#### Variability of the Southern Annular Mode and Southern Ocean Surface Westerly Winds in E3SM

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November 22, 2022

#### Abstract

Climate variability and change in the Southern Hemisphere (SH) is influenced by the southern annual mode (SAM) and is closely related to changes in the kinematic properties of the SH surface zonal winds. The SAM and SH surface zonal winds have strong effects on the atmospheric and oceanic circulation system. In this study we investigate the variability and trend in the SAM and position and strength of the surface zonal wind stress (TAUX), using two ensembles of simulations covering the historical record from the Energy Exascale Earth System Model (E3SM-HIST and AMIP) for 1979-2014. In addition, performance of two CO2 forcing simulations from the E3SM (E3SM-1pctCO2 and 4xCO2) is assessed to examine the sensitivity of the variability and changes in the SAM and SH surface TAUX to climate forcing. In general, all E3SM simulations tend to capture the dominant feature of the SAM pattern reasonably well. The annual SAM index in the E3SM-HIST simulation shows a significant increasing trend. These features are similar to the trends in the strength (along with poleward shift in the position) of the annual surface TAUX. For the climatological surface TAUX position and strength, the two CO2 forcing simulations show slightly poleward movement and stronger intensity, while the E3SM-HIST is equatorward and weaker than observations. In the relationship between the SAM and surface TAUX, we show that the SAM index exhibits a positive (negative) relationship with the strength (position) of the surface TAUX in the variability for all seasons and annual mean.

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### I. Introduction

- The southern annular mode (SAM), which is often referred to as the Antarctic Oscillation (AAO), has strong effects on the climate systems at high and middle latitudes of the Southern Hemisphere (SH).
- The positive phase of the SAM is characterized by lower anomalous air pressure over the Antarctic along with higher anomalous pressure over the middle latitudes.
- ✤ In the positive SAM phase, the strong westerly winds move poleward, while the westerly winds weakened in the negative phase move toward the equator.
- ✤ Changes in the SH surface zonal winds have been related with not only changes of the oceanic circulation in the Southern Ocean, but also variability
- **\*** E3SM characteristics for SH atmospheric circulation



### **II. Data and Methods**

### ✤ Data used

Reanalyses		E3SM (Energy Exascale Earth System Model) data				
ERA_Interim (480x241, 512x256)	NCEP/NCAR_R1 (144x73, 192x94)	HIST (*EM: 5) (256x128)	AMIP (EM: 3) (256x128)	1pctCO2 (256x128)	4xCO2 (256x128)	
	Sea Level	Pressure (SLP), Surf	face Zonal Wind Stres	ss (TAUX)		
	1979-201	115-150 (last 36 years)	120-155 (last 36 years)			
* FM: Number of ensemble me	mher			•	•	

\*\* AMIP, 1pctCO2 and 4xCO2 simulation data are part of the E3SMv1 DECK experiments

**\*** Methods

- and changes in the SAM.
- Studies of atmospheric reanalyses and simulations have found a poleward intensification of the surface westerly winds in the SH during the last decades. These facts are closely associated with a trend toward the positive phase of the SAM.
- ✤ Many studies have shown systematic biases of the variability and trends in the SH surface zonal winds using the climate models participating in the CMIP3 and CMIP5. The SH westerlies simulated by the CMIP3 and 5 models were weaker than average in strength and equatorward in position compared to observations and reanalyses.

The intensity of the model's SH circulation is strongly associated with global warming, such as external CO2 forcing. This relationship affects the SAM as the dominant mode of variability in SH. It can be seen that the enhanced climatological circulation of zonal wind for increasing CO2 in E3SM simulations.

## **III. SAM pattern, variability, and trend**

### **\*** Annual mean SAM Pattern and Index





### Definition of the SAM Index and Pattern

- SAM mode: Leading empirical orthogonal function (EOF 1<sup>st</sup> mode) of the SLP anomalies over a domain extending south of 20°S
- SAM Index: The first principal component time series (PC1)
- SAM pattern: Regression of the SLP anomalies onto the standardized SAM index calculated by subtracting the mean and dividing by the standard deviation

### > Strength and Position of Surface Zonal Wind Stress (TAUX)

- E3SM simulations and reanalyses wind stress data was first interpolated onto a 0.5x0.5 degree horizontal grid
- The strength of the surface TAUX: Maximum of the zonal mean TAUX between 70°S and 20°S
- The latitudinal position of the surface TAUX: Latitude at the maximum of SH surface TAUX

## **IV. Position and strength in SH surface TAUX**







<u>z d) Zonal mea</u>



• A poleward (equatorward) shift and strengthening (weakening) in trend of the SH surface TAUX are very close to the positive (negative) trend in the SAM index.

