

1 **Lithospheric Thickness, Rift and Inversion Tectonics: The Formation and**  
2 **Deformation of the Neoproterozoic Katangan Basin of Central Africa.**

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21 **Key Points:**

- 22 • The Neoproterozoic Katangan basin developed between three craton margins, within what is  
23 today mapped as an elliptical zone of lithosphere, 140-170 km thick.  
24  
25 • Basin stratigraphy and structure proves crustal extension by rifting drove basin formation and  
26 increased dramatically from basin margins to a Central Rift Zone.  
27  
28 • Eo-Cambrian inversion of the basin, most intensely along the Central Rift Zone, reactivated  
29 rift structures and created potential pathways for metallic brines.  
30

31 **Abstract**

32 The tectono-stratigraphic development of continental basins is key to our understanding of the  
33 location and scale of metal deposits required by the energy transition. We examine the  
34 Neoproterozoic Katangan copper basin of Central Africa to determine the link between lithosphere  
35 and crust and the generation of potential fluid pathways. Integration of lithospheric thickness,  
36 quantitative basin analysis and structure, defines a basin scale tectono-stratigraphic model for  
37 controls on potential fluid pathways. The basin developed along the thinned (140-170 km thick)  
38 margins of the Congo, Kalahari and Bangweulu cratons. Crustal scale fault zones define these  
39 margins and imply a mechanical weakness in the basin. Drill core and seismic data show  
40 extensional, half graben geometries. Basin subsidence analysis indicates two phases of rift-driven  
41 subsidence and increasing lithospheric extension from the basin boundary to a Central Rift Zone  
42 (CRZ). The CRZ also maps out as a sediment provenance boundary. Subsequent Late Ediacaran  
43 and Cambrian orogenesis and rift-fault inversion occurred most intensively within the CRZ. Basin  
44 expulsion and thrust tectonics resulted in a narrow belt of crustal thickening defined today by a  
45 ~50 km wide zone of garnet amphibolite facies metamorphism. The spatial coincidence of early  
46 crustal extension, relatively thin lithosphere, and later intense basin inversion along the CRZ,  
47 indicates that pre-existing crustal structure and weakness was a key tectonic control. The  
48 reactivated structures define several tectonic domains, control basin architecture within the zones  
49 and occur as potential fluid pathways for high volume fluid migration for metal bearing brines.

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51

**52 Plain Language Summary**

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54 The world requires copper to deliver the energy transition. Large sedimentary basins within the  
55 continents are a prolific source of copper, however our understanding of how deposits are  
56 formed remains uncertain. Here we examine the world's most prolific copper bearing basin, the  
57 Central Africa Katangan basin to understand key aspects of copper deposit formation. Existing  
58 mines were largely discovered by the detection of surface indications of copper. To discover new  
59 copper we need to look deeper into unexplored rocks. By integrating four independent geological  
60 tools, we define pathways along which copper bearing fluids may move through the earth. This  
61 will enable us to find deep deposits unseen by conventional surface detection. Specifically, we  
62 look at the thickness, and therefore strength, of the continent, searching for regional weakness  
63 where a suitable basin may have formed. We then analyze the basin's geometry and rocks, to  
64 understand both how the basin formed, and how it filled with sediment and from where. Finally,  
65 we analyse how the basin has deformed since its formation. Merging these very different  
66 perspectives allows us to define a series of structural domains and potential fluid channels crucial  
67 to metal migration and deposit formation and enables their systematic discovery.

68

## 69 **1. Introduction**

70 The Neoproterozoic Katangan basin lies on inter-cratonic lithosphere between the Archean and  
71 Paleoproterozoic Congo, Kalahari and Bangweulu cratons of Central Africa. The basin context,  
72 structure and stratigraphy appears strongly related to the margins of these cratons. The basin is  
73 also the world's largest, sediment-hosted copper (Cu) and cobalt (Co) province (Selley et al 2006)  
74 and contributes about 14% and 60% respectively to the world's Cu and Co production. This unique  
75 mineral endowment has resulted in the mines and mineral deposits of the basin having been widely  
76 studied (Mendelsohn 1961, Fleischer et al 1976, Hitzman 2000 & Hitzmann et al. 2005, Selley et  
77 al. 2006). Although the basin history has been extensively analysed in the context of the local  
78 mining activities (Mendelsohn 1961, Fleischer et al 1976, Coward & Daly 1982, Daly et al. 1986,  
79 Porada & Berhorst 2000, Hitzman et al. 2012), there remain significant unknowns about the  
80 tectonostratigraphic development of the basin as a whole and the crustal controls on the basins  
81 tectonic and mineral development (Alessio et al. 2019).

82

83 The uncertainties of the tectonic and geodynamic development of the Katangan basin are in part  
84 due to the paucity of deep crustal data and modern basin analysis. Chronostratigraphic correlation  
85 between the well-known mining locations is difficult due to very few reliable age markers beyond  
86 two well recognized but non-diagnostic diamictite units. No quantitative analysis of the subsidence  
87 history of the basin has been published. Similarly, there is little geometric analysis of the nature  
88 of the basin wide rift tectonics and their relationship with the subsequent Ediacran and Cambrian  
89 basin inversion and thrust tectonics. These deficiencies and singular scientific approaches, result  
90 in differing perspectives on the basin formation process based on magmatic data (Kampunzu et al.  
91 2000), stratigraphic correlations (Binda 1994, Werndorf 2003, Cailteaux & De Putter 2019), the

92 role of salt tectonics and brecciation (Jackson et al 2003, Mambwe et al 2023), and the distribution,  
93 heterogeneity and degree of deformation and metamorphism experienced by the basin (Coward &  
94 Daly 1984, Cosi et al 1992, John et al 2004).

95

96 We build on this earlier work to develop a tectono-stratigraphic model for the northern Katangan  
97 basin and the development of its major fluid pathways. We integrate four distinct but  
98 complementary geological perspectives of the basin into a single tectonostratigraphic model. The  
99 inputs include lithospheric thickness and basin location, the basin forming process and its  
100 variability, the stratigraphic provenance of basin fill, and the basins subsequent deformational  
101 history and tectonic development. These perspectives are summarized as several tectonic domains  
102 that reflect the current heterogeneity and architecture of the basin, and identify a range of potential  
103 fluid pathways that may ultimately assist in the deep exploration for copper and associated  
104 minerals.

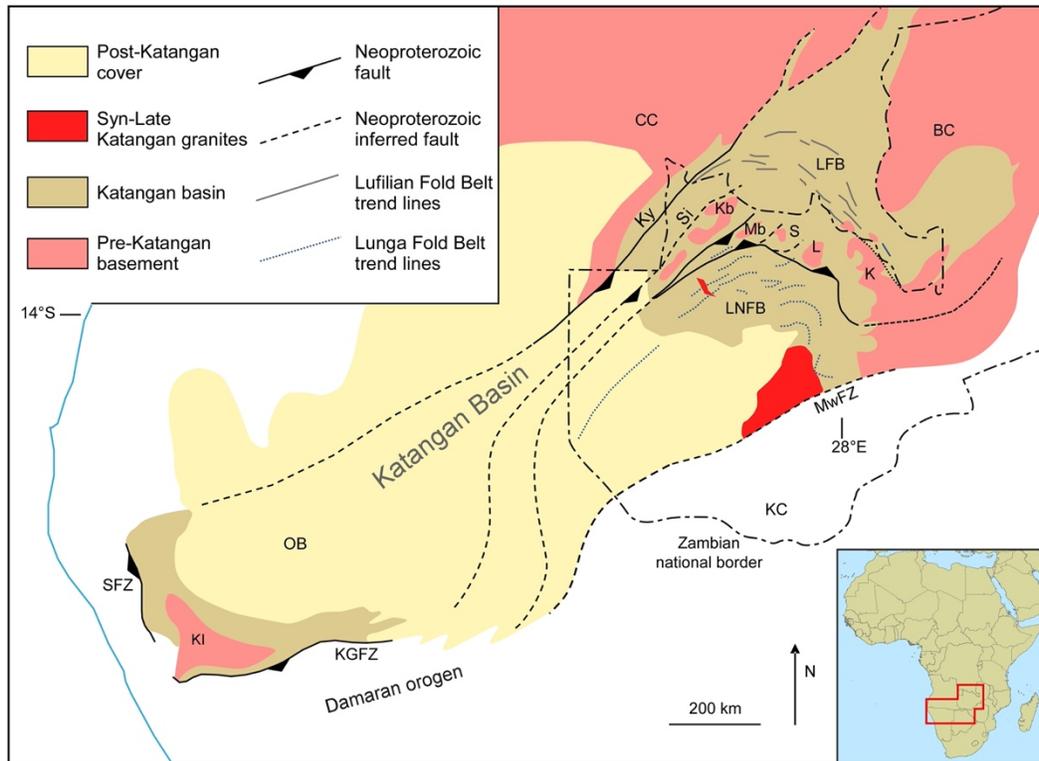
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## 106 **2. Lithospheric context of the Katangan Basin of Central Africa**

107

108 The Neoproterozoic Katangan basin extends some 2500 km from the Katanga province of the  
109 Democratic Republic of Congo, southwestwards across Zambia and southeastern Angola to  
110 northwestern Namibia (Figure 1). It lies between the Archean Congo craton to the northwest and  
111 the Archean and Proterozoic Kalahari craton to the south. It is also bordered by the Neoproterozoic  
112 and Paleoproterozoic Bangweulu craton to the west. From western Zambia, southwestwards to the  
113 Kamanjab inlier of Namibia (Figure 1) the basin is covered by Mesozoic sediments of the  
114 Okavango basin and Plio-Pleistocene sands of the paleo-Kalahari Desert (Miller, 2013).  
115 Throughout this poorly exposed basin there is broad consistency in tectono-stratigraphic units

116 indicating a broadly contiguous basin with comparable tectonic and climatic environments (Miller  
 117 2013). However, the extensive evaporitic sections in the DRC and Zambia, and the associated  
 118 breccias, are not significant in Namibia and, to date, nor are the extensive Cu deposits.



119

120 **Figure 1. Map showing the Katangan basin, covered and exposed.**

121 Geological outline of the greater Katangan basin from the DRC in the NE to Namibia in the SW. The map  
 122 shows the central part of the basin is overlain by widespread cover of Mesozoic and Cenozoic sediments.  
 123 The area discussed in this paper is the northeastern area known as the Central African Copper Belt (CACB).  
 124 Abbreviations: CC Congo Craton; BC Bangweulu Craton; KC Kalahari craton; LFB Lufilian fold belt;  
 125 LNFB Lunga fold belt; Ky Kanyama fault; Si Sailunga fault; Kb Kabompo Dome; Mb Mwombezhi Dome;  
 126 S Solwezi Dome; L Luswishi Dome; K, Kafue Anticline; MwFZ Mwembeshi fault zone; KGFZ Kamanjab  
 127 fault zone; KI Kamanjab inlier; Sesfontaine fault zone.

128

129 **2.1. The cratonic margins of the Katangan basin**

130 The cratonic boundaries of the Katangan basin appear to both influence the location, area and  
 131 shape of the basin and also some major tectonic features within it (Figure 2). This section briefly  
 132 describes the geophysical character of the bounding cratons and sets a fundamental context for the  
 133 development of the entire basin.

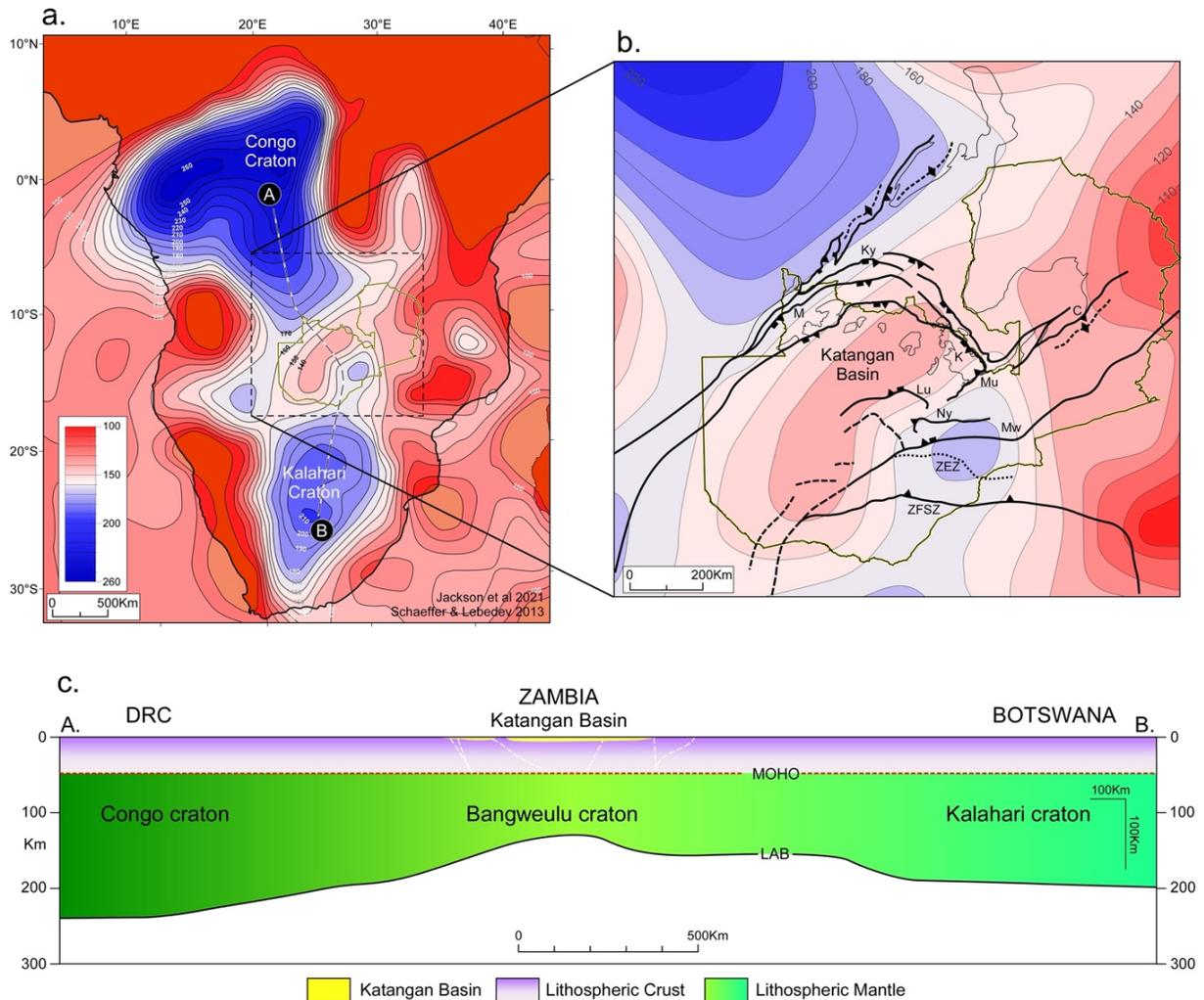
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135 During the past two decades Rayleigh wave tomography has been used to determine the thickness  
136 of continental lithospheric and, together with earthquake depths, has been used as a indicator of  
137 thick, cold and strong crust (Jackson et al 2001). Although only effective for lithospheric  
138 thicknesses greater than  $\sim 110$  km, and with a lateral sensitivity of the order of 200 km and vertical  
139 resolution of 25 km, this technique has resulted in relatively stable models of continental  
140 lithospheric thickness globally (Jackson et al. 2021). Augmenting this view of lithospheric  
141 thickness, a less constrained, two-dimensional profile of lithospheric thickness across a part of the  
142 Katangan basin, has been made using magneto-telluric (MT) inversion (Sarafian et al. 2018). The  
143 technique maps lithospheric conductivity and resistivity variations driven by rock, fluid, or melts,  
144 and can give broad indications of the depth of sub-continental lithospheric mantle. Data from both  
145 techniques are used to define the cratonic margins of the Katangan basin. These geophysical data  
146 sources are supported by regional isotopic age data together with estimated Sm-Nd model ages  
147 (De Waele et al. 2006).

148

149 Raleigh wave Lithospheric thickness maps of southern Africa, derived from the model of Schaeffer  
150 & Lebedev (2013) (Figure 2a), are superposed with the regional fault structures of the Katangan  
151 basin in figure 2b. The contoured base map shows 10 km lithospheric thickness contours (LTC)  
152 from 100 km to 300 km. Southern Africa comprises two large areas of thick lithosphere that define  
153 the two cratonic areas of the Congo craton and the Kalahari craton. The two cratons reach  
154 thicknesses of over 250 km and are separated by a thinner, but still relatively thick lithosphere of  
155 about 140 km thick (Figure 2a & 2b). Both areas of thickened lithosphere comprise a composite  
156 make up of Archean and Proterozoic terranes within the thickened lithosphere that are not

157 distinguishable by the Raleigh wave technique. The map allows two differing scales of  
 158 observation, the continental scale (~5000 km) and the basin scale (~1000) km, where the  
 159 uncertainties still permit the identification of basin scale features (Figure 2a, b & c).



160

### 161 **Figure 2 Lithospheric setting of the Katangan Basin**

162 **2a.** Lithospheric thickness map of Southern Africa based on the Raleigh wave tomography model of  
 163 Schaeffer & Lebedev (2013). Note the spatial relationship between the thick lithosphere of the Congo and  
 164 Kalahari cratons and the elongate NE/SW elongate area of relatively thinner lithosphere beneath Zambia.

165 **2b.** A close-up of Zambian lithosphere and the major crustal scale faults that define the borders and major  
 166 fault structures of the Neoproterozoic Katangan basin. The Katangan basin occupies a NE/SW trending  
 167 elongate area of thinner (~140 km) lithosphere between the thicker (>200 km) lithosphere of the bounding  
 168 cratons to north and south.

169 **2c.** A north-south cross section of the lithosphere showing the significant topography at the Lithosphere-  
 170 Asthenosphere Boundary (LAB) between the Congo and Kalahari cratons. Katangan basin occupies the  
 171 relatively thin zone between the two cratons to the north and south of it. The location of the section in  
 172 marked in figure 1a.

173

### 174 **2.1.1 The Congo craton margin**

175 Figure 2 shows four features relevant to the southeastern margin of the Congo craton and its  
176 relationship with the overlying Katangan basin. Firstly, a parallelism of the northwestern Katangan  
177 basin margin with the southeastern thickness contours of the Congo craton, roughly paralleling a  
178 cratonic thickness of 160 km. This relationship extends for over 1000 km along strike (Figure 2a).  
179 The exposed geological outcrops between the Congo craton and the Katangan sedimentary basin  
180 have two distinct and overlapping modes: a flat to gently dipping stratigraphic onlapping  
181 relationship to the west of the Mwinilunga fault zone; a linear, east dipping monoclinial relationship  
182 along the NE/SW eastern margin of the Kibara mountain range (Francois 1969) (Figure 3). This  
183 ~800 km long, southeastern, faulted Congo cratonic margin is broadly linear and comprises several  
184 100-200 km long, fault segments that define the margin. In addition to the margin parallel faults  
185 there is an extensive zone of andesitic and basaltic lava flows and volcanics and gabbroic intrusions  
186 that also roughly parallel the craton margin in Zambia (Linyunga et al. 2000) and to the north in  
187 the DRC (Kampunzu et al. 2000, Key et al. 2001 & Twite et al. 2017) (Figure 2b & 3).

188  
189 Secondly the 140 km, closed lithospheric thickness contour beneath the centre of the Katangan  
190 basin broadly follows the trend and shape of the centre of the Katangan basin and its continuity to  
191 the SW. The steady gradient from the NW parallels the mappable fault zones (see section 3.1)  
192 between the Archean outcrop and the centre of the basin, implying the cratonic thickness change  
193 is potentially in-part fault controlled. Thirdly, the map also shows the central basin contour closing  
194 and thickening to the northeast towards the centre of the smaller Paleoproterozoic Bangweulu  
195 craton. These observations suggest a close relationship between lithospheric thickness change, the  
196 northwest boundary of the Katangan basin and the location of the basin.

197

198 **2.1.2 The Bangweulu craton margin**

199 The geophysical definition of the Bangweulu craton as a significant area of thickened, ancient  
200 lithosphere is less compelling on the surface wave tomography as that of the Congo and Kalahari  
201 cratons. However, its existence as cratonic lithosphere has long been postulated by field mapping  
202 of northeastern Zambia (Drysdall et al 1972, Daly & Unrug 1982, Andresen & Unrug 1984). More  
203 recently its physical existence has been supported by a magneto-telluric profile that concluded it  
204 comprised relatively thick and resistive lithosphere in comparison to the surrounding Proterozoic  
205 folded belts (Sarafian et al. 2018). In addition, the granites and volcanics that constitute the surface  
206 geology of the Bangweulu craton are well dated as Paleoproterozoic and reveal Archean Sm/Nd  
207 model ages indicating an Archean protolith (De Waele et al. 2006). To the SE and SW the  
208 Bangweulu craton and its overlying Mesoproterozoic sedimentary basins were deformed in the  
209 Irumide orogenic event between 1050 and 950 Ma (Daly 2006 & De Waele et al. 2006).

210

211 The contact between the Katangan sedimentary basin and the Bangweulu craton has two distinct  
212 modes: a flat to gently dipping onlapping relationship along the Luapula valley and the western  
213 side of the Chambeshi basin (Thieme 1968 & 1971); a linear, steeply dipping monoclinial  
214 relationship developed due to basement involved thrust faulting along the NE/SW Muchinga  
215 margin of the Chambeshi basin (Page 1973). A comparable NE facing monocline defines the  
216 the NW/SE Kafue Dome margin of the Copperbelt (Daly et al. 1984). This linear, basement fault  
217 trend defines the eastern margin of the Kafue Dome (Coward & Daly 1984) and can be traced  
218 several hundred kilometers to the NW into the DRC (Figure 2b). Such continuous and linear fault  
219 zones are interpreted as a result of blind, crustal scale thrust structures.

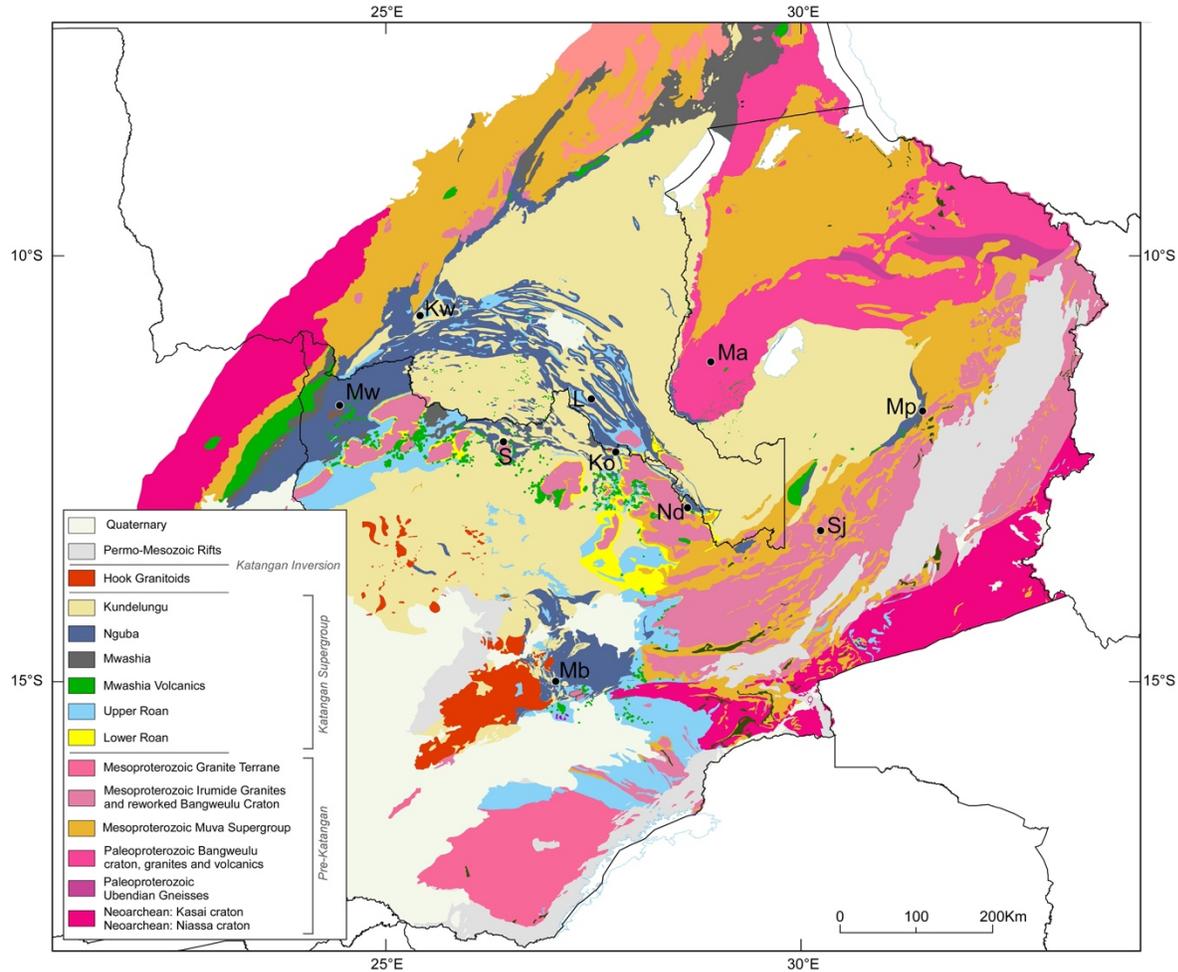
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221 The Bangweulu cratonic lithosphere thins to the SE and SW from the centre of the craton (Sarafian  
222 et al. 2018 and Schaeffer & Lebedev 2013) and constitutes the basement to the Katangan basin  
223 outcrop pattern (Figure 2b). Within the Katangan basin, in addition to the Kafue Dome, several  
224 other basement inliers record Paleoproterozoic ages broadly comparable to the Bangweulu craton  
225 (De Waele. et al 2006) and indicating that most of the Katangan basin of the Zambian copperbelt  
226 is underlain by an extensive Bangweulu craton margin (Figure 2b). The Bangweulu rock types and  
227 age connection can be traced to the southern boundary of the line of basement dome inliers (Figure  
228 3 & 4). South of this line there is no further basement outcrop or drilled section within the basin.

