-
 417 flectivity until approximately 30 dBZ. At this point, CTH is on average 5–7 km, in the
 418 region of the freezing level (dashed blue line) and the modal CTH over the season. This
 419 is also the approximate region of maximum atmospheric stability (as shown by the min-
 420 ima in the vertical profiles of θ_e in Figure 2c), and is associated with a narrowing of the
 421 reflectivity distribution (scanning horizontally between the black dotted lines in Figure
 422 3). The stable layer near the freezing level forms physically as a result of latent heat re-
 423 lease due to precipitation freezing and melting processes (Posselt et al., 2008). Above
 424 this level, there is a smaller increase in reflectivity with CTH. Furthermore, around 12
 425 km the temperature lapse rates (not shown) increase to quasi-dry adiabatic with remark-
 426 able consistency between all sites hinting at the regulatory and homogeneous influence
 427 of the large-scale monsoon pattern upon different regions in India. Around 10 km there
 428 is a small atmospheric layer of constant reflectivity with increased CTH for some of the
 429 sites (e.g. Bhopal, Lucknow, Mumbai). This is likely because clouds that penetrate to
 430 this level encounter significant atmospheric instability and thus can grow deeper with-
 431 out any significant increase in intensity.

432 Therefore, the convective modes identified from Figure 2a show distinct characteris-
 433 tics in terms of intensity and can be related to atmospheric stability. The first mode
 434 is shallow convection (CTH < 5 km), associated with highly variable, but on average low
 435 2 km reflectivity (rapidly increasing with each 0.5 km CTH bin). The second mode rep-
 436 resent cumulus congestus clouds (5–8 km), and is bounded by the maximum in atmo-

437 spheric stability near the freezing level due to insubstantial 2 km reflectivity (< 30 dBZ).
 438 This is also visible as the modal peak in cells around this region for all sites (Figure 2a).
 439 The third mode penetrates above this level (≥ 8 km), and exhibits the most vigorous
 440 convection with 2 km reflectivity values of 30 dBZ or greater. These modes were also found
 441 by V. V. Kumar et al. (2013) for Darwin in Austral summer (in addition to an overshoot-
 442 ing top mode which we are unable to investigate here due to 3-D grid restrictions). How-
 443 ever, our results in contrast show more of a continuous increase in mean 2 km reflectiv-
 444 ity as CTH increases above the freezing level. This may stem from the spreading of cloud
 445 tops by the TEJ above 8 km, except for the largest and most intense cells. To summarise,
 446 for all sites, the statically stable layer around the freezing level (Figure 2c) acts as a con-
 447 straining factor upon CTH, and this manifests itself as a reduction in the gradient of 2
 448 km reflectivity around this level (Figure 3h). When discussing the cell patterns over the
 449 diurnal cycle in section 5, we will consider the mean diurnal cycle of these separate con-
 450 vective modes as well. Similar analysis sub-sampled by intraseasonal variation is pro-
 451 vided as supplementary material.

452 4 Cell Patterns over Active and Break Periods

453 Active and break spells are the foremost manifestation of systematic intraseasonal
 454 variability during the ISM. These oscillations occur in most of the elements of the mon-
 455 soon system, and are highly pronounced in monsoon cloudiness (Krishnamurti & Bhalme,
 456 1976). Here, we analyse how the active and break spells in 2016 (Table 2) affect the height
 457 of convective cells in each region. Figure 4 displays the distributions of CTH for active
 458 and break periods at each site. Bhopal, Lucknow and Mumbai form part of the central
 459 India gridbox that is used here to monitor anomalous rainfall and active and break spells.
 460 As a result, we expect to see more frequent deeper convection in active periods at these
 461 sites. Mumbai and Bhopal exhibit this most discernibly in both the mode and 90th per-
 462 centile of the distributions. Interestingly, Lucknow shows little difference between active
 463 and break CTH distributions, which we will explore further later in this section. There
 464 is also little change between the distributions of Agartala and Kolkata in north-eastern
 465 India (Figure 4e–f). Chennai and Machilipatnam also show no significant change between
 466 active and break spells in the modal CTH. However, Chennai does exhibit a signal in
 467 active spells having more frequent deep cells, shown by the disparity in the 90th percentiles.
 468 For $CTH < 8$ km, the distributions are very similar however. The split in the distribu-
 469 tions above this level appears to be caused by an increase in the fraction of the deep-
 470 est cells ($CTH \geq 12$ km) during active periods. It is important to recall that only con-
 471 vective cells are considered here. For example, T. N. Rao et al. (2016) found a higher
 472 occurrence and fraction of stratiform rain in active spells, due to the prevalence of large,
 473 organised storms such as mesoscale convective systems. This suggests that rainfall will
 474 not only be more intense but more long-lived, consistent with the typical longer lifetimes
 475 of stratiform rains (Steiner et al., 1995).

476 Table 4 shows the numbers and proportions of cells in the different cumulus mode
 477 categories as defined in section 3 for active and break periods in 2016: shallow convec-
 478 tion, cumulus congestus, and deep convection. Between active and break periods, sim-
 479 ilar proportions of cells in different modes are seen in Machilipatnam, Lucknow, Agar-
 480 tala, and Kolkata (consistent with Figure 4). An increase in the number of cells per radar
 481 volume from break to active periods occurs for all sites but Lucknow and Kolkata, where
 482 there is no or minimal change in this statistic. The increase in convection during active
 483 periods is most significant in Mumbai, with a large reduction in the proportion of shal-
 484 low convection, and a 21% increase in the porportion of deep convection. In Bhopal, deeper
 485 convection is also more likely in active spells, with a reduction in the proportion of the
 486 congestus mode. Chennai sees a reduction in the likelihood of the cumulus congestus mode
 487 during active periods, and a higher likelihood of shallow and deep cells, though the to-
 488 tal amount of convection per radar volume is only marginally higher.

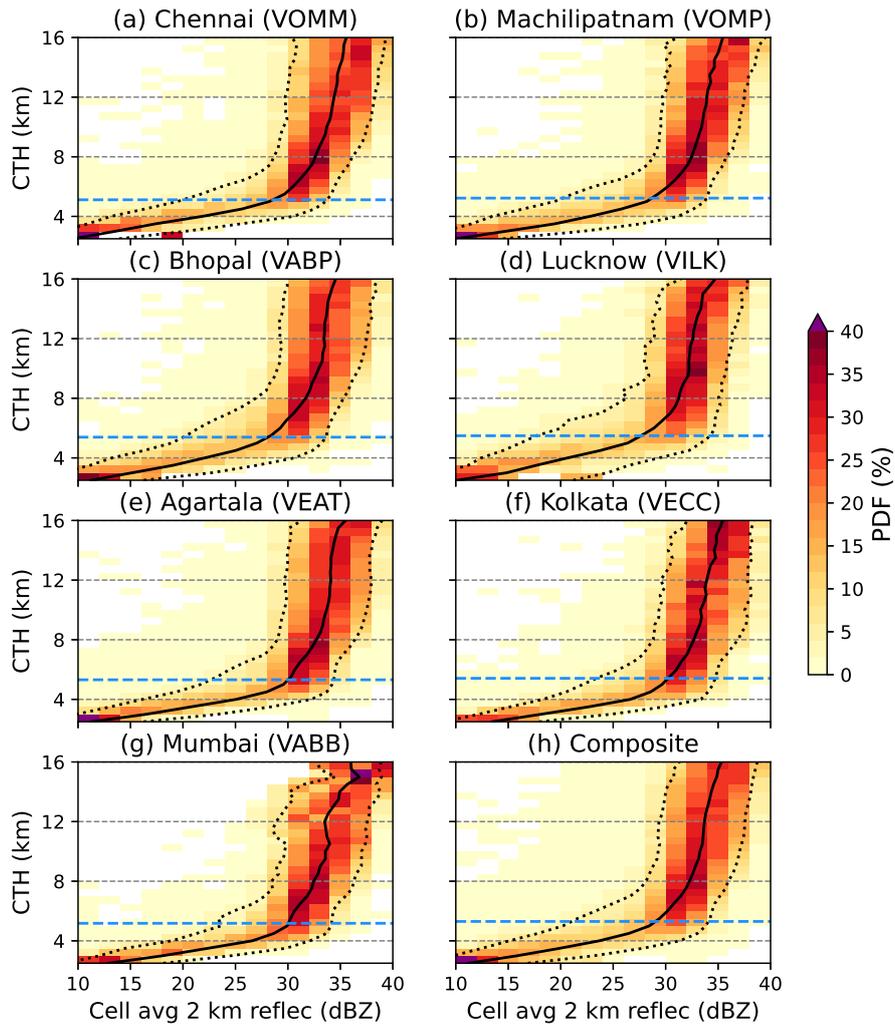


Figure 3. PDF of 2 km cell average reflectivity using a bin size of 2 dB and as a function of CTH (not including CTH > 16 km). (a) Chennai, (b) Machilipatnam, (c) Bhopal, (d) Lucknow, (e) Agartala, (f) Kolkata, (g) Mumbai, and (h) a composite of all sites. The black solid line is the mean reflectivity at each CTH, with dotted lines denoting the 5th and 95th percentiles. The dashed horizontal blue line is the height of the average freezing level from local radiosonde profiles.

489 It is useful to analyse these statistical patterns in the context of the large-scale cir-
 490 culation so as to understand what dynamic component of active and break spells drives
 491 convective variability in different locations. Anomalies in IMERG rainfall, ERA5 winds,
 492 and relative humidity between 2016 active and break periods are shown in Figure 5, along
 493 with contours of average mean sea-level pressure (MSLP). The rainfall anomalies (Fig-
 494 ure 5a–b) provide the closest relationship to CTH, with a narrow band of increased rain-
 495 fall down the Western Ghats region and a larger swathe over central and northern In-
 496 dia during the active spells. The effect of convective enhancement over the Western Ghats
 497 means this positive rainfall anomaly remains confined to the coastal region south of 18°N,
 498 despite anomalously strong westerly winds and high relative humidity extending further
 499 east (Figure 5c–d). This explains the lack of a definitive change in the distribution of
 500 CTH between active and break periods over south-eastern India (Figure 4). It is diffi-