Climatological zonal mean from the two CO<sub>2</sub> forcing simulations (E3SM-1pctCO2 and 4xCO2) have relatively poleward position (enhanced strength) compared to HIST and AMIP.

- Positive SAM patterns, characterized by lower SLP over Antarctica and higher SLP in the mid-latitudes of the SH, can be seen.
- In the four sets of model simulations, the SAM patterns are generally well reproduced with high spatial pattern correlation coefficients.
- The E3SM-HIST and AMIP simulations show similar intensity in the strongest positive and negative centers compared to the observations and indicate lower center intensity than the two  $CO_2$  simulations.



- The HIST and AMIP simulations of the E3SM model have successfully reproduced the positive SAM trends.
- Both CO<sub>2</sub> simulations of the E3SM models produce lower annual mean SAM trends relative to the two other simulations.
- The trends in E3SM-HIST, AMIP, and 1pctCO2 simulations carry the signals in response to time-varying forcings, while the trend in 4xCO2 simulation is likely due to adjustment to the abrupt external climatic changes by CO<sub>2</sub> forcing (such as elimination of latitudinal warming differences), as well as model internal variability.

### **Seasonal mean SAM Index**



#### Seasonal Mean SAM Trends (hPa/decadal)

<b>Re-anal/Simulations</b>	Trend (MAM)	Trend (JJA)	Trend (SON)	Trend (DJF)
ERA-Int	0.56	-0.08	-0.10	0.78*
NCEP-R1	0.30*	0.16	0.07	0.24*
E3SM-HIST	0.16*	0.24*	0.29*	0.38*
E3SM-AMIP	0.22	0.14	0.24	0.18
E3SM-1pctCO2	-0.11	-0.02	0.05	0.40
E3SM-4xCO2	-0.02	0.03	-0.45	-0.35

• The spreads in terms of confidence interval of interannual variability for E3SM-AMIP are larger than those for E3SM-HIST, while the spreads for the trend of the seasonal mean SAM index are similar or reversed. The reason may be because the

• Compared to the climatological position for the CMIP3 and CMIP5 climate models, the E3SM-HIST simulation represents an improvement with the position more consistent with that derived from the reanalysis.

### V. Relationship between the SAMI and surface TAUX





- The relationship between the variability in the SAM index and the kinematic properties (latitudinal position and strength) of the SH zonal-mean surface TAUX for annual mean of 36 years.
- An increasing of the SAM index is related to a strengthening of surface TAUX strength, while a poleward movement in surface TAUX position is associated with a strengthening of the SAM index.
- In the relationship between surface TAUX strength and SAM index for the E3SM simulations, the CO<sub>2</sub> simulations show more gentle slope values than E3SM-HIST and AMIP simulations, while the CO<sub>2</sub> simulations have steeper slopes than the other simulations in the relationship between surface TAUX position and SAM index.

### **VI. Summary and Conclusion**

- \* We assess the ability of two ensembles of E3SM simulations, E3SM-HIST and AMIP, to reproduce the SAM characteristics compared to observations over the satellite era. The variability and change of the SAM in the two CO<sub>2</sub> experiments (E3SM-1pctCO2 and 4xCO2) are also analyzed to show the model sensitivity in response to different types of increasing CO<sub>2</sub> forcings.
- \* All E3SM simulations capture the dominant characteristics of the SAM in the SH, though there are some differences in the location and intensity of the centers for the meridional dipole structure.





variability of the ensemble members is phase-locked to that of the observed SST in AMIP simulations.

• The seasonality of the SAM trend for E3SM-AMIP in all seasons is bigger than that of E3SM-HIST. This fact may imply that the SST produced by the coupled model (E3SM-HIST) has weaker variability than the observed SST used in the E3SM-AMIP, as far as the influence on the SAM mode is concerned.

The negative trend in annual mean SAM of the E3SM-4xCO2 simulation may be largely affected by the negative SAM trends during SON and DJF seasons.

\* The E3SM-HIST, AMIP, and 1pctCO2 simulations show an increasing trend in the annual mean SAM index, while the E3SM-4xCO2 simulation indicates a negative SAM trend.

The E3SM-HIST and AMIP simulations showed the negative (positive) trend in the position (strength), similarly to both observations.

\* For climatological position and strength of annual surface TAUX, the two CO<sub>2</sub> forcing simulations were slightly poleward and stronger than both E3SM-HIST and AMIP, and the E3SM-HIST was relatively equatorward and weaker compared to observations.

\* The overall results of SH climate variability in these simulations are a promising indication for the E3SM coupled climate system. In addition, the historical simulations provide useful information to better understand the atmospheric variability and ocean circulation in the SH through the model performance.

# **2019 AGU Fall Meeting**

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