229

### 230 **2.1.3 The Kalahari craton margin**

231 As with the Congo craton, the Kalahari craton comprises several geologically distinct Archean and  
232 Proterozoic units that amalgamate through the surface wave analysis to define a single, large,  
233 Kalahari craton (Figure 2a). The definition of the lithospheric shape and thickness of the Kalahari  
234 craton is relatively consistent across several studies as summarized by Jackson et al (2021). The  
235 northern margin of the craton lies to the south of the Katangan basin and is here considered as the  
236 southern, tectonic boundary of the Zambezi Belt as defined by the Zambezi Frontal Shear Zone  
237 (ZFSZ, Figure 2) and the Mesoproterozoic Choma-Kaloma Batholith of SW Zambia (Hanson  
238 2003). The ZFSZ is defined geologically and on aeromagnetic data (Taverner-Smith 1961 &  
239 Loughlin 1979).



240

### 241 **Figure 3. Geological Map of the Katangan basin and its surrounding area.**

242 A geological map of the Katangan basin of Zambia and the southeastern DRC showing the detail of the  
 243 basin geology and structure and more generally the major basement arches that define the basin today.  
 244 (Modified after the Geological Map of the Republic of Zambia, Scale 1:1m (Thieme, J. G. & Johnson, R.  
 245 L.1981). Abbreviations: Kw Kolwezi; Mw Mwinilunga; S Solwezi; K Konkola; Nd Ndola; Mb Mumbwa;  
 246 Ma Mansa; Sj Serenje; and Mp Mpika.

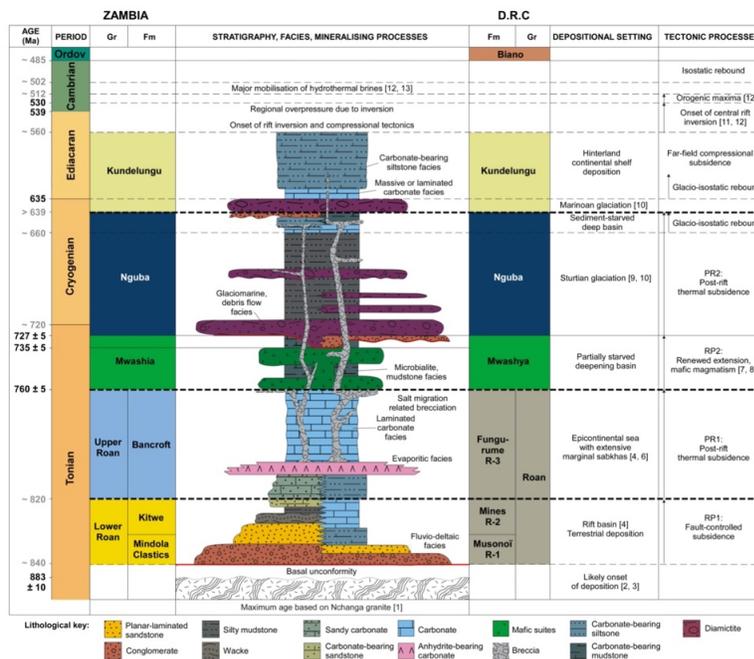
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### 248 **2.2 Summary of the cratonic context of the Katangan basin**

249 The observations above indicate that the three craton margins have influenced the location, shape,  
 250 and arcuate trend of the Katangan basin, and consequently its structural and stratigraphic evolution.

251 Given the different scales of observations, this is not yet a precise or simple influence. The  
 252 relationship is most convincing along the northwestern boundary of the Katangan basin where the

253 onlapping edge of the basin closely follows the ~170 km LT contour and is marked by extensive  
 254 Tonian aged gabbroic intrusions and extrusive basaltic and andesitic lavas and volcanics in both  
 255 Zambia and the DRC (Figure 2 & 3). Equally compelling is the NE/SW trending zone of relatively  
 256 thin, ~140 km thick lithosphere, underlying the centre of the Katangan basin in Zambia (Figure  
 257 2a, b & c). Some 300,000 km<sup>2</sup> in area, this curved, oval shape, trends NE/SW and defines a thin,  
 258 central band of lithosphere that is bounded by all three cratons. The MT and geologically defined  
 259 Bangweulu craton extends southwestwards beneath this central band of lithosphere and appears as  
 260 a series of thrust inliers widely described as domes (Coward & Daly 1984). The Kalahari craton  
 261 broadly bounds the basin as the lithosphere thickens to the south (Figure 2b & 2c).



262

#### 263 **Figure 4 Katangan basin stratigraphic summary**

264 Schematic stratigraphic column representing the Katangan basin lithostratigraphic nomenclature and  
 265 general facies distribution. The southern part of the basin is on the left and the northern on the right.  
 266 Stratigraphy and facies are represented in the central column with gross depositional environments and  
 267 tectonic processes outlined on the right. On the left margin are stratigraphic ages from Cohen et al (2018).  
 268 References numbers referred to: [1] Armstrong et al. (2005); [2] Selley et al. (2018); [3] Cahen et al.  
 269 (1970)a; [4] Selley et al. (2006); [5] Muchez et al. (2015); [6] Binda (1994); [7] Key et al. (2001); [8]  
 270 Kampunzu et al. (2000); [9] Rooney et al. (2015); [10] Halverson et al. (2020); [11] John et al. (2004);  
 271 [12] Daly et al. (2020); [13] Torrealday et al. (2000).

272

273 **3. Katangan Basin evolution**

274 Based on the apparent lack of evident ocean crust within the Katangan basin, Binda (1994) argued  
275 that the Katangan basin was not rift related. However, the majority of publications assume the  
276 basin developed as a continental rift zone, argued largely on the basis of associated magmatism  
277 (Kampunzu et al. 2000) and analogues for the sedimentology and stratigraphic character of the  
278 early Roan sediments (Mendelshon 1961, Selley et al 2005 & Kennedy et al 2019).

279

280 Underpinning our approach is the extensive and detailed knowledge of the lithostratigraphy of the  
281 Katangan basin, based largely on the Zambia and the DRC Copperbelts and regional field mapping  
282 by the Geological Surveys of Zambia and the DRC (Mendelshon 1961, Francois 1969, Fleischer  
283 et al. 1976, Binda 1994, Cailteux et al.1994 & Cailteux et al 2019). Wendorff (2003 & 2011),  
284 working primarily in the DRC, added dynamic element to the lithostratigraphy and proposed  
285 discrete basin forming events that resulted in basin expansion to the north over time. Following  
286 the regional model of Porada and Berhorst (2000), Wendorff (2011) argued for two phases of  
287 Tonian rifting followed by a foreland basin developed during Ediacaran and Cambrian thrust  
288 tectonics. This history and our interpretation of the regional stratigraphy is summarized in the  
289 stratigraphic column of figure 4. To develop and test these interpretations we present quantitative  
290 estimates of crustal extension based on new regional data from deep diamond drill core and  
291 reflection seismic data. In addition, we use zircon provenance analysis to identify sediment  
292 provenance routing and depositional compartmentalization in the basin and the nature of sediment  
293 barriers and sediment entry routes through time.

294

295

### 296 **3.1 Basin formation**

297 The primary tectonic drivers of basin formation are lithospheric extension and lithospheric loading,  
298 the load either applied laterally (foreland basins) or from beneath (cratonic basins). The subsidence  
299 profiles of these tectonic settings tend to be markedly different and is arguably an essential  
300 characteristic for a basin to be attributed a tectonic mechanism (Xie & Heller 2009 & Allen &  
301 Allen 2013). Stratigraphic and associated subsidence analysis has been widely used to constrain  
302 basin driving mechanisms underpinning basin subsidence (Watts & Ryan 1976, Barton & Wood  
303 1984, Xie & Heller 2009) and is deployed here in the Zambian part of the Katangan basin (Figure  
304 5). The analysis is restricted to areas of minimal deformation of the basin's sedimentary section as  
305 increasing strain and metamorphism makes interpretation of the original sedimentary section  
306 increasingly unreliable. The analysis presents eight "type sections" based around a diamond drill  
307 core interpretation with adjacent outcrop and supplementary data from the literature. The resultant  
308 type sections are a best representation of the immediate area's stratigraphy. We have created such  
309 type logs from the northeastern basin margin at Chilonga, to the Central Rift Zone (CRZ) around  
310 Solwezi, an across strike section of approximately 200 km (Figure 5).

311

#### 312 **3.1.1 Subsidence analysis and backstripping methodology**

313 Quantitative subsidence analysis allows for the filling of a sedimentary basin to be quantified and  
314 basin formation mechanisms to be constrained. Thicknesses of stratigraphic units at the time of  
315 deposition are altered by the effects of subsequent sediment-loading and compaction. To see  
316 through this complexity the process of back-stripping (Watts and Ryan 1976) allows present-day  
317 stratigraphy to be corrected for the effects of sediment and water-loading. This allows basin  
318 subsidence to be partitioned into top-down subsidence driven by sediment loading, and subsidence  
319 driven by tectonic forces (Steckler and Watts, 1978; Watts and Ryan, 1976). By isolating tectonic

320 subsidence, comparisons can be made between different basin subsidence profiles and history.  
321 Different basin formation mechanisms create distinct tectonic subsidence profiles, with, for  
322 instance, rift basins being characterized by a concave profile of initially rapid tectonic subsidence  
323 which reduces exponentially as rifting attenuates and thermal cooling subsidence takes over  
324 (McKenzie, 1978; Steckler and Watts, 1978; Xie and Heller, 2009).

325  
326 McKenzie (1978) proposed the uniform extension model to predict several aspects of rift  
327 evolution, including crustal thinning and subsidence. The uniform extension model invokes two  
328 stages of rift basin development: rapid initial fault-controlled subsidence, followed by thermal  
329 subsidence as the lithosphere cools. Both combined yield the characteristic concave-up tectonic  
330 subsidence profile observed in many rift basins (Steckler and Watts, 1978; Xie and Heller, 2009).  
331 Core logging and facies analysis was carried out on eight Katangan basin locations (Figure 8a).  
332 Type logs were established within a 1 km radius and detailed facies analysis was undertaken on  
333 each main core and adjacent cores and sections and estimates of water-depth ranges through time  
334 made. Decompaction of sedimentary columns and 1-D back-stripping was performed assuming  
335 Airy isostasy and analogue petrophysical parameters (Sclater & Christie 1980, Turer and Maynard  
336 2003 & Allen and Allen 2013). The calculations involved in decompaction, and back-stripping of  
337 sediment columns are well-established and are outlined in detail (Watts & Ryan 1976), Steckler &  
338 Watts 1978 and Allen & Allen 2013). The eustatic term in the back-stripping equation was omitted  
339 due to there being no reliable and continuous sea level curves for the Neoproterozoic.

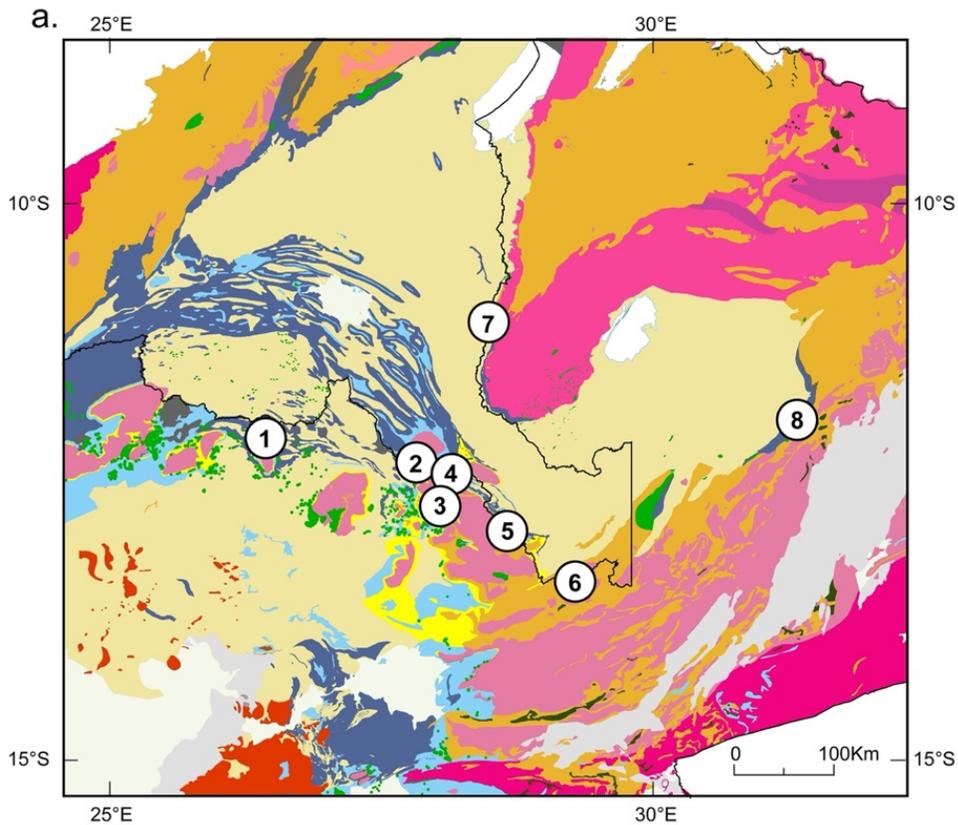
340  
341 The uniform stretching model (McKenzie 1978) was applied to estimate stretching ( $\beta$ ) factors that  
342 best fit the tectonic subsidence profiles generated from back-stripping. It was assumed that the

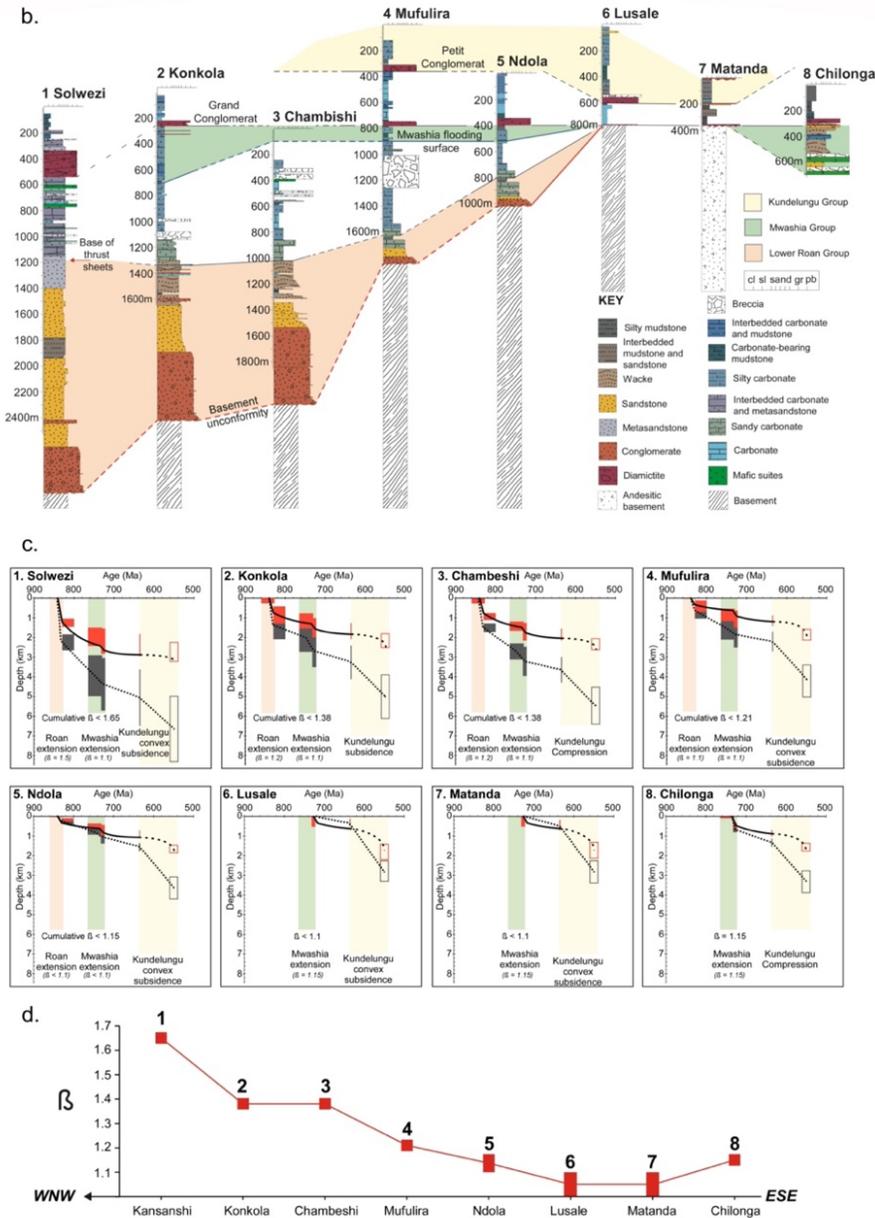
343 fault-controlled subsidence phase lasted 10 Myr (Veevers 1981) and that the Lower Roan to Upper  
344 Roan transition represents the onset of thermal subsidence. Mwashia stretching factors were  
345 calculated using the stretched crust at the end of Roan rifting as the starting conditions for Mwashia  
346 extension. The type logs and parameters that were used in back-stripping and to compute stretching  
347 factors, are provided as Supplementary Data (1).

348

### 349 **3.1.2 Katangan basin subsidence results**

350 Back-stripping of the type logs shows a clear trend of tectonic subsidence increasing across the  
351 strike of the basin from east to west. This trend is particularly clearly developed in the four deepest  
352 cores (Figure 5b & 5c). In most of the cores and their associated type logs, evidence of two  
353 distinctive rift phases is also present, indicating an initial Lower Roan rifting event and a later  
354 Mwashia rifting event.





**Figure 5 Analysis of the Katangan basin formation**

**5a** A map showing the locations of the eight ‘type logs’ that have been used to determine the nature of basin subsidence and its distribution. The locations were chosen by the availability of deep, diamond drill cores, that were then integrated with adjacent data within a 1km radius to construct a local ‘type log’.

**5b** The eight type logs constructed for the basin subsidence analysis.

**5c** The subsidence profiles of the eight type logs show the total subsidence profile (lower line) and the tectonic subsidence component (upper line). Error bars are included. The yellow and green columns highlight the Roan and Mwashia rifting event respectively.

**5d.** The chart shows the distribution of the calculated extension factor for the eight locations. It demonstrates the increase in cumulative extension from the eastern margin of the basin to the Central Rift Zone where cumulative lithospheric extension has exceeded 70%. The data also shows that the NE margin of the Bangweulu craton has experienced Mwashia extension.

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371 The eight type cores show a clear increase in total subsidence from low in the east (Chilonga and  
372 Matanda) to high in the west (Konkola and Solwezi,) (Figure 5b & 5c). Cores with the thickest  
373 Lower Roan section in the west have the most pronounced concave-up subsidence signature during  
374 Roan extension. Initial subsidence during Roan extension was highest in the furthest west core  
375 from Solwezi, with the basin likely developing a syn-rift section greater than 2 km thick during  
376 the Lower Roan. Where Lower Roan clastic units are thinnest (< 100 m) near Ndola the Roan  
377 tectonic subsidence signature is most poorly developed, with minimal Lower Roan extension. The  
378 Konkola and Chambishi type logs show an intermediate Roan subsidence profile, falling between  
379 that of the Domes region and east of the Kafue Anticlines, with the basin subsiding to a depth of  
380 up to 1.5 km during the Lower Roan.

381

382 Best-fit  $\beta$ -factors match this trend in the associated tectonic subsidence profiles, with the degree  
383 of crustal stretching increasing to the WNW from Ndola to Solwezi. The Solwezi Region shows  
384 the highest degrees of Roan extension, 40% (a  $\beta$ -factor of 1.4), whereas in Lubambe this is reduced  
385 to 20% (a  $\beta$ -factor of 1.2). Around Ndola, the tectonic subsidence during Roan extension is small  
386 with a  $\beta$ -factor of a maximum of 1.1.

387

388 Mwashia extension is evident in all eight cores, but is generally less pronounced, more uncertain,  
389 largely due to the limitations of facies analysis in deep water successions and differently  
390 distributed. The tectonic subsidence recorded is generally of a lower magnitude than during Roan  
391 extension and has a smaller range. In Solwezi and Lubambe a  $\beta$ -factor of 1.1 fits the tectonic  
392 subsidence profile during Mwashia extension. It may have been significantly higher in Solwezi,

393 however the nappe emplacement prevents an appreciation of the full Mwashia section making the  
394 outcome a minimum. In Ndola, the Mwashia extension is recorded in the core, but the magnitude  
395 of extension is <10% suggesting crustal stretching during Mwashia and Nguba times was again  
396 small in the SE Kafue anticline. In contrast the western basin borders in the DRC (Kennedy et al.  
397 2019) and northeastern border in Zambia have thicker Mwashia sections and appear to show much  
398 greater Mwashia age extension (e.g. at ~15% in Chilonga, Figures 5)

399

### 400 **3.1.3 Katangan basin formation**

401 Despite the limitations of the uniform extension model and 1-D Airy back-stripping, and water  
402 depth estimations from facies analysis, the form of tectonic subsidence profiles and best-fit  $\beta$ -  
403 factors provide satisfactory first-order results with significant implications. The concave-up  
404 tectonic subsidence signatures of the back-stripped cores and their good agreement with  
405 McKenzie's (1978) uniform extension model support and quantify a rift origin for the Katangan  
406 Basin in Zambia. Lower Roan  $\beta$ -factors of 1.4 and 1.2 for Solwezi and Lubambe, respectively,  
407 match those of other known rift systems, such as the North Sea, Baikal rift and Oslo Graben  
408 (Barton and Wood 1984 & Huisman et al. 2001).

409

410 The increasing magnitude of tectonic subsidence and crustal stretching from the eastern margin of  
411 the basin towards the CRZ is clear. Lusale, Matanda and Mpika are little affected by Roan  
412 extension, with a Roan tectonic subsidence signature characteristic of distal extensional tectonic  
413 regimes (Xie and Heller, 2009). Stepping into the basin, Ndola, Chambeshi, Konkola and Solwezi  
414 show increasing and high degrees of extension. Solwezi being towards the deepest part of the

415 basin, with the full thickness of Solwezi syn-rift Roan stratigraphy approaching 2km and the whole  
416 rift prior to basin inversion likely to have exceeded 8-10 km.

417  
418 Mwashia age extension appears to be generally less than in the Lower Roan and the resultant  
419 stretching estimates are more uncertain due to the deep-water facies dominating the  
420 lithostratigraphy. Although extensively present, the distribution of Mwashia stretching also  
421 appears to be different from the Lower Roan, with the greatest extension being focused on the  
422 northwestern flanks of the basin in the DRC (Kennedy et al ) and the northeastern flanks of the  
423 basin in Zambia. This is evidenced by the fact that the magnitude of tectonic subsidence in IT26  
424 during Mwashia extension is comparable to that during Roan extension. However, an alternative  
425 explanation is that the basin became partially starved during the Mwashia extension and there was  
426 no immediate stratigraphic response recorded to stretching. What is recorded however, is a strong  
427 volcanic and basic intrusion association with Mwashia age rifting. This is arguably best developed  
428 along the northwestern boundary of the basin in the Lwawu area of Zambia, within the Nzilo basin  
429 of the DRC and along the eastern margin of the Kibaran Arch (Kampunzu et al 1991 & 2000). An  
430 equivalent Mwashia volcanic and gabbroic suite is indicated in the east of the basin where mafic  
431 rocks are found in the Chilonga and Serenje areas (Figure 3 & 5a). In addition, extensive volcanic  
432 and basic igneous intrusions occur throughout the CRZ during this period.