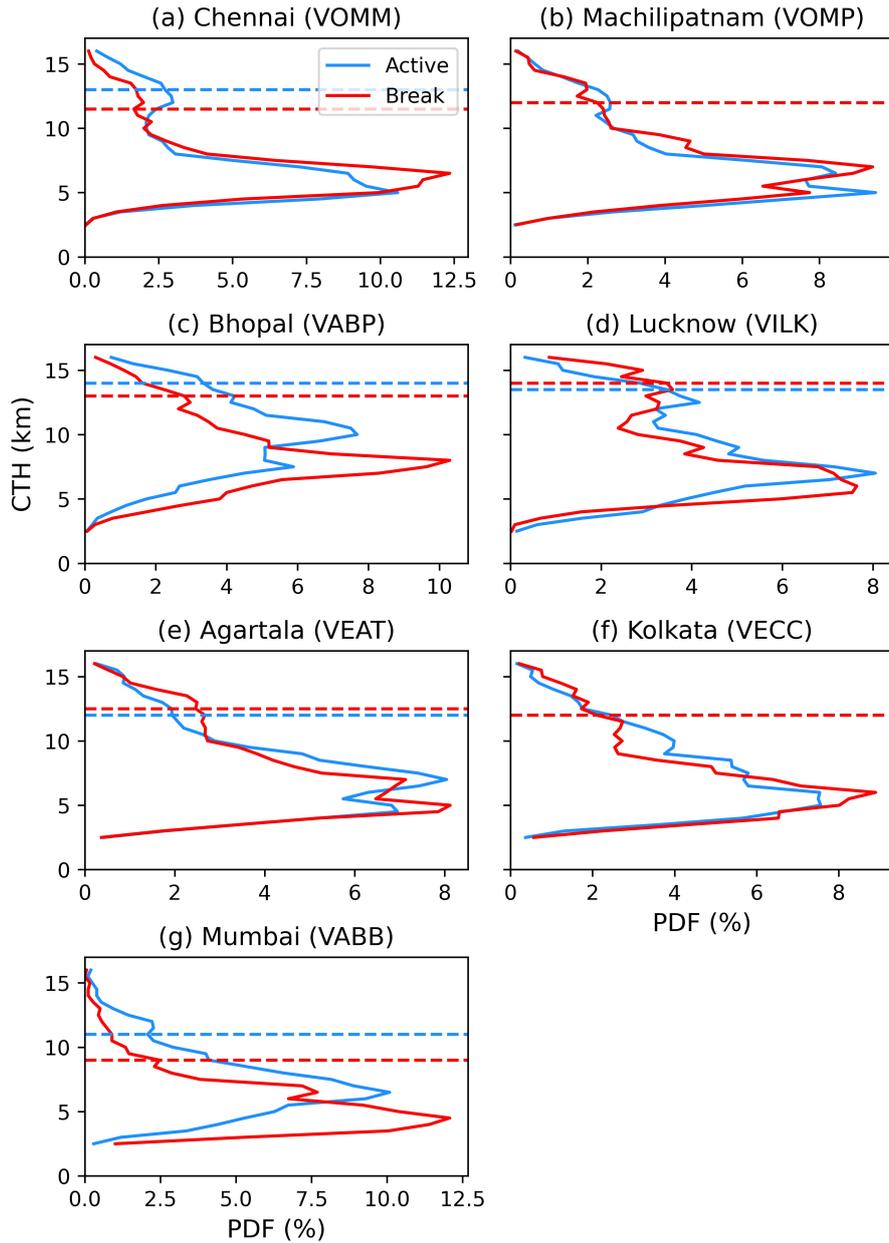


Figure 4. Same as Figure 2a but the CTH distribution is shown separately for each site, where the blue line is for all active days and the red line is for all break days, as given in Table 2. The horizontal dashed blue and red lines show the 90th percentile in the distribution of CTH for active and break periods respectively.

501 cult to explain what may be causing the increase in deep convection in Chennai during
 502 active periods in 2016, and indeed how robust this feature is. At 26.8°N , Lucknow falls
 503 just north of the region of increased rainfall during active spells. Over central India there
 504 are relatively much stronger westerlies during active periods. These winds then start to
 505 curve back towards the north-west over the Bay of Bengal, and so Lucknow experiences
 506 south-easterly winds in the lower troposphere, as it is positioned north of the monsoon
 507 trough. This results in less rainfall than the region of potent westerlies further south.

Table 4. For each site, the number of cells in each cumulus mode category for 2016 active and break periods and the average number of cells per radar volume. The numbers in brackets show the percentage of cells in that cumulus mode. Shallow mode ($CTH < 5$ km); congestus mode ($5 \leq CTH < 8$ km); deep mode ($CTH \geq 8$ km).

Site	Active/Break	Shallow	Congestus	Deep	Cells per Volume
Chennai (VOMM)	Break	806 (9)	5268 (61)	2615 (30)	2.1
	Active	1238 (13)	4754 (50)	3604 (38)	2.3
Machilipatnam (VOMP)	B	836 (13)	3045 (47)	2533 (39)	1.5
	A	2521 (16)	7451 (47)	5949 (37)	3.6
Bhopal (VABP)	B	477 (5)	3068 (35)	5151 (59)	2.2
	A	288 (2)	2374 (20)	9320 (78)	5.6
Lucknow (VILK)	B	241 (5)	1729 (39)	2433 (55)	2.4
	A	421 (8)	1768 (35)	2920 (57)	2.4
Agartala (VEAT)	B	4119 (18)	9005 (40)	9302 (41)	6.4
	A	3520 (18)	8260 (41)	8234 (41)	7.0
Kolkata (VECC)	B	1675 (20)	3609 (43)	3119 (37)	3.0
	A	1436 (17)	3248 (39)	3546 (43)	2.8
Mumbai (VABB)	B	708 (40)	802 (45)	276 (15)	2.5
	A	294 (15)	1000 (49)	731 (36)	15.0

508 In the transition to break periods the monsoon trough moves northwards to the foot of
509 the Himalayas (shown by the northward push in the MSLP pattern from Figure 5e to
510 5f.), bringing lower tropospheric westerlies further north to Lucknow. However, the back-
511 ground state is drier overall (Figure 5d), thus Lucknow exhibits no significant change in
512 rainfall and the distribution of CTH. In north-eastern India, it is an even more complex
513 picture, with small pockets of positive and negative rainfall anomalies in the region dur-
514 ing 2016. To the east of Agartala (91.25°E) along the Bay of Bengal coast, there is a more
515 definitive area of increased rainfall and higher relative humidity during break periods,
516 associated with enhanced southerly winds from the Bay of Bengal. The lack of a clear
517 active-break driven signal over north-eastern India is likely responsible for the similar
518 percentages of different cumulus modes in this region between active and break periods
519 (Table 4).

520 5 Cell Patterns over the Diurnal Cycle

521 The diurnal cycle is intrinsic to convection, as well as the local meteorology and
522 land surface features of the region, and so its monsoonal behaviour is important to un-
523 derstand. With time continuous radar observations of 10-minute resolution, the diurnal
524 cycle of convection is fully captured by the radar observations. Furthermore, Chennai,
525 Machilipatnam and Mumbai radar domains include both coastal land and ocean areas
526 (Figure 1), allowing an analysis of the diurnal cycle over these different surface types.
527 Finally, we will then investigate how the diurnal cycle is modulated by the progression
528 of the monsoon through the season, and the active and break periods discussed in sec-
529 tion 4.

530 5.1 Diurnal Cycle Cell Statistics

531 The diurnal cycle of convective cells at every CTH bin is shown in Figure 6 with
532 individual convective modes shown in Figure 7. Broadly speaking, observing the num-
533 ber of cells (at all CTH) through the day, we see distinct differences between the four
534 different regions (and similarities within regions). Chennai and Machilipatnam display
535 an overall night-time peak in the number of cells. Machilipatnam appears to show two
536 peaks, one in the afternoon and a more prolonged peak overnight. We will see later how

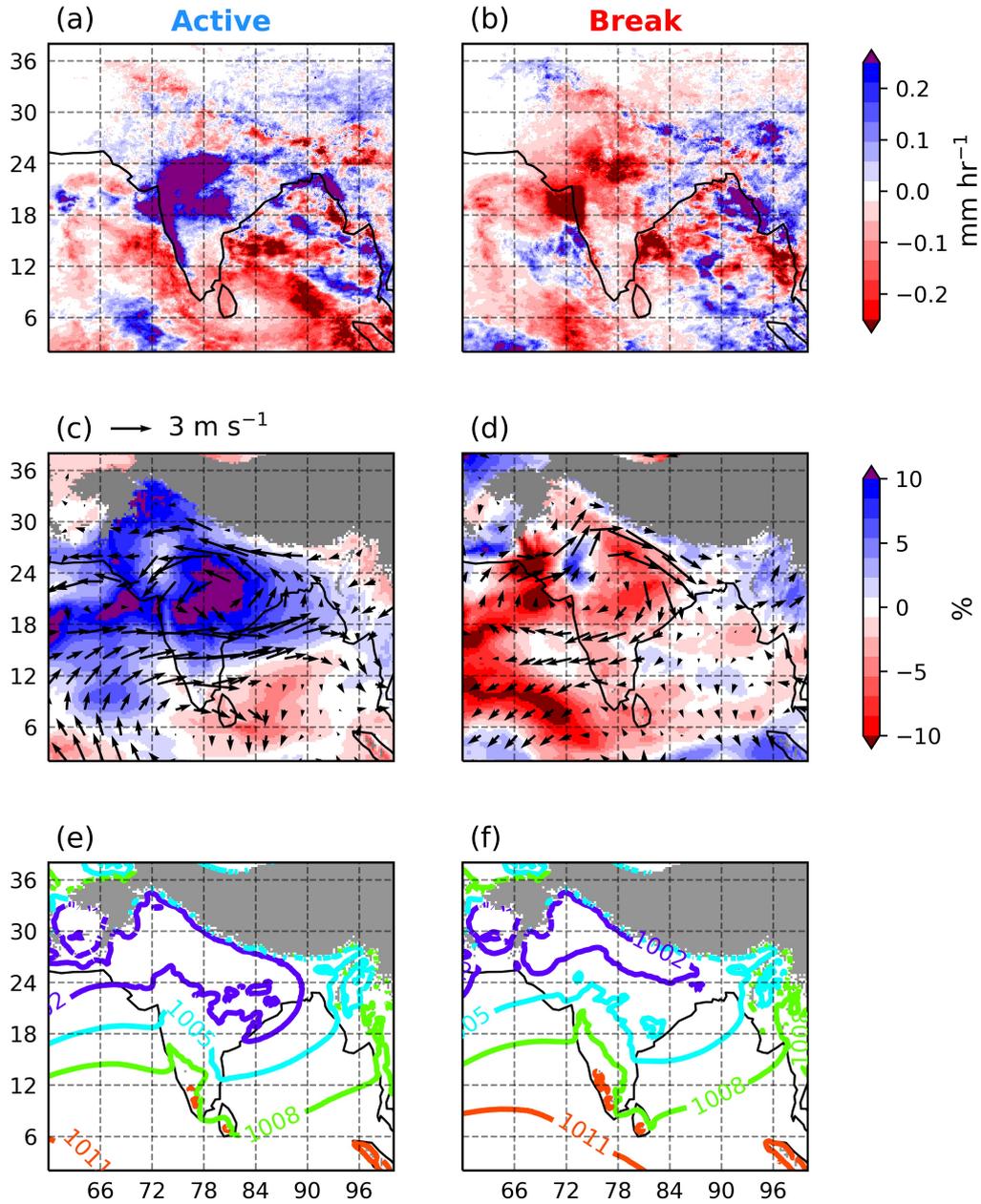


Figure 5. Large-scale patterns for the 32 active and 32 break days in 2016. (a–b) IMERG rainfall anomalies, relative to the entire season (c–d) 850 hPa relative humidity (colours) and wind vector anomalies, (e–f) contours of average mean sea-level pressure in units of hPa. Grey shaded regions in the centre and right columns are areas of topography over 1.5 km.

537 respective land and ocean convection contributes to this. IMERG rainfall (shown by the
 538 blue line in Figure 6 as a fraction of the daily total) is fairly well correlated for both sites.
 539 Assuming that convection dominates rainfall, we expect rainfall to be correlated with
 540 convective area (which is in itself correlated with the number of cells). More precisely,
 541 the rainfall appears to peak alongside the maximum in the number of deep cells (CTH

542 ≥ 8 km, see Figure 7). As discussed in section 3, deep convective cells are associated with
 543 higher 2 km reflectivity (and thus higher precipitation rates).

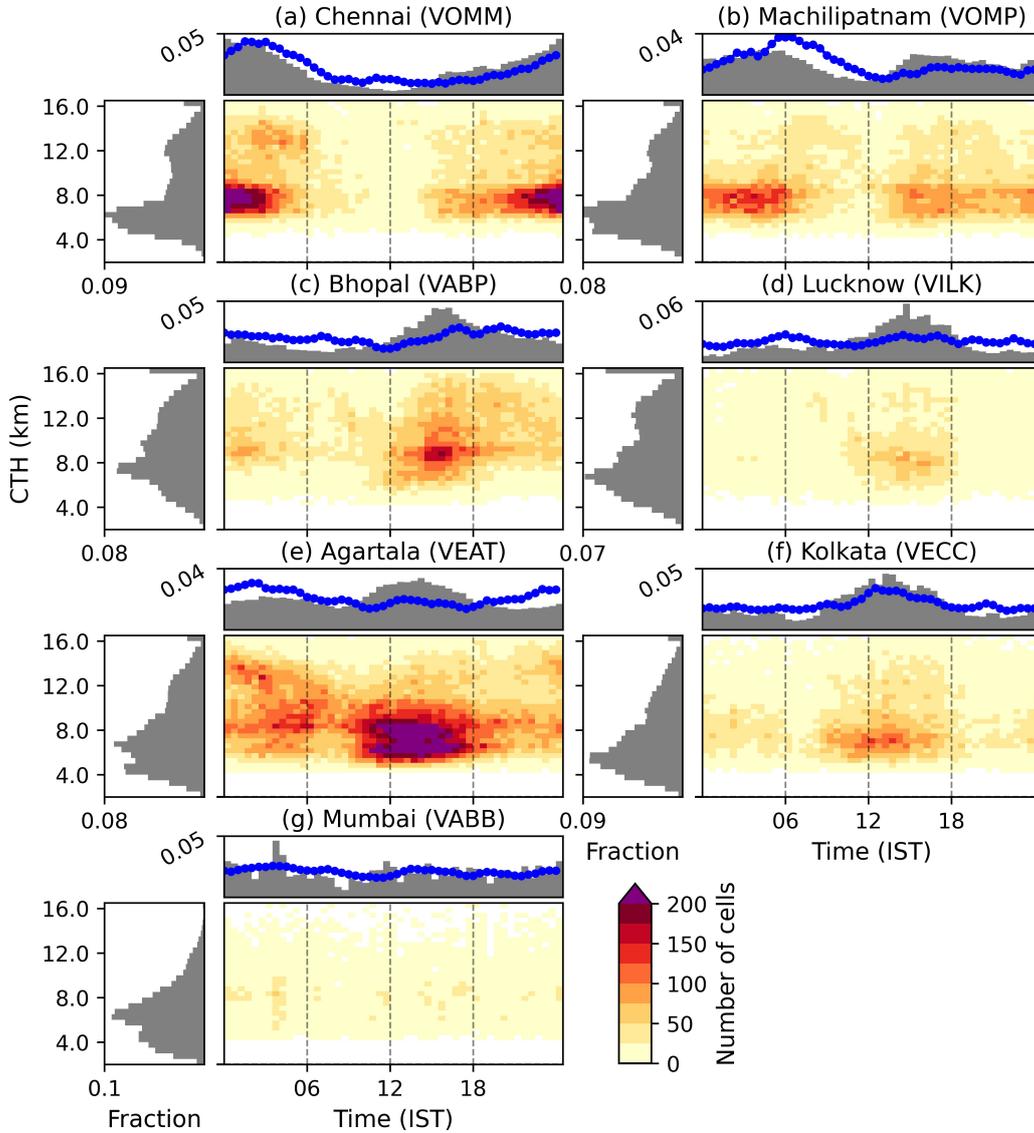


Figure 6. Number of cells as a function of the diurnal cycle (30-minute bins) and CTH, with histograms representing the probability density of cell occurrence across each axis for (a) Chennai (VOMM), (b) Machilipatnam (VOMP), (c) Bhopal (VABP), (d) Lucknow (VILK), (e) Agartala (VEAT), (f) Kolkata (VECC), and (g) Mumbai (VABB). Includes CTH > 16 km. IST = Indian Standard Time (UTC+5.30). Pixels with 0 cells are masked white. The blue dotted line is the normalised diurnal cycle in IMERG precipitation as a mean over each IMERG gridbox whose centroid lies in the 40–100 km radar domain.

544 The effect of solar heating is clearly a larger source of influence for the inland sites
 545 Bhopal and Lucknow, with a convective peak in the afternoon and evening associated
 546 with maximum surface heating. Noticeably, IMERG rainfall is more constant through
 547 the day in comparison, although both sites exhibit an increase in rainfall into the evening

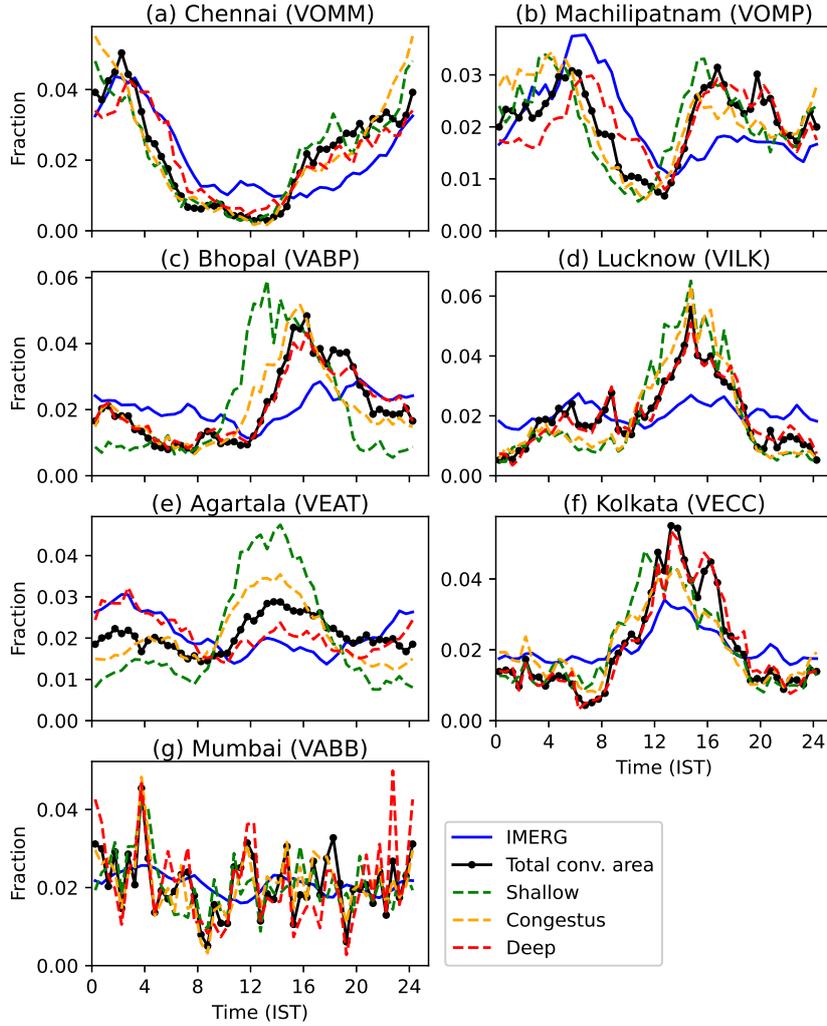


Figure 7. As Figure 6, but showing the normalised fraction of cells in different cumulus mode categories: shallow, congestus and deep. The black line is the total convective area normalised over the diurnal cycle, and IMERG rainfall is as in Figure 6.

548 a few hours after the peak in the number of cells, but well correlated with the peak in
 549 the fraction of deep convection (Figure 7c). Initially, there are a greater number of shal-
 550 low and congestus cells associated with the afternoon peak in cell fraction. Some of these
 551 cells dissipate, and others grow and merge, meaning that as the number of cells decreases
 552 in the evening, the number of deep cells and the total area covered by convection nonethe-
 553 less continues to increase for a few hours.

554 North-eastern India sees its peak in total cell number around noon and early af-
 555 ternoon, however, Agartala especially is prone to convection at all times of day. This ex-
 556 plains the much larger number of cells per radar volume shown in Table 1, with no real
 557 convectively inactive time of day. Consistently high low-level moisture from the Bay of
 558 Bengal likely contributes to this. Interestingly, the peak in Agartala rainfall actually oc-
 559 curs at night, the opposite time of day to the peak in cell number. Figure 6e and Fig-
 560 ure 7e show a higher likelihood of deeper cells at night, whereas the daytime cells, whilst
 561 higher in number, are typically shallower. This appears to be a fairly localised effect how-

562 ever, as it is not seen further south and west in Kolkata, which instead shows one clear
 563 peak centred at 2pm along with the peak in rainfall. Figure 7 shows that cells in north-
 564 eastern India (and also Chennai) appear to deepen more quickly than those over north-
 565 ern India and Machilipatnam, meaning rainfall peaks more closely alongside the peak
 566 in the number of cells. Mumbai shows no diurnal cycle in cell number or rainfall, bar the
 567 slight suggestion of a night-time and an afternoon peak. The study of Romatschke and
 568 Houze (2011) supports this result. They noted using Tropical Rainfall Measurement Mis-
 569 sion data that over the western Indian coast, small and medium sized systems are present
 570 throughout the day, as the prevailing south-westerly moisture laden flow is lifted over
 571 orography. The prevalence of shallow convection here also moderates the daytime tem-
 572 peratures relative to northern India, meaning there is less of a surface heating contri-
 573 bution upon convective initiation.