433  
434 Finally, the analysis also indicates that the stratigraphic sections of the Upper Roan and the Nguba,  
435 that developed between the rift phases represent post-rift periods of continued subsidence due to  
436 the thermal relaxation of the previously stretched and thinned continental lithosphere (Figure 5c  
437 & 5d). In addition, the significant extension trend implied by the Solwezi result raises the question  
438 of whether the Katangan extension resulted in lithospheric break up and part or extensive

439 formation of an ocean basin. Deformation and the degree of metamorphism to the southwest of  
440 Solwezi precludes further rift analysis via the subsidence analysis and back-stripping technique.  
441 The extensional gradient of the rifting reaching 60% extension along the Central Rift Zone (CRZ)  
442 at Solwezi and to the south of it, and the extensive basic igneous with the Mwashia rifting event,  
443 suggest there may have been a zone of failure of the lithosphere during Mwashia time to the  
444 southwest of the Solwezi area. If the lithosphere was broken it appears it was likely a small seaway  
445 and a local feature, not extending greatly to the east. Further to the SW the extension is  
446 unconstrained due to Quaternary cover. This same CRZ was later the location of the basins greatest  
447 deformational strain, displacements and metamorphism which will be discussed later in the paper.  
448  
449 The cumulative extension of both the Lower Roan and Mwashia rifting events outlined above  
450 resulted in a wide and deep area of rift basins and a subsequent thermal subsidence basin created  
451 a large Neoproterozoic epicontinental sea. . With cumulative extension of up to and beyond 70%  
452 in the Solwezi area and extensive coeval mafic magmatism of Large Igneous Province proportions,  
453 the formation of a deep and wide rift basin, south of Solwezi and east of Kabompo/Mwombezi  
454 seems likely. The scale of this basin is difficult to judge but sediment provenance patterns and  
455 subsequent compressional tectonics will discuss this issue further.

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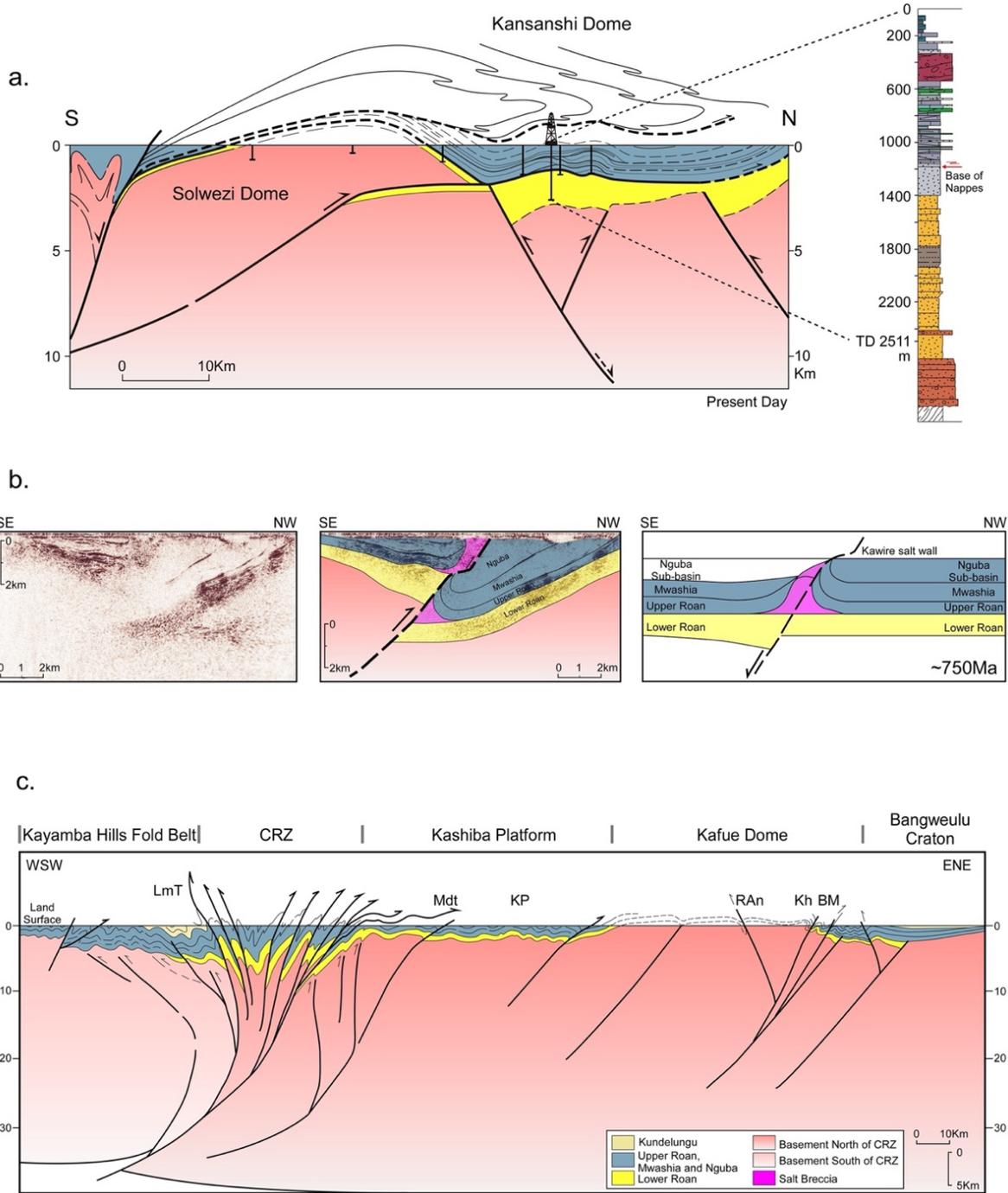
#### 457 **3.1.4 Katangan rift basin geometry**

458

459 Supporting the quantitative extension analysis presented above are two significant datasets  
460 acquired in the Zambian Copperbelt over the last decade. Firstly, the drilling of the 2.5 km deep  
461 KRX082 cored hole at Kansanshi mine and secondly, the acquisition of a 34 km seismic reflection  
462 line near Konkola. These both demonstrate the basement rifting, its structural geometry, and scale

463 to a degree not previously available. We will briefly discuss each of these datasets, their  
 464 interpretation and their implication for rift formation and subsequent deformation.

465



466  
 467

468 **Figure 6 Extensional rift geometries in the Zambian Copperbelt.**

469 (a) A core and field constrained section across the Solwezi basement dome (locate on figure 12 (section A).  
 470 Showing the Solwezi half graben containing a proven minimum of 1400 m of clastic Lower Roan rock and  
 471 an estimated 2000m based on regional stratigraphy. This undeformed section is overthrust by the  
 472 metamorphic Solwezi nappe comprising a thin thrust sheet of recumbently folded Katanga metasediments.  
 473 The formation of the dome is due to a later, basement cored fault, that elevates the basement to form the  
 474 Solwezi dome.

475 (b) A section across the Kawiri fault of the Konkola area based on an interpretation of a pre-stack, depth  
 476 migrated seismic line and local stratigraphy penetrations (Figure 12 section B). The basement cored fault  
 477 that elevates the half graben is interpreted as an original extensional fault on the basis of the Lower Roan  
 478 thickening towards the fault. The magenta wedge in the hanging wall and foot wall of the Kawiri fault is  
 479 interpreted as a thick breccia zone resulting from salt migration from the Upper Roan, seen today in core  
 480 and occasionally along the outcrop of the Kawiri fault zone. The quality of the seismic image is degraded  
 481 over a triangular area below the breccia outcrop.

482 (c) A field based schematic cross section across the Kafue anticline and CRZ, from the Bangweulu craton  
 483 margin to the Kayamba Hills (Figure 12 section C)). From the ENE it crosses the largely undeformed  
 484 section of the Bangweulu craton to the ENE verging monoclinical structures of the margin of the Kafue  
 485 anticline and the Bwana Mkubwa (BM) and Kaloko Kopie (Kh) areas. This northeast facing monocline is  
 486 a continuation of the Kawire fault zone of the Konkola area (6b). In the centre of the Kafue anticline is the  
 487 Roan Antelope (RAn) syncline resulting from a southerly verging reverse fault, and then the regional  
 488 western dip of the Lower Roan clastics and Roan carbonate platform into the Kashiba platform (KP) area.  
 489 Deformation of these rocks increases markedly to mylonitic and isoclinally folded marbles to the west  
 490 where they dip sharply beneath the intensely deformed Luamala pelitic basin of Mwashia and Nguba age  
 491 rocks seen in core-hole KE-11. This zone is bounded on the western side by the Luamala thrust (Lmt) where  
 492 Upper Roan carbonates are thrust westwards over Nguba and Kundulungu age stratigraphy (Vajner 1998b).  
 493 The western fold zone of the Kayambe Hills is moderately folded and has an upright deformation fabric of  
 494 varying intensity.

495  
 496 A schematic cross section across the Solwezi Dome and through the location of the KRX082 collar  
 497 (Figure 6a) shows a significant rift basin of half graben character. This interpretation is based on  
 498 the thickening of the Roan syn-rift clastic section from 200m around the Solwezi dome (Arthur  
 499 1974) to a minimum of 1400m (Figure 5b & 6a). The clastic facies at the base of the KRX082  
 500 section is not the characteristic conglomeratic section at the base of a typical Lower Roan profile  
 501 and suggests at least another 100-500m of clastic section is likely. Implying a potential thickness  
 502 of the order of ~1.5-2 km of Lower Roan syn rift sediments. Also shown schematically in the  
 503 profile is the allochthonous section of high-grade, garnet amphibolite rocks. These high-grade  
 504 metamorphic rocks are interpreted as being derived from a basin to the south of the Solwezi dome  
 505 and emplaced over the dome's basement as large nappe structures. These structures were later

506 elevated by a thick-skinned basement thrust that creates the present Solwezi Dome (Figure 6a). A  
507 partial and total restoration of the section shows these rocks coming from a larger basin to the  
508 south. A feature coherent with the quantitative subsidence analysis results of highly extended crust  
509 and a deep basin to the south.

510

511 Similar to the core and outcrop-based rift interpretation of Solwezi, the Konkola post stack depth  
512 migrated seismic data reveals a rift basin of half graben geometry (Figure 6b). The NNW-SSE  
513 profile across the Kawiri sub-basin (Figure 6b) shows a basement rooted thrust fault elevating a  
514 Lower Roan half graben along the northern flank of the Kafue Anticline. The structural setting of  
515 the half graben, in the hangingwall of the Kawiri reverse fault with the Roan section interpreted as  
516 thickening into the fault, indicates that the thrust reactivated an earlier Lower Roan extensional  
517 fault dipping to the SSW. The reactivated Kawiri fault continues to the SE and joins the Kafue  
518 fault zone that elevates the basement to form the Kafue Anticline as interpreted by Coward & Daly  
519 (1984). To the north the Katangan section is shown elevated by a blind basement thrust that also  
520 elevates and forms the exposed Konkola Dome to the NW. The rift basin interpretations from  
521 Solwezi and Konkola, are both data driven examples of rift basin geometry and stratigraphic  
522 change in the Katangan Basin. They complement the results of the quantitative crustal extension  
523 analysis by showing the structures resulting from the crustal extension. Both examples also exhibit  
524 the later impact of basement involved thrust tectonics and rift basin inversion.

525

526 These same structural themes occur to the southeast of Solwezi and Konkola and are shown in the  
527 schematic cross section of figure 6c. The section runs from east to west, from the margin of the  
528 Bangweulu craton to the edge of the Hook Granite Batholith and is also discussed in Section 4.1.  
529 The section shows the rift margin of the eastern flank of the Kafue Anticline inverted along the

530 east facing Kafue fault zone, the Kafue Anticline and the Kabisha platform of deformed Upper  
531 Roan carbonates (Gignoux, 2000) (Figure 6c). To the west this elevated section dips abruptly into  
532 the intensely deformed and tightly folded but poorly exposed rift basin of the CRZ comprising a  
533 thick, highly deformed section of phyllites whose protoliths are interpreted as Upper Roan and  
534 Mwashia in age (Vajner 1998). The western margin of this CRZ is marked by the Luamala Thrust  
535 (Vajner 1998) that emplaces the thick rift sequence westwards towards the Kayamba Hills fold  
536 belt that includes much younger stratigraphy (Figure 6c). This inverted rift interpretation is  
537 compatible with the well exposed sections from each margin. The Kabisha Carbonate platform  
538 displays the regionally present, intense, bedding parallel deformation fabric and localised isoclinal  
539 folding of bedding. This early fabric is folded by NW/SE trending open fold structures. Given this  
540 compelling evidence of Tonian rift basin formation, and later intense inversion of the CRZ, we  
541 now outline our chronostratigraphic interpretation of the basin and how that supports the rift model  
542 and shows the basin development through time.

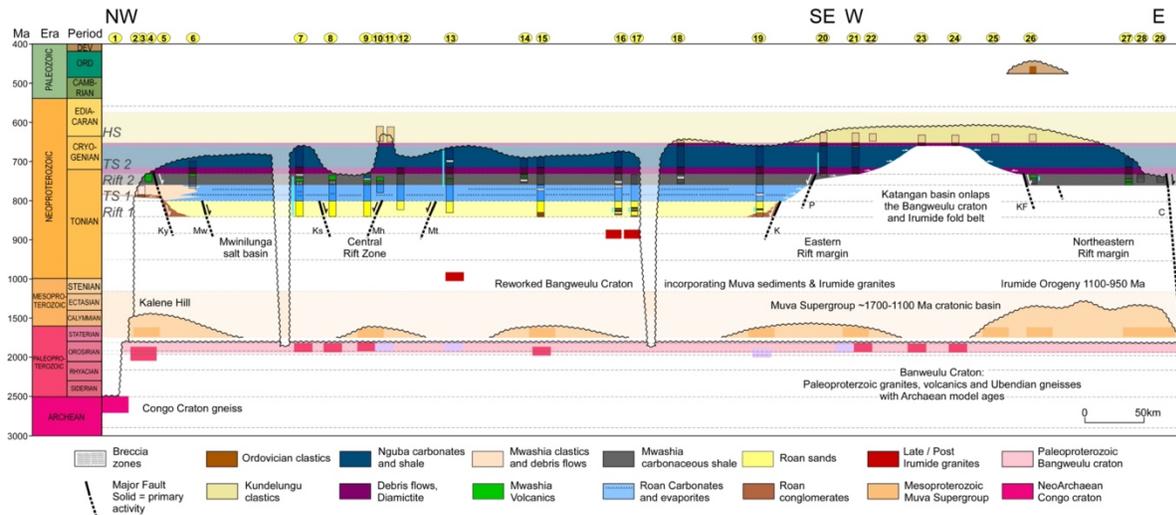
543

### 544 **3.2 Basin Chronostratigraphic summary**

545 The two Tonian rifting events, and their subsequent periods of thermal subsidence generated and  
546 accommodated the Katangan Supergroup. The subsequent, and genetically poorly defined  
547 Kundulungu Group does not appear to have a rift origin. Its subsidence profile (Figure 5c) is  
548 interpreted here as a result of marginal load driven subsidence. The stratigraphic impact of these  
549 subsidence events is shown in the tectono-stratigraphic chart of figure 7 highlighting the major  
550 stratigraphic and structural features that have resulted from the formation of the basin. The section  
551 is drawn broadly west to east and is based on data from fieldwork and a series of publicly available  
552 well logs. The profile lies to the north of the Central Rift Basin (CRZ) where the constraints on

553 stratigraphic age and distribution are best available. Within the CRZ the degree of deformation  
 554 and metamorphism also make stratigraphic correlation extremely difficult and uncertain.

555



556

557

558 **Figure 7. Katangan basin chronostratigraphy.** A chronostratigraphic profile from west to east that shows  
 559 the basic form of the Katangan basin: the Congo craton; the narrow Mwashia rift in the west; the northern  
 560 rift basin with its eastern margin onlapping the Bangweulu craton; the Mwashia aged rift on the eastern side  
 561 of the Bangweulu craton.

562 Section 'fence post' localities: 1. Jimbe Bridge; 2. Kalene Hill; 3. Luawu Mission; 4. Sakeji River; 5. Nzilo  
 563 basin; 6. Mwinilunga; 7. Kabompo Dome; 8. Kisasa; 9. Mwombezhi Dome; 10. Matebo; 11. Kapiji Village;  
 564 12. Matebo; 13. Kansanshi Mine; 14. Kimabwe; 15. Luswishi; 16. Konkola; 17. Nchanga; 18. Mufulira; 19.  
 565 Mkubwa-Itawa; 20. Lusale; 21. Chief Mtanda; 22. Mombatuta Falls; 23. Milambo; 24. Mafula; 25. Lukulu  
 566 Falls; 26. Kasanka; 27. Chibwa; 28. Chilonga; 29. Danger Hill.

567

568 Traversing from west to east, the chart shows the pyroxene gneiss of the margin of the Congo

569 craton dated as ~2540-2560 Ma intruded by Paleoproterozoic porphyritic granites dated at ~2050

570 Ma (Key et al. 2001). Across the whole profile similar Paleoproterozoic Bangweulu granites and

571 volcanics are the most commonly exposed Katangan basement. In addition, Paleoproterozoic

572 quartz arenites and schists of the Muva Supergroup lay unconformably on the Archaean and the

573 associated Paleoproterozoic granite basement. The Muva quartz arenites and siltstones show no

574 metamorphism or penetrative deformation along the western flank of the Katangan basin and

575 preserve many shallow water sedimentary features such as crossbedding and ripple marks (Key &

576 Banda 2000). At Kalene Hill these rocks are seen dipping gently (5-15') to the east. They are in  
577 turn unconformably overlain by a thin quartz conglomerate of the Katangan Supergroup, thought  
578 to represent an Upper Roan age clastic facies, onlapping the basin margin to the west. The Lwawu  
579 fault and Kanyama faults appear to be active Mwashia aged due to their coeval relationship with  
580 the extensive Lwawu Continental Flood Basalts (CFB) and associated intrusions in the western  
581 margin of the basin. Their age is estimated as ~765 Ma by Key et al (2001). The Kanyama fault  
582 zone marks the western boundary fault of the major Lower Roan rift event and its connected  
583 structure, the Mwinilunga fault zone, marks the western margin of the thick section of the  
584 Mwinilunga Salt Basin (MSB). Indications of salt exist up to ~50 km west of Mwinilunga but to a  
585 much lesser extent than east of this major structure, as demonstrated in the mapping of Liyunga et  
586 al. (2002).

587

588 To the east the section touches the Kabompo, Mwombezi and Solwezi inliers of largely  
589 Paleoproterozoic Bangweulu-aged granites with occasional Irumide age granites (Kang Kang et  
590 al. 2022) and continues to the Kafue anticline. The eastern margin of the Kafue anticline is defined  
591 by the Kafue fault zone which, similar to the Kanyama fault zone, marks the edge of the Lower  
592 Roan basin (Figure 7). To the west of this the Katangan stratigraphy appears to progressively onlap  
593 the Bangweulu craton. At the most central part of the Bangweulu craton, the Petit Conglomerat  
594 and Kundulungu stratigraphy sits unconformably upon the Paleoproterozoic granites of the  
595 Bangweulu craton. The extensive, overlying Kundulungu arenites and shales indicate a third  
596 subsidence event of different and more regional extent than the earlier Katangan episodes,  
597 suggesting a different subsidence process. There is a broad age coincidence with the onset of  
598 compressional tectonic regimes to the east and west along the Mozambique and Namibian margins

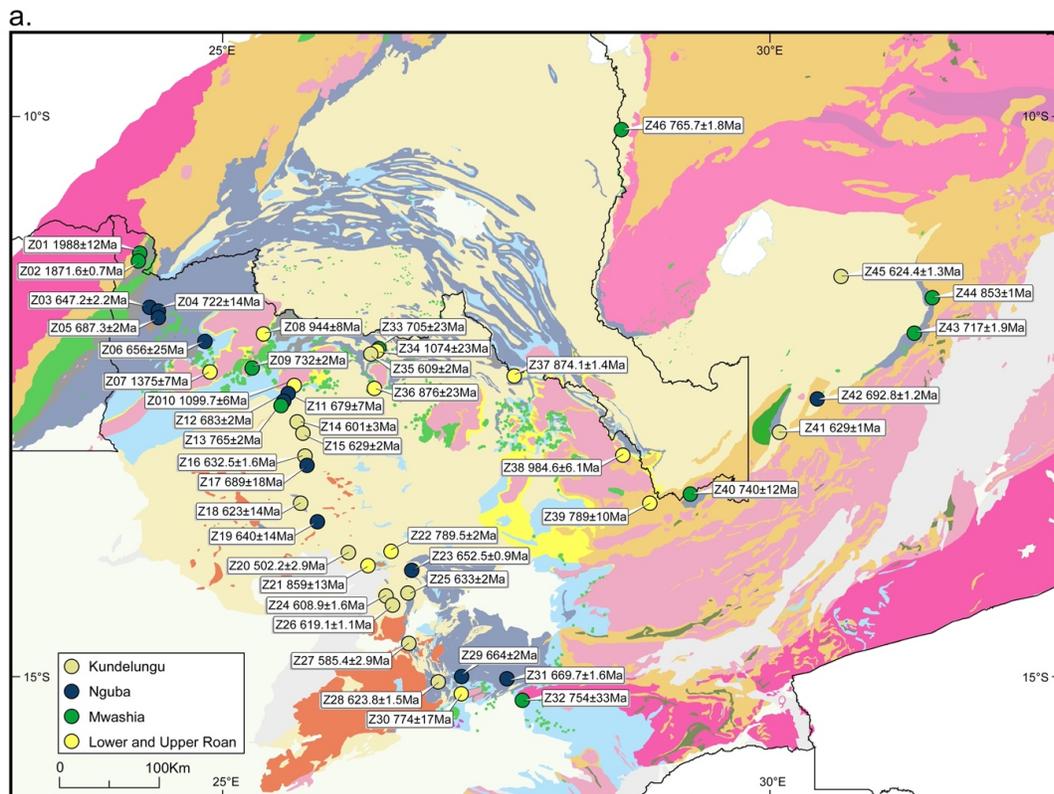
599 (Gascombe, 2020) with Kundulungu deposition. These may have instigated mid-continent, thick  
600 skinned thrusting and associated basin flexural subsidence. However, there is little evidence to  
601 support or deny this proposition. For now, we can be sure that the Bangweulu craton and most of  
602 the Katangan basin experienced subsidence and shallow water clastic and carbonate deposition  
603 after the Petit Conglomerat (Figure 4). What drove that subsidence remains to be established.

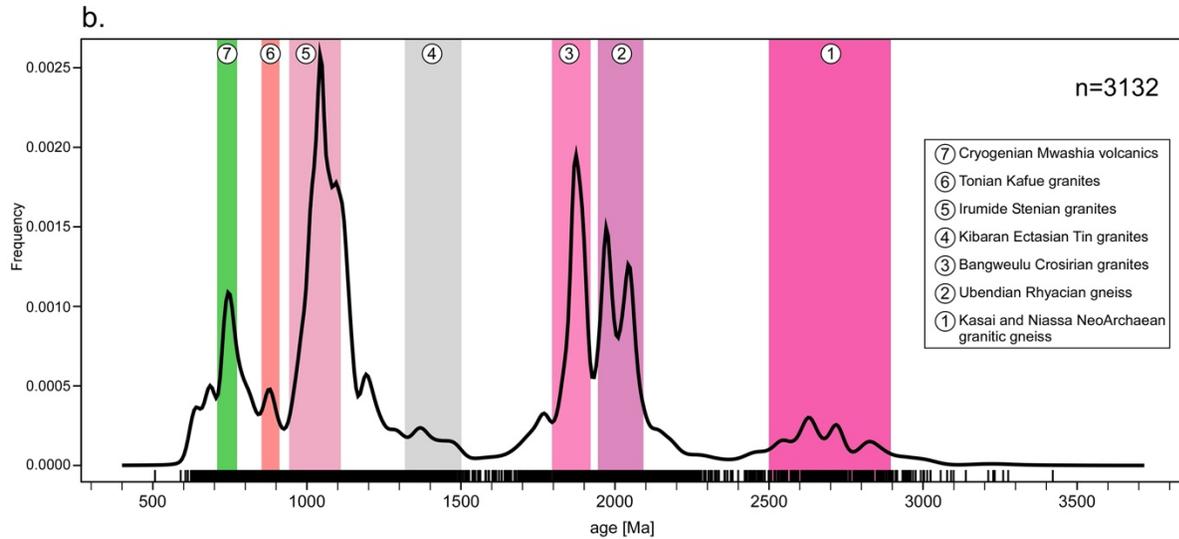
604  
605 To the east of the central Bangweulu craton the Katangan basin reappears with what is interpreted  
606 as Upper Roan sediments and igneous rocks of Mwashia age onlapping from the south and east.  
607 Across the NNE trending Kasanka fault the Mwashia section reappears with extensive basic  
608 volcanics similar to the Nzilo area of the DRC. This Katanga section terminates abruptly due to  
609 uplift along major basement rooted faults of the Chilonga fault zone (Figure 7). The reoccurrence  
610 of Mwashia stratigraphy with coeval basalts and volcanics implies a degree of rifting and  
611 associated subsidence (Figure 5c). This may indicate the beginning of another large Katangan-  
612 connected basin to the east that has subsequently been eroded.

613  
614 Finally, consideration of the basement rocks along the chronostratigraphic profile (Figure 7),  
615 shows the Archaean and Paleoproterozoic basement in the west is overlain by Muva and Katangan  
616 Supergroup stratigraphy. Moving eastwards, the Katangan basin basement, north of the CRZ and  
617 including the basement domal inliers, is dominantly of Paleoproterozoic Crosinian age (De Waele  
618 & Johnson 2007). These observations allow all of the major Zambian and DRC Copperbelt to be  
619 underlain by a Paleoproterozoic magmatic complex contiguous with the Paleoproterozoic granite  
620 and volcanics of the Bangweulu craton. This postulation is compatible with figure 2 and figure 3  
621 and is further supported in the next section.