574 5.2 Diurnal Cycle over Land and Ocean

575 We look in more detail at Chennai, Machilipatnam and Mumbai by considering cells
 576 over land and ocean separately. These sites have the advantage of having nearly 50% of
 577 their domains over ocean, and 50% over land. For simplicity here, cells are judged to be
 578 land or ocean cells based off their centroids, so in reality some larger cells may straddle
 579 the coast. Firstly considering Chennai and Machilipatnam, Figure 8a–b shows clearly
 580 that there is higher rainfall and a greater cell number at night and in the early morn-
 581 ing over the ocean compared to land. This is also a consistent pattern for all three cum-
 582 ulus modes (Figure S1). Either cells are simply forming later into the night on aver-
 583 age offshore, or there is advection of cells from onshore to offshore as the evening pro-
 584 gresses. If we consider that the 1–6 km vertical wind shear in south-eastern India is typ-
 585 ically quasi-westerly through the day, it largely supports the advection hypothesis. That
 586 is to say, cells that form over land in the evening move with the quasi-westerly offshore
 587 wind shear. This theory is further supported by the similar shapes and amplitudes of
 588 the cycles between land and ocean. This suggests the spreading of the cloud tops over
 589 the ocean from coastal regions in the evening. This was also found for the Bay of Ben-
 590 gal by Yang and Slingo (2001). They suggested gravity waves and complex land-sea breeze
 591 effects as drivers of this process. On further study of Figure 8, there is a clear second
 592 peak in the height of cells (at about 13 km) over ocean, but not over land. The fact that
 593 deeper cells are more likely over ocean does not contradict the advection hypothesis. As
 594 cells move offshore in the night and early morning, they mature and thus grow in ver-
 595 tical extent. However, there are also plenty of shallower cells over the ocean, suggesting
 596 that convection does also initiate over the ocean itself. Over land, both sites exhibit an
 597 initial afternoon peak in IMERG rainfall, followed by a slight dip, then a second night-
 598 time peak. Machilipatnam also displays this feature in the fraction of cells. This sug-
 599 gests in the average situation that cells continue to initiate over land for a period of time,
 600 with a slight lull around 9pm after the initial round of afternoon convection. After mid-
 601 night, convection is hindered over land following large amounts of convection in the pre-
 602 ceding hours, and so the diurnal cycle spreads out into the ocean (Yang & Slingo, 2001).

603 For Mumbai, the most discernible change in the diurnal cycle is that the afternoon
 604 peak in the fraction of land cells and rainfall is not seen over ocean, which instead has
 605 a peak at night or early morning, similar to south-eastern India. This suggests that land
 606 surface heating over Mumbai, whilst smaller than the other locations, still has a signif-
 607 icant contribution to convection. Also similarly to south-eastern India, Mumbai has a
 608 slightly higher fraction of deep cells over ocean compared to land, although this is not
 609 significant enough for a clear second peak in the CTH histogram. This may partly oc-
 610 cur due to a smaller amount of collision-coalescence of raindrops over ocean away from
 611 the Western Ghat mountains, giving clouds a chance to grow more in vertical extent be-
 612 fore precipitating out.

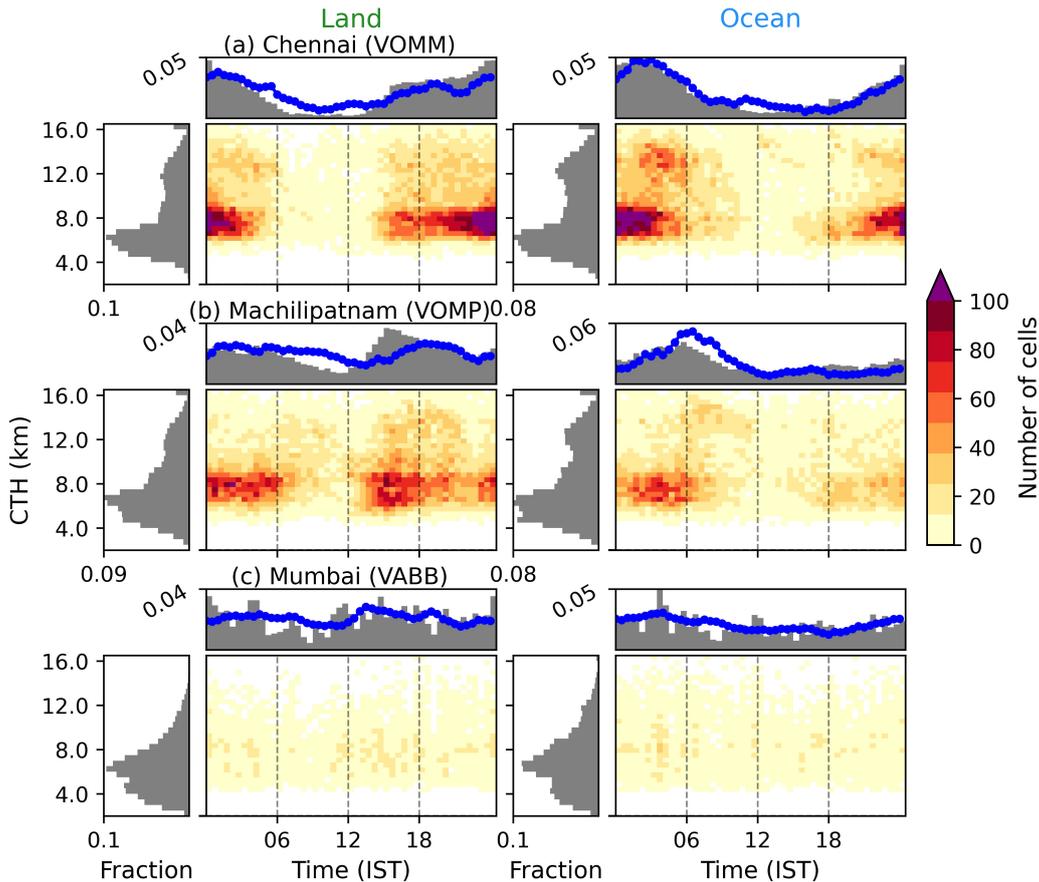


Figure 8. Same as Figure 6 but with separate plots for cells with their respective centroids over land and ocean. (a) Chennai, (b) Machilipatnam, (c) Mumbai. A land-ocean mask is also applied to IMERG rainfall.

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5.3 Diurnal Cycle during Monsoon Progression

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The diurnal cycle can vary over longer time scales, such as the progression of the monsoon itself. The normalised diurnal cycle in cell number is shown for each month individually (May–September) in Figure 9. Firstly, for all sites, the diurnal cycle is often least pronounced in May, as the monsoon regime is not yet established. Chennai shows a distinct night-time peak in the fraction of cells from June onwards. However, June also has the highest likelihood of convection in the hours around midday, with very few cells around this time later in the season. The length of this daytime period of relative inactivity extends into September, by which point convection is rare between 8am and 2pm local time. As with Figure 6, Machilipatnam’s diurnal cycle is more complex, exhibiting multiple peaks from June, most definitively one centred around 4am associated with ocean convection, and one around 4pm for land convection. However, a third peak around midnight seems to occur in June and September, although this is not very distinct in IMERG rainfall. The minimum occurs around noon from July through September, and in fact these latter three months match considerably. This suggests the progression of the monsoon at this stage has less effect on the diurnal cycle of south-eastern India.

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Bhopal shows most clearly the arrival and retreat of the monsoon. In Bhopal and Lucknow the monsoon arrived on approximately 20 June (Ramesh, 2017). This is asso-

631 ciated with a more pronounced diurnal cycle from June with a peak in the fraction of
 632 convection around 4pm and extending into the evening and night. However, during peak
 633 monsoon months (July and August), convection can occur any time of day, demonstrated
 634 by a shallower peak in the afternoon, and in fact the diurnal cycle in IMERG rainfall
 635 becomes hard to visually decipher. This suggests a moister background environment more
 636 conducive for convection and less reliance upon daytime surface heating. Into Septem-
 637 ber, as the monsoon gradually retreats south again, convection is once again constrained
 638 into the afternoon hours, especially for Bhopal. The development of convection from shal-
 639 low to deep before precipitating out is pronounced in September (Figure S3a). Bhopal
 640 shows this effect most clearly, possibly as a result of its inland location as well as the tro-
 641 pospheric drying associated with monsoon withdrawal, resulting in a necessary period
 642 of shallow and congestus convection to moisten the environment in advance of any deep
 643 convection. Bhopal convection also seems to dissipate more quickly into the evening com-
 644 pared to June. This suggests a greater reliance upon surface heating for the initiation
 645 of convection. A longer convectively inactive period is visible from approximately 4 to
 646 11am for Bhopal in September. Lucknow displays comparatively more morning convec-
 647 tion in September compared to Bhopal, with a minimum around midnight.

648 Agartala shows a night-time and a day-time peak in convective fraction from June
 649 to August, with relative minima in between. We recall that the night-time peak in IMERG
 650 rainfall was found to stem from a higher proportion of deeper cells at night, with a ten-
 651 dency for cells during the day to be shallow and cumulus congestus type modes. The pro-
 652 portion of deep cells at night reduces into September, with all three convective modes
 653 then seeing their peak in the early afternoon (Figure S4). Kolkata has a peak in convec-
 654 tive fraction centred around 2pm for all months from June, but with convective cells and
 655 rainfall evident at all times of day, especially during June and July.

656 5.4 Diurnal Cycle during Active and Break Periods

657 It is also of interest to consider whether active and break spells may modulate the
 658 diurnal cycles shown earlier in this section, in terms of phase and/or amplitude. Figure
 659 10 displays the phase of the diurnal cycles as in Figure 6, but now subsampled over the
 660 active and break days in Table 2. Chennai shows no clear change in the amplitude or
 661 phase of its diurnal cycle between active and break periods. Further up the coast in Machili-
 662 patnam however, there are clearly more inactive periods of convection during a break
 663 spell than an active spell, such as during late morning. In an active spell, convection can
 664 occur all times of day (although still preferentially during the afternoon and night-time).
 665 Figure S5 shows the cycles in Figure 10 for each individual cumulus mode. During ac-
 666 tive periods, deep cells are most common from 3pm all the way through until around 7am
 667 as a single peak (Figure S5b). However, during break periods, these deep cells have a
 668 shallow peak at 4pm, followed by an evening lull, then a second, larger peak around mid-
 669 night. Machilipatnam is systematically affected by active and break spells in this way,
 670 despite such a signal not being evident in the overall distribution of CTH in Figure 4.
 671 This suggests that cells in Machilipatnam that do occur are not on average less intense
 672 or deep during break periods, but are more dependent on the time of the day and thus
 673 less frequent, as shown in Table 4.

674 Turning our attention to northern India (Figure 10c–d), active periods display a
 675 higher fraction of convection during the night-time hours in Bhopal compared to break
 676 periods. Indeed, the diurnal maximum in the congestus mode during active periods is
 677 at night, whereas the peak in the deep mode is in the afternoon (Figure S5c). For Luc-
 678 know, break periods are associated with a higher fraction of cells in late evening and early
 679 morning, but active periods display a significantly higher fraction of late morning and
 680 afternoon convection. For both sites, there is a suggestion that the peak in normalised
 681 convection is slightly earlier in the afternoon for active periods, with more of an evening
 682 peak for break periods. This likely occurs as a result of the active spell environment be-

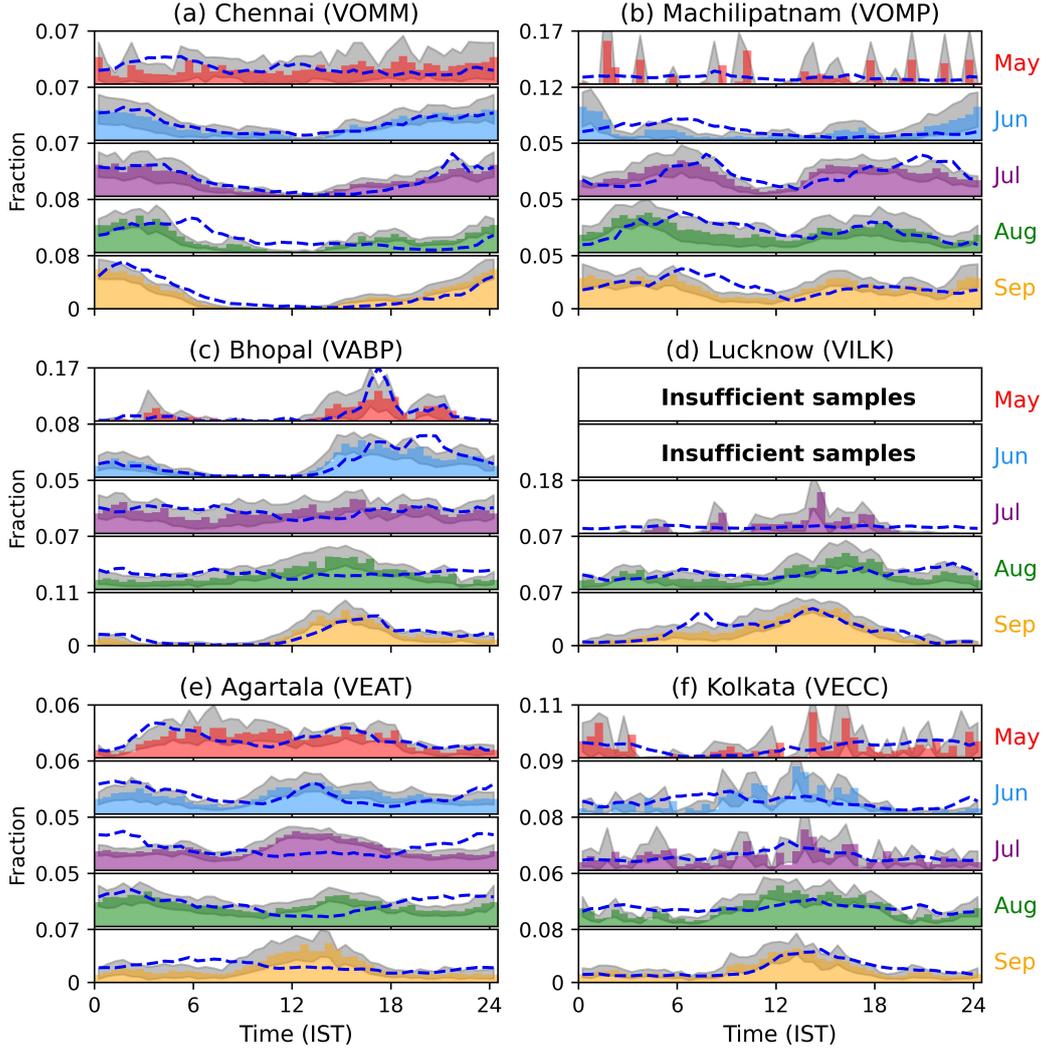


Figure 9. Diurnal cycle probability density histograms showing the number of convective cells subset by month for the same sites as in Figure 6, including CTH > 16 km. However, Mumbai is not shown here due to an insufficient number of cells from July onwards. The shaded region represents the variance in the sample as the bootstrap 10th and 90th percentiles. The equivalent average IMERG rainfall diurnal cycle for each month is shown by the blue dashed line. There must be at least 500 cells during the month or the diurnal cycle is not shown.

683 ing more conducive for convection, such that convection initiates, deepens and intensi-
 684 fies more quickly in the afternoon. This effect is also supported by the IMERG rainfall
 685 for Bhopal, although it is less clear for Lucknow.

686 Lastly observing the respective diurnal cycles for north-eastern India, it is evident
 687 that convection can occur at all times of day during both active and break periods. For
 688 Agartala, there is a more distinct peak in cell fraction in the early afternoon during break
 689 periods, with less of a diurnal cycle in active periods, and an almost constant propor-
 690 tion of IMERG rainfall through the day. Figures 10c–e show large (weak) diurnal cycle
 691 amplitudes during break (active) periods. The lower relative humidity in these regions
 692 during break periods (Figure 5d) likely acts to constrain convection into the afternoon

693 and evening hours, similarly to the September diurnal cycle in these regions. In contrast,
 694 during break periods, rainfall exhibits two peaks, one in the afternoon and a more sig-
 695 nificant one at night. For Kolkata, there is less of a visual difference on first inspection,
 696 however convection appears quicker to initiate around midday during active spells, and
 697 this peak is more well defined than the afternoon peak during break periods.

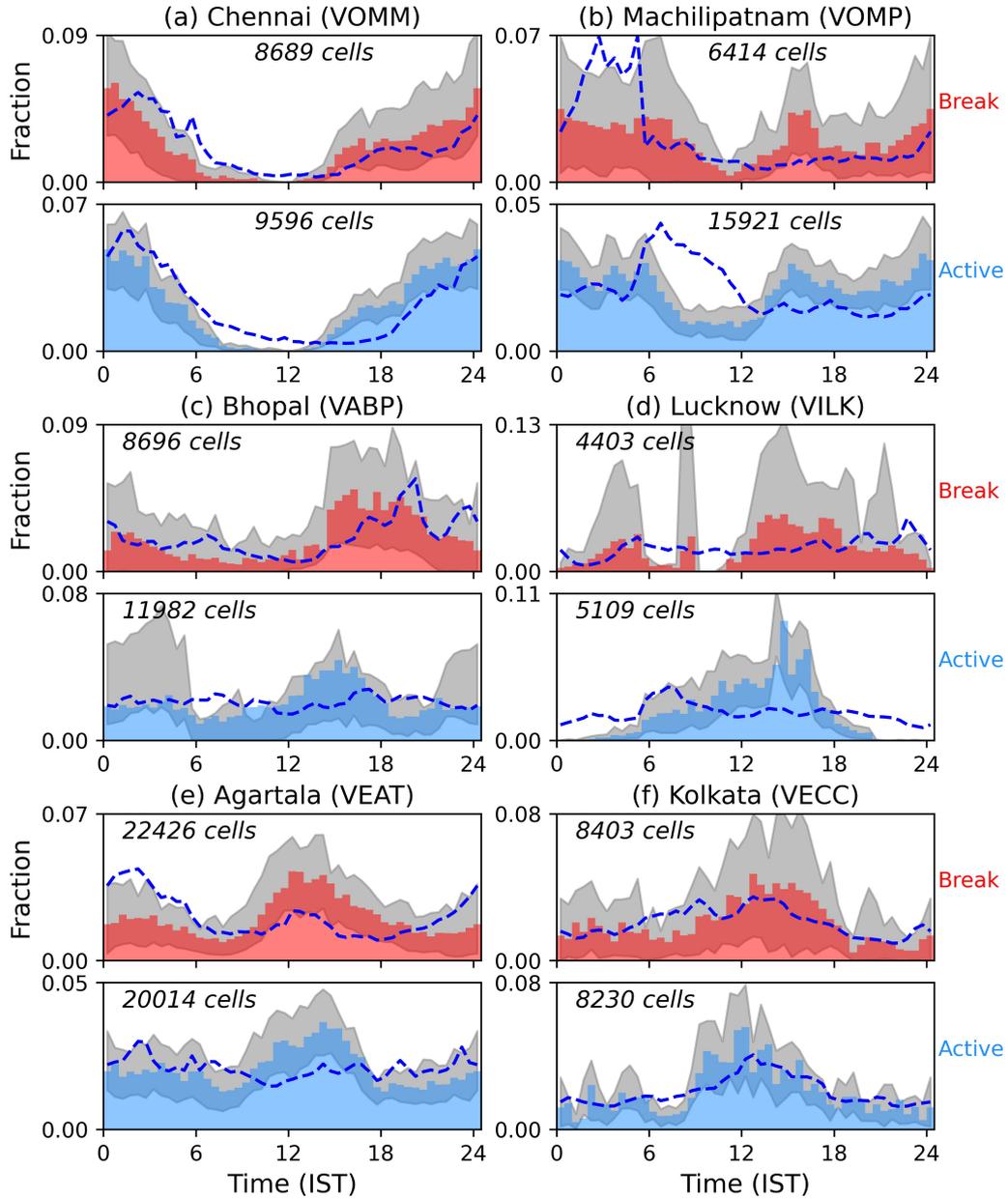


Figure 10. Same format as Figure 9 but shown for break (red) and active (blue) periods. Mumbai is again not shown owing to a lack of radar volumes during the active and break spells from July onwards. The total number of cells at a site over respective break and active spells is labelled to give the reader an idea of sample size. The right-most column in Table 4 shows the number of cells per radar volume to give a measure of average activity.

6 Conclusions

An understanding of ISM cloud development on large spatiotemporal scales is an important aspect of monsoon forecasting. This study has used 2016 monsoon season data from 7 IMD Doppler radars in order to analyse CTH in relation to near-surface reflectivity, with a focus on active and break periods, the diurnal cycle, different months during the monsoon season, and location. Our main findings are as follows:

1. A season-average peak in CTH was found between 6 and 8 km for all sites, just above the freezing level and region of maximum tropospheric stability.
2. The seasonal distribution of CTH suggests the presence of three distinct convective cumulus modes: shallow convection, cumulus congestus, and deep convection. Considering the season as a whole, cumulus congestus is the dominant mode. 2 km reflectivity was found to increase rapidly with CTH below the melting layer, and slowly above it.
3. There is less convection per radar volume during break periods compared to active periods for all sites, but most significantly for Mumbai. CTH is also lower on average for the 2016 break periods for Bhopal and Mumbai, spatially matched with the positive rainfall anomalies. Other sites display no definitive change in the respective CTH distributions. Low-level wind circulation changes between active and break periods are an indicative driver of resultant variability in CTH, most notably the position and strength of increased westerly winds associated with the position of the monsoon trough.
4. All sites but Mumbai show a clear seasonally-averaged diurnal cycle in the number of cells, with differences between each climate region attributed to local and large-scale features of the atmosphere. The peak in rainfall tends to occur around or soon after the peak in the number of deep cells.
5. The phase of the diurnal cycle and average height of cells depends on the surface type being land or ocean for south-eastern India. Ocean cells exhibit more of an early morning peak in convection and have a higher proportion of deeper cells. Mumbai also shows more of an afternoon peak over land and an early morning peak over ocean, though the difference is more subtle.
6. The diurnal cycle is further modulated by the progression of the monsoon. During peak monsoon months (July and August), the diurnal cycle becomes less distinct for most sites, with longer spells of convective inactivity pre and post-monsoon. This is most definitive for northern India.
7. Active and break periods also modulate the diurnal cycle in rainfall and cell number for several sites. Break spells tend to see convection preferentially at the more convectively active times of day, whereas active spells are associated with convection through the day (but still more frequent at certain times).