622  
623 **3.3 Katangan sedimentary provenance and age**

624 In this section we present the results of 3223 zircon U-Pb analyses from 46 field outcrop samples  
 625 collected during five field traverses of the Katangan basin and Bangweulu craton. The specific  
 626 purpose of such a large sample set was to identify, at a regional scale within the Katangan basin,  
 627 evidence of sediment routing and major changes in it, to understand rift and sub-basin-based  
 628 compartmentalization within the basin. The work builds on several publications that have used  
 629 provenance analysis to constrain Katangan basement geochronology and specific aspects of  
 630 Katangan stratigraphic development. De Waele & Johnson (2007) illuminated the evolution of the  
 631 regionally relevant Proterozoic igneous and volcanic rocks and Muva sediments of the Bangweulu  
 632 craton, and more recently (Alessio, B.L. et al. 2019). have used similar analysis to argue for a  
 633 southeastern extension of the Bangweulu craton and Muva Supergroup. Within the Katangan  
 634 basin Kampunzo et al (2005) and Masters et al (2005) have used the technique to constrain  
 635 sediment ages and provenance within specific parts of the DRC.





637

638

**Figure 8.**

639

**Detrital U-Pb Zircon maximum ages and age frequency chart of Katangan stratigraphy.**

640

**8a.** The geological map of the Katangan basin overlain with the U-Pb minimum provenance ages that define a maximum age for the stratigraphic section.

641

642

**8b.** An age - frequency plot from the whole zircon provenance U-Pb age dataset. The plot shows five distinctive age groupings of zircons deposited as a component of the sedimentary fill into the basin. A Neo-archean unit that includes a tail of Meso-archean zircons. These zircons are dominantly found in the west of the basin with one isolated occurrence in the east. A Paleo-proterozoic unit that comprises a broad peak of ages from 1800-2100 Ma with an indication of distinctive contributions of 1800-1900 Ma ages of 1950-2100 Ma ages. These two represent sources from the Ubendian, Rhyacian metamorphic terranes to the north and the 1800-1900 Ma Crosirian, Bangweulu cratonic granites. Thirdly, a small signal of 1300-1500 Ma, Ectasian zircons that appear to be coincident with the Kibaran tin granites of the DRC to the NW. The fourth high-frequency peak is of ~950-1100 Ma derived from the Stenian Irumide, syn and post tectonic granites. The highest frequency peak reflects the granites of the extensive Irumide terrane today exposed as the Muchinga mountain range today. A minor peak follows at 850-900 Ma the before the final, significant peak at 700-800 Ma that indicates a widespread and voluminous mafic igneous event associated with the Mwashia rifting event.

654

655

656

The well-established methodology of preparation, analytical process and isotopic result for each

657

zircon is presented in “Supplemental Data 1: Katangan basin U-Pb Provenance and Age Studies”.

658

The interpretation of the results of the individual outcrop samples is presented and discussed below

659

and supported by figures 8, 9 and 10. The location and the maximum age of source provenance for

660

each of the samples is presented in Table 1.

Sample ID	Analysis	Sample type	Coordinate system	Longitude	Latitude	Lithology	Sample Weights (Kg)	Maximum depositional ages	No. of analysed zircons	No. of zircons used in KDE	Stratigraphy
Z01	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.2732	-11.2357	Hematitic arkosic metasandstone	3.1	1988±12Ma	30	30	Mwashya
Z02	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.2582	-11.3015	Arkosic metasandstone	5.715	1871.6±0.7Ma	100	63	Mwashya
Z03	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.3595	-11.7197	Arkosic metasandstone crosscut by specularite veinlets	7.725	647.2±2.2Ma	62	37	Nguba
Z04	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.4426	-11.7527	Metasandstone with metasiltstone interbeds	2.4	722±14Ma	30	22	Nguba
Z05	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.4403	-11.8113	Hematitic arkosic metasandstone	4.245	687.3±2Ma	100	73	Nguba
Z06	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.8612	-12.0176	Magnetite bearing diamictite	3.5	656±25Ma	80	74	Nguba
Z07	Zircon U-Pb provenance age	Outcrop	LL_WGS84	24.9093	-12.2923	Hematitic meta-quartz arenite	3.5	1375±7	30	29	Lower Roan
Z08	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.4024	-11.9532	Quartz sericite talc schist	4	944±8Ma	101	82	Lower Roan
Z09	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.2964	-12.2597	Meta-sandstone channel in carbonaceous phyllite	9.09	732±2Ma	41	23	Mwashya
Z10	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.68	-12.4059	Hematitic quartz sericite schist with imbricated quartz granules and pebbles	3.7	1099.7±6Ma	105	101	Lower Roan
Z11	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.6262	-12.4642	Quartz biotite schist	3.6	679±7Ma	40	39	Nguba
Z12	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.5885	-12.55	Calcareous quartz biotite schist	3.9	683±2Ma	101	60	Nguba
Z13	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.5576	-12.5832	Porphyroblastic phyllite, biotite porphyroblasts	3.8	765±2Ma	73	12	Mwashya
Z14	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.7054	-12.7303	Hematitic calcareous meta-arenite	3.8	601±3Ma	84	8	Kundelungu
Z15	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.7542	-12.8276	Meta-quartz arenite	3.8	629±2Ma	104	89	Kundelungu
Z16	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.7791	-13.0318	Arkosic metasandstone	4.24	632.5±1.6Ma	100	43	Kundelungu
Z17	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.7976	-13.12	Arkosic metasandstone	4.335	689±18Ma	66	60	Nguba
Z18	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.7396	-13.4572	Arkosic metasandstone	2.575	623±14Ma	67	56	Kundelungu
Z19	Zircon U-Pb provenance age	Outcrop	LL_WGS84	25.8878	-13.8179	Arkosic metasandstone	3.225	640±14Ma	60	45	Nguba
Z20	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.1703	-13.8877	Meta-sandstone	4.495	502.2±2.9Ma	51	23	Kundelungu
Z21	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.3581	-14.0083	Hematitic meta-quartz arenite	2.72	859±13Ma	100	77	Lower Roan
Z22	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.5684	-13.8834	Hematitic meta arenite	4.5	789.5±2Ma	110	108	Lower Roan
Z23	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.7501	-14.0463	Hematitic meta-quartz arenite	6.5	652.5±0.9Ma	111	108	Nguba
Z24	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.5221	-14.2749	Sub-arkosic metasandstone with hematite veinlets	3.51	608.9±1.6Ma	102	91	Kundelungu
Z25	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.7145	-14.2512	Hematitic meta-arenite crosscut by hematite veinlets	2.9	633±2Ma	110	108	Kundelungu
Z26	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.5822	-14.3553	Meta-quartz arenite	8	619.1±1.1Ma	111	111	Kundelungu
Z27	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.7234	-14.6981	Sub-arkosic metasandstone crosscut by hematite veinlets	2.245	585.4±2.9Ma	100	91	Kundelungu
Z28	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.9913	-15.0392	Sericitic metasandstone	3.79	623.8±1.5Ma	100	83	Kundelungu
Z29	Zircon U-Pb provenance age	Outcrop	LL_WGS84	27.2128	-14.9964	Meta-quartz arenite interbedded with phyllite	4.25	664±2Ma	101	91	Nguba
Z30	Zircon U-Pb provenance age	Outcrop	LL_WGS84	27.2055	-15.1477	Quartz muscovite schistose conglomerate	4.365	774±17Ma	100	92	Lower Roan
Z31	Zircon U-Pb provenance age	Outcrop	LL_WGS84	27.628	-15.0167	Hematitic metasandstone interbedded with siltstone	3.31	699.7±1.6Ma	101	68	Nguba
Z32	Zircon U-Pb provenance age	Outcrop	LL_WGS84	27.7619	-15.202	Quartzite	2.865	754 ± 33Ma	60	34	Mwashya
Z33	Zircon U-Pb provenance age	Drillhole	LL_WGS84	26.451887	-12.083702	Calcareous metasandstone	1.77	705±23Ma	60	59	Mwashya
Z34	Zircon U-Pb provenance age	Drillhole	LL_WGS84	26.43214	-12.106977	Metasandstone	3.25	1074±23Ma	60	58	Lower Roan
Z35	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.384078	-12.133312	Quartz biotite schist	9	609±2Ma	110	105	Kundelungu
Z36	Zircon U-Pb provenance age	Outcrop	LL_WGS84	26.412798	-12.433678	Meta-quartz arenite	2.945	876±23Ma	61	55	Lower Roan
Z37	Zircon U-Pb provenance age	Outcrop	LL_WGS84	27.692903	-12.325508	Arkosic metasandstone	5.75	874.1±1.4Ma	100	72	Lower Roan
Z38	Zircon U-Pb provenance age	Outcrop	LL_WGS84	28.6869	-13.0292	Metasandstone	3.915	984.6±6.1Ma	101	89	Lower Roan
Z39	Zircon U-Pb provenance age	Outcrop	LL_WGS84	28.9298	-13.4531	Arkosic metasandstone	5.075	789±10Ma	100	99	Lower Roan
Z40	Zircon U-Pb provenance age	Outcrop	LL_WGS84	29.3021	-13.3767	Cobble meta-conglomerate	5.64	740±12Ma	100	92	Mwashya
Z41	Zircon U-Pb provenance age	Outcrop	LL_WGS84	30.1103	-12.8265	Sericitic metasiltstone	3.825	629±1Ma	100	80	Kundelungu
Z42	Zircon U-Pb provenance age	Outcrop	LL_WGS84	30.4611	-12.5245	Meta-quartz arenite	3	692.8±1.2Ma	111	100	Nguba
Z43	Zircon U-Pb provenance age	Drillhole	LL_WGS84	31.348314	-11.947366	Metasandstone	3.5	717±1.9Ma	105	98	Mwashya
Z44	Zircon U-Pb provenance age	Outcrop	LL_WGS84	31.5152	-11.631	Conglomerate	2	853±1Ma	102	74	Mwashya
Z45	Zircon U-Pb provenance age	Outcrop	LL_WGS84	30.6793	-11.4406	Arkosic metasandstone	4.165	624.4±1.3Ma	101	45	Kundelungu
Z46	Zircon U-Pb provenance age	Outcrop	LL_WGS84	28.674	-10.1416	Metasandstone	4.665	765.7±1.8Ma	100	75	Mwashya

661

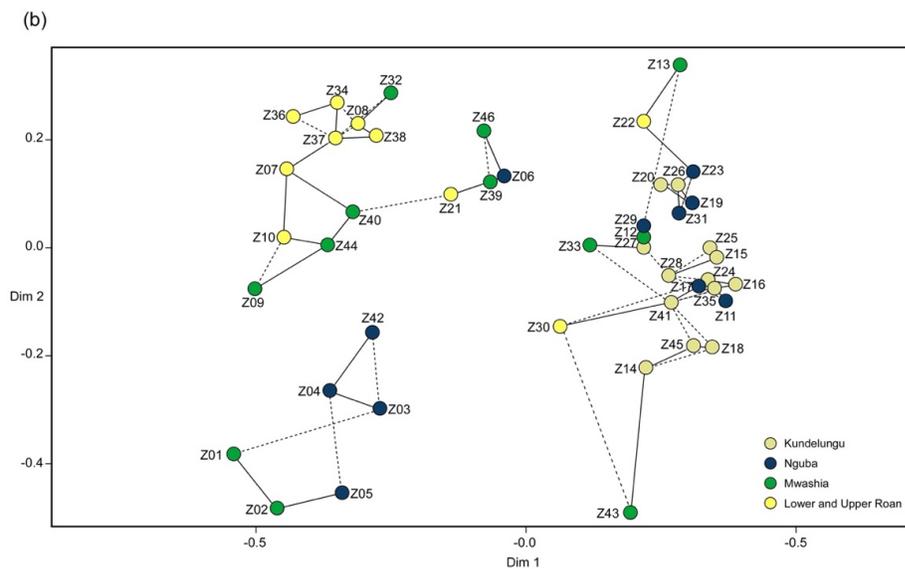
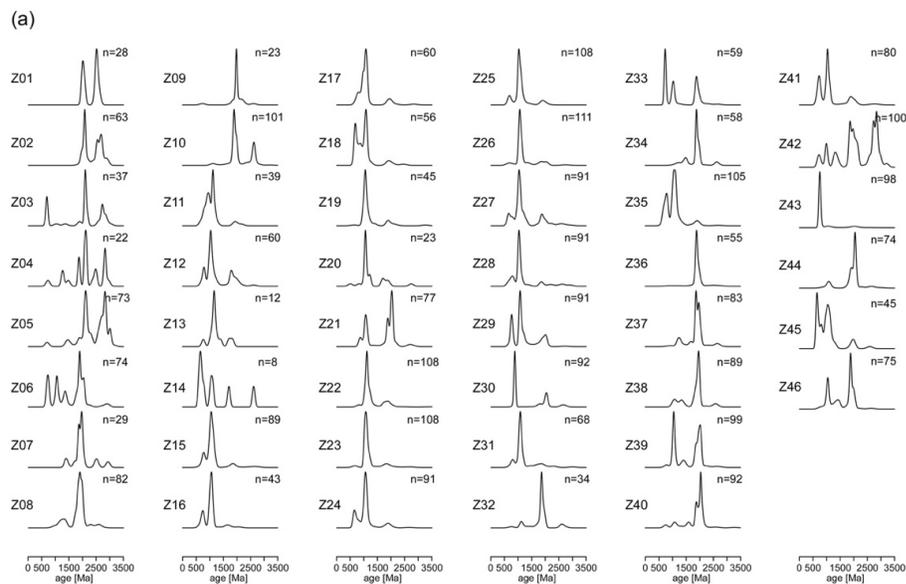
662 **Table 1** The provenance dataset identifying the 46 sample location, rock types and stratigraphy  
663 with the maximum depositional age noted. The number of analysed zircons, and the number used  
664 to define the KDE charts are also specified. This data summary is expanded on in the  
665 supplementary data set available as S2.

666

### 667 3.3.1 Detrital zircons: U-Pb Sediment Provenance and Maximum Age Data

668 Zircon age results and their interpretation are discussed with a focus on sediment maximum age  
669 and provenance to examine the sedimentary routing into the basin. Sediment provenance and  
670 routing can identify the major tectonic boundaries causing basin segmentation. In addition, Table  
671 1 highlights the minimum age of the sample's zircon population and is therefore a constraint on  
672 the maximum depositional age of the rock sampled and a test of the stratigraphic grouping. The  
673 data sampling roughly followed two profiles across the basin, one NW/SE from Jimbe Bridge to  
674 Mumbwa and a second along the basement inliers and domes of the Zambian Copperbelt and

675 northeastwards to Mpika (Figure 8a). The full suite of analytical data is presented in graphic form  
 676 as Kernel Density Estimate (KDE) charts, one showing the entire dataset in a single chart (Figure  
 677 8b) and each sample (Figure 9a). An analytical assessment of the correlation between samples is  
 678 represented on a multi-dimensional scaling (MDS) chart (Figure 9a & 9b). The MDS chart is then  
 679 examined for clustering (Figure 10b) and the geographic implications and routing interpretation of  
 680 the cluster distribution (Figure 10a). The detailed data behind these charts is presented in tabular  
 681 form in Supplementary file (U-Pb zircon database).



**683 Figure 9 Graphical representation of the provenance data.**

684 Figure (9a) shows the kernel density estimates (KDE) of the provenance data, highlighting the frequency  
685 distributions of each of the 46 samples. There are visibly different groupings within the charts that  
686 potentially indicate different provenance areas and different sediment routing systems.

687 Figure 9b examines the visible differences by the multi-dimensional scaling (MDS) of the zircon age  
688 relationships, and divides the samples into three major clusters. Within each grouping, sub-groups are also  
689 recognized that may indicate subtly different provenance changes. At the largest scale, three clusters are  
690 recognized: MDS1, characterised by frequent Neo-Archean ages that end abruptly between the Kabompo  
691 and Mwombezhi domes; MDS2, characterised by dominantly Paleoproterozoic ages of the Bangweulu  
692 craton; MDS3 characterised by both frequent Paleoproterozoic and Mesoproterozoic age zircons.

693  
694 The MDS chart (Figure 9) shows the stratigraphic unit the individual samples originate from. The

695 KDE data displays as three MDS clusters (Figure 9b) implying potentially three gross provenance

696 relationships. Cluster MSD 1 (Z01-05 & Z042 Figure 10) is characterised by a Neo-Archean and

697 a Siderian zircon population peak and a Paleoproterozoic Orosirian (1800 Ma - 2050 Ma) signature

698 of the Bangweulu craton. Five of these Mwashia and Nguba samples are clustered in the far NW

699 of the basin. The other (Z42) is from a location some 500 km to the east (Figure 9a). Given this

700 large separation, we interpret these Neo-Archean and Paleoproterozoic peaks as being sourced

701 from two distinct Archean sources. The five located in the west from the Congo craton of the Kasai

702 area of the DRC and the one in the east from remnants of the adjacent Niassa or Tanzanian cratons

703 (De Waele et al., 2006). The northwestern sourced zircons define a discrete sub basin at the western

704 margin of the Katangan Basin. The Archean footprint reduces markedly at the Kabompo dome.

705 (Z06 & 07) and ends in the area between the Kabompo and Mwombezi domes. This western area

706 we refer to as the Mwinilunga Salt basin and interpret Archaean sedimentary input extended to the

707 Kabompo/Mwombezi Dome area, but not beyond it.

708

709 The second largest MDS cluster (Figure 9b and 10a & 10b, MDS 2) runs from the

710 Kabompo/Mwombezi Dome area eastwards to Mpika along the Zambian Copperbelt and along

711 the eastern edge of the Katangan basin. The provenance area covers the Northern Rift basin (NRB)

712 to the north of the CRZ (Figure 10). Samples of Roan, Mwashia and Nguba are dominated by KDE  
713 spikes in the Paleoproterozoic Crocinian (1800 Ma to 2050 Ma). Subordinate spikes come from  
714 Stenian (1000 Ma -1200 Ma) Cryogenian (850-635 Ma) and Tonian (~780-720 Ma) aged sources.  
715 This distribution indicates an oldest and dominant sediment source from the Bangweulu craton  
716 granite and volcanic rocks. The frequent Stenian spikes reflect the late tectonic granitic magmatism  
717 of the Irumide orogeny (Daly, 1986 & De Waele et al., 2006). As the majority of MSD2 samples  
718 are from the Lower Roan section, and the dominant underlying Katangan basement is of granites  
719 from the Bangweulu Crocinian or Irumide Stenian periods, it may be that these rocks have not  
720 travelled far. Rather, they are the erosional products of syn rift highs within the Katangan rift basin  
721 and have been locally derived. Alternatively, they may have come from the Bangweulu craton core  
722 to the NE where large areas of the Bangweulu craton remained exposed until the beginning of  
723 Kundulungu times (Figure 11c). Facies supportive of both interpretations are recorded in the  
724 Lower Roan.

725  
726 This result implies a primary Bangweulu craton margin and Irumide orogen source for the MDS2  
727 samples, with either a proximal rift basin, basement drainage area dominating source provenance,  
728 or large fluvial drainage and marginal marine systems from the cratonic center to the NE. The  
729 latter implies a large fan of Bangweulu detritus passing southwestwards from the present day  
730 Muchinga mountain area to the Kabompo/Mwombeshi area and the eastern edge of the  
731 Mwinilunga salt basin. Whilst there is a lack of Lower Roan outcrops to be tested in the far west,  
732 Nguba sample Z06 indicates the subdued connection to the Archean rocks to the west. On this  
733 basis we interpret the Bangweulu basin drainage system to have been largely separated from the  
734 Mwinilunga drainage system from the beginning of deposition.

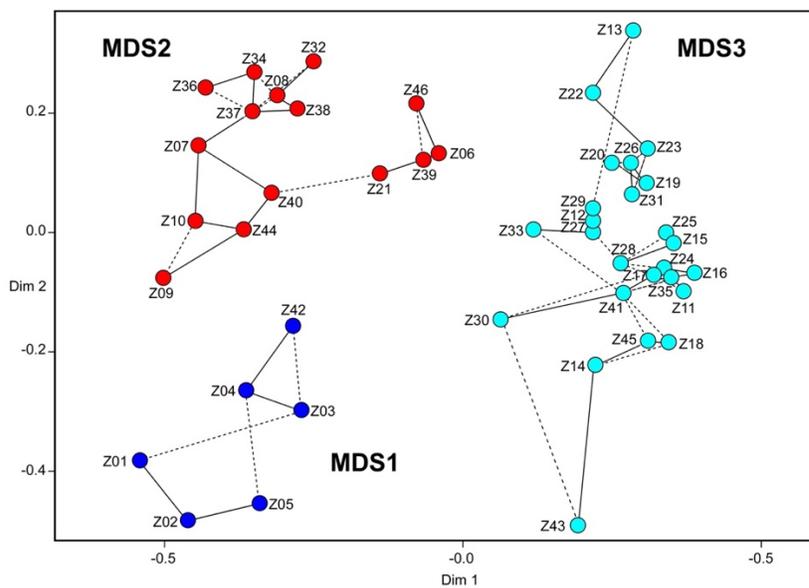
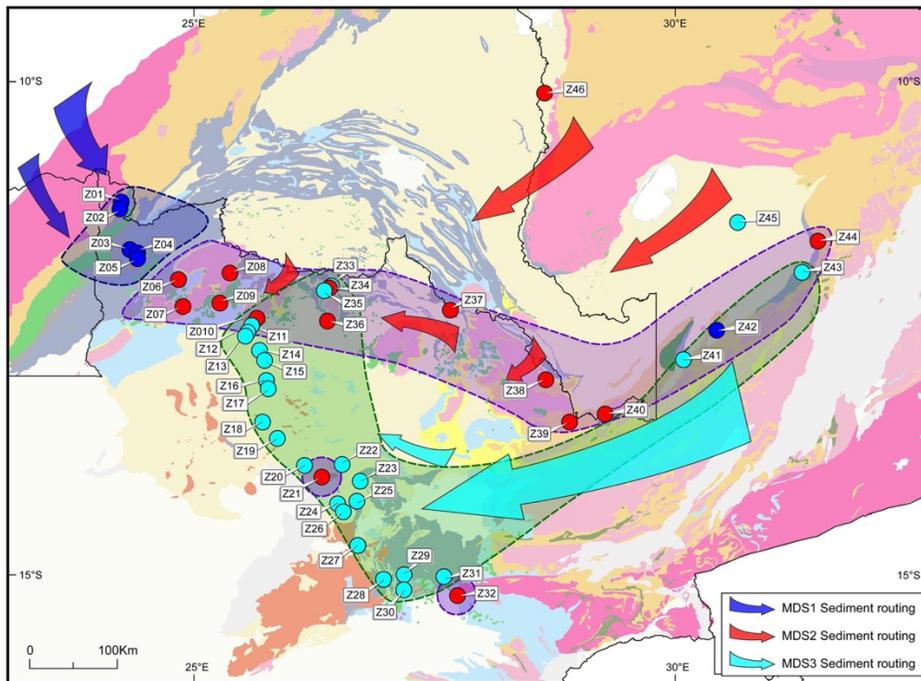
735

736 The third MDS trend (Figure 9 & 10 MDS 3) largely south of the Central Rift basin. Nguba and  
737 Kundulungu clastics are the main zircon source rocks. The dominant characteristic between MDS3  
738 samples is the strong Stenian (1000 Ma -1200 Ma) link of 1000 to 1050 Ma, Irumide age, granite  
739 sourced zircons in samples Z11 to Z35, with two later samples to the NE in sample Z41 from the  
740 Kundulungu Group and sample Z44 from the Mwashia Group. Equally striking is how the  
741 dominant Bangweulu craton zircons of the Northern Rift have all but disappeared to be replaced  
742 by late tectonic Irumide age zircons. Given that by Kundulungu time the centre of the Bangweulu  
743 craton and basin generally (Figure 7) was well buried with little evidence of exposed Bangweulu  
744 basement within it, we have to look outside of the basin to the northeast and east for the source of  
745 these rocks and an exposed area of the Irumide Belt and its late tectonic granites (Daly 1986 & De  
746 Waele et al 2006). These granites are most prevalent in the Muchinga Mountains today and we  
747 postulate that this was the source area for MDS3 zircons transported on proximal river and more  
748 distal marginal marine systems from the exposure of the Irumide basement rocks, possibly due to  
749 the onset of early compressional tectonics (Section 3.1).

750

751 In addition to these three distinct regional provenance and drainage system interpretations, two  
752 local data discoveries are relevant regionally (Figure 10a). Firstly, zircon sample Z21 comes from  
753 a sub-arkosic arenite overlain by a dolomitic section, exposed in a large upright to south verging  
754 fold (Figure 10a). This sample of 108 zircons clusters with MDS 2 and reveals a minimum age of  
755  $859 \pm 13$  Ma. Although the error bar is large, the data indicates that this sub-arkosic arenite outcrop  
756 is a time equivalent of the Lower Roan clastics of the Lower Roan of the Copperbelt. As such it is  
757 the southernmost Lower Roan exposure and the only area in the Southern Rift Basin where Lower  
758 Roan is recorded to date. The overlying dolomite is potentially an Upper Roan equivalent,

759 implying potentially a full Katangan section is possible in the SRB. Such a full section has not  
 760 been previously described or unequivocally discovered by drilling, which perhaps explains the  
 761 under explored nature of this complementary basin to the NRB. Our result argues that further  
 762 stratigraphic research is required in the SRB with a view to testing the deep stratigraphy and the  
 763 presence or otherwise of a deep “Mine Series” Cu plays.