This research naturally points towards further investigation of ISM monsoon clouds and their place in the large-scale monsoon circulation. An analysis of the vertical structure of convective cells (i.e. in terms of reflectivity) associated with different large-scale regimes would be a useful next step here. It would also be beneficial to expand aspects of this analysis to additional years if data were made available, especially regarding active and break periods which exhibit significant interannual variability. Similar analyses of the intricate relationship between cloud development and the wider monsoon circulation from a satellite or modelling standpoint could also further explore some of the patterns discussed here.

Our findings broadly agree with previous studies of multiple monsoon seasons using TRMM (e.g. Houze et al., 2007; Romatschke et al., 2010; Romatschke & Houze, 2011; Shige & Kummerow, 2016). Such agreement implies that the IMD weather radar data can successfully be used to study monsoon convection for individual years. The high temporal frequency and spatial resolution of the ground-based radars, as well as the plant-

ing of further radars since 2016 both in India (Roy et al., 2019), and recently Nepal (Talchabhadel et al., 2021), means they are valuable resources in the analysis of storm-scale and mesoscale phenomena for South Asia. The methodology presented here, including existing tools (e.g. Wradlib) developed using other radars (e.g. V. V. Kumar et al., 2013) should prove useful to study convection over India, especially allowing comparison across different radar sites. Finally, these findings emphasize the potential use of these radar data for nowcasting and NWP case-study evaluation.

Appendix A Error estimates for echo-top heights

The sampling strategy of operational weather radars leaves significant gaps, especially at higher elevation angles, which become greater as distance from the radar increases. For certain higher echo-top heights (ETHs) and at certain distances, the nearest IMD radar beam may be over- or undershooting the ETH by 2 km or more. Previous studies (e.g. Warren & Protat, 2019) have already demonstrated the merits of vertical interpolation between beams to estimate features such as ETH. Lakshmanan et al. (2013) used model simulations to estimate the error in 18 dBZ ETH and demonstrate that interpolation between beams provides an improved estimate compared to simply using the nearest beam. Jackson et al. (2018) evaluated ETH estimated from the CPOL radar against satellite-derived cloud-top heights. While they find that the radar estimates are biased low, there is a statistically significant correlation between the two data sets that justifies the use of ETH for statistical analysis of convective storms over longer periods of time.

Here, we provide further evidence to justify the use of ETH derived from the IMD radars. There is no observational estimate for the “true” ETH during the 2016 ISM. For instance, the number of overpasses from the GPM core observatory is insufficient to carry out this analysis, which furthermore relies on a suitably large number of convective cells. Instead, we demonstrate the range-dependence of these errors using data from the Chilbolton Advanced Meteorological Radar (CAMRa) in the UK. CAMRa is a 0.28° -beam S-band, dual-polarized Doppler radar. The narrow beam allows us to obtain an accurate estimate of the true ETH, with the beam width reaching 450 m at 100 km range from the radar (see Figure A1, panel a, for an example). We use 2975 range-height indicator (RHI) scans performed through convective storms in southern England from 10 days during 2011–2019.

To simulate the ETH retrieval as obtained using the IMD radars, we first smooth the CAMRa RHIs to the IMD radar beam width and then sample the smoothed RHIs at the IMD radar elevations (see Figure A1, panel b). Next, we re-grid the sub-sampled and smoothed RHI to a regular Cartesian grid of 1 km by 0.5 km, similar to the CAPPI reconstruction described in Section 2 (Figure A1, panel c). Finally, ETH is determined at each 1 km in range as the height with reflectivity closest in value to 5 dBZ. Figure A1 shows the results for a single CAMRa scan, including ETH in panel d. Clearly, the instantaneous error can be quite large, reaching more than 2 km in this example. It is also evident that the error depends strongly on where the radar beam is in relation to the true ETH, with errors closer to zero when the beam intersects the true ETH.

In Figure A2, we show the bias as a function of distance from the radar and estimated ETH for all ETH estimates in the 2975 CAMRa RHI scans. Considering all ETH estimates, we find a statistically significant correlation between the true and estimated ETH of 0.93. The IMD radar scan strategy shows up very clearly in these statistics, as expected, with low bias where the radar beams intersect the true ETH. The bias is greater for those combinations of ETH and range where the beam separation is greater, although this might be exaggerated by the fact that ETH of 10 km and above were very rare in the CAMRa scans. To investigate the importance of beam separation further, we also simulate results using the CAMRa data but adopting a radar scan strategy with beam separation of 3° starting from either 2° or 1° . The resulting ETH from these simulations

801 are shown in Figure A2b and c respectively. The greater bias for combinations of ETH
 802 and range now also appear for ETH estimates below 9 km, which were frequently ob-
 803 served in these data. However, if we ignore the range-dependence of these results, we note
 804 that the mean bias for ETH estimates below 9 km is close to zero for both strategies.
 805 The mean bias increases to 1 km for ETH estimates above 9 km, but we postulate that
 806 this is due to the sparsity of true ETH above 9 km in the CAMRa data set.

807 This analysis demonstrates that the instantaneous errors in ETH estimation are
 808 generally of order 1 km, which is comparable to the vertical grid resolution we consider
 809 for our CAPPIs. The results show that the errors are greatest where the radar beams
 810 are far removed from the true ETH, but for a given ETH estimate the spatial and tem-
 811 poral mean bias is expected to be close to zero. The mean bias is most relevant to the
 812 results presented in this study for multiple reasons. Firstly, we consider ETH averaged
 813 over entire convective cells, i.e. cell-top height (CTH). Secondly, these convective cells
 814 may propagate throughout the domain so that any remaining bias in CTH will vary de-
 815 pending on where the cell is located in terms of range to the radar. Finally, the statis-
 816 tics presented in this study are averaged over multiple days so that if cells may be as-
 817 summed to be spread across the radar domains, the mean bias in ETH will be close to zero.

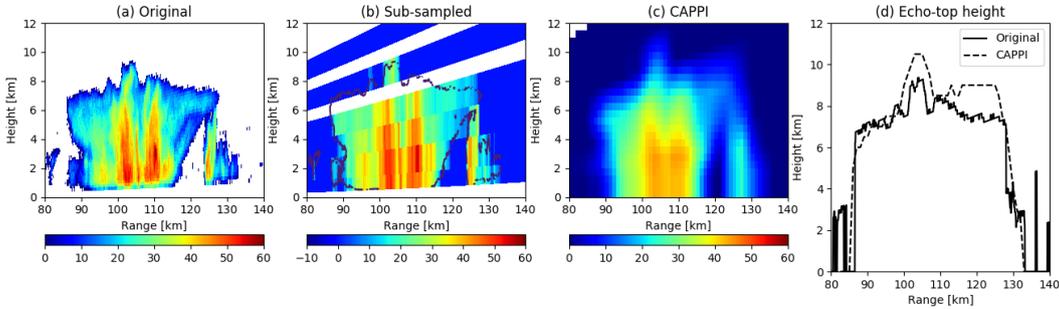


Figure A1. Example of simulating a CAPPI reconstruction from an RHI from CAMRa. (a) The original RHI scan from CAMRa. (b) The same data smoothed to 1° in elevation and sub-sampled to the IMD radar elevation angles. (c) CAPPI reconstructed from the data in panel b. (d) ETH estimated from the original RHI scan in panel a and the CAPPI in panel c.

818 **Acknowledgments**

819 The authors thank the Editor, two anonymous reviewers, and Scott Collis for their help-
 820 ful comments on improving an earlier version of this paper. The authors gratefully ac-
 821 knowledge the agreement of India’s Ministry of Earth Sciences in providing the Doppler
 822 weather radar under the INCOMPASS project, funded in India under the Monsoon Mis-
 823 sion. CSV data of the convective cell statistics analysed in this study are published at
 824 <https://catalogue.ceda.ac.uk/uuid/b28633ddc0f44d77a6aa81ad7bd66285>. Atmo-
 825 spheric sounding data were provided by the University of Wyoming (<http://weather.uwyo.edu/upperair/sounding.html>). ERA5 data were downloaded from <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels>.
 826 GPM IMERG data were downloaded from <https://gpm.nasa.gov/data/directory>.
 827 This work is supported by SCENARIO, grant number NE/L002566/1.
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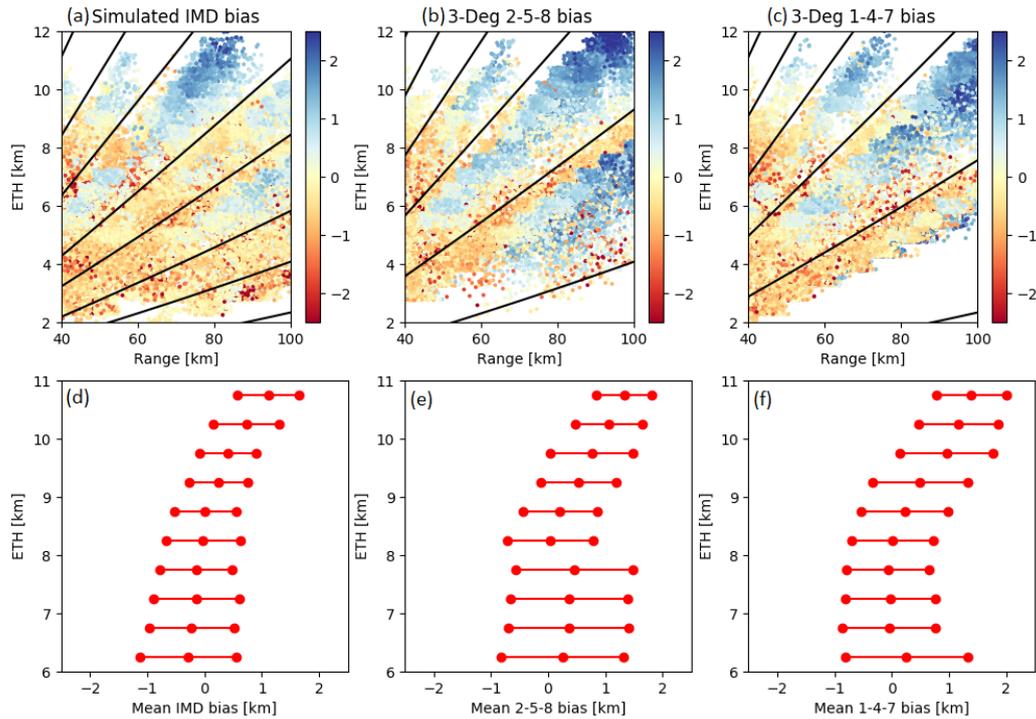


Figure A2. Mean bias in km for ETH estimated by (a) simulating the IMD radar scan strategy using CAMRa RHIs (b) simulating a scan strategy with beams separated by 3° starting at 2° and (c) as in (b) but starting at 1° . Scattered points are randomly placed around their specific ETH (within 0.25 km) and range (within 0.5 km). Lines show the central location of the radar beams considered. Panels (d–f) show the mean bias and standard deviation variation with height for each of the three strategies considering ranges between 40–100 km, considering ETH between 6–11 km.

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JGR: Atmospheres

Supporting Information for

**2016 Monsoon Convection and its place in the Large-Scale Circulation using
Doppler Radars**

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Contents of this file

Figures S1 to S5

Introduction

The figures below show normalised diurnal cycles for the three different cumulus mode categories, compared alongside GPM IMERG rainfall at each site:

- Shallow convection: $2 \leq \text{CTH} < 5$ km
- Cumulus congestus: $5 \leq \text{CTH} < 8$ km
- Deep convection: $\text{CTH} \geq 8$ km

For Figure S1, the total convective area normalised over the diurnal cycle is also shown. Figure S1 is best viewed alongside Figure 7, Figures S2–S4 alongside Figure 8, and Figure S5 alongside Figure 9. For each figure, all applicable cells are split into these three modes, and then normalised by number over the diurnal cycle, where Indian Standard Time (IST) is 5:30 hours ahead of UTC.

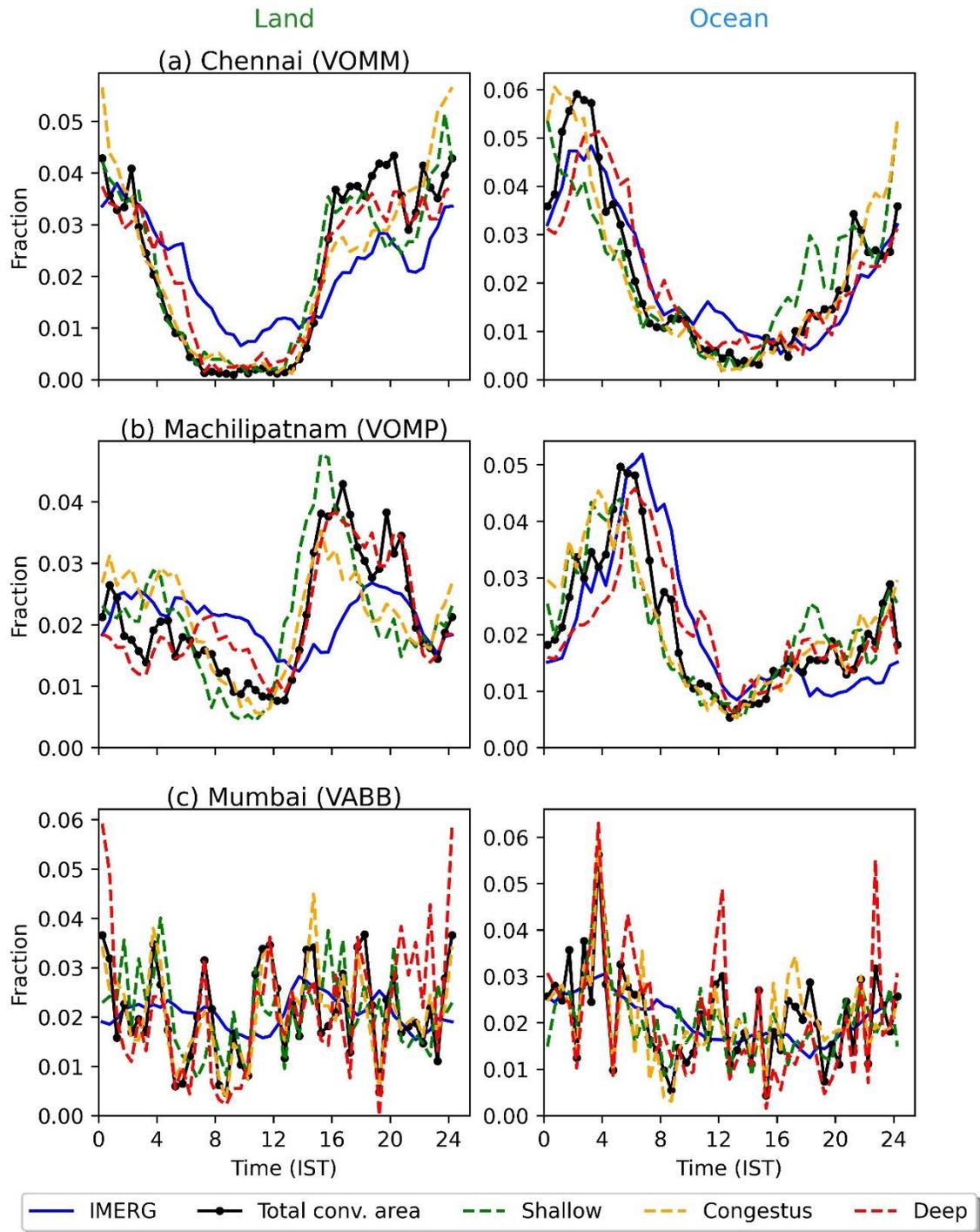


Figure S1. The diurnal cycle in the fraction of cells in different cumulus mode categories: shallow, congestus and deep, split into land and ocean segments for (a) Chennai, (b) Machilipatnam and (c) Mumbai. The black line is the total convective area normalised over the diurnal cycle, and IMERG rainfall is as in Figure 7.

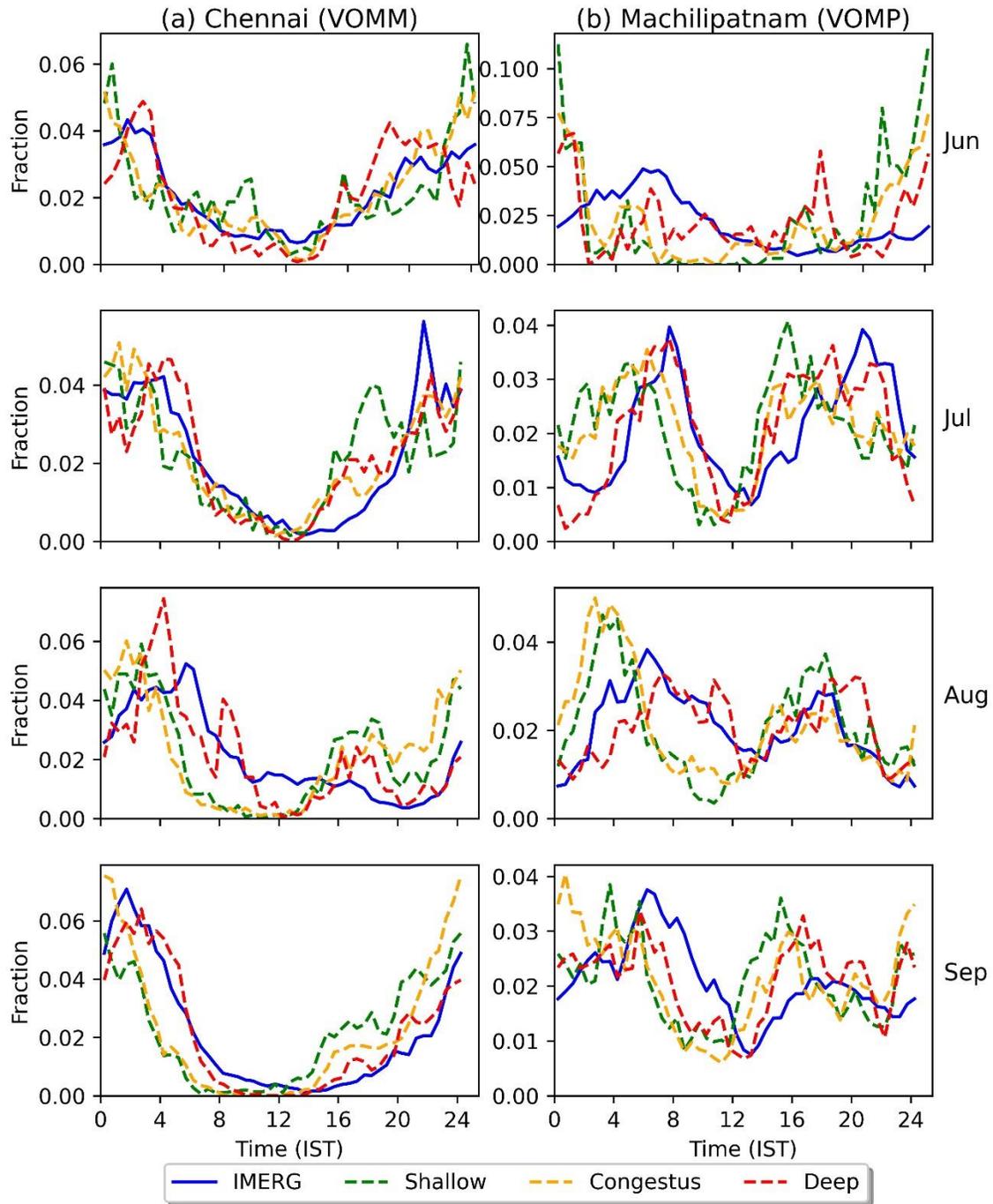


Figure S2. The diurnal cycle of different cumulus modes as in Figure S1, but shown for each month June–September, for all cells in the two south-eastern India sites. Total convective area is not shown.