**765 Figure 10. Sediment source areas, routing and potential intra basin boundaries**

766 **10a).** The Katangan basin geological map showing the areas of the three MDS clusters marked on the  
767 associated MDS chart (Figure 10b).

768 **10b)** The areas show paleogeographically the provenance data-clustering and regional relationships and  
769 one pronounced sediment routing boundary. The MDS1 cluster, characterised by frequent Neo-Archean  
770 ages ends in Kabompo/Mwombezhi area. This abrupt termination indicates that sediment sourced from the  
771 west has not been distributed further east than the Mwombezhi dome area. The MDS2 cluster is dominated  
772 by Paleoproterozoic ages of the Bangweulu craton. Finally, MDS3 is characterised by both strong  
773 Paleoproterozoic and Mesoproterozoic frequencies during a mature time in the basin's development.

774  
775 Secondly, a Mwashia age quartz arenite (sample Z32), located about 10 km south of the MBZ and

776 the southern boundary of the basin, reveals a maximum age of  $754 \pm 33$  Ma (Figure 10a). The

777 sample clusters with MDS2 (Figure 10b), suggesting the rock has a provenance compatible with

778 the clastic sediments of the Lower Roan facies, but is younger than the Lower Roan typical of the

779 Katangan basin (Figure 4). The stratigraphic interpretation and its maximum age from zircon

780 provenance analysis, however, suggests the rock represents an extension of the Katangan basin

781 across the MBZ, comprising a similar facies and provenance of younger age. This result will be

782 discussed in Section 3.4 and returned to in the Discussion section.

783

**784 Summary**

785 The above discussion defines three large-scale features of the provenance data: three distinct

786 sediment routing systems and their relationship to the bounding cratons and basement lithologies;

787 a major provenance change across the Kabompo/Mwombezhi area; the possibility of full Katangan

788 stratigraphy in the Southern Rift Basin. For more detailed and precise provenance answers, more

789 locally detailed analyses are required. But for now, this work appears to develop several new

790 stratigraphic and tectonic aspects of the basin.

791

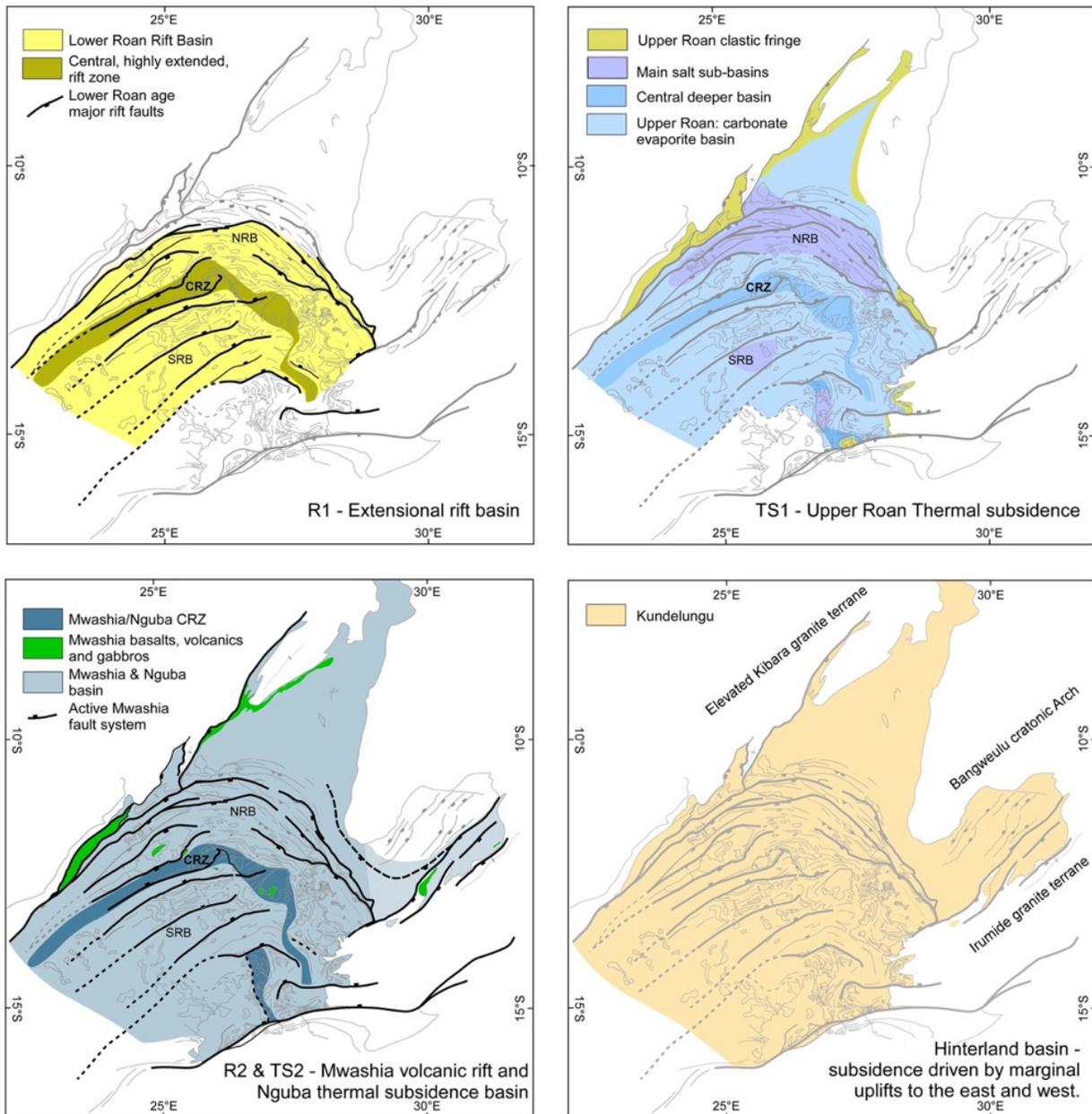
792

### 793 **3.4 Katangan paleographic development**

794 The integration of field mapping, chronostratigraphic relationships, regional geological maps and  
795 the U-Pb zircon provenance data presented above show that the Katangan basin's footprint  
796 widened and deepened with time. This growth is indicated by the extensive onlapping stratigraphic  
797 relationships described and discussed above (Section 3. Figure 7) and was driven by the multiple  
798 basin forming processes and their impact, as discussed in Section 3.1 and figure 5. The subsidence  
799 from the Lower Roan rift basins (RF1) (Figure 11a) and associated Upper Roan thermal subsidence  
800 results in the drowning of the early rift architecture by an extensive marine carbonate-evaporite  
801 system (Figure 11b). This epicontinental environment deepens and widens further with the  
802 Mwashia period of extension (RF2) and the association of an extensive igneous event of extrusive  
803 and intrusive mafic activity with extension implying intraplate geochemistry (Kampunzu et al.  
804 1990) (Figure 11c). This widespread event is most obvious in the basin margin sub-basins and  
805 along the CRZ (Figure 3, 10c & 12).

806  
807 The overlying Kundulungu basin in contrast exhibits little to no unequivocal, intra-basinal, rifting  
808 activity. Its poorly constrained subsidence profiles are consistent with a convex upward profile  
809 that may indicate a load driven flexural basin forming mechanism (Figure 5). The base of the  
810 Kundulungu section is the Petit Conglomerat that lies unconformably on the Bangweulu basement  
811 on the Mporokoso Arch (Figure 7 locations 23 and 24). The time coincidence of the early ~630  
812 Ma age of the initiation of the Kundulungu stratigraphy (Figure 4), and the regionally extensive  
813 Pan African collisions along the eastern margin of Africa is compelling (Goscombe et al 2020).  
814 We therefore link the change in subsidence mechanism, from extension and thermal subsidence to  
815 crustal loading due to crustal thrust elevation of the marginal areas of the Katangan basin, as a  
816 result of distant collisional processes to the east in Tanzania and Mozambique (Goscombe et al.

817 2020). The consequent subsidence resulted in the fine grained and shallow water clastic and  
 818 carbonate facies of the Kundulungu Group. This trend culminates ~70 Ma later with the onset of  
 819 focused north-south closure and deformation of the Katangan basin and the oblique inversion of  
 820 pre-existing extensional faults (Figure 6) and ultimately the expulsion of the basin fill, localized  
 821 metamorphism, and emplacement of the allochthonous nappes to the north of the CRZ.



823 **Figure 11 Basin growth through time**

824

825 Paleo-stratigraphic distribution maps showing the five episodes of Katangan basin formation and the  
 826 expansion of the basin through time. Note Rift basin 2 and Thermal subsidence 2 are included in a single  
 827 11c map. restorations at this time. Abbreviations: NRB Northern rift basin; CRZ Central rift zone, SRB  
 828 Southern rift basin; R1 and R2 Rift basins; TS Thermal subsidence driven basin.

829

830 a). R1 (Rift basin 1) Lower Roan rift basins that developed in the early Tonian, in the period 840-800 Ma.  
 831 This involved a highly extended Central Rift zone and two broad rifted areas to the north and south.

832 b) TS1 (Thermal subsidence basin 1) Upper Roan period of passive thermal subsidence that developed large  
 833 epi-continental carbonate and evaporitic basins to the north and south of a postulated deeper basin resulting  
 834 from the greater Lower Roan extension 800-745 Ma

835 c). R2 & TS2 Mwashia-Nguba basin. Oblique, rift basin deepening and widening in the Mwashia period  
 836 with extensive volcanogenic phases at the basin margins and along the earlier formed Central Rift Zone.  
 837 This period established in a wide, partly sediment starved basin. It includes the 720-660 Ma Sturtian  
 838 glaciation event, and the associated complex of debris flows locally known as the Grand Conglomerate.  
 839 Whole period 745-650 Ma.

840 d) The Hinterland basin of the Kundulungu Supergroup. Given the extent of the basin, the lack of obvious  
 841 rifting events and the indication of the subsidence profile being convex, we believe this basin formed due  
 842 to loading from marginal basement elevations. Such “push down” basins are recognized in Central Asia  
 843 and the North America today and are generated by distant collisional processes that elevate intra-continental  
 844 mountains along crustal scale faults.

845

846 **4. Tectonic Model of the Katangan Basin**

847 **4.1 Introduction**

848 Post-dating the active rifting and passive thermal subsidence processes discussed above, two  
 849 further significant tectonic processes played major roles in the formation of the Katangan basin.

850 Most dominant regionally was the long and incremental process of compressional tectonics, active  
 851 distally throughout the Ediacaran and more intensely and localized during the Cambrian period  
 852 (~550 Ma to 500 Ma). This period culminated in widespread rift inversion, fold and thrust related

853 deformation and local metamorphism of the pre-existing extensional rift system. The basin

854 inversion resulted in basement/cover imbrication and recumbent folding and thrusting of basement

855 lithologies with Katangan metasediments along low angle ductile shear zones and thrust faults

856 developed along the relatively narrow, ~50 km wide zone of the CRZ or high strain zone and

857 metamorphic core (Coward & Daly 1984, Cosi et al. 1992) (Figures 6 & 11). The arcuate form of

858 the Katangan basin’s structure, described as the Lufilian Arc (Doorninck 1928), is defined by a

859 series of thick-skinned, basement involved reverse faults, inherited from the Tonian rift basin  
860 structure. This reactivation of earlier extensional faults is best recorded in figure 6 and whilst thin  
861 skinned, bedding parallel detachments are also evident with large horizontal displacement (Daly  
862 et al 1986, Porada and Berhorst 1990 & Kampunzu 1999). In addition, and arguably the more  
863 complex, was the long-term movement of Upper Roan age salt in the basin. The understanding of  
864 the importance of salt tectonics was first realized by Jackson et al (2002) as a major influence in  
865 the detailed structural architecture of the basin. In this paper we focus mostly on the basin scale  
866 fault structures as the major fluid pathways within the crust and possibly the lithospheric mantle  
867 and include salt activity briefly when directly relevant to an interpretation (eg. Figure 6).

868

869 Regarding the arcuate change of structural trends, from NE/SW in Western Zambia, swinging to  
870 NW/SE in Central Zambia and the DRC, Garlick (1961) and Unrug (1983) argued that the arcuate  
871 shape was the result of major clockwise rotation during the Eo-Cambrian deformation. Unrug  
872 (1983) associated the rotation with large scale north vergent thrust sheets in the Kolwezi area of  
873 the DRC. Based on detailed mine data from the Nchanga and Chambishi area and regional field  
874 data Coward and Daly (1984) and Daly et al. (1984) demonstrated ENE/WSW crustal shortening  
875 by basement involved thick skinned thrusts. These basement rooted thrusts verge dominantly ENE  
876 along the east of the Kafue Anticline and both ESE and WSW along the western margin of the  
877 Anticline. The axial zone between these features has no Lower Roan sediments and basement is  
878 locally onlapped directly by Upper Roan carbonates. Porada, (1989) extended the thrust tectonic  
879 model to the whole Katangan basin, describing it as a northerly vergent, asymmetric fold and thrust  
880 belt and adopting an Alpine asymmetric model for the basin. Cosi et al (1992) increased the thrust  
881 tectonic understanding with the recognition of basement cover imbrication in the

882 Kabompo/Mwombezhi area. Kampunzu & Cailteux (1999) further extrapolated the model in detail  
883 to the DRC, interpreting thin skinned detachment folds and thrusts. Porada and Berhorst (2000)  
884 further developed their Alpine thrust based deformational model that still prevails today (Selley et  
885 al 2006 & Eglinger et al. 2016)

886 The next major tectonic insight in the basin came with the recognition of widespread salt tectonics  
887 in the NW DRC part of the basin. The interpretation of mapped geometry and the extensive  
888 breccias as a result of thick, salt related welds associated with extensive salt movement was  
889 developed by Jackson et al (2003). Using geometric analogues from modern salt basins, Jackson  
890 et al. (2003) interpreted the complex structural geology of the western DRC as product of northerly  
891 vergent thrusts and of large volume salt migration. Salt as the tectonic driver of much of the rock  
892 deformation in the DRC Katangan basin has been supported by the schematic sections of Selley et  
893 al (2018) and detailed structural work of Twigg (2020). The latter showing the link between deep  
894 faults and salt perturbation and migration.

895  
896 Taking a metamorphic perspective on the deformation of the Katangan basin, Johns et al (2004)  
897 concluded that a deformation and metamorphic phase of high-pressure amphibolite facies  
898 conditions existed in the Domes region of Western Zambia. They attributed it to crustal thickening  
899 of the Katangan section resulting in pressure temperature conditions of about 700°C and 10 kbar  
900 (Johns et al 2003 & 2004). They interpreted the crustal thickening and pressure as a result of  
901 continental collision and subduction at about 530 Ma. Whilst the scale of their estimated PT  
902 conditions may have been accentuated by brine conditions (Zimba 2015), nonetheless the  
903 petrological evidence for a basin inversion event in the Kabompo, Mwombezi, Solwezi and  
904 Luswishi domes area and the western margin of the Kafue anticline around 530 Ma and localized  
905 along the previously formed CRZ, is compelling.

906

907 To understand these complex and conflicting approaches to the deformation processes of the

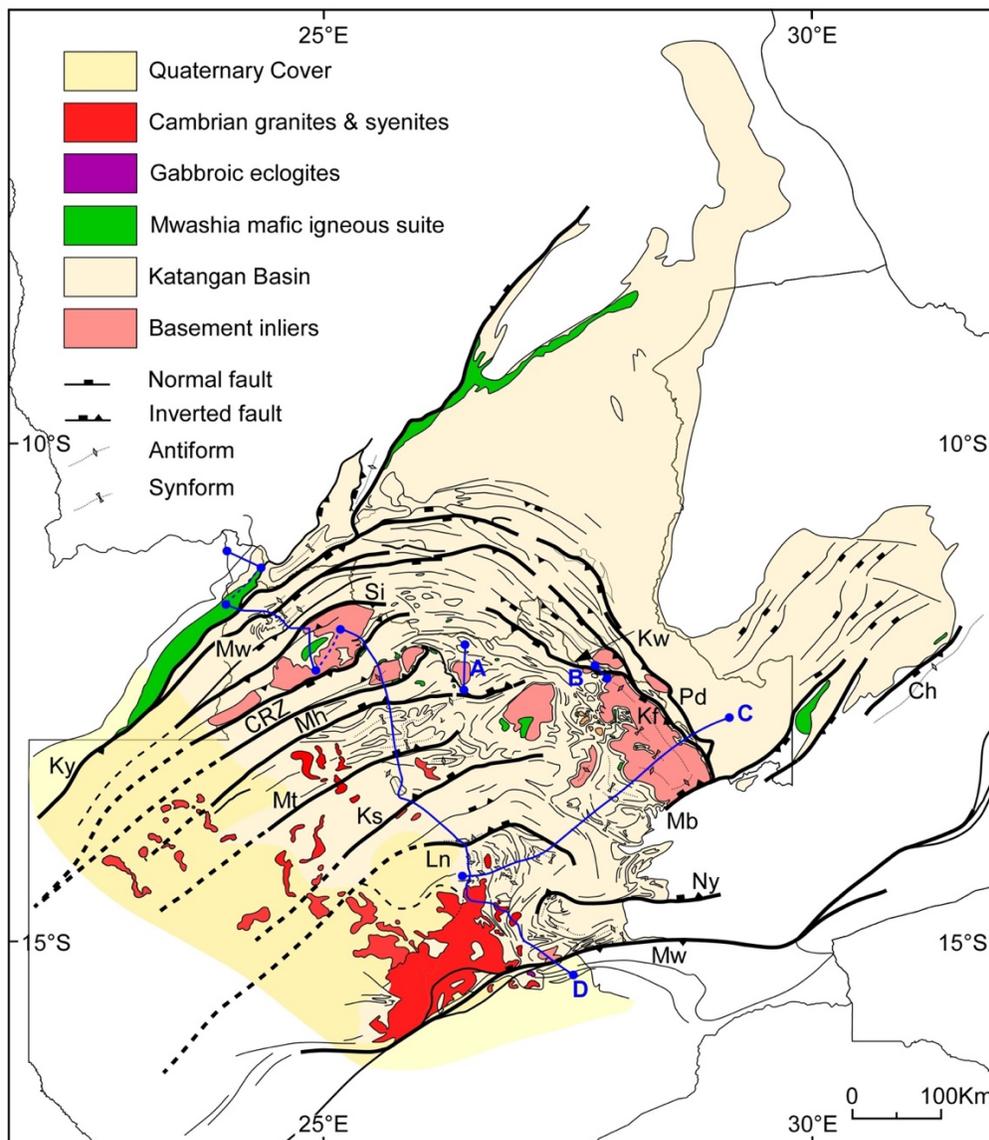
908 Katangan basin and ultimately the formation of fluid pathways of the basin, we will take a domain

909 approach to the basin, defining areas of comparable rift, salt and inversion tectonics and their

910 boundaries. The three deformational processes are intricately linked and are discussed as an

911 integrated tectonic model that can be tested as the quantitative geological and geophysical database

912 of the basin grows with time.



913

914

915 **Figure 12. Katangan Basin Structural Map**

916 Structural trend and fault map of the preserved portions of the Katangan basin, built from Geological  
 917 Survey Department geological (Thieme & Johnson 1981) and field work undertaken during five field  
 918 regional traverses undertaken between 2016 and 2021. The map highlights the major structures, basement  
 919 inliers, representative basaltic, gabbroic, and andesitic volcanic units, and syn and post tectonic granite  
 920 intrusions. Regionally significant fault zones and bedding trends are marked and define the Tectonic  
 921 Domains discussed in the text. The blue lines A, B, C & D indicate the location of the four cross sections  
 922 shown in figures 6 and 13.

923 Initialized are the major fault zones of the basin: Kf Kafue; Ks Kasempa; Kw Kawire; Ky Kanyama; L  
 924 Lungu; Mb Mubulashi; Mh Maheba; Mt Matebo; Mw Mwinilunga; Mw Mwembeshi; Ny Nyama; Pd  
 925 Pedicle; Si Sialinga. The Central Rift Zone is labelled CRZ in the west.

926

927

928

929 **4.1 Katangan Basin deformation and the role of the rift basin faults**

930

931 The regional understanding of the Katangan basin's deformation is highly variable, with great

932 detail available in the mined areas and much less data elsewhere. The regional perspective

933 presented here is portrayed in the structural elements map of figure 12. The map has been built

934 from Geological Survey Department geological and aeromagnetic maps (Thieme & Johnson 1981)

935 and field work undertaken during five field regional traverses undertaken between 2016 and 2021.

936 Figure 12 shows the present-day area of the basin underlain by Katangan stratigraphy together

937 with the major fault zones, structural form-lines, basement inliers and the large volumes of Tonian

938 basic rocks and the Ediacran and Cambrian Hook granites. Structural form lines and associated

939 faults give an impression of the structural style of the basin, its regional anisotropy and major

940 discontinuities. Many of the major fault zones were formed in the rift phase of the basin and control

941 the later structural form that has resulted in the basins arcuate shape.

942

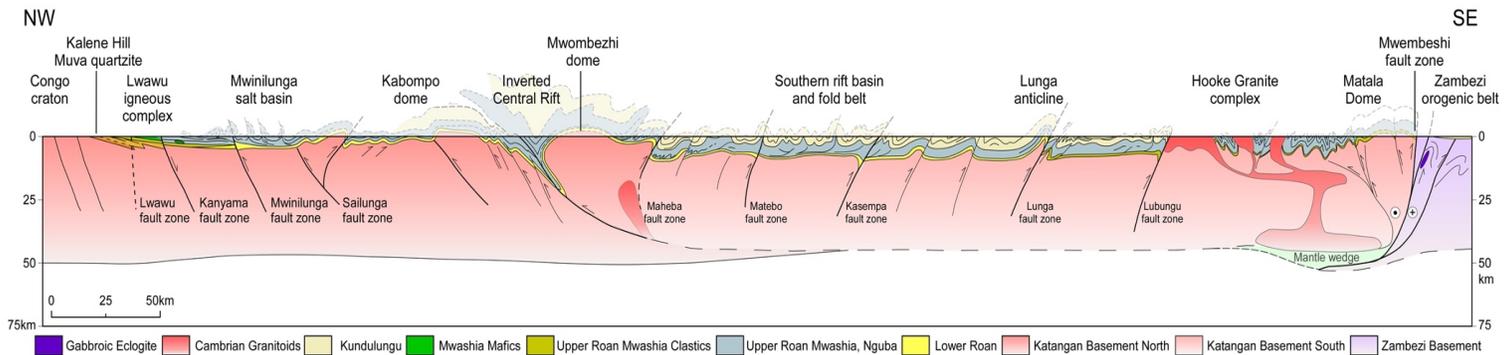
943 The western boundary of the basin is defined by the Katangan sedimentary section onlapping onto

944 Mesoproterozoic Muva stratigraphy (Figure 6) and underlying Paleoproterozoic and Archaean

945 basement (Key et al). Close to this onlapping margin are the Lwawu, Kanyama and Mwinilunga

946 fault zones (Figure 12 & 13), the latter two broadly traceable as fault zones to the NW/SE trending

947 eastern margin of the Kafue Anticline. These features transfer into a series of faults that define the  
 948 SW boundary zone of the basin (Figure 12). Within the basin a complex series of rift basins are  
 949 defined, the deepest and most pronounced but also the least understood due to later deformation,  
 950 occur associated with a series of basement dome inliers.



951  
 952 **Figure 13. Basin cross section.**

953 A geological cross section of the Katanga basin, from Jimbe Bridge in the NW to the Mwembeshi Fault in  
 954 the SE. The section shows the Katangan sediments onlapping the western basin margin. The Lwawu (Lw),  
 955 Kanyama (KF) and Mwinilunga (MF) fault zones define the 100 km wide, craton to rift basin transition  
 956 zone. The Lw fault appears to be the source the extensive Mwashia continental flood basalts (CFB) that  
 957 occur along the western margin of the basin. The Kanyama fault defines the point of Katangan sediments  
 958 thickening into the basin and the Mwinilunga fault the edge of the thick salt basin that is the continuation  
 959 into Zambia of the Kolwezi salt basin to the north (NRB). The ~50 km wide, intense deformation zone of  
 960 the Kabompo and Mwombeshi domes is interpreted as the Central Rift Zone (CRZ), a continental suture  
 961 zone that marks the boundary between regionally thick >45 km crust to the west and thinner <45 km crust  
 962 to the east. The folded Southern Rift basin (SRB) are interpreted as a regularly spaced series of inverted,  
 963 NW dipping extensional faults, with the Lunga fault elevating the only outcrop of Lower Roan arenites in  
 964 this central area. The Lunga fault also marks the start of the deformed Nguba section of the Kayambe Hills,  
 965 the western side of which is a slate and phyllite belt north of the Mwembeshi where the Hooke granite  
 966 cupolas and veins invade the phyllitic Katangan metasediments. The southern basin boundary is marked by  
 967 the northerly dipping fabrics of the Mwembeshi fault zone and the eclogitic gabbroic intrusions of the  
 968 Zambezi orogen.