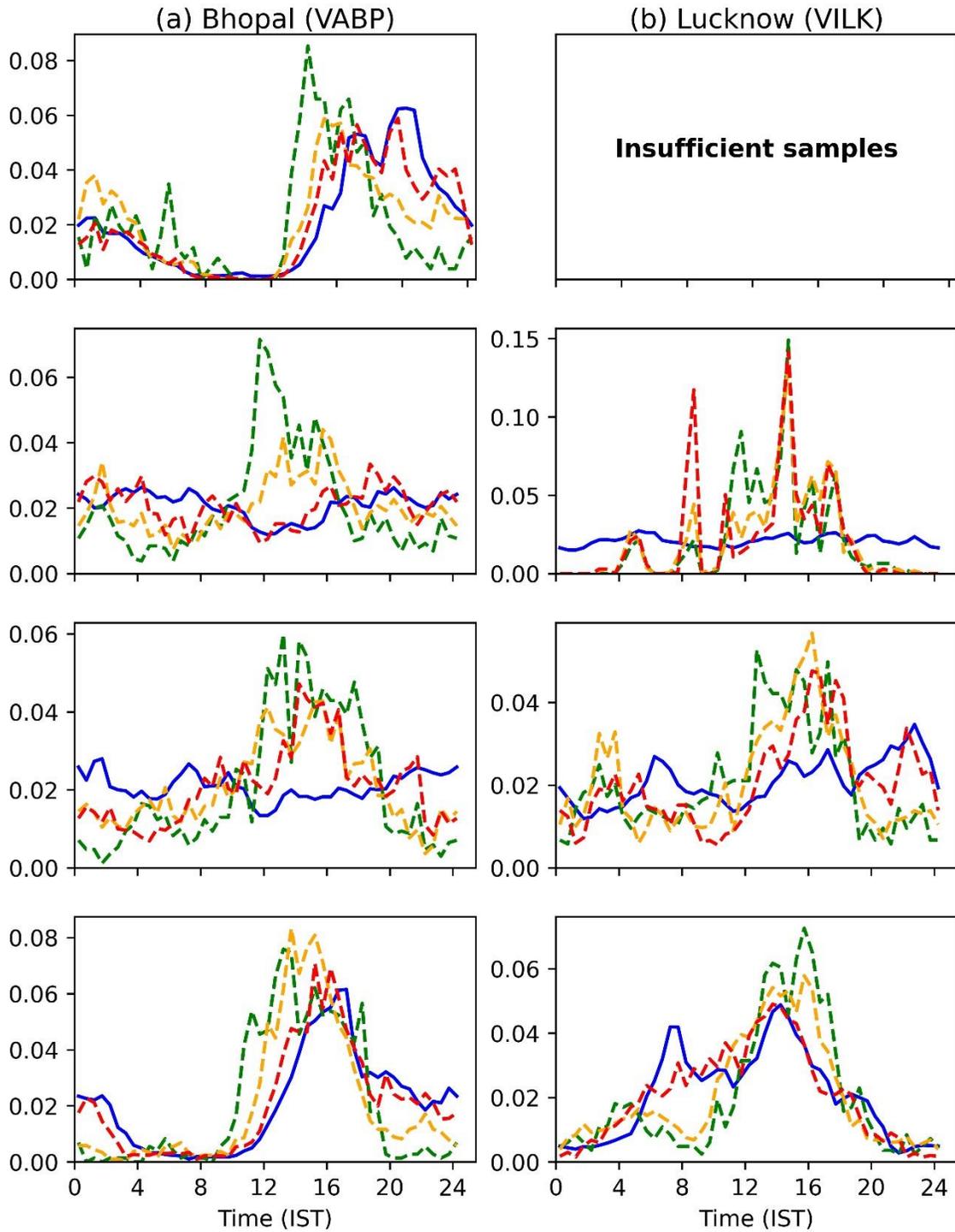


Figure S3. Same as Figure S2 but for the two northern India sites. Results for Lucknow in June are not shown due to an insufficient number of cells from the radar volumes available.

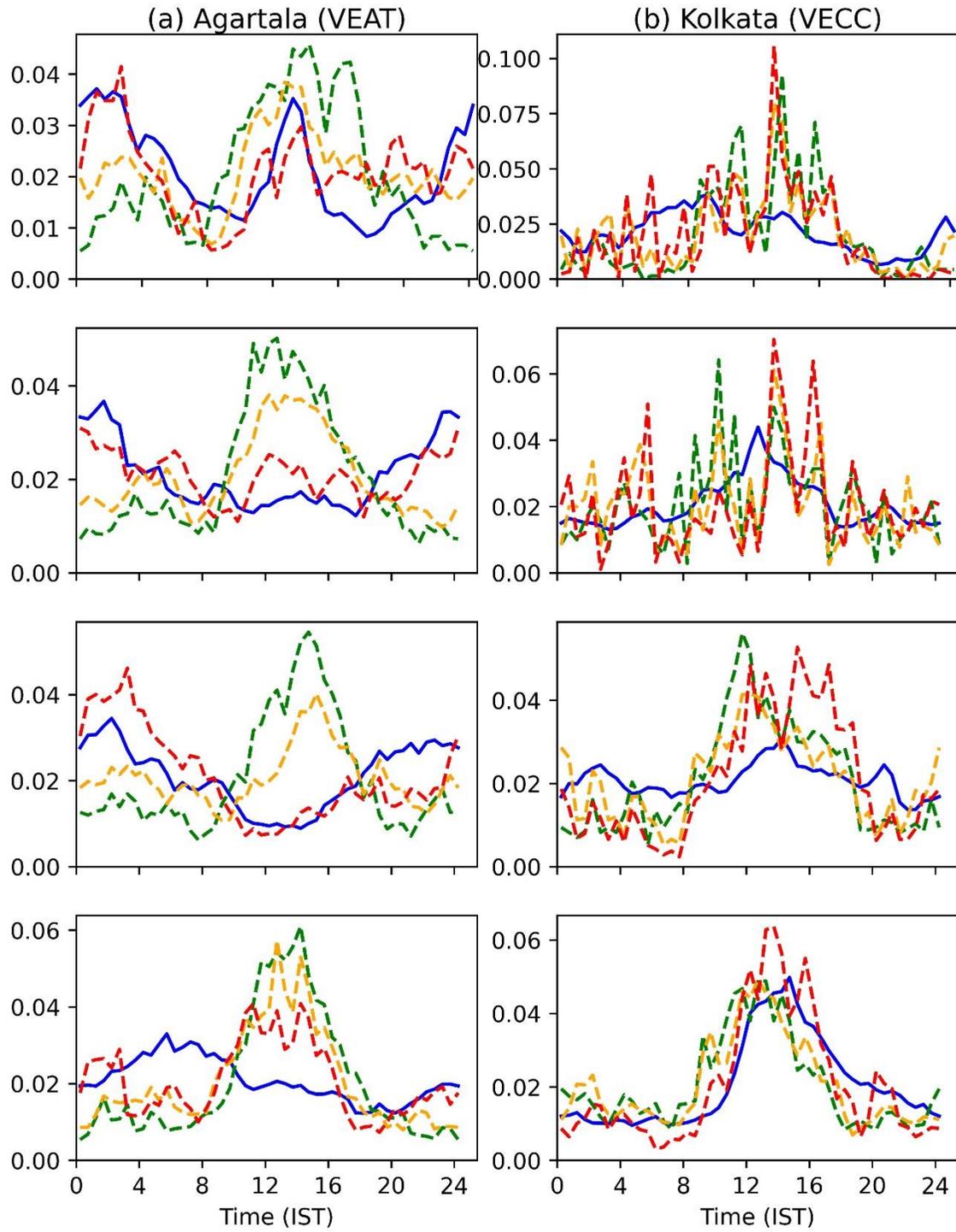


Figure S4. Same as Figure S2 but for the two north-eastern India sites.

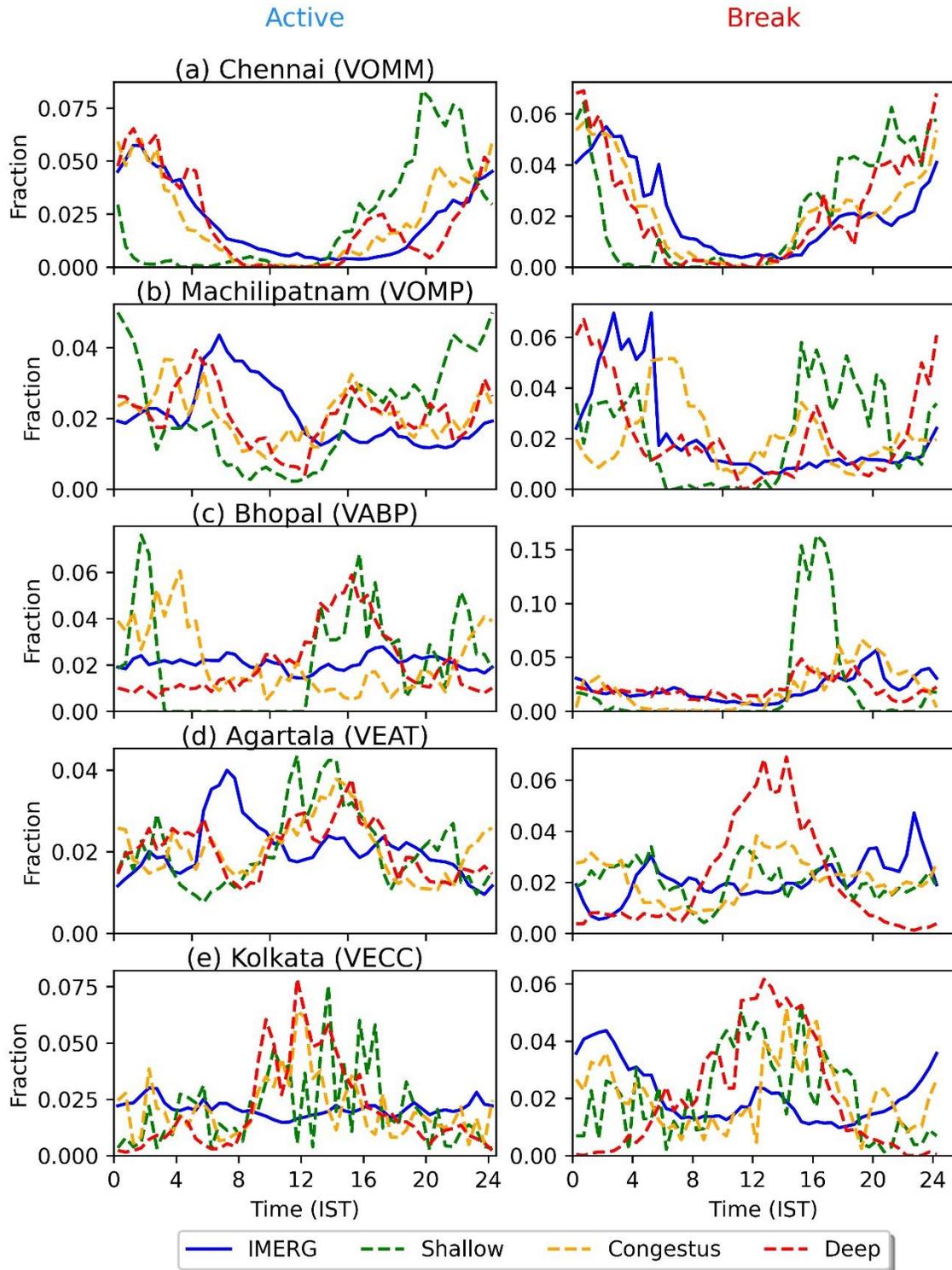


Figure S5. The diurnal cycle of different cumulus modes as in Figure S1 but shown for all cells during active periods (left column) and break periods (right column). Lucknow and Mumbai are not shown.