969  
 970 The primary rift fabric and bounding faults are shown in Figure 13 as a regional, composite  
 971 geological cross section that offers a vertical dimension to the geological map. There are little data  
 972 to constrain the section at depths beyond a kilometre. The figure uses CRUST1.0 (Laske et al.  
 973 2013) to define the moho across the area and on this adds field data across the basin in a NW/SE  
 974 profile broadly along the profile shown in figure 12. Figures 12 and 13 and their underpinning

975 geology enable the breakdown the Katangan basin into six structural domains (Figure 14) that  
976 enable a coherent and comparative discussion of the basin's present structure and tectonic history.

977

978

#### 979 **4.1.1 Domain 1: The Northeastern Katangan basin (NKB)**

980 The Northeastern part of the Katangan basin extends into the areas of the Binda and Kundulungu  
981 plateaux of the DRC and the Mporokoso basin and Bangweulu craton of Zambia (Figure 14c).

982 Whilst minor folds and thrusts of the Katangan and Kundulungu stratigraphy are developed within  
983 this large region, the sequence is largely characterized by sub-horizontal to gently dipping  
984 Kundulungu Supergroup formations and a lack of penetrative deformation, metamorphism or  
985 evidence of regional salt movement. Aeromagnetic data imply a strong NE/SW fabric beneath the  
986 Kundulungu Group on the Bangweulu craton (Figure 14c), likely reflecting Irumide basement  
987 fabrics beneath the Kundulungu cover.

988

989 At the outer edges of this northern extension are a series of Mwashia aged sub-basins that are  
990 discussed here as Domain 2. In the middle of the domain a NE trending and SW plunging  
991 basement arch, the Mporokoso Arch, occurs within the Bangweulu craton (Figure 14c). To the east  
992 the Nguba and basalt bearing Mwashia section reappears towards the Domain 2 basin at the  
993 Kasanka and Chilonga Mission areas (Figure 4, 6 & 7 locations 26 & 27) showing a westward  
994 onlapping relationship and sedimentary thickening to the east until the section is uplifted, eroded  
995 and not seen further east due to elevation of the Muchinga mountains.

996

#### 997 **4.1.2 Domain 2: Mwashia, Basin Margin sub-basins**

998 The NW and SE margins of the Katangan basin are characterized by a series of fault related sub-  
999 basins of dominantly Upper Roan, Mwashia and younger stratigraphy and are the sites of extensive  
1000 basaltic volcanism and gabbroic intrusions (Kampunzu et al. 1990). Along the SE margin the  
1001 basins are characterized by a westward onlapping, eastward thickening Katangan section (Figure  
1002 6) with extensive basic igneous rocks recorded in the proximity of the Chilonga Mpika (Figure 4  
1003 & 7 location 28) and Kasanka (Figure 7 location 26). This section terminates eastwards due to a  
1004 series of NW facing monoclinial, basement cored folds that define the eastern edge of the basin  
1005 today (Figure 7 & 13). A series of southeast dipping reverse faults along this margin elevate the  
1006 Mesoproterozoic Irumide basement. These monoclines are interpreted to be a result of the  
1007 compressional reactivation of earlier, down to the east, Mwashia age normal faults formed during  
1008 the Katangan RF2 extension.

1009

1010 On the NW basin margin several comparable Mwashia age basins exist, fault and fold defined and  
1011 with structural and stratigraphic similarities including extensive volumes of Mwashia basaltic  
1012 volcanics and gabbroic intrusions. The rifts of this Domain are developed west of the Kanyama  
1013 fault (Figure 1, 7 & 14). In Zambia, west of the Lwawu fault, Katangan sediments onlap the  
1014 Proterozoic and Archean basement in the Kalene Mission area. In the DRC the NW margin of the  
1015 basin Upper Roan and Mwashia stratigraphy onlaps the Mesoproterozoic Kibara Mountains.  
1016 Francois (1987) mapped a similar southeast facing monoclinial relationship with Mwashia  
1017 correlated rocks overlying basement as discussed for Zambia. The 20-60 degree dips of bedding  
1018 to the SE indicates significant basement elevation, interpreted as a series of reverse, fault driven  
1019 monoclinial folds of basement. This complex inverted margin is epitomized by the southern Nzilo

1020 dome area where Mwashia and Nguba aged debris flows are well described against a large  
1021 basement rooted fault zones (Twite et al 2017).

1022  
1023 This basin margin Domain of Mwashia age rift basins (Figure 4 & 14) has extensive basaltic  
1024 volcanism and intrusions (Figure 12) and no clear evidence of significant volumes of salt. Locally  
1025 the basins are developed upon coarse clastic sections of potentially Upper Roan and Mwashia age.  
1026 The associated igneous activity suggests deep rooted basement fault systems were active on both  
1027 the western and eastern faulted margins. This Domain is also characterized by mild basin inversion  
1028 and local folds, often driven by elevation of the large, basement cored, monoclinical folds that define  
1029 the present-day basement – basin cover contact (Figure 12).

1030

### 1031 **4.1.3 Domain 3: Inverted Northern Rift and Salt Basins (NRB)**

1032 Domain 3 encompasses a large area of inverted northern rift basins (NRB) and extensive salt  
1033 tectonics lying to the north of the CRZ. Although representing a contiguous tectonic domain, four  
1034 subdomains are outlined based on the degree of the basement involved inversion (Figure 14, 3a &  
1035 3d) and different degrees of salt activity (Figure 14, 3b & 3c). The unifying feature of Domain 3  
1036 are the inverted rift basins that has also experienced various styles and degree of salt tectonics  
1037 locally. We introduce two new observations of salt activity, one interpreted from seismic reflection  
1038 data in the Konkola area (Figure 6a) and field mapping in Mwinilunga (Figure 15). Otherwise, this  
1039 brief description leans heavily on the literature of Jackson et al (2002), Selley et al. (2018) and  
1040 most recently Twigg (2020). Each of the four sub-domains of the northern rift basin is discussed  
1041 below.

1042

#### 1043 **Sub-Domain 3a: The Inverted Northern Rift Basins and Fold Belt**

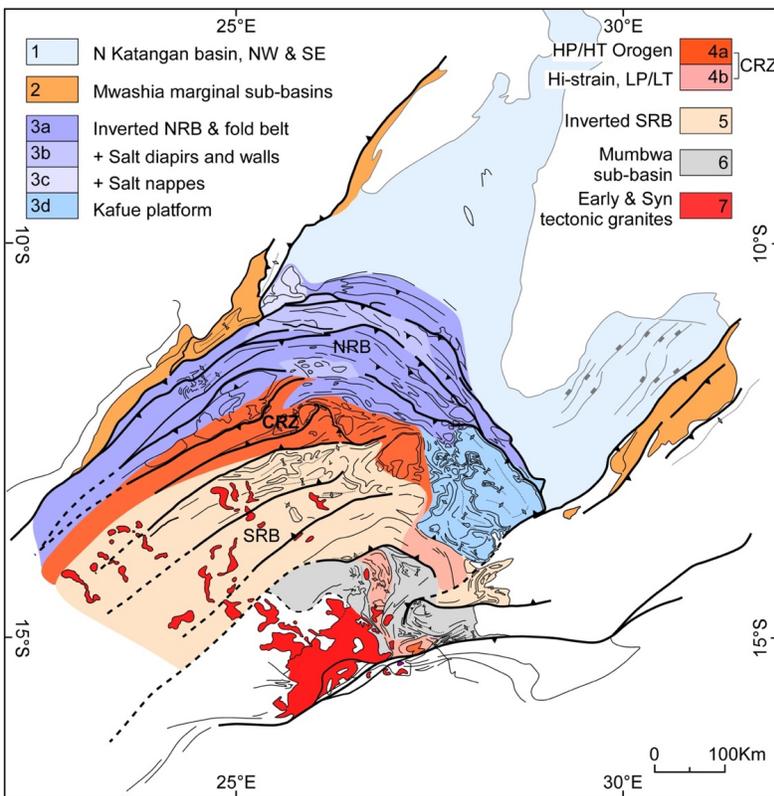
1044 Along the SW margin of the Bangweulu craton lie two long, NW trending, basement rooted fault  
1045 zones, the Kafue Anticline Fault (KAF) and the Pedicle Fault (PF). These fault zones typify the  
1046 major structures of the NRB. They are interpreted as basement rooted extensional faults (Figures  
1047 6b, 7, 12 & 14) reactivated into reverse and oblique-reverse structures during Ediacaran-Cambrian  
1048 deformation. The KAF elevates the large and complex Kafue Anticline (Coward and Daly (1986)  
1049 and links to the Kawira fault (Figure 6) and to the very far west, the Mwinilunga fault (Figure 6b  
1050 & 14). Outboard of this lies the basement rooted PF that creates the Mokambe dome and can be  
1051 traced through the DRC to link with the Kanyama Fault Zone (Figure 1 & 14). In the hangingwall  
1052 of these faults a full Katangan section occurs along the eastern margin of the Kafue anticline with  
1053 the Lower Roan locally pinching out to the west over the centre of the Kafue anticline and  
1054 onlapping basement (Garlick 1961). The Roan syn-rift sediments and basin also onlap to the east  
1055 and terminate east of the of the PF. The Lower and Upper Roan carbonates are not represented at  
1056 the center of the Bangweulu craton as shown in figure 7.

1057  
1058 Coward & Daly (1986) interpreted the Kafue Anticline as the product of the KAF, a SW dipping,  
1059 NE facing, blind, basement cored thrust fault. To the NW the KAF zone continues for several  
1060 hundred kilometres and broadly links with the Mwinilunga fault zone (Figure 14). A major play  
1061 of this fault, the Kawire Fault (Figure 14) defines the NW termination of the Kafue Anticline.  
1062 This SSW dipping, basement rooted, inverted extensional fault is based on seismic reflection data  
1063 (Figure 6a) showing it as an integral component of the early Kafue Anticline extensional fault  
1064 system. The more easterly Pedicle Fault zone (PF) elevates the Mokambe dome, and to the SE  
1065 links with the KAF that defines the eastern edge of the Kafue anticline, becoming a part of this  
1066 major system of blind basement rooted faults (Figure 12). Similar inverted extensional fault  
1067 geometry interpretations can be applied to the Kafue Anticline as a whole, with the frequent reverse

1068 facing folds being a reflection of original antithetic extensional faults formed on the major SW  
 1069 dipping structures.

1070

1071 Sub-Domain 3a continues to the northwest beyond where the Kafue Anticline plunges to the NW  
 1072 and, after the Luina dome, basement inliers no longer appear. These major linear fault systems that  
 1073 define the Zambian Copperbelt continue to the NW for >250 km (Selley et al. 2006 & 2018) and  
 1074 are traceable as continuous, non-cylindrical, surface fold and thrust structures. Two major features  
 1075 stand out in this fold and thrust belt. Firstly, the length of fault zones defined by contiguous  
 1076 individual fault structures, that occur as long, continuous, NW/SE trending fault zones from south  
 1077 of Ndola to Kambove for over 250 km. Linear fault zones of this continuity and scale suggest a  
 1078 deeply penetrating, thick-skinned, basement rooted fault zone penetrating deep into the crust  
 1079 (Cowie & Scholz 1992).



1080

**1081 Figure 14 Katangan Basin Tectonic Domains**

1082 The tectonic evolution of the Katangan basin is captured as 6 discrete tectonic domains. The domains  
1083 describe the outcome of a complex and long-lived period of inter-cratonic, Katangan basin formation, rift  
1084 basin inversion and localized orogenic deformation. The domains of the Northern rift basin (NRB),  
1085 Southern rift basin (SRB) and Central rift zone (CRZ) are labelled.  
1086

1087 The seismic image of the Kawiri fault, north of the Kafue Dome (Figure 6a), shows basement  
1088 elevated on a pre-existing normal fault. This basic structural model applies to the length of the  
1089 Kafue fault along the eastern margin of the Kafue Dome. To the NW of Konkola the basement  
1090 exposures cease and there is little evidence of major basement elevation or involvement. Yet the  
1091 same long curvilinear fault zones continue to the NW and west and are characterized by extensive  
1092 and complex structuring. As with the Kawiri interpretation (Figure 6a) we interpret the structures  
1093 of the DRC as salt related features, localized and triggered by movement on the regionally  
1094 continuous basement faults of the Kafue/Mwinilunga and Pedicle/Kanyama fault zones (Figure 1,  
1095 2, 5c & 10). This interpretation is consistent with the work of Jackson et al (2003), Selley et al  
1096 (2018) and in more detail, Twigg (2020), who shows clearly the extensional fault triggered and  
1097 salt driven structural complexity in the vicinity of Kambove.

1098  
1099 The continuous anticlinal structures that lie along the surface trace of the Mwinilunga /KAF and  
1100 KY/PD fault zones for more than two hundred kilometers indicate that the fault zones are crustal  
1101 in scale. Given the crustal penetration, any significant displacement on these faults would be likely  
1102 to elevate basement structure, as seen in the domes of Solwezi and Konkola (Figure 5a & 5b). In  
1103 the DRC the structural complexity of the faults appears to have a different origin. This lack of  
1104 significant basement elevation can be explained by the pre-existing, rift related basement faults  
1105 triggering and enhancing salt displacement (Twigg 2020).

1106

1107 Porada & Berhorst (2000) interpreted the DRC fold belt as an extensive thin skinned thrust belt  
1108 detached above the basement with over 150 km of shortening. Wendorf (2003), arguing that thick  
1109 breccias are a characteristic of far traveled thrust faults, supported this model on the basis of the  
1110 extensive breccias being fault related. The lack of evidence of basement involvement in the  
1111 structures implies the detachment lay above basement for over 300 km. The alternative explanation  
1112 proposed here, is that the curvilinear, arcuate structural features reflect the pre-existing, basin  
1113 forming, extensional fault system of the Mw/KAF and KY/PD fault zones (Figure 12). This  
1114 distinction is clearly a key issue with regard to regional ore genesis, raising the question whether  
1115 the mines that exist in the sedimentary section are overlying their original, underlying basement  
1116 fault systems, as in our model, or not, as in the Porada & Berhorst (2020) model of large thin  
1117 skinned horizontal displacements.

1118  
1119 Prior to the thick-skinned thrust tectonics and dome formation, an intense bedding parallel  
1120 deformation fabric (S1) developed along the western flank of the Kafue Dome (McGowan et al  
1121 2003). Coward & Daly (1982) described this S1 deformation fabric as an early bedding parallel  
1122 fabric resulting from NE directed basement and cover thrusting and detachment of the Katangan  
1123 section. To the west towards Solwezi and Mwombezhi domes the displacement direction becomes  
1124 more northerly and northwesterly, with comparable S1 mylonitic fabrics associated with the  
1125 emplacement of the Solwezi nappe as a thin, high-grade thrust sheet over what is today the Solwezi  
1126 Dome and Kansanshi mine area (Figure 6a).

1127  
1128 **Sub-Domain 3b Inverted Northern Rift Basins with Salt Diapirs and Walls**

1129 Sub-Domain 3b attempts to cover the parts of the Northern Katangan rift basin where there is  
1130 widespread evidence that there has been an influence of significant salt mobilisation (Jackson

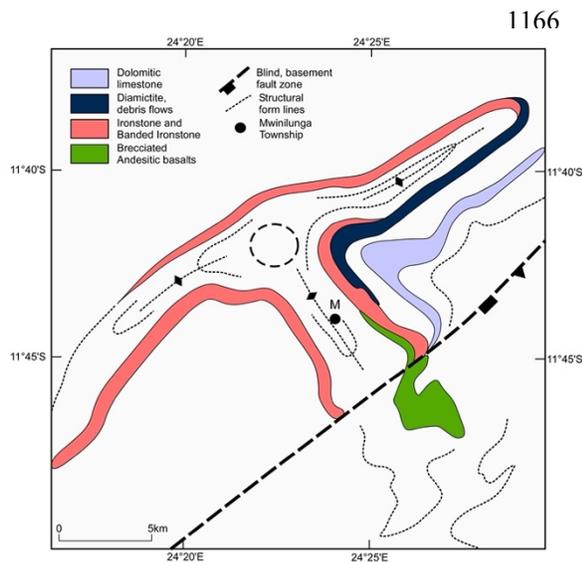
1131 2002). During the Upper Roan the Katangan basin was a large carbonate/evaporite, epicontinental,  
1132 marine environment. Today none of the large volumes of mobile salt remains, however, the  
1133 impacts of it are evident through stratigraphic geometries, structurally displaced rafts of  
1134 stratigraphy and structural fabrics such as the brecciated welds due to salt diapir, salt wall and salt  
1135 nappe displacements. On this basis it is likely that salt was deposited everywhere in the Northern  
1136 Rift Basin Sub-Domain 3b area. Within this large basinal background of the NRB we identify two  
1137 distinctive styles of salt activity and categorise them as Sub Domain 3b and 3c here: Sub-Domain  
1138 3b, characterized by areas of fault related salt diapirs, salt walls and connected salt walls that define  
1139 sub-basins of Katangan stratigraphy; Sub Domain 3c characterized by areas of large and detached  
1140 salt fold limbs, canopies and isolated stratigraphic units labelled 'ecaille' in the DRC. Sub-Domain  
1141 3d is also considered a likely area of salt deposition but its impact has mostly been removed by  
1142 erosion resulting in little preservation of post Roan stratigraphy.

1143  
1144 Sub-Domain 3b identifies areas within the Domain where multiple diapirs and extensive salt walls  
1145 have coalesced to form distinct sub-basins. The evolution of the sub-basin formations are difficult  
1146 to date precisely and are likely diachronous, however, it is also likely that they began to form with  
1147 or soon after deposition of the evaporite basins of the Upper Roan. These basins were also  
1148 deformed, potentially enhanced, and also separated completely during the Cambrian deformation.  
1149 Examples of salt wall and diapir geometries, mapped on the basis of seismic data, current sub-  
1150 basin structure and surface breccias, are clearly defined in the Konkola (Figure 6b) area of Zambia  
1151 and along and between the major structures of the DRC Copperbelt, notably in the Kakanda region  
1152 (Twigg 2020). A similar radial, salt wall convergence is mapped in the Mwinilungu area, and the  
1153 hanging wall of the Mwinilunga fault zone. The salt wall feature is marked by the three tight radial  
1154 anticlines, interpreted as three salt walls, converging on a central salt diapir (Figure 15). In the area

1155 to the south of Mwinilunga mapping by Liyunga et al. (2000) describes far more complex fold  
 1156 features that we also interpret as salt related features

1157  
 1158 Several other sub-basin areas of similar scale to the Kawire and Mwinilinga Sub-Domain basins  
 1159 exist in the Northern Rift basin and are marked in figure 14. Most recently similar features have  
 1160 been described with great detail by Twigg (2002) in the Kakanda area of the DRC. We interpret  
 1161 this wide variation as largely a result of thicker areas of original evaporite deposition, and the  
 1162 presence of an irregular Katangan basement surface, such as a faulted graben that triggers salt  
 1163 instability. Such features were greatly exaggerated during the basin inversion as extensional faults  
 1164 are reactivated as thrusts as shown in the Kawire fault zone (Figure 6a).

1165



**Figure 15. The Mwinilunga salt structure.**

Structural sketch map of the Mwinilunga radial fold structure (Modified from Liyunga et al. 2000) The three-way structure is interpreted as radial salt-wall fold features, converging on a central salt diapir. The salt structure interpretation is compatible with further complex folded features to the SE. To the NE it appears that the salt becomes less significant.

### 1179 **Sub-Domain 3c Inverted northern rift basins with salt nappes and welds**

1180 The area marked as Sub-Domain 3c in figure 14 is characterized by the development of large,  
 1181 detached blocks of Katangan stratigraphy, up to kilometeric dimensions, that are interpreted as  
 1182 having been carried by mobile salt (Jackson 2002 & Selley et al 2018). The interpretation by  
 1183 Jackson et al (2002) indicates a significant amount of stratigraphic shortening in the Katangan

1184 section. They assume that the shortening links to the thrust tectonics of the Domes region of  
1185 Zambia. Jackson et al (2002) conclude stratigraphic shortening of the order of 80 km. Selley et al  
1186 (2018), argue for a smaller degree of tectonic shortening in essentially the same area. Suffice to  
1187 say, movement of salt nappes and tectonics dominate the structures of the Kolwezi area of the  
1188 basin, is an indicator of thick salt deposition and significant mobility from Upper Roan/Mwashia  
1189 times onwards. The tectonic detail in terms of geometry and stratigraphic and/or crustal shortening  
1190 remains to be more precisely defined by subsurface imaging.

### 1191 **Sub-Domain 3d Inverted northern rift basins of minimal preserved salt tectonics**

1192 Domain 3d covers the area of the Kafue anticline, it's associated domes, and the extensive  
1193 Katangan Kabisha platform area to the SW (Figure 3, 6c & 12). Whilst this area has localized  
1194 indications of salt, most of the surface exposure lies at or below the stratigraphic source of the salt  
1195 in Upper Roan stratigraphy (Figure 2 & 6). Consequently, little signature remains of salt activity  
1196 except within synclinal inliers like the Chambishi syncline and the flanks of the Kafue anticline  
1197 where the Mwashia and Nguba sections arguably record the salt activity preserved. On this SW  
1198 side of the Kafue Anticline the stratigraphy dips gently off the western flank of the Kafue anticline,  
1199 defining a flat to west dipping area of Upper Roan carbonates called the Kashiba platform after  
1200 the large sink hole (Figure 3 & 6c).

1201  
1202 The Kashiba platform (Figure 6c) is intensely deformed to the west with horizontal to gently  
1203 dipping S1 equivalent fabrics and a basal zone of isoclinally folded marbles that become  
1204 interleaved with steep to vertically dipping phyllites of the Mwashia and Nguba Groups that mark  
1205 transition to Domain 4b. The bedding parallel fabric and associated, small scale isoclinal folds are  
1206 the equivalent of the bedding parallel fabric seen extensively in the west of Domain 3 and mark  
1207 the margin with Domain 4b.

1208  
1209 To the north of the Kashiba Platform, a series of NE and SW facing thrusts occur in the Nchanga  
1210 region defining the irregular outcrop pattern of the Fitawola and Chililabombwe area. The complex  
1211 strain and cleavage patterns (Torremans et al. 2018) described in this area reflect the local  
1212 kinematics driven by reactivating a pre-existing and complex rift fault system geometry. Combined  
1213 with the cross section of figure 6c this marks the transition from the flat NKB on the Bangweulu  
1214 craton, across the basement cored Kafue Anticline and inverted Northern Basin, to an increasingly  
1215 intensely deformed flat lying area to the steeply dipping phyllite belt of the Central Rift Inversion  
1216 zone and Domain 4.

1217

#### 1218 **4.1.4 Domain 4: High strain and metamorphic zone and basement domes**

1219 Domain 4 is an arcuate zone that defines the southern boundary of Domain 3 and the northern  
1220 boundary of Domain 5. The Domain includes the four inliers of the Kabompo, Mwomezhi, Solwezi  
1221 and Luswishi Domes and lies to the southwest of the Kafue anticline. The Domain is described as  
1222 two sub-domains; a northern Sub-Domain (4a) characterized by a high strain and high-grade  
1223 metamorphic section of apparently Katangan metasediments; Sub-Domain 4b, a high strain low  
1224 metamorphic grade extension of 4a to the south.

1225

1226 Sub Domain 4a bears a close resemblance to the metamorphic map of Zambia (Ridgeway &  
1227 Ramsay 1986) which shows a ~30-50 km wide zone of high grade, garnet amphibolite facies rocks,  
1228 developed around the area of the four inliers. The metamorphic facies of Sub-Domain 4a decline  
1229 to the SE and become an intensely deformed low greenschist facies rock sequence. More detailed  
1230 and localized metamorphic studies corroborate this regional view (John et al. 2003, 2004, Barron  
1231 2003), indicating the amphibolite facies rocks have experienced deep tectonic burial during the

1232 Ediacaran/Cambrian time and subsequent emplacement to the north and exhumation. In addition,  
1233 data from core KRX082 in Solwezi indicates that these high grade tectonites were emplaced over  
1234 lower grade, Lower Roan clastic rocks (Figure 5b) creating a significant metamorphic inversion  
1235 (Figure 6a).

1236  
1237 The field work undertaken here supports the generality of high grade metamorphosed Katangan  
1238 metasediments occurring from the eastern flank of the Kabompo dome to the western flank of the  
1239 Luswishi dome. The lithologies bearing the high-grade mineral assemblages are also intensely  
1240 deformed with a bedding parallel (S1) fabric developed in pelitic, psammitic and meta-carbonate  
1241 rocks. As mentioned above, the Solwezi area and core KRX082 specifically (Figure 6),  
1242 demonstrates this thrust relationship most clearly. The KRX082 core shows the high grade,  
1243 amphibolite facies highly deformed and folded rocks emplaced over undeformed, but compacted,  
1244 clastic rocks of the Lower Roan that preserve primary sedimentary structures such as cross bedding  
1245 and ripple marks. Similar sedimentary features occur at outcrop on the margin of the Solwezi dome  
1246 at the Kifubwe Falls. The Solwezi thrust sheet is characterized by complex and intense, large, tight  
1247 to isoclinal, recumbent folds (Arthurs 1974 & Barron ) and best seen today in the main pit of the  
1248 Kansanshi mine. This thin thrust sheet of high-grade rocks ends to the north but outcrops around  
1249 the Solwezi Dome to the south. The dome itself comprises a core of pre-Katangan crystalline  
1250 basement of Paleo and Mesoproterozoic ages (Ku et al 2022) and folds the early S1 Katangan  
1251 tectonic fabric of the thrust sheet. The Dome is interpreted as a late stage, basement cored thrust  
1252 of relatively minor displacement compared with the earlier and large displacement of the  
1253 metamorphic thrust sheet. However, the basement cored nature of the late Solwezi thrust structure  
1254 generates significant vertical topography of the basement/cover boundary zone. The kinematics of  
1255 the emplacement of the high metamorphic grade rocks of the Solwezi thrust sheet have yet to be

1256 precisely determined. However, the termination of the Solwezi thrust sheets to the north towards  
1257 the Chagwama Hills, and the extensive outcrops to the west and south with northward verging  
1258 structures suggests a southern origin and is compatible with the observations described to the west  
1259 and east of Solwezi.

1260

1261 The zone of high metamorphic grade and intensely deformed thrust sheets can be traced both west  
1262 and east from Solwezi. To the west it trends WNW and then swings around the northern tip of the  
1263 Mwombezi dome to lie between and above the Kabompo and Mwombezi basement domes (Figure  
1264 14). From there it trends to the SW plunging below the Kalahari sands and towards Angola. The  
1265 zone is clearly recognized on the eastern margin of the Kabompo zone where highly deformed  
1266 Katangan meta-pelites, calc-schists and volcanics are imbricated with the basement (Klink 1977).  
1267 This narrow, 10-15 km wide synform comprises steeply dipping metapelites, intensely deformed  
1268 volcanoclastic and locally, highly strained pillow basalts, above and to the east of the Kabompo  
1269 Dome (Klink 1977), together with locally mylonitised carbonates to the north of the Mwombezi  
1270 Dome. Its contact with the Kabompo and Mwombezi basement is marked by quartz, muscovite,  
1271 kyanite, talc schists recording deep burial (John et al 2004). The high strain zone's fan-like  
1272 structure, faces both to NW in the north and to the SE in the south, defining the edge of the  
1273 Kabompo Dome and apparently surrounding the Mwombezi Dome (Figure 12 & 13). To the east  
1274 of Solwezi, the zone swings around north and south of the Luswishi dome where the HSZ swings  
1275 south alongside the Luswishi dome. The amphibolite facies metamorphic rocks are lost southwards  
1276 of the Luswishi area where exposures are poor.

1277

1278 Sub-Domain 4b (Figure 14), southwest of the Kafue anticline, starts where metamorphism has  
1279 declined to middle to low greenschist and the steeply dipping high strain zone continues to the

1280 south (Figure 12 & 14). It then turns southeast towards the poorly exposed Lukanga Wetlands area.  
1281 Here the zone largely comprises vertical to steeply dipping pelitic phyllites that define the western  
1282 margin of the Kabisha platform of flat lying intensely deformed Upper Roan carbonates in the  
1283 Mpongwe mission area (Domain 3b, Figure 10a & 10c). To its west these high strain rocks of  
1284 indeterminate age overthrust the Nguba and Kundulungu section of the Kayamba Hills along the  
1285 Lumwala thrust zone described by Vajner (1998b). Along this boundary highly attenuated and  
1286 asymmetric folds, locally of sheath fold geometry, indicate ENE/WSW displacement consistent  
1287 with the thrust kinematics of the Kafue Anticline (Coward and Daly 1984). This zone is interpreted  
1288 as a structural fan, with structures facing both ways away from an upright center but with a clear  
1289 west facing fold and thrust in the west (Vajner 1998b) and intense ENE facing isoclinal folded in  
1290 the dolomites to the east (Figure 6c).

1291

1292 The high strain zone then steps to the west and runs north-south from the Lunga fault to the  
1293 Mwembeshi fault zone (MFZ), broadly along the eastern boundary of the Hook Granite Batholith  
1294 (HGB). In this southerly section of the HSZ the Mwashia and Nguba phyllites and schists  
1295 overthrust the Kundulungu Group to the east, and both are widely intruded by Hook related granite  
1296 cupolas. The southern termination of the HSZ is a broad zone of east-west fold and faulting  
1297 towards the proximity of the MFZ where deformed dolomites overlie quartzite tectonites that are  
1298 exposed around the low-lying basement cored Matala dome (Nayendov et al. 2016). Above them  
1299 the Nguba and Kundulungu sections are folded parallel to the MFZ. The western boundary of the  
1300 HSZ is defined by the intrusion of Hook granites that appear to occupy the centre and continuation  
1301 of the HSZ.

1302

1303 In summary, the HSZ and high grade metamorphics of Domain 4 have three prominent structural  
1304 characteristics that define Domain 4 and are key to its formation. Firstly, it broadly overprints the  
1305 Central Rift Zone mapped from the stratigraphic, provenance, and quantitative extension analysis  
1306 presented in section 3.1. This implies that the deformation became focused by an existing, regional,  
1307 crustal, and possibly lithospheric scale boundary. This focus occurred through reactivation of  
1308 rifted, highly extended and possibly broken lithosphere with potentially a narrow zone of  
1309 transitional or oceanic crust developed within it.

1310

1311 Secondly, although dominantly a zone of vertical fabrics at today's outcrop, the marginal zones of  
1312 the HSZ are characterized by flat lying shear fabrics that indicate significant lateral displacement  
1313 of deformed and metamorphosed lithologies away from the CRZ. This displacement is generally  
1314 asymmetric, favouring a NNW to northerly emplacement in the Kabompo and Solwezi area  
1315 (Figure 6 & 13). The displacement is best seen in the Solwezi area where the garnet amphibolite  
1316 Solwezi nappe has been emplaced over a little deformed Lower Roan section broadly from the  
1317 south (Figure 6a). We interpret this far travelled amphibolitic thrust sheet as the content of a series  
1318 of rifts and passive margin material developed over the highly extended crust interpreted from the  
1319 quantitative rifting analysis (Section 3.1).

1320

1321 Thirdly, field mapping shows that the sinuous HSZ and associated structural fan becomes narrower  
1322 and a lower metamorphic grade to the south of the Luswishi Dome and Kafue Anticline (Figure  
1323 12). In the region between the Kashiba Platform area and Lumwala Thrust mylonitic simple shear  
1324 fabrics outcrop on the Kashiba carbonate platform in the east and to a lesser extent in the the  
1325 Lumwala Fault zone in the west (Figure 6c).

1326

1327 Finally, based on the above interpretation of a CRZ defined by high extension and deep water  
1328 depositional environments with volcanoclastic and pillow lava facies, we interpret that an Ediacran  
1329 compressional event exploited this earlier fabric and inverted the CRZ to a narrow orogenic belt  
1330 inverting the rift contents in the process. The associated strain and metamorphic grade that  
1331 occurred with the inversion indicates the orogen was most deformed and thickest in the Kabompo  
1332 to Solwezi area where major thrust sheets have been mapped, and that the deformation continued  
1333 southwards to Mumbwa but at lower greenschist grades creating a phyllite and, locally, slate belt.  
1334 Notwithstanding the thermal and pressure variation along the HSZ, the formation of this arcuate  
1335 zone of deformation and metamorphism represents the most active time in the evolution of the  
1336 basin, in terms of heat, pressure and the opportunity for dehydration and hydrothermal activity to  
1337 generate fluid migration. The degree of closure associated with this event is difficult to estimate.  
1338 There is little stratigraphy to suggest a major oceanic separation, but equally, pillow lavas and  
1339 extensive mafic intrusions (Kampunzu 2000) may suggest a highly thinned or transitional crust at  
1340 a minimum was developed during the Tonian extensional events. In addition, the sediment  
1341 provenance signature across this same area supports a major structure. Given these features  
1342 together with broad similarity of stratigraphy and geological history to the north and south of the  
1343 CRZ, we conclude that highly extended lithosphere with a transitional crust or minor oceanic crust  
1344 component (10-50 km) was the likely outcome of the Tonian rifting. Implying that the most  
1345 extended crust existed between the Kabompo to Luswishi areas. The degree of extension appears  
1346 to have declined to the SE. This apparent decline may be the result of greater oblique extension  
1347 than in the Kabompo area. Similarly, to the southwest of Kabompo the CRZ swings to the south  
1348 and may again have been of a more oblique character.

1349

1350

#### 4.1.5 Domain 5: Inverted Southern Rift Basin

1351 To the south and west of the HSZ lies the inverted Southern Rift Basin (SRB) that is characterised

1352 by a series of ENE trending, NW dipping fault zones that segment the basin (Figure 12 and 14).

1353 The SRB lies between the HSZ and the Lunga fault zone (Figure 12 & 13). It comprises a wide,

1354 poorly exposed region with no significant economic mineral discoveries but many mineral

1355 occurrences. The Domain is segmented by four regionally significant, NW dipping fault zones

1356 between 50 and 70 km wide, that separate segments of folded and faulted stratigraphy (Figure 12).

1357 Each segment displays a distinct series of form lines defined by regional mapping and

1358 aeromagnetic data that define folds and discontinuities (Page 1974 & Loughlin 1980). From NW

1359 to SE the fault lines are known as the Maheba Fault, the Mateba Fault, the Kasempa Fault, the

1360 Lunga fault and Lubungu fault (Figure 12).

1361

1362 The Maheba fault is a west dipping feature that borders a folded zone of Nguba and Kundulungu

1363 rocks dipping gently off the Mwombezhi dome (Figure 12 & 13). The zone between the

1364 Mwombezhi Dome and the Maheba fault is of low metamorphic grade, but with post-tectonic

1365 biotite growth. To the SE of the Maheba fault lies a folded zone of largely Kundulungu

1366 stratigraphy between the Maheba and Mateba faults (Loughlin 1980). The folds are upright to

1367 southeasterly vergent and non-cylindrical. East of the Mateba fault lies a large area of easterly and

1368 NW trending fold structures that are interpreted as isolated salt related features due to their highly

1369 variable orientations and frequent breccias (Figure 12). East of the Kasempa fault zone there are

1370 indications of low angle folding up to the west dipping Lunga fault (Figure 12 & 13). In contrast

1371 the hanging wall of the Lunga fault contains a large, east west trending and southerly verging

1372 anticline exposing steeply dipping, white, quartz and sub-arkosic arenite overlain by a

1373 recrystallized dolomitic carbonate (Figure 13). This fold is arguably one of the most important  
1374 structures of the SRB from a stratigraphic perspective. The two stratigraphic formations  
1375 outcropping in the Lungu fold compare closely with the Lower and Upper Roan facies of typical  
1376 Katangan stratigraphy (Figure 3) widely developed in the NRB. In addition, the arenite's  
1377 maximum age, from U-Pb zircon provenance dating, is 859+/-13 Ma (Figure 8a Z21). This data  
1378 supports a Lower Roan age of deposition. Given the structural context and provenance data we  
1379 conclude that the hangingwall fold of the Lungu fault displays the deepest stratigraphic level  
1380 exposed in the Southern Basin. The SRB and Domain 5 effectively terminates at the Lungu fault  
1381 zone where to the south of it in Domain 6, the structural trend is orthogonal to the Lungu fault  
1382 zone (Figure 14). From this point southwards to the Lubungu fault and beyond, extensive, north-  
1383 south trending fold structures of low metamorphic grade Nguba and Kundulungu arenites occur.  
1384 This folded zone is bounded to the east by the similarly trending, but more intensely folded, HSZ  
1385 Nguba rocks of Domain 4b (Figure 3 & 12), and to the west by Triassic cover (Figure 3). This area  
1386 is the northern part of Domain 6.

1387

1388 The significance of the SRB and Domain 5 is three-fold. Firstly, in spite of sparse outcrop and  
1389 relatively shallow drilling to date, we conclude that similar Katangan basin stratigraphy occurs in  
1390 the SRB as in the NRB. Whilst this implies that there is grossly stratigraphic similarity either side  
1391 of the CRZ it is therefore unlikely that a major ocean basin existed between them (John et al.  
1392 2004). It is far from clear however, how comparable the Katangan sections are in detail. Secondly,  
1393 the structure of both basins is segmented by ~200 km long, thick skinned basement cored fault  
1394 systems, separating different structural domains. The east west trending Lunga fault zone that  
1395 defines the southern boundary of the Domain, elevates the oldest stratigraphic section, proving the

1396 existence of Lower Roan stratigraphy in the SRB and opening the possibility that it is widespread.  
1397 Thirdly, whilst there is an indication of Upper Roan carbonate/evaporite sequences in both the  
1398 NRB and SRB, the NRB appears to host far more extensive, and active salt tectonics than the SRB.  
1399 Throughout much of the NRB salt has been a significant mechanism in driving the basin  
1400 structuring. In the SRB only the Kasempa area appears to indicate a contribution from salt  
1401 tectonics, reflected in complex fold geometries.

1402

#### 1403 **4.1.6 Domain 6: The Mumbwa sub-basin**

1404 To the south of the SRB lies Domain 6 and the Mumbwa sub-basin. Domain 6 is defined the Lunga  
1405 fault zone in the north and the MBZ to the south, with the Hook Granite Batholith to the west  
1406 (Figure 12 & 13). The Domain comprises at outcrop, a north-south trending folded belt of fine to  
1407 medium grained clastics of Nguba age with a large Kundulungu section preserved in the Kayambe  
1408 Hills (Vajner 1998b) and a synclinal area to the northeast of Mumbwa (Vajner 1998a) (Figure3).  
1409 A central area of north-south trending upright, penetrative deformation is mapped as the  
1410 continuation of the HSZ from the NE. To the north of Mumbwa, lies a large, isolated raft of  
1411 dolomitic carbonate rock that appears to be a salt transported remnant, equivalent to a Congolese  
1412 “ecaille” (Figure 12 and 14). The large east-west trending syncline to the NE of Mumbwa is  
1413 defined by the Nyama Fault Zone on its northern flank. It occurs as an oblique thrust zone with  
1414 mylonitic fabrics (Vajner 1998a) bounding a moderately folded zone of clastic Nguba and  
1415 Mwashia rocks to the south. These upright folds are underlain by carbonates and a highly deformed  
1416 quartz arenite and quartz pebble conglomerate that border the contact with the Paleoproterozoic  
1417 basement of the Matala Dome (Naydenov et al. 2016). To the south of the Mutala dome and across  
1418 the MFZ lie the gabbroic eclogites described by (John et al 2003) and the high grade amphibolite  
1419 facies rocks of the Zambezi belt (Hanson 1993).

1420

1421 The MFZ is considered the southern margin of the sedimentary and regionally low grade to  
1422 unmetamorphosed Katangan Basin. It is arguable that much of the adjacent Zambezi metamorphic  
1423 belt comprises rocks whose protolith is a continuation of the Katangan basin (Drysall et al 1974).  
1424 However, this is unproven as the two regions have different deformational and metamorphic  
1425 histories, with the Zambezi belt representing a mid-crustal, high-grade metamorphic terrane in  
1426 comparison with the largely mildly deformed Katangan basin, with the exception of the inverted  
1427 CRZ. In addition, there is a compelling spatial coincidence of the intersection of the Zambezi  
1428 Eclogite Belt (John et al 2003), the MFZ and the formation of the Hook Batholith. The implication  
1429 of this is uncertain but is raised in the Discussion section below.

1430

#### 1431 **4.1.7 Domain 7: The Hook Granite Batholith and its offshoots**

1432 To the west of Domain 6 lies the large area of Domain 7 and the Hook Granite Batholith (Figure  
1433 14). The domain occurs between the northwest dipping Lunga Fault Zone that defines the southern  
1434 margin of Domain 6, the SRB, and the steep dipping MFZ (Figure 14). To the southwest the  
1435 batholith appears to intrude Mesoproterozoic gneisses of the Zambezi belt (Griffiths 1998) but is  
1436 largely covered by the Quaternary sand of the Kalahari Desert.

1437

1438 The Hook Batholith and associated, regionally developed, gabbroic intrusions represent a major  
1439 period of bimodal magmatism (Milani et al 2014) between 560 and 530 Ma. The batholith is  
1440 dominated by a largely undeformed core of phenocrystic alkali-feldspar granites, syenite and  
1441 monzonite granites with occasional rhyolite dykes and associated gabbroic inclusions (Sanz 2005).  
1442 To the east, several cupolas of similar K-feldspar granites occur in the low-grade metasediments

1443 of the Mumbwa sub-basin (Figure 12 & 13). These smaller granites intrude the north/south  
1444 trending, upright fold structures of Domain 5 and the arenite and pelitic Nguba sediments of the  
1445 Katangan Supergroup that define the margin of the batholith. These upright, tight to isoclinal, fold  
1446 structures are characteristic of the major, low grade, deformational event in the area (Drysdall et  
1447 al. 1974, Porada 1989). They are also compatible with a highly compressed, inverted, north south  
1448 trending rift basin margin of the greater Katangan basin.

1449

1450 The coarse phenocrystic to fine grained granitic textures within the batholith, exhibit a undeformed  
1451 background with highly deformed sheared and mylonitic textures developed in relatively narrow  
1452 zones. Naydenov et al. (2014) described two such zones, the southern most being a curvilinear  
1453 splay of the MFZ, with its trend running smoothly from EW to NS over a distance of about 80 km  
1454 (Figure 12) and linked with the main MFZ to the east and west. In doing so this shear zone and its  
1455 low strain margins define an elliptical, lower strain lens within the granite, indicating a left lateral  
1456 slip component and that the granites preceded at least part of the MFZ displacement. Deformation  
1457 fabrics of the northern shear zone also converge with the batholith's eastern boundary structures  
1458 of the NNW/SSE trending upright folds of the Nguba sediments. They also curve and smoothly  
1459 merge with the NNW/SSE folded zone and into the MFZ (Figure 12).

1460

1461 To the SE of the Batholith, along the MFZ, is a large area of gabbroic eclogite outcrop and float  
1462 (Phillips 1957, John et al. 2003) that appear to represent both mafic rocks linked to the batholith  
1463 and gabbroic eclogites of a separate genesis. The eclogite rocks represent the termination of a  
1464 regional trend of gabbroic eclogites, eclogite and meta-gabbro (Prasad & Vrana 1972, Vrana et  
1465 al. 1975, Johns et al. 2003) that run from the Hook Batholith ~200 km to ESE. On the basis of

1466 kinematic indicators, Coward & Daly (1982) postulated that this zone defined a highly oblique,  
1467 collisional plate boundary in the Zambezi belt. Johns et al (2003) expanded this model and, on the  
1468 basis of PT modelling and minor and trace element patterns similar to recent mid-ocean ridge  
1469 basalts, concluded that the eclogite assemblages were indicative of a large ocean basin, over 1000  
1470 km wide, that separated the Congo and Kalahari cratons. Johns et al (2003), reported a Sm-Nd  
1471 isochron, defining an age of  $595 \pm 10$  Ma age for the eclogite facies metamorphism and argued it  
1472 implies a rapid subduction model to create the Hook Batholith. The lack of any other evidence of  
1473 a large ocean basin, such as elements of an ophiolitic suite, blueschist facies rocks or major  
1474 differences in tectono-stratigraphic history across a well-defined plate boundary question the  
1475 interpretation. The earlier argued stratigraphic commonality across the MFZ and Eclogite Zone  
1476 between the Katangan basin and Zambezi Belt (De Swardt, et al 1965) perhaps argues for a  
1477 different solution.

1478

1479 Naydenov et al (2016) describe a range of U-Pb zircon ages for the Hook Batholith granites from  
1480  $559 \pm 18$  to  $533 \pm 3$ . Together with fabric trends, they use these dates to argue for an  
1481 instantaneous, clockwise rotation of compression that generates a complex of different, cross  
1482 cutting structural fabric orientations. In effect this is for two discrete and sequential orogenic  
1483 events related to distant and unspecified regional events outside of their study area. In general  
1484 terms that may or may not be an appropriate geodynamic model and it is certainly difficult to  
1485 prove. In our view however, the anastomosing, highly heterogeneous, local strain fabrics of the  
1486 Mumbwa to Lungu fault area represent a single and evolving local strain pattern. This singular and  
1487 continuous strain is interpreted as a result of an irregular, pre-deformational, rift-based basement  
1488 and rift fill architecture, being reactivated during a period of intense basin inversion. Such large

1489 trend variation in strain, due to differential rheology between crystalline basement, rift faulted  
1490 basement margins, associated sedimentary fill, and large intrusive granite bodies is arguably a  
1491 more likely explanation for the locally complex strain variation and fabric continuum of the  
1492 Mumbwa area. Such a singular progressive event is also consistent with the relatively large error  
1493 bars on the U-Pb ages that define the granite protolith as pre to early-tectonic.

1494

1495 Given the early tectonic setting of the Hook Batholith with respect to Katangan deformation, it is  
1496 likely that the geodynamic process driving them are connected. The bimodal nature of the batholith  
1497 is consistent with a model of basaltic magmas being emplaced into the lower continental crust  
1498 causing rapid and voluminous melting and generation of phenocrystic silicic magma (Huppert &  
1499 Sparks 1988 & Huppert et al. 2011) (Figure 13). The subsequent question is the source of the  
1500 alteration of gabbroic rock to eclogite and the mechanism of exhumation. Milani et al (2015)  
1501 proposed a model where the batholith formed due to slab roll back along a zone of oceanic  
1502 subduction with subsequent invasion of basaltic magmas into the crust in turn triggering crustal  
1503 melting at the base or within the crust. The scenario implies the crustal thickening and roll-back  
1504 occurred before the Lufilian orogenic cycle in order for the batholith to have formed before  
1505 significant deformation took place. We propose an alternative tectonic process that relies on mantle  
1506 lithosphere invasion at the base of the crust and similar crustal melting causing extensive granite  
1507 formation (Huppert & Sparks 1998), and eclogite formation. We invoke exhumation of the altered  
1508 mantle derived gabbros by ductile flow (Harris 2007, & Marques 2018) between the upper,  
1509 Katangan basin plate, and obliquely colliding lower Zambezi plate. Lithospheric scale lateral  
1510 movement causing oblique, convergent tectonics, crustal fracturing and ingress into the crust of a  
1511 mantle intrusion would immediately result in extensive crustal melting and granite formation. A

1512 similar process along the active San Andreas fault zone has been argued (Hutton & Reavy 1992).  
1513 In summary, precisely the cause of partial melting and the emplacement of the basic material is  
1514 uncertain, however the evidence of a large, subducted ocean is sparse to nonexistent.  
1515 Consequently, we interpret that the crustal melting and phenocrystic alkali granites, and associated  
1516 exhumed gabbroic eclogites have resulted from an oblique, convergent process along a broad zone  
1517 that includes the MFZ.

1518  
1519 **5. Discussion**

1520 The interest in the margins of cratons and their relationship to inter-cratonic areas has developed  
1521 over the last two decades as surface wave tomography has converged on the definition of the  
1522 thickness and shape of continental lithospheric thickness (Jackson et al 2020). The notion of  
1523 mineral systems being associated with the topography of the lithosphere asthenosphere boundary  
1524 (LAB) has followed (Griffen 2013 & Hoggard et al. 2020). In this paper we have integrated the  
1525 lithospheric context with a series of geological studies to build a tectonostratigraphic model for  
1526 this prolific copper basin that is bordered by three cratons. We have used this model to map the  
1527 compartmental fabric of the basin relevant to the formation of major fluid pathways through time.  
1528 We argue for a modified Katangan basin tectonostratigraphic model on four, evidence-based  
1529 aspects of the basin's formation and deformation:

- 1530 i) lithospheric thickness control on basin location and shape (section 2);
- 1531 ii) basin formation, rift geometry and crustal stretching trend (3.1 & 3.2);
- 1532 iii) sedimentary provenance, routing and basin growth with time (3.3 & 3.4);
- 1533 iv) basin inversion and the role of rift fault reactivation and compartmentalisation (4.1).

1534

1535 The Katangan basin occurs in a lithospheric thickness zone of 170 to 140 km low between the  
1536 Congo, Bangweulu, and Kalahari craton margins. The major fault systems within the basin parallel  
1537 the tomographic contours to a large degree (Figure 2), and the highest stretched part of the basin  
1538 lies approximately in a trough of 140 km thick lithosphere. In spite of large errors on the surface  
1539 wave tomography these generalities appear to imply lithospheric thickness, and crustal strength,  
1540 has controlled both where the basin formed, its general shape and the location where thin  
1541 lithosphere accommodated the Cambrian compressional deformation.

1542

1543 The distribution of crustal stretching data is limited to regions of low grades of metamorphism and  
1544 deformation of the Katangan stratigraphy, and to the availability of deep cores to estimate basin  
1545 subsidence shape and scale. What data we have may be uncertain in absolute terms, but as a clear  
1546 trend we feel it is highly convincing of an increase of lithospheric stretching from the ENE basin  
1547 margin to the CRZ. These data both supports a rifting process for the basin formation and also  
1548 identify the thinnest, and therefore potentially weakest part of the underlying lithosphere. It does,  
1549 however, not imply a major ocean, but nor does it rule out one. Given the minimum of 70%  
1550 stretching experienced in the CRZ it seems likely that a highly extended rift system existed in  
1551 Mwashia time and lithospheric breakup was possible. Together with the field evidence of pillow  
1552 lavas in the CRZ and the widespread igneous activity (Kampunzu et al. 2000) a highly extended  
1553 rift system, with a small ocean basin, in the Kabompo/Mwombeshi/Solwezi area is our preferred  
1554 interpretation. To the southeast it is less likely and to the southwest the Kalahari sand hides any  
1555 direct evidence. We interpret the CRZ to have been a highly extended rift zone that likely produced  
1556 a narrow (10's km) and relatively short (200-500 km long) zone of oceanic crust in the Kabompo

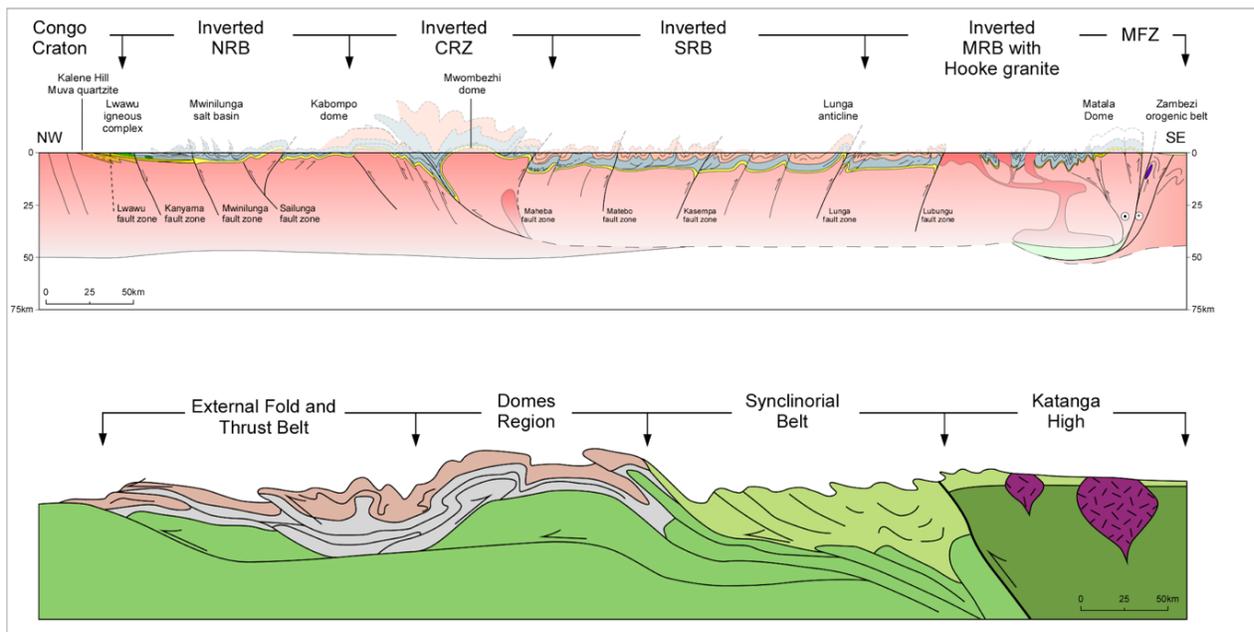
1557 to Luswishi area due to NNW/SSE rifting. As the CRZ becomes increasing oblique to the southeast  
 1558 and southwest the extension has increasingly a strike-slip form with less opening.

1559

1560 The U-Pb zircon provenance studies further support the idea of a major rift basin associated with  
 1561 the CRZ by defining a potential, rift-controlled sediment boundary zone that prevented the  
 1562 widespread distribution of Archean age zircon detritus east of the CRZ in the Kabompo area. This  
 1563 provenance boundary is further evidence of the area being a major discontinuity in the basin and  
 1564 supports the CRZ being a major depocenter across which material from the west could not pass.

1565 The size and duration of the boundary is unclear, but it was an active boundary from at least  
 1566 Mwashia through Nguba times (760-620 Ma). Elsewhere the data implies both locally sourced  
 1567 Lower Roan sediments and regionally sourced sediment routing systems in the Nguba and  
 1568 Kundulungu.

1569



1570

1571

1572

1573 **Figure 16. Comparative sections across the Katangan basin.**

1574 A comparison of composite basin profiles discussed in this paper, (Figure 12) and the long-  
1575 accepted basin model first published by Porada (1989). Section (a) is broadly to scale whilst  
1576 section (b) has an approximately 2x vertical exaggeration.

1577  
1578 (a) The structural profile from figure 12 outlining the Katangan basin tectono-stratigraphic  
1579 interpretation developed in this paper. Wide rift basins (NRB and SRB) developed either side  
1580 of a highly extended Central Rift Zone (CRZ). Virtually the whole rifted area is deformed to  
1581 varying degrees by the EoCambrian orogenic inversion. Further explanation of the section  
1582 detail is on figure 12.

1583 (b) The Zientek et al (2014) schematic section of the Katangan basin developed from Porada  
1584 (1989) and Porada & Berhorst (2000). The section is markedly asymmetric with a hard  
1585 boundary to the south defined by a north verging boundary fault of the Katangan High. The  
1586 fault borders a synclinorium between the Katanga High and the domes region. The Mwembeshi  
1587 fault zone and associated eclogites are not indicated.

1588

1589 We interpret many of the long, steep, reverse faults active in the EoCambrian inversion to be  
1590 reactivated rift forming extensional faults. The best example of this behaviour is seen in the  
1591 reflection seismic interpretation of figure 6b and the core constrained Solwezi Rift interpretation  
1592 in figure 6a. There are however, other reasons that support the existence of large rift forming  
1593 extensional faults that later reactivated. The chronostratigraphic section shows the presence of the  
1594 major rift forming faults and their associated stratigraphy (Figure 7). Each of these rift boundary  
1595 faults has experienced significant degrees of contractional, oblique inversion as the deformation  
1596 front developed from being initially upper crustal and dominantly flat lying and parallel to bedding  
1597 (S1) to thick skinned and basement rooted across the basin. The early fabric is most intensely  
1598 developed in proximity to the closure of the CRZ, the thicker-skinned, basement rooted faults  
1599 activate later and elevated the Kafue Anticline and triggered salt diapirs through pre-salt  
1600 perturbations. Finally, the mapping of the major reverse faults shows extensive stratigraphic  
1601 evidence indicative of syn-sedimentary movement.

1602

1603 The implications of the existing model and our tectonic model, outlined in profiles in figure 16,  
1604 are widespread and significant for the formation of the basin and the search for its minerals. Figure  
1605 16 compares our model with the existing model originated by Porada (1989) and developed  
1606 subsequently (Porada & Berhorst 2000, Selley et al. 2006, & Eglinger et al 2015). In this discussion  
1607 we focus on the aspects of the evolution of the Katangan basin relevant to major fluid pathways  
1608 through time, notably: the controls implied by formation of the Katangan rift basin; the fill of the  
1609 rift basin; and the deformation of the rift basin architecture at the time of deformation and  
1610 maximum heat and pressure in basin. The work has produced a very different profile, similar in  
1611 the immediate area of the domes regiona but elsewhere very different. The four main differences  
1612 are discussed below.

1613

1614 Firstly, we have established a close connection between lithospheric thickness and the onlapping  
1615 margin of the basin. Similarly, large and contiguous crustal scale fault zones that define the center  
1616 of the basin appear to lie within lithosphere of the order of 170-140 km. Central to that 170-140  
1617 km zone, lithospheric extension analysis of the basin indicates the presence of a highly extended  
1618 CRZ indicating a degree of localized lithospheric break up at the core of the thinnest lithosphere.  
1619 However, the regional geology does not support the formation of a major ocean basin.

1620

1621 Secondly, on basin formation, the deep core and reflection seismic data has brought clear evidence  
1622 of the rifting geometry of the basin (Figure 6 & 13) and together with the quantified crustal  
1623 stretching analysis, shown a clear trend of extension across the basin. The stretching trend has led  
1624 us to interpret a CRZ associated with of highest crustal extension. In addition, chronostratigraphic  
1625 analysis has brought basin scale evidence of two rift phases, the second associated with a large

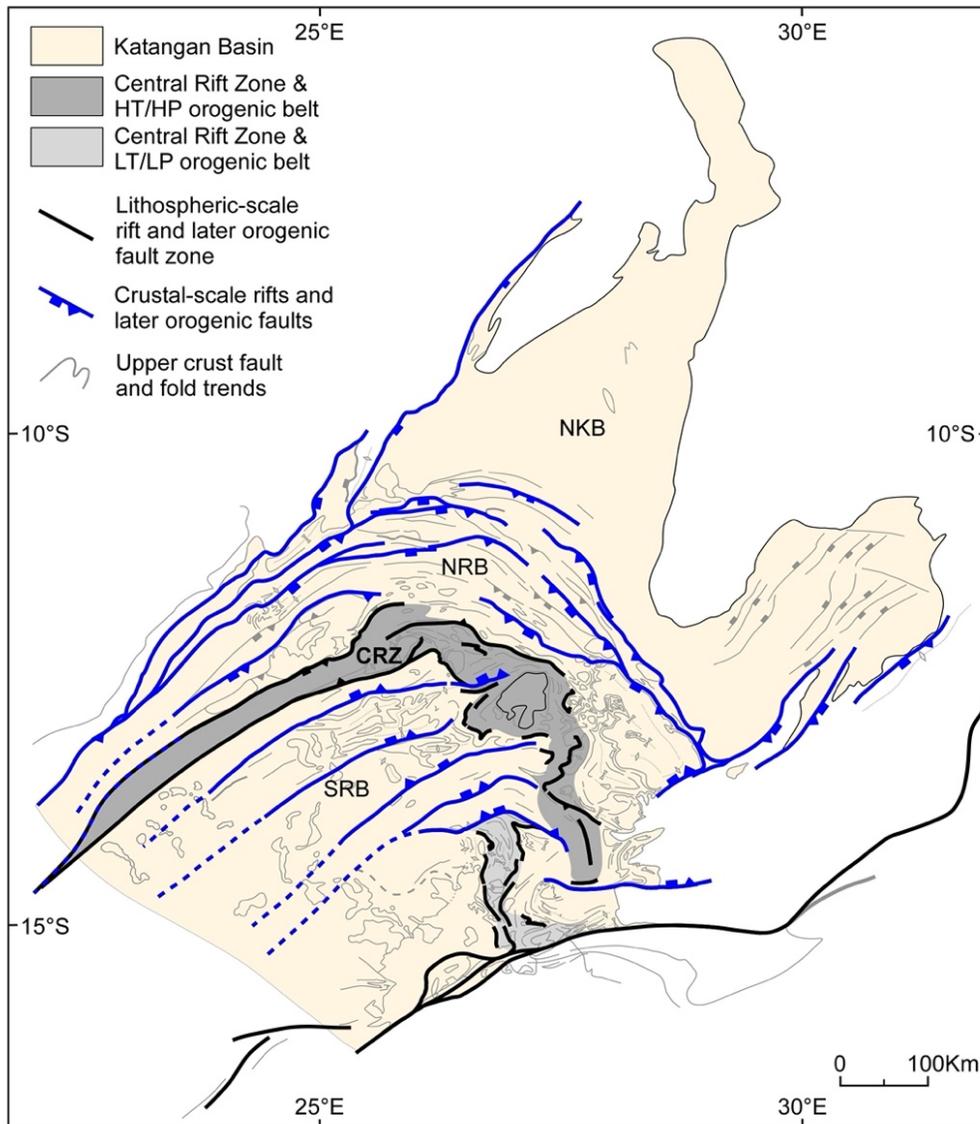
1626 volcanic association and the growth of the basin on to the Congo and Bangweulu cratons with  
1627 time.

1628

1629 Thirdly, regarding basin fill, our regional, sedimentary provenance approach to sediment routing  
1630 using U-Pb ages of detrital zircons (Figures 8, 9 & 10) has demonstrated that the drainage was  
1631 highly compartmentalized west and north of the CRZ. The significant input of Neo-Archaean  
1632 zircons into the Mwashia and Nguba sediments of the Mwinilungu sub-basin and their termination  
1633 eastwards of the CRZ is dramatic. We interpret this relatively abrupt change in provenance  
1634 material as the CRZ of the Kabompo Mwombezi area representing a major sediment routing  
1635 change. This conclusion of a deep basin acting as a provenance boundary is further supported by  
1636 associated pillow basalt lavas in the Kabompo area implying a highly thinned crust and deep rift  
1637 separating the Mwinilungu sub-basin of the NRB from the SRB.

1638

1639 Finally, regional structural analysis indicates that the Ediacran/Cambrian (560-500 Ma)  
1640 deformation reactivated a large part of existing extensional fault structures causing the widespread  
1641 inversion of the Tonian rift basins. Deformation ranged from complete closure of the highly  
1642 extended CRZ and the development of a ~50 km wide zone of intense, basement involved, thrust  
1643 tectonics and high grade metamorphism (Coward & Daly 1984, Ridgeway & Ramsay 1986, Johns  
1644 et al 2004), to isolated fold structures due to local, mildly compressional reactivation of individual  
1645 faults. The southward continuation of the inverted CRZ appears to be localized along the western  
1646 margin of the Kashiba Platform (Figure 13). It is defined by a low temperature, high strain upright  
1647 fold belt of Mwashia and Nguba phyllites, interpreted as an intensely folded example of the CRZ  
1648 and shown schematically in figure 6c.



1649

1650 **Figure 17 Crustal scale fault systems within the Katangan basin.**

1651 The schematic map shows three different scales of regional structures that may have acted as  
 1652 pathways for major hydrothermal fluid movement during the EoCambrian orogenesis, and  
 1653 earlier in the Tonian as magmatic conduits. The grey area represents the most profound, High  
 1654 Strain zone that likely penetrates the whole crust and closed a highly extended CRZ and  
 1655 possibly lithospheric scale break-up. Associated with it are the highest PT conditions  
 1656 experienced by the basin and therefore the source of most fluid movement away from it. The  
 1657 blue faults represent deep crustal structures with major reach laterally. The remaining grey  
 1658 features represent background structural trends showing the extent of upper crustal and  
 1659 surface structure in the terms of fold and faulted Katangan sediments.

1660

1661 This geological heterogeneity across long lived fault zones that defines the compartmentalization  
1662 of the basin is further complicated by the highly active salt tectonics in the NRB and Mumbwa  
1663 area. The early salt tectonics would have been driven initially by local, load driven pressure  
1664 gradients and tilting triggered by rift fault heterogeneities. However, the 200 Myr later regional  
1665 inversion tectonics, are believed to have exacerbated this complexity and remobilized whatever  
1666 salt that remained.

1667

1668 Our tectono-stratigraphic model for the Katangan basin attributes the fundamental deformation  
1669 pattern of the basin to the reactivation of the fabric defined by original extensional faults (Figure  
1670 17). Original structures of lithospheric scale such as the CRZ and MFZ dominate the basin; crustal  
1671 scale structures such as the major Kanyama-Pedicle and Mwinilunga-Kafue fault zones (Figure  
1672 12). In addition there is a widespread and more ephemeral upper crustal fault population that exists.  
1673 The extensional thermal pulse of the rifting events, and much greater thermal impact of the  
1674 compressional orogenic and inversion tectonics and associated amphibolite grade metamorphism,  
1675 represent the two main, high heat flow events and, with the inversion, high pressure event of the  
1676 basin's history. This focus on the evolution of the early rift basins and their resultant role in the  
1677 basins deformation is what makes our interpretation profoundly different to the earlier Porada  
1678 (1989) model. The anisotropy and heterogeneity indicated in the basin by both the stratigraphic  
1679 and structural analysis and the associated PT highs, has strongly influenced the hydrothermal  
1680 pathways available for large volumes of hydrothermal fluids to be focused and penetrate for their  
1681 contents to become concentrated. This perspective arguably offers fresh insight into mineral  
1682 exploration, both around existing mines and also in the under explored areas such as the SRB and  
1683 the marginal Mwashia sub-basins.

## 1684 **6. Conclusions**

1685 The paper presents several analyses and conclusions about the Katangan Basin that are new, and  
1686 others that support or modify previous work. Our interpretations come from the fusion of a large  
1687 scale lithospheric view together with detailed shallow crust (<5 km) and surface analyses and  
1688 observations. We list our specific conclusions from this spectrum and offer a small number of  
1689 questions and a direction for further regional scale research.

1690 1. A profound aspect of the Katangan basin is its location around the margins of three Archean  
1691 and Early Proterozoic cratons. The basin, as preserved, overlies an area of lithospheric  
1692 thickness of 170-140 km. This is relatively warm and weak, compared to adjacent cratonic  
1693 lithosphere of, between 240-200 km that is cold and stronger. The influence of this relationship  
1694 is evident as inverted rift faults broadly overlie and parallel the craton contours.

1695 2. The basin to lithospheric crustal relationship is defined by the Katangan stratigraphy and is  
1696 etched in the crust by major fault zones defining the basin margin and the CRZ. These 200 km  
1697 plus complex fault zones define a curvilinear NW basin margin, an arcuate NE margin and a  
1698 curvilinear southern margin. The faults have been reactivated throughout the life of the basin  
1699 and some are active today.

1700 3. ENE to WSW stratigraphic profiling of the Katangan sediments has enabled the quantitative  
1701 estimation of lithospheric extension across the basin. These data show a concave subsidence  
1702 form developed in two phases (RF1 and RF2). The degree of subsidence increases  
1703 progressively from the basin margin to a Central Rift Zone (CRZ). Cumulative extension  
1704 increases from beta factors of <1.1 at the NE margins of the basin to >1.7 at the Central Rift  
1705 Zone (CRZ) around Solwezi. This coincidence of highest extension and most intense  
1706 deformation suggests the most extended and weakest part of the basin to some degree

1707 controlled the highest deformed and metamorphosed part of the basin. Associated with RF2 is  
1708 a widespread basic and intermediate, intraplate igneous event focussed along the NW and SE  
1709 basin margins and around the CRZ

1710 4. Regional zircon U-Pb provenance data highlight the structurally driven, stratigraphic  
1711 compartmentalisation of the basin and major sediment routing over time. In particular, the  
1712 presence of a CRZ that separates the NRB and the SRB, across which sediment provenance  
1713 changes dramatically. The high frequency of zircons from the Congo Archean craton in the  
1714 NW, cease to exist eastwards of the CRZ and the Mwombezhi dome. In the northern rift basin  
1715 (NRB), the provenance of Lower Roan clastics is dominated by Paleoproterozoic granites of  
1716 the age of the immediate Katangan basement. Proximal facies interpretation indicates these are  
1717 likely to be local, rift shoulder sources, from Bangweulu craton basement. In the southern rift  
1718 basin (SRB) both Paleoproterozoic (Bangweulu craton) and Mesoproterozoic (Irumide orogen)  
1719 sources are strongly represented. As the rocks sampled are mostly Kundulungu in age, it is  
1720 unlikely that a mid-basin source was active. Hence the sediment routing here is more likely  
1721 distal and from the NE where Ediacaran uplift must be generating a sediment source area. The  
1722 SRB also raises an interesting provenance result at the Lungu fault anticline. In the anticlinal  
1723 core a sub-arkosic to quartz arenite is overlain by dolomite. The former recorded an 859 Ma  
1724 maximum age indicating that a Lower Roan section is present deep in the SRB.

1725 5. Chronostratigraphic interpretation shows that the basin progressively onlapped it's margins  
1726 over time, supporting the implication of rifts followed by periods of thermal subsidence  
1727 expanding the basin. The final of these periods is the Kundulungu Group that is interpreted as  
1728 a load driven basin driven by the onset of distal collisional events and the elevation of basement

1729 massifs and loading of adjacent basins. This latter idea may be tested by modelling the thermal  
1730 evolution of the basin margins and their uplift.

1731 6. Structural analysis describes the Katangan basin as a wide rift basin with a series of fault  
1732 bounded segments to the north (NRB) and south (SRB) of a deep CRZ. The whole basin  
1733 experienced degrees of structural inversion during the Eo-Cambrian, along early rift faults  
1734 most dramatically in the CRZ. Associated with the CRZ is a series of major thrusts that carry  
1735 amphibolite facies, Katangan age rocks and basement, to the northwest to northeast. These  
1736 sequences are interpreted as the metamorphosed products expelled due to the closure of the  
1737 CRZ during the EoCambrian (560-500 Ma) orogenic period. This relationship is well  
1738 appreciated at the Solwezi area and in the KRX082 core where a mylonitic basal shear zone  
1739 unit carries garnet-kyanite metasediments over undeformed Lower Roan, subarkosic/quartz  
1740 arenites. This orogenic zone is traceable from SW of the Kabompo Dome, in a crude arcuate  
1741 sweep to the SW of the Kafue Anticline where the metamorphic grade declines and the  
1742 deformation is displayed by largely vertical upright folds. To the north of and outside of the  
1743 narrow (50 km wide) CRZ, the NRB is largely a series of thick-skinned basement involved  
1744 structures and extensive salt generated folds, diapirs, salt walls and in the Kolwezi area, large  
1745 and often detached, salt emplaced nappes. To the south of the CRZ, the SRB is constructed by  
1746 a series of ENE trending and NNW dipping fault segments on average about 60 km wide and  
1747 comprising separate, folded zones of outcropping Nguba and Kundulungu stratigraphy.  
1748 Although dominantly segmented by blind fault structures, the Lungu fault, and associated  
1749 Lungu anticline, reveal an ESE verging fold of quartz arenites and dolomite interpreted from  
1750 field and U-Pb provenance ages as Lower and Upper Roan respectively.

- 1751 7. The arcuate nature of the Katangan basin structure is interpreted to be the result of structural  
1752 inheritance of pre-existing basement rheology and rift geometry. The contrasts between the  
1753 NRB, CRZ and SRB are in part a result of crustal thickness variation as seen in CRUST-1,  
1754 with NRB being thicker than SRB (Figure 13). The regional orthogonal structural trends,  
1755 carved out by extensional faults and later compression and inversion, may have exploited a  
1756 weak, earlier tectonic boundary or fault zone along the margin of the Bangweulu craton and  
1757 Irumide orogen. The complex, locally driven fold and strain fabric relationships, particularly  
1758 during compressional closure of the CRZ as seen in the Mumbwa and Kafue Dome areas, we  
1759 also interpret as largely a result of primary basement structure. Complex crenulated fabrics are  
1760 a response to this basement structure and are unlikely to be a result of multiple different fold  
1761 phases driven by distal external events.
- 1762 8. The southern boundary of the Katangan basin is defined by the Mwembeshi fault zone (MBZ).  
1763 South of the MBZ, deeper exposure with few metasedimentary inliers, regional  
1764 metamorphism, gabbroic eclogite facies rocks and potentially different stratigraphy raises the  
1765 possibility of a discrete plate in spite of the similarities north of the MFZ. Whatever the  
1766 protolith to the present gneisses and granitic rocks of the Zambezi Belt, the deformation and  
1767 burial as a whole has been significantly greater than in the Katangan basin to the north.  
1768 Associated with this major boundary is the Hook granite complex, believed to be the result of  
1769 melting of mafic source rocks associated with gabbroic intrusion/emplacement into the  
1770 Katangan lower crust. The granite batholith and its cupolas formed as a result of the lower  
1771 crust melt and the oblique collisional history of the MFZ and the associated E-W trending  
1772 Nyama-Mubalashi faults. We conclude the tectonic situation implies a highly oblique

1773 displacement along the MFZ and a crustal thickening context for the gabbroic eclogite  
1774 assemblages.

1775 9. To consider new basin hosted transition metal (eg. Cu, Ni & Co) exploration options we need  
1776 to be able to reliably forecast where future, deep mineral deposits will be found. To do this we  
1777 need to better understand deep crustal structure, its anisotropy and heterogeneity, its  
1778 connections and permeability through time, and the impact of the resultant  
1779 compartmentalisation of the basin. Most and probably all of the major copper deposits of the  
1780 Katangan basin are linked with major fault structures and many with stratigraphic aquifers.  
1781 Yet the deep crustal relationship of pathway to mineralisation remains difficult to define in  
1782 both pathway and focus. Large scale diffusive events may be sufficient to create the large low  
1783 grade ore bodies currently mined, but the role of specific fluid pathways is also a likely and  
1784 potentially the major focussing conduit. To understand this we need crust penetrating,  
1785 integrated geophysical data sets to explore this deep space. In this paper we have tried to  
1786 expand the understanding of the surface geology of the Katangan basin. The next steps need  
1787 to use integrated geophysical tools to verify the existence of the heterogeneity described here  
1788 and its deeper subsurface linkages and origins. Only with both these will we be able to  
1789 successfully explore for the deeper resources required to support the electrification of the  
1790 energy transition.

1791

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1793

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1801

1802 The Authors have no conflicts to declare with respect to this work or its publication.

1803

**1804 Open Research**

1805

1806 The paper includes two Supplementary data sets, S1, referring to the core logs and computations  
1807 done for the quantitative extensional analysis, and S2, for the analytical detail of the U-Pb zircon  
1808 analyses. The place of storage for these differing datasets has not yet been decided. Both datasets  
1809 are included here in the spirit of transparency and open access. Both data bases are included below  
1810 and remain confidential during the review:

1811

1812 **S1. Quantitative analysis of core data for section 3.1.**

1813 **S2. Sediment provenance age data from zircon U-Pb Data, section 3.3.**

1814

1815

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